

International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Analysis on Food Security and Insecurity for Sustainability in India: Reference to Sustainable Development Goal

Suriya Kumari A* and Candida Smitha

Assistant Professor, Department of Commerce, Mount Carmel College (Autonomous), (Affiliated to Bengaluru City University), Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Suriya Kumari A

Assistant Professor, Department of Commerce, Mount Carmel College (Autonomous), (Affiliated to Bengaluru City University), Bengaluru, Karnataka, India. Email: suriya@mcc.blr.edu.in

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The world shares in equal measure the issue of food security which causes an underprivileged approach to communities driving sustainable development. India has seen its green, white and (nearly) yellow revolution in agriculture, but mountains of food still go waste while poverty leads to starvation deaths by the thousands every year. In the case of developing countries, such as India are more threatened with immediate and future it is food insecurity. Possibly also that agriculture fights climate change but is not the solution to total hunger eradication. Security encompasses also the protection for our environment as well as consumers. The paper has discusses various aspect of food security and instability in the Indian context understanding Sustainable Development Goal No. 2 with initiatives taken by research organizations for poverty eradication. The end of the paper offers a suggestion on how to adapt or strengthen this public-private partnership vision and zooms in on demand-side factors: territory, physical configuration, socio-economics. Institutional reforms in the water sector, like setting up private/tradable rights to withdraw on groundwater and public reservoirs have a massive effect making farm outputs more productive.

Keywords: Agriculture, Environment, Food Security, Groundwater, Sustainable Development.





Suriya Kumari and Candida Smitha

INTRODUCTION

Inflation in India is a serious issue at current levels of food inflation. Production is barely up in some areas, while it remains stagnant for other foodstuffs. The 53rd Meeting of the National Development Council (NDC) has just adopted a resolution that seeks to enhance rice production by an extra 10 MT, wheat output by another eight million tons and pulse volume -- two MT more--by dorning their part in making these targets reality before mid-2011. The proposed National Food Security Mission (NFSM), when it is implemented, should assist the nation to meet these targets rapidly. In general food safety, and in particular to encourage entrepreneurship stable production and provision of foods must be available enough stagnant people at risk. Summary This paper seeks to question the problem of food security based on poverty and sustainability. Rising food prices in developed countries have taken its toll on consumers or other industrial workers. The government is trying to reduce the price of food. It affects the farmers yield as well as their earnings. The UN defines food security as a state in which all people, at all times have physical and economic access to enough safe and nutritious food that meets their dietary needs for an active healthy life. Such imports of food are common in India and other developing countries. One aspect of food security is the accessibility and affordability of household foods. Infrastructure must be either created, or built to defeat a food scarcity. A safer response to the problem would be storing food for an emergency or increasing worldwide food provision. The maintenance of agricultural assets including land and water help protect world food stocks. With a quarter of the world's hungry people living in India, UN-India estimates that nearly 195 million are undernourished.

Chronic under nutrition affects 43% of Indian children. In 2020, India was placed at the 71st amongst the leading large nations in terms of food security index. Even though important changes have taken place around the world, food security is still just a dream for over 800 million people in developing countries (Leisinger 1996). However even that 1.5 billion more people world wide exist since then, it is clear progress has been made with regards to food security as the number of undernourished individuals dropped from its peak in 1971 at around 890 million (FAO conducted survey cited in Leisinger et al.imwrite1996). Asia harboring nearly 73 percent of the world's less developed population, for example witnessed a decline in the number of undernourished individuals from 707 million in 1969-1971 to only but five hundred and twenty millions between 1990 - '91 (World Population Data Sheet 1996 as cited by Leisinger). More startling is that its rate of undernutrition has decreased significantly, 37 to 16 according to FAO data from, despite an average annual population growth rate of percent. In its pursuit of food security and self-sufficiency. This means we need to produce enough food on our own land in order to protect our national food security. This includes the need to generate economic growth especially as a means of increasing both poor people's incomes and purchasing power, so that this becomes more affordable; ultimately also would guarantee domestic food security. Irrespective of self sufficiency in food grain at macro level, agriculture management through fixation of FGP Prices, Consumer Pricing Regulation and PDS will have a larger play compared to securing the Food Security substantially on home (Banik 1997; Goyal 2002) Governments insulate farmers against low and unpredictable agricultural prices by interfering in a significant portion of the food market. Nonetheless, ineffective pricing often leads to negative outcomes in terms of people's poverty to food. Regrettably, the paper is unable to deal with this important dimension of the food security (Banik 1997).

REVIEW OF LITERATURE

The State of Food Security in India: Trends, Patterns, and Factors

In an article entitled "Food Security in India: Trends, Patterns and Determinants," authors Anjani Kumar, M.C.S. Bantilan, Praduman Kumar (all affiliated with the International Crops Research Institute for Semi-Arid Tropics), Sant Kumar Singh (Project Directorate on Animal Disease Monitoring & Surveillance) and Shiv Jee declared that all three dimensions of food security are related to each other based on latest availability–accessivity — absorbability situations in India. We have also results showing food management practises, and impacts on food security. The persistence of malnutrition indicates the need for measures to more sustainably increase food supplies in future, notably through protracted effort at enhancing productivity.





Suriya Kumari and Candida Smitha

A belt-tightening and simplification of the food delivery system is needed. Further research is needed to understand why PDS always does bad in certain states and fairing well some other places. The fate of the NFSA will be largely decided through how successfully PDS is implemented across India. Since it is well know that dietary intake and household income are positively related, a most obvious conclusion is to increase the provision of anti-poverty programmes for almost all across country development planner while ensuring better results. Improve the productivity of public spending and strength social safety net proposals (MNREGS, ICDS, NFSM, Mid – Day Meal, PDS etc), should be taking into account greater significance in the country"s forthcoming planning exercise. If successful states are efficiently managing their PDS, the underperforming states should adopt it. So, you can go for a different PDS system in these states.

Vision 2020 for Nutrition and Food Security

Despite announcing a plethora of programmes for tackling food insecurity, there was no significant improvement in the low energy and high rates of malnutrition as indicated by R. Radhakrishna and K. Venkata Reddy [Food Security & Nutrition: Vision 2020 - An Academic document]. Global improvement in nutritional status also remains slow. He said: 'Nearly half of the population are chronically undernourished, with elderly people and mums and kids at risk in families on low incomes. Even in homes subjected to prolonged malnutrition, the share of consumption expenditure spent on food is very gradually decreasing. We should be quite concerned that 30% of those in the lowest income quintile are food insecure. Not even the middle 40% are safe from this. Auctioning food also did little to end mass hunger. Income poverty, further reduced at the current growth rate, should be substantially on its way to eradication by 2010—even though food insecurity may continue in some impoverished regions. In addition, the recent move towards more market-oriented (and global) macropolicies mean that poorer households are at risk to be a mechanism through which uncerrtainty in the marketplace is passed on. The consequence of this is that a range of programs has to be targeted specifically at the poor. Bringing in dietary change is a necessary condition but not a sufficient one to fight malnutrition in India. Other leading cause of malnutrition is such as gastrointestinal and respiratory infections (linked with a high prevalence), behavioural factors like incorrect feeding or weaning practises, besides insufficient food consumption. These are all contributing to the poor digestion of what you eat. Although it provides more levers of government intervention, economic growth is unlikely to have a large effect on nutrition in the near future unaided. But until we are able to feed all our citizens adequately then food initiatives must be effective and efficient.

Facts and Interpretation about Food and Nutrition in India

Jean Dreze writes in a recent paper "Food and Nutrition in India: Facts and Interpretations," referring to Angus Deaton's essay on the same topic. It tries to solve some mysteries — including arediction of the steep reduction in average calorie consumption over the next 25 years. The fall in real per capita spending has taken place at every level of the income distribution, even though both real incomes and the relative price of food are little different from where they were 60 years ago. One hypothesis holds that the change in supposedly underserved hunger has four catalysts (less exercise, a healthier planet or fewer environmental toxins); one of these is also responsible for most allegedly under eaten calories. That being said, this does not mean that Indians are devoid of calorie deficits at all; quite to the contrary in fact. These failures are manifested in some of the worst anthropometric indices in the world and should have long been a source concern given how sluggish their performance is. Nevertheless, current trends remain ambiguous and enhanced nutritional surveillance is urgently required.

India's Food Security: Progress and Issues

The article, "Food Security in India: Performance and Issues," was written by Prof. Kalpana Singh. She stated that one of India's policies' top priorities has been the population's access to food and nutrition. The three crucial elements of food security are accessibility, absorption, and availability (nutrition). All three of these are connected. This paper's main goal is to evaluate India's performance in terms of these three aspects of food security. The average annual growth rates in food grain production yields and area under cultivation have been calculated for five time periods: before the green revolution (1950–51 to 1966–67), during the early green revolution (1967–68 to 1979–80), during the mature green revolution (1980–81 to 1989–90), during the early economic reforms (1990–91 to 1999–00), and during





Suriya Kumari and Candida Smitha

the economic reforms (2000-01 to 2011–12). India's production of food grains had decreased when it entered the era of globalization. The free market has hurt food grain production, and since the New Economic Policy (NEP) was introduced in India, the growth rate has decreased. With respect to the accessibility aspect of food security, a long-term trend in household consumption patterns indicates a decrease in per capita direct food grain intake. Furthermore, India hasn't had the best track record when it comes to food security and nutritional outcomes. The World Food Security Index 2012, released in New Delhi in September 2012, categorized India as "moderate". It ranked India 66th out of 105 nations and concluded that the biggest danger to the food security of Indians is not availability but rather price. India scored the lowest (38.4) for food access and the highest (51.3) for food availability. It also highlights how inadequately its infrastructure supports the effective distribution of food. In a similar vein, India fell to 70th place on the 2013 Food Security Index. These are paradoxical times, and the issue is not so much a scarcity of food as it is a deficient distribution system.

India's Food Security: Problems and Difficulties

The scientific essay 'Food Security in India: Problems and Challenges' by Pramod Kumar, P. Anbukkani, D.R. Singh, and Amit Kar states that while half of India's population faces severe famine and droughts, the other half struggles to put food on the table. India is the country with the greatest number of hungry people in the world, with over 200 million individuals. India's food security situation is described as "alarming" by the 2013 Global Hunger Index (GHI), which ranks 63rd out of 84 countries with a GHI of 23.90. One of India's primary worries regarding food security is the impact of such large-scale government purchases of food grains on open market pricing. Identify the primary areas for PDS reform and alternative approaches for providing eligible families with food grains and subsidies in light of the current distribution system's flaws and inefficiencies. It is a positive step toward a universal right to food that ensures that everyone has access to foodgrains subsidized by the PDS. It is also said that identifying and excluding the rich would be significantly easier than doing the same for the poor. Systems of distribution, storage, accountability, and monitoring need to be implemented to guarantee minimal leakage. Decentralized procurement needs to be made available and implemented. There is a need to improve the purchase of coarse cereals and expand the procurement net to include more states. Food coupons or entitlements linked to Aadhaar cards will eliminate the need to buy and distribute more than 500 lakh tonnes of foodgrains each year, as well as the problem of diversion.

India's Food Security: Concepts, Realities, and Innovations

A article named 'Food Security in India: Concepts, Realities & Innovations' is penned by Prof. BJ Lathi and Prof. Parag Narkhede. They stated that global economic and environmental factors are having an increasing impact on food security in general. As a result, food shortages have an impact on food prices, which can worsen humanitarian crises and lead to social and political unrest. Studying the concept of food security provided in the 1996 World Food Summit's Rome Declaration on Global Food Security is preferable in this context. So, the researchers tried to understand how a global issue was impacted by food security. The Declaration of Rome declares that "food security exists when all people, at all times, have physical and economic access to sufficient, safe, and nutritional food to suit their dietary needs and food preferences for an active and healthy life." Based on similar tenets, India has implemented a range of policies aimed at ensuring food security for its populace, ranging from concerted efforts to boost agricultural output to significant market interventions designed to stabilize prices. Initiatives have also been implemented to improve public distribution of food and income-generating programs to enhance the poor's access to it. India is under investing in agriculture compared to other nations since investments are usually reduced more than other expenditures in all sectors, including agriculture, when both public and private budgets are shrinking. In the attempt to handle the load of successive economic and food crises, some bio-nanotechnology breakthroughs will greatly help food security. Thanks to bionanotechnology, agriculture will move from the era of genetically modified (GM) crops to the fascinating new realm of atomically altered creatures. The aforementioned subjects are covered in this essay's three sections: conceptual analysis, the actuality of governmental action, and advances in food security.

OBJECTIVE OF THE STUDY

- 1. To study about the Food Security and Insecurity in Indian context,
- 2. To examine Sustainable Development Goal No. 2,





Suriya Kumari and Candida Smitha

- 3. To examining initiatives taken by research organisations to promote eradication of poverty,
- 4. To find the Strategies of Food Security to achieve the sustainable development goal no. 2.

METHODOLOGY

The current study can make use of secondary data and is grounded on both qualitative and quantitative methodologies. Secondary data were gathered from a variety of official websites, including those of national and international organizations as well as journals, books, papers, and other publications.

DIMENSIONS OF FOOD SECURITY

When everyone has access to enough food at all times, there is food security.

- ✓ is reasonable, secure, and healthy.
- ✓ is socially appropriate.
- ✓ satisfies particular nutritional requirements
- ✓ is acquired for everyone in a respectable manner
- ✓ is generated in methods that are ethical and fair to society.

Security, system, and sovereignty are all components of food security. A part of the food system is...

- all those who cultivate or harvest food, including farmers, fishermen, and hunters
- energy, earth, air, and water (the physical environment) food packagers, marketers, advertisers, and processors (food industry?)
- food wholesalers and the storage facilities for food
- the modes of transportation, including cars, trucks, boats, and railroads
- locations where food is sold, such as supermarkets, markets, bakeries, farm stalls, co-ops, and restaurants
- Institutions that serve meals, such as hospitals and nursing homes
- Governments, laws, and taxes (political and economic environment), the healthcare system, labour force, labour supply, educational system, and technology (the social, educational and cultural environment)
- anybody who eats!

The sovereignty over food is.

Self-determination of peoples and communities so that they plan their own agriculture, labour, fishing, food and land policy which relates with the social labor economic cultures within ecologically sustainable concepts. The right of peoples and communities to define their own agricultural, labor, food, health and development policies; protect the commons; improve soil quality; clean water rights.

THE SEVERITY OF FOOD INSECURITY

Food insecurity and poverty go hand in hand. Food insecurity in India is also brought on by a declining pace of agricultural growth.

- One billion people are malnourished worldwide, with 800 million of them living in rural regions.
- FAO: From 2004 to 2006, there were 16% more hungry individuals in developing nations than there were in 2009.
- Food grain production in India increased from 50 MT in the 1950s to over 200 MT by 2000, but daily availability increased from 395 g to 500 g. (it fell to 445 grammes in 2006)
- Indians consume 68 kilogrammes of milk and milk products annually per person, compared to 249 kg for Australians.
- 4 Almost 200 million people are underfed despite their being a food grain supply of roughly 62 MT and an annual requirement of about 20 MT.
- The discrepancy in calorie consumption between the top and lowest thirds of the population—the top third consumes 2400 kcal—and food poverty is another factor.



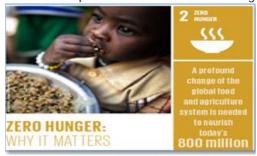


Suriya Kumari and Candida Smitha

- More than 30% of mothers and more than 50% of children under the age of six are undernourished.
- Agriculture's growth rate decreased from about 6% in 1992–1993 to less than 3% in 2006–2007. It was 0.2% the prior year.
- 4 Agriculture investment decreased from roughly 2% in 1990–1991 to 1.7% in 2004–2005.
- In rural areas, the annual job growth rate fell from 2.07% in 1987-1994 to 0.66% in 1993-2000.
- **♣** Between the farm and the table, 50% of the food is lost.
- In India as well, obesity is an issue due to junk food, a lack of exercise, and other factors.
- Agriculture is not only a victim of climate change but also a contributor to it, making it a severe danger.
- **♣** Other dangers include famine and desertification.

Sustainable Development Goal 2 ("zero hunger")

Zero hunger" is the second Sustainable Development Goal, or Global Goal. It was created in 2015 and is one of the 17 Sustainable Development Goals of the United Nations. "End hunger, establish food security, boost nutrition, and promote sustainable agriculture" was the official name. SDG 2 describes the interactions between the food system, nutrition, rural transformation, and sustainable agriculture. Over 690 million people worldwide, or about 10% of the global population, are estimated by the UN to be food insecure. That indicates that one in nine people never eats before going to bed. This includes the 20 million people who are currently under danger of starving in South Sudan, Somalia, Yemen, and Nigeria. Eight goals and fourteen "outcome targets" make up SDG 2, which needs to be met. The following are the eight "ways of attaining" targets and strategies to support them: increasing access to food and reducing the number of hungry people; eliminating all forms of malnutrition; strengthening agricultural practices and resilient food production systems; preserving the genetic diversity of domesticated animals, plants, and seeds; investing in research and technology; and removing trade barriers, market distortions in international agricultural markets, and food commodity markets and their derivatives. Following decades of reduction, undernutrition has increased since 2015. This is mostly because of the several stresses on food systems, such as the COVID-19 epidemic, the locust crisis, and climatic shocks. Due to the epidemic's stress and rising inequality, the most vulnerable individuals have also suffered from undernourishment due to an indirect decline in their purchasing power and the inability to produce and distribute food for themselves. The study estimates that by 2020, 142 million individuals will have undernourished as a result of the epidemic. Statistics on child wasting and stunting will increase as the virus spreads. Depending on the assumption of economic growth, the COVID-19 pandemic "may add between 83 and 132 million people to the total number of undernourished in the world by the end of 2020." The alarming signs and symptoms of the epidemic persuade us that there is still more work to be done before the entire world "leave no one behind" in the pursuit of a future free from hunger.



PROGRAMS AND ORGANIZATIONS

The following organizations, programmes, and funds have been established to combat hunger and malnutrition: United Nations Children's Fund (UNICEF):In more than 190 nations and territories, UNICEF strives to protect children's rights, save lives, and enable them to reach their full potential. In addition to providing social protection services that ensure children have a healthy diet, UNICEF works to end all kinds of malnutrition by expanding access to high-quality nutrition, healthcare, clean water, and sanitation for mothers and children. UNICEF issued a warning despite its best efforts, saying that in nations like South Sudan where malnutrition is a concern and





Suriya Kumari and Candida Smitha

prevention measures are insufficient, the organization will step up treatment and care going forward to ensure the survivors survive childhood and avoid long-term effects.

The Food and Agriculture Organization (FAO); is a specialised organisation of the United Nations that was founded in 1945. Aiding in the eradication of hunger, food insecurity, and malnutrition is one of FAO's strategic goals.

The World Food Programme (WFP): which was established in 1963, is the principal UN body in charge of responding to food emergencies and running global hunger-reduction initiatives. The ECOSOC page above includes reports from the WFP's Executive Board and on its yearly performance.

International Fund for Agricultural Development (IFAD)

IFAD was founded in 1977 with the goal of working with impoverished rural communities in emerging nations to end rural poverty.

World Bank

The World Bank, which was established in 1944, regularly participates in funding food-related programmes and initiatives.

United Nations Environment Programme (UNEP)

Founded in 1972, UNEP is the global organisation in charge of governing and advising on environmental concerns. Food security is one of the subjects the UNEP is now addressing.

Among the international NGOs are

Global non-profit organization Action Against Hunger (ACA) is dedicated to eradicating world hunger. In addition to giving people access to clean water to drink, wholesome food, and long-term solutions to hunger, it assists in feeding undernourished children. Founded in the United States, Feeding America is a nonprofit organization that runs more than 200 food banks. These food banks provide meals to over 46 million people through pantries, soup kitchens, shelters, and other community-based businesses. An organization called The Hunger Project (THP) works to abolish hunger on a sustainable basis. operates long-term, developing-country initiatives inspired by a particular subject, utilizing the tremendous power of rural grassroots communities to achieve family income health education and enduring benefits, spanning Latin America, Africa, and Asia.

OVERALL DEVELOPMENT AND DIFFICULTIES

While progress has been made, research suggests that over 790 million people worldwide remain hungry. Over the last 15 years, there has been real progress in the fight against hunger. In 2017 an event was organized as a side event to the High-level Political Forum on Sustainable Development "Accelerating progress towards achieving SDG2: Lessons from Country Implementation" and several ideas were discussed. It was being led by participants such as the French UN mission, Action Against Hunger and Save The Children in conjunction with Global Citizen. Starvation on the African continent will not have been eliminated by 2030! [The world needs political will and ownership from countries to make progress towards SDG 2. It will also need to approach the challenge in a way that deals with issues of gender equity, spatial disparities and extreme poverty whilst enlarging our recognition around nutrition so as integrate into political leaders. It also demands concrete action including working sub-nationally, scaling up nutrition resourcing and a relentless focus on the first 1000 days of life while moving beyond immediate causes to narrow drivers of under nutrition as well as systemic elements along the whole food system.

The WFP Hunger Map displays information about world hunger in 2019

Mention of Important Value Chains and Food Systems by SDG 2 Targets are Ignored SDG 2 targets speak to increasing agricultural productivity and incomes of small-scale farmers, but larger-scale farmers can also earn little from farming; acknowledges 'sustainable agriculture' with no mention as what sustainability entails in any great detail; ignores the health dimensions associated with such diets. Also, the data needed for SDGs monitoring may not always exist (and be tailored) to capture nuanced relationships between the numerous goals of this overall agenda—





Suriya Kumari and Candida Smitha

as a considerable share of indicators currently used in support of SDGs monitoring were never designed specifically with respect to that global framework. SDG 2: SDG 2 progress is hampered by the lack of integrated or coordinated action at all levels, from food production to consumption. Melanesia conducted the first evaluation of SDG 2's food security targets in 2021. Reviews and research have concluded that the underlying root cause of people's bad health in this region is a "global syndemic" of obesity, under nutrition, and climate change. Progress under SDG 2 has been achieved in reducing stunting and wasting, however there is still a long way to go to slow the increase of diet-related non-communicable diseases (NCDs) and achieve food security across PSIDS. Urbanisation, changing lifestyles, imported diets and deforestation are eroding traditional agricultural biodiversity as well as the knowledge of smallholder food systems for Melanesians. Transitioning from conventional diets to smart nutrition will likewise accelerate global hunger. The review highlights that the long term goals for SDG 2 should concentrate largely on forging stronger ties between agriculture, nutrition and health.

GOALS, INDICATORS, AND DEVELOPMENT

SDG 2: The UN has defined constitutional framework based on 8 targets with regards to SDG and additional indicators of the same. It need to be completed by 2020, four of those must have been finished at some point in the decade and three are without a deadline. In addition, for each goal there are one or more indicators which follow up on progress. For SDG 2 the total number of indicators equals fourteen. The six objectives are:

- a universal supply of wholesome food
- Put an end to all types of hunger
- Boost the earnings and productivity of small-scale food producers by twofold
- Resilient farming methods and sustainable food production
- Keep the genetic variety in food production intact.
- Invest in technology, gene banks, agricultural research, and rural infrastructure.
- Prevent export subsidies, market distortions, and trade prohibitions pertaining to agriculture
- Assure steady markets for food commodities and prompt information access.

Food Security Techniques

- With an anticipated 9 billion people on the planet in 2050, productivity will need to quadruple to keep up with diminishing and degraded resources. India must produce 60 MT more rice than it produces now by the year 2030. Both agronomists and policymakers must overcome a significant obstacle.
- Appropriate solutions are needed in order to address vulnerabilities and modernise moderately. These are some
 things that maybe work on this moment. food production: raise the speed of food and having crop, area specific
 yield & productivity differences in agriculture which decreases (geo-specific food security) 4-5% agricultural
 growth rate Creating an Agriculture base literacy around the nation (like farm schools, etc)
- This includes any business implications (e.g., investment management, capital expenses and costs vs. benefits), that a farmer should be alerted about. instead: circular economy + organic manure (using biofuels, etc.), without synthetic pesticides and tackling the GM seed issue) = enhanced biodynamic farming.

Post-harvest management is required in agribusiness

We need innovative bold agri-entrepreneurship strategies for all-around success of not just crop management but also food management, knowledge and quality as this is what will determine the pace. For example, how should agrientrepreneurship be developed within the greater context of social entrepreneurship that is nested on an environment-enterprise nexus building and managing natural (and in this case as well as with scarce examples material) capital. The right solution is that economic and political decisions must serve farmers, the environment, and democracy. making sure institutional reforms align with technological changes (eg. cheaper and cleaner energy technologies). The ultimate objective is 100 per cent financial inclusion as well: minimising agrarian distress and aiding in risk mitigation on-farms & off-farms, including the move from micro to macro financing with access of intermediation. This should be de-politicised and it may also need to remain a BEAUCRACY for sometime till the nation gets larger doses of bureaucracy immunisation. Growing civil society initiatives to improve production, management, delivery and research on stewardship of food in good governance





Suriya Kumari and Candida Smitha

2nd Green revolution + Gramme (village) revolution → gene revolutions

Highlighting these include scoping financial inclusion focusing on reach, dealing with poverty as an insecurity issue and new commercial opportunities for self-help organisations (SHGs)creating composite indicators of livelihood and food security (from production to aquisition, entitlement). And from the realization that both intellectually and in practice, policy making can never exist on its own. It is interdisciplinary and links in various social, economical, ecological and developmental facets that are pertinent to the economy as a whole but more importantly food security.

OBSERVATION AND CONCLUSION

We need food security of the individual, household food securities united at district level to feed into national and global. The Agriculture Department needs to promote an Integrated Farming System (IFS) for uplifting crop yields in India. The environment aside, the other issues include food vs. productive (healthy) food ethnic influences etc. No food security policy should be created at the cost of a farmers dignity. Notice being given for food security bill into some regions is being introduced the partnership of private-public. All food security actors must cooperate and articulate their goals. Disbursement of treadle pumps will, however create untapped resource development so as to change the course for low-income farmers and those who have lacked land lease rates in the agriculturally-lean eastern and north-eastern regions. This way, in the water-abundant areas of both countries, millions of microeconomics units will be developed that ensure use for sustainable management and livelihood. Low-cost watersaving devices will allow even the poorest sections of communities to engage in irrigated agriculture with almost no water, such as farmers producing \$2 tomatoes under plastic multiple kilometres away from trees - a demonstration project focusing on permanence means that people are left untouched (they remain unperturbed) and 30 land-based projects enrich more every week. We need to create and make plans in such a way that more bio-fertilizers are used, the method of farming should be sustainable. Small and marginal farms can be offered subsidies to adopt biogas plants as well as modern composting techniques. Community-based programmes for enhancing biomass production from common property wastelands can also be looked at. Training oriented extension activities are required on efficient composting, organic farming practices; biogas and low cost water saving technology is carried out. Further research in agriculture should not only be converted one from supply side to demand oriented by integrating physical and socioeconomic conditions because of the boundaries involved as facets. By reducing the aggregate demand face of water in agriculture, these reforms to make private and tradable some rights about controlling who gets how much water from public reservoirs — together with using farmers' right-based groundwater powers on those reserves for good or rental reasons - have significant potential to change farm outputs. It will also bring more access and control over the groundwater as well as managing dopa irrigation waters for food production and household foods security.

REFERENCES

- 1. https://www.researchgate.net/publication/288046176_Food_security_in_India_Trends_patterns_and_determina_nts_
- 2. https://www.semanticscholar.org/paper/Food-Security-and-Nutrition-%3A-Vision-2020-Radhakrishna-Reddy/3a2f8512693980d418d8bf1600b1e69a01e17006
- 3. https://www.researchgate.net/publication/261890931_Food_and_Nutrition_in_India_Facts_and_Interpretations
- 4. https://www.researchgate.net/publication/331523537_FOOD_SECURITY_IN_INDIA_ISSUES_AND_CHALLEN GFS
- 5. https://www.researchgate.net/publication/228096218_Food_Security_in_India_Concept_Realities_innovations
- Anil Rai, S.D. Sharma, Prachi Misra Sahoo and P.K. Malhotra (2008). "Development of LivelihoodIndex for Different Agro-Climatic Zones of India", Agricultural Economics Research Review, Vol. 21, No. 2, July-December 2008, pp. 173-182.





Suriya Kumari and Candida Smitha

- 7. Anjana, Menon (2011). 'Foodsecurity to be this decade's biggest worry", January 03. Available at http://www.rediff.com/business/report/food-security-to-be-biggest-worry/20110103.htm (accessed 12/01/11).
- 8. Bhartiya, Abhishek, Ashvind Ratnakaran, B. Aditya Mohan, Bharat Banga Debarshi Ray, Shantanu Sethand Shaikh Wasim (nd). "Food Security in India", http://www.scribd.com/doc/18009143/Food-Security-in-India (accessed 10/11/11).
- 9. Dewbre, Joe (2010)., Food Security", OECDObserver, No. 278, March, pp. 22-23.
- 10. Hans, V.Basil (2008). "Agri-Businessand Rural Management in India— Issuesand Challenges", *Social Science Research Network*. Availableat http://papers.ssrn.com (accessed January 20, 2011).
- 11. Hans, V. Basil (2009). "Food Security and Sustainable Development in India: Concerns and Challenges", *Proceedings of Food Security and Sustainability in India*, National Seminar on Food Security and Sustainability in India, Amritsar, pp.59-64.
- 12. Hans, V.Basil (2010). Sustainable Agriculture and India—Dimensions and Directions. In Rasure, KA (ed.), Sustainable Agricultural Development, Oxford Book Company, Jaipur.
- 13. Mehta, AditiT. (2010)., The Vicious Cycle of Hungerand Poverty: Quo Vadis", *Commodity Vision*, Vol. 4, Issue 2, Septembe r,pp.122-126.
- 14. P.KiranKumar, V.BasilHansandJayasheela (2009). "Indian Agriculture: Crisesand Challenges under Globalisation", *Social Action*, Vol. 59, No. 1, January March, pp. 106-114.
- 15. Serrao, Manohar, V., A.H. Sequeira and V. Basil Hans (2011). Designing a Methodology to Investigate Accessibility and Impact of Financial Inclusion. Paper accepted for presentation at the International Conference on "Innovation and inclusion in Banking: Issues, Strategies and Options", Kannur University, Kerala, February, 3-4.
- 16. Sheth, N.R., (2010)., The Social Context of Entrepreneurship", The Journal of Entrepreneurship, Vol. 19, No. 2, pp. 99-108.
- 17. Srivastava, Swami Prakash (2009). "Food Security and Sustainability of Agriculture in India", Proceedings of Food Security and Sustainability in India, National Seminar on Food Security and Sustainability in India, GAD institute of Development Studies, Amritsar, pp. 185-196.
- 18. Ahluwalia, M.S. 1978. Poverty and Agricultural Performance in India. *Journal of Development Studies, Vol. 14*; p. 298-323.
- 19. Ahluwalia, M.S. 2000. Economic Performance of States in Post Reform Period. *Economic and Political Weekly*. Special Article, May 6.
- 20. Banik, D.1997. Freedom from Famine: The Role of Political Freedom in Famine Prevention. Centre for Development and the Environment, University of Oslo. Dissertations and Theses, No. 4/97.
- 21. Ballabh,V.;M.D.Kumar;andJ.Talati.1999.FoodSecurityandWaterManagementinIndia:ChallengesfortheFirstQuarterof2 1stCentury.PaperpresentedattheNationalSymposiumonBuildingandManaging Organisations forRural Development heldatInstitute ofRural Management, Anand.December13-14.
- 22. Behr, C.and G. Naik.nd. *Applying Micro-Irrigation in the Himalaya: A Case Study on IDE's Experience*. http://www.mtnforum.org/resource/library/behrx99a.htm.
- 23. Brewer, J.; S. Kolavalli; A.H. Kalro; G. Naik; S. Ramnarayan; K.V. Raju; and R. Shakthivadivel. 1999. *Irrigation Management Transfer in India: Policies, Processes and Performance.* Ne wDelhi and Culcutta: Oxford & IRH
- 24. CentralGroundWaterBoard(CGWB).1995. *GroundwaterResourcesofIndia*. MinistryofWaterResources. NewDelhi: Government of India.
- 25. DrV.BasilHans (2011) FoodSecurityandSustainabilityinIndia, NationalSeminaronEmergingTrendsinEntrepreneurialDevelopmentSponsoredbyUGC,New Delhi, Organised by Dept of Economics, Besant Women's College, Mangalore, Karnataka(INDIA)On January 22,2011, https://www.researchgate.net/publication/228118972
- 26. Indiafacesironiesasextremeasitsdiversities. Foraparadoxinfoodfrontsee, C.H. Hanumantha Rao, *Agriculture, Food Security, Poverty and Environment*, Oxford University Press, 2006.
- 27. https://www.google.com/search?q=sdg+goal+2+image&oq=SDG+goal+2+&aqs=chrome.3.69i57j0i512l6j69i60.276 58j0j7&sourceid=chrome&ie=UTF-8





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Critical Examination of Mahila E Haat and National Rural Livelihood Mission Schemes - A Game Changer in the Financial Empowerment of Rural Women of Tumkur District, Karnataka

T V Sharada^{1*} and Nirmala M²

Research Scholar, School of Management Studies, Bengaluru City University, Bangalore, Karnataka,

²Professor, School of Management Studies, Bengaluru City University, Bangalore, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

T V Sharada

Research Scholar. School of Management Studies, Bengaluru City University, Bangalore, Karnataka, India Email: tvsharada@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This paper critically examines the MAHILA E HAAT initiative and various schemes of National Rural Livelihood Mission (NRLM) which have created transformative change in financial empowerment among rural Women Entrepreneurs(Tumkur District, Karnataka.). This paper analyzes the effectiveness, problems as well constraints of these schemes and also to examine extent they can act as a change agent in improving women's life particularly rural. The analysis of the implementation, results and feedback from different stakeholders will thus seek to provide useful information did needs response on how these initiatives can foster de empirical landscape Forward while empowering women in this particular region.

Keywords: Economic Independence, Economic Empowerment, Financial Empowerment, National Rural Livelihood Mission, Poverty Alleviation.

INTRODUCTION

Rural women play an important role in the socio-economic fabric of India and their economic independence is one of the main drivers for national development. Against this backdrop, the significance of MAHILA E HAAT initiative and NRLM schemes at reclaiming rural women for Tumkur District (Karnataka) women economic status are explored.





Sharada and Nirmala

Background

As a predominantly rural district, Tumkur reflects the complex intricacy of challenges and opportunities interwoven into lives of its fairer sex. Projecting power of financially independent women in transformative community development, the launch MAHILA E HAAT and NRLM schemes are powerful narratives.

Significance of the Study

Getting knowledge of these schemes is very important to know their actual effect on the ground where Rural Women are residing. This study hopes to unravel some of these characteristics beyond quantitative assessments, particularly in terms of understanding the qualitative side of empowerment and learning from experiences — which may inform future ways forward for beneficiaries as well a wider efficiency enhancement involving such initiatives.

Objectives

- 1. To research on dependency and development levels (financial) among the rural women in India
- 2. To assess the extent of Mahila E Haat in Creating Entrepreneurial opportunities for rural women.
- 3. What role do the NRLM schemes play in empowering women financially in villages of Jonawada Constituency?
- 4. Implementation challenges of MAHILA E HAAT and NRLM schemes?
- 5. How much game changing are these initiatives in bringing economic empowerment among the women of rural areas.

METHODOLOGY

The study uses an integrated research approach, exiting collection data from multiple sources such as reports, documentation and interviews with key stakeholders. For the assessment of impacts and effectiveness, qualitative analysis will be adopted in MAHILA E HAAT and NRLM schemes. Study involves synthesis of data from collected Secondary data through reports, documentation and stakeholder feedback related to MAHILA E HAAT and NRLM schemes.

The importance of financial empowerment on overall development of rural women in India.

How financial empowerment is significant towards the holistic rural women development in India and way forward. Some of the significant aspects to throw light on regarding financial empowerment are:

- **Economic Independence:** Financial empowerment helps to equip women in the rural area with a means for making money on their own so that they will not continue asking people around them as such reducing dependency and opening up an economic independence. This empowerment gives them the ability to make decisions around money, earn part of their own income and work towards living a life on their terms.
- Poverty Alleviation: Rural women are able to experience a reduction in poverty through their engagement in
 income-generating activities and accessing financial resources, which they can infuse into their households and
 communities. Financially empowering families opens the door to ensure that entire communities are lifted out
 of indigence.
- Education and Skill Development: Women who are financially empowered often think about investing in education & skill development for themselves as well as their children. This helps in building up human capital in the community, having a positive impact on future generation and breaking intergenerational cycle of poverty.
- **Health and Wellbeing**: More resources of money means better health conditions, access to healthcare facilities, nutritious food intake etc. When women are financially empowered, households allocate money for health and healthier families result in healthier communities.
- Entrepreneurship and Livelihood Opportunities: It enables rural women to start small businesses or entrepreneurial ventures. Not only that it lifts up their economy but also helps in the local economic development and locals are getting employment.





Sharada and Nirmala

- Women Empowerment and Gender Equality: Access to finance is the essential driver for women empowerment as a whole. This gives girls the self-assurance and confidence to take part in decision-making, defy gender norms & stand up for their rights. This, in turn helps to create more gender equitable families and communities.
- **Community Development:** Economically empowered women are known to give back in productive ways by helping improve local infrastructure, assist with education and be a part of social projects beneficial for community. These combined efforts contribute towards complete rural development.
- Resilience to Shocks: The women who are financially empowered will be able stand against sudden economic shocks and uncertainties. AJ: What we know from research is having savings, access to credit and multiple sources of income will insulate families against any kind of shocks that come their way which ultimately makes them more resilient.
- Access to resources/market/opportunities: Economic empowerment improves access for women to be able goouts and as well focused group discussions. Empowerment refers to the increased participation of women in economic activities beyond traditional roles, which makes them broadened and result an important stimulant for overall community development.
- Social and Cultural Transformation: As for as the social perspective is concerned; when a community starts earning money with their own potential lots of positive changes occur in society. Women engage in compensation for their work, it changes society to know that women make a significant contribution and the community emancipates.

The impact of Mahila E Haat in fostering Entrepreneurship among rural women. Entrepreneurship Promotion

- Impact: A platform for rural women to display & sell their products, promoting entrepreneurship.
- **Good result:** This platform can be useful for women to introduce local handmade and traditional businesses(artisans) with the main aim of selling their products (local, handmade or artisan).

Market Access

- Impact: Women entrepreneurs can access a broader market online from local communities.
- **Good result**: improved access to women-owned products, which facilitates exposure and transactions between producers/suppliers of business opportunities.

Financial Independence:

• Impact: Economic empowerment of women — through enabling her to earning income from their entrepreneurial ventures. So a man who earns in the region of €250,000 can afford children and support his wife if she choses to stay at home (but obviously think about putting your eyesight onto optimum fertility) but as it takes two years from fat sperm trying again after an "accident" decides what you're doing with him during maternity leave seems like you've got everything mixed up.

Skill Development

- Result: Skill Development, Creativity amongst Women.
- **Positive Outcome:** As a result, women not only acquire economic skills but also get business acumen, how to market their products and financial management which builds on the entrepreneurial capabilities.

Diversity of Products:

- Impact: Mahila E Haat works towards diversifying the product base of women entrepreneurs.
- **The Good News:** This diversity breeds innovation and provides that women have access to all market segments, meeting different consumer needs.

Networking and Collaboration:

• Impact: The platform allows women entrepreneurs to connect and collaborate with each other.





Sharada and Nirmala

• Collaborations can also give rise to shared assets, partnerships and a positive atmosphere which is great for business thriving.

Cultural Preservation:

- Impact: Mahila E Haat Sale of handmade and traditional products are promoted through this initiative.
- **Positive Result:** This leads to the Conservation and dissemination of cultural heritage, for women in turn creates; Content that helps preserve traditional workers.

Increased Visibility

- Result: Provide women entrepreneurs at national (and global) level visibility.
- Impact: More visibility = more customers/clients and increased presence in market for women entrepreneurs.
- **Positive Outcome:** Greater discoverability creates an opportunity to reach a larger demographic resulting potential increase revenue & marketplace currency>.

Capacity Building

- Action: Empowerment of women entrepreneurship under Mahila E Haat can solve the capacity problem.
- Benefits: Market Reach, customer and business feedback become an opportunity to hone relevant skills for better negotiating in the world of Business female entrepreneurs. It should be remembered that the extent of Mahila E Haat in fostering entrepreneurship among rural women may differ from region to regions and community to communities. Measuring the effectiveness of the program would include tracking how many more women-led businesses have started, changes in incomes and overall economic self that participating has entailed for these other but by no means all women. There is also a much more detailed information available through official reports, case studies or evaluations of Mahila E Haat) that can provide an accurate and updated picture.

The financial independence of rural women in Tumkur District; A case study: NRLM Schemes Overview of NRLM

The National Rural Livelihood Mission (NRLM) is a flagship programme of the Ministry of Rural Development, Government of India being implemented across all states by taking into account local socio-economic factors.

Implications for Financial Inclusion

Livelihood Promotion:

- Target: Improving livelihood opportunities for rural women.
- **Mechanism:** The NRLM supports a range of livelihood activities including skill development, enterprise training and access to credit so that women in rural areas are able to set up income generating units and sustain.

Self-Help Group (SHG) Formation

- Aim: Cultivating community power and economic empowerment
- **Mechanism:** NRLM works on the formation and capitalization of SHGs to enable resource pooling, credit access, combined ventures by women resulting in improved fiscal security.

Credit and Financial Services:-

- Principle: Regulate to expand financial inclusion and use of credit.
- Function: NRLM is intended to provide the poor, rural women direct access to micro-credit and savings alongside insurance facilities for empowering them with funding of different items, both consumable as well as non-consumables.

Skill Development and Capacity Building

- Aim: Improve income-generating capacity
- **Mechanism:** NRLM aims to empower rural women by offering skilling programs where they are trained in a variety of trades and crafts which helps them be employed and earn an income.





Sharada and Nirmala

Enterprise Promotion

- Objective: Encourage creation and expansion of entrepreneurship and enterprises.
- **Mechanism:** NRLM promotes and supports rural livelihoods for women by setting up or expanding businesses which result in higher household income generation ensuring self-dependence.

Market Linkages

- Facilitate market linkages for rural producers.
- The goal is that NRLM needs to be robust mechanism with appropriate policy enablers in place so as rural women produce sustaniable products provide them away of life line for selling the same at a reasonable price by entering dealers / retailers giving viable and increased income under product trading post sale.

Social Movilization and power

- Objective: To make the advancement of rural women in social,.
- Mechanism: NRLM promotes social mobilization and empowerment interventions that would require women to
 play a leading role in the decision-making processes, thereby scale up inclusive participation particularly from
 gender lens for development.

Natural Resource Management and Sustainable Agriculture

Objective: To increase sustainable agriculture practices.

Procedure: NRLM promotes organic agriculture and sustainable management of natural resources among the women beneficiaries who engage in rural productive activity. The NRLM schemes can be added to this during the time of implementation such as new being implemented now or planned by GoK in future Learn more For up-to-date and detailed information on how individual NGOs do financial empowerment for rural women, please refer that official report; district level NRLM document go Debug (Provide) source etc Rather than giving state wise funds received from MGNREGAS it is best course if while communication via Channel iGuess-income-increment-policy, one should ask them: Based on materials that we have reviewed you are also implementing. Which all? Why not include hereLet's get specifics regarding what your organization does towards doing bank accounts for Women want might financially empowered (mastered defining Income Increment Policy clearly)?

What are the challenges faced in implementation of MAHILA E HAAT and NRLM schemes?

Specific challenges may differ with respect to the local context as well as on a case-to-case basis but some common issues faced while implementing under schemes such MAHILA E HAAT and NRLM scheme are:

Challenges in MAHILA E HAAT

Limited Digital Literacy

- **Challenge:** most women, especially in rural areas do not have access to and familiarity with digital technologies that prevents them from being involved into an online marketplace (MAHILA E HAAT).
- **Result:** Extensive digital literacy programs can be weaved in to add value and touch upon various parts of the ecosystem.

Logistical Constraints

- **Challenge:** As in any remote area, there might be challanges with logistics (delivery of goods and product transportation).
- **Problem**: There is a need for efficient delivery mechanisms and solutions to overcome logistical bottlenecks, which would make the platform more functional.

Product Quality Assurance

• **Challenge:** It is difficult to monitor quality of the products on sale and question may be raised for satisfaction level by customer & credibility by MAHILA E HAAT.





Sharada and Nirmala

• **Solution**: To overcome this issue, quality control measures have to be taken and working on product standard training with certification processes.

Market Competition

Women entrepreneurs are increasingly entering into e-commerce, but being seen in the online market and shining among its products is another challenge.

The way to introduce those extra features is marketing support, branding assistance and how the product be introduced in program.

Challenges in NRLM Schemes

Limited Access to Credit

- **Problem:** While expanding credit can create an access banks might not in those places, some women face additional hurdles to qualify for loans.
- **Solution:** This problem can be addressed by increasing the financial linkages, imparting literacy about finance and augmenting accessibility to banking facilities.

The Lifetime of Livelihoods

- Challenge: One concern will be how livelihoods created through NRLM can bemade economically viable in the long-run.
- **Solution:** including market linkage strategies, sustainable livelihood practices and long-term support and mentorships can help make sustainability profits.

Social and Cultural Barriers

- Challenge: Women maybe prevented from participating in economic activities due to entrenched social and cultural norms.
- **A potential solution:** programs to deconstruct these hegemonic masculinities and promote gender neutral practices through the use of sensitization, community engagement and awareness.

Monitoring and Evaluation

- **Hurdle:** Monitoring and evaluation of the impact from NRLM schemes require reliable systems, which may not be functional in several areas.
- **Resolution:** The issue can be addressed through the facilitation of adaptive management wherein, a system is strengthened with monitoring and evaluation mechanism using technology along with active participation from local community in assessment process.

Infrastructure and Connectivity

- Challenge: Absence of appropriate infrastructure, lack of connectivity in rural areas can hinder smooth implementation of NRLM.
- Answer: Investment in rural infrastructure such as roads and communication networks improves overall
 connectivity / accessibility. Overcoming this challenge will entail a comprehensive response, including
 cooperation of government agencies with NGOs and local communities to implement the MAHILA E HAAT
 programme as well as schemes like NRLM in an effective manner.

But to what extent are these initiatives game-changers in empowering rural women economically?

The game-changer in improving the economic condition of rural women or designed impact through schemes such as MAHILA E HAAT and NRLM would depend on program efficacy, local conditions, community involvement etc. We explores the most important factors when considering each here:





Sharada and Nirmala

MAHILA E HAAT

Market Access and Visibility

- **Potential for Impact:** MAHILA E HAAT is projected to enable rural women, artisans etc sell their products and services by releasing them from the limit of local reach.
- Game-Changer Factor: The platform has the potential to raise rural women out of poverty and into better economic situations by opening them up to a larger customer base granted that it is promoted well enough, creating other ways for customers in order convenient services Board Members participated more actively diff. Have there been entrepreneurial opportunities?

Description of Impact

Making women entrepreneurs by selling handmade, handicrafts and traditional art products. Why MAHILA E HAAT is a Game-Changer: With this rise in Internet usage, it will be instrumental to accelerate entrepreneurship and provide women an outlet to use their skills & creativity for economic rewards.

Financial Inclusion

Potential Impact: Online transactions, the golden rule within e-commerce is that you should ease on of these to conduct.

Game-Changer Factor

MAHILA E HAAT can be a game-changer, if successful in breaking the barriers for hundreds of rural women to have financial access and inclusion hence managing their finances better.

NRLM Schemes

Self-Help Groups (SHG) & The Power Of Collective Block adenone

- **Potential Impact**: NRLM lays great stress on the formation and building up of SHGs, thereby promoting collective savings approach as also participation in decision-making.
- **Game Changer Factor:** As far as women are concerned strengthening of collectives can be the game changer on economic empowerment, so that they could mobilize themselves economically to get better terms in resources/economic activities.

Livelihood Diversification

Probable Development Outcome

Diversification of livelihoods through skill development, and enterprise promotion

Game Changer Potential

NRLM also has the potential to be a game changer in that rural women can diversify their income sources, reduce dependence on one livelihood and improve overall economic resilience.

Creating Market Linkages and Integration of Value Chain

- Potential Impact: Linking ral producers to Markets & value chain interventions.
- The Game-Changer: With a win, NRLM has the potential to completely change the face of rural women by providing them access to wider markets and ensuring genuine prices for their products.

Sustainable agriculture and natural resource management

Please explain the overall significance Public Awareness: Promoting sustainable agriculture as well in natural resource management.

A Energizing Change

NRLM has the potential to be a game-changer by harnessing and empowering women at rural areas in resource hay practices thereby ensuring long term economic as well environmental sustenance.





Sharada and Nirmala

Financial Services and Credit

- Expected Impact: Increase in financial and credit services thereby improving the standard of living.
- Game-Changer Factor: If implemented as it should, then the NRLM has a gamechanger in addressing one of its fundamental challenges from poor access to credit, which is empowerment for rural women to invest and start livelihood enterprises. To be real game-changers, these endeavours must respond to the myriad realities of diverse communities they serve; celebrate women as active participants in this journey and invest widely into enabling policies and infrastructure development. There is a strong need of constant monitoring, evaluation and adapting as per feedback from ground to ensure sustenance of empowering economic status women residing in rural areas.

CONCLUSION

MAHILA E HAAT & National Rural Livelihood Mission (NRLM) join hands to complement rural development processes in the hitherto most backward District of Tumkur in Karnataka, weaving a narrative that glorifies every woman — who is central to grassroot carrying capacities everywhere. Conclusions On Closing These Examples: This exhaustive analysis of these initiatives makes it possible to reach several key conclusions which we discuss as parting thoughts. MAHILA E HAAT and Entrepreneurship Among Rural Women — A Multifaceted Impact Acting as a virtual marketplace, Splatterss has ushered in entrepreneurship by allowing women to exhibit and sell their products. As a result, there has been more money flow new skills and traditional crafts have not died. However, there have been observed challenges of digital literacy and logistical constraints that requires targeted interventions to maintain success. Contrarily, NRLM has been instrumental in the promotion of entrepreneurship through the formation and nurturing of self -help groups (SHGs) as well as various livelihood activities. SHGs have promoted a sense of togetherness among women which provides them strength; collectively they can access credit, become engaged in joint ventures and help each other participate stronger within the decision making process This diversification of livelihoods has made women more resilient towards economic and uncertain shocks. Financial Inclusion and Empowerment of Rural Women in Tumkur District through MAHILA E HAAT and NRLM It has also helped for easy money transactions and financially managed by woment SHGs through MAHILA E HAAT's online platform. The aim of NRLM to provide credit, financial services and skill development has enabled women in taking informed decisions towards finance reducing the bottlenecks that arise with low levels of access.

The examination of these two cases brings to surface a series of challenges faced by both. The MAHILA E HAAT faces challenges concerning the digital literacy issues, logistical constraints and product quality assurance. NRLM grapples with problems like limited access to credit, viability of livelihoods, and social/cultural barriers. A critical aspect of the way forward is to acknowledge these challenges in order to come up with strategies and interventions that are contextualized for Tumkur District. The other hand, the exam also emphasized the positive initiatives of these hands on a total community development. MaDHILA E HAAT and NRLM not merely ensure in completing financial independence at the individual level but helps to develop community development concurrently. Together, the saving of cultural heritage and healthcare, education and other facilities like infrastructure improvements have helped changed for a better outcome in this part. In conclusion, it can be derived from the above analysis that MAHILA E HAAT and NRLM have managed to get a large ground but there are some areas which still need attention. Recommendations: Tailored digital literacy programs; Logistics Cutting down the red tape and ecommerce system improvement for MAHILA E HAAT, Targeted interventions to improve credit access and sustainability of NRLM. Enhanced monitoring and evaluation efforts should make sure that they are linked to community insights, leading to sustainable actions. In conclusion, both these programs MAHILA E HAAT and NRLM have become the agent of change in Tumkur District toward economic empowerment for women. As stakeholders and policymakers continue in to the journey, will these insights be their compass leading towards a more inclusive sustainable development for these women who are making Tumkur's traditional rural economy vibrant.





Sharada and Nirmala

REFERENCES

- 1. Biswas, T. K., & Kabir, M, Measuring women's empowerment: Indicators and measurement techniques. Social Change, 34(3), 2004, pp 64–77.doi:10.1177/004908570403400305
- Empowerment and Poverty Reduction: A Sourcebook, World Bank. Retrieved fromhttp://siteresources.worldbank.org/INTEMPOWERMENT/Resources/4863121095094954594/draft.pdf, 2002
- 3. Malhotra, Anju & Ruth Schuler, Sidney, Measuring Women's Empowerment as a Variable in International Development. Measuring Empowerment: Cross Disciplinary Perspectives, 2005
- 4. Nayak, Purusottam and Mahanta, Bidisha, Women Empowerment in India (December 24,2008). Bulletin of Political Economy, Vol. 5, No. 2, pp. 155-183, 2012.
- 5. Sorsa, P, Raising the Economic Participation of Women in India: A New GrowthEngine?.doi: 10.13140/RG.2.1.3230.7282, 2015
- 6. Sujatha Gangadhar CH, Malyadri P, Impact of Microfinance on Women Empowerment: AnEmpirical Evidence from Andhra Pradesh. J Entrepreneur Organize Manga 4: 141.doi:10.4172/2169-026X.1000141, 2015
- 7. Uma Devi, R, An Evaluative Study on Empowerment of Women in India. International a Journal of Innovative Research and Development, 2(8), 2013, pp 245-255.
- 8. Women and Sustainable Development Goals (U.N Women Africa) Retrieved fromhttps://sustainabledevelopment.un.org/content/documents/2322UN%20Women%20Analysis%20on%20 Women%20and%20SDGs.pdf http://nari.nic.in/
- 9. Jarinaa et al. (2023). A Study on Chat GPT and its User Impacts in Current Scenario. International Journal of Emerging Knowledge Studies. 2(4), pp. 99-107.
- 10. Kanagavalli et al (2020), Challenges and Opportunities to Improve the Livelihoods of Smallholder Farmers in Tamilnadu, Journal of Shanghai Jiaotong University 16 (07), 312-321
- 11. Mahalinga. (2014). Women's Empowerment through Panchayat Raj Institutions. Indian Journal of Research: Vol. 3. Issue 3.
- 12. Rouf Ahmad Bhat (2015), Role of Education in the Empowerment of Women in India, Journal of Education and Practice, ISSN 2222-1735, ISSN 2222-288X (Online), Vol.6, No.10, 2015
- 13. Santosh Rupa. Women Empowerment through Microfinance- An Empirical Study of Women Self Help Groups in Tumkur District, Karnataka. Int. J. Ad. Social Sciences. 2017; 5(4):253-264.
- 14. Arumugam et al (2020), Corporate social responsibility (CSR) activities on rural sports and para sports in Tamilnadu, Journal of Xidian University 8, 921-928.





RESEARCH ARTICLE

Impact of Disruptive Technologies on Organizational Effectiveness of Information Technology Industry

Soumya S Nair^{1*}, Josephine Rebecca M¹ and Ayman Kauser²

¹Assistant Professor, Department of Commerce, Mount Carmel College (Autonomous), (Affiliated to Bengaluru City University), Bengaluru, Karnataka, India.

²Teaching Assistant, Department of Management, St. Joseph's University, Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Soumya S Nair

Assistant Professor, Department of Commerce, Mount Carmel College (Autonomous), (Affiliated to Bengaluru City University), Bengaluru, Karnataka, India.

Email: soumyanairmccblr@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The utilization of digital transformations and blockchain technology is crucial in enabling economic progress. This study is highly significant as its objective is to analyze the impact of disruptive technologies on the expansion of information technology (IT) firms in India. The aim of this study is to offer significant insights into the comprehensive performance of the Information Technology (IT) sector. To accomplish the study's aims, a systematic and comprehensive literature review is performed. Disruptive technologies have been seen to augment productivity, boost quality, and streamline usability inside organizational contexts. This phenomena can be attributed to the inclination of disruptive technology to automate manual processes, optimize operations, and eliminate inefficiencies. Moreover, the application of these technologies might lead to improved quality, hence potentially fostering higher levels of consumer satisfaction and loyalty. This study offers valuable insights for managers and decision-makers in these organizations, allowing them to identify specific areas where disruptive innovations can have a significant impact on important performance metrics, such as revenue growth, market share, and customer satisfaction. The results of this study might significantly contribute to the development of a theoretical framework that efficiently utilizes disruptive innovations to improve corporate performance in the IT industry in India.

Keywords: Disruptive technologies, organizational effectiveness, Information technology, Industry 4.0





Soumya S Nair et al.,

INTRODUCTION

The importance of Industry 4.0 in the Indian economy should not be underestimated. India, a rapidly developing nation, has a great predisposition towards embracing technological advancements to boost its economic growth and global competitiveness of Industry 4.0, with its focus on automation, artificial intelligence, and data analytics, has the potential to bring about major transformation in several sectors in India, such as manufacturing, healthcare, agriculture, and logistics(Viraj Vijay and et al, 2019). Indian IT firms possess the capability to make a substantial impact on India's digital revolution and economic progress by employing innovative concepts and establishing reliable assessment mechanisms to analyze crucial performance indicators (Rawat, Pratish & Purohit, Jayant, 2019). Furthermore, the use of Industry 4.0 technologies has the capacity to improve production and efficiency in these sectors. Within the realm of manufacturing, automation possesses the capacity to optimize production processes, mitigate mistakes, and bolster overall quality control. Artificial intelligence (AI) can have a substantial impact on the healthcare industry by aiding in the early identification of diseases and enabling personalized treatment methods(Hoyer, W. D.,et al . 2020). Moreover, the application of data analytics has the capacity to enhance agricultural operations, leading to higher crop output and more efficient allocation of resources. The full implementation of Industry 4.0 has the capacity to position India as a significant player in global innovation and propel its economic progress on the world stage(Cirillo, V., e al, 2023). Disruptive innovations refer to the introduction of new and unique products or services that cause a significant and fundamental change in an industry or market(Si, S., & Chen, H., 2020). Often, these technological improvements provide significant difficulties for established businesses and their operational structures, forcing them to adapt their tactics or risk becoming outdated(Palmié, M., et al., 2020). Disruptive innovations have become highly significant in the IT sector in India, considering the industry's rapid and sustainable expansion. The assessment of the efficacy of disruptive technologies is largely dependent on crucial performance metrics, such as revenue expansion, market dominance, and customer satisfaction(Coccia, M. 2020). This research aims to investigate the impact of disruptive technologies on the overall performance and competitiveness of certain IT firms in India by analyzing key characteristics(Wang, C., et al, 2023). The importance of digital transformations in promoting economic prosperity is significant. This study is significant as it aims to assess the influence of disruptive technologies on the growth of information technology (IT) companies in India(Antonio, J. L., &Kanbach, D. K. 2023). This research seeks to provide valuable insights into the overall performance of the IT industry by evaluating crucial performance indicators, such as Productivity, customer happiness, Quality, and ease of use, in connection to the influence of disruptive technologies.

RESEARCH METHODS

The study aims to examine the influence of disruptive technologies on the organizational effectiveness of the IT sector. Consequently, the investigation employs a methodical process that requires analyzing secondary data, resources, and previous investigations. Several academics have asserted that performing a systematic or semi-systematic literature review, together with analyzing secondary data, might enhance researchers' understanding of the topic under examination. This technique guarantees that the study is grounded in empirical or empirically validated data, since it is the sole approach that facilitates the identification, analysis, comprehension, and synthesis of the ways in which artificial intelligence has modified and influenced education and its outcomes. This study employed a systematic literature review approach to analyze previous scholarly works that were pertinent to the research inquiries under investigation. The articles were sourced from reputed journals and were scrutinized to determine the level of quality exhibited by each study. Elsevier database, Routledge and CRC Press Taylor and Francis database. Emerald Group Publishing database, Springer Nature database and Sage database. Several supplementary articles were acquired from reputable academic databases such as Wiley, Academia, JSTOR, and Guildford Press





Soumya S Nair et al.,

RESULTS AND DISCUSSION

In November of 2008, Satoshi Natamoto published the popular paper "Bitcoin: A Peer-to-Peer Electronic Cash System" under the pseudonym Satoshi Natamoto. The idea of using cryptography and TCP (internet) to allow a distributed currency system without a central or regulating authority was first proposed in this article. In terms of technology, block chain is a large database that is distributed/shared by users in order to maintain data consistency. Rather than being handled by a single entity, this database is managed by a group of people, or all peers in a network. Asymmetric cryptography, also known as public key cryptography, is used to complete the exchange using a pair of digital keys. This is so for all types of block chain databases. States (a snapshot of asset/value ownerships) and transactions that change the state make up a block chain ledger. Bitcoin is an example of a stateless protocol that consists solely of transactions. Some protocols just keep track of the most recent condition and transactions. Both block chains, however, have transactions stuffed into blocks with other data such as the hash of previous blocks' header to guarantee that the block chain has not been tampered with. When two parties agree to transact, they send their transaction to the network over TCP and it becomes part of a nominee block(Chege, S. M.,et al .2020). To verify transactions, permanently record the candidate block in the block chain ledger, and guarantee that all nodes obtain the most recent version of the ledger, the protocol requires a consensus mechanism. Three criteria direct block chain implementations: trust vs. no-trust, public vs. secret, permissioned vs. permission less. With the increased use of cloud technology by various industries and organizations, the cost of implementing block chain has decreased. Now, all digital contracts and peer-to-peer data can be stored in cloud platforms, where storage costs are significantly lower, and all cloud providers are attempting to promote their offerings in respective cloud to give organizations a competitive advantage. (Hoyer, W. D., et al . 2020).

- For starters, the lack of clarity in terminology and terminologies, as well as the difficulty in understanding it at
 different stages, make it challenging for novice users to grasp and execute it. Furthermore, as more R&D
 operations take place, the frequency of adjustment to this platform increases, making things much more
 complicated.
- Second, throughput and power problems would make scaling up and mass adoption more difficult. For eg, in
 order for a banking network of millions of consumers to be block chain powered, we need a high rate of
 transaction per second, similar to that of Twitter and Facebook. Currently, block chain throughput is a fraction
 of that, making large-scale implementation all the more difficult.
- Third, from the standpoint of user friendliness, using theApplication programming interface API has its own set of challenges. There are different API, such as payments receive API, wallet API, data API, demand API, real-time updates API, exchange rates API, and so on. The APIs available are not in a standard format and are not convenient to plug and play in an application; instead, they must be customized to fit with a specific application.
- Fourth, there is a significant amount of energy lost in mining in order to execute the high-end computation algorithm; there is a lot of opportunity to eliminate this.
- Fifth, usability: Designing services for the Bitcoin API is challenging. There is a need to provide a developer-friendly Block chain API. This could be compared to REST APIs.
- Sixth, various chains, rough forks, and versioning: A 51 percent attack is more likely to occur in a short chain with a small number of nodes. When chains are broken for administrative or versioning reasons, another problem arises.

According to Accenture experts, the world banking industry could save up to \$20 billion by 2022 by introducing block chain. Although block chain is being introduced in a variety of industries around the world, the banking industry would be the first to benefit. The below are the key ways in which banks and other financial institutions may be able to use block chain technology:

 Faster international transfers and lower costs: Large financial institutions and independent financial experts see block chain technology as a potential replacement for the SWIFT bank payment mechanism in the near future. Costs will be reduced, and bank-to-bank and foreign transactions will be quicker.





Soumya S Nair et al.,

- 2. Simplified Client Identification System: Another area of block chain application in the banking industry is the implementation of a distributed ledger-based client identification system. Since all credit institutions must execute KYC while handling applications, this is extremely important. Users may be detected on a single occasion via block chain, and this information is safely stored with access given to all banks in the scheme.
- 3. Decentralized Loan Disbursement: Insuring deposits and loans is a direct result of banking and financial practices. Also in developing countries, the majority of these banking functions are often criticized as inefficient and vulnerable. Private bank deposits in common currencies are insured by state regulators. A distributed mechanism for loans and deposits based on ledger technology is decentralized, and the system cannot go bankrupt because the deposits are not managed by one entity.
- 4. Insurance Automated Payments: In the financial sector, decentralization ensures stability and reliability. Another important way to boost standard insurance is to automate settlement on insurance claims. People would be able to collect payments directly if the scheme is based on smart contracts that are executed seamlessly and do not entail lengthy procedural waits requiring several managers.

(White, Gareth, 2017) While block chain has the potential to become a significant source of disruptive innovations in business and management, it is critical to understand and identify the areas in which there is a business case for implementing block chain techniques. To arrive at this conclusion, this paper employs Delphi Techniques to conduct a thorough investigation. Business managers must be aware of the potential impact of block chain techniques; this study outlines numerous ways in which it can be used to further shape business processes, as well as a few areas in which it can be applied in the development of innovative and valuable new business processes and products. This paper focuses on the impact of block chain technology on the business management discipline; however, due to the small sample size available, the examination of future applications is limited in this paper. In addition, because of the low rate of knowledge, only a small number of panel members were able to envision future applications. Furthermore, this paper does not consider the impact of Block Chain on society. (Horia Mircea, Botos, 2017) Block chain is defined as the decentralized ledger that records all of the transactions. This paper also describes bitcoin intelligence steps and procedures that will assist a bitcoin user, owner, or broker in making the best decision in order to maximise their profit and financial security in the cryptocurrency market. In order to better understand bitcoin and increase its acceptance, it is necessary to gain a better understanding of its market and users, as well as the development of the cryptocurrency and its competitors. According to Nakamoto (2009), the founder of Bitcoin, in his paper proposed an electronic transaction that did not rely on trust, and proposed a peer-to-peer network that used a proof-of-work system that added transparency by recording a public history of transactions that an attacker could not change because the honest nodes controlled a majority of CPU power. Businesses benefit from Business Intelligence, according to Rouse and Stedman (2014), who explain how it helps them make more informed decisions. The research presented in this paper concentrated on Business Intelligence and its application of crypto currency, but it did not address the qualitative aspects of operational performance in any way. (Jeremy M Sklaroff, 2017)Smart contracts are computer code-based decentralized agreements kept on a blockchain network of computers. Smart Contracts aim to eliminate the expensive process of contract formation, the need for court intervention, opportunistic conduct, and the inherent uncertainties of written language, while simultaneously reaping advantages. When contracts shift their focus away from the person, they give rise to new forms of inefficiencies. The outcomes are caused by automation, which necessitates the use of fully defined terms in every agreement, decentralization that improves performance through third-party verification, and anonymity that eliminates the reliance on commercial context to interpret agreement terms. Consequently, creating a smart contract in an unstable environment incurs high costs. The main emphasis of this study was on flexibility and semantic contracts, as well as flexibility and EDI, and flexibility and smart contracts. However, the specific methods and strategies to reduce costs while ensuring flexibility in the implementation of smart contracts, as well as the necessary changes to tools and systems for more effective implementation, were not addressed.

Disruptive technologies and Organizational effectiveness

(Grenčiková, & et al, 2020) The Fourth Industrial Revolution has resulted in significant technological, demographic, and socioeconomic developments that have an effect on almost every aspect of industry. Both a labor glut and the





Soumya S Nair et al.,

emergence of entirely new careers are anticipated in the human resources sector. The ideology of Industry 4.0 has a huge impact on labor production in individual countries, and the Slovak Republic is no exception. Not only commercial businesses, including all sectors of the labor force would be affected. The aim of this analysis is to examine the potential production of labor productivity in Slovak companies and to forecast its growth over the next few years, taking into account the effects of a shrinking working-age population on the formation and termination of employment and occupations. A primary analysis instrument used to evaluate the survey findings was a questionnaire sent to 319 businesses in the Slovak Republic, with a response rate of 228. The results suggest that, while the labor force in the Slovak labor market will not grow, it will shrink as a result of unfavorable demographic trends. The use of emerging technology would improve overall efficiency in Slovak businesses. The findings show that the Industry 4.0 definition would have a big impact on labor efficiency in the global economy as well as in the Slovak Republic. The guidelines, in particular, are intended to draw attention to improvements in work structure and the need for school reform as a result of Industry 4.0 criteria. (Backhaus, Simon & Nadarajah, Devika. , 2019) Previous Industry 4.0 research in Malaysia has mostly focused on cloud manufacturing, automated robotics, and intelligent manufacturing. The beer and electrical equipment industries were the primary subject of the field studies. Industry 4.0 is thought to be the start of a new industrial revolution.

Unlike previous papers, the goal of this strategic report is to provide a conceptual basis for future research in Malaysia aimed at determining the relationship between Industry 4.0 core technologies and productivity. There are also a number of large-scale field experiments on Industry 4.0 and the competitiveness of Malaysian manufacturing firms. The paper summarizes the primary innovations of Industry 4.0 and lists them by absolute frequency as reported in the literature. The research issues that have been raised are about the interaction among competitiveness and Industry 4.0 technologies. Productivity is a critical component of manufacturing firms' productivity. As a result, prior to implementing new manufacturing technology, Malaysian manufacturing firms must conduct research into the relationship between Industry 4.0 technologies and productivity. (Hercko, Jozef &Slamkova, Eva & Hnat, Jozef. , 2015) Based on the ideals of the Industry 4.0 definition, this article contains fundamental information on how to improve efficiency. Based on this definition, the article describes the fundamental factors and processes for increasing productivity. This concept's application provides high-added benefit to both the enterprise and its consumers. Companies are able to manufacture goods in one hand while still meeting the demands of consumers in the other. Del Giorgio, Horacio & Mon, Alicia., 2019) Information and communication technologies (ICTs) are a critical component of industrial growth on the path to digital transition, which necessitates the implementation of Industry 4.0. The effect of emerging technology in the industry allows for the early identification of defects, process change, and production time reduction. There are forces that have the potential to dramatically alter output levels in various manufacturing sectors. While various Software Products are currently used in various industries' management, marketing, and logistics systems, the integration of technology into the automation and regulation of manufacturing processes is causing a new industrial revolution that is altering the process control model, directly affecting efficiency and competitiveness. The software industry is faced with the task of creating open and functional products for individual consumers by studying their habits, knowledge, and attributes in order to incorporate methods that can be applied to industry-specific manufacturing processes and knowledge.

The application of usability strategies to the production of products that can be applied in the manufacturing sector, regardless of branch of operation, is discussed in this paper, and a model of the Design/Development Process of Specific Software Products for the Industry is proposed for this reason. This model adapts and customizes various usability approaches to the unique characteristics of this class of user in their actual usage sense. **Walsh and Kirchhoff (2000)** used the following model to provide a relevant overview and provide insight into the term disruptive technologies: The paradigm visually demonstrates the differentiation between transformational and lasting technologies (Walsh & Kirchhoff, 2000). Disruptive technology has the potential to completely eliminate current advancements in a firm. The genesis of groundbreaking innovations can arise from either exogenous input or the initial concept or hypothesis, both of which can be derived from the utilization of information technology for a company's core capabilities. If the customer acknowledges the potential of disruptive technologies, it will drive the introduction of a product that just substitutes or supersedes the current technology. Disruptive technology





Soumya S Nair et al.,

distinguishes itself from sustaining technology by its capacity to eliminate or supplant supporting technology. Conversely, updating the hardware might be advantageous due to recent or substantial enhancements in the gadget. Evolutionary or lasting technology is characterized by its capacity to persist as the most current technology utilized by the organization. If a product is propelled to the market by lasting technology, it simply means that it is built on current technology but with extra features that may include a new and major improvement depending on client or company demands. Based on the model, it can be inferred that disruptive technology is distinct from enduring technology in that it is a contemporary and inventive technology that replaces or causes the discontinuation of current technology, making it outdated and effectively eliminating it. Sustaining technology necessitates the continuous progression of existing innovations. Creativity, in relation to maintaining technologies, refers to the process of enhancing or modifying current technologies with novel or substantial alterations. According to Walsh and Kirchhoff's (2000) findings, it was challenging to recognize a new technology before it was implemented and adopted by different customers. Predicting the effects of emerging technology on a market or the specific sector it may disrupt is exceedingly difficult. The features of disruptive technology can offer guidance on identifying when a new technology has the potential to become disruptive.

S-Curve Framework for Disruptive Technology

The corporate climate can utilize the value network to evaluate a company's performance. Given the significant impact of the value network on a company's performance, it is imperative to take into account the implications of the value network while strategically planning for information technology. The S-Curve approach is employed in strategic forecasting to predict the outcomes of a corporation. The S-Curve principle is frequently employed to illustrate the progressive enhancement of a product's uniformity over a period of time (Christensen, 2000). The S-Curve framework is frequently employed to predict the result when a prevailing technology is at risk of being replaced by a novel technology. Managers in the digital economy are confronted with a substantial and rapid increase in technology innovation, which has the potential to significantly alter power dynamics and create wealth in almost every sector. The S-Curve manufacturing line serves as a mechanism for monitoring and evaluating product outcomes. A product line that exhibits a continuous S-curve pattern adheres to the typical life cycle of a product. As a commodity reaches a mature stage, its further development becomes increasingly challenging. The product lifecycle reaches a critical turning point, sometimes referred to as the fault line, at this stage.

The time when a new technology supplants an established technology is referred to as the point of replacement. When two S-Curves overlap, it indicates that the firm has transitioned from one technology to another, marking the arrival of a new technology. Consequently, the introduction of a new technology has disrupted the product lifetime of the incumbent technology. Moore (2000) analyzes the concept of the fault line, as depicted in the S-Curve, within the framework of a production development's business model. The figure below illustrates the S-Curve of a product's business model. The second S-Curve illustrates the superior performance of the disruptive invention compared to the anticipated performance of the initial product. The S-Curve Framework for transformational Technologies highlights a critical juncture in the advancement of transformational technologies. The occurrence of the point of inflection is typically associated with the moment when a new technology supersedes an older technology. Many organizations struggle to anticipate whether a novel technology will cause disruption to an established technology or if it will instead replace the old technology as the dominant one. Businesses have the predicament of determining whether or not to allocate resources towards adopting nascent technology in order to sustain a competitive edge in the market.

Christensen (2000) asserts that the S Curve structure is a highly reliable indicator for managing long-term sustainable technology. However, there is a lack of historical data to substantiate the progression of disruptive technologies along the S-Curve in previous instances. Consequently, the information technology division is required to monitor the advancement of emerging technology as it progresses along the S-Curve of established technology. At the crucial juncture, the information technology business unit must be prepared and capable of reacting. Disruptive technology often emerges in the market without prior anticipation, as seen by the graph below that portrays the progressive triumph of disruptive technology over a period of time (Disruptive technology, 2007). Figure 3 illustrates the progressive advancement of transformational technologies over time, specifically in the context of the retail





Soumya S Nair et al.,

industry's lower segment. This depiction highlights the tendency to underestimate the actual potential and capabilities of these technologies. Once the lower end of the market adopts the disruptive technology, it undergoes modifications to enhance its attractiveness to the top end of the market. The upper echelon of the sector expects enhanced functionality of superior quality that can surpass the capabilities of current market technology. The cutting-edge technology has been enhanced to cater to the needs and preferences of consumers who are willing to spend a premium for a high-quality product. Disruptive technology can be replaced due to its provision of a more robust alternative compared to enduring technology, and consumers acknowledge the potential of disruptive technology (Christensen, 2000). Additional factors, such as the specific sector and prevailing patterns in information technology, influence the choice to embrace a new technology. This study will investigate the impact of developing technologies on enterprises.

CONCLUSION

Disruptive innovations have the capacity to be quickly accepted in emerging countries, therefore speeding up the adoption of technology and improving social well-being. A key obstacle that low-income nations continuously encounter is the limited spread of technology, which hinders their ability to improve their competitiveness. In the past, the ability of these nations to embrace and incorporate innovations was hindered by an adverse climatic condition. Contrarily, disruptive inventions have several attributes that might help overcome chronic limitations and initiate change in expanding markets. Both organizations and customers have concerns over the extensive implementation of new technologies and improvements to current business models. Ride-hailing companies in the transportation sector function without owning any tangible cars. Likewise, online retail businesses operate without physically storing any goods. Online lodging platforms provide lodgings without possessing any tangible assets, while social media companies implement steps to moderate content. Although the effects of transformative technology have been thoroughly studied in developing economies, where new technologies usually emerge, emerging markets face increased levels of uncertainty. While the second choice has more promise, technology still has the ability to exceed current institutions and create solutions that are more innovative than those observed in wealthy nations. This is apparent from the illustration of cell phones and electronic payments, which showcased this capacity more than ten years ago. IT administrators frequently employ the strategy of implementing extra technologies in order to tackle different challenges. Nevertheless, it is important to acknowledge that customer happiness cannot be attained exclusively via the acquisition of technology. Instead, it is crucial to synchronize the technology being utilized with the fundamental requirements and inclinations of persons. In addition, the company aggressively pursues the essential information without depending on the client to begin communication. IT firms must concentrate their focus on digital technologies and work processes that proactively detect and resolve gaps in customer experience. The ability to recognize and resolve these weaknesses before they become problems is crucial for ensuring a positive consumer experience and, ultimately, the level of support and satisfaction provided by the IT industry.

REFERENCES

- 1. Antonio, J. L., &Kanbach, D. K. (2023). Contextual factors of disruptive innovation: A systematic review and framework. *Technological Forecasting and Social Change, 188*, 122274.
- 2. Backhaus, Simon & Nadarajah, Devika. (2019). Investigating the Relationship between Industry 4.0 and Productivity: A Conceptual Framework for Malaysian Manufacturing Firms. *Procedia Computer Science.* 161. 696-706. 10.1016/j.procs.2019.11.173.
- 3. Botos, H. M. (2017). Bitcoin Intelligence–Business Intelligence meets Crypto Currency. *CES Working Papers*, *9*(3), 488-505.
- 4. Chege, S. M., Wang, D., &Suntu, S. L. (2020). Impact of information technology innovation on firm performance in Kenya. *Information Technology for Development*, *26*(2), 316-345.





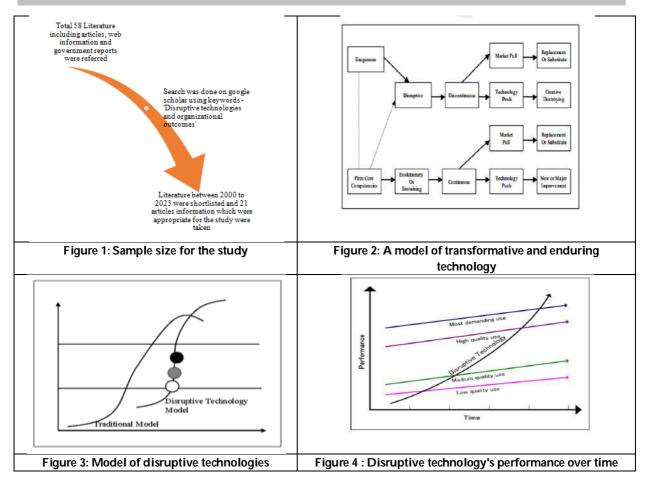
Soumya S Nair et al.,

- 5. Christensen, Clayton & Bever, Derek. (2014). The Capitalist's Dilemma. Harvard business review. 92. 60-+.
- 6. Cirillo, V., Fanti, L., Mina, A., & Ricci, A. (2023). New digital technologies and firm performance in the Italian economy. *Industry and Innovation*, *30*(1), 159-188.
- 7. Coccia, M. (2020). Asymmetry of the technological cycle of disruptive innovations. *Technology Analysis & Strategic Management*, 32(12), 1462-1477
- 8. Del Giorgio, Horacio & Mon, Alicia. (2019). Usability in ICTs for Industry 4.0. 10.1007/978-3-030-37386-3_31.
- 9. Grenčiková, Adriana & Kordoš, Marcel & Berkovic, Vladislav. (2020). Impact of Industry 4.0 on labor productivity in the Slovak Republic. *Problems and Perspectives in Management.* 18. 396-408. 10.21511/ppm.18(2).2020.32.
- 10. Hercko, Jozef &Slamkova, Eva & Hnat, Jozef. (2015). Industry 4.0 as a factor of productivity increase.
- 11. Hoyer, W. D., Kroschke, M., Schmitt, B., Kraume, K., & Shankar, V. (2020). Transforming the customer experience through new technologies. *Journal of interactive marketing*, *51*(1), 57-71.
- 12. Hoyer, W. D., Kroschke, M., Schmitt, B., Kraume, K., & Shankar, V. (2020). Transforming the customer experience through new technologies. *Journal of interactive marketing*, *51*(1), 57-71.
- 13. Nakamoto, S. (2009). Bitcoin: A peer-to-peer electronic cash system Bitcoin: A Peer-to-Peer Electronic Cash System. *Bitcoin. org. Disponible en https://bitcoin. org/en/bitcoin-paper*.
- 14. Palmié, M., Wincent, J., Parida, V., & Caglar, U. (2020). The evolution of the financial technology ecosystem: An introduction and agenda for future research on disruptive innovations in ecosystems. *Technological forecasting and social change, 151*, 119779.
- 15. Rawat, Pratish & Purohit, Jayant. (2019). A Review of Challenges in Implementation of Industry 4.0 in Indian Manufacturing Industry. Vol 3, Page 43
- Rouse, M. and Stedman, C. (2014), Business Intelligence (BI), retrieved from http://searchdatamanagement.techtarget.com/definition/business-intelligence
- 17. Si, S., & Chen, H. (2020). A literature review of disruptive innovation: What it is, how it works and where it goes. *Journal of Engineering and Technology Management*, *56*, 101568.
- 18. Sklaroff, J. M. (2017). Smart contracts and the cost of inflexibility. U. Pa. L. Rev., 166, 263.
- 19. Viraj Vijay and et al , (2019) "The Fourth Industrial Revolution (14.0) in India: Challenges & Opportunities" Published in *International Journal of Trend in Scientific Research and Development (ijtsrd), ISSN: 2456-6470, Special Issue | Fostering Innovation, Integration and Inclusion Through Interdisciplinary Practices in Management, March 2019*, pp.105-109
- 20. Walsh, S. & Kirchhoff, B. 2000. Differentiating market strategies for disruptive technologies. *IEEE Transactions on engineering management*, Nov 2000, vol 49, no 4.
- 21. Wang, C., Guo, F., & Zhang, Q. (2023). How does disruptive innovation influence firm performance? A moderated mediation model. *European Journal of Innovation Management*, 26(3), 798-820.
- White, Gareth. (2017). Future Applications of Blockchain in Business and Management: a Delphi study. Strategic Change.





Soumya S Nair et al.,







International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Pilot Study to Assess Physical Fitness Variables and NCD Risk Factors among Physiotherapists

Hiral Tarpan Shah^{1*} and Dhaval Patel²

Ph.D Scholar, Department of Physiotherapy, Parul Institute of Physiotherapy, Parul University, Vadodara, Gujarat, India.

²Professor, Department of Physiotherapy, Ahmedabad Physiotherapy College, Parul University, Ahmedabad, Gujarat, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Hiral Tarpan Shah

Ph.D Scholar, Department of Physiotherapy, Parul Institute of Physiotherapy, Parul University, Vadodara, Gujarat, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Physiotherapists need good physical fitness for their job demands and are mostly prone to risk factors of noncommunicable disease (NCD), which could harm their health and quality of life. We evaluated the physical fitness variables and risk factors of NCD among physiotherapists. This prospective cross-sectional pilot survey involved working physiotherapists in academic settings in Surat, Gujarat. We examined physical activity by examining cardiorespiratory fitness, flexibility, body composition, muscular endurance, and muscular strength, static balance and dynamic balance, and the prevalence of risk factors for NCD. Of 10 physiotherapists (mean age; 32.20 ± 6.68 years; female, 8), physiotherapists had average lower limb flexibility (n=6) and excellent upper limb flexibility (n=8) but showed very low upper-body muscular endurance (8.20 ± 10.30), and below-average trunk muscular endurance (12.70 ± 8.41). The dominant hand (24.60 ± 9.94 kg) displayed greater strength than the non-dominant hand (21.40 ± 8.30 kg). Physiotherapists also had elevated risk factors for NCD (obesity [BMI, 23.80 ± 3.94 Kg/m² and waist circumference, 83.20 ± 10.61 cm], prolonged sitting time (7.40 ± 1.76 hours per day), and low physical activity [physical activity MET value, 1864 ± 2280 min/week]). This study emphasizes inadequate physical fitness among physiotherapists, urging urgent policy interventions to combat prevalent risk factors of NCD and physical inactivity. Prioritizing lifestyle changes is critical to enhance physiotherapists' fitness.

Keywords: non-communicable disease, physical activity, physiotherapist, risk factor





Hiral Tarpan Shah and Dhaval Patel

INTRODUCTION

Physical fitness is integral to human health and overall well-being and encompasses various aspects, including flexibility, cardiovascular endurance, body composition, and muscular strength endurance [1-4]. Regular physical activity and maintaining health-related physical fitness are crucial markers of overall health outcomes [5, 6]. Physiotherapists acknowledge the significant impact of physical activity and exercise in their practice, recognizing them as fundamental contributors to health and mitigators of risks associated with conditions like diabetes, hypertension, and cardiovascular diseases [7-10]. However, recent research has highlighted instances of physical inactivity among physiotherapists [11-16]. It is paramount to encourage physical activity among physiotherapists to reduce the levels of physical inactivity and the consequent burden of non-communicable diseases (NCD) due to following two main reasons: 1) Physical fitness levels play a direct role in physiotherapists' capacity to provide effective care and function as role models for their patients and 2) Physiotherapists are prone to risk factors of NCD, which could negatively impact their personal health outcomes and quality of life. In lieu of this, the present pilot study aims to evaluate physical fitness variables and risk factors of NCD among physiotherapists.

METHODOLOGY

This prospective cross-sectional pilot survey was conducted among working physiotherapists in academic settings in Surat, Gujarat. Written permission was obtained from the Head of Institute of Physiotherapy College. Each participant was individually briefed about the study and invited to participate voluntarily after providing written informed consent. The study assessed various physical fitness parameters, including cardio-respiratory fitness (via the Queens College step test), flexibility (via YMCA sit-and-reach test and shoulder flexibility test), body composition (via body mass index), muscular endurance (via push-up and curl-up tests), and hand grip strength to determine muscular strength (via the Jamar Hand Dynamometer). Static balance was assessed using the unipedal stance test, while dynamic balance was assessed through the star excursion balance test (SEBT). Risk factors for NCD were assessed using the World Health Organization (WHO) STEPS Instrument (Steps I and II) in accordance with the guidelines outlined in the WHO STEPS manual.Data analysis was performed using Statistical Package for the Social Sciences, Version 22.0. Descriptive statistics are reported as mean ± standard deviation (SD), median [interquartile range (IQR)] frequency and percentages. Pearson's correlation was used to measures linear correlation between two sets of data.

RESULTS

A total of 10 physiotherapists participated in this survey. The mean age of study population was 32.20 ± 6.68 years and predominantly comprised females (n=8). (Table 1). Data are presented as mean \pm standard deviation and upper and lower range. The lower limb flexibility grade and number of curl-ups were 15.75 ± 3.14 , and 12.70 ± 8.41 , respectively. The values for unipedal stance test with eyes open and closed were 39.60 ± 10.41 (16.00 - 45.00) and 15.30 ± 11.91 (16.00 - 41.00) seconds, respectively. We found symmetrical balance capabilities between the left and right lower limbs examined by SEBT, with some slight variations in specific directional reaches. Other physical parameters of the physiotherapists are described in Table 2.

Abbreviation

BMI, body mass index;
D, dominant; L, left;
LL, lower limb;
ND, non-dominant;
SEBT, star excursion balance test - science for sport;
R, right; VO_{2max}, maximal oxygen consumption





Hiral Tarpan Shah and Dhaval Patel

Data are presented as mean \pm standard deviation and upper and lower range. As demonstrated in Table 3, majority of the participants had average lower limb flexibility grade (n=6), excellent upper limb flexibility grade (n=8), below average number of curl-up (n=4), below average push-up test score (n=4), below average unipedal stance test with closed and open eye (n=4), below average VO_{2max} (n=5), below average hand-grip strength (D) (n=4) and hand-grip strength (ND) (n=4).

Abbreviations

D. dominant:

LL, lower limb;

ND, non-dominant;

UL, upper limb;

VO_{2max}, maximal oxygen consumption

We have found positive correlation between age and VO_{2max} (r=0.832, p=0.003). In table 4, mean value for physical activity metabolic equivalent of task (MET) was 1864 \pm 2280 min/week, and the median (IQR) physical activity MET was 1000 (400–1680) min/week. Other parameters are outlined in Table 4.

Abbreviations

BMI, body mass index;

NCD, non-communicable disease

Data are presented as mean ± standard deviation and upper and lower range.

DISCUSSION

Physiotherapy demands high levels of physical activity and fitness due to professional requirements and the expectation of being fitness authorities in society [1]. In the present study, six pphysiotherapists demonstrated average lower limb flexibility and eight had excellent upper limb flexibility, assessed by the sit and reach test. This emphasizes physiotherapists' notable flexibility, potentially lowering the risk of musculoskeletal disorders. In contrast, Multani et al. [11] found over 50% of physiotherapy students had poor upper limb flexibility. Hence, there's a need to explore factors affecting shoulder flexibility. Consequently, there is a necessity to investigate the primary factors influencing shoulder flexibility. In accordance with Parkar et al. [12], physiotherapists in the study exhibited notably low upper-body muscular endurance, as evidenced by push-up test scores (8.20 ± 10.30). Additionally, physiotherapists had below-average trunk muscular endurance ,as indicated by the number of curl-ups performed (12.70 ± 8.41). All the findings may be attributed to limited time for regular exercise. The present study reveals a notable disparity in grip strength between the dominant and non-dominant hands, with the dominant hand exhibiting greater strength (24.60 ± 9.94 kg) compared to the non-dominant hand (21.40 ± 8.30 kg), which is consistent with findings of Polina et al. [13]. The findings suggest the necessity of focusing on enhancing muscular strength, particularly in the non-dominant hand. The SEBT that evaluates the dynamic balance of the lower limbs [14], indicated generally symmetrical balance capabilities between the left and right lower limbs in the present study, with minor variations in specific directional reaches. This evaluation offers valuable insights into the individual's dynamic balance skills. In the present study, physiotherapists were found to have higher BMI and waist circumference, coupled with high daily sitting times and low levels of physical activity, indicating a need for urgent actions to promote physical activity and mitigate risk factors for NCD. The low physical activity observed among physiotherapists in our study emphasizes the importance of instilling a positive attitude towards physical fitness among physiotherapists, with the ultimate goal of achieving optimal fitness levels.





Hiral Tarpan Shah and Dhaval Patel

CONCLUSION

The present study reveals that the physical fitness level of physiotherapists is unsatisfactory. Considering the elevated prevalence of NCD's risk factors within this group, urgent policy actions are necessary to mitigate these health concerns. It is crucial to improve physiotherapists' fitness levels through lifestyle adjustments.

ACKNOWLEDGEMENT

I express my gratitude to each and every participant for taking part in the research.

REFERENCES

- 1. Belim Zishan Khan, Megha Sheth. Physical activity level and physical fitness parameters in physiotherapy students. Int J Physiother Res 2019;7(5):3247-3251. doi:10.16965/ijpr.2019.177
- 2. Corbin, Charles & Pangrazi, Robert & Franks, B. Definitions: Health, fitness, and physical activity. President's Council on Physical Fitness and Sports Research Digest. 2000
- 3. Blair S.N., Cheng Y., Holder J.S. Is physical activity or physical fitness more important in defining health benefits? Med. Sci. Sports Exerc. 2001;33:S379–S399. doi: 10.1097/00005768-200106001-00007.
- 4. Ross R., Blair S.N., Arena R., Church T.S., Després J.P., Franklin B.A., Haskell W.L., Kaminsky L.A., Levine B.D., Lavie C.J., et al. Importance of Assessing Cardiorespiratory Fitness in Clinical Practice: A Case for Fitness as a Clinical Vital Sign:A Scientific Statement From the American Heart Association. Circulation. 2016;134:e653–e699. doi: 10.1161/CIR.0000000000000461.
- 5. Ortega FB, Ruiz JR, Castillo MJ, Sjöström M. Physical fitness in childhood and adolescence: a powerful marker of health. Int J Obes (Lond). 2008 Jan;32(1):1-11. doi: 10.1038/sj.ijo.0803774.
- 6. Warburton DE, Nicol CW, Bredin SS. Health benefits of physical activity: the evidence. CMAJ. 2006 Mar 14;174(6):801-9. doi: 10.1503/cmaj.051351.
- 7. Harris-Hayes M, Schootman M, Schootman JC, Hastings MK. The role of physical therapists in fighting the type 2 diabetes epidemic. J Orthop Sports Phys Ther. 2020 Jan;50(1):5-16. doi: 10.2519/jospt.2020.9154.
- 8. Katzmarzyk PT, Malina RM, Bouchard C. Physical activity, physical fitness, and coronary heart disease risk factors in youth: the Québec Family Study. Prev Med. 1999 Dec;29(6 Pt 1):555-62. doi: 10.1006/pmed.1999.0592.
- 9. Faletra A, Bellin G, Dunning J, et al. Assessing cardiovascular parameters and risk factors in physical therapy practice: findings from a cross-sectional national survey and implication for clinical practice. BMC Musculoskelet Disord. 2022. 23(1):749. doi: 10.1186/s12891-022-05696-w.
- 10. Hegde SM, Solomon SD. Influence of physical activity on hypertension and cardiac structure and function. Curr Hypertens Rep. 2015 Oct;17(10):77. doi: 10.1007/s11906-015-0588-3.
- 11. Multani, Narinder & Singh, Amandeep. Level of Physical Fitness among Physiotherapy Students a Study of Punjab and Haryana. World Applied Sciences Journal. 2013. 21(8). 1136-1140. 10.5829/idosi.wasj.2013.21.8.1839.
- 12. Parkar, Mehnaz & Saini, Ramandeep Kaur. Assessment of upper limb and core muscle strength in physiotherapy student aged 20-25 years.. International Journal Of Community Medicine And Public Health. 2022. 9. 3835. doi: 10.18203/2394-6040.ijcmph20222580.
- 13. Polina SV, K JA, Priyadharshini KU, S S, B P, M S. Assessment of physical activity and physical fitness among undergraduate medical students. Natl J Physiol Pharm Pharmacol. 2023; 13(1): 47-53. doi:10.5455/njppp.2023.13.05219202226052022
- 14. Olmsted LC, Carcia CR, Hertel J, Shultz SJ. Efficacy of the Star Excursion Balance Tests in Detecting Reach Deficits in Subjects with Chronic Ankle Instability. J Athl Train. 2002. 37(4):501-506.





Hiral Tarpan Shah and Dhaval Patel

Table 1: Demographic data of physiotherapist

Parameters	Physiotherapists (N=10)
Age group (years)	·
24–30	6
>30	4
Age (years)	32.20 ± 6.680 (24–43)
Gender	<u>.</u>
Female	8
Male	2
Education	·
Bachelor of physiotherapy	3
Master of physiotherapy (cardiology)	2
Master of physiotherapy (neurology)	2
Master of physiotherapy (orthopedic)	2
Master of physiotherapy (women's health)	1
Occupation	
Academic	10
Experience in the field of physiotherapy (years)	<u>.</u>
< 10	6
≥10	4
Predominant field of working	<u>.</u>
Teaching, administrative	1
Teaching, clinical teaching	1
Teaching, computer work	1
Teaching, treating orthopedic patients	4
Treating orthopedic patients	1
Treating patients -neurology and orthopedic	2

Table 2: Physical fitness parameters of physiotherapists

Parameters	Physiotherapists (N=10)
Lower limb flexibility grade	15.75 ± 3.14 (10.00–19.00)
Number of curl-up	12.70 ± 8.41 (0.00–25.00)
Push-up test score	8.20 ± 10.30 (0.00–35.00)
Unipedal stance test with eyes open (seconds)	39.60 ± 10.41 (16.00–45.00)
Unipedal stance test with eyes closed (seconds)	15.30 ± 11.91 (5.00–41.00)





International Bimonthly (Print) – Open Access ISSN: 0976 – 0997 Vol.15 / Issue 86 / Oct / 2024

Hiral Tarpan Shah and Dhaval Patel

	37.97 ± 5.66
VO _{2(max)} , mL/kg/min	(31.09–48.33)
Hand-grip strength(D), Kg	24.60 ± 9.94
	(12.00–45.00)
Hand-grip strength(ND), Kg	21.40 ± 8.30
riand-grip strength(ND), Ng	(11.00–38.00)
SEBT anterior L	93.58 ± 12.76 (68.88–110.96)
SEBT anterior R	92.32 ± 12.26 (67.77–107.00)
SEBT antero-lateral L	101.90 ±17.21 (69.25 –136.00)
SEBT antero-lateral R	101.93 ± 12.19 (76.29 –117.00
SEBT lateral L	95.01 ± 13.12 (72.96–121.05)
SEBT lateral R	97.65 ± 11.44 (75.18–114.10)
SEBT postero-lateral L	96.34 ± 13.38 (73.70–125.00)
SEBT postero-lateral R	98.22 ± 9.91 (77.40–114.91)
SEBT posterior L	88.55 ± 14.04 (62.22–118.42)
SEBT posterior R	86.91 ± 15.82 (62.22–110.43)
SEBT postero-medial L	77.53 ± 12.75 (48.88–93.60)
SEBT postero-medial R	82.56 ± 15.36 (51.11–98.83)
SEBT medial L	71.87 ± 10.98 (48.51–82.06)
SEBT medial R	70.33 ± 11.07 (52.22–84.10)
SEBT antero-medial L	85.04 ± 11.67 (62.96–95.34)
SEBT antero-medial R	86.00 ± 11.08 (67.75–102.99)

Table 3: Grading of physical fitness parameter

Parameters	Grade	Physiotherapists (N=10)
Lower limb flexibility grade	Above average	1
	Average	6
	Below average	1
	Well below Average	2
	Average	1
Upper limb flexibility grade	Excellent	8
	Poor	1
Number of curl-up	Fair	1





Hiral Tarpan Shah and Dhaval Patel

	Average	1
	Below average	4
	Well below average	4
Push-up test score	Fair	1
	Average	1
	Below average	4
	Well below average	4
Hand-grip strength (D) (Kg)	Fair	1
	Average	1
	Below average	4
	Well below average	4
Hand-grip strength (ND) (Kg)	Fair	1
	Average	1
	Below average	4
	Well below average	4

Table 4: Mean levels of risk factors for NCD

Risk factors for NCD	Physiotherapists (N=10)	
Systolic blood pressure, mmHg	111.00 ± 10.72 (98.00 ± 128.00)	
Diastalia blood pressure mml la	70.40 ± 5.32	
Diastolic blood pressure, mmHg	(62.00–76.00)	
Heart rate, mmHg	77.00 ± 6.86	
ricartrate, mining	(65.00–87.00)	
Waist circumference, cm	83.20 ± 10.61	
vvaist circumici circe, ciri	(72.00–104.00)	
Hip circumference, cm	100.00 ± 9.71	
r np circumic circe, ciri	(91.00–126.00)	
DML ka/m²	23.80 ± 3.94	
BMI, kg/m²	(17.87–32.92)	
Physical activity metabolic equivalent of task, min/week	1864 ± 2280	
Salt (sodium chloride) intake, g/day		
Always	1	
Often	3	
Rarely	2	
Sometimes	4	
Francisco e finalita de la companio del companio de la companio del companio de la companio del companio del companio de la companio del companio de la companio del compa	3.90 ± 1.79	
Frequency of junk food consumption, days/month	(1.00–7.00)	
Citting time per day hours	7.40 ± 1.76	
Sitting time per day, hours	(5.00–10.00)	





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Inducing Systemic Resistance in Groundnut (Arachis hypogaea L.) by Combined Application of Bioinoculants Fortified with Humic Acid against Macro phominaphaseolina (Tassi) Goid

J. Evanjalin¹ and D. John Christopher^{2*}

Ph.D Research Scholar (Agri), Department of Plant Pathology, Faculty of Agriculture, Annamalai university, Chidambaram, Tamil Nadu, India.

²Professor, Department of Plant Pathology, Faculty of Agriculture, Annamalai university, Chidambaram, Tamil Nadu, India.

Received: 21 Jun 2024 Accepted: 02 Sep 2024 Revised: 03 Jul 2024

*Address for Correspondence D. John Christopher

Professor, Department of Plant Pathology, Faculty of Agriculture, Annamalai university,

Chidambaram, Tamil Nadu, India.

Email: 17696eva@gmail.com

<u>@0</u>99

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Soil-borne pathogens were taken into consideration as hazard to agriculture resulting in high yield losses. Macrophominaphaseolina(Tassi) Goidis, one of the important soil borne pathogen causing root rot of groundnut prevalent in all growing area worldwide. Owing to the longer survivability of this saprophytic fungus, its control is difficult. Biological control is the most effective component for providing long-term solution for controlling plant diseases. The present study was undertaken to find out the efficacy of bioinoculants such as Streptomyces, Rhizobium and Bacillus alone and in combined effect of bioinoculants fortified with humic acid against root rot incidences. The groundnut plants are treated with combined application of Streptomycesalbofaciens, B. japonicum and Bacillus subtilis fortified with humic acid as seed treatment @ 10g/kg of seeds plus soil application @ 2.5 kg ha-1(T6)recorded minimum diseases incidence and significantly enhanced the yield parameters. A decrease indisease occurrence and severity may be due to the induced resistance imparted by the bioinoculants. This resulted in significant increments in quantities of defense enzymes, including catalase, peroxidase(PO), Polyphenol oxidase (PPO), Phenylalanine ammonia lyase (PAL) and β-1, 3 Glucanase activity. Thus, bioinoculants can be employed for the production of a potential formulation to support sustainable agriculture by reducing the input of synthetic pesticides and fertilizers.

Keywords: Groundnut, Macrophominaphaseolina, bioinoculants fortified with humic acid, induction of defense enzyme.





Evanjalin and John Christopher

INTRODUCTION

Groundnut (Arachis hypogeae L.) is an important edible oil seed crop grown extensively in tropical and sub-tropical regions of the world and also considered as "king of oil seed crops" or "wonder nut" or "poor man's cashew nut". It is fourth most important source of edible oil and third most important source of vegetable protein as the kernels are rich in 40 to 50 per cent of oil, 25 to 30 per cent of protein, 18 per cent of carbohydrates, minerals (P, Ca, Mg and Fe), antioxidants and vitamins (B1, B2 and niacin). India ranks first position in terms of area with 5.75 million hectares, with production of 10.11 million tones and productivity is 1759 kg/ha. (DOES-MOAFW, 2022). In India, the major growing states are Gujarat, Tamil Nadu, Andhra Pradesh, Karnataka, Maharashtra, Madhya Pradesh, Rajasthan. In Tamil Nadu groundnut is grown in 0.37 million hectares, with production of 0.95 million tones and productivity is 2553 kg/ha. (DOES-MOAFW, 2022). Groundnut production is decreasing gradually because of various biotic and abiotic stresses. Biotic stresses such as fungal, bacterial, and viral diseases play a major role in yield reduction. The soilborne diseases caused by fungal pathogens are very important, and several of them have the potential to cause significant yield losses in groundnut production (PandeyA et al, 2021). The Macrophominaphaseolina is an important pathogen that causes dry root disease (Chakrabarty et al., 2005); it is distributed globally, and groundnut crops at all stages are susceptible to infection. The average yield loss caused due to root rot incidence is 25%. But in severe cases it reaches up toto 80-90% (Moradia and Khandar R, 2011). Chemical-based management of M.phaseolina is not practicable owing to high cost besides causing environmental pollution and development of resistance to target fungus (Backman, 1997). Biological control is the best alternative, especially against soil-borne pathogens (Hibaret al., 2007; Sreeramuluet al., 2009). Among the various antagonists, bioinoculants such as Streptomyces, Rhizobium and Bacillus, plays a vital role in reducing the population of Macrophominaphaseolina. Bioinoculants exhibited different mode of actions such as competition, parasitism, antibiosis, lysis and Induced Systemic Resistance. Among them defense related enzymes plays a major role in boosting the immunity of host plants and make the resistance to different plant parasitic pathogens.

MATERIALS METHODS

Preparation of talc-based formulation of bioinoculants and fortification with humic acid

Virulent bacterial bioinoculants (Streptomyces*spp, Rhizobium, spp, Bacillus spp*) were grown in Ken knight & Munaier's broth, YEMA broth and NA broth respectively @ 28 ± 2° C for 48 hours.One Kilo grams of carrier material (Talcpowder) was taken and the pH was adjusted to 7 by adding CaCO3 at the rate of 15g/kg.Carboxy methyl cellulose (CMC) was thenadded at the rate of 10g/kg and mixed well.The mixtures were then autoclaved for 30 minat 121°C (15lb/inch²). After autoclaving, 400 ml of bioinoculants suspension (1×108cfu/ml) was added to the sterilized carrier material (1kg) and thoroughly mixed followed by drying aseptically and then grounded to powder. Humic acid powder has been obtained from ARVEEBIOTECH, Chidambaram. The talc-based formulation of bioinoculants were mixed with Humic acidpowder @100g/ kgthen packed in sterile polythenebags and stored at 4°C (Vidhyasekaran andMuthamilan,1995).

Pot culture experiment

A pot culture study was conducted to test the efficacy of antagonists bioinoculants fortified with humic acid against root rot of groundnut. The sterilized garden land soil is collected and filled in plasticpots of size 25 x 22x 15 cm with 25 kgand TMV-7 seeds were used for this study. The trial was conducted in completely randomized block design with nine treatments and three replications each at Department of Plant Pathology, Annamalai University, Annamalai Nagar from October 2022 to March 2023. The plants in the pots have been maintained with uniform, regular and judicious watering. The individual and combined application of bioinoculants *Viz*, *Streptomyces albofaciens*, *Bradyrhizobium japonium*, *Bacillus subtilis*, fortified with Humic acid (HA) and chemical Nativo 75 WP@ 1g/Kg of seeds





Evanjalin and John Christopher

were tested against *Macrophominaphaseolina*. Mass multiplication of *Macrophominaphaseolina* is grown in sand maize medium and 20 days old culture were inoculated in 30 days old plants. The inoculated plants were incubated in a humid chamber for 48 h and subsequently moved to the greenhouse and it is maintained at 22-28°C, 70-90% relative humidity. Under a light intensity of 85 µmol m⁻¹ S⁻¹, 12 h photoperiod and subsequently transfer to pot culture yard.

Treatment schedule

T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 50g/ pot on TOS, T2- Seed treatment with *B.japonicum* at @ 10 g/kg of seed + Soil application of *B.japonicum* @ 50 g /pot on TOS, T3- Seed treatment of *Bacillus subtilis* @ 10g/kg of seeds + Soil application of *Bacillus subtilis* @ 50g/ pot on TOS+, T4 - T1+ T2, T5 - T3 + T4,T6 - T5 + Fortification with humic acid@ 100g/ kgof bio inoculant as soil application on TOS , T7 - Seed treatment with Nativo 75 WP @ 1g/Kg of seeds, T8- Healthy control, T9- Inoculated control .

Assessment of the disease severity in the field

Twelve plants from each plot were randomly selected and tagged for grading the severity of diseases. The severity of *Macrophominaphaseolina* was measured as per the standard evaluation system (SES) for groundnut. The disease severity was recorded at 30,60,90DAS and at the time of harvest and per cent diseases index was determined us usual.

Field trial

The field trials were conducted at Komaratchi, Cuddalore district of Tamil Nadu during May 2023 to August 2023, in field with a history of Root rotincidence. The trial was laid out in plots (5x4 m) arranged in randomized block design. Groundnut seeds of cv. TMV-7 were sown in row/ plant spacing of 30 x 10 cm. Three replicate plots were maintained for each treatment. Regular cultivation practices have been accompanied as according to the recommendation. Treatment application details and experimental observation were the same as in greenhouse experiment.

Treatment schedule

T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 2.5 kg ha⁻¹ on TOS, T2 -Seed treatment with *B. japonicum* at @ 10 g/kg of seed + Soil application of *B. japonicum* @ 2.5 kg ha⁻¹ on TOS, T3- Seed treatment with *Bacillus subtilis* @ 10g/kg of seeds + Soil application of *Bacillus subtilis* @ 2.5 kg ha⁻¹ on TOS, T4 - T1+ T2, T5 -T3 + T4, T6 - T5 +Fortification with humic acid @ 100g/kg of bio inoculant as soil application on TOS, T7 - Seed treatment with Nativo 75 WP @ 1g/kg of seeds, T8- Untreated control.

Data analysis

The data obtained from the studies conducted under laboratory and field conditions were subjected to the analysis of variance techniques (ANOVA) and were applied to completely randomized design (CRD) and randomized block design (RBD). The data obtained on per cent inhibition were transformed using angular (arc sine) transformation.

Estimation of induction defence enzyme:

Pot culture study was conducted to estimation of induction defence enzyme by combined application of bioinoculants fortified with humic acid against challenge inoculation of *Macrophominaphaseolina*with following treatments, T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 50g/ pot on TOS, T2- Seed treatment with *B.japonicum* at @ 10 g/kg of seed + Soil application of *B.japonicum* @ 50 g /pot on TOS, T3- Seed treatment of *Bacillus subtilis* @ 10g/kg of seeds + Soil application of *Bacillus subtilis* @ 50g/ pot on TOS, T4 - T1+ T2, T5 - T3 + T4, T6 - T5 + Fortification with humic acid @ 100g/kg of bioinoculants,T7 - Seed treatment with Nativo 75 WP @ 1g/Kg of seeds, T8- Healthy control, T9- Inoculated control. Plants are inoculated with pathogen on 30th days after sowing and estimated the defence on various treatment on 0,3,5,7 and 9 days intervals from the inoculation of pathogen.





Evanjalin and John Christopher

Enzyme extraction

One g of root sample was homogenized with 2 ml of 0.1M sodium citrate buffer (pH 5.0) at 4° C. The homogenate was centrifuged for 20 min. at 10,000 rpm. Enzyme extracted in 0.1 M sodium phosphate buffer (pH 7.0) was used for the estimation of Peroxidase (PO), Polyphenol Oxidase (PPO), Phenylalanine Ammonia Lyase (PAL) and β -1, 3-glucanase. Enzyme extract was stored in deep freezer (-70° C) until used for biochemical analysis.

Peroxidase (PO)

Peroxidase activity was assayed as per the procedure described by Hammerschmidt *et al* (1982). In a pre-cooled pestle and mortar, one gram of fresh leaf tissue was mashed using one ml of 0.1M phosphate buffer at pH 7.0. The homogenate was centrifuged at 15000 rpm at 4°C for 15 minutes. The supernatant was served as a source of enzyme. About 1.5 ml 0.05M pyrogallol, 0.5 ml of one per cent H_2O_2 and 0.1 ml of enzyme extract was used for preparation reaction mixture. The reaction mixture absorbance was measured at 420 nm for every 30 seconds up to 3 minutes at room temperature (28 ±2°C). The boiled enzyme preparation served as blank. The enzyme activity was measured as a change in the reaction mixture's absorbance min-1g -1 of the leaf.

Poly phenol oxidase (PPO)

PPO activity was determined as per the procedure given by Mayer *et al.* (1965). One gram of fresh leaf sample was ground in one mI of 0.1M sodium phosphate buffer, (pH 6.5). The homogenate was centrifuged at 15000 rpm in 4 °C for 15 minutes, and the supernatant was employed as an enzyme source. About 1.5 mI of 0.1 M sodium phosphate buffer (pH 6.5) and 0.1 mI of enzyme extract was used to made up the reaction mixture. After adding 0.2 mI of catechol (0.01 M) to the mixture, the reaction was started. The activity was measured as a change in absorbance at 495 nm from every 30 second intervals for three minutes, and measured the enzyme activities in terms of the change in absorbancemin -1 g-10f fresh tissue.

Phenylalanine ammonia-lyase (PAL)

The PAL activity was assayed as per the method described by Ross and sedroff (1992).For phenylalanine ammonia lyase assay (PAL),about five ml of cold 25mM Borate HCL buffer (pH 8.8) containing 5mM mercaptoethanol (0.4 ml per litre)was used to homogenize the500 mg of plant leaves. The homogenate was centrifuged at 15000 rpm for 15 minutes and the supernatant served as an enzyme source. About 0.2 ml of enzyme extract, 1.3 ml of water and 0.5 ml of borate buffer used to make up the reaction mixture. About one ml of 12Mm L-Phenylalanine was added and initiated the reaction. The reaction mixture was incubated for one hour at 32□. The reaction was stopped with the addition of 0.5 ml of 2N HCL. The 2N HCL and phenylalanine was added after the blank run. The absorbance was measured at 290 nm.The enzyme activity was expressed as nmoles of cinnamic acid Min -1 g-1 of fresh tissue.

ß-1, 3-glucanase

B-1, 3-glucanase activity was assayed with the aid of using laminarin-dinitrosalicylic acid method (Pan *et al.*, 1991). The reaction was carried out at 40° C for 10 min. The reaction was then stopped via by means of adding 375 μl of dinitrosalicylic acid and heated for five min in boiling water. Vortexed and the absorbance was measured at 500nm. The enzyme activity was expressed as μg glucose released Min -1 g-1 fresh tissue.

RESULTS

Efficacy of combined application of *Streptomycesalbofaciens*, *B. japonicum* and *Bacillus subtilis* plus fortification with humic acid against dry root rot of groundnut

Pot culture condition

The pot culture experiment was carried out to evaluate the efficacy of combined application of bioinoculants fortified with Humic acid (HA) against root rot incidence of groundnut. The result revealed that all the bioinoculants were





Evanjalin and John Christopher

found to be effective in inhibiting the progress of disease development than the untreated control (Table I). Among the various treatments, combined application of Streptomycesalbofaciens, B.japonicum and Bacillus subtilisplus fortification with humic acid @ 100g/ kg of bioinoculantsas seed treatment @ 10 g/kg of seed and soil application @ 100g/ pot(T6) recorded minimum root rot disease incidence which recorded 4.12%, 5.70%, 8.52% and 9.05% percent disease incidence on 30, 60, 90 and At the time of harvest respectively, it was followed by the combined application of Streptomycesalbofaciens, B.japonicumand Bacillus subtilis as seed treatment @ 10 g/kg of seed and soil application @ 50g/ pot(T5) recording theroot rot incidence of 6.02% ,8.51%, 11.01% and 11.30% percent disease incidence on 30,60, 90 DAS, and at the time of harvestrespectivelyand standard chemical check Nativo 75 WP @ 1g/kg of seeds(T7)registered the root rot disease incidence of 7.34%, 8.96%, 11.69% and 12.96% percent disease incidence on 30, 60, 90 DAS and at the time of harvest respectively. All the bioinoculants treated plants significantly reduced the disease incidence as compared to control. Combined application of bioinoculants has reduced the disease incidence than the individual application of bioinoculants. The combined application of Streptomyces albofaciens, B. japonicum and Bacillus subtilis plus fortification with humic acid @ 100g/ kg of bioinoculants as seed treatment @ 10 g/kg of seed and soil application @ 100g/ pot (T6) significantly reduced the disease incidence of dry root rot of groundnut than all other treatments including standard chemical cheek and also significantly increased the yield than other treatments, which recorded maximum biomass (30.72g/plant) and pod yield (64.79g/plant).

Field trial

The same trend as pot culture was observed in field trial also. The minimum disease incidence was registered with combined application of Streptomycesalbofaciens, B.japonicumand Bacillus subtilis plus fortification with humic acid @ 100g/ kg of bioinoculants as seed treatment @ 10 g/kg of seed and soil application @ 2.5 kg ha⁻¹of each(T6)recorded minimum root rot disease incidence, which recorded 4.13%, 6.94%, 9.54% and 8.25% % percent disease incidence on 30, 60, 90 and at the time of harvest respectively and followed by the combined application of Streptomycesalbofaciens, B.japonicumand Bacillus subtilis as seed treatment @ 10 g/kg of seed and soil application @ 2.5 kg/ ha of each (T5) recording the root rot incidence of 4.96 % ,8.84 %, 12.73% and 14.65% percent disease incidence on 30,60, 90 DASand at the time of harvest respectively and plants are treated with standard chemical check Nativo 75 WP as @ 1g/kg of seeds (T7)registered the root rot disease incidence of 5.32%, 7.14%, 13.69% and 15.41% percent disease incidence on 30, 60, 90 DASand at the time of harvest respectively. Combined application of bioinoculants fortified with humic acid has reduced the disease incidence than the individual application of bioinoculants and standard chemical check. The combined application of Streptomyces albofaciens, B.japonicumand Bacillus subtilis plus fortification with humic acid @ 100g/ kg of bioinoculants as seed treatment @ 10 g/kg of seed and soil application @ 2.5kg/ha of each (T6) significantly reduced the disease incidence of dry root rot of groundnut than all other treatments including standard chemical cheek and also significantly increased the yield than other treatments, which recorded maximum No of pods (40.42g/plant) and pod yield (1801 kg/ha). (Table II)

Induction of defence enzymes in groundnut due to combined application of *Streptomycesalbofaciens*, *B. japonicum* and *Bacillus subtilis* plus fortification with humic acid against challenge inoculation of pathogen.

The induction of defence enzymes viz., peroxidase (PO), polyphenol oxidase (PPO), Phenylalanine ammonia lyase (PAL) and β -1, 3 Glucanase in groundnut plant due to combined application of bioinoculants fortified with Humic acid against challenge inoculation with pathogen was estimated. Plants are treated with bioinoculants *Viz*,. *Streptomyces albofaciens*, *B. japonicum* and *Bacillus subtilis*were significantly induce the defense enzymes than the control (Table III). Among the treatments maximum induction PO (1.73changes in absorbance / min/ g of units), PPO (1.38changes in absorbance / min/ g of units), PAL (74.56 μ mol of transcinnamic acid/min/g of leaf tissue) and β -1, 3 Glucanase activity (73.5 μ g of glucose released / min/ g of unit) were observed with the combined application of *Streptomycesalbofaciens*, *B.japonicum Bacillus subtilis* plus fortification with humic acid @ 100g/ kg of bioinoculants as seed treatment @ 10 g/kg of seed and soil application @ 100g/ potagainst challenged inoculated with *Macrophominaphaseolina*(T6).This was followed by the combined application of *Streptomycesalbofaciens*, *B.japonicum* and *Bacillus subtilis* seed treatment @ 10 g/kg of seed and soil application @ 50g/ pot(T5) recorded with PO (1.4773 changes in absorbance / min/ g of units), PPO (1.07changes in absorbance / min/ g of units), PAL (55.33 μ mol of transcinnamic acid/min/g of leaf tissue)and β -1, 3 Glucanase activity (63.3 μ g of glucose released / min/ g of





Evanjalin and John Christopher

unit) and plants treated with standard chemical check Nativo 75 WP as @ 1g/kg of seeds (T7). The groundnut plants inoculated with the pathogen alone recorded an increase in the activity of PO (1.89changes in absorbance / min/ g of units), PPO (0.98changes in absorbance / min/ g of units), PAL (12.90 μ mol of transcinnamic acid/min/g of leaf tissue) and β -1, 3-Glucanase (11.64 μ g of glucose released / min/ g of unit) than the groundnut plants either treated with pathogen or treated with bioinoculants.The induction of PO, PPO, PAL and β -1, 3- Glucanase gradually increases up to fifth day of pathogen inoculation and thereafter decline slowly.

DISCUSSIONS

The efficacy of individual application of bioinoculants (Streptomyces albofaciens, Bradyrhizobium japonium, Bacillus subtilis) and in combination along with fortification of humic acid were tested against root rot disease of groundnut under pot culture and field condition. Plants treated with combined application of Streptomycesalbofaciens, B. japonicumandBacillus subtilis plus fortification with humic acid @ 100g/ kg of bioinoculantsas seed treatment @ 10 g/kg of seed and soil application @ 2.5 kg ha-1 of eachwas found to significantly manage the root rot disease and in influencing the yield parameters. Similar findings were made by several workers using the bioinoculants of Streptomyces, Rhizobium and Bacillus sp. Seed treatment alone with powder formulation of Streptomycessp. strains, CBE, MDU and PDK, was effective in controlling root rot disease; but, combined application through seed and soil increased the efficacy (Adhilakshmiet al. 2013). Al-Ani R.A et al(2012) reported that the Rhizobium japonicum, an environmentally friendly alternative to fungicides was able to protect soybean plants against soil-borne pathogens (F. solani and M. phaseolina) and improve growth and yield. Neetu Singh et al(2008) reported that B. subtilis BN1 showed a strong inhibitoryeffect on hyphal growth of M. phaseolina. Bioinoculants are fortified with Humic acid significantly enhancing the efficacy against pathogen and also enhance yield parameters than the bioinoculants alone. The result are accorded with Ranjinder Kaur et al (2021), who reported that Humic acid and its derivates promote the population of bioinoculants and enhance their excellent survival and root colonization Similarly, plants are treated with combined application of Streptomycesalbofaciens, B. japonicum and Bacillus subtilis plus fortification with humic acid against challenge inoculation of pathogen maximum induced the defense enzymes viz., Peroxidase (PO), Polyphenol oxidase (PPO), Phenylalanine ammonia lyase (PAL), and β-1, 3-glucanase enzymes in groundnut.

Several authors have reported the maximum induction of defense enzymes in crop plants due to application of bioinoculants. Adhilakshmiet al. (2013) also found that the increased PO activity in mung bean plants treated with Streptomyces sp. might be involved in lignin biosynthesis, which in turn might have contributed to the resistance against M. phaseolina. Umar Khalidet al (2024) has studied that B. japonicum-406 leads to the induction of defenseenzyme in soyabean plants. The highest increase was estimated in polyphenol oxidases. Kamalakannan (2004) reported the increased activity of PAL, PO, PPO and total phenolics in the bioagents (Bacillus subtilis) pretreated peppermint plants challenged with R. solani.ISR mediated through bio-control agents resulted on lignification and with increased activities of defense gene products that synthesized via phenyl propanoid pathway (Boller and Mauch, 1988; Kloepperet al., 2004).which is known to oxidize phenols to o-quinones, leading to the formation of melanin.Polyphenol oxidases is responsible for lignification, cross-linking of phenolics and glycoproteins, suberization, phytoalexin production and initiation of hypersensitive response (Wojtaszek, P1997). Phenylalanine ammonia lyase (PAL) plays animportant role in the biosynthesis of various defense chemicals in phenyl propanoid metabolism (Daayfetal. 1997). Mauch and Staechelin (1989) reported that β-1,3-glucanase enzyme solubilises elicitor active glucan molecules from fungal cell walls. Biocontrol agents have a direct antagonistic activity not only by producing various metabolites, but also by inducing defense enzymes, which have recently been found to be a new way whereby plants defend themselves from pathogen attack (Bharathi et al., 2004).





Evanjalin and John Christopher

CONCLUSION

The results reported here indicated that groundnut crop treated with combined application of *Streptomycesalbofaciens*, *B. japonicum* and *Bacillus subtilis* plus fortification with humic acid represents an ecofriendly strategy of managing *M. phaseolina* in groundnut plants and also promoted plant growth. Mixture of bioinoculants also have the advantage of exercising a broad-spectrum activity, enhancing the efficacy, reliability and ensuring greater induction of defence enzymes over the individual strain.

REFERENCES

- Adhilakshmi M, Latha P, Paranidharan V, Balachandar D, Ganesamurthy K, Velazhahan R.Biological control of stem rot of groundnut (*Arachis hypogaea L.*) caused by *Sclerotium rolfsii*Sacc. with actinomycetes. Archives of Phytopathology and Plant Protection(2014) 47, 298–311
- 2. AdhilakshmiM, ParanidharanV, Balachandar D, Ganesamurthy K & Velazhahan R. Suppression of root rot of mung bean (*Vigna radiata* L.) by *Streptomyces sp.* is associated with induction of peroxidase and polyphenol oxidase. *Archives of Phytopathology and Plant Protection*(2014)47(5), 571-583.
- 3. Al-Ani RA, AdhabMA, Mahdi MH, Abood HM. *Rhizobium japonicum* as a biocontrol agent of soybean root rot disease caused by *Fusarium solani* and *Macrophominaphaseolina*. Plant Protect(2012): Sci., 48: 149–155.
- 4. Bauske EM, Backman, PA, HarperK, BrannenPM, Rodriguez-KabanaR and Kloepper JW. Effect of botanical aromatic compounds and seed-surface pH on growth and colonization of cotton plant growth-promoting rhizobacteria. Biocontrol Science and Technology, (1997) 7(3), pp.415-422.
- 5. BlackmanAand J. Rivera. Producer-level benefits of sustainability certification. Conservation Biology (2011) 25 (6): 1176–85
- 6. BolwellG, Pauland Wojtaszek P. "Mechanisms for the generation of reactive oxygen species in plant defence–a broad perspective." *Physiological and Molecular Plant Pathology* 51, no. 6 (1997): 347-366.
- 7. Chakrabarty S K. "Detection, seedborne nature, disease transmission and eradication of seedborne infection by Rhizoctonia bataticola (Taub) butler in groundnut." *Indian Journal of Plant Protection* 33.1 (2005): 85-89.
- 8. Department of Agriculture & Farmers Welfare, MoA&FW, Government of India, India (agriwelfare.gov.in)
- 9. El Hadrami, AbdelbassetM D,Rashidul Islam, Lorne R. Adamand Fouad Daayf. "A cupin domain-containing protein with a quercetinase activity (VdQase) regulates *Verticillium dahliae*'s pathogenicity and contributes to counteracting host defenses." Frontiers in Plant Science 6 (2015): 137328.
- 10. GanesanS, Ganesh Kuppusamy R and Sekar R. "Integrated management of stem rot disease (*Sclerotium rolfsii*) of groundnut (*Arachis hypogaea L.*) using Rhizobium and *Trichoderma harzianum* (ITCC-4572)." Turkish Journal of Agriculture and Forestry 31.2 (2007): 103-108
- 11. Grichar V J and Bosweel T E "Comparison of lorsban and tilt with terrachlor for control of southern blight on peanut", The Texas Agriculture Experiment Station, (1997)PR-4534
- 12. Grichar WJ.Control of Peanut (*Arachis hypogaea L.*) Foliar and Soil-Borne Diseases Using Bixafen Plus Flutriafol. *Journal of Experimental Agriculture International*, 45(10),(2023) pp.317-329.
- 13. Hammerschmidt R, Nuckles E, Kuć J. Association of enhanced peroxidase activity with induced systemic resistance of cucumber to *Colletotrichum lagenarium*. Physiological Plant Pathology (1982);20(1):73 -82
- 14. Hammerschmidt Rand Yang-Cashman P. Induced resistance in cucurbits. In *Induced Resistance to disease in plants* (1995) (pp. 63-85). Dordrecht: Springer Netherlands.
- 15. HibarK, Daami-RemadiM, HamadaWand El-MahjoubM. Bio-fungicides as an alternative for tomato Fusarium crown and root rot control. *Tunis. J. Plant Prot*, (2006). 1, 19-29.
- 16. JayalakshmiSK, Raju S, Rani SU, BenagiVland Sreeramulu K. *Trichoderma harzianum* L1 as a potential source for lytic enzymes and elicitor of defense responses in chickpea (*Cicer arietinum L.*) against wilt disease caused by *Fusarium oxysporumf. sp. ciceri.* (2009)





Evanjalin and John Christopher

- 17. Kamalakannan A. Development of management strategies for the control of coleus (*Coleus forskohlii*) briq. Root rot caused by *Macrophominaphaseolina* (Tassi.) goid. And *Rhizoctonia solani*(Kuhn.) . Tamil Nadu Agricultural University Coimbatore2004.
- 18. KhalidU, Aftab ZE H, Anjum T, Bokhari N A, Akram W, and AnwarW. Harnessing the Biocontrol Potential of *Bradyrhizobium japonicum* FCBP-SB-406 to Manage Charcoal Rot of Soybean with Increased Yield Response for the Development of Sustainable Agriculture. (2024) *Microorganisms*, 12(2), 304.
- 19. Kloepper J Wand BeauchampCJ. A review of issues related to measuring colonization of plant roots by bacteria. *Canadian journal of Microbiology*, *38*(12), 1219-1232.
- 20. Kloepper, Joseph W, Choong-Min Ryu and Shouan Zhang. "Induced systemic resistance and promotion of plant growth by Bacillus spp." *Phytopathology* 94, no. 11 (2004): 1259-1266.
- 21. Mauch F, HadwigerLAand Boller T.Ethylene: symptom, not signal for the induction of chitinase and β-1, 3-glucanase in pea pods by pathogens and elicitors. *Plant physiology*, (1984) 76(3), 607-611.
- 22. Mauch, Felix, Lee A, Hadwiger and Thomas Boller. "Antifungal hydrolases in pea tissue: I. Purification and characterization of two chitinases and two β -1, 3-glucanases differentially regulated during development and in response to fungal infection." *Plant Physiology* 87, no. 2 (1988): 325-333.
- 23. Mayee C, Datar V, Diseases of groundnut in the tropics, Review of Tropical Plant Pathology (1988):5:85-118.
- 24. Pan S,Qš X S, Yeand KućJ. "Association of β-1, 3-glucanase activity and isoform pattern with systemic resistance to blue mould in tobacco induced by stem injection with Peronospora tabacina or leaf inoculation with tobacco mosaic virus." *Physiological and Molecular Plant Pathology* 39, no. 1 (1991): 25-39.
- 25. Pandey A K, Bansandrai A K. Will *Macrophominaphaseolina* spread in legumes due to climate change? A critical review of current knowledge. J. Plant Dis Prot. (2021) 128(1), 9-18
- 26. Rajinder kaur, Subhi Chaturvedi, Nitu Rani and Sukhminderjitkaur " Microorganisms and carrier Molecules used in Biofertilizers Formulants. Journal of Pharmaceutical Research international (2021). (3360B):3952-3959
- 27. Singh, Neetu, "Biological control of root rot fungus *Macrophominaphaseolina* and growth enhancement of *Pinus roxburghii* (Sarg.) by rhizosphere competent Bacillus subtilis BN1." *World Journal of Microbiology and Biotechnology* 24 (2008): 1669-1679.
- 28. Vidhyasekaran P and Muthamilan M Development of formulations of *Pseudomonas fluorescens* for control of chickpea wilt. Plant Disease,(1995)79 (8):782-786.

Table 1. Combined effect of bioinoculants fortified with humic acid against dry root rot of groundnut incited by *Macrophominaphaseolina*in pot

	_	_		Disease inc	idence (%)				Growth	parameters
Name of the treatment s	30 DAS	Percent disease reductio n over control	60 DAS	Percent disease reductio n over control	90 DAS	Percent disease reductio n over control	At the time of harvest	Percent disease reductio n over control	Biom ass (g/pla nt)	Pod Yield (g/plant)
T1	8.12 (16.55)	73.16	10.67 (19.06)	69.80	13.67 (21.69)	67.89	15.46 (23.15)	71.34	24.58	51.78
T2	9.56 (18.01)	68.40	12.83 (20.98)	63.69	15.35 (23.06)	68.12	16.89 (24.26)	68.69	23.71	48.64
Т3	10.23 (18.65)	66.19	14.59 (22.45)	58.71	18.20 (25.29)	62.20	19.23 (26.09)	64.35	21.45	43.75
Т4	7.63 (16.03)	74.78	9.20 (17.65)	73.96	12.25 (20.48)	74.58	13.69 (21.71)	74.62	27.19	57.23
T5	6.02 (14.20)	80.10	8.51 (16.96)	75.91	11.01 (19.37)	77.13	11.30 (19.64)	79.05	28.95	60.37
T6	4.12 (11.71)	86.38	5.70 (13.81)	83.87	8.52 (16.97)	82.30	9.05 (17.50)	83.22	30.72	64.79





Evanjalin and John Christopher

Т7	7.03 (15.37)	75.74	8.96 (17.41)	74.90	11.69 (19.99)	75.72	12.96 (21.10)	75.97	29.76	42.87
Т8	30.26 (33.37)		35.34		48.15 (43.93)		53.95 (47.26)			
Т9	16.35 (23.85)		20.16 (26.67)		27.86 (31.85)		29.01 (32.58)			
C.D. at 5 %	0.70		0.84		1.14		1.24			
S. Em. ±	0.23		0.28		0.37		0.41			

T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 50g/ pot on TOS, T2- Seed treatment with *B.japonicum* at @ 10 g/kg of seed + Soil application of *B.japonicum* @ 50 g /pot on TOS, T3- Seed treatment of *Bacillus subtilis* @ 10g/kg of seeds + soil application of *Bacillus subtilis* @ 50g/ pot on TOS, T4 - T1+ T2, T5 - T3 + T4, T6 - T5 + Fortification with humic acid @ 100g/ kg of bioinoculants as soil application @ 100g/pot on TOS, T7 - Seed treatment with Nativo 75 WP @ 1g/Kg of seeds, T8-Inoculated control, T9-.Healthy control.

Table 2. Combined effect of bioinoculants fortified with Humic acid against dry root rot of groundnut incited by Macrophominaphaseolinain field conditions

Tridoi opiioiiii	Парпазсо	iinain field co		ease incidence	\ (0/.)			Growt	n paramet	tore
Name of the treatments	30 DAS	Percent disease reduction over control	60 DAS	Percent disease reduction over control	90 DAS	Percent disease reduction over control	At the time of harvest	Percent disease reduction over control	No of pods/ plant	Pod Yield (kg/ha)
T1	6.97 (15.30)	64.85	13.37 (21.44)	53.07	15.49 (23.17)	53.44	17.51 (24.73)	52.36	35.49	1654
T2	7.14 (15.49)	63.99	14.35 (22.26)	49.63	17.34 (24.60)	47.88	19.62 (26.29)	46.62	31.35	1549
Т3	8.67 (17.12)	56.27	15.28 (23.01)	46.36	18.57 (25.52)	44.18	21.17 (27.39)	42.41	27.67	1443
T4	5.83 (13.97)	70.60	10.59 (18.99)	62.82	14.27 (22.19)	57.10	17.89 (25.02)	51.33	39.05	1673
T5	4.96 (12.86)	74.98	8.84 (17.29)	68.97	12.73 (20.90)	61.73	14.65 (22.50)	60.14	41.50	1756
T6	4.13 (11.72)	79.17	6.94 (15.27)	75.64	9.54 (17.99)	71.32	8.25 (16.69)	77.55	49.04	1801
T7	5.32 (13.33)	73.17	7.14 (15.49)	74.38	13.69 (21.71)	58.85	15.41 (23.11)	58.79	33.70	1695
Т8	19.83 (26.44)		28.49 (32.25)		33.27 (35.22)		36.76 (37.32)		25.90	937
C.D. at 5 %	0.45		0.68		0.82		0.94			
S. Em. ±	0.14		0.22		0.26		0.38			

T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 2.5 kg ha⁻¹ on TOS , T2 - Seed treatment with *B .japonicum* at @ 10 g/kg of seed + Soil application of *B .japonicum* @ 2.5 kg ha⁻¹ on TOS , T3- Seed treatment with *Bacillus subtilis* @ 10g/kg of seeds + Soil application of *Bacillus subtilis* @ 2.5 kg ha⁻¹ on TOS , T4 - T1+ T2, T5 - T3 + T4, T6 - T5 + Fortification humic acid @ 100g/ kg of bioinoculants as soil application on TOS, T7 - Seed treatment with Nativo 75 WP @ 1g/kg of seeds , T8- Untreated control.





Evanjalin and John Christopher

Table 3. Induction of defence enzymes in groundnut due to combined application of *Streptomycesalbofaciens*, *B. japonicum* and *Bacillus subtilis* plus fortification with humic acid against challenge inoculation of pathogen

Treat ments			•	n pla			PPO activity in plants Time interval (days) PAL activity in plants Time interval (days) β-1, 3 glucanase activity in plants in plants Time interval (days)							ts	•					
	0	3	5	7	9	0	3	5	7	9	0	3	5	7	9	0	3	5	7	9
T1	1.	1.	1.	1.	0.	0.	0.	0.	0.	0.	64.	66.	70.	40.	29.	33.	42.	50.	63.	55.
	19	35	40	09	95	86	90	94	54	53	54	22	32	89	36	5	8	5	9	8
T2	1.	1.	1.	0.	0.	0.	1.	1.	1.	1.	58.	61.	63.	35.	25.	33.	40.	48.	60.	53.
12	80	05	07	93	87	81	06	19	16	10	87	52	65	98	78	0	7	5	6	4
Т3	0.	0.	0.	0.	0.	0.	0.	0.	0.	0.	49.	52.	55.	29.	22.	32.	40.	48.	60.	53.
13	92	94	96	69	75	74	79	81	42	37	25	12	19	54	32	0	6	4	5	3
T4	1.	1.	1.	1.	0.	1.	1.	1.	0.	0.	66.	69.	72.	55.	35.	34.	44.	52.	64.	57.
17	38	41	45	21	96	12	14	22	85	71	58	25	22	55	27	0	5	1	6	5
T5	1.	2.	2.	1.	0.	1.	1.	1.	1.	1.	77.	85.	91.	68.	55.	35.	49.	55.	72.	69.
13	96	10	41	83	99	41	44	55	03	07	19	25	64	23	33	0	2	3	4	3
Т6	2.	2.	2.	1.	1.	1.	1.	2.	1.	1.	85.	88.	94.	74.	61.	36.	53.	59.	76.	73.
10	10	33	82	97	10	73	94	46	76	38	23	89	46	56	36	8	6	7	7	5
T7	1.	2.	2.	2.	1.	1.	1.	1.	0.	0.	73.	78.	85.	61.	39.	34.	47.	53.	65.	59.
17	75	01	25	20	89	23	25	31	98	96	65	41	44	57	28	5	0	6	5	0
T8-	0.	0.	0.	0.	0.	0.	0.	0.	0.	0.	11.	12.	13.	12.	12.	10.	11.	12.	11.	11.
10-	49	53	58	44	32	33	40	46	19	16	32	43	20	90	85	02	75	01	64	50
Т9	0.	0.	0.	0.	0.	0.	0.	0.	0.	0.	31.	33.	36.	25.	18.	30.	34.	34.	35	34.
19	60	63	67	50	39	44	49	55	27	20	22	97	09	19	27	1	9	9	33	8
C.D. at	0.	0.	0.	0.	0.	0.	0.	0.	0.	0.	2.4	2.6	2.8	1.9	1.3	1.3	1.7	2.0	2.4	2.2
5 %	05	06	07	06	06	04	04	05	04	03	7	0	0	9	2	2	1	0	0	1
S. Em.	0.	0.	0.	0.	0.	0.	0.	0.	0.	0.	8.0	0.8	0.9	0.6	0.4	0.4	0.5	0.6	0.8	0.7
±	02	02	02	02	02	01	01	02	01	01	2	7	3	6	4	3	6	6	2	3

T1- Seed treatment of *Streptomycesalbofaciens* @ 10 g/kg of seed + Soil application of *Streptomycesalbofaciens* @ 50g/pot, T2- Seed treatment with *B.japonicum* at @ 10 g/kg of seed + Soil application of *B.japonicum* @ 50 g/pot on TOS, T3- Seed treatment of *Bacillus subtilis* @ 10g/kg of seeds + Soil application of *Bacillus subtilis* @ 50g/pot on TOS, T4- T1+ T2, T5 - T3 + T4, T6 - T5 + Fortification with humic acid @ 100g/kgof bioinoculants as soil application @ 100g/pot on TOS, T7 - Seed treatment with Nativo 75 WP @ 1g/Kg of seeds, T8-Inoculated control, T9-.Healthy control





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Effect of Holostemma Annulare on Carbohydrate Metabolism in Streptozotocin-Nicotinamide-Induced Diabetic Rats by Modulating Key **Enzymes**

Mohini Upadhye^{1*}, Rutuja Pawar², Divya Choure², Jidnyasa Bhirud², Neha Bagad², Jyoti Broker² and Mohini Kuchekar³

¹HoD and Associate Professor, Department of Pharmacognosy, PES Modern College of Pharmacy (For Ladies), Moshi, (Affiliated to Savitribai Phule Pune University), Pune, Maharashtra, India. ²Research Scholar, Department of Pharmaceutical Quality Assurance, PES Modern College of Pharmacy (For Ladies), Moshi, (Affiliated to Savitribai Phule Pune University), Pune, Maharashtra, India.

³Assistant Professor, Department of Pharmacognosy, PES Modern College of Pharmacy, Nigdi, (Affiliated to Savitribai Phule Pune University), Pune, Maharashtra, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence Mohini Upadhye

HoD and Associate Professor, Department of Pharmacognosy, PES Modern College of Pharmacy (For Ladies), Moshi, (Affiliated to Savitribai Phule Pune University),

Pune, Maharashtra, India.

Email: mohiniketh@rediffmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Diabetes mellitus is a disease due to abnormality of carbohydrate metabolism and it is mainly linked with low blood insulin level or insensitivity of target organs to insulin. This is characterized by hyperglycemia and long term complications affecting the eyes, kidneys, nerves and blood vessels and is the most common endocrine disorder. Although the underlying mechanism of diabetic complications remains unclear, much attention has been focused on the role of oxidative stress. It has been suggested that oxidative stress may contribute to the pathogenesis of different diabetic complications. Diabetic experimental animal models have shown that oxidative stress causes persistent and chronic hyperglycemia, thereby depleting the activities of the antioxidant defense system and otherwise promoting free radicals generation Holostemma annulare is a well-known medicinal plant, which is an important constituent in more than 34 ayurvedic preparations. The roots are reported in tridosha to possess cooling, alterative, tonic and lactative properties. They are also used in diabetes, gonorrhea, coughs, stomach-ache, consumption, fever. The ethanolic extract of Holostemma annulare roots has been reported to contain six amino acids, viz; alanine, aspartic acid, glucine, serine, threonine and valine. The benzene extract contains α -amyrin, lupeol and β -sitosterol.





Mohini Upadhye et al.,

In the present investigation, we attempted further to investigate the alcoholic root extract of *Holostemma* annulare was studied for its antioxidant status and its effects on key enzymes of carbohydrate metabolism in streptozotocin and nicotinamide induced type 2 diabetic rats.

Keywords: Holostemma annulare, enzymes, carbohydrate metabolism etc.

INTRODUCTION

Diabetes mellitus is a condition caused by abnormalities in the metabolism of carbohydrates. It is primarily associated with low blood insulin levels or insulin-insensitive target organs. This is the most prevalent endocrine condition and is characterized by hyperglycemia and long-term problems affecting the kidneys, blood vessels, nerves, and eyes. Sexual disorders, ocular problems, neurological, nephrological, and dermatological complications are all possible outcomes of diabetes. In real terms, these side effects usually account for the majority of disease related societal healthcare expenses. Oxidative stress has drawn significant interest, despite the fact that the precise mechanism behind diabetes problems remains unclear. Oxidative stress has been proposed as a potential factor in the pathophysiology of several diabetes problems. Experimental animal models of diabetes mellitus have demonstrated that oxidative stress results in chronic and persistent hyperglycemia, which in turn reduces the antioxidant defense system's activity and promotes the production of free radicals. A huge perennial plant with a somewhat reddish stem that climbs and is laticiferous, is a member of the Asclepiadaceae family². The longest roots reach up to 1 m in length, are twisted, cylindrical in shape, tapering towards the tip, brown-yellow in color. The thickness of the roots depends on their age and the amount of starch present. The surface texture is relatively smooth except for some fine root marks³. Nighantusamgaram has six species of Holostemma annulare grass. The plant is found in tropical Himalaya, Dehradun, Konkan, Bombay, Deccan, Canara, Karnataka, Kerala and Kanyakumari. It grows in hedgerow and open woodland, especially on the lower slopes of hills⁴. Holostemma annulare is a popular medicinal plant and an important part of more than 34 Ayurvedic preparations. The roots are said to be refreshing, expectorant, tonic and expectorant. It is made into a paste and applied for ophthalmia and orchitis. It is also used for diabetes, gonorrhea, cough, stomachache, consumption, fever and tridosha. The ethanol extract from the roots of Holostemma annulare contains six amino acids. Alanine, aspartic acid, glucine, serine, threonine, and valine. Benzene extract contains α -amyrin, lupeol, and β -sitosterol. In the present investigation, we attempt to re-examine the antioxidant power of the alcoholic root extract of Holostemma annulare and its effects on the main levels of carbohydrate metabolism in streptozotocin and nicotinamide type 2 diabetic rats5.

METHODS AND MATERIALS

Compound microscope, stage micrometer, camera lucida, drawing sheet(black), glass slide, Leica DMLS microscope attached with Leitz MPS 32 camera, silica crucible,ashless filter paper(Whatmann no.44), Petri dish, UV apparatus, stoppered conical flask, magnetic stirrer, alcohol(95%), chloroform, water, chloral hydrate solution, phloroglucinol, hydrochloric acid, glycerin, sodium hydroxide, petroleum ether, acetone, benzene and chloroform.

Chemicals and Instruments

The following chemicals were used for the study: Glucose-6-phosphate dehydrogenase, glucose-6-phosphate, lactate dehydrogenase, streptozotocin, ascorbic acid, metaphosphoric acid, O-phosphoric acid,magnesium chloride, EDTA, sodium citrate, phenazine methosulfate, nitro blue tetrazolium chloride, NADH, NADPH, ATP, glutathione, 5, 5 dithio nitro bis benzoic acid, tocopherol, disodium hydrogen phosphate, potassium hydrogen phosphate, 2,4 dinitro phenyl hydrazine, sodium pyruvate, Tris buffer sodium pyrophosphate, nicotinamide. A UV spectrophotometer, homogenizer, centrifuge and pH meter were the instruments used for the study.





Mohini Upadhye et al.,

Part used: Roots

Medicinal properties and uses

The roots are reported to be refreshing, tonic, and expectorant. It is made into a paste and used for ophthalmitis and orchitis. It is also used for diabetes, gonorrhea, cough, stomachache, fever and tridosha⁵.

Constituents

The ethanolic extract of H.annulareroots contain six amino acids, viz., alanine, aspartic acid, glucine, serine, threonine and valine and the benzene extract contains alpha amyrin, lupeol and beta-sitosterol⁶.

Method for anatomical study

To get rid of the coloring material, free-hand pieces of the stems that had been soaked for the entire night were cooked in choral hydrate. After choosing the clear parts, they were mounted with glycerin on a spotless glass slide and covered with a cover slip. After that, the portion was seen at low power (10 X and 40 X)^{2,3}.

Photomicrography

The photomicrography of the sections at different magnifications as demanded by the anatomical details was taken with the help of the audiovisual unit. The microphotographs were taken using Leica DMLAS microscope, attached with Leitz MPS 32 camera.

Powder Analysis

The dried roots of *Holostemma annulare* were examined for its macroscopic character. The powder of the stems were passed through sieve no.60 and observed under microscope for the microscopical character. The powder was boiled with chloral hydrate to remove colouring matters and viewed under microscope after mounting it on a glass slide using glycerin covering with a cover slip. Then the powder was stained with phloroglucinol in the presence of hydrochloric acid for the lignified structures and again it was viewed under microscope as described earlier. Further iodine water was used to locate the starch⁵.

Preparation of Extract

Ethanol extract

H. annulare roots (160 g) that had been shade-dried and coarsely powdered were extracted with ethanol in a Soxhlet extractor for 72 hours. After cooling and filtering the resulting dark-brown extract, the solvent was extracted in a vacuum and recovered. For further tests, the drug residue kept in a desiccators was utilized. In terms of dry material, the w/w yield was 20%. The dehydrated extract was suspended in 2% gum acacia solution for animal research.

Aqueous extract

The marc obtained after ethanolic extraction was dried and extracted with chloroform water by simple maceration technique. After the extraction, solvent was removed by distillation and concentrated in a suitable lyophilizer.

Animals

Healthy adult male Wistar albino rats weighing 250–300 gwere used for the study. The animals were housed in polypropylenecages, maintained under standard conditions (12 hlight/12 h dark cycle; temperature 25 \pm 30°C; 35–60% humidity), and the rats were fed with a standard rat pellet dietand water ad libitum.

Experiment protocol

Induction of type 2 diabetes

Preparation of Nicotinamide solution:

The required amount of Nicotinamide was accurately weighed and then dissolved in required quantity of normal saline.





Mohini Upadhye et al.,

Preparation of Streptozotocin solution:

Preparation of 0.1M citrate buffer solution pH4.5-

- 1. Accurately weighed quantity of trisodium citrate (14.9 g) was dissolved in sufficient distilled water to produce 1000 ml and the pH(4.5) was adjusted using conc. HCI.
- A solution of STZ was prepared by dissolving the weighed quantity of streptozotocin in 0.1M freshly prepared ice-cold citrate buffer (pH4.5) solution. The solution of STZ so prepared was administered in the volume of 0.5-1ml
- 3. Selected male animals of 90 days old, weighing between 250-300g, fasted overnight were administered with Nicotinamide 120 mg/kg i.p. route and after 15 min Streptozotocin 60mg/kg IP. Fasting blood sugar levels were determined on 12th day to confirm stable hyperglycemia.
- 4. After confirmation of stable hyperglycemia, the diabetic rats were divided into different groups of 6 rats each. That day was considered as day zero⁷.

Experimental Design

The animals were divided into four groups (n = 6); normal ratsadministered with 2% gum acacia solution, diabetic ratsadministered with 2% gum acacia solution, diabetic rats administered with H. annulare extract 250 mg/ kg and diabetic rats administered with H. annulare alcoholic extract 500 mg /kgfor 15 days orally.

Blood Sample

At the end of day 12, the blood samples were collected under light ether anesthesia retro-orbitally from the inner canthus of theeye using capillary tubes (Micro Hemocrit Capillaries, Mucaps). Blood was collected in fresh vials containing anticoagulant, andserum was separated in a centrifuge at 2000 r.p.m. for 2 min.

Collection of Organs

The animals were euthanized using an overdose of intraperitoneal anesthesia, and tissue samples were collected for the assessment of the following parameters. Estimation of Enzymes in Carbohydrate Metabolism The following parameters were evaluated.

Hexokinase

The hexokinase assay is based on the reduction of NADthrough a coupled reaction with glucose-6-phosphate dehydrogenase and is determined spectrophotometrically by measuring the absorbance at 340 nm⁸.

Specimen

The excised liver tissue homogenate was prepared insaline.

Reagents

To 0.1 ml of homogenate was added 2.28 ml of

- 1. Tris(200 mmol I/1), MgCl₂ buffer (20 mol I/1) pH 8,
- 2. 0.5 ml of 0.67 M glucose.
- 3. ml of 16 mM ATP.
- 4. ml of 6.8 mM NAD.
- 5. ml of 300 U ml of 1 glucose-6-phosphate dehydrogenase.
- 6. The solution was mixed thoroughly, and the absorbance was measured at 340 nm^{9,10.} Mixed thoroughly and the absorbance was measured at 340 nm.

Reagent	Blank	Sample (ml)
Tris- MgCl2buffer	2.28	2.28
Glucose	0.5	0.50
ATP	0.1	0.1





Mohini Upadhye et al.,

NAD	0.1	0.1
G6PDH	0.01	0.01
Homogenate	-	0.1

Mixed thoroughly and the absorbance was measured at 340 nm.

Calculation

The hexokinase activity in the tissue was estimated and the results were expressed in U/gm.

Glucose-6-Phosphate Dehydrogenase

The rate of increase in absorbance is the measure of glucose-6-phosphate dehydrogenase activity. The addition of maleimide inhibits the oxidation of reaction products by 6-phospho gluconolactone.

Specimen The liver tissue was excised and rinsed with saline solution and the homogenate was prepared in saline solution¹⁰.

Reagents

To 0.02 ml of homogenate were added 0.6 ml of distilled water.

- 0.1ml of 3.8 mmol/l of NADP.
- 2. Tris buffer, 0.5 mol/l
- 3. 6.05g of Tris base dissolved in 70-80 ml water. The pH was adjusted to 7.5 with Hcl and the volume made upto 100ml.
- 4. MgCl₂, 0.63 mol/l
- 5. 1.28g in 100ml water.
- 6. Glucose 6 phosphate, 33mol/l
- 7. 531 in 100 ml water¹¹.

Reagents	Sample	Blank
Distilled water	0.6	0.6
NADP	0.1	0.1
Tris buffer	0.1	0.1
MgCl₂	0.1	0.1
Glucose 6-phosphate	0.1	0.1

Lactate Dehydrogenase

Lactate dehydrogenase catalyzes the conversion of L-lactate to pyruvate with simultaneous reduction and oxidation of NAD to NADH. The change in absorbance with time as a result of the conversion of NAD to NADH is directly proportional to LDH activity.

Specimen

The liver and kidney homogenate was prepared n saline. The supernatant was obtained by centrifugation of the homogenate.

Reagents

- 1. Tris/ NaCl Solution (Tris 81.3mmol, NaCl₂0₃ 3mmol, pH 7.2). 0.98 g of Tris and 1.19g of NaCl in 50 ml of the water and the pH is adjusted to 7.2 at 30° C and made upto the volume 100ml. This solution is stored at -0.4° C.
- 2. Tris/ NaCl/ NADH solution (Tris 81.3 mmol/l, NaCl 203.2 mmol/l, NADH 0.244 mmol/l, pH 7.2) 0.009g of NADH, disodium salt was dissolved in 50 ml of the solution A. This solution can be frozen.
- 3. Tris/NaCI/ pyruvate solution(Tris 81.3 mmol/l, NaCl 203.2 mmol/l, pyruvate 9.76 mmol/l, pH 7.2) 0.009g of pyruvate, crystallized monosodium salt in 50ml of the solution A. This solution is stored at 4° C or -20° C.





Mohini Upadhye et al.,

Procedure

Tris/ NaCI/ NADH......2.5 ml Sample......0.05 ml

Mixed thoroughly and the solution brought at 30°C.

Tris/NaCI/ pyruvate......0.5 ml

Mixed and the absorbance measured at 339 nm after exactly at 1 min, 2 min, upto 4 min¹⁰.

Calculation

The LDH activity was calculated and expressed in U/gm.

Glucose-6-Phosphatase

Glucose-6-phosphatase catalyzes the conversion of glucose-6-phosphate to glucose. The rate of increase in absorbance at 700 nm is a measure of glucose 6 phosphatase activity. The activity is terminated by the addition of TCA/Ascorbate.

Specimen

The liver was homogenized in ice-cold sucrose (250 mM) solution. The homogenate was centrifuged for 30 min at 9000rpm at 2°C¹².

Reagents

- 1. Sucrose/EDTA buffer
- 2. Imidazole buffer (100 mM, pH 6.5).
- 3. Na₂HPO₄(1.5 Mm)
- 4. TCA/Ascorbate.
- 5. Ammonium molybdate (1% w/v).
- Sodium citrate (2% w/v)¹³.

Procedure

To 0.1 ml of sucrose/EDTA buffer were added. 0.1 ml of glucose-6-phosphate (100 mM), 0.1 ml of imidazole buffer (100 mM, pH 6.5) and 0.1 ml of homogenate, with thorough mixing. The tubes were incubated at 37° C for 15 min. The enzymatic activity was terminated by the addition of 2 ml of TCA/ Ascorbate (10%/2% w/v), and the solution was centrifuged at 3000 r.p.m. for 10 min. To 1 ml of clear supernatant were added 0.5 ml of ammonium molybdate (1% w/v) and 1 ml of sodium citrate (2%, w/v). The absorbance was measured at 700 nm. The enzyme activity was expressed as unit per gram per minute in tissue¹⁴.

Calculation

The Glucose 6- phosphatase activity in the tissue was calculated and the results were expressed in U/gm in tissue.

Estimation of Antioxidant Parameters

Enzymatic antioxidants glutathione synthetase and glutathione peroxidase, catalase, peroxidase, superoxide dismutase and nonenzymatic antioxidants were determined.

Glutathione Synthetase

Virtually all of the non protein sulfhydryl groups of RBCs are in the form of reduced GSH. 5,5- di thiobis (2- nitro Benzoic acid) is a disulfide chromogen that is readily reduced by sulfhydryl compounds to an intensely yellow compound. The absorbance of the reduced chromogen is measured at 412 nm and is directly proportional to the GSH concentration^{14,15.}

Specimen

Assay was conducted in liver and kidney. The excised organs were rinsed with ice cold saline and blotted dry. It was homogenized in 5 volumes of 1% w/v picric acid. The homogenate was centrifuged. The supernatant was used for the assay^{16,17.}





Mohini Upadhye et al.,

Reagents

1. Precipitation solution

Dissolved 1.67g of glacial meta phosphoric acid, 0.20g disodium EDTA and 30g of NaCl in distilled water and made upto the volume 100 ml. A fine precipitate may form owing to EDTA, but this does not interfere with the test.

2. Disodium phosphate solution, 0.3 mol/l

4.26g of disodium hydrogen phosphate was dissolved in distilled water and made upto the volume 100 ml.

3. DTNB reagent

40 mg of DTNB was dissolved in sodium citrate (1g/dl) and made upto 100 ml¹⁸

Reagent (ml)	Blank (ml)	Sample (ml)
Filtrate	-	2
Precipitating solution	1.2	-
Water	8.0	-
Na ₂ HPO ₄ solution	8	8
DTNB solution	1	1

Procedure

- **1.** 0.2 ml of homogenate was added to the test tube and dissolved in 1.8 ml water. The solution wasmixed thoroughly.
- 2. 3 ml of precipitation solution was added and mixed.
- 3. Allowed to stand for 5 min at room temperature and filtered through coarse filter paper.
- 4. Then, the absorbance was measured at 412 nm^{19,10}

Calculation

The GSH concentration in the tissues was calculated and the results were expressed in U/g/min.

Peroxidase

A resonance stabilized radical cation(ABTS+) is formed if an excess of substance is used. The amount of ABTS+ is proportional to the loss of H_2O_2 . Thus with excess POD, the reaction may be used in H_2O_2 determination. Within a certain range, the amount of degraded H_2O_2 is proportional to the POD added.

Specimen

The liver and kidney tissue homogenized in phosphate buffer pH 6. The homogenate was used for the assay.¹⁰

Reagents

- 1. ABTS solution (2×10 mol/l)
 - 1.1g of ABTS (diammonium salt) dissolved in 100 ml of aqueous phosphate buffer, 0.067 mol/l, pH 6 (0.144g sodium dihydrogen phosphate and 0.798g potassium dihydrogen phosphate in 100 ml water).
- 2. H₂O₂ (10 mol/l)

The commercial solution of 10 mol/l is diluted to 0.1 mol/l

3. POD (for calibration purpose)

Lyophilized horse radish POD of the highest available purity (250U/mg) dissolved in various concentrations in phosphate buffer. POD solution should be kept at $0-4\,^{\circ}C^{20}$.

Reagents	Blank	Sample
ABTS solution	0.2 ml	0.2 ml
H2O2 solution	0.2 ml	0.2 ml
Homogenate	-	2 ml
P3whosphate buffer	2 ml	_





Mohini Upadhye et al.,

Procedure

The absorbance was measured at 405 nm.

Calculation

The peroxidase activity was calculated and expressed in U/gm.

Catalaca

In the UV range H_2O_2 shows a continual increase in absorption with decreasing wavelength. The decomposition of H_2O_2 can be followed directly by a decrease in absorbance at 240 nm. The difference in absorbance per unit time is a measure of catalytic activity.¹⁶

Specimen

The liver and kidney were excised and homogenized with 1% Triton X-100. Further dilution can be made with phosphate buffer pH 7(1:100) 21,22

Reagents

- 1. Phosphate buffer (50 mmol/l, pH 7)
 - 0.68g of potassium dihydrogen phosphate and 0.89g of disodium hydrogen phosphate were dissolved in water. The pH is adjusted to 7 and the volume made upto 100 ml.
- 2. Hydrogen Peroxide (30 mmol/l)
 - 0.34 ml of 30% hydrogen peroxide was dissolved in phosphate buffer and made up to avolume 100 ml. This should be prepared freshly.^{23,24}.

Reagent	Blank	Sample
Phosphate buffer	1 ml	-
Homogenate	2 ml	2 ml
H ₂ O ₂	-	1 ml

Procedure

Mixed well and the absorbance measured at 240 nm. The reading were taken at different time interval (5 or 10 sec)10

Calculation

The catalase activity was calculated and the results were expressed in U/g/min × 103

Superoxide dismutase

This method is based on the inhibition of NADH-dependent nitro blue tetrazolium reduction by dismutase. Inhibition of 5th chromogen formation by superoxide dismutase was linear with increase in enzyme concentration.¹⁶

Specimen

The liver and kidney were homogenized in sodium pyrophosphate buffer pH 8.3 and the homogenate used for the assay.²⁵

Reagents

- 1. Sodium pyrophosphate buffer 0.052 M pH 8.3.
- 2. Phenazine methosulfate (186 µM)
- 3. NBT (300µM)
 - 2.6034g in 10 ml water.
- 4. NADH (780 μM)
 - 9 mg in 10 ml water^{26,27}





Mohini Upadhye et al.,

Procedure

- 1. 1.2 ml sodium pyrophosphate buffer, 0.1 ml of phenazine metho sulfate, 0.3 ml of NBT were added in the test tube
- 2. 1.2 ml homogenate was added to the test tube. The reaction was started by the addition of 0.2 ml of NADH.
- 3. After incubation at 30° C for 90 sec, the reaction was stopped by the addition of 1 ml of glacial acetic acid.
- 4. The reaction mixture was stirred vigorously and shaken with 4 ml butanol.
- 5. The mixture was allowed to stand for 10 min, centrifuged and the butanol layer was taken out.
- Colour intensity of the chromogen in the butanol was measured at 560 nm¹⁰.
 Calculation The superoxide dismutase activity was determined in the tissue and the results were expressed in U/gm.

RESULTS AND DISCUSSION

The plant Holostemma annulare Rosh, Asclepiadaceae was studied for its Pharmacognóstical, phytochemical and antidiabetic activity. Pharmacognóstical studies on the plant which is macroscopy, microscopy, powder analysisare a valuable source of information and provide suitable standards for the identification of this plant material for future investigation. Preliminary phytochemical studies on the plant revealed the presence of mucilage, flavonoids, glycosides, steroids and carbohydrates. Further fractionation of the ethanolic extract led to the isolation of uncharacterized steroidal compounds. The roots of *Holostemma annulare* are long, slightly cylindrical, unevenly twisted, and taper gradually towards the tip. Only a few scars of rootlets break the very smooth surface of the roots. Upon closer examination, the organoleptic features exhibit a color that varies from yellow-brown to brownishblack, a sweet taste, and a faintly sweet odor. Under a microscope, the transverse slice of the root exhibits a cork layer comprising four to five layers of tangentially elongated cells. The cells in the outermost row are light brown in color, and a distinct cork or cambium is seen. The 4-5 layers of tangentially elongated cells that make up the phelloderm are mostly composed of starch grains, and some of these cells also exhibit prominent calcium oxalate crystals. Large in size, with strong walls and many pits or pith chambers, Sclereids are found in the deepest levels of the phelloderm. Five to six layers of cortical cells rich in starch granules are seen as one moves farther within. Radially extended uniseriate rays identify the phloem, whereas the xylem makes up around two-thirds of the radius and is composed of lignified parenchyma with thick walls. In addition, there are prominent uniseriate medullary rays on the primary xylem (figure 1). The properties of root cork powder show that it is composed of four to five layers of empty, thinwalled, tangentially elongated cells. These outermost layer of cell has a light brown tint to it. There are also clearly visible sclereids, or stone cells, which have an isodiametric form.

These stone cells have thick, lignified walls that are filled with many pits or pit cavities. Cluster crystals of calcium oxalate are also seen in the sample. In addition, pieces of spiral, annual, and reticulate vessels can be found in the powder. In the end, the sample exhibits fibers that are both lignified and non-lignified (As per figure). The roots of the plant Holostemma annulare have been documented for its use in diabetes mellitus1. As the activity has not been documented, the present study was undertaken to evaluate the antidiabetic effect of the alcoholic and aqueous extracts in streptozotocin and nicotinamide induced NIDDM rats. The acute toxicity studies revealed the non-toxic nature of the aqueous and alcoholic extracts of Holostemma annulare. The extracts were safe up to 3000mg/kg. No lethality or any toxic reactions were found up to the end of the experimental period. The fundamental mechanism underlying hyperglycemia in diabetes mellitus involves the over production (excessive hepatic glycogenolysis and gluconeogenesis) and decreased utilization of glucose by the tissues²⁸. Persistent hyperglycemia, the common characteristic of diabetes, can cause most diabetic complications. In all patients, treatment should aim to lower blood glucose to or near normal levels²⁹. In our investigations, oral glucose tolerance tests revealed the antidiabetic activity exerted by the aqueous and alcoholic extract of Holostemma annulare. The alcoholic extract in the dose of 500mg/kg showed a significant reduction on blood glucose level within 2 hr. It is well documented that STZ destroys the beta cells of the pancreas and causes hyperglycemia in rats. Studies on STZ induced diabetic animals treated with test extracts revealed significant reduction in the blood sugar level when compared with diabetic control groups at the





Mohini Upadhye et al.,

end of a 15-day experimental period. The significant decrease in the levels of diabetic rats treated with the extracts may be by stimulation of residual pancreatic mechanism or by probably increasing peripheral utilization of glucose³⁰. Significant reduction in urine volume, water intake and increase in food intake was observed at the end of the experimental period. These parameters support the potential antidiabetic activity exerted by the test extracts. The present study showed a marked increase in the serum triglycerides and cholesterol levels in control diabetic rats, which are in agreement with the findings of Nikkila and Kekki, 1973, and Chase and Glasgow, 1976 it is well known that under normal circumstances insulin activates the enzyme lipoprotein lipase which hydrolyzes the triglycerides. Insulin deficiency results in the failure to activate the enzymes thereby resulting in hypertriglyceridemia^{31, 32}. The significant control of the levels of serum lipids in the extract treated diabetic rats may therefore be because of improvements in the insulin level. Significant lowering of total cholesterol and raise in HDL cholesterol is a very desirable biochemical state for prevention of atherosclerosis and ischaemicconditions³³. Impairment of glycogen synthesis in diabetic rats has been reported by Whitton and Hems, 1775. The untreated control group animals in our investigation gave inferences in compliance with the above findings. The significant increase in the liver glycogen levels in extract treated animals may be due to the reactivation of glycogen synthase systems. The activities of different key enzymes viz, Hexokinase, Glucose-6-phosphate dehydrogenase and lactate dehydrogenase levels in diabetic animals treated with 500 mg/kg of the alcoholic extract of H. annulare showed better enzyme activity than those treated with the lower (250 mg/kg) dose of the alcoholic extract.

The activity was more in alcoholic extract than aqueous extract. The significant increase in the levels of Hexokinase, a key glycolytic enzyme known to decrease in the diabetic state34, may be due to the direct stimulation of glycolysis in tissues with increased glucose removal from the blood. The significant reversal of diabetes induced decreased levels of Glucose-6-phosphate dehydrogenase and lactate dehydrogenase may be attributed to an increase in Glucose utilization through the pentose phosphate pathway³⁵, interfering with the mitochondrial respiratory chain and promoting the peripheral glucose utilization by enhancing anaerobic glycolysis36. Treated groups exhibited a significant decrease in the levels of Glucose-6-phosphatase, a key enzyme in gluconeogenesis, plays an important role in glucose homeostasis in the liver and kidney³⁷. The decreased levels observed in treated diabetic animals may be because of the suppression of hepatic gluconeogenesis and glucose output from liver. Serum urea and creatinine levels were also decreased significantly compared with the diabetic control. Total Protein levels were also increased in the extract treated diabetic animals compared to the diabetic animals. Antioxidants are of two types: enzymatic and nonenzymatic antioxidants. Catalase, Superoxide Dismutase, Peroxidase and Glutathione synthetase are examples of enzymatic antioxidants. Superoxide dismutase and Catalase are considered primary enzymes since they are involved in the direct elimination of reactive oxygen species³⁸. Superoxide dismutase is an important defense enzyme, which catalyzes the dismutation of superoxide radicals, and Catalase is a hemoprotein, which catalyzes the reduction of Hydrogen peroxides and protects tissues from highly reactive hydroxyl radicals39. The reduced activity of Superoxide dismutase and Catalase in the liver and kidney observed during diabetes may result in deleterious effects as a result of the accumulation of superoxide anion radicals and Hydrogen peroxide⁴⁰.

Glutathione synthetase, the most important biomolecule protecting against chemical induced toxicity, participates in the elimination of reactive intermediates by reduction of hydroperoxide in the presence of Glutathione peroxidase⁴¹. The decreased level of glutathione synthetase observed in the diabetic animals represents increased utilization resulting from oxidative stress⁴². In our study the activity of enzymatic antioxidants (catalase, glutathione synthetase, peroxidase and superoxide dismutase) increased significantly in extract-treated diabetic animals. Tocopherol is a nonenzymatic antioxidant, which reduces lipid hydroperoxides, generated during the process of peroxidation and protects cell structures against damage⁴³. In our investigations, the levels of both enzymatic and nonenzymatic antioxidants, which declined in the diabetic animals, were significantly restored on treatment with the alcoholic extract. The overexpression of these antioxidant parameters in diabetic rats treated with Holostemma annulareimplies that this potential oxidant defense is reactivated by the active principles of Holostemma annulare, with an increase in the capacity for detoxification through enhanced scavenging of oxy radicals. The significant inhibitory activity in in vitro antioxidant studies of the test extracts with DPPH, ABTS & reduction of ferric ions, indicate the free radical scavenging activity of these extracts. Our studies have shown that the plant Holostemma





Mohini Upadhye et al.,

annulareis endowed with marked antidiabetic activity with minimal toxicity. Its potent antidiabetic activity may be attributed to its principal constituents such as flavonoids. However, longer duration studies of Holostemma annul are and its isolated compounds on chronic models are necessary to develop a potent antidiabetic drug.

CONCLUSION

In streptozocin-induced diabetic rats, these results indicate that Holostemma annulare aqueous extract has anti-diabetic properties due to its hypolipidemic, antioxidant, and protective effects on pancreatic β -cells, which help to increase glucose metabolism. Its potent antidiabetic activity may be attributed to the steroids and flavonoids present therein. Plant sterois inhibit the absorption of dietary cholesterol and flavonoids are reported to be useful in the treatment of diabetes mellitus.

REFERENCES

- 1. Wealth of India
- 2. Gamble, J.Sthe flora of the presidency of Madras. Vol.I-III, Calcutta, Botanical Survey of India, 1967.
- 3. Kolammal M. Pharmacognosy of ayurvedic drugs. University of Kerala, Trivandrum, 1979, pp 214.
- 4. Krishnan Nambier VP, Jayanthi A, Sabu TK, Rajendrakumar K, Pharmacognostical studies on Jivanti (Holostemma Ada-Kodien Schult.). Aryavaidyan,1997;11(1): 37–51.
- 5. Annie Shirwaikar, I.S.R. Punitha, Mohini Upadhye & Anju Dhiman (2007) Antidiabetic Activity of Alcohol Root Extract of Holostemma annulare. in NIDDM Rats, Pharmaceutical Biology, 45:6, 440-445
- 6. Ramiah N. Nair GA, Prasad NBR, chemical components of *Holostemma annulare* K. Sehun. J. Sci. Res. Plants Med., 1981, 2(3), 76-78 Robbinson pathology and physiology, the endocrine system, page no: 343-853.
- Furman BL, Streptozotocin-Induced Diabetic Models in Mice and Rats. Curr Protoc. 2021;1(4):e78.
- 8. Walter R Wright, John C Rainwater, Lawerence D Tolle. Glucose Assay systems Evaluation of a Colorimetric Hexokinase procedure. Clinical Chem. 1971; 17(10) 1010-1015.
- 9. Socher M, Baquer NZ, Hotherall J, Mclean P. Effect of experimental diabetes on the activities of hexokinase isoenzymes in tissues of the rat. Biochem. Int. 1990, 22(3): 467-474)
- 10. Donald W. Moss In Bergmeyer Methods of enzymatic analysis. Jed edition, Vol 3, Verlag Chemie, 1981
- 11. Maria Alegre, Carlos J Ciudad, Cristina Fillat, Joan J Guinovart. Determination of Glucose 6 Phosphatase activity using the glucose dehydrogenase-coupled reaction. Analytical Biochem. 1988; 173: 185-189
- 12. Mohammed Zahid Hasin Beisit Alam Shibih, Rafiquer Rahman Hypoglycemic effects of coccinia Indica Inhibition of key gluconeogenic enzyme. Phosphatase Ind / Expit Biol Bi 1992. 30418-420
- 13. Marat B Murataliev, Evgeni N Vulfson. A pH metrical method of determining Glucose 6 Phosphatase activity. Analytical Biochem. 1985; 151:24-27.
- 14. Rall T. W., Lehninger A. L. (1952). Glutathione reductase of animal tissues. J. Biol. Chem. 194, 119–130
- 15. Brent A Neuschwander-Tetri, Joseph Roll F. Glutathione measurement by high performance liquid chromatography separation and flurumetric detection of the glutathione-orthophalaldehyde adduct. Analytical Biochem. 1989; 179: 236-241.
- 16. Conard R. Wheeler, Jhaine A Salzman, Nabil M Elsayed, Stanley T. Omaye, Don W Korte, Automated assays for superoxide dismutase, catalase, glutathione peroxidase and glutathione reductase activity. Analytical Biochem. 1990, 184: 193-199
- 17. Ivan K.Smith, Thomas L. Vierheller, Carol A.Throne. Assay of glutathione reductase in crude tissue homogenates using 5,5'-dithiobis (2-nitro benzoic acid) Analytical Biochem. 1988; 175: 404-413
- 18. Peter Eyer, Dusan Podhradsky. Evaluation of the micromethod for determination of glutathione using enzymatic cycling and Ellman's reagent. Analytical Biochem., 1986; 153: 57-66.
- 19. Ellman GL. Tissue sulfhydryl groups. Arch BioChemBiophys. 1959; 82:70-77





Mohini Upadhye et al.,

- 20. Pagilla DE Valentaine WA. Studies on the glutathione and glutathione characterization of erythrocyte glutathione peroxidase. J Lab Clin Med. 1967; 70 153-169.
- 21. Cohen G, Dembiec D, Marcus J. Measurement of catalase activity in tissue extracts. Anal Biochem. 1970 Mar:34:30-8
- 22. Lars H Johansson, Hakan Borg LA. A spectrometric method for determination of Catalase activity in small tissue samples. Analytical Biochem, 1988; 174: 331- 336
- 23. Daniel Boismenu, Francis Lepine, Marcel Gagnon, Hermann Dugas, Catalase activity measurement with the disk flotation method. Analytical Biochem. 1989, 178: 404-407.
- 24. Beer RF, Seizer TW. A spectrometric method for measuring breakdown of hydrogen peroxide by catalase. J Biol. Chem. 1952, 115: 130-140
- 25. Omar BA, Gad N M, Jordan M C, Striplin S P, Russell W J, Downey J M and McCord J M. 1990. Cardioprotection by Cu,Zn-Superoxide Dismutase Is Lost at High Doses in the Reoxygenated Heart. Free Radical Biol Med 9:465-471
- 26. Douglas R.Spitz, Larry W Oberley. An assay for super oxide dismutase activity in mammalian tissue homogenates. Analytical Biochem. 1989; 179: 8-18.
- 27. Chattopadhay RR. A Compoestive Evaluation of Some Blood Sugar Lowering Agents of Plant Origin. J Ethno Pharm, 1999; 67 (3) 367-372.
- 28. Latmer A. Carbohydrate Metabolism, Abnormalities of Post Absorptive Blood Sugar Level. In. Clinical Biochemistry, 2nd edn. W.B. Saunders and Co., Philadelphia. 1958 pg. 48.
- 29. American Diabetes Association Standards of medical care for paints diabetes mellitus. Diabetes Care 1998, 21(suppl 1) 22-01
- 30. Erah PO, Osmde GE, Omogbai EKI. Hypoglycemic effect of the extract of Solenostemonmonostachys leaves. J West Afr Pharm. 1996;10:21–27.
- 31. Nikkilä EA, Kekki M. Plasma triglyceride transport kinetics in diabetes mellitus. Metabolism. 1973;22(1):1-22.
- 32. Chase PH, Glasgow AM. Juvenile diabetes mellitus and serum lipids and lipoprotein levels. Am J Dis Child. 1976;130(10):1113-7.
- 33. Luc G, Fruchart J. Oxidation of lipoproteins and atherosclerosis, The American Journal of Clinical Nutrition, 1991, 53:206-209.
- 34. Baquer NZ, Gupta D, Jayadev R. Regulation of metabolic pathways in liver and kidney during experimental diabetes: effects of antidiabetic compounds. Ind J Clinical Chem., 1998, 13(2): 63-80
- 35. Ugochukwu NH, Babady NE, Cobourne M, Gasset SR. The effect of Gongronemalatifolium extracts on serum lipid profile and oxidative stress in hepatocytes of diabetic rats. J Biosci. 2003;28(1):1-5.
- 36. Burtis CA and Ashwood ER, Clinical Chemistry, 2002, 48(1), 213.
- 37. Berg JW, Appelbaum PS, Lidz CW Parker LS, Informed Consent: Legal Theory and Clinical Practice. 2001
- 38. Halliwell B, Gutteridge JM. The importance of free radicals and catalytic metal ions in human diseases. Mol Aspects Med. 1985;8(2):89-193.
- 39. Mayevsky A, Chance B, Oxidation–reduction states of NADH in vivo: From animals to clinical use, Mitochondrion, 2007, 7(5);330-339.
- 40. Searle AJ, Willson RL. Glutathione peroxidase: effect of superoxide, hydroxyl and bromine free radicals on enzyme activity. Int J Radiat Biol Relat Stud Phys Chem Med. 1980;37(2):213-217.
- 41. Nicotera P, Hartzell P, Baldi C, Svensson SA, Bellomo G, Orrenius S. Cystamine induces toxicity in hepatocytes through the elevation of cytosolic Ca2+ and the stimulation of a nonlysosomal proteolytic system. **J Biol Chem.** 1986 Nov 5;261(31):14628–14635.
- 42. Anuradha CV, Selvam R, Effect of oral methionine on tissue lipid peroxidation and antioxidants in alloxan-induced diabetic rats, The Journal of Nutritional Biochemistry, 1993, 4(4), 212-217.
- 43. Kinalski M, Sledziewski A, Kretowski A, Intrauterine stimulation for fetal respiratory system maturation; benefits and risks. 2000;53(9-10):538-545.





Mohini Upadhye et al.,

Table.1 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- Hexokinase level in NIDDM rats.

Croup	Treated (n=6)	Dose	Hexok inase		
Group	r reated (ri=6)	Dose	Liver(U/g)		
1	Normal control	-	9.6 ± 0.2		
2	Diabetic control	-	2.3 ± 0.1		
3	Aq. Ext. A	250 mg/kg	4.0±0.2		
4	Aq. Ext. B	500 mg/kg	4.28±0.8b		
5	EtOH Ext. A	250 mg/kg	$5.2 \pm 0.1^{a,b}$		
6	EtOH Ext. B	500 mg/kg	$8.4 \pm 0.6^{a,b}$		
7	Metformin	75 mg/kg	8.9±0.9		

Table . 2 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- glucose 6 PDH level in NIDDM rats.

Croup	Treated (n=6)	Dose	Glucose 6 PDH
Group	r reated (ri=6)	Doze	Liver(U/g)
1	Normal control	-	17.1 ± 1.3
2	Diabetic control	-	12.3 ± 1.6
3	Aq. Ext. A	250 mg/kg	12.7 ± 4.8
4	Aq. Ext. B	500 mg/kg	13.1 ± 3.3b
5	EtOH Ext. A	250 mg/kg	12.9 ± 1.5 ^{a,b}
6	EtOH Ext. B	500 mg/kg	13.9 ± 1.5 a,b
7	Metformin	75 mg/kg	18.5 ± 1.2

Table.3 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- Lactate Dehydrogenase level in NIDDM rats

	2011 June germaes 10101 11111 = 2111 1 une				
1	Normal	-	0.74 ± 0.02	1.4 ± 0.3	
2	Control	-	0.23 ± 0.1	0.6 ± 0.2	
3	Aq. Ext. A	250 mg/kg	0.35±0.05b	0.9 ± 0.4b	
4	Aq. Ext. B	500 mg/kg	0.37±0.07b	0.9 ± 0.6 ^b 1	
5	EtOH Ext. A	250 mg/kg	0.42 ± 0.06b	0.9 ± 0.7b	
6	EtOH Ext. B	500 mg/kg	0.68± 0.03a,b	1.1 ± 0.7 a,b	
7	Metformin	75 mg/kg	0.69 ± 0.87	12.1 ± 1.6	

Table.4 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- Glucose 6 Phosphate level in NIDDM rats.

Group	Treated (n=6)	Dose	Glucose 6 Phosphatase	
Group	r reateu (ri=0)	Dose	Liver (U/g/min)	Kidney (U/g/min)





Mohini Upadhye et al.,

1	Normal control	-	10.5 ± 1.3	12.9 ± 2.1 a
2	Diabetic control	-	30.6 ± 1.4	18.2 ± 1.5
3	Aq. Ext. A	250 mg/kg	22.4 ±1.9 ^b	17.9 ±1.8
4	Aq. Ext. B	500 mg/kg	21.5 ±1.2 ^b	17.2 ±1.3 ^b
5	EtOH Ext. A	250 mg/kg	20.7 ± 1.4 ^{a,b}	16.3 ± 1.4 ^{a,b}
6	EtOH Ext. B	500 mg/kg	17.3 ± 1.5a,b,c	15.5 ± 1.4a,b,c
7	Metformin	75 mg/kg	12.6 ± 0.25	12.7 ± 1.2

Table .5 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- Glutathione Synthetase level in NIDDM rats.

Croup	Trooted (n. ()	Dose	Glutathione Synthetase	
Group	Treated (n=6)	Dose	Liver (U/g/min)	Kidney (U/g/min)
1	Normal Control	-	5.5 ± 0.3a	2.1 ± 0.4 ^a
2	Diabetic Control	-	2.2 ± 0.4	1.6 ± 0.3
3	Aq. Ext. A	250 mg/kg	2.6 ± 0.9	1.7 ± 0.3
4	Aq. Ext. B	500 mg/kg	2.8 ± 0.1a,b	1.7 ± 0.4 b
5	EtOH Ext. A	250 mg/kg	2.8 ± 0.3 a,b	1.7 ± 0.1 ^b
6	EtOH Ext. B	500 mg/kg	$3.4 \pm 0.4^{a,b,c}$	$1.8 \pm 0.5^{a,b,c}$
7	Metformin	75 mg/kg	5.3 ± 0.2	2.0 ± 0.1

Table.6 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme-Peroxidase level in NIDDM rats.

		Pero	xidase
Treated (n=6)	Dose	Liver (U/g/min)	Kidney (U/g/min)
Normal Control	-	2.1 ± 0.1	0.6 ± 0.03
Diabetic Control	-	1.1 ± 0.3	0.1 ± 0.02
Aq. Ext. A	250 mg/kg	1.3± 0.1b	0.2± 0.05
Aq. Ext. B	500 mg/kg	1.4 ±0.6 ^{a,b}	0.2 ±0.05 b
EtOH Ext. A	250 mg/kg	1.4 ±0.2a,b	0.2 ± 0.03
EtOH Ext. B	500 mg/kg	$1.6 \pm 0.3^{a,b}$	$0.3 \pm 0.06^{1a,b}$
Metformin	75 mg/kg	2.2± 0.78	0.5 ± 0.9

Table.7 Effect of aqueous and alcoholic root extracts of *Holostemma annulare* on glycolytic enzyme- Catalaselevel in NIDDM rats.

Treated (n=6)	Dose	Catalase	
r reated (ri=0)	Duse	Liver (U/g/minx10³)	Kidney (U/g/minx10³)
Normal Control	=	35.3 ± 1.3 ^a	12.8 ± 1.1





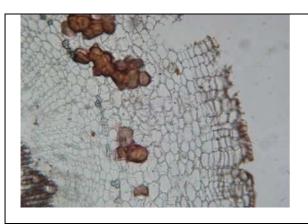
International Bimonthly (Print) - Open Access ISSN: 0976 - 0997 Vol.15 / Issue 86 / Oct / 2024

Mohini Upadhye et al.,

Diabetic Control	-	15.9± 1.1	7.4 ± 0.4
Aq. Ext. A	250 mg/kg	22.1± 1.9	9.9 ±0.9 ^{a,b}
Aq. Ext. B	500 mg/kg	23.7±2.2b	8.0 ±0.3 ^{a,b}
EtOH Ext. A	250 mg/kg	22.9 ± 1.4 b	7.9± 0.7a,b
EtOH Ext. B	500 mg/kg	29.3 ± 1.7 ^{a,b}	9.3 ± 0.9 ^{a,b}
Metformin	75 mg/kg	32 ± 0.3	12.2 ± 0.8

Table.8 Effect of aqueous and alcoholic root extracts of Holostemma annulare on glycolytic enzyme- Superoxide Dismutase level in NIDDM rats.

Croun	Trooted (n. ()	Dose	Superoxide Dismutase	
Group	Treated (n=6)	Dose	Liver (U/g)	Kidney (U/g)
1	Normal Control	-	219.2±18.4	136.1 ±14.6
2	Diabetic Control	-	86.6± 22.6	65.6 ± 14.8
3	Aq. Ext. A	250 mg/kg	112.4 ± 18.3	85.3 ± 16.3b
4	Aq. Ext. B	500 mg/kg	123.5 ± 22.1 a	92.9 ± 23.5 ^b
5	EtOH Ext. A	250 mg/kg	148.7 ± 28.7 ^{a,b}	98.7± 13.5b
6	EtOH Ext. B	500 mg/kg	162.4 ± 15.9 ^{a,b}	121.0 ± 17.5 ^{a,b}
7	Metformin	75 mg/kg	198 ± 0.6	129 ± 0.7



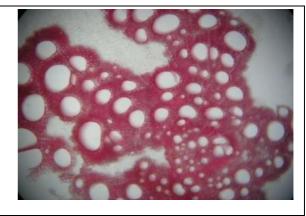


Fig.1 T.S of Holostemma ananul are root (A)

Fig. 2. T.S of Holostemma annul are root (B)











Fig. Cork



Fig. Stone cells



Fig. Starch grains Fig. Calcium oxalate crystal

Fig. Non-lignified fibres

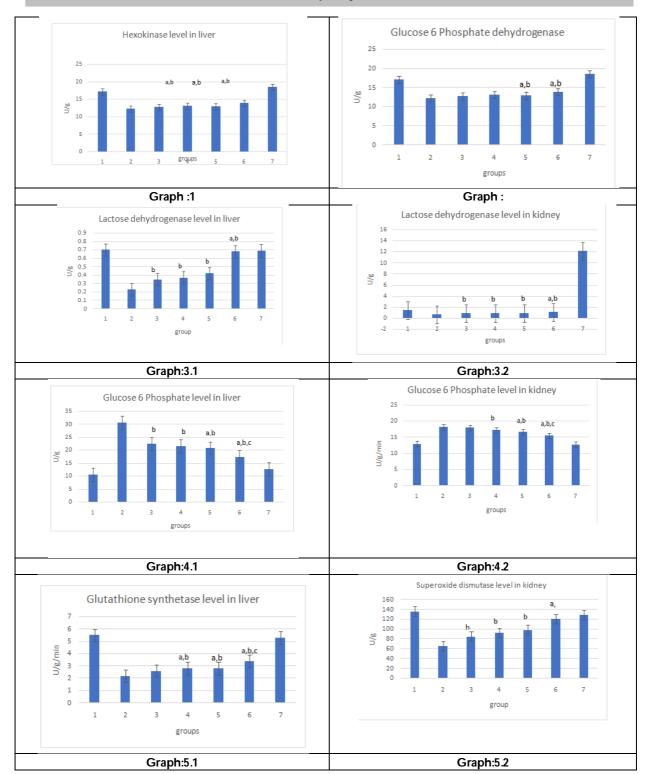
Fig. lignified fibres





Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Mohini Upadhye et al.,





Graph:8.1



Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Mohini Upadhye et al., Peroxidase level in liver Peroxidase level in kidney 0.8 0.7 2.5 0.6 0.5 0.4 0.3 2 U/g/mim 0.2 0.1 groups Graph:6.1 Graph:6.2 Catalase level in kidney Catalase level in liver 16 14 12 10 8 6 40 35 30 25 20 15 10 5 U/g/mim X 10,000 U/g/min X 10,000 groups groups Graph:7.1 Graph:7.2 Superoxide dismutase level in liver Superoxide dismutase level in kidney 250 160 140 200 120 150 100 g/n g/n 80 100 60 50 40 3 4 5 groups Group



Graph:8.2



RESEARCH ARTICLE

Assessment of Behavioural Changes and usage of Siddha Prophylactic Medicines during Covid-19 Pandemic in Children – A Cross Sectional Study

G. Subashini^{1*}, P.Arulmozhi², M.Meenakshi Sundaram³ and R. Meenakumari⁴

¹PG Scholar, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, (Affiliated to The Tamil Nadu Dr. M.G.R. Medical University), Chennai, Tamil Nadu, India.

²Associate Professor, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, (Affiliated to The Tamil Nadu Dr. M.G.R. Medical University), Chennai, Tamil Nadu, India.

³Professor and HoD, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, (Affiliated to The Tamil Nadu Dr. M.G.R. Medical University), Chennai, Tamil Nadu, India.

⁴Director, National Institute of Siddha, (Affiliated to The Tamil Nadu Dr. M.G.R. Medical University), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 26 Sep 2024

*Address for Correspondence

G. Subashini

PG Scholar, Department of Kuzhandhai Maruthuvam,

National Institute of Siddha,

(Affiliated to The Tamil Nadu Dr. M.G.R. Medical University),

Chennai, Tamil Nadu, India.

Email: dr.subashinikrishnan2917@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The current outbreak of pneumonia, originating in Wuhan City, Hubei Province, China, since December 2019, is caused by a novel coronavirus referred to as '2019 novel coronavirus,' or 'COVID-19' by the World Health Organization (WHO). COVID-19, a pathogenic virus, has triggered a wide range of challenges during the pandemic, impacting mental health across all age groups, including children and adolescents. Feelings of grief, fear, and uncertainty, coupled with social isolation, increased screen time, and parental fatigue, have adversely affected the mental well-being of children. While friendships and family support typically serve as strong stabilizing forces for children, the COVID-19 pandemic has disrupted these important sources of support. In this study, the assessment of behavioural changes and evaluation of the usage of siddha prophylactic medicines, home remedies, yoga practices during the Covid-19 pandemic in children by using modified standard questionnaire. This study conducted in children attending Kuzhanthaimaruthuvam OPD, NIS by using direct interview method to the children and their informants, after obtaining proper consent from the informant of the children and assent from the children. There were significant behavioural changes like having trouble in concentrating on things, such as reading the newspaper or watching television; and problems paying attention in class or doing homework or reading a book or playing a game observed in these children. It is also observed that siddha prophylactic medicines were widely used among these children during the pandemic. Therefore, from this study it is observed that there is a need to develop healthy coping mechanisms during the current crisis.





Subashini et al.,

Keywords: COVID-19, Behavioural changes, Children, Siddha prophylactic medicines.

INTRODUCTION

A novel coronavirus (CoV) named '2019-nCoV' or '2019 novel coronavirus' or 'COVID19' by the World Health Organization (WHO) is in charge of the current outbreak of pneumonia that began at the early of December 2019 near in Wuhan City, Hubei Province, China. The COVID-19 pandemic emerged, presenting a complex array of challenges that impacted the mental health of individuals of all ages, including children and adolescents. Children have been particularly affected by feelings of grief, fear, uncertainty, social isolation, increased screen time, and parental fatigue, all of which have had a detrimental effect on their mental well-being. While friendships and family support typically serve as strong stabilizing forces for children, the COVID-19 pandemic has disrupted these essential sources of support.[2] There are more than 2.2 billion children in the world who constitute approximately 28% of the world's population. Since January 2020, various countries started implementing regional and national containment measures or lockdowns. In this backdrop one of the principal measures taken during lockdown has been closure of schools, educational institutes and activity areas. These inexorable circumstances which are beyond normal experience, lead to stress, anxiety and a feeling of helplessness in all [1]. Children have been at home for longer period of time than ever before. The closure of schools, absence of extracurricular and outdoor activities, changes in eating and sleeping routines, and limited peer interaction have contributed to feelings of monotony, distress, irritability, and various neuro-psychiatric symptoms. The negative impact of school closures and lockdown has been felt by children across diverse geographies, involving high and low-income settings. [2]

Despite the rapid implementation of remote learning, new health protocols, and reopening plans by national governments worldwide, the effectiveness and scope of these policies have significantly varied depending on the economic status of each country. Even short disruptions in children's schooling can have long-lasting negative impacts due to factors including the lack of structured programmes for catching up. In the siddha system, Epidemics /Pandemics are "UzhiNoi" or "Kothari Noi". In general, they are classified under "KollaiNoikal" (Communicable Diseases) which are most commonly occurred the time of "Ayana Santhi" months (Means end a month of UtharaAyanam&ThatchanaAyanam), fall on Aadi and Margazhi month in Tamil calendar.According to the Mukkutram Theory, it is believed that during those times, human immunity tends to be low.[4] In Guru Naadi, quoted, ThottruNoigal generally caused by Kirumi (pathogens). The symptoms are due to Noiyinanvanmai (Immunity of individual), if it is good, he/she will not be affected.[4] The World Health Organization (WHO) promotes traditional medicines and developing new therapies in the search for potential treatments for COVID-19.[3] Marked as the initiator to combat with the existing COVID-19 pandemic, the Ministry of Ayush has published useful guidelines for the registered practitioners of Ayush Systems and also advices people to follow traditional medicines for the prevention of COVID-19The Ministry of Ayush has introduced the "Ayush Sanjivani" mobile application, aimed at helping the public understand the measures taken to enhance immunity and maintain good health during the challenging COVID-19 situation. The Ministry launched a massive nationwide campaign to distribute its proven poly herbal Siddha formulation KabasuraKudineer for the benefit of the vast majority of peoples. And also, government has created awareness in using simple home remedies, yoga practices, pranayamam procedures and immune enhancing siddha formulations during the COVID-19 pandemic. In India, Children were advised to have herbal formulations to enhance the immunity and prevention of COVID-19. Children who are all visiting to our NIS-KM OPD also had siddha preventive measures for COVID-19. But there is no such data available about the children who consumed siddha medicines and also as prophylaxis during COVID-19. Therefore, it is essential to assess the impacts of COVID-19 lockdown on changes in behaviour and it is essential to know the new normalcy situation and how much children suffered due to pandemic effects. Also assess the usage of traditional medicines as protective factors such as siddha prophylactic medicines in children during the pandemic that could mitigate such impacts.





Subashini et al.,

MATERIALS AND METHODS

This hospital based observational cross sectional research was conducted in National Institute of Siddha, KuzhanthaiMaruthuvam OPD in 100 children. The Protocol was prepared and submitted to Screening committee followed by National Institute of Siddha's Institutional Ethical Committee (IEC) for ethical clearance on 25/11/2021(NIS/IEC/2021/MP-8). The study also registered in Clinical Trial Registry of India (CTRI) REF/2022/02/051359. Following the approval of IEC, the study commenced as a cross sectional observational study among the children attending the KuzhanthaiMaruthuvam OPD in NIS, Tambaram and their informants. After obtaining proper consent from the informant of the children, and assent from the children. Data were collected from the selected subjects using modified standard questionnaire. The questionnaire consists of two sections to assessing the behavioural changes in children and usage of siddha prophylactic medicines during COVID-19. The questionnaire was selected from the standard questionnaire called PHQ9 and article from American psychiatric association, siddha guidelines from government. The scoring includes not at all, several days, more than half the days, nearly every day, and also minimal, mild, moderate, severe. The children and informant were informed about the study before enrolment and their consent, assent forms and data were maintained confidentially. Then results were analysed in STATA software.

RESULTS AND DISCUSSION

This cross-sectional study was conducted among 100 children attending KM-OPD, NIS. The findings revealed the significant behavioural changes in children during pandemic and usage of siddha prophylactic medicines, home remedies, yoga practices in children during COVID-19 pandemic in children. As per the questionnaire, the results were obtained based on the children had behavioural changes more than half days in a month revealed that maximum number of about 29% children had problems in paying attention to their work. About 29% of children seemed angry or lost temper more than usual. About 27% of children having trouble in concentrating things such as reading newspaper. And 24% of children seemed more irritated/ annoyed than usual. Also 6% of children were felt nervous/anxious and were not been able to stop worrying, and minimum number of 7% children seemed sad or depressed for several hours. In this research, it was observed that siddha prophylactic medicines were widely used in children population for the prevention of COVID-19. The result based on the usage of siddha prophylactic medicines for more than half days in a month, maximum number of about 56% children were taken KabasuraKudineer as prophylactic medicine for prevention of COVID-19. it shows the increased level of awareness and usage of kabasurakudineer among children during pandemic period. And about 51% of children were taken immuno modulators such as Turmeric milk/pepper milk/ginger tea/athimathuram tea/amla/neem leaves, also 52% of parents of these children were exposed and aware about these preparations like antiviral siddha herbs such as Thulasi kudineer/injisurasam/keezhanelli. The statistical findings revealed that, there were major significant behavioural changes in having trouble in concentrating on things, such as reading the newspaper or watching television. [significant value of 0.035], Problems in paying attention in class or doing homework or reading a book or playing a game [significant value of 0.002].

CONCLUSION

COVID-19 pandemic leads to a lot of undesirable effects in physical and mental health of children and their behaviours. During the pandemic most of the children's activities and behaviours have been affected due to closure of physical classes and introduction of virtual classes, lack of physical activity, aberrant dietary and sleeping habits. This study shows evidence on the impact of pandemic-related behavioural changes in children. The findings emphasize the importance for decision-makers to consider both the risks and benefits to children's health when implementing public health measures. It is advisable to parents and family members, included increasing communication with children to address their fears and concerns, playing collaborative games to alleviate loneliness,





Subashini et al.,

encouraging activities that promote physical activity, and using music therapy in the form of singing to reduce the worry, fear, and stress[6] This study revealed that the awareness about siddha prophylactic medicines, immuno modulator drugs are boomed among our population. Also, the usage of home remedies, yoga practices among the parents and the usage of siddha prophylactic medicines in children are extensively spread. Comparatively than normal times, the pandemic has brought more awareness and increased the usage of siddha medicines and home remedies, yoga practices. There is still the need for wide publicity to reach the root level of young minds of our country.

CONFLICT OF INTERESTS

The authors declare that there are no conflicts of interests.

ACKNOWLEDGEMENT

Authors are very thankful to Tamil NaduDr.MGR Medical University, Chennai, National Institute of Siddha, Chennai.

REFERENCES

- 1. Shweta Singh, Deblina Roy, Krittika Sinha, Sheeba Parveen, Ginni Sharma, and Gunjan Joshi., Impact of COVID-19 and lockdown on mental health of children and adolescents: A narrative review with recommendations, doi: 10.1016/j.psychres.2020.113429[PMC free article][PubMed][Cross Ref][Google Scholar]
- 2. The impact of COVID-19 on children's mental health. https://www.unicef.org/india/impact-covid-19-childrens-mental-health
- 3. Kurt Kroenke, MD,Robert L Spitzer,MD, and Jane tB W Williams, DSW., The PHQ 9 Validity of a Brief Depression Severity Measure, doi:10.1046/j.15251497.2001.016009606.x[PubMed][Google Scholar]
- 4. Guidelines for SIDDHA Practitioners for Covid19, Ministry Of AYUSH. https://www.ayush.gov.in
- 5. Regier DA, Kuhl EA, Kupfer DJ. The DSM-5: Classification and criteria changes. World Psychiatry. 2013;12(2):92-98. doi:10.1002/wps.20050 DSM-5 Parent/Guardian-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 6–17,Copyright © 2013 American Psychiatric Association,
- 6. Wen Yan Jiao, MD, Lin Na Wang, MS, Juan Liu, MD, Shuan Feng Fang, MD, FuYongJiao, MD, Massimo Pettoello-Mantovani, MD, PhD, and Eli Somekh, MD, Behavioural and Emotional Disorders in Children during the COVID-19 Epidemic. [PubMed]

Table.1.

variable	Had problems paying attention when he/she was in class or doing his/her homework or reading a book or playing a game?
Not at all	44%
Several days	16%
More than half days	29%
Nearly everyday	11%

Table.2.

variable	Seemed angry or lost his/her temper?
Not at all	22%
Several days	17%
More than half days	29%
Nearly everyday	32%





Subashini et al.,

Table.3.

variable	Trouble concentrating on things, such as reading the newspaper or watching television?
Not at all	44 %
Several days	10%
More than half days	27%
Nearly everyday	19%

Table.4

variable	Seemed more irritated or easily annoyed than usual?
Not at all	29%
Several days	19%
More than half days	24%
Nearly everyday	28%

Table.5

variable	Did you used KabaSuraKudineer for immunity against COVID - 19?
Not at all	9%
Several days	32 %
More than half days	56%
Nearly everyday	3%

Table.6

1 ubic.o	
variable	Whether you used any of this Immunomodulators like turmeric milk/pepper milk/inji tea/athimathuram tea?
Not at all	5%
Several days	24%
More than half	
days	51%
Nearly everyday	20 %





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Synthesis of Sn and Ce based ZrO Nanocomposites and its Application in Photocatalytic Degradation of Methylene Blue Dye

Akshaya Saravanan¹ and H.Anandalakshmi^{2*}

Research Scholar, Department of Chemistry, Annamalai University, Annamalai Nagar, Chidambaram, Tamil Nadu, India.

²Assistant Professor, Department of Chemistry, Annamalai University, Annamalai Nagar, Chidambaram, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 20 Aug 2024

*Address for Correspondence H.Anandalakshmi

Assistant Professor,

Department of Chemistry,

Annamalai University,

Annamalai Nagar,

Chidambaram, Tamil Nadu, India. Email: anandhilakshmi74@yahoo.co

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

To synthesis Sn and Ce based ZrO nanocomposites via., hydrothermal method. The synthesized ZrO₂, Sn/ZrO₄ and Ce₂/Zr₂O₇ were characterized by various analytical techniques such as XRD, FT-IR, SEM, and UV-DRS. The crystalline sizes of the synthesized ZrO₂, Sn/ZrO₄ and Ce₂/Zr₂O₇ were 27.8, 37.6 and 43.2nm, The morphologies of the prepared materials were investigated using SEM, it was discovered that ZrO₂, Sn/ZrO₄ and Ce₂/Zr₂O₇ nanocomposite showed rod, irregular and spherical shapes. UV-DRS analysis was used to determine the bandgap of the synthesized Sn/ZrO4 and Ce2/Zr2O7 which was found to be 2.5 and 2.0 eV, respectively. The prepared materials were used as a catalyst for the photodegrading of methylene blue dye among which Ce₂/Zr₂O₇ material showed high degradation of the dye molecules up to 90%.

Keywords: The synthesized ZrO₂, Sn/ZrO₄ and Ce₂/Zr₂O₇ were characterized by various analytical techniques such as XRD, FT-IR, SEM, and UV-DRS.





Akshaya Saravanan and Anandalakshmi

INTRODUCTION

In recent years, environmental contamination has grown to be a major problem. Pollution levels are rising daily, causing major and irreversible damage to the planet. It has been shown that the primary source of water contamination is industrial effluent. Photocatalytic degradation is a crucial stage in the treatment of wastewater that may get rid of hazardous heavy metalpollutants[1]. The drawbacks of conventional wastewater treatment applications include high separation costs and the secondary generation of pollutants related to adsorption, clotting, and membrane separationall of which have significant operational costs. When present, metal oxide nanocomposites promote photocatalytic degradation[2]. Sn/ZrO₄ and Ce₂/Zr₂O₇ nanostructures are of interest to researchers in a number of domains, including photocatalytic performance, gas sensors, glucose sensors, and solar cells. All things consideredCe₂/Zr₂O₇metal oxide nanocomposites are superior photocatalysts. The development of innovative and efficient nanocomposite materials is becoming more and more crucial due to the product's favourable environmental effects. The degradation of methylene blue dye under solar radiation was evaluated in this work using Sn/ZrO₄ and Ce₂/Zr₂O₇ and the obtained data was examined and analyzed.

Experimental

The required chemicals in this study were Zirconyl nitrate ($Zr(NO_3)_2.3H_2O$), Tin chloride hexahydrate ($SnCl_2.6H_2O$), Cerium nitrate ($Ce(NO_3)_2$) and oxalic acid all of which were analytical grade and used without further purification.

Synthesis of zirconium oxide nanoparticles

In order to carry out a single-step hydrothermal synthesis of ZrO₂, 2.61g of zirconium nitrate trihydrate (Zr(NO₃)₂.3H₂O) and 0.24g of NaOH were added to 70 ml of distilled water. The mixture was then stirred with a magnetic stirrer for 30 minutes at room temperature in order to maintain a pH of 7. The mixture was poured into a 150 ml stainless steel autoclave with a Teflon lining and heated to 160°C for ten hours[3-5]. Following the sample's return to room temperature, distilled water and ethanol were used to wash it. In a hot air oven, the product was dried at 80°C.

Synthesis of Sn/ZrO₄ nanocomposite

The standard hydrothermal approach was used to produce the Sn/ZrO₄ nanostructure. To keep the pH level at 7, 1.74 g of zirconium nitrate trihydrate (Zr(NO₃)_{2.3}H₂O) was added to 40 ml of distilled water, and 0.12 g of NaOH was added to the mixture[6]. To the reaction mixture, 1.13g of tin chloride hexahydrate (SnCl_{2.6}H₂O) was added. For 30 minutes, the aforementioned combined solution was stirred magnetically and kept at 80°C. The entire mixture was moved into a stainless steel autoclave with a Teflon lining. For ten hours, the autoclave was maintained at 160°C.After that, room temperature cooling was given to the reaction mixture. The synthesized product was kept for later research after being cleaned with ethanol and distilled water, then dried for a whole night at 80°C[7].

Synthesis of Ce₂/ZrO₂O₇nanocomposite

The Ce_2/ZrO_2O_7 nanocomposite was created hydrothermally by dissolving 1.74g of zirconium nitrate trihydrate $(Zr(NO_3)_2.3H_2O)$ in 40 ml of water, adding 0.12g of NaOH to 20 ml of distilled water to keep the pH at 7, and agitating the mixture with a magnetic stirrer for 30 minutes at room temperature. The mixture was poured into a 150 ml stainless steel autoclave with a Teflon lining and heated to 160°C for ten hours[8]. Following the sample's return to room temperature, ethanol and distilled water were used to wash it. In a hot air oven, the product was dried overnight at 80°C.

Characterization of ZrO2, Sn/ZrO4and Ce2/ZrO2O7nanocomposite XRD Analysis

The XRD patterns of the prepared samples after calcination at 500 °C were analysed and the observed diffraction peaks at 2θ values of ZrO_2 nanoparticle were found in the planes of (111), (001) and (131), respectively (**JCPDS Card No. 79-1796**)[9]. Sn/ZrO₄ nanoparticles are ascribed to the reflection plane of (101), (111) and (200), which matched





Akshaya Saravanan and Anandalakshmi

with JCPDS Card No. 48-0889[10].Cez/ZrO2O7nanoparticles are ascribed to the corresponding planes of (111), (200), (220) and (131) which confirmed the formation and well matched with JCPDS Card No. 52-1104[11]. These phenomena indicate that the formation of ZrO2, Sn/ZrO4and Ce2/ZrO2O7nanocomposite began at the calcination temperature of about 450 °C. Peaks are not detected in other phases, indicating the high purity of the products shown in (Fig.1). Crystallite size of ZrO2, Sn/ZrO4and Ce2/ZrO2O7nanocomposite were calculated by using Debye-Scherrer formula (Equation 1) and the values were presented in Table 1.

Debye-Scherer's equation

Crystalline size (D)
$$= \frac{0.9\lambda}{\beta \cos \theta}$$
 ----- (1)

Where λ is the wavelength (λ = 1.5406 Å (Cu K α), β is the full width half maximum (FWHM) and θ is the diffraction angle.

FT-IR Spectrum of ZrO₂, Sn/ZrO₄and Ce₂/ZrO₂O₇nanocomposite

Analyzing the various functional groupsincluding oxygen-containing functional groups found in metal oxides was made easier with the use of the FT-IR approach. The stretching vibrations of ZrO₂, as seen in Fig.2a FT-IR spectra, are centered at 569 cm⁻¹ due to Zr-O stretching vibrations; the stretching vibrations of C–O and C=O groups found in metal oxide nanoparticles are represented by the peak at 1108 cm⁻¹[12], while the stretching vibrations of H–O–H are indicated by the peak at 3549 cm-1. The stretching mode of the M-O bond is responsible for the stretching vibration peaks at 539 cm⁻¹ (Figs.2b and 2c); the stretching of the C–O and C=O groups present in the metal oxide nanoparticles were shown by the stretching vibration peak at 1187 cm⁻¹[13]. The H-O-H stretching vibrations are shown by the peaks at 3547, 3561 and 3450 cm⁻¹. Because it inhibits charge carrier fusion and has a synergistic effect that increases the nanocomposite's catalytic activity.

Morphology Analysis of ZrO₂, Sn/ZrO₄and Ce₂/ZrO₂O₇nanocomposite

Using SEM micrographs, the surface morphology of the synthesized ZrO_2 , Sn/ZrO_4 , and Ce_2/ZrO_2O_7 nanoparticles were examined. The results are shown in Figs. 3a and 3b. Fig.3a depicts the agglomerated structure of the ZrO_2 nanoparticle, whereas Fig.3b shows the uneven form of the Sn/ZrO_4 nanoparticles[14]. Ce_2/ZrO_2O_7 nanoparticles were interestingly spherical (Fig.3c) in various directions[15].

UV - DRS Analysis of Sn/ZrO4and Ce2/ZrO2O7nanocomposite

Fig.4 displays the results of UV-diffuse reflectance spectroscopy of Sn/ZrO₄ and Ce₂/ZrO₂O₇ nanocomposites. The results demonstrate that the UV absorption edge of the pure Sn/ZrO₄ and Ce₂/ZrO₂O₇ nanocomposites were significantly noticed at 200 to 800 nm [15]. However, some samples' UV absorption migrated to the higher wavelength side. The band structure alterations are reflected in the variations in the absorption edges. Additionally, the equation for the Kubelka-Munk function is used to compute the bandgap of samples[16].

$$\alpha h_{V} = A (h_{V} - E_{0})n$$
 ----- (2)

Where α is the absorption coefficient and hv is the incident photon energy. As shown in **Fig.5**, the bandgap energies are estimated from the intercept of the tangents. The band gap of prepared Sn/ZrO₄and Ce₂/ZrO₂O₇nanocompositeswere found to be 2.5 and 2.0 eV respectively.

Photocatalytic Measurements

The degradation of methylene blue dye solutions, a model organic pollutant, was used to assess the photocatalytic activity efficiency of produced Sn/ZrO4 and Cez/ZrO2O7nanocomposite under visible light and sunshine, respectively. In under 60 minutes, the dye breakdown process utilizing solar radiation was completed (Fig.5).In comparison to Sn/ZrO4 catalyst, the produced Cez/ZrO2O7nanocomposite has demonstrated a quicker rate of dye degradation[17].Fig.5a clearly illustrates the Sn/ZrO4 nanocomposite's 72.8% methylene blue degradation efficiency, while Fig.5b displays the Cez/ZrO2O7nanocomposite's 83.2% methylene blue degradation efficiency after 60 minutes of sunshine irradiation. The effectiveness of the nanocomposites' degradation was measured in weight percentages using 20 mg of catalyst. The breakdown efficiency of the produced materials under solar radiation is demonstrated in





Akshaya Saravanan and Anandalakshmi

Figs.6a and 6b, where it is found that Ce_2/ZrO_2O_7 is more efficient than Sn/ZrO_4 [18]. Every ten minutes, the degradation efficiency was measured, allowing us to deduce that, when exposed to sunlight, the Ce_2/ZrO_2O_7 composite material was more efficient than the Sn/ZrO_4 nanoparticles.

Mechanisms of photocatalysis:

The actual process of methylene blue dye degradation indicates that conduction band electrons (e-) and valence band holes (h+) were created when Sn/ZrO₄ and Ce₂/ZrO₂O₇nanoparticles were exposed to light energy bigger than or equal to their band gap energy[19]. Organic compound oxidation is mediated by the creation of hydroxyl radicals, while reduction and oxidation processes are mediated by the production of superoxide radicals. A schematic illustration of the degradation mechanism is shown in Fig.7[20-22]. Heterogeneous photocatalysis is produced through the oxidation pathway by the hydroxyl radical that is generated. The dye may be reduced to superoxide radical a Ce₂/ZrO₂O₇ or photogenerated by combining electrons with electron acceptors such as O₂ that is dissolved in water or adsorbed on surfaces. When the photogenerated holes react with OH- or H₂O₇, they can oxidize organic molecules by generating.OH radicals [23]. The majority of methylene blue may be oxidized by the OH radical into non-toxic byproducts such CO₂, H₂O₇ and mineralized product since it is such a potent oxidizing agent[24].

CONCLUSION

The hydrothermal approach was employed to synthesize the nanomaterials viz., Sn/ZrO4and Ce₂/ZrO₂O₇ and were calcined at 450 °C for 6 hours, producing Sn/ZrO4and Ce₂/ZrO₂O₇nanomaterials with a crystalline size of 36.7 and 47.6 nm with the agglomerated matrix and irregular structures. Kubelka - Munk function plot scrutinized that the band gap of Sn/ZrO4was 2.5 and Ce₂/ZrO₂O₇was 2.0 eV, respectively. The photocatalytic performance of the synthesized Sn/ZrO4andCe₂/ZrO₂O₇nanocomposites against methylene blue dye was evaluated bysunlight irradiation with 20mg of weight percentages of the catalyst. Ce₂/ZrO₂O₇nanomaterial showed a high degradation property (81.3%) compared to Sn/ZrO4materials (69.0%). The sunlight irradiation is a preferable source for ecofriendly photocatalytic degradation processes. The materials were also used for reducing thewater pollution via an efficient photodegradation processes.

REFERENCES

- 1. Chu, M. N., Nguyen, L. T., Truong, M. X., Do, T. H., Duong, T. T. A., Nguyen, L. T., & Pham, V. H. (2022). Ce³⁺/Ce⁴⁺-Doped ZrO₂/CuO nanocomposite for enhanced photocatalytic degradation of methylene blue under visible light. *Toxics*, *10*(8), 463.
- 2. Maulidya, A., Yulizar, Y., Bakri, R., Apriandanu, D. O. B., & Surya, R. M. (2022). Synthesis and characterizations of Ce₂Zr₂O₇–TiO₂ for increased photocatalytic activity toward degradation of methylene blue. *Ceramics International*, 48(19), 29523-29532.
- 3. Bakkiyaraj, R., Balakrishnan, M., Bharath, G., &Ponpandian, N. (2017). Facile synthesis, structural characterization, photocatalytic and antimicrobial activities of Zr doped CeO₂ nanoparticles. *Journal of Alloys and Compounds*, 724, 555-564.
- 4. Meena, S., Anantharaju, K. S., Vidya, Y. S., Renuka, L., Malini, S., Sharma, S. C., & Nagabhushana, H. (2020). MnFe₂O₄/ZrO₂ nanocomposite as an efficient magnetically separable photocatalyst with good response to sunlight: Preparation, characterization and catalytic mechanism. *SN Applied Sciences*, *2*, 1-12.
- 5. Meena, S., Anantharaju, K. S., Vidya, Y. S., Renuka, L., Malini, S., Sharma, S. C., & Nagabhushana, H. (2020). MnFe₂O₄/ZrO₂ nanocomposite as an efficient magnetically separable photocatalyst with good response to sunlight: Preparation, characterization and catalytic mechanism. *SN Applied Sciences*, *2*, 1-12.





Akshaya Saravanan and Anandalakshmi

- 6. Hokonya, N., Mahamadi, C., Mukaratirwa-Muchanyereyi, N., Gutu, T., & Zvinowanda, C. (2022). Green synthesis of P– ZrO₂CeO₂ZnO nanoparticles using leaf extracts of Flacourtia indica and their application for the photocatalytic degradation of a model toxic dye, Congo red. *Heliyon*, 8(8).
- 7. Li, P., Guo, M., Wang, Q., Li, Z., Wang, C., Chen, N., & Chen, S. (2019). Controllable synthesis of cerium zirconium oxide nanocomposites and their application for photocatalytic degradation of sulfonamides. *Applied Catalysis B: Environmental*, 259, 118107.
- 8. Oppong, S. O. B., Opoku, F., Anku, W. W., Kiarii, E. M., & Govender, P. P. (2019). Experimental and computational design of highly active Ce–ZrO₂–GO photocatalyst for eosin yellow dye degradation: the role of interface and Ce³⁺ ion. *Catalysis Letters*, *149*, 1633-1650.
- 9. Zinatloo-Ajabshir, S., Morassaei, M. S., & Salavati-Niasari, M. (2017). Facile fabrication of Dy₂Sn₂O₇-SnO₂ nanocomposites as an effective photocatalyst for degradation and removal of organic contaminants. *Journal of colloid and interface science*, 497, 298-308.
- 10. Sutar, R. S., Barkul, R. P., & Patil, M. K. (2021). Visible light assisted photocatalytic degradation of methylene blue dye and mixture of dyes using ZrO₂-TiO₂ nanocomposites. *Current Nanoscience*, *17*(1), 120-129.
- 11. Meena, S., Anantharaju, K. S., Vidya, Y. S., Renuka, L., Malini, S., Sharma, S. C., & Nagabhushana, H. (2020). MnFe₂O₄/ZrO₂ nanocomposite as an efficient magnetically separable photocatalyst with good response to sunlight: Preparation, characterization and catalytic mechanism. *SN Applied Sciences*, *2*, 1-12.
- 12. Quang, D. A., Toan, T. T. T., Tung, T. Q., Hoa, T. T., Mau, T. X., & Khieu, D. Q. (2018). Synthesis of CeO₂/TiO₂ nanotubes and heterogeneous photocatalytic degradation of methylene blue. *Journal of environmental chemical engineering*, 6(5), 5999-6011.
- 13. Thakur, M., Sharma, G., Ahamad, T., Ghfar, A. A., Pathania, D., & Naushad, M. (2017). Efficient photocatalytic degradation of toxic dyes from aqueous environment using gelatin-Zr (IV) phosphate nanocomposite and its antimicrobial activity. *Colloids and Surfaces B: Biointerfaces, 157*, 456-463.
- 14. Sayed, M. A., Abo-Aly, M. M., Aziz, A. A. A., Hassan, A., & Salem, A. N. M. (2021). A facile hydrothermal synthesis of novel CeO₂/CdSe and CeO₂/CdTe Nanocomposites: Spectroscopic investigations for economically feasible photocatalytic degradation of Congo red dye. *Inorganic Chemistry Communications*, 130, 108750.
- 15. Kumar, O. P., Ashiq, M. N., Shah, S. S. A., Akhtar, S., Obaidi, M. A. A., Mujtaba, I. M., &ur Rehman, A. (2021). Nanoscale ZrRGOCuFe layered double hydroxide composites for enhanced photocatalytic degradation of dye contaminant. *Materials Science in Semiconductor Processing*, 128, 105748
- 16. Munawar, T., Mukhtar, F., Nadeem, M. S., Riaz, M., ur Rahman, M. N., Mahmood, K., & Iqbal, F. (2020). Novel photocatalyst and antibacterial agent; direct dual Z-scheme ZnO–CeO₂-Yb₂O₃heterostructured nanocomposite. *Solid State Sciences*, *109*, 106446.
- 17. Najjar, M., Hosseini, H. A., Masoudi, A., Sabouri, Z., Mostafapour, A., Khatami, M., &Darroudi, M. (2021). Green chemical approach for the synthesis of SnO₂ nanoparticles and its application in photocatalytic degradation of Eriochrome Black T dye. *Optik, 242,* 167152.
- 18. Gusain, R., Gupta, K., Joshi, P., & Khatri, O. P. (2019). Adsorptive removal and photocatalytic degradation of organic pollutants using metal oxides and their composites: A comprehensive review. *Advances in colloid and interface science*, *272*, 102009.
- 19. Siddiqui, S. I., Manzoor, O., Mohsin, M., & Chaudhry, S. A. (2019). Nigella sativa seed based nanocomposite-MnO₂/BC: An antibacterial material for photocatalytic degradation, and adsorptive removal of Methylene blue from water. *Environmental research*, *171*, 328-340.
- 20. Karuppusamy, I., Samuel, M. S., Selvarajan, E., Shanmugam, S., Kumar, P. S. M., Brindhadevi, K., & Pugazhendhi, A. (2021). Ultrasound-assisted synthesis of mixed calcium magnesium oxide (CaMgO₂) nanoflakes for photocatalytic degradation of methylene blue. *Journal of Colloid and Interface Science*, 584, 770-778
- 21. Oppong, S. O. B., Opoku, F., Anku, W. W., Kiarii, E. M., & Govender, P. P. (2019). Experimental and computational design of highly active Ce–ZrO₂–GO photocatalyst for eosin yellow dye degradation: the role of interface and Ce³⁺ ion. *Catalysis Letters*, *149*, 1633-1650.



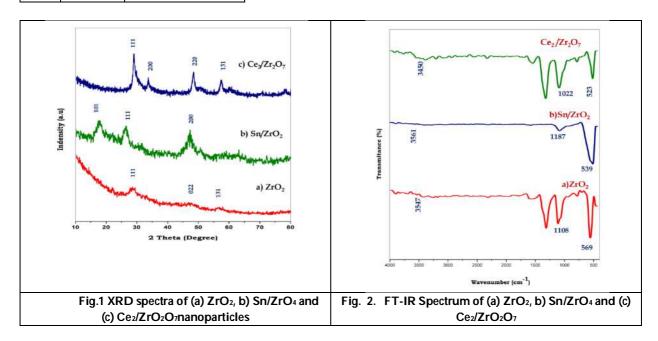


Akshaya Saravanan and Anandalakshmi

- 22. Gionco, C., Hernández, S., Castellino, M., Gadhi, T. A., Muñoz-Tabares, J. A., Cerrato, E., & Paganini, M. C. (2019). Synthesis and characterization of Ce and Er doped ZrO₂ nanoparticles as solar light driven photocatalysts. *Journal of Alloys and Compounds*, 775, 896-904.
- 23. Meena, S., Anantharaju, K. S., Vidya, Y. S., Renuka, L., Malini, S., Sharma, S. C., & Nagabhushana, H. (2020). MnFe₂O₄/ZrO₂ nanocomposite as an efficient magnetically separable photocatalyst with good response to sunlight: Preparation, characterization and catalytic mechanism. *SN Applied Sciences*, *2*, 1-12.
- 24. Manibalan, G., Murugadoss, G., Thangamuthu, R., Kumar, R. M., Jayavel, R., & Kumar, M. R. (2019). Enhanced photocatalytic performance of heterostructure CeO₂–SnO₂ nanocomposite via hydrothermal route. *Materials Research Express*, 6(7), 075032.

Table.1.Crystalline size of ZrO₂, Sn/ZrO₄ and Ce₂/ZrO₂O₇nanocomposite

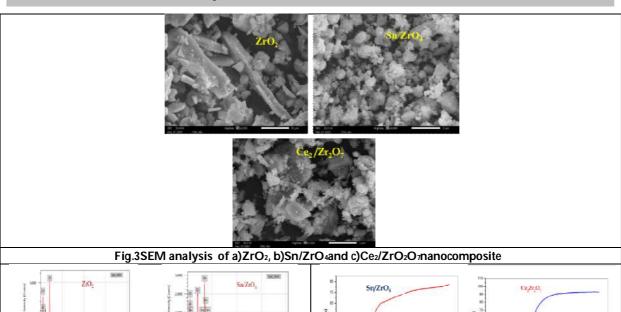
S.No	Sample	Crystaline Size(nm)
1	ZrO ₂	27.8
2	Sn/ZrO ₄	37.6
3	Ce ₂ /Zr ₂ O ₇	43.2







Akshaya Saravanan and Anandalakshmi



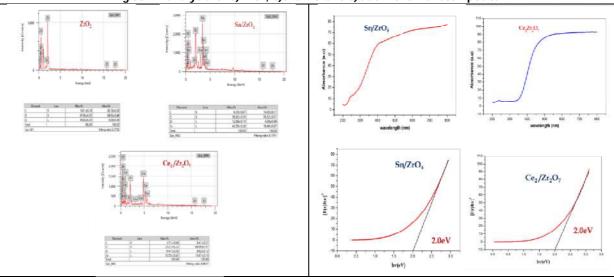
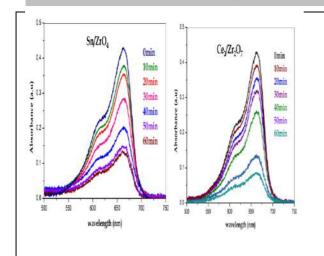


Fig. 4.UV-DRS Image ofa) Sn/ZrO₄ b) Ce₂/ZrO₂O₇c)Tauc'splot of Sn/ZrO₄ and d) Tauc'splot of Ce₂/ZrO₂O₇





Akshaya Saravanan and Anandalakshmi



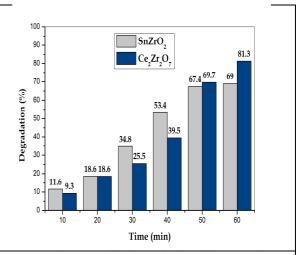


Fig. 5. UV-Vis spectra of a) Sn/ZrO4and b) Ce2/ZrO2O7 under sunlight irradiation.

Fig.6. Photocatalytic degradation efficiency of methylene blue dye by using 20mg ofcatalyst under sunlight irradiation.

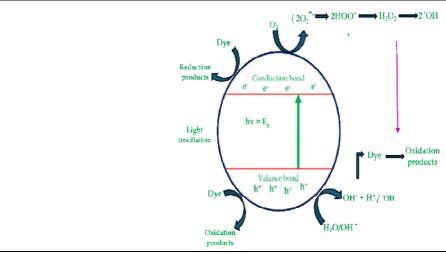


Fig.7. Mechanisms of photodegradation





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Geospatial based Hydrogeochemical Study of Water Quality in Aizawl District, Mizoram, India

Krista Lalnarammawia^{1*} and Paluchamy Anandhan²

Research Scholar, Department of Earth Science, Annamalai University, Annamalai Nagar, Chidambaram, Tamil Nadu, India.

²Assistant Professor, Department of Earth Science, Annamalai University, Annamalai Nagar, Chidambaram, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 17 Aug 2024

*Address for Correspondence Krista Lalnarammawia

Research Scholar, Department of Earth Science, Annamalai University,

Annamalai Nagar, Chidambaram,

Tamil Nadu, India.

Email: kristapachuau@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study is carried out to analyze the hydrogeochemical characteristics of water in the Aizawl District and the uses of GIS techniques called geospatial for identifying the chemical distribution based on the element concentrations. 55 different samples were collected in the Aizawl district during pre-monsoon. The samples were tested/analyzed with different parameters such as pH, EC, TDS, Chlorine, Carbonate, Bi-carbonate, Calcium, Magnesium, Sulphate, Phosphate, Sodium, Silica and Potassium. The spatial variations map has been utilized to identify the quality location within the study area. The spatial chemical distribution map was prepared to analyze the chemical concentration area in the Aizawl district. The study results show that the water quality in most parts of the sampling area is suitable and falls under the permissible limit except in a few locations. This study reveals that the quality of water in the study area is sustainable for domestic usage, agriculture, and different purposes.

Keywords: GIS, Water quality, Hydrogeochemistry, Groundwater, Aizawl District.

INTRODUCTION

Water is an extremely valuable natural resource, and in the last year, there has been less fresh water available on the surface. Water is a more dynamic renewable resource, but it is still very important to have access to it when and where it is needed in acceptable quality and sufficient quantity. The demand for water supply increases rapidly due to urbanization, the growth of population, and extensive use in domestic and agricultural sectors(Chaudhary et al.,





Krista Lalnarammawia and Paluchamy Anandhan

1996). As a result, conducting a quality analysis and detecting potential hazards is essentially important to meet the demand for a clean water supply to the present civilization. Groundwater is an essential natural resource for providing reliable and economical potable water supply in both urban and rural areas. Therefore, it plays a crucial role in human well-being as well as that of aquatic and terrestrial ecosystems(Sar et al., 2015). Geologically, Mizoram state comprises N-Strending ridges with high degree of slopesand narrow intervening synclinal valleys, faulting in many areas has produced steep fault scarpsGSI (2011). In hilly areas like Mizoram, even though the rainfall is comparatively high, shortage of water is often experienced in the post-monsoon season, as most of the water available is lost as surface runoff(Lalbiakmawia, 2015). Groundwater stored in the hill slopes emanates in the form of springs, which are being used as a source of water supply(CGWC, 2013). Recently, Geographic Information Systems (GIS) and Remote Sensing techniques set a new challenge in mapping the chemical distribution in the field of hydrogeology. It also has a wide range of applications in the field of geosciences. Geographic information system (GIS) and remote sensing (RS) tools are widely used for the management of various natural resources(Krishna Kumar et al., 2012, Magesh et al., 2011). The field of geospatial technology holds immense potential for studying water resources. As a result, numerous researchers have effectively applied these methodologies in groundwater studies(Krishnamurthy et al., 2000, Saraf. A. K & Chaudhury P. R., 1998). Hydrogeology and the development of water resources have demonstrated the enormous significance of these similar procedures (Saraf. A. K. & Chaudhury P. R., 1998). The main objective of the present study is to illustrate several thematic maps and spatial maps to demonstrate the chemical distribution of water in Aizawl district and to provide a vital database for future hydrochemical studies within the district.

MATERIALS AND METHODS

Study area

Aizawl district is located in the northeastern part of Mizoram. It is bounded on North and North-east by Kolasib district of Mizoram and parts of Manipur, South by Serchip district, East by Champhai district and west by Mamit district of Mizoram(GSI, 2011). It covers an area of 2138.62 sq. km, and the district is divided into four Rural Development (RD) block. Geographically the Aizawl district is located in 23°18′24.04″ N to 24°24′47.23 N latitudes and 92°37′27.62″ E to 93°02′26.71″ E longitudes. It falls under Survey of India toposheet No. 83D/15, 83D/16, 84A/9, 84A/10, 84A/11, 84A/13, 84A/14, 84A/15,84E/1, 84E/2, 83H/3 and 83H/4. The climate of the study area ranges from moist tropical to moist sub-tropical (Lalbiakmawia. F, 2015). The district receives heavy rainfall during May to late September with an average annual rainfall of 2,794 mm under the influence of southwest monsoon(CGWC, 2013). The location map of the study area is shown in Figure 1.

Geomorphology of the study area

Geomorphologically the district is characterized by ridgelines and intervening valleys; the landscape is mountainous with notable relief from a physiological perspective and the hill ranges are trending in the north-south direction. Deep gorges are created by synclinal narrow valleys running parallel to subpar anticlinal hill ranges. The primary geomorphic units are structural hills, which are classified as high, moderate, and low structure hills according to elevation. Structural hills, as their name suggests, are formed structurally and are connected to faulting, folding, and other tectonic processes (Lalbiakmawia & Vanthangliana, 2015). Other geomorphic units include the Alluvial Plain and Intermontane valley (Laltlankima & Lalbiakmawia, 2016).

Geology of the study area

The earliest recorded work on the geology of Mizoram was conducted in 1891 and it was reported that the area consisted of great flysch facies of rocks comprising monotonous sequences of shale and sandstone(La Touche THD, 1891). The research area is surrounded by the Tertiary Bhuban and Bokabil formations of the Surma Group, which are primarily composed of argillaceous and arenaceous rocks(GSI, 2011). The Surma group is comprised of the Bhuban and Bokabil Formations, the most developed lithounit in the Surma Basin with a thickness of 5000 meters. Again, the Bhuban Formation is subdivided into Lower, Middle and Upper Bhuban units, Upper Bhuban Formation comprises of an arenaceous succession predominating with sandstone, shale and siltstone(Bharali et al., 2017).





Krista Lalnarammawia and Paluchamy Anandhan

Drainage system of the study area

Aizawl district is drained by Tlawng river in the west and Tuivawl river in the east, also Tuirial river drain in the mid part of the district. These major rivers flow in south-north direction throughout the district. Tuivawl and Tuirial river flows in a northward direction till it enter Cachar district in Assam and confluence Barak River in Assam. Tlawng river on the other hand flows northward and enter Kolasib district in Mizoram till it enters Assam. The streams are young stages with deep courses, and the majority of the drainage follows a dendritic to sub-dendritic pattern. Numerous tributaries can be found along the drainage pattern of the major drainage.

Arc-GIS Software 10.8.2 and QGIS

The GIS software ArcMap and QGIS were used to generated several thematic maps including the Geology, Geomorphology, Drainage and Spatial Interpolation map. Arc-GIS spatial analyst consist of numerous interpolation tools. Inverse Distance Weighed (IDW) is the selected tools for this study. The sample points are weighed during interpolation in the IDW interpolation method.

Data analysis

Water samples are collected from 60 different locations and tested for their physio-chemical parameters. The base map was digitized using QGIS software and exported to ArcMap 10.8.2 software for spatial analysis. The chemical characteristics of the water are evaluated using the Indian Drinking Water Standards as per BIS. The major parameters used for analysis are pH, Electrical Conductivity (EC), Total Dissolved Solid (TDS), Chloride, Carbonate, Bi-carbonate, Calcium, Magnesium, Sodium, Potassium, Silica and Phosphate. Spatial interpolation technique such as Inverse distance weighed (IDW) are used for generating spatial distribution of water quality in this study. The spatial variation maps of the major water quality parameters were produced as a thematic layer following BIS guideline. This guideline categorized each ground water parameters as desirable limit, permissible limit and non-potable classes. The different classes within the BIS Guideline were represented in the present study as good, moderate and poor classes respectively (Lalbiakmawia & Vanthangliana, 2015).

RESULTS AND DISCUSSIONS

The water quality attribute database is used to generated the spatial variation layer of the major water quality parameters. Based on the spatial variation layers of major water quality parameters, an integrated water quality map of Aizawl District was prepared using the GIS software. The minimum, maximum and average value of the parameters is shown in table 1. The results and discussion for the major parameters are as follow.

рΗ

pH is an essential water parameter that indicates its level of acidity or alkalinity. The pH value of water ranges from 6 – 9. The activity of hydrogen ions, represented in logarithmic units, is referred to as pH. Chemical reactions that generate or consume hydrogen ions regulate the activity of hydrogen ions. The pH values of the sample are classified into two classes poor and good classes based on BIS standard. Almost all the sample in the study area are falls into a desirable limit based on BIS guideline (6.5 – 8.5). The average pH concentration in the study area is 7.1. A few locations have a pH value lower than 6.5 and few sample location has a pH value higher than 8.5. The area where the pH value falls into desirable limit were categorized as good class while those area with pH value lower and higher were categorized as poor class. The spatial variation map for pH is shown in Figure 2.

Electrical Conductivity (EC)

The capacity of a material to carry electric current is known as Electrical Conductivity (EC). The conductivity measurement is directly correlated with the water's strength. The EC for the purest water is $0.05 \,\mu\text{s/cm}^2$. Based on the BIS guideline, the value of EC for all the sample falls under desirable limit. The spatial variation of EC is illustrated on Figure 3. The sample is classed into three classes low, moderate and high. The average concentration of EC in the





Krista Lalnarammawia and Paluchamy Anandhan

region is $200.77 \,\mu s/cm^2$. As shown in figure majority of the sample have low concentration of EC and few sample have higher concentration.

Total Dissolved Solid (TDS)

As per BIS guideline the Total Dissolved Solid is Classified into three ranges (0-500 mg/L, 500 – 2000 mg/L, > 2000 mg/L). Nevertheless, all the sample in the study area falls under desirable limit, the TDS concentration in the region ranges from 11.00 mg/l to 411 mg/l, with an average concentration of 102.01 mg/l. The spatial variation map of TDS is shown in Figure 4.Majority of the sample have low concentration of TDS while only few samples are categorized in high concentration. The TDS value are higher in the southern part of the study area and also in the central part of the area. It is true that a rapid determination of total dissolved solids in groundwater can be made by measuring the electrical conductance. This is because the total dissolved solids (TDS) in water increase the electrical conductivity of the water. Therefore, by measuring the electrical conductance of the water, we can estimate the TDS concentration. However, while this method is relatively quick and easy, it may not provide accurate results in all cases and may require additional testing to confirm the TDS concentration.

Chloride (CI)

Chloride in high concentration led to water a salty taste. Based on BIS guideline the acceptable limit of chloride in water is 250 mg/l. However, all the samples collected are falls under desirable limit. The chloride concentration of the sample in the study area ranges from 17.725 mg/l to 168.387 mg/l with an average concentration of 37.36 mg/l. In Figure 5, we can see that the chloride concentration is high in the northern part and southern part of the region, and also the high in the area with higher population like city or town which is in the central part of the study area.

Sulphate (SO₄)

High concentration of sulphate in drinking water canaffects its taste and odour. Sulphate occurs naturally in groundwater due to dissolution of minerals. The maximum acceptable limit is 200 mg/l. Water containing about 500 mg/l of sulphate taste bitter; water containing about 1,0000 mg/l may be cathartic. The study shows the concentration in the region ranges from 37.108 mg/l to 64.705 mg/l. The average concentration in the region is 51.00 mg/l. However, the study area shows no concentration higher than the acceptable limit provide by the BIS guideline. The spatial variation map for sulphate is shown in Figure 6. It is classified into low, moderate and high. The figure shows that the northern part of the region has a high concentration of sulphate and the majority of the samples have a moderate concentration of sulphate in the region.

Phosphate (PO₄)

Phosphate is a critical parameter in water quality analysis as it can have significant implications for aquatic ecosystems. Phosphates are compounds containing the PO₄³⁻ ion and are often found in fertilizers, detergents, and other sources. Groundwater often has low value due to strong absorption of phosphate molecules in soils. The concentration of phosphate in the study area ranges from 1.799 mg/l to 62.283 mg/l. The average concentration in the region is 7.26 mg/l. Again, the spatial map has been classified into three low, moderate and high, which is illustrated in Figure 7. The spatial variation map shows that majority of the samples have low concentration of phosphate, while few samples are classified into moderate and high concentration.

Calcium (Ca)

Calcium is a major contributor to water hardness, which is a measure of the concentration of divalent cations (primarily calcium and magnesium) in water. 75 mg/l in water is the acceptable limit as per BIS guideline. The spatial variation of calcium in the study area is shown in Figure 8. Here, it is classified into three classes based on the concentration i.e., low, moderate and high. The concentration of the calcium in all the sample falls under acceptable limit, and the concentration ranges from 4 mg/l to 34mg/l with an average concentration of 14.70 mg/l. There is a lower concentration of calcium in the southern part of the study area, with most samples showing a moderate concentration of calcium.





Krista Lalnarammawia and Paluchamy Anandhan

Magnesium (Mg)

Magnesium is a key contributor to water hardness, alongside calcium. The concentration of magnesium generally less than 50 mg/l in continental water. The result shows very low concentration ranging from 2.4 mg/l to 15.6 mg/l. The average concentration in the study area is 5.56 mg/l. The BIS guideline determined that the acceptable limit of calcium in drinking water is 30 mg/l. This shows that all the samples in the study area falls in acceptable limit. The spatial variation map classified the region into three classes low, moderate and high based on the analyzed concentration, which is shown in Figure 9. The majority of the sample falls into low concentration classes, while few sample have high magnesium concentration.

Silica (H₄SiO)

Silica, which refers to the oxide SiO₄, is a commonly used term for silicon in natural water. However, the actual form of silica is hydrated and is more accurately represented as H₄SiO or Si(OH)₄. The dissolved silica in natural water is generally a result of the chemical breakdown of silicate minerals during the process of weathering, which is irreversible. In this study the region in classified into three group low, moderate and high based on the concentration, the spatial variation is shown in Figure 10. The concentration of silica in the region ranges from 4.8 mg/l to 138.6 mg/l with an average of 49.50 mg/l. The map shows that the southern part of the study area has low concentration of silica while the northern part of the study area has moderate concentration, few sample have high concentration of silica based on the spatial classification.

Sodium (Na)

Sodium is a common element found in water, and its concentration is often measured in water quality analysis. The presence of sodium in water can have various sources, including natural weathering of rocks, industrial discharges, and human activities. The sodium concentration in the study area ranges from 0.9 mg/l to 106 mg/l. The average concentration is 17.65 mg/l. The spatial distribution map shows that the concentration of sodium is high in the northern part of the region. Majority of the samples consist of low sodium concentration. The spatial distribution map is illustrated in Figure 11.

Potassium (K)

Potassium is a naturally occurring element that can commonly be found in rocks, minerals, and soils. It can enter water sources through the natural breakdown of rocks and minerals, as well as through the weathering process. The use of fertilizers containing potassium can also lead to elevated levels of this element in water bodies through runoff. Different countries and regions may have their own specific standards or guidelines for water quality regarding the presence of potassium in both drinking water and surface water. Elevated levels of potassium in water can have a negative impact on aquatic ecosystems. Excessive amounts of potassium may lead to nutrient imbalances, which can affect the growth of aquatic plants and algae. Additionally, some industries may release potassium into water as part of their effluents. This study classified the potassium concentration into three classes i.e., low, moderate and high respectively, which result that the majority of the samples in the region have low concentration. The spatial distribution map shown in Figure 12, which shows that the southern part of the study area has higher concentration compared to the other part of the region in the study area.

Bi-Carbonate (HCO₃)

Bicarbonate is typically produced by the weathering of silicate and carbonate, as carbonate mineral is not very soluble in pure water. The carbonate forms a calcium carbonate scale that limits the flow of fluids in pipes and slows the movement of heat through pipe walls when it mixes with alkaline earth elements, primarily calcium and magnesium. Alkalinity is a crucial component in determining how much lime and soda ash are needed to soften water, as well as a crucial component in corrosion prevention. The value of bi-carbonate in the study area ranges from 24.4 mg/l to 347.1 mg/l with an average value of 6.22 mg/l. Fig. 13 shows that the central part of the study area has higher concentration of bi-carbonate while north part has low concentration.





Krista Lalnarammawia and Paluchamy Anandhan

CONCLUSION

The hydrogeochemical analysis results shows that the dominant ion in the region is Bi-carbonate (HCO_3^-) and is also the dominant cation while Sodium (Na^+) is the dominant anion. The geochemical analysis reveals that the majority of geochemical facies of the water samples are dominated by HCO_3^- - Cl^- - Na^+ - $SO_4^2^-$ - $PO_4^3^-$ - Ca_2^2 - K^+ - Mg^2 types of water. The use of GIS software such as ArcGIS make the water quality analysis easier in understanding and identifying the high intensity area of the study. The water quality maps provide better understanding in the existing water condition in the study area. The study results shows that the water quality of all the sample in the study area are in good conditions and falls under permissible limit recommended by BIS guideline except for pH in which pH value of few samples falls out the permissible limit. Therefore, the quality of water in the study area is sustainable for domestic usage, agriculture, industries and different purposes.

ACTKNOWLEDGEMENTS

The author would like to thank to Department of Earth Sciences, Annamalai University to carry out the research work and analyse in the Department, and also would like to give a sincere gratitude to Dr. P. Anandhan, Assistant Professor, department of Earth Sciences, Annamalai University for his guidance in the research work.

REFERENCES

- 1. Chaudhary, B. S., Kumar, M., Roy, A. K., & Ruhal, D. S. (1996). Application Of Remote Sensing and Geographic Information System in Ground Water Investigation in Sohna Block, Gurgaon District, Haryana (India). In *International Archives of Photogrammetry and Remote Sensing* (Vol. 31, Issue B6, pp. 18–23).
- 2. Sar, N., Khan, A., Chatterjee, S., & Das, A. (2015). Hydrologic delineation of ground water potential zones using geospatial technique for Keleghai river basin, India. *Modeling Earth Systems and Environment*, 1(3). https://doi.org/10.1007/s40808-015-0024-3.
- 3. GSI (2011). Geology and Mineral resources of Manipur, Mizoram, Nagaland and Tripura. GSI, Misc Pub, No. 30 Part IV, 1, 36–39.
- 4. Lalbiakmawia, F. (2015). Application Of Remote Sensing and GIS Techniques for Groundwater Potential Zones Mapping in Aizawl District, Mizoram, India. *International Journal of Engineering Sciences and Research Technology*. 4(1), ISSN: 2277-9655.
- 5. CGWC. (2013). Ground water information booklet Bongaigaon district. September, 1–17.
- 6. Krishna Kumar, S., Chandrasekar, N., Seralathan, P., Godson, P. S., & Magesh, N. S. (2012). Hydrogeochemical study of shallow carbonate aquifers, Rameswaram Island, India. *Environmental Monitoring and Assessment*, 184(7), 4127–4138. https://doi.org/10.1007/s10661-011-2249-6.
- 7. Magesh, N. S., Chandrasekar, N., &Soundranayagam, J. P. (2011). Morphometric evaluation of Papanasam and Manimuthar watersheds, parts of Western Ghats, Tirunelveli district, Tamil Nadu, India: A GIS approach. *Environmental Earth Sciences*, 64(2), 373–381. https://doi.org/10.1007/s12665-010-0860-4.
- 8. Krishnamurthy, J., Mani, A., Jayaraman, V., & Manivel, M. (2000). Groundwater resources development in hard rock terrain An approach using remote sensing and GIS techniques. *ITC Journal*, *2*(3–4), 204–215.
- 9. Saraf AK & Jain SK (1994). Integrated use of remote sensing and GIS methods for ground water exploration in parts of Lalitpur District, U.P. India: International Conference on Hydrology and Water Resources. 20–22 December, 1993 at New Delhi, India.
- 10. Saraf. A. K & Chaudhury P. R. (1998). Integrated remote sensing and G. for groundwater exploration and identification of artificial recharge. (n.d.). *Int Jou Remote sensing Page 1 of 17*. 1–17.
- 11. Lalbiakmawia, F., & Vanthangliana, V. (2015). Application of geo-spatial technologies for ground water quality mapping of Aizawl district, Mizoram, India. *Science Vision15*(3), 115–123.
- 12. Laltlankima&Lalbiakmawia F. (2016). Landslide Hazard Zonation Along State Highway Between Aizawl. IJESRT





Krista Lalnarammawia and Paluchamy Anandhan

5(7). 2277-9655

- 13. La Touche THD (1891). Record of GSI, Geological Survey of India, 24(2).
- 14. Bharali, B., Borgohain, P., Bezbaruah, D., Vanthangliana, V., Phukan, P. P., & Rakshit, R. (2017). A geological study on Upper Bhuban Formation in parts of Surma Basin, Aizawl, Mizoram. *Science Vision*, 17(3), 128–147.

Table.1. Minimum, Maximum and Average values of Parameters.

	рН	EC	CI	Ca	Mg	HCO ₃	PO_4	SO ₄	Na	K	H ₂ SiO ₄	TDS
MIN	6.01	23	17.725	4	2.4	24.4	1.799	37.108	0.9	0.1	4.8	11
MAX	9.92	882	163.387	34	15.6	347.1	62.283	64.705	106	20.5	138.6	411
AVG	7.1	202.25	39.315	16.07	6.22	109.66	7.90	54.328	17.76	2.50	54.60	96.78

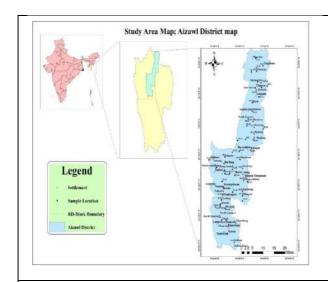


Figure 1. Study area; Aizawl district map

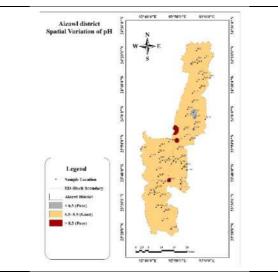


Figure 2. Spatial variation map for pH in Aizawl district

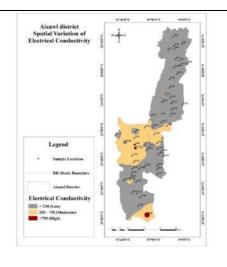


Figure 3. Spatial variation map for Electrical Conductivity in Aizawl district

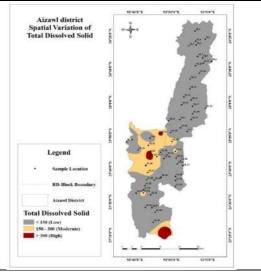
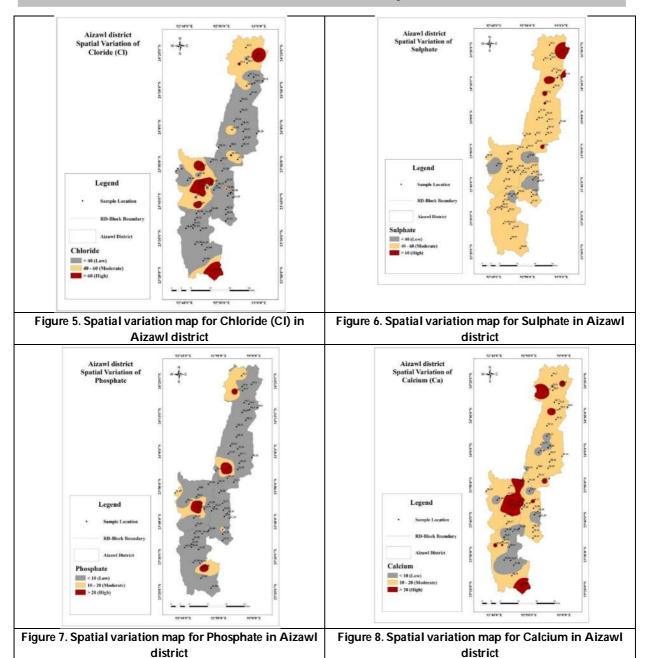


Figure 4. Spatial variation map for Total Dissolved Solid in Aizawl district





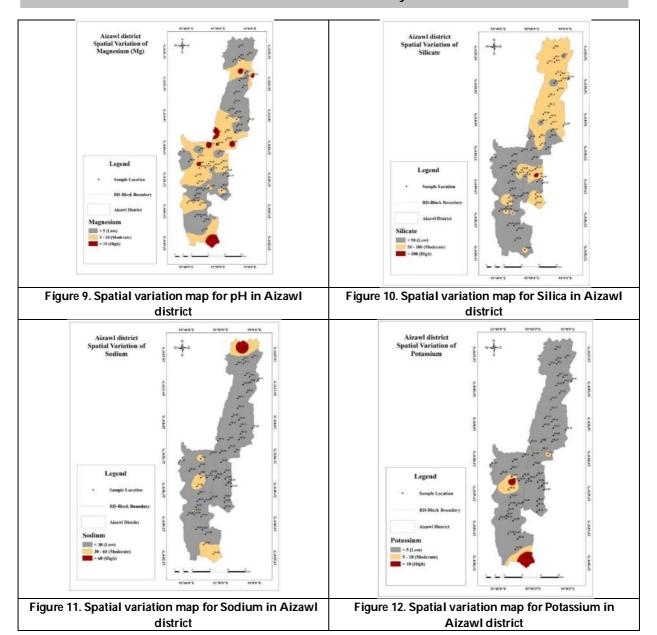
Krista Lalnarammawia and Paluchamy Anandhan







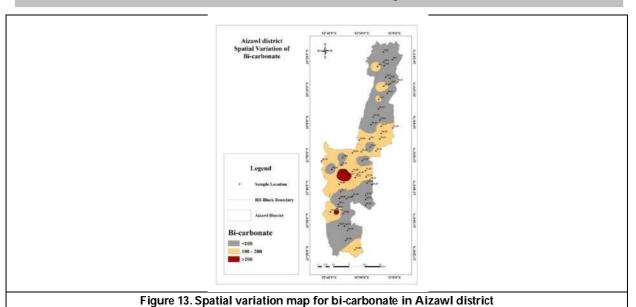
Krista Lalnarammawia and Paluchamy Anandhan







Krista Lalnarammawia and Paluchamy Anandhan







RESEARCH ARTICLE

Ayurvedic Management of Vicharchika - A Case Study

Meghavi J. Vaghamshi¹, Sangeeta H. Toshikhane^{2*} and Vijay Bhaskar. S³

¹PG Scholar, Department of Panchakarma, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

²Professor and HoD, Department of Panchakarma, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

³Assistant Professor, Department of Panchakarma, KAHER'S Shri BM Kankanwadi Ayurved, (Affiliated to KLE University), Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 24 Aug 2024

*Address for Correspondence Sangeeta H.Toshikhane

Professor and HoD, Department of Panchakarma, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

Email: drsangeetaj@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Vicharchika is among the Kshudrakushtha described under the term Kushtha, where most skin diseases are mentioned as per Ayurvedic texts. Kushtha are the conditions involving Rakta dhatu and Tridosha which mainly affect the skin in general and form various impairments. Although KshudraVyadhis do not significantly impact the body, the patient's mental state is disturbed by their emergence because the ailment is difficult for the patient to recover from. They are extremely challenging to treat because of their high recurrence rate. In this case study we discuss the Ayurvedic treatment given to a female patient, age 37, of Vicharchika who had classic symptoms including kandu, strava, rukshata, and shyavata on both surfaces of her palms, fingers, and dorsal surfaces of her feet for 4 months. The patient was cured with notable results after one and a half months of treatment after the treatment regimen was followed by the pathophysiology of the condition. The patient was instructed to follow restrictions for a significant diet to prevent recurrence. Overall, repeated shodhana with shamana medications plays an important role in the speedy recovery of the condition.

Keywords: Vicharchika, Sadyo Vamana, Koshthashodhana, Shamana





Meghavi J. Vaghamshi et al.,

INTRODUCTION

Skin is the reflection of the well-being of the person as there may be impairment in lustre, texture or normalcy of skin due to any physical, mental and behavioural disturbances. Skin diseases range from a small spot, discolouration, scar mark or wound to covering the entire body and various lesions. As per the Ayurvedic texts, a major portion of skin diseases is discussed under the heading of Kushtha where Maha kushtha and Kshudrakushtha are two types. The meaning of the word Kushtha is "Kushnati sarvam vapuhu iti Kushta"—the one who alters the body disgracefully, makes one's skin appear unattractive or ruins the skin texture. Despite the difference in area, severity and prognosis, both equally disturb the mental status of the patient due to the direct appearance on the skin itself.[1] Based on the Amshamshakalpana of Dosha-Dushya; Kushtha is divided into seven types of Mahakushtha and eleven types of Kshudrakushtha. Vicharchika is one among the Kshudrakushtha, having Kandu, Pidika, Shyava varna, Bahustrava, Raji, Rukshata and Ruia as the classical symptoms and described as Kapha-Pitta pradhana tridoshaja vyadhi by Acharyas. In the Samprapti(pathogenesis) of Vicharchika, there is involvement of Saptadushyai.e., Tridosha, Twak, Rakta, Mamsa and Lasika.[2] Vicharchika is commonly found recently, this ailment is upsetting since it results in deformity by impacting a person's appearance cosmetically. Additionally, it has an impact on the quality of life of a person and also due to a high recurrence rate raises economic burden.[3] Kushtha is described as Dushchikitsyavyadhi as it has more elements in pathogenesis and amount of vitiation and deep-seated dosha. As per Ayurveda Shodhana(purificatory therapy) is the prime treatment measure and can be applied with Shamana(alleviation therapy) and other dietary and behavioural restrictions. A case study of Vicharchika is discussed with Vamana, Koshthashodhana and Shamana treatment explained.

Case study

A 37-year-old female patient visited O.P.D., Parul Ayurveda Hospital, having complaints of Itching, scaling, and watery discharge from skin lesions over both palms, fingers and toes with brownish-red discolouration and occasional bleeding from lesions for 4 months. Due to these complaints, her day-to-day household work gets disturbed. There are mental disturbances like excessive thoughts and stress related to the disease condition also found due to repeated occurrences of skin disease. She was previously treated for such skin-related complaints over her abdomen and both upper limbs for 1 year. No significant family history or occupational history was found. The patient was examined and diagnosed with *vicharchika* having classical symptoms like *Shyavata*, *Rukshata*, *Kandu*, *Strava* and *Ruja* and was admitted to the Panchakarma department of Parul AyurvedaHospital after counselling regarding the *Shodhana* treatments. Other details of patient-related to this case study are as below:

Associated complaints: Burning sensation in the chest, and abdomen occasionally **Past history**

- H/O Bell's palsy (5 years back)
- No H/O DM, HTN

General examination

BP: 120/70 mm of Hg Pulse: 72/min, regular

R.R.: 18/min H.R.: 74/min

Mala: Vibandhit(Habitual constipation) Mutra: Samyak pravrutti, 4-5 times/day Nidra: Sleep disturbed due to itching

Jihva: Ishat Lipta Koshtha: Krura Agni: Vishamagni





Meghavi J. Vaghamshi et al.,

Systemic examination

Cardiovascular system – On Auscultation, normal S₁S₂ heard Respiratory system – On Auscultation, AEBL clear GIT – On Palpation, Soft and non-tender abdomen CNS – NAD, Patient is conscious & well-oriented.

Local examination

Shape – Irregular
Size – Not specific, Covered whole both palms, both toes
Colour – Brownish red
Secretion – Present
Pain – Present occasionally
Inflammation – Present
Loss of sensation – Intact

Sampraptighatak

Dosha – KaphapradhanTridosha
Dushya – Rasa, Rakta, Mamsa, Lasika
Srotas – Rasavaha, Raktavaha, Mamsavaha, Svedavaha
Srotodushti – Sanga
Ama – Sama
Udbhavasthana – Amashaya
Vyaktisthana – Tvacha
Rogamarga – Bahya
Sadhyasadhyata – Kricchrasadhya

OBSERVATIONS & RESULTS

In the present study, 1-time classical *Vamana karma* concerning *Shodhana karma* and 2-time *Sadyovamana karma* as repeated *Mrudu Shodhana* measure was conducted, findings are as below.

DISCUSSIONS

As per the classics *Kushtha* is described as *Deergharoga*(deep-seated disease) and included in *Ashta Mahagada*(major diseases) announces the difficulties in the management of particular conditions. *Shodhana* is the main line of treatment in *Kushtha* because *Bahu dosha Avastha*, *Vicharchika* being a *Kshudrakushtha* can be treated with the same principles. By considering the *bala*(strength) of the patient and the amount of vitiated *Dosha*, repeated *shodhana* at regular intervals i.e., *Vamana*(emesis) – once in 15 days, *Virechana*(purgation) – once in a month, *Nasya*(nasal drops) – once in three days, *Raktamokshana*(bloodletting) – once in six months to be conducted.^[8] After the *Shodhana* treatment, *Shamana* also plays an important role in subsiding the remaining *dosha*. *Pathayaahara*(wholesome diet) is essential to prevent recurrence.

Koshthashodhana

The elimination of aggravated *Doshas* and *Mala* present at *Koshtha* is quoted as *KoshthaShodhana.Koshthashodhana* refers to *Mrudu virechana* without *Snehapana*.[9] *TrivrutAvaleha* is chosen for the *Koshthashodhanakarma*, which causes *Pitta-Kaphadosha nirharana* and by its *Snighdhaguna* decreases *Shyavavarna* and *Rukshata* associated with *vata dosha*.[10] *Triphala Kashaya* acts as *Virechanopaga*.





Meghavi J. Vaghamshi et al.,

Pachana

Before any Shodhana karma or Snehapana, to obtain Niraamata – Deepana, pachana is necessary for correcting the status of Agni(digestive fire) by which proper digestion of Sneha or Aushadhi can be achieved.[11]Chitrakadivati is used for Amapachana and removal of Pichhilaguna, it promotes Jatharagni, which in turn stimulates all other Agnis.[12]Vasaguduchyadi Kashaya has ingredients like vasa, Guduchi, Triphala, katuki and bhunimba which will act on the sama pitta and normalize the function of agni.[13]

Parisheka

Parisheka is a Drava sveda acts on Pitta-Kapha dosha. Panchavalkalakwath have qualities of Kaphapittaghna, Varnya, Vranashodhana, Ropana, Daha shamana and Raktadoshahara according to Guna Karma of drugs. It is also phytochemically rich in phenolic group elements like tannins and flavonoids, which are primarily responsible for its exceptional antiseptic, anti-inflammatory, immune-modulating, antioxidant, antibacterial, antimicrobial, and wound purifying as well as healing.[14]Panchakola Kashaya will act by performing pachana of the dosha present in the shakha, thereby removing the srotorodha(obstruction).[15]

Abhyantarasnehapana

Shodhananga and Shamanangasnehapana used here as Purvakarma during the treatment. Shodhananga Sneha is given before classical Vamana karma in Bahumatra(maximum amount) to liquefy the dosha and move from Shakha to Koshtha. Whereas Shamananga Sneha is given before Sadyovamana karma in Alpamatra(minimal amount) for Pachana of Shesha (remaining) dosha and to maintain the status of Agni at intervals. Various potent phytoconstituents isolated from Mahatiktakaghrita work together to heal Kushtha, presumably via the liposomal drug delivery system.[16]

Vamana

Vamana as a prime measure for Kapha doshaeliminates Srotosanga, expels out the morbid Dosha from Koshtha and thus does Sampraptivighatana(breaking of pathogenesis) of Vicharchika. Vamana followed by Purvakarma helps in reducing symptoms like Kandu, Strava and Ruja in this case by Kushthaghna, Varnya, Kandughna and Raktashodhaka properties of drugs used in Vamana karma. Probably it leads to cellular-level changes responsible for the reduction of pathogenesis causing the ailment. [17] Sadyovamana is planned for the prevention of further accumulation of Dosha and chances of recurrence, according to Bala of the patient. [18] Yashtimadhuphanta is used as VamanaDravya. Yashtimadhu is included in Vamanopaga and Kandughna mahakashaya by Acharya Charaka which eases the Vamana and actsas Pitta, vata, raktadoshahara, also experimentally proven to have anti-tussive & expectorant activity, antioxidant activity, anti-inflammatory activity and immune stimulatory effects. [19]

Shamana chikitsa

KaishorGuggulu works as Deepana, Pachana, Kledashoshaka, Tridoshashamaka and by Rasayana (rejuvenation) effect it supports Uttarottara Dhatu Pushti. [20] Manjishta possesses anti-inflammatory, antibacterial and healing qualities for wounds. Manjishthadighanavati directly targets the Rasa, Rakta and Mamsadhatu and having Raktaprasadaka property also enhances the effect of KaishorGuggulu. [21] Aragvadhadi Kashaya having Kandughna, Kushthaghn and Kaphahara functions reduces specific features like Ruja, Kandu, Vaivarnya, Strava and Rukshata. [22] Dushivisha can be considered as accumulated toxins in the body at Dhatu and Srotas levels, provided by environmental and individual factors that may include Pragnaparadha and medications like steroids. [23] Dushivishari agad a contain spittakaphaghna, vishaghna, and raktaprasadak qualities and the dravyas there in are kushthaghna. It should therefore be used to treat skin conditions brought on by the modern, fast-paced lifestyle of today. [24]

CONCLUSION

The case study reveals that *Vicharchika* can be treated with *Panchakarma* and *Shamana* medicines. *Pathya sevana* plays an essential part in the management and lessens the chances of reoccurrence as no symptoms appear after 1 month at follow-up. Vamana karma aids the benefits of *Apunarbhavachikitsa* and repeated *Mrudu shodhana* creates clearance of





Meghavi J. Vaghamshi et al.,

Hruta dosha from srotas. Shamana acts as Rasayana mainly and does poshana of dhatu. In today's era maybe due to cumulative toxicity may create an imbalance in bodily humours and cause skin diseases which are difficult to treat; Shodhana treatment including Panchakarma followed by Shamana provides promising results. Further studies include repeated mrudushodhanachikitsa like sadyovamana with shamana as a treatment modality that can be examined in a large sample size to determine efficacy in such skin disorders.

REFERENCES

- 1. Vishnu Mohan, Sachin Deva, "An Ayurvedic management of Kitibhakushta (Psoriasis): A single case study", International Journal of Ayurvedic Medicine, Vol 13 (1), 185-190
- 2. Dr Danish Anwar, Dr Manpreet Singh, "A critical review on vicharchika and its management with special reference to eczema", World Journal of Pharmaceutical and Life Sciences, 2022, Vol. 8, Issue 6, 68-70
- 3. Rashmi TM, Sathish HS, Mithun B, Narmada MG, "Ayurvedic Management of SraviVicharchika A Case Study". Int. J Ind. Med. 2020;1(6): 215-220
- 4. Abhijeet Bharamgonda. "A clinical study of management of Vicharchika (eczema) by Brihat Haridrakhanda and lepa of Arka Taila in children". 2009, dept of P.G studies in Kaumarbhritya S.D.M college of Ayurveda and hospital, Hassan -573201
- 5. Pandit Kashinath Pandey and Gorakhnath Chaturvedi;Charak Samhita, SavimarshaVidyotini, Hindi Vyakhya;Varanasi; Ed 2011;Chaukhamba Sanskrit Sansthan,Chikitsasthana, Chapter 7, Verse 22-26
- 6. Kaviraj Ambikadutta Shastri, Sushruta Samhita Edited with Ayurveda Tattva Sandipika Hindi Commentary, Reprint 2013, Part 1, Chaukhambha Sanskrit Sansthan Varanasi, Nidana Sthana, Chapter 5, Verse 13,14
- 7. Vagbhata, Ashtanga Hridayam edited by Kaviraj Atridev Gupta, ChaukhambaPrakashan, Nidanasthana, Chapter 14, Verse 18,23,28. Edition: Reprint. Varanasi.
- Kaviraj Ambikadutta Shastri, Sushruta Samhita Edited with Ayurveda Tattva Sandipika Hindi Commentary, Reprint - 2013, Part 1, Chaukhambha Sanskrit Sansthan Varanasi, ChikitsaSthana, Chapter 9, Verse 43
- 9. Divyarajsinh Gadhavi, Mahesh MP, K B Roy, Armeda Malang, "Importance of koshthashodhana priorto basti karma: a review", Int. J. Res. Ayurveda Pharm. 11 (4), 2020
- 10. SangameshwarDoddagoudar, Prasannakumar Patil, "A Clinical study on the Efficacy of Virechana karma followed byPathyadilepa in the management of Kitibhakushtaw.s.r to Psoriasis", RGUHS Journal of AYUSH Sciences, Year: 2018, Volume 5, Issue 2, Page no. 2-6
- 11. Dr KritikaThakur, Dr Sangeeta H Toshikhane, Dr Ravi Sahu, Dr Rinal Patel, "Role of deepana-pachana in panchakarma: a review", Global Journal for research analysis, February 2021, volume 10, issue 02
- 12. Mahendra Ther, Nandane S, Jangle K, "Conceptual review on Chitrakadi Vati as a Agnideepan in Mahasrotas", Int. J Ind. Med. 2020;1(1):38-41
- 13. Abhijit Patil, Ruta Kadam, Sarita Kapgate, Prasad Namewar, Suryajeet Pawar. "The Efficacy of Vasaguduchyadi Kashayam in Alcoholic Liver Disease", International Journal of Ayurveda and Pharma Research. 2017;5(5):1-6.
- 14. GajarmalAmit A, Shende MB, Chothe DS, "Aclinical evaluation of panchavalkala a review article", Unique Journal of Ayurvedic and Herbal Medicines, 02(04), July-Aug 2014, Page6-9
- 15. Vagbhata, Ashtanga Hridayam edited by Kaviraj Atridev Gupta, ChaukhambaPrakashan, Sutrasthana, Chapter 6, Verse 166-167. Edition: Reprint. Varanasi.
- 16. Nille, G. C., and Chaudhary, A. K. (2020). "Potential implications of Ayurveda in Psoriasis: A clinical case study", Journal of Ayurveda and Integrative Medicine, 12(1), 172-177.
- 17. Dr Pankaj Nigam, DrOm Prakash Dwivedi, Dr Jinesh Jain "Role of vamana karma for the management of vicharchika: an ayurveda perspective", World Journal of Pharmaceutical and Medical Research, 2017,3(5), 194-196





Meghavi J. Vaghamshi et al.,

- 18. Lodha Sheetal G,Karade Ruchika S, "Role of Sadhyovamana as Emergency Procedure in Panchakarma: A Review", International Journal of Ayurvedic Medicine, Vol 11 (3), 358-362
- 19. Parul Anand, A. Rama Murthy, Tarun Sharma. "Keshya Karma of Yashtimadhu (Glycyrrhiza Glabra Linn): A Comprehensive Review", Ayushdhara, 2017;4(5):1345-1350.
- 20. Abhinav Singh, Pooja, Sruthi K, Shalini, "Ayurvedic management of vicharchika (dry eczema)", International Journal of Ayurvedaand Pharma Research, 2023;11 (Suppl 3):33-39.
- 21. Sharangdhar Samhita translated byDrP.Himasagara Chandra Murthy, Chaukhambha Publishers, Varanasi, Edition-2nd, 2007, Madhyamakhand, chapter 2/137-142.
- 22. Prerana Patil, S. G. Kulkarni, "Study of efficacy of Aragwadhadiganakashaya in Vicharchika", ADJIM 2022: 7(3), p. 01-05
- 23. Dr Anuja Vasant Nagrare, Dr Sonali Wairagade, "Review on importance of dushivishariagad in twakrogaw.s.r. dushivisha", World Journal of Pharmaceutical Research, Volume 9, Issue 6, 943-954.
- 24. Amrita Baidya and S. S. Suryawanshi, "Role of DushivishariAgad in Chemical Toxicity of Cosmetic W.S.R Dushivisha: A Review", International Ayurvedic Medical Journal (online) 2018 (cited November, 2018), Volume 6, Issue 11

Table - 1 Gradation of symptoms/subjective parameters of Vicharchika[4]

Symptoms	Gradation	Score
	No itching	0
Kandu (Itahina)	Itching present rarely	1
Kandu (Itching)	Itching disturbing the patient's attention	2
	Severe itching disturbing patient's sleep	3
	No Strava	0
Ctrova (Diagharma)	Occasional Strava after itching	1
Strava (Discharge)	Mild Strava after itching	2
	Profuse Strava making clothes wet	3
	Absent	0
Pidaka (Papules)	1-2 <i>Pidaka</i> in one affected part	1
	3-4 <i>Pidaka</i> in one affected part	2
	More than 4 Pidaka in one affected part	3
	Normal skin colour	0
Chuquata (vaivarnuata (Diagalaration)	Brownish red discoloration	1
Shyavata / vaivarnyata (Discoloration)	Blackish red discoloration	2
	Blackish discoloration	3
	No dryness	0
Dookshata (Drymana)	Dryness with rough skin	1
Rookshata (Dryness)	Dryness with scaling	2
	Dryness with cracking	3
	Absonage of Durraing conception in the effected part	0
Daha (Burning constition)	Absence of Burning sensation in the affected part	1
Daha (Burning sensation)	Rarely a burning sensation in the affected part	2
	Continues burning sensation in affected part Disturbing patients sleep	3

Table – 2 Gradation of symptoms /objective parameters of Vicharchika^[4]

Symptoms	Gradation	Score
	No patch	0
Number of petabos	1-2 patches	1
Number of patches	3-4 patches	2
	More than 5 patches	3





Meghavi J. Vaghamshi et al.,

	In between 0-10 sq. cm	0
Area of patches	In between 10-20 sq. cm	1
	More than 20 sq. cm	2

Table - 3 Vyavacchedakanidana(Differential Diagnosis)

Lakshana	Vicharchika	Vipadika	Pama
Dosha	Kaphapradhana ^[5]	Vata-kaphapradhana[5]	Pitta-kaphapradhana ^[5]
Vedana	SakanduPidika, Bahusrava, ^[5] Rukshata, Atiruja ^[6]	Tivravedana ^[5] Ruja ^[6] Manda kandu, ^[7]	KanduyuktaPidika, ^[5] Strava, Daha ^[6]
Varna	Shyava ^[5]	Rakta [7]	Shveta, Aruna, Shyava ^[5]
Samsthana	GatreAtikanduvat Raji ^[6]	Panipadasphutana ^[5] Saragapidika ^[7]	Anukavatpidika ^[6]
Sthana	Gatra (Panipada) [6]	Panipada ^[5]	Sphik, Pani, Kurpara ^[7]

Chikitsa:

Table - 4 Panchakarma treatment

Duration	Treatment	Medicine	Dosage
1 st day	Koshthashodhana	Trivruttaavaleha, Triphalakashaya	30 gm, 80 ml
2 nd – 4 th	Deepan-pachana	Chitrakadivati, Vasaguduchyadikashaya	2-0-2 A.F., 15 ml B.D. B.F.
days	Parisheka	Panchavalkala, Panchakola Kashaya	1.5 Lt.
5 th – 8 th days	Snehapana (Shodhanangamatra)	Mahatiktaghrita	1st day – 30 ml 2 nd day – 60 ml 3 rd day – 90 ml 4 th day – 120 ml
9 th day	Abhyanga, Svedana	Murchhittiltaila	100 ml
		Vamanayoga,	Total – 12 gm (Madanaphalapippali – 6 gm, Yashtimadhu – 4 gm, Vacha – 1
10 th day	Vamana	Milk, Yashtimadhuphanta, Lavanodaka	gm, Saindhava – 1 gm, Madhu – Q.S.), 1 Lt., 3 Lt., 2 Lt.
11 th - 13 th days	Samsarjana krama	Peya, Vilepi, Yusha – Ahara kalpana	Q.S.
14 th – 20 th days	SthanikaParisheka	Panchavalkala,Panchakolakashaya	1.5 Lt.
21 st – 25 th days	Snehapana (Shamanangamatra)	Mahatiktaghrita	10 ml B.D. B.F.
26 th day	Sadyovamana	Yashtimadhuphanta	4 Lt.
27 th – 36 th days	SthanikaParisheka	Manjisthadi,Triphalakashaya	1.5 Lt.
37 th – 41 st days	Snehapana (Shamanangamatra)	Mahatiktaghrita	10 ml B.D. B.F.
42 nd day	Sadyovamana	Yashtimadhuphanta	4 Lt.





Meghavi J. Vaghamshi et al.,

Table - 5 Shamana treatment

Duration	Shamana medicine	Dose
	ManjisthadiGhanavati	1-0-1 A.F.
17 days (14th – 20th days, 27th – 36th days)	DushivishariAgad	1-0-1 A.F.
17 days (14 = 20 days, 27 = 30 days)	KaishorGuggulu	2-0-2 A.F.
	Aragvadhadi Kashaya	15 ml BD B.F.

Table - 6 Observations on Vamana karma

Vamana criteria	Classical Vamana	Sadyo vamana	Sadyo vamana
Vaigiki	7 vega	4 vega	3 <i>vega</i>
Laingiki	Laghuta, Kapha-Pitta dosha nirharana	Deha laghava, Shiro laghava	Deha laghava, Shiro laghava
Maniki	6 Lt.	4 Lt.	4 Lt.
Antiki	Pittanta	Udara laghava	Udara laghava

Table - 7 Observations on Signs and Symptoms

Symptoms	Before treatment (Baseline)	After treatment (42 days)	Follow up (After 1 month)
Kandu (Itching)	3	1	1
Strava (Discharge)	2	0	0
Pidaka (Papules)	0	0	0
Shyavata/vaivarnyata (Discoloration)	1	0	0
Rookshata (Dryness)	3	2	1
Daha (Burning sensation)	1	0	0
Number of patches	3	0	0
Area of patches	2	1	0





Fig 1: Before Treatment



Meghavi J. Vaghamshi et al.,





Fig 2: After Treatment





Fig 3: Follow up





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Approaches for Parenteral Drug Delivery Systems: A Comprehensive **Review**

G. S. Sharma^{1*}, V.Bhavani², R. Shireesh Kiran¹ and T. Rama Rao³

¹Associate Professor, Department of Pharmaceutics, CMR College of Pharmacy, Hyderabad, Telangana, India.

²Student, Department of Pharmaceutics, CMR College of Pharmacy, Hyderabad, Telangana, India.

³Principal, Department of Pharmaceutics, CMR College of Pharmacy, Hyderabad, Telangana, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

G. S. Sharma

Associate Professor, Department of Pharmaceutics, CMR College of Pharmacy, Hyderabad, Telangana, India.

Email: sharmampharm@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License EY NO NO. (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The current study offers an overview of parenteral drug delivery systems, covering methods of administration, parenteral formulation, types of containers, and tests for evaluation. Parenteral preparations are sterile liquids devoid of pyrogens that include one or more active ingredients in single or multiple doses. They enter your body through an injection, an infusion, or an implant. Parenteral injections have the benefits of immediate systemic absorption and a rapid commencement of action. The stability of the active ingredient and finished formulation depends on the quality of the excipients, raw ingredients, and equipment maintenance. For drugs with a limited therapeutic index and active pharmaceutical components with low bioavailability, parenteral administration is the most widely used and effective method of delivery. This review article provides detailed information about parenteral dosage forms. A number of technological advancements in parenteral medication administration have led to the development of complex systems that enable drug targeting and the sustained or controlled release of parenteral medicines.

Keywords: Parenteral, Injection, Infusion, Implant, Therapeutic, Bioavailability





Sharma et al.,

INTRODUCTION

The word parenteral is obtained from "para" and "enteron" which signify to avoid the gut. It can be defined as preparations intended to administered through the skin or other external boundary tissue, rather than through the alimentary canal, so that the active substances can be administered directly into a blood vessel, organ, tissue, or lesion. Since parenteral injections are provided through the skin into blood stream, the body's most protective barriers, the products used in them must be "essentially" devoid of biologic contaminants. Most are injected directly into body tissues; they do not pass via liver and enter the bloodstream by avoiding first pass metabolism. This method offers several benefits for patients who need a quick start of action and are unable to take the medication orally, such as those who are asleep. Patients who are bedridden or in hospitals are entirely dependent on parenteral nourishment, which includes nutrients, electrolytes, and fluids. Parenterally delivered medications are typically quite strong requiring close monitoring of the patient's dosage. The quantity and use of parenteral products have increased globally since the development of biotechnology attained greater demand these days. Parenteral injections are either given readily via blood vessels for an immediate and well-controlled response or into the tissues beyond the circulation for a local or systemic effect. Parenteral dosage forms are a sterilized mixtures that can enter the body by injection, infusion, or implantation, among other methods. Parenteral fluids with different volumes are categorized as small and large injections.

- a. **Small volume parenterals** are injectables that are pyrogen-free and sterile. They are dispensed in ampoules for a single dosage and in vials for multiple doses, and prefilled syringes. Their sizes go up to 100 ml.
- b. Large volume parenteral products, often known as intravenous infusions or transfusion fluids, which has 100 ml or more that is meant to be given intravenously. These are intravenous infusions that are designed as single-dose injections. They consist mostly of water for injections and are sterilised aqueous solutions or emulsions. (5) Drug solubility, product stability, route of administration syringeability and manufacturability are few difficulties involved in developing a parenteral product. Modernization of pharmaceutical development and production will improve product quality. (6,7) Due to factors such the rising incidence of chronic illnesses, the rising need for biologics and biosimilars, and the continuous developments in drug delivery technology, the worldwide parenteral market is expected to continue growing. Businesses that can take advantage of technology advancements, adjust to changing market conditions, and meet unmet medical requirements stand a good chance of succeeding in this cutthroat environment. They cover a broad spectrum of goods, such as emulsions, suspensions, and solutions. Some parenteral formulations present in the market is given below in Table 1.

Characteristics of parenteral preparation

- They must be sterile.
- They need to be free of particulate matter, micro organisms and pyrogens.
- They should be isotonic with body fluids. They
- They should be sterile.

Advantages of parenteral preparation

- They have rapid onset of action.
- They are useful for unconscious patients.
- They can be used to give the medication in both conventional/ controlled manned depending on the excipients used
- They have high first pass metabolites.
- They can be used for gastric irritating drugs.
- They are used to administer nutrients viz glucose and electrolytes.





Sharma et al.,

ROUTES OF ADMINISTRATION®

Various routs of administration of parenterals and their corresponding time to get onset of action are explained in **Table 2**.

TYPES OF PARENTERALS

Parenteral solutions can be used to bet immediate or prolonged effect depending on the excipients used in the formulation and basing on that they are classified as conventional and novel parenteral preparation.

Conventional parentral preparations: (10,11)

Pharmaceutical preparations intended for delivery by routes other than the digestive tract, such as intravenous (IV), intramuscular (IM), or subcutaneous (SC) injection, are referred to as conventional parenteral formulations. In these formulations the drug will be suspended or dissolved in appropriate solvents or vehicles along with other buffers, stabilizers preservatives, tonicity adjusters, buffers and other excipients if required. They may also include lyophilized powders for reconstitution, injectable solutions, suspensions, and emulsions. These formulations are essential for the prompt and efficient delivery of drugs, especially in emergency conditions.

Solutions: (12)

An injectable product is presented in an isotonic aqueous solution with a pH close to blood and body tissues. Parenteral solutions include large volume parenterals (LVP), small volume parenterals (SVP), and irrigation solutions. Infusion fluids are larger volumes of aqueous solutions used for basic nutrition and electrolyte balance restoration. Formulation includes vehicles and added substances. There are three types of vehicles: aqueous vehicles, water miscible vehicles, and non-aqueous vehicles. Added substances in parenteral solutions may include antimicrobial agents, buffers, and chelating agents.

Suspensions: (13, 14)

Parenteral suspensions are a useful dosage form for administering insoluble or poorly soluble drugs. They are administered through subcutaneous and intramuscular routes and provide more prolonged release than solutions. Suspensions are better than solutions as they increase resistance to hydrolysis and oxidation. However, they face challenges like formulation difficulties, stabilization and dose nonuniformity. They will include suspending agents to get the stability of the product.

Emulsions: (15,16)

Emulsions are two-phase systems made by combining two immiscible liquids, one uniformly dispersed in the other, forming globules with diameters equal to or greater than those of large colloidal particles. Emulsions can be oil in water (o/w) or water in oil (w/o) and are prepared using oily drug, emulsifiers, preservatives, and antioxidants, solvents and other excipients if required. The main issue with emulsions is their thermodynamic instability due to the increase in surface free energy. Parenteral emulsions are administered through subcutaneous and intramuscular routes.

Novel parenteral preparations: (17,18)

Novel parenteral formulations for colloidal drug administration include nanoparticles, niosomes, liposomes, polymeric micelles, and in situ-formed systems.

Microspheres: (19)

They should be formed of spherical particles smaller than 125 microns in size and can be delivered using a needle of 18 or 20 number needle. It is made up of solid, sphere-shaped particles dispersed in a biodegradable solution consisting of either solution or crystalline pharmaceutical particles. These particles have been used to deliver anticancer drugs and opioid antagonists. The matrix's degradation/dissolution governs the drug's release, which is constructed of bio-compatible and biodegradable polymers like Polylactic acid & Polylactic-co glycolic acid.





Sharma et al.,

Nano emulsions: (1,20)

Nano emulsions are clear or translucent oil-in-water or water-in-oil mixtures with droplet diameters ranging from 100 to 500 nm. They are thermodynamically stable systems with a single phase, created using emulsifiers to stabilize two immiscible phases. The system is transparent and solubilized, free from common issues like creaming, flocculation, coalescence, and sedimentation. The methods used to create nano emulsions can be classified based on energy required, with high-powered procedures and minimal energy approaches. Oils used include castor beans, coconuts, soybeans, linseed, olives, peanuts, and PEG vegetable oil.

Nanosuspensions: (21,22)

Nanosuspensions are micron-sized colloidal dispersions containing solid active pharmaceutical ingredient particles in aqueous phase. They offer several advantages, including improved drug solubility and dissolution velocity, increased bioavailability of poorly soluble/hydrophobic drugs, and resistance to Ostwald ripening. Nanosuspensions are cost-efficient and technically easier to form, particularly for hydrophobic medicines. Current methods for nanosuspension formulation include precipitation, high-pressure homogenization (dissocubes), emulsion, and medium milling. Stabilizers and co-surfactants influence nanosuspensions' stability. Muthu et al. created a risperidone nanosuspension with Pluronic® F-68 and Pluronic® F-127 as a polymeric stabilizer, which increased a drug's therapeutic effectiveness and reduced injection frequency. Salem et al. developed a sustained release version of natural progesterone using stearic acid as a surface stabilizer, resulting in prolonged activity and reduced injection frequency. A method for creating a stable nanosuspension for vitamin B-12 administration has been granted a patent.

Niosomes: (23,24,25)

Niosomes are vesicular systems made of non-ionic surfactants, such as sorbitan esters and polysorbates. They are osmotically responsive and self-sustaining, allowing medication to be entrapped and delivered parenterally or topically. Niosomes are biodegradable, biocompatible, and non-immunogenic. They are widely used for parenteral delivery of various drug moieties. In a study, aerosolization was used to distribute hydrophobic cancer treatment using a combination of sorbitan esters and polysorbates, resulting in optimal encapsulation and nebulization efficiency.

Nanoparticles

A nanosuspension is a submicron-sized colloidal dispersion containing medication particles, produced using correct procedures and stabilized with surfactants. It is a prescription formulation for parenteral, pulmonary, oral, and topical administration. Nanosuspensions are developed using either top-down or bottom-up technology, resulting in crystal formation.

PREPARATION PROCEDURE FOR PARENTERALS

Parenteral preparation composition requires in-depth medication understanding and adjuvant use. Overuse of adjuvants in parenteral goods is something to be avoided since some of them might induce medication interactions. To create a stable preparation, the following ingredients are added.

Vehicle

It is used to dissolve or carry the drug and accidents. Types of vehicles used in the preparation of injections are given below.

Aqueous vehicle: (2,26)

Water is primarily employed as a transport since it is safe to introduce and well-satisfied by the body. Sterile water is the best solvent solution to use with parenterals. The monograph-recommended water quality, such as IP, USP, and BP. The quality was assessed by gravimetric analysis and TDS (total dissolved solid contents). The water-based vehicles in operation are:

- a. Water for injection.
- b. Water for injection free from CO₂
- c. Water for injection free from dissolved air.





Sharma et al.,

The parenteral formulation's most frequently utilized carrier. The water used for injection needs to be very chemically pure and devoid of pyrogen. BP claims that the only way to prepare the water for injection is through a distillation process. A glass still equipment can be used to prepare water for injection, preventing pyrogen contamination of the distillate. Acidic or alkaline gases like CO2 and NH3 are eliminated from the water during injection preparation to guarantee that the pH of the water is neutral. Pyrogens are byproducts of a microorganism's metabolism. Chemically speaking, pyrogens are lipid substances linked to a carrier molecule, which is often a polysaccharide but can also be a peptide. To ensure that the water used for parenteral and irrigating solutions is devoid of pyrogens, appropriate control must be exercised throughout preparation and water storage. Water may have pyrogen extracted using a straightforward distillation method.

Non aqueous vehicle: (27)

Non-aqueous carriers such as oils and alcohols are frequently utilized. Almond, cottonseed, and arachis oils are a few fixed oils that are employed as vehicles. Oily vehicles are typically utilized when a medicine has to have a depot effect, when the medication is soluble in oil but not soluble in water, or when both are needed. Features of the non-aqueous preparation medium utilized in parenteral administration. Non-irritating, non-toxic, and inert. When combined with additional substances, stable and compactable are employed. Must be viscous enough to be easily given and removed from the container. Propylene glycol, alcohol, and fixed oil are examples of non-aqueous solvents. 40% propylene glycol was used to make the stable parenteral preparation. 10% alcohol by volume and water, keeping the pH at 7.

Adjuvants / added agent : (28)

Material that has been mixed with active pharmaceutical ingredients (API) to improve stability or stop contamination. Parenteral preparation uses a variety of adjuvants, including stabilizers, chelating agents, buffering agents, and antifungal agents.

- Antimicrobial agent: These agents either eliminate microorganisms or stop their development. These agents are used in parenteral preparation to stop microorganisms from growing while being stored. A multidose bottle has an antimicrobial agent added due to the little likelihood of unintentional contamination during repeated usage. Benzalkonium chloride and phenylmercuric nitrate are the two most often utilized antibacterial agents.
- **Buffering agent**: These agents are used to modify the parenteral preparation's pH. the preparation's deterioration brought on by a pH shift. Add an appropriate buffer to maintain the pH of the preparation in order to avoid or stop this deterioration.
- **Antioxidants**: This substance keeps the preparation stable. The most often used antioxidant in aqueous parenteral nutrition is sulfite, bi sulfite, and metabisulfite salts of sulfur dioxide.
- **Tonicity agent**: It is crucial that the solution to be administered by intravenous means be isotonic, or as close to it as possible. Isotonic parenteral preparation is recommended for bodily fluids. Red blood cell haemolysis to ionic species across the red blood cell membrane can occur when the osmotic pressure varies. This is especially true when a non-isotonic solution is administered in quantities larger than 100 millilitres.
- **Solubilizers**: The solubilizers are used to preserve and stabilize the poor water soluble drug's aqueous solubility, similar to solubilizers like polysorbate and tweens.
- Chelating agent: Very few chelating agents, such as citric acid, tartaric acid, disodium edta, and various amino acids, are utilized in parenteral preparations. These agents help to complex heavy metals, which enhances the effectiveness of antioxidants and preservatives.

FILLING OF PARENTERALS (28,29,30)

Containers are composed of plastic or glass and are meant for parenteral products. Pharmacopoeia requires the following requirements for a container and closure that should be employed for parenteral preparation.

- No foreign substance should be present in the product.
- It must remain transparent for scrutiny in order to make the content apparent.
- There should be no negative consequences.





Sharma et al.,

Glass

The following three kinds of glass are used for container preparation:

- Type 1 glass: It is also known as borosilicate glass or resistant glass, and it is mostly used for chemical glassware, oven ware, and containers for alkali sensitive processing. Silica content is decreasing, although aluminium oxide is generally present. Boron oxide is created by combining silica with silicon dioxide.
- Type 2 glass: When wet sulphur dioxide is heated to a high temperature, soda lime silicate glass that has excellent hydrolytic resistance is formed. Glass containers are ideal for almost all acidic and intense liquid preparations.

Plastic

Organic polymers that are easily synthesised, can be moulded and extruded, and can be shaped and laminated. Specially designed for packing, but also used to make syringes, tubing devices, and saline solutions.

Advantages

- They are indestructible, because they are light in weight and their transportation cost is minimal.
- They are available in a variety of sizes and shapes.

Disadvantage

- The most typical issue is penetration.
- Since it is a container made of plastic, atmospheric gases, vapours, or fluids from the surrounding environment can enter.
- There are additional issues with oxidation and hydrolysis, as well as leaching.
- Various plastic materials are employed, including polyethylene, polypropylene, and polyvinylchloride.

Rubber Closures

Various types of rubber are utilised for this function, such as butyl rubber, nitrile rubber, silicon rubber, and others, due to their low absorption properties and lower cost than other rubbers. Butyl rubber is extensively used, although it decomposes at 130°C.

SEALING (28,31)

The filtered product is placed in final containers such as vials, ampoules, and transfusion bottles. Ampoules are used for single doses, whereas vails are used for several doses. Filling occurs in a laminar air flow.

Ampoules

They are meant for only one usage only; ampoules are opened by fracturing the glass at the scored line on the neck. The substance must be filtered before administration because glass fragments may get displaced during ampoule opening. Because of its unsuitability for multiple-dose administration, the requirement to filter solutions before use, and other safety concerns, ampoule use has decreased significantly.

Vials

These are either plastic or glass vials that are secured with a stopper made of rubber and sealed using an aluminium crimp.

Prefilled syringes

These are intended for easy administration and optimum convenience. When packed in prefilled syringes, emergency drugs (e.g., atropine, epinephrine) may be accessible for instant administration.





Sharma et al.,

Infusion solutions

They are classified into two types: small volume parenteral (SVP), which have a volume of 100 ml or less, as well as large volume parenteral (LVP), which have a volume of 100 ml or more. Infusion solutions are used to provide fluids or medications on an intermittent or continuous basis.

Seal the container either by fusion or with suitable closures:

Ampoules are manually sealed on a small scale by spinning the ampoules' necks in the flame of a Bunsen burner. Rubber closures are used to secure the vails and transfusion containers.

STERILIZATION METHODS

The absence of live microorganisms in pharmaceutical preparation is termed as sterilisation.

For sterilised parenteral preparation, many sterilisation methods are utilised.

- a. Sterilisation by moist heat
- b. Sterilisation by dry heat
- c. Filtration sterilisation
- d. Sterilisation with ionising radiation
- e. Sterilisation via gaseous means

Moist heat sterilization: (32)

- ✓ Moist heat sterilisation employs the application of steam at temperatures ranging from 121°C to 134°C.
- ✓ An autoclave is used to eliminate microorganisms and sterilise laboratory glassware, media, and reagents.
- This procedure is excellent for sterilising glassware, dressing, and closures, among other things.

Dry heat sterilization: (32)

- ✓ In comparison to wet heat sterilisation, dry heat sterilisation is conducted at a higher temperature and needs a longer period for the microbe to be exposed to this temperature.
- ✓ Maintaining temperature is 180°C, 170°C, 160°C for 30 minutes, 60 minutes, and 120 minutes.

Filtration sterilization: (1)

- ✓ This filtering process is used to sterilise thermolabile solutions by passing them through filters that eliminate microorganisms.
- ✓ This technique of sterilisation employs the total eradication of microorganisms within a certain size range from fluids.
- ✓ In general, membrane filters are effective in removing microorganisms.

Ionising radiation sterilisation: (1)

- ✓ There are two forms of radiation: electromagnetic radiation and particulate radiation.
- ✓ Electromagnetic radiation includes gamma radiation and X-rays.
- ✓ Particulate radiation includes beta and alpha radiation.

Gaseous sterilisation: (32)

✓ This approach may sterilise heat and moisture sensitive materials.

EVALUATION TESTS

In order to maintain quality control, the final parenteral product is submitted to the following test.

- a. Sterility test
- b. Clarity test
- c. Leakage test
- d. pH test
- e. Osmolarity test





Sharma et al.,

f. Pyrogen test

Sterility test: (33,34)

The sterility testing test is performed to determine the likely sterility of a certain batch. USP has given the necessary procedure instructions for sterility testing. USP's approved tests are the direct technique and the membrane filtering method. To avoid unintentional contamination of the product during the test, the test must be performed under aseptic conditions. The following procedures are followed for sterility testing:

Membrane filtration technique

This method basically involves filtration of Sample through membrane filters of porosity 0.22 micron and Diameter 47mm. The filtration is assisted under Vacuum, after filtration completion the membrane is placed in suitable media and incubated to check the growth. If growth is not observed sample is found to be sterile.

Direct inoculation method

The material is directly inoculated into two test tubes containing a culture. Because of the continual process of opening the container, transferring the sample, and mixing, this technique is conceptually simple but technically difficult. The parenteral preparation test sample is transferred into a test tube containing sterile culture media for anaerobic bacteria, aerobic bacteria, and fungi in an incubator. The test is incubated for a specific period and the turbidity in the sample is checked. If turbidity is present, it indicates microorganism growth and the sample fails the sterility test.

Clarity test: (26)

The product container is evaluated by an individual person in the presence of adequate illumination baffled against reflection in the eyes, and the product is seen against a black and white backdrop with the contents placed in motion with a swirling movement. If the particle is immobile, it is challenging to tell if it is moving, but air bubbles shouldn't be added since they are difficult to discern from dust particles. For detection of light particles, a black backdrop is utilised, whereas for detection of dark particles, a white background is required. If any foreign particle is visible in the parenteral preparation, the preparation is rejected.

Leakage test: (35)

The leakage test is carried out only on ampoules by sealing them with fusion so that leakage does not occur in them.

- Dye bath
- Vacuum chamber test

The leakage test is performed in the vacuum chamber test. After dipping the ampoules in a 1% solution of methylene blue in a vacuum chamber, the vacuum is applied, and the colour solution enters the ampoules when the suction is withdrawn. The colour solution has a faulty seal. If the colour solution enters the ampoule, it is reported as spilled and hence discarded. Rubbers are not utilised in this test due to their flexibility and unpredictability.

pH test

The best pH to choose is 7.4, which is the pH of blood. Excessive variation from this pH might lead to difficulties. Because blood is a great buffer, the recommended range for intravenous SVPs is 3.0 to 10.5. Other methods of administration of parenterals are routinely adjusted to a pH range of 4 to 9.

Osmolality test: (5)

Osmolality is a practical way of determining the total contribution of the numerous solutes in the solution to the osmotic pressure that exists in the solution. According to the British Pharmacopoeia, water-based solutions for subcutaneous, intradermal, or intramuscular injection should be isotonic if feasible. Osmolality is measured in osmoles per kilogramme. Unless otherwise specified, osmolality is calculated by measuring the dip of the freezing point ΔT . The following relationship exists

€ = Δ T/1.86 x1000 milli osmol/kg





Sharma et al.,

Pyrogen test: (28)

The test used to determine whether or not pyrogen is present in a liquid parenteral solution. Pyrogens are created by microorganisms as a metabolic product; however the majority of pyrogenic chemicals are produced by gramme negative bacteria. They are thermostable polysaccharides. Because they are soluble in water and can flow through bacteria-proof filters, they are not impacted by bactericide. The exam is conducted in an air-conditioned environment. Dissolve the substance that has been tested in or diluted with pyrogen-free saline solution. The liquid should be tested at 38.5°C before injection. The amount of sample to be administered varies depending on the preparation under consideration and is specified in the specific monograph. The injection volume must be between 0.5ml and 10ml per kilogramme of body weight. A clinical thermometer detection probe is put into the rabbit's rectum to record the body temperature. Two normal rectal temperature recordings should be made to the test injection at half-hour intervals and the mean calculated, which is the starting temperature recorded for rabbit. The fluid is progressively injected into the ear vein in amounts ranging from 0.5 to 10 ml per kilogramme of body weight. Each rabbit's temperature is monitored every 30 minutes for three hours following injection. The difference between the rabbit's initial and maximum temperature measured is used to calculate its reaction. When this variation is negative, the reaction is zero. If an individual rabbit's reaction is less below 0.6°C, the preparation under consideration passes the test.

LABELLING & PACKING (28)

After the parenteral preparation is evaluated, the ampoules, vails, & transfusion bottles are appropriately marked and packed. The label should have the following information: the name of the preparation, the amount of the preparation, the manufacturer's licence number, the batch number, the date of manufacturing, and the date of expiration.

Limitations of parenteral preparations: (28)

- The injection site hurts when the medicine is administered, or local discomfort brought on by the insertion of the needle.
- They have high cost of production.
- They cannot be easily self-administered. The medication must only be delivered by trained individuals
- Individuals may experience an allergic response to a medication. These responses have a high fatality rate.
- During production, they had to maintain aseptic conditions or use the right aseptic procedure.

FUTURE PROSPECTS (1)

Breakthroughs in biotechnology and product development will end up resulting in more pharmaceutically active dosage forms that will be difficult to give via standard means of administration. Extended-release parenteral medications are difficult to administer, but they have emerged as a highly appealing strategy for managing the release of bioactive chemicals. In recent years, significant advances in the fabrication of injectable sustained release approaches have been made, as indicated by regulatory clearance and the market introduction of numerous new products. The aforementioned drug delivery methods offer a larger potential for a variety of applications, including cancer prevention medical care, radio imaging, genetic therapy, and AIDS therapy, among others. Although each administration strategy has advantages and disadvantages, innovative parenteral medication delivery technologies may increase drug bioavailability. When compared to traditional dose forms, these systems can also be used for acid and enzyme sensitive medications.

CONCLUSION

The drug delivery methods outlined here are utilized to control medicine distribution through parenteral injection. Parenteral routes of administration have matured into important technology platforms used by pharmaceutical companies in recent years. As a result, it is vital to investigate the parenteral medicine delivery system, as it allows for rapid treatment with the objective of rescuing a precious human life. The goal of intravenous controlled medicine





Sharma et al.,

administration is to maintain an optimal pharmacological response at a particular location while avoiding undesired interactions at other sites. It is done in two ways. The first method involves chemically modifying a parent molecule to make a derivative that is exclusively active where it is desired. The second technique employs carriers such as lipid membranes, spheres, nanoparticles, and macromolecules to transport the medicine to the target region. As a result, novel medication delivery systems must be developed in order to boost efficacy.

REFERENCES

- 1. Neha Gulati, Himanshu Gupta. Parenteral Drug Delivery: A Review. Recent Patents on Drug Delivery & Formulation. 2011; 5(2):133-145.
- 2. Sujata D, Dongare, Sachin S, Mali, Prasad V, Patrekar. Sterile Parenteral Products: A Narrative Approach. Journal of Drug Delivery & Therapeutics. 2015; 5(1):41-48.
- 3. Rakesh Patel, Kaushal Patel. Advances in Novel Parenteral Drug Delivery Systems. Asian Journal of Pharmaceutics (AJP). 2014; 4(3).
- 4. Emily K Dornblaser, Susan L Way, Gayle A Brazeau. Dosage Forms: Parenterals. Encyclopaedia of Pharmaceutical Science and Technology. 2013; 6(4):1-14.
- 5. Muralidhar P, Bhargav E. Controlled Release Injectable Drug Delivery: An Overview. Asian Journal of Biomaterial Research. 2017; 3(1):6-15.
- 6. Abdul Muheem, Sobiya Zafar, Mohammed Asadullah Jahangir, Musarrat Husain Warsi, Syed Sarim Imam, Gaurav Kumar Jain, et al. Recent Advances in the Development of Parenteral Dosage Forms. Pharmaceutical Drug Product Development and Process Optimization. CRC Press-Taylor & Francis. 2020; 97-124.
- 7. Fisher AC, Lee SL, Harris DP, Buhse L, Kozlowski S, Kopcha M, *et al.* Advancing Pharmaceutical Quality: An Overview of Science and Research in the U.S. FDA's Office of Pharmaceutical Quality. *International Journal of Pharmaceutics*. 2016: 515(1-2):390-402.
- 8. Gervasi V, Dall Agnol R, Cullen S, McCoy T, Vucen S, Crean A. Parenteral Protein Formulations: An Overview of Approved Products within the European Union. European Journal of Pharmaceutics and Biopharmaceutics. 2018; 13:8-24.
- 9. Nikam Nikita R, Akotkar Vaishnavi, Ghormade Vaishnavi, Devhare Lalchand. Parenteral Drug Delivery Approach: An Overview. Journal of Xidian University. 2023; 17(1): 386-400.
- 10. The United States Pharmacopeia: The National Formulary. Rockville, Md. : United States Pharmacopeial Convention, 23rd ed. 1995;1775-1777.
- 11. Aulton, Michael E. Pharmaceutics: The Science of Dosage Form Design. Churchill Livingstone, 1988;1-734.
- 12. Maria Gazdag, Gabor Szepesi, Takacsi Nagy, Szikla Z, Laszlo Nagy, Zsoldos, *et al.* Stable Parenteral Compositions of Vinblastine or Vincristine. United States Patent. 1995;1-6
- 13. Patel RM. Parenteral suspension: An overview. International Journal of Current Pharmaceutical Research. 2010; 2(3):4-13
- 14. Chang HC, Li L, Marsh KC, Tian Y. Parenteral Sustained Release Dosage Forms of Butorphanol for Dogs. International Journal of Current Pharmaceutical Research. 1999; 176:147-56.
- 15. Collins Gold LC, Lyons RT, Batholow LC. Parenteral Emulsions for Drug Delivery. Advanced Drug Delivery Reviews. 1990; 5:189-208.
- 16. Singh M, Ravin L. Parenteral Emulsions as Drug Carrier Systems. Journal of Pharmaceutical Science and Technology. 1986; 40:34-44.
- 17. Shi Yi, Chiu Li L. Current Advances in Sustained Release Systems for Parenteral Drug Delivery. Expert Opinion on Drug Delivery. 2005; 2(6):1039-58.
- 18. Hitesh B. A Prolonged Release Parenteral Drug Delivery System An Overview. International Journal of Pharmaceutical Sciences Review and Research. 2010; 3(1):1-11.
- 19. Brahmankar D.M. Biopharmaceutics and Pharmacokinetics. Vallabhprakashan, 2nd edition. 2010.





Sharma et al.,

- 20. Panayiotis PC, Mahesh VC, Robert S. Advances in Lipid Nanodispersions for Parenteral Drug Delivery and Targetting. Advanced Drug Delivery Reviews. 2008; 60(6): 757-67.
- 21. Rabinow BE. Nanosuspensions in Drug Delivery. Nature Reviews Drug Delivery. 2004; 3(9):785-96.
- 22. Chingunpituk J. Nanosuspension Technology for Drug Delivery. Walailak Journal of Science and Technology. 2007; 4(2):139-53.
- 23. Azmin MN, Florence AT, Handjani-Vila RM, Stuart JFB, Vanlerberghe G, Whittaker JS. The Effect of Niosomes Entrapment on the Absorption and Distribution of Methotrexate in Mice. Journal of Pharmacy and Pharmacology. 1985; 37(4):237-42.
- 24. Yoshioka T, Sternberg B, Florence AT. Preparation and Properties of Vesicles (Niosomes) of Sorbitan Monoesters (Span 20, 40, 60 And 80) and a Sorbitan Triester (Span 85). International Journal of Pharmaceutics. 1994; 105(1):1-6.
- 25. Desai TR, Finlay WH. Nebulization of Niosomal All-Transretinoic Acid: An Inexpensive Alternative to Conventional Liposomes. International Journal of Pharmaceutics. 2002; 241(2):311-317.
- 26. Praveen Nasa. A Review on Pharmaceutical Packaging Material. World Journal of Pharmaceutical Research. 2014; 3:344-368.
- 27. Chapman, Derek G. Parenteral products. Pharmacy Practice E-Book. Elsevier Health Sciences. 6th ed. 2019;195.
- 28. Dipali Salunke, Dr. Gajanan Sanap, Pooja Bhonde. Review on Parenteral Preparation. International Journal of Advanced Research in Science, Communication and Technology. 2022; 2(1):205-219.
- 29. Aulton, Michael E, Kevin Taylor. Aulton's Pharmaceutics: The Design and Manufacture of Medicines. Elsevier Health Sciences. 2013;1-894.
- 30. Tylor, Robert Low. Parenteral Medications. CRC Press, 4th ed. 2019; 623-636.
- 31. Venkateswara Reddy B, Rasmitha Reddy B, Navaneetha K, Sampath Kumar V. A Review on Parenteral Production Technology. International Journal of Pharmacy and Biological Sciences. 2013; 3(1):596-610.
- 32. Ludwig , John D. Parenteral Dosage Forms: Introduction and Histological Perspective. Parenteral Medications. CRC press, 4th edition.2019;3-9.
- 33. Manikandan V, Vinoth R.Pilot Plant Scale Up Studies for Parenteral- A Review. International Research Journal of Pharmacy. 2021; 12(8):58-63.
- 34. Ingle Parag, Vivekanand V, Chatap K, Bhatia N.M. Design Considerations for Parenteral Preparation Production Facility. International Journal of Pharma Research and Review. 2014; 3(8):15-28.
- 35. Tripathi D.K. Review on Parenteral Preparations. Pharmaceutics: Basic Principles and Formulation, Sterile Preparation. (25):256-272.

Table 1: List of some marketed parenteral formulation (8)

S.No.	Drug	Brand name	Activity	Manufacturer
1.	Aurothioglucose	Solganl®	Treating rheumatoid arthritis	Schering
2.	Betamethasone sodium phosphate And Betamethasone acetate	Celestor®	Treating Corticosteroid therapy	Schering
3.	Penicillin G Procaine	Bicillin®	Penicillin antibiotics	CR Wyeth
4.	Medroxyprogesterone acetate	DepoMedrol®	To treat pain and swelling that occurs with arthritis and other joint disorders	Upjohn
5.	Amino acid and dextrose	Aminosin	Dietary supplement for patients who are unable to get enough calorie and protein	Icu Medical Inc.
6.	Gentamicin	Genacyn LA	Antibiotic supplement	Zenley animal health
7.	Plerixafor	Adstem	Autologous stem cell transplant	ADLEY
8.	Daptomycin	Dapmicin	Treat severe infections of the skin, soft tissues, heart, and blood	Glenmark





Sharma et al.,

Table 2: Various routes of administration and time to get onset of action

Administration site	Parenteral formulation	Time to get onset of action
Subcutaneous	Injected into the fatty layer under the skin	15-30 minutes
Intramuscular	Injected into the muscle	10-20 minutes
Intravenous	Injected into the vein. This allows for immediate adsorption. Intravenous includes IV push and IV infusion	30-60 seconds
Intradermal	Injected into the top layer of the skin at a slight angle	Variable (minutes to hours)
Intra-arterial	Injected into the joint	
Intracerebral	Injected into the dura matter (epidural space) of the spinal cord	
Intracardiac	Injected into the heart	
Intrathecal	Injected into the space surrounding the spinal cord	





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Antibacterial Effects of Leaf Extracts of four Mangrove Plant Species on Escherichia coli and Klebsiella pneumoniae

Anu Susan Thomas^{1*} and Liji Koshy²

Student, Department of Zoology, Catholicate College, Pathanamthitta, (Affiliated to Mahatma Gandhi University, Kottayam), Kerala, India.

²Assistant Professor, Department of Zoology, Catholicate College, Pathanamthitta, (Affiliated to Mahatma Gandhi University, Kottayam), Kerala, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence **Anu Susan Thomas**

Student, Department of Zoology, Catholicate College, Pathanamthitta, (Affiliated to Mahatma Gandhi University, Kottayam), Kerala, India.

Email: anususanthomas26@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Microorganisms are responsible for several human diseases, throughout the world, which is a major reason for mortality and morbidity in immune-compromised patients. In this current investigation, the antibacterial activity of leaves of Avicennia marina, Avicennia officinalis, Bruquiera cylindrica and Rhizophora apiculata was evaluated against human pathogens such as Escherichia coli and Klebsiella pneumoniae. Ethanol extracts of mature leaves of these mangrove plants were prepared and tested for antibacterial activity using agar disc diffusion method. Escherichia coli was inhibited by leaf extracts of A.marina, A.officinalis, B.cylindrica and R.apiculata. Ethanol extract of A.marina and A.officinalis were able to inhibit the growth of Klebsiella pneumoniae. Charcoal treated leaf extracts of Avicennia marina, Avicennia officinalis, Bruguiera cylindrica and Rhizophora apiculata were able to inhibit the growth of both tested pathogenic bacteria more than that of untreated leaf extracts. The leaf extract of Avicennia marina exhibited highest antibacterial activity against E.coli and K.pneumoniae as compared to other extracts. The results suggested that these leaf extracts could be used as an alternative source for treatment of infections caused by these tested pathogenic bacteria.

Keywords: Mangrove, Anti-bacterial, E.coli, Klebsiella pneumoniae, Avicennia marina, Avicennia officinalis, Bruguiera cylindrica, Rhizophora apiculata





Anu Susan Thomas and Liji Koshy

INTRODUCTION

Mangroves are valuable ecological and economic resources, serving as important nursery grounds and breeding sites for birds, fish, crustaceans, shell-fish reptiles and mammals [1]. Mangroves and mangrove associates contain certain biologically active antiviral, cytotoxic, antioxidant, antibacterial and antifungal compounds, including steroids, triterpenes, saponins, flavonoids, alkaloids carbohydrate, reducing sugar, combined reducing sugar, glycosides, proteins, terpenoids and tannins [2][3][4]. Many of these compounds have pharmacological and therapeutic effects and they have been used in folk medicine to treat conditions such as elephantiasis, febrifuge, haematoma, hepatitis, ulcers, asthma, cancer, diabetes, rheumatism and fish poison, as they exhibit antioxidant, antibacterial, antifungal, anti-diabetic and anti-cancer activities [5]. The study was conducted at Ayiramthengu mangrove forest (lat. 9° 02′ - 9° 16′ N and long. 76° 20′ - 76° 32′ E), located in the Kollam district. The mangrove ecosystem is a part of Kayamkulam estuary (lat. 9° 07′ - 9° 16′ N and long. 76° 20′ - 76° 28′ E), a narrow stretch of tropical backwater on the west coast of Peninsular India[6]. The plant derived natural medicines, which are being used for centuries for the treatment of several human health issues, are relatively safer than the synthetic or artificial alternatives. The present study made an attempt to determine the antibacterial activity of leaf extracts from *Avicennia marina, Avicennia officinalis, Rhizophora apiculata* and *Bruguiera cylindrica* against two human pathogenic bacteria – *Escherichia coli* and *Klebsiella pneumoniae*.

MATERIALS AND METHODS

Collection of plant samples

Leaves of Avicennia marina, Avicennia officinalis, Rhizophora apiculata and Bruguiera cylindrica was collected from Ayiramthengu mangrove forest. The samples were washed thrice with sterile distilled water to remove epiphytes and other foreign particles and mopped using blotting sheets. The leaves were dried under shade for 20 days.

Preparation of leaf extracts

The leaves were chopped into small pieces and pulverized into fine powder using a pestle and mortar. 2.5 g of these powders were soaked in 10mL of ethanol (1:4 ratio). The containers were sealed and stored for a period of 3 days at room temperature. Each sample was then filtered through Whatman No. 1 filter paper and transferred into four beakers, which was then heated in a water bath at 40°C for 2 minutes for solvent evaporation. The extract was then centrifuged at 10,000 rpm for 2 minutes. The supernatant was maintained in eppendorf tubes at 4°C for later use.

Preparation of charcoal treated leaf extract

The leaf extracts of the four mangrove species, soaked in ethanol were filtered and transferred to four separate beakers. A small amount of charcoal was added. The mixture was then heated in a water bath at 40°C for 2 minutes and then centrifuged at 10,000 rpm for 2 minutes. The supernatent was then stored in eppendorf tubes at 4°C for later use [7].

Transfer of bacterial colonies to agar medium

Bacterial pathogens such as *Escherichia coli* and *Klebsiella pneumoniae* were obtained from Tropical Institute of Ecological Sciences (TIES), Kottayam. Bacterial colonies were transferred to nutrient agar medium through swab culture. The bacterial suspension of chosen bacterial pathogens was cultured over the surface of nutrient agar at 37 ± 2 °C in an incubator, free from contamination.

Antibacterial activity of leaf extracts

The ethanolic extract was impregnated on to a Whatmann filter paper No.1 disc (6 mm. diameter). Discs impregnated with the leaf extracts were placed on the solid agar medium by pressing slightly and incubated at 37±2°C for 18 - 24 h. After that, the zone of inhibition was measured and expressed in millimeter. The diameter of inhibition zone was considered as a measure of antibacterial activity.





Anu Susan Thomas and Liji Koshy

RESULTS AND DISCUSSIONS

In the present study, two human pathogenic bacteria were used to study the effects of mangrove plant leaf extracts of Avicennia marina, Avicennia officinalis, Bruguiera cylindrica and Rhizophora apiculata on their growth. Eight different leaf extracts were prepared and different extracts had different effects as listed in table 1 and table 2. As represented in Table 1, all leaf extracts were able to inhibit the growth of E.coli. The ethanolic leaf extracts of A.marina was more effective against Escherichia coli (14 mm), followed by B.cylindrica (9.67 mm) and R.apiculata(7.83). The leaf extract of A. officinalis showed least antibacterial activity against E.coli (7 mm). The maximum zone of inhibition for Klebsiella pneumonia was recorded against A.marina (12.33 mm), followed by A.officinalis (8.5 mm). The ethanolic extract of B.cylindrica and R.apiculata was unable to inhibit the growth of Klebsiella pneumoniae. Controls did not exhibitany inhibitory effect on tested bacteria. These results agree with previous results [8][9]. Avicennia marina demonstrated significant inhibition against both bacteria. Avicennia officinalis showed moderate inhibition against both bacteria. Bruquiera cylindrical and Rhizophora apiculata exhibited inhibition against E.coli but not against K.pneumoniae. Charcoal treated plant extracts strongly inhibited the growth of pathogenic bacteria compared to untreated extracts. Table 2 shows the result of charcoal treated mangrove leaf extracts. The extract of A.marina (19.67 mm) showed more inhibition than A. officinalis (12.67 mm), B. cylindrica (13.33 mm) and R. apiculata (11.67 mm) against E. coli. In the case of K.pneumoniae, charcoal treated extract of Avicennia marina had an inhibition zone of 17 mm, followed by A.officinalis (12.33 mm), B.cylindrica (8.33 mm) and R.apiculata (9 mm). The result of antibacterial activity of charcoal untreated and treated mangrove plant leaves against *E.coli* and *K.pneumoniae* is illustrated in figure 3 and figure 4 respectively.

The activated charcoal may play a role in adsorbing impurities, concentrating active compounds, or influencing the release of bioactive substances, contributing to the observed improvements in antibacterial activity. These results suggest that charcoal treatment enhanced the antibacterial activity of the leaf extracts against *E.coli* bacteria. *Avicennia marina* exhibited an increase in the mean zone of inhibition from 14 mm (charcoal untreated) to 19.67 mm (charcoal treated). *Avicennia officinalis* showed an increase in the mean zone of inhibition from 7 mm to 12.67 mm with charcoal treatment. In case of *Bruguiera cylindrica*, the mean zone of inhibition increased from 9.67 mm to 13.33 mm, and for *Rhizophora apiculata*, mean zone of inhibition increased from 7.83 mm to 11.67 mm. Inhibition of *Klebsiella pneumonia* also showed significant increases with the charcoal-treated extracts. *Avicennia marina* exhibited an increase from 12.33 mm to 17 mm, while *A.officinalis* showed an increase from 8.5 mm to 12.33 mm. *B.cylindrica* displayed an increase in the zone of inhibition from 0 mm to 8.33 mm, and *R.apiculata* exhibited growth inhibition increase from 0 mm to 9 mm. The current study leads to the result that, mangrove plant species of *Avicennia marina*, *Avicennia officinalis*, *Bruguiera cylindrica* and *Rhizophora apiculata* can be considered to produce new medicines for certain bacterial infections.

CONCLUSION

In conclusion, the evaluation of antibacterial activity in mangrove plant extracts against human pathogenic bacteria holds promise for both scientific understanding and practical applications. The potential integration of mangrove plant extracts into pharmaceuticals or topical treatments could address the increasing challenges posed by resistant bacterial strains. The specific strains tested and the corresponding susceptibility patterns provide valuable insights into the potential therapeutic applications of mangrove plant extracts. To contextualize the findings, a comparative analysis with existing antibacterial agents or synthetic drugs could be beneficial. This would help in assessing the relative efficacy of mangrove plant extracts and their potential as alternative or complementary treatments in the face of antibiotic resistance. The study opens avenues for future research, including the isolation, purification and identification of specific bioactive compounds responsible for antimicrobial activity. Further investigations might focus on exploring synergistic effects with conventional antibiotics, or conducting in vivo studies to assess the safety and efficacy of these plant extracts.





Anu Susan Thomas and Liji Koshy

ACKNOWLEDGEMENT

We wish to thank the Principal of Catholicate College and PG & Research department of Zoology, Catholicate College for providing all the facilities to conduct the project. We would also like to acknowledge TIES (Tropical Institute of Ecological Science) for providing the pure culture of bacteria.

REFERENCES

- 1. Alongi, D. (2009). *The energetics of mangrove forests.* Springer Science & Business Media.
- 2. Bhimba, B. V., Meenupriya, J., Joel, E. L., Naveena, D. E., Kumar, S., & Thangaraj, M. (2010). Antibacterial activity and characterization of secondary metabolites isolated from mangrove plant Avicennia officinalis. *Asian Pacific Journal of Tropical Medicine*, *3*(7), 544-546.
- 3. Bandaranayake, W. (1998). Traditional and medicinal uses of mangroves. Mangroves and salt marshes, 2, 133-148.
- 4. Hossain, M. L. (2016). Medicinal activity of Avicennia officinalis: Evaluation of phytochemical and pharmacological properties. Saudi J. Med. Pharm. Sci, 2, 250-255.
- 5. Vinoth, R., Kumaravel, S., & Ranganathan, R. (2019). Therapeutic and traditional uses of mangrove plants. *Journal of Drug Delivery and Therapeutics*, 9(4-s), 849-854.
- 6. Praseetha, T., & Rajani, V. (2015). A Preliminary Study on Ecology of Ayiramthengu Mangrove–Kollam Dist., Kerala, India. Int. J. Innov. Sci., Engin. Tech, 2(9), 234-239.
- 7. Abeysinghe, P. D., Pathirana, R. N., & Wanigatunge, R. P. (2012). Evaluation of antibacterial activity of different mangrove plant extracts. Ruhuna journal of science, 1(1).
- 8. Abeysinghe, P. D. (2012). Antibacterial activity of aqueous and ethanol extracts of mangrove species collected from Southern Sri Lanka. Asian Journal of Pharmaceutical and Biological Research, 2(1), 79-83.
- 9. Abeysinghe, P. D. (2010). Antibacterial activity of some medicinal mangroves against antibiotic resistant pathogenic bacteria. Indian journal of pharmaceutical sciences, 72(2), 167.

Table 1: Mean Zone of Inhibition of Untreated Leaf Extracts

	Mean zone of inhibition in diameter (mm)					
Bacteria	Control	Avicennia marina	Avicennia officinalis Bruguiera cylindrica Rhizophora apid			
Escherichia coli	0	14	7	9.67	7.83	
Klebsiella pneumoniae	0	12.33	8.5	0	0	

The mean zone of inhibition of untreated ethanolic mangrove leaf extracts on E.coli and Klebsiella. '0' indicates no zone of inhibition.

Table 2: Mean Zone of Inhibition of Charcoal Treated Leaf Extracts

	Mean zone of inhibition in diameter (mm)					
Bacteria	Control	Avicennia marina	Avicenniaofficinalis	Bruguieracylindrica	Rhizophoraapiculate	
Escherichia coli	0	19.67	12.67	13.33	11.67	
Klebsiella pneumoniae	0	17	12.33	8.33	9	

Mean zone of inhibition of charcoal treated ethanolic mangrove leaf extracts on *E.coli*and *K.pneumoniae.* '0' indicates no zone of inhibition.

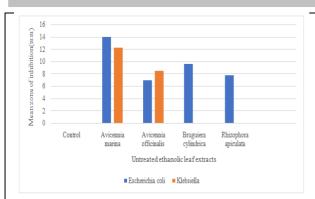




20

Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Anu Susan Thomas and Liji Koshy



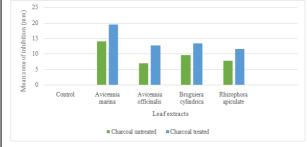
Control Avicennia marina Avicennia officinalis Bruguiera cylindrica Rhizophora apiculata

Charcoal treated lea f extracts

Escherichia coli Riebsiella

Figure 1: Mean zone of inhibition of *E.coli* and *K.pneumoniae* by untreated ethanolic leaf extracts of *Avicennia marina*, *Avicenniaofficinalis*, *Bruguiera cylindrica* and *Rhizophoraapiculata*.

Figure 2: Mean zone of inhibition of *E.coli* and *K.pneumonia e*by charcoal treated ethanolic leaf extracts of *Avicennia marina*, *Avicenniaofficinalis*, *Bruguiera cylindrica* and *Rhizophoraapiculata*.



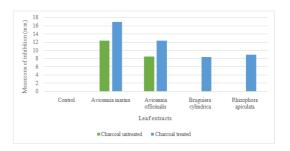
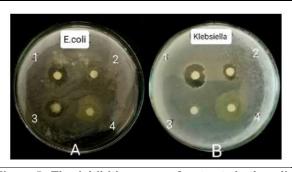


Figure 3:Comparison of mean zone of inhibition of Escherichia coli using charcoal treated and untreated leaf extracts of Avicennia marina, Avicennia officinalis, Bruguiera cylindrica and Rhizophoraapiculata.UT-Charcoal untreated,T-Charcoal treated

Figure 4: Comparison of mean zone of inhibition of Klebsiella pneumonia using charcoal treated and untreated leaf extracts of Avicennia marina, Avicenniaofficinalis, Bruguiera cylindrica and Rhizophoraapiculata.UT- Charcoal untreated, T-Charcoal treated



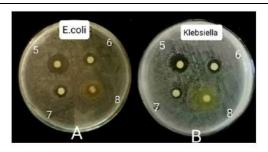


Figure 5: The inhibition zone of untreated ethanolic extracts of Avicennia marina (1), Avicennia officinalis (2), Bruguiera cylindrica (3) and Rhizophora apiculata (4) against Escherichia coli (A), Klebsiellapneumoniae (B) at concentration of 25µL

Figure 6: The inhibition zone of charcoal treated ethanolic extracts of Avicennia marina (5), Avicennia officinalis (6), Bruguiera cylindrica (7) and Rhizophora apiculata (8) against Escherichia coli (A), Klebsiellapneumoniae(B) at concentration of 25µL





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Enzymic and Non-Enzymic Antioxidant Activities of Momordica charantia and Trigonella foenum graecum Seed Extracts in Diabetes **Induced Rats**

R.Renuka *

Associate Professor, Department of Biochemistry, Sri Ramakrishna College of Arts and Science for Women, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 12 Sep 2024

*Address for Correspondence

R.Renuka

Associate Professor, Department of Biochemistry, Sri Ramakrishna College of Arts and Science for Women, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India. Email: renukabio@srcw.ac.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This present study was performed to evaluate the enzymic and non-enzymic antioxidant activities of Momordica charantia and Trigonella foenumgraecum seed extracts in diabetes induced rats. Diabetes Mellitus was induced by a single intraperitoneal injection of STZ-NIC and rats with blood glucose concentration more than 250mg/dl were used for the study. The ethyl acetate seed extracts of the plant samples were administered at doses of 200, 400 mg/kg b.w.and glibenclamide for 21 days and the activities of enzymic antioxidants superoxide dismutase (SOD), catalase (CAT), glutathione peroxidise (GPx) and non-enzymic antioxidants namely vitamin C, vitamin E and reduced glutathione (GSH) and lipid peroxidation were evaluated. There was a significant improvement in the activities and the levels of enzymic and nonenzymic antioxidants catalase, glutathione peroxidase, superoxide dismutase, vitamins C, E and reduced glutathione (GSH) and lipid peroxidationon treatment with 400mg/kg b.w of the plant extracts and glibenclamide. This study demonstrates the antioxidant effect of the Momordica charantia and Trigonella foenumgraecum seed extracts that might help in control and prevention of diabetes mellitus.

Keywords: Diabetes, Enzymic antioxidants, Non-enzymic antioxidants, Momordica charantia, Trigonella foenumgraecum.





Renuka

INTRODUCTION

Diabetes mellitus (DM) is a complicated, and non-contagious endocrine ailment that has posed clinical challenges globally, often linked with threats related to complicated metabolic development in patients. Elevated blood glucose, lipids, and oxidative stress are its hallmarks. These factors lead to chronic problems that affect several organs in the body, primarily the eyes, nerves, kidneys and blood vessels. World Health Organization (WHO) has reported that DM is an outbreak prone to high malaise and death [1]. During hyperglycemia, production of reactive oxygen species and reactive nitrogen species increases. This results in decrease in the activity of antioxidant enzymes, induces oxidative stress in the body [2]. Reactive oxygen species (ROS) level elevation in Diabetes may be due to perturbations in antioxidant defense system. The variation in the levels of antioxidant enzymes makes the tissues susceptible to oxidative stress leading to the development of diabetic complications[3]. Antioxidants are biochemicals that can neutralize the potentially damaging action of free radicals such unstable molecules as peroxyl radical, hydroxyl radical and singlet oxygen and peroxynitrate radicals. Antioxidants either completely stop or significantly reduce the damaging effects of free radicals on cells. Thus, research on antioxidants and free radicals is crucial to understanding the connections between diseases including cancer, neurological disorders, diabetes mellitus, and cardiac arrest[4]. The fact that medicinal plants are widely accessible and have less adverse effects when used to treat diabetes is one of their many benefits. Approximately 800 plants have been reported to have potential antidiabetic properties[5]. Antioxidants are present in all parts of plants like wood, bark, stems, pods, leaves, fruit, roots, flowers, pollen and seeds. The fact that several antioxidant chemicals have been identified in plant tissue is explained by the existence of such oxidative processes in plants. Plants, particularly those with high concentrations of potent antioxidant chemicals, are crucial for the prevention and treatment of oxidative stress-related diseases including diabetes mellitus [6]. Momordica charantia commonly known as bitter melon grows in tropical and subtropic areas, and is used as a food and medicine. It yields prickly fruit and lovely flowers. While bitter melon seeds, leaves, and vines have all been utilized for medicinal purposes, the fruit of the plant is the most widely used and safest part [7]. Momordica charantiaseed extracts showed potent free radical scavenging activity, alpha- amylase inhibition and the mechanism were found to be noncompetitive inhibition[8]. Trigonella foenum graecum (Fenugreek) is a leguminous bean and which belongs to the family Fabaceae. There are numerous medical uses for the green leaves and seeds of Trigonella foenum graecum, which are consumed. In 2012-2013, India produced 113 thousand metric tonnes of fenugreek overall. It is widely used as traditional medicine in China and as a component of ayurvedic medicine in India. Fenugreek is consumed in various parts of the world in different forms and has been regarded as a treatment for many ailments known to man [9]. Hence the present study was aimed to evaluate the in vivo antioxidant potential of Momordica charantia and Trigonella foenumgraecum seed extracts in Streptozotocin- Nicotinamide administered diabetes induced rats.

MATERIALS AND METHODS

Plant Collection, Identification and Preparation of Extract

Momordica charantia seeds (MCS) and Trigonella foenum graecum seeds (TGS) were dried, finely powdered, and stored in airtight containers at room temperature for further use. Five grams of Momordica charantia and Trigonella foenum graecum seed powder was extracted with 50 ml of ethyl acetate for 48 hours filtered and collected the extract. The solvent extract was evaporated in water bath shaker to get dry extract and used for further analysis.

Experimental Animals

Adult male albino Wistar rats (6 weeks), weighing 150 to 200 g were used for the present antidiabetic study. The animals were housed in clean polypropylene cages and maintained in a well-ventilated temperature-controlled animal house with a constant 12-hour light/dark schedule. The rats were given a normal pelleted food to eat, and they had unlimited access to clean drinking water. Following the guidelines for the appropriate care and use of laboratory animals, and with approval from the Ethical Committee Clearance No. 53 IAE1012/c/17/CPCSEA-2013, all animal treatments were carried out.





Renuka

Acute toxicity studies

Using three healthy rats(n= 3), an acute oral toxicity study of *Momordica charantia* seeds (MCS) and *Trigonella foenum graecum* seeds (TGS) was conducted in accordance with guidelines established by the Organisation for Economic Cooperation and Development (OECD). The plant extract was evaluated for the pharmacological potential in normal rats weighing 150 to 200 g. The animals were given 200 mg/kg of MCS and 2000 mg/kg of TGS initially, then 500, 1000, 1500, and 2000 mg/kg b.w., and their toxicity was assessed. For a full day, the animals were watched for signs of death. Further studies was conducted using 1/5th and 1/10th of the highest dose (2000 mg/kg b.w.) as there was no mortality observed in the acute toxicity studies.

Induction of Diabetes Mellitus

The animals were kept overnight fasting and the initial fasting blood glucose was checked from tip of rat tail vein. Regular saline was used to dissolve nicotinamide, while citrate buffer (pH 4.5) was used to dissolve streptozotocin. A single intraperitoneal injection of 60 mg/kg streptozotocin was given to overnight fasted rats 15 minutes after an i.p. injection of 120 mg/kg nicotinamide. This caused the rats to develop diabetes mellitus. After 72 hours, the higher blood glucose levels were used to confirm diabetes. The animals with blood glucose concentration more than 250mg/dl were used for further study. The vehicle (saline), standard drug glibenclamide and plant extracts were administered to the respective group animals for 21 days. Throughout the study period glibenclamide and plant extracts were freshly dispersed in normal saline and distilled water before the administration.

Sample collection

At the end of the experimental period rats were fasted overnight and anaesthetized with diethyl ether (100ml/kg), blood samples were collected through retro-orbital sinus puncture with or without EDTA container for the estimation of selected biochemical and haematological parameters. The liver of the experimental rats was removed and a portion of each was stored at minus 40°C for performing the assays involving enzymic and non-enzymic antioxidants.

Determination of Enzymic antioxidants

The activities of enzymic antioxidants namely superoxide dismutase, catalase and glutathione peroxidase were determined in the liver of the control and experimental rats to assess the protection rendered by MCS, TGS and glibenclamide [10,11,12].

Determination of Non enzymic antioxidants

The activities of non-enzymic antioxidants vitamin C, vitamin E and reduced glutathione were determined in the liver of the control and experimental rats to assess the protection rendered by MCS, TGS and glibenclamide [13,14,15].

Determination of lipid peroxidation

Increased production of lipid peroxides such as malondialdehyde (MDA) and free radicals may be caused by hyperglycemia linked to hyperlipidemia [16]. Hencelipid peroxidation in experimental rats was estimated [17].

Statistical Analysis

After statistical analysis of the data, Dunnett's multiple comparison test and One-way Analysis of Variance (ANOVA) were used to determine statistical significance. A statistically significant 'p' value was defined as less than 0.05.

RESULTS AND DISCUSSIONS

An imbalance of oxidant and antioxidant defence systems result in alterations in the activity of antioxidant enzymes such as superoxide dismutase (SOD), catalase (CAT) and glutathione peroxidise (GPx). In the present study, the





Renuka

activity of superoxide dismutase, catalase and glutathione peroxidase in normal and Diabetes induced rats were evaluated. The results of antioxidant activity of enzymes on control and experimental rats are depicted in Figures 1 a, 1b and 1c. There was a significant decrease (p<0.05) in the activity of enzymic antioxidants namely superoxide dismutase, catalase and glutathione peroxidise in the liver of diabetic control rats. In diabetic rats treated with glibenclamide and plant extracts, there was a significant improvement (p<0.05) in the activity of these enzymes. The activity of these enzymes in rats treated with highest dose of 400mg/kg b.w of MCS was comparable to the activity of enzymes in glibenclamide treated rats. A decrease in the antioxidative enzyme activities of SOD, CAT, and GPx is referred to as oxidative stress. Cell stress can be decreased in part by the antioxidant enzymes SOD and CAT While CAT reduces hydrogen peroxides and shields higher tissues from extremely reactive hydroxyl radicals, SOD scavenges the superoxide radical by converting it to hydrogen peroxide and molecular oxygen [18].

The decreased activities of CAT and SOD may be response for increased production of H₂O₂ and O₂ by the auto-oxidation of glucose.Because these enzymes accelerate the dismutation of oxygen radicals and remove organic peroxides and hydroperoxides produced by unintentional exposure to STZ, they are crucial for maintaining physiological levels of oxygen and hydrogen peroxide. [19]. Treatment with MCS and TGS seemed to increase the activity of these enzymes and might help to control free radicals when compared to Glutathione peroxidase enzyme is relatively stable, but it has been reported that is disabled in severe oxidative stress conditions. It is noteworthy that diabetic rats treated with *Citrullus lanatus* (watermelon) displayed an increase in Gpx status activity that was nearly identical to the control level. This suggests that the juice may have a moderating effect on the altered antioxidant status of the diabetic rats[20]. The activities of antioxidant enzymes SOD and CAT were significantly increased after the treatment of ethyl acetate fraction of ethanol extract of *Stereospermumsuaveolens* in STZ-induced diabetic rats indicating the free radical scavenging activity and their protective effect against diabetic kidney cellular damage [21]. Treatment with root extracts of *Premnacorymbosa* (Rottl.) increased the activity of antioxidant enzymes SOD, CAT and GPx when compared to diabetic rats. The effect produced by plant extract was comparable with that of standard drug glibenclamide [22].

Non enzymic antioxidants

The changes in the levels of non-enzymic antioxidants namely vitamin C, vitamin E and reduced glutathione (GSH) are important in cellular system in curtailing reactive oxygen species. The levels of these non – enzymic antioxidants in control, diabetic and treated rats were assessed and the results are depicted in Figures 2 a, 2 b and 2 c. There was a significant reduction (p<0.05) in the nonenzymatic antioxidants namely vitamins C, E and reduced glutathione (GSH) in diabetic rats when compared to control rats. The levels of these antioxidants were significantly increased (p<0.05) in rats by treating with glibenclamide, MCS and TGS ethyl acetate extracts. The levels of vitamin C, E and reduced glutathione were found to be increased significantly (p<0.05) on treatment with 400mg /kg b.w. Vitamin C is an effective antioxidant in various biological systems [23]. Vitamin C plays a central role in the antioxidant protective system, protecting all lipids undergoing oxidation and diminishing the number of apoptotic cells [24]. Vitamin E acts as a non-enzymatic antioxidant and reduces chain reactions of lipid peroxidation [25]. Vitamin E shields cell structures from damage by reducing lipid hydroperoxides produced during the peroxidation process.

The decreased level of vitamin E found in the liver of diabetic rats as compared with control rats could be due to increased oxidative stress, which accompanies the decrease in the level of antioxidant and might be related to the cause of Diabetes Mellitus [26] Enhanced level of vitamin E or tocopherols in plant extract treated groups is based on their ability to donate phenolic hydrogens to lipid radicals. Vitamin E protects poly unsaturated fatty acids from being oxidized [27]. Decreased levels of nonenzymatic antioxidant vitamin C and E in diabetic rats, when compared to those of control rats. Treatment with root extracts of *Premna corymbosa* (Rottl) resulted in a considerable rise in these antioxidants in the liver, kidney, brain, heart, and pancreas of diabetic rats[28]. GSH has a multifaceted role in anti-oxidant defence.It is a co-substrate for glutathione peroxidase's peroxide detoxification process and a direct scavenger of free radicals [29]. Hyperglycemia is found to be an indirect cause of GSH depletion. As GSH is an important antioxidant molecule, its depletion leads to an increase of oxidative stress [30]. After taking *Passiflora ligularis*'s aqueous fruit extract orally for 30 days, all non-enzymatic antioxidant values significantly increased and





Renuka

eventually approached normal levels. This can reduce the oxidative stress leading to less degradation of GSH due to less production of ROS in diabetic stage [31]. In the present study, there was an increased level (p<0.05) of reduced glutathione in MCS and TGS treated groups which imply that the plant extracts might have an enhanced amount of GSH activity which plays a role in coordinating the body's antioxidant defense processes. Reduced glutathione, synthesized mainly in the liver is an important non- enzymic antioxidant in the antioxidant defense system.

Lipid peroxidation

The status of lipid peroxidation of control and experimental rats were studied and the results are depicted in Figure 3. Lipid peroxidation was increased significantly (p<0.05) in diabetic rats as compared to that of control rats. The rats treated with glibenclamide, MCS and TGS showed significant reduction (p<0.05) in lipid peroxidation. The diabetic rats treated with highest dose of 400mg/kg b.w showed significant improvement (p<0.05) in antioxidant activity and the reduction in malondialdehyde production was comparable to glibenclamide treated rats. Lipid peroxidation is an autocatalytic free radical process formed by oxidative damage of cells. ROS produced in tissues results in lipid peroxidation and subsequently enhances the levels of malondialdehyde which is the major end product and index of lipid peroxidation [32]. Polyunsaturated lipids oxidatively deteriorate due to a process called lipid peroxidation, which is mediated by free radicals. The primary cause of the rise in oxygen free radicals in diabetes may be elevated blood glucose levels, which produce free radicals by auto-oxidation[33]. Coleus vettiveroidesJacob extracts possess potent antioxidant and lipid peroxidation activities and can be employed in protecting tissue from the oxidative stress, which might be responsible for its hypoglycemic property [34]. In the current investigation, elevated lipid peroxidation in diabetic rats generated by STZ may be attributed to an upsurge in free radical production by STZ. Lipid peroxidation inhibition appears to be closely related to MCS and TGS extracts' capacity to squelch hydroxyl radicals. After oral administration of the plant extracts for 21 days the elevated values restored back to near normal level. Lipid peroxidation significantly decreased in the treated groups, indicating that it plays a protective role against lipid peroxidation.

CONCLUSION

In the present study *in vivo* antioxidant activities of *Momordica charantia* and *Trigonella foenumgraecum* seed extracts in streptozotocin– nicotinamide administered diabetes induced rats showed a significant improvement in the activities and the levels of enzymic and non-enzymic antioxidants catalase, glutathione peroxidase, superoxide dismutase, vitamins C, E and reduced glutathione (GSH) on treatment with plant extracts. The rats treated with MCS and TGS also showed significant reduction in lipid peroxidation. The various antioxidant activities exhibited by *Momordica charantia* and *Trigonella foenumgraecum* may be attributed to their effectiveness as good scavengers of free radicals. Hence, these might be useful in the control of hyperglycaemia and due to its potent antioxidant properties may help in prevention of complications in diabetes.

ACKNOWLEDGMENTS

The author would like to acknowledge the support and guidance she received in carrying out this research project from Dr. G.P. Jeyanthi, the former Director of Research and Consultancy at the Avinashilingam Institute for Home Science and Higher Education for Women in Coimbatore, Tamil Nadu.

CONFLICTS OF INTEREST

The author declares that there are no conflicts of interest





Renuka

REFERENCES

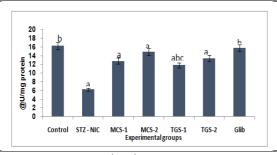
- 1. Giovannini P., Howes M.J.R., Edwards S.E. Medicinal plants used in the traditional management of diabetes and its sequelae in Central America: A review. *J. Ethnopharmacol.* 2016;184:58–71. doi: 10.1016/j.jep.2016.02.034
- 2. Ghasemi-Dehnoo, Maryam; Amini-Khoei, Hossein; Lorigooini, Zahra; Rafieian-Kopaei, Mahmoud. Oxidative stress and antioxidants in diabetes mellitus. Asian Pacific Journal of Tropical Medicine 13(10):p 431-438, October 2020. | DOI: 10.4103/1995-7645.291036
- 3. Ahmad, N.K., Rahmat, A.K., Mushtaq, A and Nadia, M. (2015), Role of antioxidant in oxidative stress and Diabetes Mellitus, *Journal of Pharmacognosy and Phytochemistry*, 3, 6, 217-220.
- 4. Agnieszka, P., Dorota, R., Iren, A., Maciej, J and Stefan, A. (2011), High Glucose concentration affects the oxidant-antioxidant balance in cultured mouse podocytes, *Journal of Cellular Biochemistry*, 112, 1661-72.
- 5. Arumugam, G., Manjula, P and Paari, N. (2013), A review: antidiabetic medicinal plants used for Diabetes Mellitus, *Journal of Acute Disease*, 2, 3,196-200.
- 6. Patel, V and Sharma, V. (2014), The role of natural antioxidants in oxidative stress induced Diabetes Mellitus, *Research Journal of Pharmaceutical Sciences*, 3, 4, 1-6.
- 7. Saifi, A., Namdeo, K. P., Bodakhe, S.H and Dwedi, J.(2013), A review on antidiabetic potential of *Momordica charantia* Linn, *International Journal of Pharmaceutical Research and Bio-Science*, 2, 6, 475-485
- 8. Renuka R. and Jeyanthi G. P, Evaluation of in vitro α amylase inhibitory kinetics and free radical scavenging activities of *Momordica charantia*International Journal of ChemTech Research. 2017; 10 (7): 315-323.
- 9. Laila, O., Murtaza, I., Abdin, M.Z., Ahmad, S., Ganai, N and Jehangir, M. (2014), Development and validation of HPTLC method for simultaneous estimation of diosgenin and quercetin in fenugreek seeds (*Trigonella foenum-graceum*), *International Scholarly Research Notices Chromatography*, 2013, 1-8.
- 10. Kakkar, P., Das, B and Viswanathan, P.N. A. (1984), Modified spectrophotometric assay of superoxide dismutase, *Indian Journal of Biochemistry* and *Biophysics*, 21,130-132.
- 11. Luck, H. (1974), *Methods in Enzymatic Analysis,* II Edition, Bergmeyer Publication, Academic Press, New York, 885-890.
- 12. Rotruck, J.T., Pope,A.L., Ganther,H.E., Swanson,A.B., Hafeman, D.G and Hoekstra, W. G. (1973), Selenium, biochemical roles as a component of glutathione peroxidase, Science, 179, 4073, 588-590.
- 13. Roe, J.H and Kuether, C.A. (1953), The determination of ascorbic acid in whole blood and urine through 2, 4-dinitrophenyl hydrazine derivative dehydro ascorbic acid, *Journal of Biological Chemistry*, 147, 399-407.
- 14. Rosenberg, H.R. (1992), Chemistry and physiology of vitamins, *Interscience Publishers*, New York, 452-453.
- 15. Moron, M.S., Depierre, J.W and Mannervik, B. (1979), Levels of glutathione, glutathione reductase and glutathione -s-transferase activities in rat lung and liver, *Biochimica et Biophysica*, 582, 67-78.
- 16. Kesavulu, M.M., Giri, R., Rao, K.R and Apparao, C. (2000), Lipid peroxides and antioxidant enzyme levels in Type 2 diabetics with microvascular complications, *Diabetes* and *Metabolism*, 26, 5, 387-92.
- 17. Ohkawa, H., Ohishi, N and Yagi, K. (1979), Assay for lipid peroxides in animal tissues by thiobarbituric acid reaction, *Analytical Biochemistry*, 95, 351-358.
- 18. Ragini, V., Prasad, K.V.S.R.G and Bharathi, K. (2011), Antidiabetic and antioxidant activity of *Shorea tumbuggaia*Rox, *International Journal of Innovative Pharmaceutical Research*, 2, 2,113-121.
- 19. Pari, L and Latha, M. (2004), Protective role of *Scoparia dulcis* plant extract on brain antioxidant status and lipid peroxidation in STZ diabetic male Wistar rats, *Bio Med Central Complementary and Alternative Medicine*, 4, 16.
- 20. Condell, R.A and Tappel, A.L. (1983), Evidence for suitability of glutathione peroxidase as a protective enzyme: Studies of oxidative damage, renaturation and proteolysis, *Archives* of *Biochemistry* and *Biophysics*, 223, 407-416.
- 21. Balasubramanian, T., Senthilkumar, G.P., Karthikeyan, M and Tapan, K.C. (2014), Therapeutic effect of *Stereospermumsuavelolens* on diabetic nephropathy, *Journal of Clinical and Experimental Pharmacology*, 4, 5,1-7
- 22. Shilpa, V.N., Narmadha, R., Gopalakrishnan, V.K and Devaki, K. (2012), *In-vivo* antioxidant activity of *Premnacorymbosa*(Rottl) against streptozotocin induced oxidative stress in wistar albino rats, *Journal of Applied Pharmaceutical Science*, 2, 10, 60-65.





Renuka

- 23. Ambali, S., Akanbi, D., Igbokwe, N., Shittu, M., Kawu, M and Ayo, J. (2007), Evaluation of subchronic chlorpyrifos poisoning on hematological and serum biochemical changes in mice and protective effect of vitamin C, *Journal of Toxicological Sciences*, 32, 2, 111-120.
- 24. Sadi, G., Yılmaz, O and Guray, T. (2008), Effect of vitamin C and lipoic acid on streptozotocin-induced diabetes gene expression: mRNA and protein expressions of Cu-Zn SOD and catalase, *Molecular* and *Cellular Biochemistry*, 309, (1-2),109-16.
- 25. Punithavatki, V.R., Anuthama, R and Prince, P.S. (2008), Combined treatment with naringin and vitamin C ameliorates streptozotocin-induced diabetes in male wistar rats, *Journal* of *Applied Toxicology*, 28, 6, 806-13.
- 26. Halliwell, B and Gutteridge, J.M. (1984), Lipid peroxidation, oxygen radicals, cell damage, and antioxidant therapy, *Lancet*, 1, 8391, 1396-7.
- 27. Sharma, B. (2000), L-Carnitine and Vitamin-E the antioxidant, *Journal of the American Medical Association*, 3, 51 52.
- 28. Shilpa, V.N., Narmadha, R., Gopalakrishnan, V.K and Devaki, K. (2012), *In-vivo* antioxidant activity of *Premnacorymbosa*(Rottl) against streptozotocin induced oxidative stress in wistar albino rats, *Journal of Applied Pharmaceutical Science*, 2, 10, 60-65.
- 29. Kaleem, M., Asif, M., Ahmed, Q.U and Bano, B. (2006), Antidiabetic and antioxidant activity of *Annona squamosa* extract in streptozotocin-induced diabetic rats, *Singapore Medical Journal*, 47,8, 670-5
- 30. Nandhini, V and Victor, A.D.D.(2014), Evaluation of antioxidant status (non- enzymic) and hemodynamic changes of flower extract of *Rosa damascena* in streptozotocin induced diabetic rats, *International Journal of Informative and Futuristic Research*, 2,4, 941-948
- 31. Anusooriya, P., Malarvizhi, D., Gopalakrishnan, V.K and Devaki, K. (2014), Antioxidant and antidiabetic effect of aqueous fruit extract of *Passiflora ligularis* Juss on streptozotocin induced diabetic rats, *International Scholarly Research Notices*, 2014, 1-10.
- 32. Davey, M.W., Van, M.M., Inze, D., Sanmartin, M., Kanellis, A and Smirnoff, N. (2000), Plant L-ascorbicacid: Chemistry, function, metabolism, bioavailability and effects of processing, Journal of the Science of Food and Agriculture, 80, 7,825-860.
- 33. Malini, P., Kanchana, G and Rajadurai, M. (2011), Antiperoxidative and antioxidant effect of ellagic acid on normal and streptozotocin induced diabetes in albino wistar rats, *Research Journal of Pharmaceutical, Biological and Chemical Sciences*, 2, 3,24 34.
- 34. Gopalakrishnan, G and Dhanapal, C. K. (2014), Evaluation of *in vivo* antioxidant activity of methanolic extract of *Coleus vettiveroides*Jacob in streptozotocin-induced oxidative stress in rats, *International Journal of Pharmacy and Pharmaceutical Sciences*, 6, 1, 590-592.



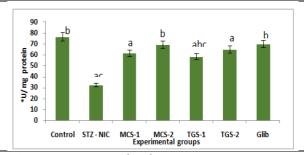
Values are mean± SEM (n= 6)

@ 1 Unit: Amount of enzyme that causes 50% reduction in NBT oxidation

a-p < 0.05 compared with control group

b-p < 0.05 compared with STZ - NIC group

c-p <0.05compared with Glib (200µg/kg b.w) treated group



Values are mean± SEM (n= 6)

 $^{\ast}1$ Unit: Amount of enzyme required to decrease the absorbance at 240nm by 0.05 units

a-p <0.05 compared with control group

b-p < 0.05 compared with STZ -NIC group

c-p <0.05compared with Glib (200µg/kg b.w) treated group



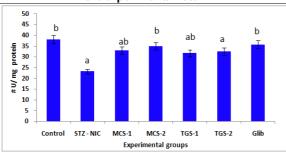


Renuka

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1: 200mg/kg b.w, TGS 2:400mg/kg b.w.

Figure 1a: Activity of hepatic superoxide dismutase in the experimental rats



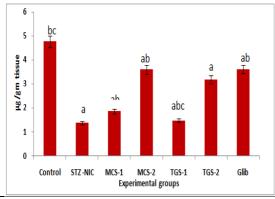
Values are mean± SEM (n= 6)

- # 1 Unit: μ moles of GSH consumed/minute//mg liver protein.
- a-p < 0.05 compared with control group
- b-p < 0.05 compared with STZ NIC group
- c-p <0.05compared with Glib (200µg/kg b.w) treated group

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1: 200mg/kg b.w, TGS -2:400mg/kg b.w

Fig 1c: Activity of hepatic glutathione peroxidase in the experimental rats



Values are mean± SEM (n=6)

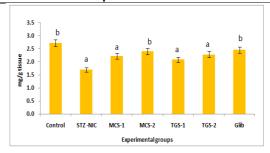
- a-p < 0.05 compared with control group
- b-p < 0.05 compared with STZ -NIC group
- c-p <0.05compared with Glib (200µg/kg) treated group (One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1: 200mg/kg b.w, TGS -2:400mg/kg b.w.

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1: 200mg/kg b.w, TGS -2:400mg/kg b.w.

Figure 1b: Activity of hepatic catalase in the experimental rats



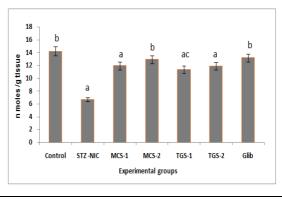
Values are mean± SEM (n= 6)

- a-p <0.05 compared with control group
- b-p < 0.05 compared with STZ -NIC group
- c-p <0.05compared with Glib (200 μ g/kg b.w) treated group

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1:200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1: 200mg/kg b.w, TGS -2:400mg/kg b.w.

Figure 2a: Levels of hepatic vitamin C in the experimental rats



Values are mean± SEM (n= 6)

- a-p <0.05 compared with control group
- b-p < 0.05 compared with STZ -NIC group
- c-p <0.05compared with Glib (200µg/kg b.w) treated group

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/kg b.w, TGS -1:



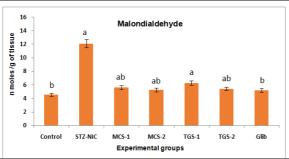


Renuka

Figure 2b : Levels of hepatic vitamin E in the experimental rats

200mg/kg b.w, TGS 2:400mg/kg b.w.

Figure 2 c :Levels of hepatic reduced glutathione in the experimental rats



Values are mean± SEM (n= 6)

a-p < 0.05 compared with control group

b-p <0.05 compared with STZ -NIC group

c-p <0.05compared with Glib (200µg/kg b.w) treated group

(One way ANOVA followed by Dunnett's multiple Comparison test)

MCS -1: 200mg/kg b.w, MCS-2:400mg/ kgb.w, TGS -1: 200mg/kg b.w, TGS -2:400mg/kg b.w.

Figure 3: Lipid peroxidation in the liver of experimental rats





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Ensuring Sustainability: Embedding Environmental Education in **Teacher Training**

Neeraj Yadav^{1*} and Lohans Kumar Kalyani²

¹Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Rammanohar Lohia Avadh University), Uttar Pradesh, India.

²Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Rammanohar Lohia Avadh University), Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 28 Aug 2024

*Address for Correspondence Neeraj Yadav

Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Rammanohar Lohia Avadh University), Uttar Pradesh, India.

Email: neerajlbsgonda@lbsdc.org.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This paper addresses the crucial role of teacher education in ensuring a sustainable future. It argues that by embedding Environmental Education (EE) within teacher training programs, educators can become powerful agents for fostering environmental awareness and action in future generations. The article explores the theoretical framework of Education for Sustainable Development (ESD) and its practical application as EE. It outlines the core knowledge base for effective EE, encompassing ecological literacy, environmental issue analysis, and pedagogical approaches to integrate sustainability across the curriculum. Furthermore, the paper examines practical strategies for embedding EE in teacher training programs, focusing on curriculum development, innovative teaching methods (e.g., project-based learning, field studies), and fostering collaboration between teacher educators and environmental experts. By equipping teachers with the necessary tools and fostering their own environmental stewardship, teacher training programs can become a critical catalyst for building a generation committed to a sustainable future.

Keywords: Education, Environment, Teacher Training, Sustainable Development, etc.





Neeraj Yadav and Lohans Kumar Kalyani

INTRODUCTION

In the field of environmental education, there is a notable lack of emphasis in both pre-service and in-service teacher education programs, underscoring the connection between environmental education and teacher preparation. Numerous national sant institutions provide extensive teacher preparation programs that combine standard pedagogical instruction with environmental education. The purpose of this paper is to emphasize how important it is for teacher educators to use awareness-building techniques. The goal of environmental education is to raise awareness of environmental issues among people all over the world and to make them deeply care about them. Its main objective is to provide people with the information, abilities, attitudes, drive, and dedication needed to deal with current environmental issues in a cooperative manner while actively averting the emergence of future ones.

Aims and Objectives

- 1. Environmental education has many goals, including raising public awareness of environmental issues, encouraging the investigation of possible outcomes, and laying the foundation for fully informed and active personal participation in environmental protection and the sustainable use of natural resources. Resolutions' guiding principles highlight a number of important themes, including:
- 2. Reaffirming that the environment is a common human legacy.
- 3. Understanding that maintaining, protecting, and improving environmental quality is our shared responsibility in order to advance ecological balance and human health.
- 4. Making the case for the judicious and sensible use of resources.
- 5. Stressing the responsibility of each individual to protect the environment by their own behavior and deeds.
- 6. Outlining the main goals of environmental education, which include improving environmental management and coming up with workable answers to environmental problems.
- 7. Providing opportunities to acquire the values, information, attitudes, commitments, and abilities required to protect and improve the environment.
- 8. Encouraging students to examine and evaluate their surroundings via a range of lenses, such as those pertaining to geography, biology, sociology, economics, politics, technology, history, aesthetics, and ethics.
- 9. Promoting students' environmental awareness and curiosity while encouraging their active participation in resolving environmental concerns.
- 10. Understanding how environmental education relates to other trans disciplinary issues found in other subject

Types of Environmental Education

Formal Environmental Education

- a) Structured Environmental Education: This aspect entails formal training in educational environments and necessitates the inclusion of environmental subjects in the curriculum at various grade levels.
 b) Environmental Awareness Courses through Distance Learning: This program helps people have a better understanding of local environmental difficulties by providing them with the opportunity to study specific environmental issues through distance learning programs.
- b) **Environmental Programs at Open Universities:** The Indira Gandhi National Open University (IGNOU) offers flexible study options by facilitating undergraduate degrees through distant education.
- c) Integrating Environmental Principles into Business and Management Studies: The Indian Ministry of Human Resource Development required in 2002 that environmental concepts and issues be incorporated into management and business studies curricula, highlighting the significance of environmental literacy in these domains.





Neeraj Yadav and Lohans Kumar Kalyani

Non-Formal Environmental Education

- a) **Environmental Clubs:** These are formalized associations that work to raise environmental awareness and take up issues related to the local or global environment within communities, schools, or other organizations.
- b) **The National Environmental Corps** is a government or non-governmental entity entrusted with organizing and carrying out nationwide environmental sustainability and conservation projects.
- c) **Population Awareness Initiatives**: These are plans or initiatives designed to inform people and communities about the environmental effects of population expansion and to encourage responsible family planning.
- d) **Environmental Advocacy Initiatives:** Usually carried out by individuals, organizations, or activist groups, they are attempts to increase public awareness, shape policy, and spur action to solve environmental challenges.
- e) **Global Environmental Initiatives**: These are cross-border international projects or initiatives that tackle environmental issues like pollution, biodiversity loss, and climate change.
- f) **Eco-Friendly Competitions:** These are occasions or competitions that motivate participants to create and present creative approaches or methods that support ecological footprint reduction and environmental sustainability.
- g) Forums, Conferences, Training Sessions, Camps, Exhibitions, Community Gatherings, Puppetry Performances, and Street Performances: These are a few examples of platforms or methods that are used to raise awareness of environmental issues, encourage participation and dialogue, and motivate people to take action to improve the environment.

Importance

The health of our planet is intrinsically tied to the well-being of humankind. The capacity of future generations to survive at all rests on our collective shoulders to protect the natural world. This calls for a change in mindset to one of environmental responsibility, in which each person takes an active role in protecting our common environment. A fundamental aspect of this movement involves cultivating a profound comprehension of the complex network of life that keeps us alive. Development is necessary for society to advance, but it cannot come at the price of the environment being worse. Such deterioration frequently results from a lack of public knowledge about the sensitive ecosystem's equilibrium. Environmental problems like as pollution and climate change have effects on people everywhere on Earth, regardless of where they live. As a result, adding environmental education to the curriculum is a crucial first step. We enable the next generation to take on the role of responsible stewards of the planet by teaching them about the environment and its intricate relationships. With this information, they will be able to make decisions that will guarantee a sustainable future for everybody.

Strategies to Increase Awareness

Launched in 1986 by the Indian government's Ministry of Environment and Forests (MOEF), the National Environment Awareness Campaign (NEAC) is a groundbreaking initiative designed to raise environmental awareness among all societal classes. This campaign emphasizes how important awareness is in addressing environmental issues and advancing sustainable development. In order to run its operations, NEAC provides financial support to community organizations, educational institutions, training facilities, and recognized nongovernmental organizations (NGOs). These organizations are tasked with carrying out broad public awareness campaigns for Indian citizens, with an emphasis on incorporating environmental education into teacher preparation programs. The MOEF wants people from a variety of backgrounds to have a profound grasp of environmental challenges and its repercussions through NEAC. NEAC makes ensuring that awareness campaigns are decentralized and customized to the particular requirements and circumstances of many areas and communities around the nation by collaborating with NGOs, educational institutions, and community organizations. Additionally, NEAC acknowledges the critical role educators play in influencing the environmental consciousness of coming generations by integrating environmental education into teacher training programs. NEAC's main goal is to spark a national movement in favor of sustainability and environmental care. Through providing people with information, tools, and resources, NEAC works to promote a culture of environmental responsibility and group action. By means of cooperative initiatives and community involvement, NEAC aims to develop a better educated, involved, and ecologically conscious populace that is equipped to tackle the urgent environmental problems of our day.





Neeraj Yadav and Lohans Kumar Kalyani

Environmental Information System

The Environmental Information System (ENVIS) has been a key component in the communication of scientific, technical, and semi-technical information on a wide range of environmental concerns since its establishment in 1982– 1983 during the Sixth Plan. All levels of government have benefited greatly from ENVIS's assistance in formulating policies and managing the environment, which has aided in the process of making decisions that protect and improve the environment in order to maintain a good standard of living for all living things. Ensuring the smooth integration of national efforts in the gathering, compiling, storing, retrieving, and distribution of web-enabled environmental information to all stakeholders has been the main goal of ENVIS. This includes researchers, scientists, policy planners, decision-makers, and the general public. ENVIS facilitates informed decision-making and effective action for environmental conservation and sustainable development by giving stakeholders access to comprehensive and current environmental data and knowledge resources. Because ENVIS makes it easier for professionals to share their knowledge and experience with practitioners, it is essential in closing the knowledge gap that exists between scientific research and policy implementation. ENVIS promotes the sharing of creative ideas, cutting-edge solutions, and new developments in environmental management and governance through its extensive network of environmental information centres and online platforms. Furthermore, by democratizing access to environmental information, ENVIS encourages accountability, openness, and public involvement in environmental decision-making processes. ENVIS facilitates public access to pertinent data and research findings, enabling citizens to participate in educated discourse, advocacy, and activism for environmental sustainability and protection. All things considered, ENVIS is a crucial instrument in the toolbox of environmental governance, providing knowledge, insight, and foresight to stakeholders as they negotiate the intricate web of environmental possibilities and problems. Through the promotion of cooperation, exchange of ideas, and development of capabilities, ENVIS makes a major contribution to the group's endeavors to create a future that is cleaner, greener, and more resilient for future generations.

The long-term goals of the Plan

- 1. The Environmental Information System's (ENVIS) goals highlight how important it is to the advancement of environmental science, technology, and information sharing. These goals provide as a foundation for creating a strong repository and dissemination hub that efficiently gathers, processes, stores, retrieves, and distributes environmental data by utilizing cutting-edge technologies. In addition, ENVIS seeks to promote environmental information technology research, development, and innovation, keeping the sector at the forefront of knowledge advancement. In the near future, ENVIS is concentrated on multiple primary goals:
- 2. Offering a thorough national environmental information service that meets the demands of environmental information processors, users, and disseminators now and in the future. The goal of this service is to handle the changing needs and difficulties in environmental decision-making and management. Improving dissemination, retrieval, and storage capacities to guarantee stakeholders have quick access to environmental data. This entails developing the systems and infrastructure necessary for effective information management and end-user delivery.
- 3. Encouraging collaboration and national and international cooperation for the sharing of environmental information. ENVIS aims to facilitate cross-border knowledge exchange and collaboration on environmental concerns by cultivating partnerships and networks.
- 4. Supporting educational and training initiatives designed to improve participants' abilities to process and use environmental information. Efforts to teach professionals and students how to use information technologies for environmental research and decision-making fall under this category.
- 5. Facilitating information sharing on the environment between developing nations, acknowledging the significance of international collaboration in tackling common environmental issues and advancing sustainable development.
- 6. By achieving these goals, ENVIS hopes to significantly contribute to the advancement of environmental knowledge, the encouragement of well-informed decision-making, and the development of global cooperation for environmental sustainability. Through the use of technology, encouragement of learning and training, and information sharing, ENVIS helps to create a future that is more sustainable and resilient for everybody.





Neeraj Yadav and Lohans Kumar Kalyani

Eco-Clubs in Schools

Eco-Clubs in schools serve as hubs for fostering environmental awareness and action among students. These clubs, found in various educational institutions, engage students in activities like tree planting, waste reduction, and water conservation. Supported by initiatives like the Department of Environment, they educate students on biodiversity, pollution, and sustainable living. Through workshops, clean-up drives, and nature walks, Eco-Clubs instill a sense of responsibility towards the environment and promote community involvement. Empowering the next generation of environmental stewards, Eco-Clubs play a vital role in shaping a more sustainable future. Delhi, the National Capital Territory (NCT), is proud to have established 2000 of these clubs in a variety of educational settings, including colleges and government, assisted, private, and public schools. The Department of Environment provides each school and college Eco Club with an enhanced token grant of Rs. 20,000 to support their environmentally conscious activities. With the help of this funding, a variety of ecologically friendly initiatives can be put into practice, encouraging an eco-aware and action-oriented culture in educational environments.

Activities under this scheme include

- 1. **Encouraging Tree Plantation:** Motivating students to participate in tree planting activities to maintain green and clean surroundings.
- 2. **Water Conservation Promotion:** Advocating for the conservation of water resources by minimizing water usage.
- 3. **Waste Management Advocacy:** Encouraging students to adopt habits and lifestyles that minimize waste generation, promote source separation of waste, and ensure proper disposal to nearby storage points.
- Awareness Campaigns against Waste Burning: Educating students to raise awareness among the public and sanitation workers about the harmful effects of indiscriminate waste burning, which can lead to respiratory diseases.
- Reduction of Plastic Bag Usage: Sensitizing students to minimize the use of plastic bags and discourage their disposal in public places, as they can clog drains, cause waterlogging, and serve as breeding grounds for mosquitoes.
- 6. **Environmental Education Programs:** Organizing various awareness programs such as quizzes, essays, painting competitions, rallies, and street plays to educate students about environmental issues. Additionally, educating children about the reuse of waste materials and the preparation of products from waste.
- 7. **Nature Trail Organizing:** Arranging nature trails in wildlife sanctuaries, parks, and forest areas to acquaint students with biodiversity and the importance of conservation efforts.

CONCLUSION

In order to ensure sustainability in education and beyond, it is critical that environmental education be included into teacher training programs. Future educators are given the information, abilities, and attitudes needed to successfully implement environmental education into their teaching practices by including environmental concepts, issues, and practices into teacher education curricula. This all-encompassing strategy not only enables educators to encourage environmental stewardship in their pupils, but it also promotes a sustainable culture in both communities and educational institutions. Ultimately, we can raise a generation of environmentally aware people who can solve environmental issues and help create a more sustainable future for all if we give environmental education top priority in teacher preparation programs.

REFERENCES

- 1. Gregory, George Peter. Environmental concerns: the nation. New York, Harcourt Brace Jovanovich, Inc., 1977.
- 2. Hungerford, H. R.; Peyton, R. B. Basic concepts in ecology. (An unpublished instructional document). Southern Illinois University, Carbondale, Illinois, 1978.





Neeraj Yadav and Lohans Kumar Kalyani

- 3. Hungerford, H. R. et al. Investigation and action skills for environmental problem solving. Champaign, Illinois, Stipes Publishing Company, 1978. 166 p.
- 4. Moran, Joseph M.; Morgan, Michael D.; Wiersma, James H. An introduction to environmental sciences. Boston, Little, Brown and Company, 1973. 389 p.
- 5. Odum, Eugene P. Ecology. New York, Holt, Rinehart, and Winston, 1975. 244 p.
- 6. Smith, Robert Leo. Ecology and field biology. New York, Harper and Row, Publishers, 1974. 850 p.
- 7. Andrews, William A. Contours: studies of the environment. Englewood Cliffs, New Jersey, Prentice-Hall, Inc., 1974. In 4 volumes with teacher's guide as follows: (1) Environmental Pollution, (2) Freshwater Ecology, (3) Soil Ecology, and (4) Terrestrial Ecology.
- 8. Benton, Allen H.; Werner, William E., Jr. Field biology and ecology. New York, McGraw-Hill Book Co., 1978. 564 p.
- 9. Smith, Robert Leo (ed.). The ecology of man: an ecosystem approach. New York, Harper and Row, 1976. 399 p. Tomera, Audrey N. Understanding basic ecological congests: a work text Portland, Maine, J. Weston Watch, Publishers, 1979. 135 p.
- 10. Turk, Amos; Turk, Jonathan; Wittes, Janet. Ecology, pollution, environment. Philadelphia, 11. B. Saunders Company, 1972. 217 p. Turk, Jonathan; Wittes, Janet; Wittes, Robert;
- 11. Turk, Amos. Ecosystems, energy, pollution. Philadelphia, Pennsylvania, W.B. Saunders Company, 1975. 296 p.





RESEARCH ARTICLE

Isolation and Characterization of Potash Solubilizing Bacteria from Paddy Rhizosphere Soil

Ranjitha R1 and Alagappan Gandhi2*

¹Ph.D Scholar, Department of Botany, Annamalai University, Annamalai Nagar, Tamil Nadu, India. ²Assistant Professor, Department of Botany, H.H. The Rajah's College, Pudukkottai, (Affiliated to Bharathidasan University), Thiruchirapalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 26 Aug 2024

*Address for Correspondence Alagappan Gandhi

Assistant Professor, Department of Botany, H.H. The Rajah's College, Pudukkottai, (Affiliated to Bharathidasan University), Thiruchirapalli, Tamil Nadu, India.

Email: drgbot@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The use of Potassium Solubilizing Bacteria (KSB) as bio-fertilizer has simultaneously increase potash uptake in plants and improve crop production in various crops. A laboratory study was carried out to isolate, identify, and characterize potash-solubilizing bacteria from rhizospheric soil of paddy. The KSB population was greater in paddy rhizosphere soils. Preliminary selection for KSB was based on the establishment of a halo zone surrounding the bacterial colony on Aleksandrov agar medium. The isolated KSB were identified based on their morphological and biochemical characterisers. The isolates showed positive for catalase oxidation and negative for urease. The isolates were Gram-positive rods known as *Bacillus*.

Keywords: Isolation, characterization, Aleksandrov medium, KSB, Bacillus.

INTRODUCTION

Potassium is the most important macro key element in the nutrition of plants, next to nitrogen (N) and phosphorus (P). It plays a key function in the activation of numerous metabolic processes like as photosynthesis, protein synthesis, and enzymes, as well as resistance to pest and diseases(1). The amount of soluble potassium content in the soil plays a crucial role in determining its fertility and ability to support plant growth. This soluble potassium is readily available for direct uptake by plants. Meanwhile, the vast majority, between 90-98%, of potassium in soil exists in insoluble forms, including mineral potassium, exchangeable potassium and non-exchangeable potassium (2). Bio fertilizers are biologically active products containing beneficial bacterial or fungal strains, often in easy-to-use as inoculants to enhance soil fertility by adding, conserving and mobilizing nutrients like nitrogen, phosphorus and potassium for better plant growth(3). They also promote soil health and crop quality. While some bacteria function as bio-pesticides to control harmful organisms and others enhance plant growth by producing phytohormones, they are





Ranjitha and Alagappan Gandhi

not classified as bio fertilizers. Microorganisms are pivotal in the natural K cycle, with rhizobacteria solubilizing potassium in soil. Considerable populations of K-solubilizing bacteria exist in both soil and rhizosphere (4). Several reports revealed the ability of various bacterial species to solubilize insoluble potash minerals, including feldspars, mica, muscovite, potassium aluminosilicate, and biotite. *Bacillus, Pseudomonas, Klebsiella, Acinetobacter, Azotobacter,* and *Streptomyces* are among the bacterial genera known for this capacity (5, 6). There is a significant number of potassium-solubilizing bacteria in rhizosphere plant soil. Among these *Pseudomonas, Bacillus,* and fungus are the most common soil bacteria. The study investigates the potassium-solubilizing bacteria from rhizospheric soil of paddy, examining their ability to release potassium and enhancing crop nutrition. It aims of the presents study was to identify effective bacteria for solubilizing potassium from different type of unavailable form of potassium, potentially offering an effective KSBto improve soil fertility and increase crop yields with eco-friendly approach.

MATERIALS AND METHODS

Collection of soil sample

Rhizosphere soil samples of paddy were collected from Pudukkottai district. Surface soil was dug to 10cm where the roots of crop were concentrated. From about 0-2.5mm away from the root surface, a zone of soil is located that is significantly influence by living roots and is referred to as the rhizosphere.

Adaptation and enrichment

Collected soil sample were enriched with 5% insoluble potassium and incubated for one weak at room temperature. After adaptation, 1gm of soil was inoculated in 100ml GYF (Glucose Yeast Feldspar) broth and incubated at 37° C on rotary shaker at 120 rpm for 1 week.

Media preparation

Aleksandrov agar medium (AM) was chosen based on the existing reports as a selective medium for the isolation of Potassium Solubilizing Bacteria (7).

Composition of AM medium

Magnesium sulphate-0.5gPotassium alumino silicate-0.1gGlucose-5.0gFerric chloride-0.005gCalcium phosphate-2.0gAgar-20gDistilled water-1000mlPH-7.2±0.

Isolation and screening of KSB

Potassium Solubilizing Bacteria were isolated from soil samples using the serial dilution and spread plate method. Initially, one gram of soil sample was mixed with 10 ml of sterile distilled water and thoroughly shaken. Subsequently, 1 ml of solution from first dilution has transferred to 9ml of sterile distilled water mixed thoroughly. The same procedure was done up to preparing 10-7 dilutions. Each dilution (0.1 ml) was plated onto Aleksandrov agar medium (AM) containing insoluble mica an incubated at Selection of KSB based on halo zone formation 28±2°C for 7 days. Colonies showing halo zones indicative of potassium solubilization were selected and maintained in respective agar sland for further study subjected to two successive subcultures on Aleksandrov agar medium to observe colony morphology. To ensure sterility, the entire procedure was conducted within a laminar airflow (8).

Ratio= <u>Diameter of zone of clearance (D)</u>
Diameter of growth (d)





Ranjitha and Alagappan Gandhi

Morphological and biochemical characterization of KSB

The characterization of isolates involved observing their morphological traits such as shape, marginand colour. Additionally, several biochemical tests were conducted, including Gram staining, Capsule staining, Motility, Catalase oxidation test, MR-VP test, Indole production test, Nitrate reduction, H₂S production, Urease and Starch hydrolysis test.

Cell shape

To observe cell morphology, a loop of bacterial culture was transferred to a glass slide with a drop of sterile water and viewed under a light microscope.

Gram stain

A loopful of 24-36hrs old broth culture of the test bacterium was put on a clean glass slide and air-dried. The slide was then gently heated over the flame of a spirit lamp to fix the bacterial stain. The fixed smear was then immersed in an aqueous Crystal violet solution (0.5%) for 30 to 35 seconds and then rinsed for one minute with a gentle flow of tap water. The stained smear was then flooded for one minute with Gram's iodine solution and gently washed with tap water. Decolourization was done with a 95% ethanol solution until the colour of crystal violet runoff was visible, then washed with a gentle flow of water. Finally, the smear was counterstained with Safranin for about 10 seconds, rinsed with water, air-dried, and examined under a microscope with 40X magnification.

Capsule stain

A capsule stain technique was used to Indian ink method confirm the presence of capsule in the bacterial isolates (9).

Mannitol

motility buds were inoculated with the test organism and cultured at 37°C for 24 hours. Motile species made the medium opaque, but non-motile species grew only along the stab line.

Biochemical characterization

The isolates was essentially subjected to Catalase oxidation test, MR-VP test, Indole production test, Nitrate reduction, H₂S production, Urease and Starch hydrolysis test deamination test were performed by using standard methods(10).

RESULTS AND DISCUSSIONS

Isolation and screening of KSB

The bacteria were first isolated from rhizospheric soil of paddy using a modified Aleksandrov media containing mica, providing the necessary nutrients and conditions for observing potash solubilizing bacteria. The selected bacteria were screened for their ability to solubilize K minerals, typically evidenced by the formation of clear zones around bacterial colonies, indicating K mineral solubilization. Only colonies displaying distinct morphology and clear zones were chosen, implying they likely possessed the desired solubilization ability. Five bacterial isolates, namely KSB1, KSB2, KSB3, KSB4 and KSB5 were selected based on these criteria. Subsequently, the extent of solubilization exhibited by the chosen isolates was quantified by measuring the diameter of the clear zone around each colony. Notably, isolates KSB1 and KSB2 demonstrated larger zones of solubilization compared to others. The ratio of solubilization zone was measured according to Khandeparkar's selection ratio as listed in (Table 1).

Morphological and biochemical characterization of KSB

The isolates underwent assessment for their capacity to solubilize soluble potassic minerals, while the cultural behaviour of the purified bacterial isolates was observed using Modified Aleksandrov media. Among the five isolates initially tested, only KSB1 and KSB2 were selected for further analysis to tentatively identify and study their morphological and biochemical characteristics, as delineated in (Table 2). KSB1 forms small, opaque, aerobic colonies,





Ranjitha and Alagappan Gandhi

with small rod-shaped cells and entire margins. These colonies appear creamy in colour and stain positive in Gram staining. They are also capsulated, motile, and positive for catalase, Methyl Red (MR), nitrate reduction, H₂S production and starch hydrolysis, but negative for Vog-es-Proskauer (VP) test, Indole production, and urease activity. In contrast, KSB2 forms medium-sized smooth colonies with short rod-shaped cells and smooth elevated margins. These colonies are creamy white in colour and stain positive in Gram staining. Unlike KSB1, KSB2 lacks capsules and is VP-positive and urease-positive but Indole-negative. Additionally, KSB2 does not reduce nitrate or produce H₂S.

Identification of isolated bacteria

On the basis of different morphology and biochemical analysis performed the isolated bacterial strain KSB1 and KSB2 were identified as bacillus(Plate 1). The results of present study obtained on morphological and biochemical characteristics of KSB1 and KSB2 are conformity with earlier reports of several workers (8, 10, 11, 12, 13, and 14).

CONCLUSION

Potassium availability to crop plants in soil is generally low since nearly 98% of total K in soil is in mineral form. Solubilization of soil mineral potassium by bacteria is well established. Rhizosphere micro-organisms contribute significantly in solubilization of bound form of minerals in the soil. The results obtained from the current study concerning isolation, screening and characterization of two isolates of KSB from some paddy rhizosphere soil revealed that bacillus species can potentially enhance the dissolution of K-bearing minerals the best isolate with the highest score in Aleksandrov agar were KSB2 (2.08cm diameter). Even though the isolate KSB2 showed maximum solubilizing potentiality in laboratory condition further studies on the mechanism by which KSB solubilize mica and the effectiveness of their use in the fields is needed.

ACKNOWLEDGEMENT

We sincerely thanks to our Botany Department, Annamalai University for provide a laboratory facility.

REFERENCES

- 1. Rahm G and Schmitt M (2002). Potassium for crop production. Retrieved February 2, 2011, from Regents of the University of Minnesota website:
- 2. McAfee J (2008) Potassium, a key nutrient for plant growth. Department of Soil and Crop Sciences:
- 3. Bin Lian, Bin Wang, Mu Pan, Congqiang Liu and H.Hentry Teng (2010). Microbial release of potassium from K-bearing minerals by thermophilic fungus aspergillus fumigatus. *Geochimica et Cosmochimica Acta* 72 87-98.
- 4. Sperberg, J.I. (1958). The incidence of apatite solubilizing organisms in the rhizosphere and soil. *Australian J. Agril. Resou. Econ.* 9: 778.
- 5. Naorem, A., Udayana, S. K., & Patel, S. (2021). *Potassium Solubilizing Bacteria*. 299–313. https://doi.org/10.4018/978-1-7998-7062-3.ch010
- 6. Meena, V. S., Maurya, B. R., Verma, J. P., & Meena, R. S. (2016). Potassium solubilizing microorganisms for sustainable agriculture. In *Potassium Solubilizing Microorganisms for Sustainable Agriculture*. https://doi.org/10.1007/978-81-322-2776-2
- 7. Sugumaran P and Janartham B (2007). Solubilization of potassium minerals by bacteria and their effect on plant growth. *World Journal of Agricultural Sciences* **3**(3) 350-355.
- 8. Sen, A., Padhan, D., & Poi, S. C. (2016). Isolation and characterization of mineral potassium solubilizing bacteria from rhizosphere soils. *Journal of Applied and Natural Science*, 8(2), 705–710.
- 9. Richardson, W.P. and J.C. Sadoff, 1977. Production of a capsule by *Neisseria gonorrhoeae*. Infect Immun. 15: 663–4.





Ranjitha and Alagappan Gandhi

- 10. Cappuccino JG (1998). *Microbiology A Laboratory Manual* 7th Edition Pearson Education. Published by Dorling Kindersley (India) Pvt. Ltd.
- 11. Fatharani, R., & Rahayu, Y. S. (2018). Potassium solubilization, plant growth promoting substances by potassium solubilizing bacteria (KSB) from southern IndianTea plantation soil. *Journal of Physics: Conference Series*, 1108(1).
- 12. Prajapati, K. B., & Modi, H. A. (2012). Isolation and Characterization of Potassium Solubilizing Bacteria From Ceramic Industry Soil. *Online) An Online International Journal Available At*, 1(3), 8–14.
- 13. Anukriti Verma1,*, Yamini Patidar2, Aditi Vaishampayan3 (2016). Isolation and purification of potassium solubilizing bacteria from different regions of India and its effect on crop's yield. Indian J Microbiol Res 2016;3(4):483-488.
- 14. Diep, C.N. and Hieu, T.N. 2013. Phosphate and potassium solubilizing bacteria from weathered materials of denatured rock mountain. Baliah, Tenzingh. N., Pandiarajan, G. and Makeshkumar, B. (2016). Isolation, identification and characterization of phosphate solubilizing bacteria from different crop soils of srivilliputtur taluka, Virudhu district, Tamilnadu. *Tropical. Eco.*57 (3): 465-474.

Table: 1 Potassium Solubilization Values of Bacterial Isolates by Khandeparkar's Selection Ratio

Isolates	Diameter of zoneclearance (D)mm	Diameter of growth (d)mm	D/d ratio
KSB1	8.3	4.2	1.98
KSB2	11	5.3	2.08
KSB3	7.1	6.7	1.06
KSB4	6.5	5	1.3
KSB5	5.4	4.3	1.25

Table: 2 Colony, Morphological and biochemical characteristic of best potassium solubilizing bacterial (KSB) isolates.

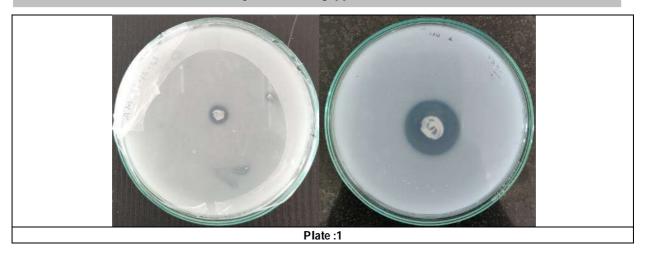
Isolate	KSB1	KSB2	
Colony characters	Small, opaque, aerobic	Medium, smooth, aerobic	
Shape	Small Rod	Short rod	
Margin	Entire	Smooth elevated	
Colour	Creamy	Creamy white	
Grams stain	Positive	Positive	
Capsule stain	Capsulated	Non capsulate	
Motility	Motile	Motile	
Catalase test	+	+	
MR	+	+	
VP	-	+	
Indole production	-	-	
Nitrate reduction	+	-	
H ₂ S production	+ -		
Urease	=	+	
Starch hydrolysis	+	+	

(+) positive; (-) negative





Ranjitha and Alagappan Gandhi







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Phytochemical Screening, HPTLC Finger Printing Profile, GC-MS Analysis and Evaluation of Anticancer Potential of Methanolic Extract of Helianthus annuus Seeds against Lung cancer (A549), Cervical Cancer (HeLa), Breast Cancer (MCF-7) and Bone Cancer Cell Line (MG63) by In Vitro Cytotoxicity Assay

Aanoorvanitha.S1 and Siva Ganesh. M2*

¹II MSc Research Student, Department of Biochemistry, RVS college of Arts and Science (Autonomous), (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

²Associate Professor, Department of Biochemistry, RVS college of Arts and Science (Autonomous), (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 14 Aug 2024

*Address for Correspondence Siva Ganesh. M

Associate Professor, Department of Biochemistry, RVS college of Arts and Science (Autonomous), (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India. Email: msgbiochem17@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The aim of the present study to evaluate the phytochemical screening, HPTLC Finger printing profile, GC- MS analysis and In- Vitro anticancer potential in the methanolic extract of Helianthus annuus seeds against Lung, Cervical, Breast and Bone cancer cell line. The preliminary phytochemical screening showed that the most of the active compounds were mainly found in methanolic extract of Helianthus annuus seeds. Especially bioactive compounds such as Alkaloids, Flavonoids, Phenols, Saponin and Tannin were highly present in the methanolic extract when compared to other solvents like Chloroform, Ethyl acetate Petroleum ether and Aqueous extract based on the solubility. HPTLC analysis study revealed that flavonoids compounds were found as identified fraction in the methanolic extract of seeds. when compared with standard Quercetin compounds. Essential active compounds have been identified in the methanolic seed extract of Helianthus annuus by using GC-MS Technique. GC- MS results revealed that some of the vital compounds were identified as different peak with different molecular weight and RT value. Four different cancer cell lines such as Lung, Cervical. Breast and Bone cancer cell line have been treated with plant drug MEHA. The percentage of cancer cell viability were decreased and increased cancer cell cytotoxicity were observed in maximum concentration of plant drug in four cancer cell lines by MTT Assay .This review focus methanolic seed extract of Helianthus annuus as therapeutic potential against cancer and hence proved that it can be used alone or in combination with chemotherapeutic drugs in future.

Keywords: Helianthus annuus seeds, HPTLC, Quercetin, GC-MS, MTT assay, A549 cell line, HeLa cell line, MCF-7 cell line & MG-63 cell line





Aanoorvanitha and Siva Ganesh

INTRODUCTION

A traditional medicine possess plant-based properties as a good remedy for various diseases. A scientific research to develop anticancer drug from herbal plants began from 19th century [1] WHO reportedly analyzed that more than 75 % of the population in underdeveloped nations relies on natural traditional herbs as a best source for medication. Since ancient times, medicinal therapeutic herbs, often known as herbal medicine, have been identified and applied in traditional medical practices[2]. Therapeutic potential of Indian traditional plants are globally used by all type of people as folk medicines like Siddha, Ayurveda, and Unani . 85% of the world's people depend on natural traditional drug for their primary healthcare needs. The significance of natural medicinal plants to human health is enormous [3]. Chemotherapy using allopathic drugs can produce severe harmful side effects and hence its usage has been constricted [4]. Cancer is a second leading cause of deaths in worldwide[5]. Different type of cancer like Lung cancer, Breast cancer ,Stomach cancer, Prostate cancer, Skin cancer, Liver cancer and Stomach cancer etc caused by environmental factor, stress, life style and genetical factor [6]. The recent research reports revealed that the arresting and delaying the tumor growth carried out by the bioactive compounds derived from traditional medicinal plants [7]. The vital advantages of herbal based medicines are their safety and affordable [8]. Helianthus annuus (Asteraceae) is an important oilseed crop around the world. Helianthus annuus is a widely growing plant which is used traditionally as an, antimalarial, antimicrobialanti-asthmatic, anti-inflammatory anti-oxidant, and anti-tumor activity.[9]It has been utilized as medicines for thousands of years and now there is a growing demand for plantbased medicines, health products, pharmaceuticals and cosmetics. Methanolic decoction of root part of Helianthus annuus has been used for rheumatic disorders [10]. The seed part of sunflowers are often taken raw or preferred to be eaten roasted because they are rich source of Vitamins A, D, E K. and Protein. Research studies have revealed that it can prevent us from cancer and many more harmful diseases.

MATERIALS AND METHODS

Collection of plant materials

The seeds of *Helianthus annuus* were collected from the local area of Kangeyam and Tirupur, Tamil Nadu. Seed part of the plants were prepared for different solvent extractions such as Methanol, Ethyl acetate, Petroleum ether, Chloroform and Aqueous for sample analysis.

Preparation of plant extract

25 gm of plant seed MEHA was dissolved in 250 ml of Methanol, Ethyl acetate, Chloroform, Petroleum ether and Aqueous and kept it in a shaker for 24 hours. The extract was filtered through Whatmann No 1 filter paper and residue was collected. The filter was concentrated using a rotator vacuum evaporator to get methanol extract of the dried plant powder.

Soxhlet Extraction

The Soxhlet extraction process is ultimately needed where the desired active compounds has a limited solubility in a solvent, and the impurity is insoluble in that solvent. If the desired compound has a high solubility in a solvent then a simple filtration can be used to separate the compound from the insoluble substance.[11]

PHYTOCHEMICAL ANALYSIS

Phytochemical studies were performed for observing the secondary metabolites present in the different extracts using procedures described by Trease and Evans[12] The preliminary qualitative phytochemical studies were performed for testing the different active compounds present in different extracts.

Detection of Alkaloids

Extracts were dissolved individually dilute Hydrochloric acid and filtered.





Aanoorvanitha and Siva Ganesh

Mayer's test

Filtrates were treated with Mayer's reagent (Potassium mercuric iodide). Yellow coloured precipitate indicates presence of alkaloids.

Wagner's test

Filtrates were treated with Wagner's reagents (Iodine and Potassium iodide) for identification of alkaloids.

Detection of Flavonoids

Alkaline reagent test

Extracted were treated with few of drops of sodium hydroxide solution. Formation of intense yellow color, which became colorless on addition of dilute acid, indicates the presence of flavonoids.

Lead acetate test

Extracts were treated with few drops of lead acetate solution. Formation of yellow colour precipitate indicates the presence of flavonoids.

Detection of Phenols

Ferric chloride test

Extracts were treated with 3-4 drops of ferric chloride solution. Formation of bluish black color indicates the presence of phenols

Detection of Gylcosides

Extracts were hydrolyzed with dil. HCL, and then subjected to test for glycosides

Modified Borntrager's test

Extracts were treated with ferric chloride and immersed in boiling water bath for about 5 minutes. The mixture was cooled and extracted equal volumes of benzene. The benzene layer was separated and treated with ammonia solution. Formation of rose-pink color in the ammonia layer indicates the presence of anthranilic glycosides

Legal's test

Extract were treated with sodium nitroprusside in pyridine and sodium hydroxide. Formation of pink to blood red color indicates the presence of cardiac glycosides

Detection of Saponins

Foam test

0.5 gm of extract was shaken with 2ml of water. If foam produced persists for ten minutes it indicates the presence of saponins

Detection of Tannins

3-5ml of test solution with few drops of 1ml lead acetate and observed red precipitate was observed and indicates the presence of tannin

Detection of Phytosterols

3 ml of test solution and minimum quality of chloroform was added with 1-4 drops of acetic anhydride and one drop of concentrated nitric acid

Detection of Proteins

Xanthoproteic test

The extracted were treated with few drops of Conc. Nitric acid. Formation of yellow colour indicates the presence of protein





Aanoorvanitha and Siva Ganesh

Detection of Diterpenes

Copper acetate test

Extracts were dissolved in water and trail willy 3-4 days drops of copper acetate solution. Formation of emerald green colour indicates the presence of diterpenes.

HPTLC-HIGH PERFOMANCE THIN LAYER CHROMATOGRAPHY

Thin Layer Chromatography was applied to separate the flavonoid compounds to identify and quantify the active compounds compared with standard Quercetin. HPTLC is an unique analytical techniqueit is rapid, visual, and economical as it utilizes smaller volumes of solvents with minimum sample. Above all, in a short duration, a large number of samples are evaluated simultaneously in a short duration[13] 20mg was weighed accurately in an electronic balance (Afcoset), dissolved in 250 µl of the respective solvent and centrifuged at 3000rpm for 5mins. This solution was used as test solution for HPTLC analysis. 2µl of test solution and 2 µl of standard solution were loaded as 5mm band length 3 x 10 silica gel 60F254 TLC plate using Hamilton syringe and CAMAG LINOMAT 5 instrument. The samples loaded plate was kept in TLC twin through developing chamber (after saturated with solvent vapor) with respective mobile phase and the plate was developed up to 90mm. The developed plate was dried by hot air to evaporate solvents from the plate. The plate was kept in photo documentation chamber(CAMAG REPROSTAR 3) and captured the images at visible light, U 254nm and UV 366nm. The developed plate was photo-documented in visible light and UV 366nm mode using photo-documentation (CAMAG REPROSTAR 3)chamber. After derivatization, the plate was fixed in scanner stage(CAMAG TLC SCANNER 3) and scanning was done at UV 366nm. The peak table, peak display and peak densitogram were noted.

Gas Chromatography/Mass Spectrometry (GC/MS)

It is a common essential technique and used to make an effective chemical analysis. First step of GC/MS was started by injecting the sample to the cod pord of the gas chromatography (GC) device. A mixture compounds will separate into simple substances when heated. Heated gas compounds were carried through a column with an inert gas. The test drug was evaporated in the junction part of the GC technique and segregated in the column by adsorption and desorption technique with suitable temperature which is controlled by software tools. Separation of the eluted components depends on the boiling point of the individual components.[14,15,16].

INVITRO CYTOTOXICITY ASSAY-MTT Assay Principle

MTT 3-(4, 5-dimethylthiazol-2-yl)- 2, 5diphenyl tetrazolium bromide enters into the cells and reacts with mitochondrial enzymes where it reduced into insoluble colored (dark purple) formazan product. The formazan crystal within the cells is then solubilized with an organic solvent DMSO and the released solubilized purple color formazan reagent is measured spectrophotometry at 570nm.

MTT Assay

Anticancer assay is carried out for the given test samples by selecting a culture flask with 85-90% confluences. After trysinization and centrifugation the cancer cells are seeded in the well plate for one day at 37°C incubation to form a monolayer. The culture medium from the cancer cells is replaced with fresh medium Test sample at different concentration in triplicates were added to the cells. After the incubation of sample with cells at 37°C for 18-24 hrs,. MTT (1 mg/ml) were added in all the wells and incubated for 4 hrs. After the time interval DMSO is poured on the wells and read at 572 nm using spectrophotometry method . The percentage of cytotoxicity was calculated using standard formula.[17]

Cytotoxicity = [(Control - Treated)/ Control] X100 Cell viability= (Treated / Control) X 100





Aanoorvanitha and Siva Ganesh

RESULTS AND DISCUSSIONS

Phytochemical screening

Various solvent extracts like Methanol, Ethyl acetate, Chloroform, Petroleum ether and Aqueous extracts of the seed part of *Helianthus annuus*s howed the presence of secondary metabolites such as Alkaloids, Flavonoids, Phenols, Tannins, Saponin and Phytosterols reported that the most of the secondary metabolites found in MEHA when compared to other solvents.

HPTLC Finger Printing Profile

HPTLC analysis study revealed that flavonoids compounds were found as identified fraction in the methanolic extract of seed part of *Helianthus annuus*. HPTLC finger printing analysis study showed that the identification of flavonoids found in the separated fractions compared with the standard compounds quercetin captured the images at visible light, UV 254nm and UV 366nm respectively. The methanolic extract of the plant *Helianthus annuus* seeds has the excellent pattern of flavonoids identified by using HPTLC technique.

Identification of Bio active compounds of MEHAby using GC-MS Technique

The identification of different peaks with retention time of MEHA were analyzed by GC-MS technique. The methanolic extract of *Helianthus annuus* seeds showed that many active compounds were found in different peak area with RT value. Bufotalinis identified in a higher peak 7.30 and RT value was 15.78 present in the sample in which is the most prominent constituent of *Helianthus annuus seeds* and also identified another compound 6-Fluoro-4-hydroxycoumarin has possessed in a peak area 3.80 and RT value was 6.28. The recent research reported that the same active group compounds such as mono terpenoid phenol – Thymol found in volatile ethanolic extraction of the seed part of *Apium leptophyllum* (Pers) belongs to Apiaceae family possessed highest peak area was 96.36%.through GC-MS Analysis technique.[18] GC- MS result showed that the presence of several active compounds found in MEHA it may be better anticancer activity for various cancer cell line.

In- Vitro Cytotoxicity Assay -A549 cell line, HeLa cell line, MCF-7 cell line & MG-63 cell line by MTT assay:

The methanolic extract of *Helianthus annuus* showed mild to severe cytotoxicity in HeLacancer cell line after 24hrs. The higher concentration of plant drug has excellent cytotoxic activity in Cervical cancer ,Bone cancer ,Lung cancer and Breast cancer cell line respectively. The increased level of cytotoxicity was found in Cervical cancer cell line when compared to other cancer cell line .The decreased cancer cell viability based on the dose dependent manner and the life span of cancer cell viability totally decreased due to the higher concentration of secondary metabolites present in MEHA. The cancer cell viability for HeLa, MG63, A549 and MCF-7 were completely decreased as 18 %, 24%, 27% & 34% respectively in a maximum concentration of plant drug MEHA. The increased percentage of cell cytotoxicity found as 82% in HeLa and 76% in MG63 cell line in a maximum concentration up to 100µg methanolic extract of *Helianthus annuus* eeds. In the same observation related to the ethanolic extract of *Nigella sativa* seed possess the increased cytotoxicity in HeLa cell line and A549 cell line in a maximum concentration of 100µg based on dose dependent manner by MTT assay .[19] Results revealed that the methanolic solvent extract possess the higher solubility and better active constituents for different type of cancer cell line . The result concluded that the methanolic solvent extract of the plant compounds might be a better drug formulation for various type of cancer alternative to chemotherapy in future aspects.

SUMMARY AND CONCLUSION

Phytochemical analysis reported that the most of active compounds were highly found in the methanolic extract of plant seed *Helianthus annuus* when compared to other solvent extracts based on the solubility. The identified fraction of the active compounds flavonoids were identified in MEHA when compared to standard compounds quercetinin two different range as UV 254nm and UV 366nmby HPTLC technique. It could be better drug for the evaluation of cancer studies. The methanolic extract of the plant has the excellent pattern of flavonoids identified for further analysis. The identification of different peaks with retention time of MEHA were analyzed by GC-MS technique.G C-MS result showed that the presence of several active compounds found in MEHA it may be better anticancer





Aanoorvanitha and Siva Ganesh

activity for various cancer cell line . The methanolic extract of *Helianthus annuus* enhanced the anticancer activity in different cancer cell lines by MTT assay. The increased percentage of cytotoxicity were observed in Cervical cancer , Bone cancer , Lung cancer and Breast cancer cell lines and also evaluated decreased cancer cell viability in a maximum concentration of plant drug in $100\mu g$ MEHA by MTT assay. The higher concentration of plant drug has an excellent cytotoxicity activity in both cervical cancer cell line and bone cancer cell line. Herbal drugs are derived from natural medicinal plants it does not lead to any adverse and side effect to our human body. Finally, it is hoped that this review would be a source of guidance and support for the thirst of researchers to conduct further preclinical and clinical studies through herbal medicine for the treatment of cancer.

ACKNOWLEDGEMENT

The authors wish to acknowledge the South India Textile Research Association (SITRA), Coimbatore, Tamil Nadu, India- 641014 for providing facility to complete HPTLC analysis of active compounds and In- vitro cytotoxicity of plant extract in A549 cell line, HeLa cell line, MCF-7 cell line & MG-63 cell line by MTT assay.

Disclosure & Conflicts of interest

This research did not receive any specific grant from funding agencies in the public, commercial or nonprofit sector. The authors declare that there are no conflicts of interest.

REFERENCES

- 1. Radhika J, Sridharan G, Siva Ganesh M and Pradeep V, Plants as Potential Cancer Therapeutics: A Review Journal of Cell and Tissue Research .17(2): 6085-6094, (2017)
- 2. Philip D S, Herbal Treatment for Dermatologic Disorders. Herbal Medicine: Biomolecular and Clinical Aspects. 2nd ed. chapter 18 USAID, Inc.: New York, 2011.
- Rentala S, Major causes of deaths in the world. International Journal of Pharma and Bio Sciences 2013;97: 975-989
- 4. Ito N, Fukushima, S. Tsuda, H Carcinogenicity and modification of the carcinogenic response by BHA, BHT and other antioxidants Crit Rev Toxicol. 15: 109-150, (1985).
- 5. Agarwal, S.P., Y.N. Rao and S. GuptaMeta-analysis is preventable disease that requires major lifestyle of studies of alcohol and breast cancer Pharm. Res., 25(9):. 2002:2097-116\
- 6. http://www.medicalnews.today.com/info/cancer-breast cancer incidence and mortality 1973-1997.oncology
- 7. SurhYJ, LeeE, Lee JM. Chemo preventive properties of some pungent ingredients present in red pepper and ginger. Mutation Res. 402: 259-267,(1998).
- 8. Siddiqui HH. Safety of herbal drugs an overview. Drugs News and views. 1:7-10,(1993)
- 9. Mahesh B and Satish S. Antimicrobial activity of some important medicinal plant against plant and human pathogens. WJAS, 2008; 4:839–843
- 10. Murphy, J.M. Am. Org. Reg. Nurses J.1999, 69, 173–183.
- 11. Nikhal SB, Danbe PA, Ghongade DB, Goupale DC. Hydro alcoholic extraction of Mangifera indica (Leaves) by Soxhlation. International Journal of Pharmaceutical Sciences, 2010., 2 (1): 30-32
- 12. Evans WC. Trease and Evans, Pharmacognosy. 15th edition, Elseiver, New Delhi; 2005.p.193.
- 13. Nagore DH, Patil PS, Kuber VV: Comparison between high performance liquid chromatography and high-performance thin layer chromatography determination of Diosgenin from fenugreek seeds. International Journal of Green Pharmacy 2012;6(4).\
- 14. Sofowara A. Medicinal Plants and Traditional Medicine in Africa. 3 Edition. Spectrum Books Limited, Ebadan, Nigeria 2008; 199-204.
- 15. Stein, S.E., National Institute of Standards and Technology (NIST) Mass Spectral Database and Software. version 3.02, USA, 1990
- 16. Andrew Marston: Role of advances in chromatographic techniques in phytochemistry. Phytochemistry 2007; 68: 2785-2797





Aanoorvanitha and Siva Ganesh

- 17. Scudiero DA, Shoemaker RH, Paul KD, Evaluation of soluble tetrazoliumformazan assay for cell growth and drug sensitivity in clusters using human and other tumor cell lines. Cancer 1988 :Res: 48: 4827-4833
- 18. M.Siva Ganesh and J.Radhika "Evaluation of Quantitative and GC-MS Analysis of Bioactive Compounds in Aqueous and Ethanolic extracts of *Apium leptophyllum*Pers Research. J Pharm and Tech ,13(5): May 2020.
- 19. Akshaya .M and Siva Ganesh.M. Phytochemical Screening, GC-MS Analysis and In- vitro Cytotoxicity Assay in the ethanolic extract of Nigella sativa seeds against HeLa and A549 Cancer Cell Line. Indian Journal of Natural Sciences.2022 ISSN: 0976 0997 Vol.13 / Issue 74 / October/ Page- 49246-49252.

Table 1:Phytochemical screening of Helianthus annuus seeds Extracts

Table 1.1 Tytochemical screening of Thenantinas annuas seeds Extracts						
	MTHANOL	AQUEOUS	PETROLUEM ETHER	CHLOROFORM	ETHYL ACETATE	
ALKALOIDS	+	-	=	-	+	
FLAVONOIDS	++	+	+	+	+	
PHENOL	++	+	+	+	+	
PHYTOSTEROL	++	-	+	+	+	
SAPONINS	+	+	+	-	-	
TANNINS	+	-	+	-	-	
DITERPENES	++	+	-	+	+	
GLYCOSIDES	+	-	-	+	-	
PROTEINS	+	-	-	+	+	

Table: 2

S.NO	Standards/ sample extract	,	Retention time (min)	Area [mAU]	Area (%)	CONCENTRATION (mg/kg)
1	QUER	CETIN	0.93	6658.9	100	20
	Methanolic	Flavonoids	0.90	489.7	22.21	Present
2	Extract of Sunflower Seed	Proximate Quantification	Methanolic I	Extract of Sunflower seed contains Quercetin= 1.47 mg/kg		ntains Quercetin=

Table -3- Bone Cancer cell line - MG63

Concentration(µm)		Liquid-Sunflowerseeedextraction			
	Cytotoxicity(%)	Cytotoxicity(%) Cellviability(%) Reactivity			
Concentration(µg)					
5	47	53	Mild		
25	56	44	Moderate		
50	64	36	Moderate		
75	70	30	Moderate		
100	76	24	Severe		

Table -4- Cervical Cancer cell line - HeLa

CONCENTRATION(µm)	-Sunflower seeds extraction			
CONCENTRATION(µIII)	Cytotoxicity(%) Cellviability(%) Reactivit			
Concentration(µg)				





Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Aanoorvanitha and Siva Ganesh					
5	38	62	Mild		
25	49	51	Mild		
50	73	27	Severe		
75	76	24	Severe		
100	82	18	Severe		

Table -5- LungCancer cell line - A549

CONCENTRATION(µm)	Sunflower seeds extraction				
CONCENTRATION(µIII)	Cytotoxicity(%)	Cellviability(%)	Reactivity		
Concentration(µg)					
5	22	78	Mild		
25	38	62	Mild		
50	59	41	Moderate		
75	67	33	Moderate		
100	73	27	Severe		

Table -6- Breast Cancer cell line - MCF-7

	Liquit-Sunflower seeds extraction			
CONCENTRATION(µm)	Cytotoxicity(%) Cellviability(%) Rea		Reactivity	
Concentration(µg)				
5	24	76	Mild	
25	32	68	Mild	
50	50	50	Mild	
75	57	43	Moderate	
100	66	34	Moderate	

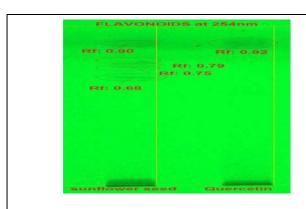


Figure 1: HPTLC Photo documentation of Flavonoid standard Quercetin and methanolic Extract of plant sample MEHA at 254nm

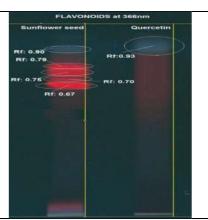
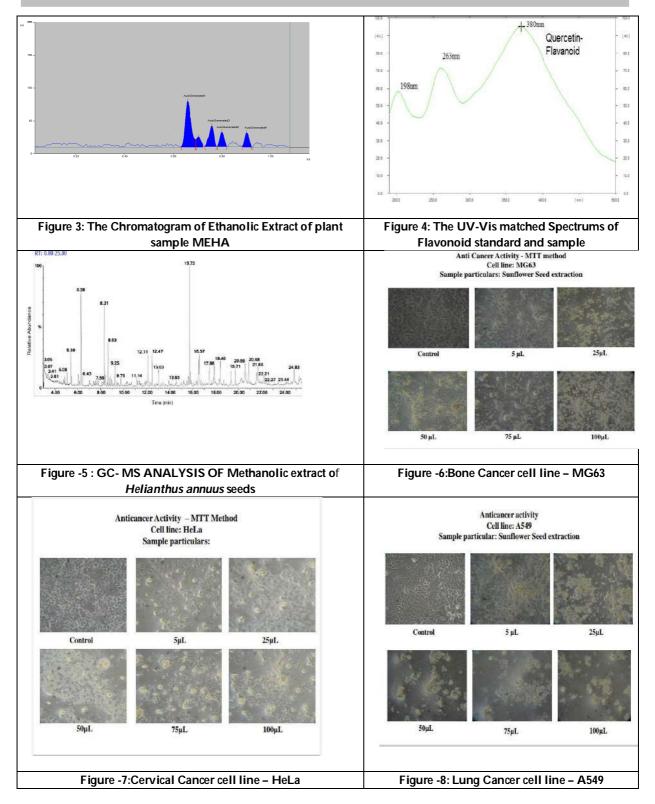


Figure 2: HPTLC Photo documentation of Flavonoid standard Quercetin and methanolic Extract of plant sample MEHA at 366 nm





Aanoorvanitha and Siva Ganesh

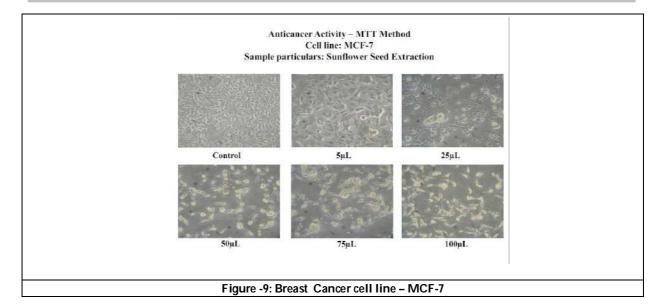






ISSN: 0976 - 0997

Aanoorvanitha and Siva Ganesh







International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Analysing the Barriers Influencing Students' Preference for Studying Science Subjects using Trapezoidal Fuzzy Cognitive Map

D. Selvi^{1*} and M. Priyadharshini²

¹Assistant Professor, PG and Research Department of Mathematics, Jayaraj Annapackiam College for Women (Autonomous), Periyakulam, Theni (Dt), (Affiliated to Mother Teresa Women's University, Kodaikanal), Tamil Nadu, India.

²M.Sc Student, PG and Research Department of Mathematics Jayaraj Annapackiam College for Women(Autonomous), Periyakulam, Theni(Dt), (Affiliated to Mother Teresa Women's University, Kodaikanal), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

D. Selvi

Assistant Professor.

PG and Research Department of Mathematics,

Jayaraj Annapackiam College for Women (Autonomous),

Periyakulam, Theni (Dt),

(Affiliated to Mother Teresa Women's University, Kodaikanal),

Tamil Nadu, India.

Email: selvimat@annejac.ac.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study investigates what influences students' interest in science by using fuzzy logic and cognitive mapping. It provides insights into the influence of several factors on decisions about science education by modelling complex relationships between fear, difficulty, lack of interest, understanding, math ability, financial restrictions, career prospects, job uncertainty, and limited awareness. Interdependent barriers to students' decision-making in science subjects are identified by the research through an extensive assessment of the literature and empirical analysis. With the help of the Trapezoidal Fuzzy Cognitive Map (TFCM)(Trapezoidal fuzzy cognitive map) model, the research provides nuanced understandings of the uncertainties affecting students' resistant behaviour. The research is valuable for academics, educators, and politicians since it emphasizes the need for focused initiatives to remove obstacles and improve the STEM (science, technology, engineering, and mathematics) education environment. The TFCM method is essential for making well-informed judgments, especially considering the importance society has placed on STEM fields. For more accurate results, we used the eigenvalues approach. It offers significant insights into the intricate relationships and preferences concerning the barriers affecting students' inclination towards studying science.





Selvi and Priyadharshini

Keywords: Fuzzy Cognitive Maps (FCMs), Trapezoidal Fuzzy Cognitive Maps, Eigen values, STEM(science, technology, engineering, and mathematics).

INTRODUCTION

In today's rapidly evolving educational landscape, the importance of understanding the factors shaping students' preferences for studying science subjects cannot be overstated. With societies increasingly reliant on innovation and technological advancement, the significance of science, technology, engineering, and mathematics (STEM) education has gained prominence. However, despite the recognition of STEM fields' importance, students' inclination towards science-related courses can encounter obstacles and uncertainties. This paper endeavours to explore the intricate decision-making processes guiding students' choices in science education, employing sophisticated methodologies grounded in fuzzy logic and cognitive mapping. By scrutinizing the intricate interplay between factors such as fear, difficulty, lack of interest, understanding, math ability, financial constraints, career prospects, job uncertainty, and limited awareness, this research aims to unearth the interconnected barriers influencing students' preferences for science subjects. Through a meticulous examination of existing literature and empirical analysis, this study seeks to offer nuanced insights into the uncertainties contributing to students' reluctance towards science education. Leveraging the Trapezoidal Fuzzy Cognitive Map (TFCM) model facilitates a thorough exploration of the multifaceted influences on students' interest in science, providing a deeper comprehension of the underlying dynamics. The implications of this research are anticipated to be profound for various stakeholders, including academics, educators, and policymakers. By emphasizing the necessity for targeted interventions to dismantle barriers and improve the STEM education ecosystem, this study underscores the importance of nurturing an environment conducive to fostering students' engagement and involvement in science-related disciplines.

Furthermore, amidst society's increasing emphasis on STEM fields, the adoption of the TFCM method represents a pivotal advancement in formulating informed strategies for promoting STEM education. By harnessing the benefits of the trapezoidal fuzzy approach over conventional methodologies, this study aims to offer more precise and actionable insights into addressing the challenges impeding students' affinity towards science subjects. In essence, this research endeavors to illuminate the complex dynamics shaping students' interest in science education, with the overarching goal of contributing to the advancement of STEM education initiatives and cultivating a workforce equipped with the requisite skills and knowledge to thrive in an era characterized by technological innovation and progress. In the wake of COVID-19, students are changing how they approach science classes due to changes in career interests and a need for practical application. Interest in robust fields that handle pandemic-related issues, like technology and healthcare, has increased because of the epidemic. A greater understanding of the effectiveness of online learning has caused some students to select courses that are more appropriate for digital platforms. Students now tend to choose courses that they believe will lead to better work opportunities due to uncertainty in the labour market. Students are choosing to study subjects that are in line with their passions due to concerns about their mental health. Technology and information science jobs have become more attractive due to the trend of remote employment. Essentially, students' views on scientific courses in the post-COVID period are shaped by pragmatic concerns, career re-evaluations, and the need to see real-world applications.

LITERATURE SURVEY

In 1965, Lotfi A. Zadeh introduced Fuzzy Cognitive Maps (FCMs) as a mathematical model [1].Kosko further enhanced cognitive maps by incorporating fuzzy values for concepts and fuzzy degrees of interrelationships between them in 1986 [2]. In 1976, political scientist R. Axelrod popularized FCMs for representing social scientific knowledge and described their use in decision-making within social and political systems [3]. W.B. Vasantha Kandasamy and Smarandache Florentin applied Fuzzy Theory and Neutrosophic Cognitive Maps in 2000 to analyse social aspects of migrant labourers living with HIV/AIDS [4], [5]. In 2013, M. Clement Joe Anand and A. Victor Devadoss introduced





Selvi and Priyadharshini

Triangular Fuzzy Cognitive Maps (TrFCM) to analyse the causes of divorce within families [6].A. Saraswathi and A. Praveen Prakash (2014) investigated problems faced by transgender individuals in India using New Triangular Fuzzy Cognitive Maps (TrFCM) [7]. A. Praveen Prakash and J. Esther (2014) applied Trapezoidal Fuzzy Cognitive Maps to rank problems experienced by deprived rural individuals with disabilities [8]. Kanimozhi Raman (2014) applied Induced Trapezoidal Fuzzy Cognitive Maps to address issues faced by the elderly [9].In 2007, Shi-Jay Chen and Shyi-Ming Chen proposed a fuzzy risk analysis method based on the ranking of generalized trapezoidal fuzzy numbers [10].

Basic Definitions

Definition 1

A fuzzy subset $A \subseteq X$ can be defined by its membership function μ_{A_i}

where $\mu_A: X \to [0,1]$. (i.e) $A = \{(x, \mu_A(x)) \mid x \in X\}$.

A fuzzy set is a set where each element in the universe of discourse is assigned a value indicating its degree of membership in the setThe numerical value assigned by a membership function to an element of a fuzzy set, indicating the strength of its membership in [0,1]

Definition 2

Given a fuzzy set A defined on X and any number $\alpha \in [0,1]$. The α -cut of a fuzzy set A is denoted by α A and is defined by

 $\alpha A = \{x \in X \mid A(x) \geq \alpha\}.$

The Strong α -cut of a fuzzy set A is denoted by α^+ A and is defined by

 $\alpha^+ A = \{x \in X \mid A(x) > \alpha\}.$

Trapezoidal fuzzy membership for the linguistic values:

Influences students' interest in science by using fuzzy logic and cognitive mapping provides insights into the influence of several factors on decisions about science education by modelling complex relationships between fear, difficulty, lack of interest, understanding, math ability, financial restrictions, career prospects, job uncertainty, and limited awareness. Fear is one of the linguistic variable and the values of this variable are very low, low, medium, high and very high.

Trapezoidal fuzzy membership for the linguistic values are

Very low	(0,0.1,0.1,0)
Low	(0.1,0.25,0.25,0.1)
Medium	(0.25,0.5,0.5,0.25)
High	(0.5,0.75,0.75,0.5)
Very high	(0.75,1,1,0.75)

Cognitive Matrix

A cognitive matrix is a structured representation of cognitive information, typically organized in a tabular format. In the context of cognitive science, psychology, and artificial intelligence, cognitive matrices are used to represent relationships, associations, or connections between different elements or concepts.

Analysis of the problem

Interdependent barriers to students' decision-making in science subjects are identified by the research through an extensive assessment of the literature and empirical analysis. The following characteristics were identified from research specialists to aid in the problem analysis.

- 1. C₁- Fear
- 2. C₂ -No Career and Job
- 3. C₃-Lack of Awareness
- 4. C₄-Hardness





Selvi and Priyadharshini

- 5. C₅- Lack of Interest
- 6. C₆-Lack of Math Ability
- 7. C₇-Lack of Finance
- 8. C₈-Lack of Understanding

Utilizing the specialist's understanding of indeterminacy as a foundation, we have used the Trapezoidal cognitive matrix to Analysing Barriers Influencing Students' Preference for Studying Science.

METHODOLOGY

Step 1: Form cognitive matrix which is used to represent relationships, associations, or connections between different elements or concepts. the relationships and preferences regarding the barriers influencing students' choice to study science. Each cell contains a relationship strength (e.g., V.H for Very High, M for Moderate, V.L for Very Low), reflecting the cognitive perceptions among the mentioned factors.

Step 2: Assign trapezoidal fuzzy membership from [0,1].

Trapezoidal fuzzy membership for the linguistic values are

Very low	(0,0.1,0.1,0)
Low	(0.1,0.25,0.25,0.1)
Medium	(0.25,0.5,0.5,0.25)
High	(0.5,0.75,0.75,0.5)
Very high	(0.75,1,1,0.75)

Step 3: Employ the α -cut formula to calculate a specific cut of the trapezoidal fuzzy number using a parameter α (set at 0.5 in this case).

$$\alpha A = [a + \alpha(b-a), d-\alpha(d-c)] = [f, g]$$

Step 4: Defuzzification takes the fuzzy output produced by the fuzzy inference process and converts it into a single crisp value that can be easily understood and used in decision-making. Here we defuzzify [f,g] using the average (f+g)/2.

Step 5: Compute the eigenvalues of the defuzzified matrix A using the eig(A)function in MATLAB.

Step 6: Taking the modulus ensured that the values obtained were positive, after which ranking was assigned accordingly. This straight forward approach allows you to calculate and display both the matrix and its corresponding eigenvalues in MATLAB.

Cognitive matrix



0.0500

0.3750

0.3750

0.3750

0.6250

0 3750

0.3750



Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Selvi and Priyadharshini

```
TrpC_1
                   TrpC_2
                             TrpC_3
                                       TrpC_4
                                                 \mathrm{TrpC}_5
                                                          TrpC_6 TrpC_7
                                                                             \text{TrpC}_8
TrpC_1
                    0.875
                              0.875
                                        0.875
                                                 0.875
                                                            0.05
                                                                      0.05
                                                                               0.05
          0.875
TrpC_2
                              0.625
                                        0.875
                                                 0.875
                                                           0.375
                                                                     0.05
                                                                              0.375
TrpC_3
          0.875
                    0.625
                                        0.875
                                                 0.875
                                                           0.375
                                                                     0.05
                                                                              0.375
\mathrm{TrpC}_4
                              0.875
                                                           0.375
                                                                     0.05
          0.875
                    0.875
                                                 0.875
                                                                              0.375
\text{TrpC}_5
          0.875
                    0.875
                              0.875
                                        0.875
                                                           0.375
                                                                     0.625
                                                                              0.625
\mathrm{TrpC}_6
          0.875
                    0.375
                              0.375
                                        0.375
                                                 0.375
                                                                     0.625
                                                                              0.375
                                                           0.625
\mathrm{TrpC}_7
           0.05
                     0.05
                              0.05
                                        0.05
                                                 0.625
                                                                               0.375
\mathrm{TrpC}_8
           0.05
                    0.375
                              0.375
                                        0.375
                                                 0.625
                                                           0.375
                                                                     0.375
```

Table 1: Defuzzified matrix Eigen values of defuzzified matrix are calculated using MATLAB

```
>> % Define the matrix A
A = [
          0.875
                  0.875
                          0.875
                                  0.875
                                          0.05
                                                   0.05
                                                           0.05:
    0
    0.875
                          0.875
                                  0.875
                                          0.375
                                                           0.375:
            0
                  0.625
                                                   0.05
            0.625 0
                                                           0.375:
    0.875
                          0.875
                                  0.875
                                          0.375
                                                   0.05
                    0.875
    0.875
            0.875
                                  0.875
                                          0.375
                                                   0.05
                                                           0.375:
                            0
            0.875
    0.875
                    0.875
                            0.875
                                   0
                                          0.375
                                                   0.625
                                                           0.625;
    0.875
            0.375
                    0.375
                            0.375
                                    0.375
                                            0
                                                   0.625
                                                           0.375;
                                            0.625
    0.05
            0.05
                    0.05
                            0.05
                                    0.625
                                                    0
                                                           0.375;
                                                    0.375
                                    0.625
                                            0.375
    0.05
            0.375
                    0.375
                            0.375
                                                             0
];
disp('Matrix A:');
disp(A);
Matrix A:
        0
              0.8750
                        0.8750
                                  0.8750
                                            0.8750
                                                       0.0500
                                                                 0.0500
    0.8750
                                  0.8750
                                             0.8750
                                                       0.3750
                                                                 0.0500
                        0.6250
                   0
    0.8750
              0.6250
                                             0.8750
                                                       0.3750
                                  0.8750
                                                                 0.0500
    0.8750
              0.8750
                        0.8750
                                       0
                                             0.8750
                                                       0.3750
                                                                 0.0500
    0.8750
              0.8750
                        0.8750
                                  0.8750
                                                 0
                                                       0.3750
                                                                 0.6250
                                             0.3750
    0.8750
                                  0.3750
              0 3750
                        0 3750
                                                            0
                                                                 0.6250
    0.0500
                                                       0.6250
              0.0500
                        0.0500
                                  0.0500
                                             0.6250
                                                                      0
                                                                 0.3750
    0.0500
              0.3750
                        0.3750
                                  0.3750
                                             0.6250
                                                       0.3750
>> % Compute eigenvalues and eigenvectors
[V, D] = eig(A);
% Display eigenvalues
disp('Eigenvalues:');
disp(diag(D));
% Display eigenvectors
disp('Eigenvectors:');
disp(V);
Eigenvalues:
    3.9007
    0.6054
   -0.2482
   -1.2056
   -1.0072
   -0.8503
   -0.5699
   -0.6250
```





Selvi and Priyadharshini

The table below shows the absolute value (λ) of eigen values and Ranking

Factors	Absolute value of λ	Ranking
C ₁ Fear	3.9007	1
C₂No Career and Job	0.6054	6
C ₃ Lack of Awareness	0.2482	8
C ₄ - Hardness	1.2056	2
C ₅ - Lack of Interest	1.0072	3
C ₆ -Lack of Math Ability	0.8503	4
C ₇ . Lack of Finance	0.5699	7
C ₈ -Lack of Understanding	0.6250	5

Table 3: Ranking based on absolute value of λ

From the above table the absolute value of (λ) for Fear holds the first place. From this we can say the factor fear dominates the other factors. In summary, the cognitive matrix, defuzzified matrix, and eigenvalues offer significant insights into the intricate relationships and preferences concerning the barriers affecting students' inclination towards studying science. These results serve as a foundational basis for further analysis and interpretation, enabling the extraction of actionable insights crucial for informed decision-making in educational strategies and interventions aimed at enhancing science education.

CONCLUSION

This study identifies the main obstacles impacting students' inclination to pursue science education. A number of important elements were identified, including fear, hardness, a lack of understanding and math ability; other aspects were awareness, financial limitations, and job potential. For students to become more engaged in and involved in science education, these hurdles must be overcome with focused interventions. Educational institutions may foster a more favourable atmosphere that inspires students to pursue science education and jobs by helping, enhancing comprehension, and increasing awareness. This will ultimately lead to a more scientifically literate and active society.

SUGGESTION

- Experiences and bridge the gap between theoretical learning and practical application Dynamic Teaching Strategies: To make science classes enjoyable and engaging, use interactive and dynamic methods of education.
- Practice-oriented Uses: Include examples and applications from everyday life to show how scientific ideas are applicable in real-world situations.
- Mentorship Programs: Set up mentorship programs to offer students direction and an understanding of the opportunities in the scientific community.
- Positive Learning Environment: Reduce the fear and anxiety related to studying science by creating a welcoming and encouraging learning environment.
- Extracurricular Activities: Promote involvement in science-related extracurricular activities to foster a comprehensive understanding of the subject outside of the classroom.
- Practical Exposure: Give students hands-on experience and a peek into possible professions in science by establishing collaborations with firms and industries to provide them with practical exposure.
- Career aid Programs: Put in place career aid programs to help students with their financial worries and doubts while providing them with insightful information about the real-world implications of choosing professions in science.

Acknowledgment

We gratefully acknowledge the financial support provided by Jayaraj Annapackiam College for Women(Autonomous), Periyakulam, Theni(Dt), Tamil Nadu, India, under JAC/JACFRP-Students/Scholars/2023-'24 / 4. This support enabled us to conduct the research presented in this paper.





Selvi and Priyadharshini

REFERENCES

- 1. L.A. Zadeh "Fuzzy sets and Fuzzy Cognitive Maps", Information and Control, Volume 8, pages 338–353, 1965.
- 2. Kosko.B "Fuzzy Cognitive Maps", the International Journal of Man-Machine Studies, Volume 24, pages 65-75.1986.
- 3. R. Axelrod "Structure of Decision: The Cognitive Maps of Political Elites", Princeton University, 1976.
- 4. Vasantha Kandasamy W. B and S. Uma "Combined Fuzzy Cognitive Maps of Socio-Economic Model", the Applied Science Periodical, pages 225-227,2000.
- 5. Vasantha Kandasamy W.B and Smarandache Florentin delved into "Fuzzy Cognitive Maps and Neutrosophic Cognitive Maps", Xiguan, Phoenix, spanning, University of New Mexico, 2003.
- 6. M. Clement Joe Anand and A. Victor Devadoss applied "New Triangular Fuzzy Cognitive Maps (Tr FCM)", the International Journal of Communications and Networking Systems, Integrated Intelligent Research (IIR), Volume 2, pages 205-213,2013.
- 7. A. Saraswathi and A. Praveen Prakash "New Triangular Fuzzy Cognitive Maps (TrFCM)" the International Conference on Emerging Research in Computing, Information Communication, and Applications, Volume 2, pages 558-566,2014.
- 8. Praveen Prakash and J. Esther Jerlin "Trapezoidal Fuzzy Cognitive Maps", the Proceedings of the International Conference on Mathematics and its Applications, Volume 2, pages 692-701,2014.
- 9. Praveen Prakash and K. Kanimozhiraman "Induced Trapezoidal Fuzzy Cognitive Maps Model", the Proceedings of the International Conference on Mathematics and its Applications, pages 649-659,2014.
- 10. Shi-Jay Chen and Shyi-Ming Chen"Fuzzy Risk Analysis based on the Ranking of Generalized Trapezoidal Fuzzy Numbers", the Journal of Applied Intelligence, Volume 26,2007.





International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

A Review on Common Street Food Borne Diseases and Bacterial Pathogens: Diversity and their Pathogenesis

Ramya G K.1, P.Vidya2, M D.Balakumaran1, Shree Raghavan R1 and K.Nithya1*

Department of Biotechnology, Dwaraka Doss Goverdhan Doss Vaishnav College, Arumbakkam, (Affiliated to University of Madras) Chennai, Tamil Nadu, India.

²Department of Microbiology, Dwaraka Doss Goverdhan Doss Vaishnav College, Arumbakkam, (Affiliated to University of Madras) Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence K.Nithya

Department of Biotechnology, Dwaraka Doss Goverdhan Doss Vaishnav College, Arumbakkam, (Affiliated to University of Madras) Chennai, Tamil Nadu, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Due to the rise in many disease-causing microbes, it has become not so possible to immediately know of contamination or to rectify the causatives of the contamination of food stuffs. To be able to provide food of good quality without any adulteration is a huge deal when it comes to certain fast-food outlets. The review highlights the various organisms that are capable of causing common foodborne diseases and their pathogenesis. With the knowledge given about the mechanism of infection, it is possible to overcome the obstacles of hygiene with proper awareness given to the vendors. It is indispensable to know the exact methods with which street foods are prepared, which can provide crucial information regarding the potential threat a malpractice can bring to consumers.

Keywords: Street food, Foodborne diseases, Food quality, Foodborne pathogens, and Pathogen

INTRODUCTION

Food is indispensable to living beings, depending upon the requirement of living beings, various sorts of foods are consumed. In this rapidly developing world people have been accustomed to resorting to methods that would make their life easier; street foods are a part of the morning routine of people who seek easier means to satiate themselves. Consumables that are readily produced out in the open for immediate or later consumption are known as "streetvended foods" [1]. Street foods are a cheaper alternative to sophisticated meals and are convenient in providing satiation, where quantity is more, and the price is less. It has been stated that 74% of nations' substantial portion of the urban food supply came from meals sold on the streets [2]. The street vended foods involve foods ranging from fruits, vegetables to meat; it also includes frozen dishes. However, this costs health, since it has been proven that





Ramya et al.,

these foods are known to carry a lot of microbes due to the nature in which the food is prepared. It also comes down to the hygiene of people who are involved in vending these edible products, poor hygiene practices like unwashed hands and clothes are responsible for the transmission of pathogenic microorganisms to the food items during preparation. Hence the rise of foodborne illness is seen in such a scenario. The statistics involving foodborne illness' effect revolve around medium to lower income class people and developing countries, however it is not only limited to developing nations but also the developed nations lacking proper methods to control the contamination of foods with toxins, chemicals, and microbes. Such a case involves the report on the effects of raw milk consumption by people in England and Wales. It was reported that since 2014, seven *Escherichia coli* outbreaks were reported [3]. In this review, awareness about eating such foods' consequence is studied by microbiological analysis of the street-vended foods. Several parameters are described by WHO to control such contamination, since contamination of foods can occur at any stage, precautions must be taken to prevent drastic consequences.

CAUSES OF FOODBORNE DISEASES

Due to the risks that might be introduced to the food, which is to be prepared and processed, unprocessed ingredients are crucial to assess the safety of street-vended food. The kind and degree of adulteration of ingredients used in the producing street food will be different to that of other food service-related enterprises. The biggest variations will be seen when vendors buy cheaper, lower-grade raw materials from suppliers, as a result, the raw material is already at a higher chance to get contaminated further and might be already contaminated. Hence, raw materials should be purchased from reputable and well-known sources rather than from unreliable traders. Prior to purchase, raw materials ought to be screened for potential physical hazards and significant chemical contamination, however, it should be highlighted that chemical contamination is seldom detectable without laboratory investigation. Raw materials that are obviously physically dangerous should be avoided, or the dangers should be eliminated. For vendors of street food, managing chemical risks in raw ingredients is sometimes quite challenging [2]. A vital ingredient in many street food businesses is water. Due to its wide use, chances are that it could include harmful chemical, biological, or physical contaminants. Water is used in both liquid and solid forms for the preparation of drinks and foods. Due to the supply of water being unpredictable in certain localities there is a higher degree of the water being unclean, it has been reported that street vendors are often reluctant in washing their utensils due to such reasons. The production and sales units should, as far as is practical, have access to their own potable water supplies. Food and drinks should not be kept in the same container that is used to keep ice that is meant for consumption [2]. A neglect to clean and sterilize surfaces adequately may be caused by using unhygienic materials and poor material maintenance. For instance, to avoid the buildup of dust and other objects, bowls and plates should be placed upside down. Notably when meals are acidic, utensils should be constructed of materials that do not discharge poisonous or dangerous substances (copper, lead, cadmium, etc.) into food and beverages. Similarly, to this, chopping boards should be made and kept in good condition to lessen the possibility of physical and biological dangers contaminating food. In the series of procedures that foods go through before being sold and consumed, preparation and processing play a crucial role in establishing the safety of the product. People involved in the food preparation have a crucial responsibility to make sure that the prepared food is of good quality and free of contamination prior to sale. Food handlers should be instructed, prompted, or closely monitored to immediately cease operations if they have any sort of health issues like fever, sore throat, diarrhea and even discharges from the nose, eyes, or ears, or obviously infected skin lesions due to other health concerns. This hygiene procedure should get special consideration before handling ready-to-eat items. Vendors must adhere to cleaning methods that guarantee the cleanliness of the stall unit, its equipment, and its utensils. Vendors should be informed about the proper procedures and urged to adopt an appropriate cleaning and sanitizing program when sanitizing is necessary to control dangers. These parameters must be considered to ensure foods of good quality, but on the other hand, street vendors fail to meet these requirements. Hence the prevalence of food-borne illness is seen in food items that are exposed to such ill-treatment. In this review various microorganisms that are prevalent in such contaminated food items will be seen and their drastic effects are also discussed.





Ramya et al.,

FOODBORNE ILLNESSES

In terms of nutrition, food is a constant requirement for human life. Unwanted microbes, on the other hand, may contaminate food items, food processing settings, or manufacturing environments, increasing the risk for food-borne [4]. Such diseases have the ability to pose a serious threat to humans, sometimes resulting in mortality. Food-borne microbes, which mostly impact animal products that have been contaminated by vegetative pathogens or their toxins, are important pathogens that affect food safety and result in human illnesses all over the world. Most of these bacteria are important zoonotic agents that have a significant impact on the economy and public health [5]. The primary food sources of zoonotic bacteria for humans include meat, dairy products, and eggs. The most common bacteria origin pathogens that cause food-borne disease and mortality worldwide are S. aureus, Salmonella species and *E. coli* [5]. Ingestion of water and a range of foods contaminated with pathogenic organisms (bacteria, viruses, parasites, and fungi), as well as their toxins and compounds, leads to food poisoning syndrome (Ingestion of water and a range of foods contaminated with pathogenic organisms) [6].

BOTULISM

Clostridium botulin produces an exo neurotoxin, which has systemic effects and causes the neuroparalytic condition known as botulism. The toxin is also occasionally produced by other Clostridium species, including *Clostridium butyricum* and *Clostridium barati*. This disease is known for causing flaccid paralysis. Botulinum neurotoxin is a 150kDa protein where it enters the bloodstream depending upon the type of exposure, in the bloodstream it travels and binds presynaptic nerve terminals of the voluntary motor and autonomic Neuromuscular junctions then it inhibits muscular contraction and prevents presynaptic Acetylcholine release, resulting in flaccid paralysis [7]. Due to its tremendous potency and fatality, botulinum neurotoxin is regarded as the most lethal toxin. Its lethal dosage ranges from 1 to 3 ng of toxin per kilogram of body mass. Botulism can be acquired by eating food that has been poorly preserved, receiving an iatrogenic infection, or being exposed to the toxin during bioterrorism. It can also be contracted by the systemic release of the toxin in vivo, as in the cases of newborns and wound botulism. According to serologic specificity and other neurotoxins, seven distinct strains of the organisms (A-G) are categorized. Animals commonly contract type C and D botulism and very rarely type A and B. All strains that produce toxins have been assigned to one of four groups: I, II, III, or IV. Proteolytics are in Group I, non-proteolytics are in Group II, and serological type G is in Group IV. Group III includes type C and type D.

CLOSTRIDIUM PERFRINGEN RELATED FOOD POISONING

C. perfringen type A food poisoning, a common food-borne illness in western nations. It contains seven toxicogenic kinds (A-G), of which strains A and C are harmful to humans and is catalase and superoxide dismutase negative, it generates a wide range of poisons [8] [9]. Food poisoning can be triggered by C. perfringens enterotoxin (CPE), which is produced in the small intestine by C. perfringens spores that can germinate in meals like meat and poultry. Alpha-toxin (CPA), beta-toxin (CPB), epsilon-toxin (ETX), iota-toxin (ITX), enterotoxin (CPE), and necrotic enteritis B-like toxin (NetB), these toxins production by this pathogen are used as a classification [10]. Toxin-mediated tissue necrosis is the basis of C. perfringens pathophysiology. Most toxins have the ability to cause pores in cells, allowing water and solute to flood in and cause swelling and cell death. The fermentation of glucose results in the generation of histotoxic gas, which is a defining feature of C. perfringens [9].

STAPHYLOCOCCUS AUREUS FOOD POISONING

Only the enterotoxin-producing strains of the gram-positive bacterium *Staphylococcus aureus* can cause food poisoning. Such toxins are termed *Staphylococcus enterotoxins* (SEs). *Staphylococcus aureus* is a gram-positive bacterium, where only certain strains that produce enterotoxin can cause food poisoning. Such strains are known to produce six types of enterotoxins (SEA, SEB, SEC, SED, SEE, SEG and SEH) that have serological diversity and differ in the levels of toxicity [11]. The emetic action of SEs is a significant aspect since it causes vomiting, which is a primary symptom of Staphylococcal food poisoning. Diarrhea is also caused by some SEs but not SEC. Due to the difficulty in identifying toxins present in food other than SEA and SEE, many staphylococcal related foods poisoning is attributed to the SEA [12]. Appropriate major nutrient contents like carbon and nitrogen sources in the food can give suitable place for the bacteria to grow and this occurs mainly due to food vendors since these bacteria are known to be





Ramya et al.,

present on skin and mucous membranes of humans and that humans are major reservoir of this organism [13]. Reheating food may kill S. aureus, but SEs remain emetically active due to its' heat tolerance, these are also tolerant towards gastric enzymes since its activity is based upon their entry thru the intestinal epithelial cells. Once entered, they reach lamina propria and provoke mast cells to release serotonin. In turn serotonin stimulates the vagus nerve and it is involved in the production of an emetic response. It is known that SEs are predominantly found in raw milk and its products where SEC and SEA are commonly found in them.

SALMONELLOSIS

Clinical manifestations of salmonellosis range from the typical *Salmonella gastroenteritis* (diarrhea, stomach pain, and fever) to enteric fevers, including typhoid fever, which is a serious feverish systemic infection that needs immediate antibiotic treatment [14]. *Salmonella nontyphoidal* species are zoonotic pathogens, and the primary means of transmission is through animal-derived foods. Although the microbe has been discovered in other foods, poultry, pigs, and cattle, as well as related products such as meat, eggs, and milk, are most frequently recognized as dietary sources responsible for outbreaks of human salmonellosis [5]. They are known to colonize the small intestine's final section, ileum and the colon and invade the intestinal epithelium by adhering and proliferate there. Most salmonellae elicit an initial inflammatory reaction after infecting the intestine, which can result in ulceration.

KLEBSIELLA PNEUMONIAE RELATED FOOD POISONING

Klebsiella pneumoniae has been regarded as a significant food-borne pathogen since it is regularly discovered in foods such as raw vegetables, powdered newborn formula, meat, seafood, and street foods. The virulence factors that *K. pneumoniae* may express include capsules, endotoxins, siderophores, iron-scavenging systems, and adhesins. It has been shown to be crucial in the pathogenesis of the disease [15]. The capsule is a key factor engaged in at least two pathogenic processes, namely the direct inhibition of the vulnerable host response and the protection of bacteria from phagocytosis. Numerous capsule types (K), including K1, K2, K54, K57, K20, and K5, are frequently linked to the occurrence of invasive pyogenic liver abscess and septicemia [16]. Adhesins are bacterial components or cell surface elements that make it easier for bacteria to adhere to or connect to other cells on the host where they dwell or infect. Adherence is a crucial stage in the pathogenesis of bacteria or an infection that is necessary for the bacteria or infection to colonize a new host. For the prevention or treatment of bacterial infections, bacterial adhesions and adhesions are important targets. Other key components influencing an organism's pathogenicity are lipopolysaccharides and CPS. Antigens found in lipopolysaccharides, including lipid A, core, and O-polysaccharide, are necessary for bacteria to fend off complement-mediated payoff [17].

FOODBORNE PATHOGENS

Pathogens that cause illnesses when consumed foods that are contaminated with them are known as foodborne pathogens. These biological agents that can cause foodborne illnesses undergo a series of events to establish themselves in the vulnerable host to produce toxins or use their virulence factors to elicit an immune response and affect the host.

Escherichia coli

Gram-negative, non-spore-producing, rod-shaped, facultative anaerobic, and coliform bacterium belonging to the genus *Escherichia* is motile pertaining to peritrichous flagella arrangement with the exception of few strains. Typically rod-shaped, cells range in size with 1–3 μ m × 0.4–0.7 μ m and 0.6–0.7 μ m in volume. By employing negative staining techniques, which result in a brilliant halo over a dark background, the *E. coli* capsules may be seen clearly. They only have one or two peptidoglycan layers in their thin cell wall. On McConkey agar, these lactase fermenters create pink colonies. A particular strain of *E. coli* is known for its hemolytic activity on blood agar. It inhabits the environment, food, and lower gut of homeothermic animals. E. coli grows best at 37°C (98°F), however some lab strains may thrive at temperatures as high as 49°C (120.2°F). *Escherichia coli* (*E. coli*) is a gram-negative bacillus that causes a variety of diarrheal diseases, such as dysentery and traveler's diarrhea. It is commonly employed as an indicator organism for water pollution since it can exist for a very long time in feces, soil, and water. It is also classified into 200 serotypes based on its 3 antigens [18]: Somatic or cell wall antigen (o), capsular antigen (K) and





Ramya et al.,

flagellar antigen (H) Numerous different *E. coli* strains have been found, causing illnesses ranging from mild, self-limiting gastroenteritis to renal failure and septic shock. *E. coli*'s virulence makes it easier for it to overcome host defenses and acquire antibiotic resistance.

- Enterotoxigenic Escherichia coli (ETEC).
- Enterohemorrhagic Escherichia coli (EHEC), also known as Shiga toxin-producing Escherichia coli (STEC),
- Enteroinvasive Escherichia coli (EIEC),
- Enteropathogenic Escherichia coli (EPEC) and
- Enteroaggregative Escherichia coli (EAEC).

These are the causative E. coli subtypes [19]. One out of five subtypes of E. coli that cause intestinal sickness may be recognized by their O and H antigens. A repeating polysaccharide chain found in the lipopolysaccharide (LPS) outer membrane defines the O antigen, while the flagellum determines the H antigen as mentioned before. Characterization is done by isolating the targeted organism by identifying the general morphology, depending upon the medium used we can classify different strains of E. coli. In general, on a basic nutrient agar medium, E. coli form large and thick colonies that appear greyish white in color; they are also seen as opaque or translucent moist and smooth discs in the culture medium. This species gram negative, rod shaped and non-spore producing. Lactose fermenting strain can be isolated using MacConkey agar, here the lactose fermenting strain can be seen as round medium sized colonies that also appear pink in color [20]. It also has to be considered to opt for optimal conditions like salt concentration, temperature and pH, for example using the temperature 45°C will give rise for thermophilic strains. In blood agar they show beta hemolysis when they are obtained from pathological conditions [21]. It has been stated that Eosin-methylene blue agar can be used as media to differentiate gram negative bacilli and enteric bacilli [22], E.coli gives out a metallic sheen with a dark center, this is due to the change in the pH of the medium to acidic owing to the metachromatic property of dyes coupled with the lactose fermenting property of this bacilli [21]. The isolates obtained can be further confirmed by biochemical tests involving Indole, Methyl red, Voges- Proskauer and Citrate utilization tests. In Indole and Methyl red test the E. coli give out positive results. For the latter tests E. coli gives out negative results. Certain selective media can also help in confirmation of the isolates, like The Brilliance E. coli agar is used to check for the activity of the enzyme Beta-glucuronidase, a specific enzyme pertaining to this species it cleaves the glucuronide present in agar to yield purple and blue-green colonies in this agar [20].

ETEC

Marked by its characteristic to cause watery diarrhea in infants, children and even adults, is found in contaminated water and food. Their heat stable and labile toxins are key virulence factors in promoting watery diarrhea. The heat labile toxin (LT) acts by stimulating the adenylate cyclase which leads to the formation of increased intracellular cAMP- cyclic adenosine monophosphate, it also promotes increased secretion of chloride from that crypt cells of the intestine. This mechanism prevents sodium chloride from being absorbed by intestinal villi. Ultimately Watery diarrhea results from this process due to free water discharge into the intestinal lumen. On the other hand, the heat-stable toxin (ST) induces the stimulation of guanylate cyclase, leading to a rise in intracellular cyclic guanosine monophosphate (cGMP), which causes chloride to be secreted and sodium chloride absorption to be blocked, resulting in watery diarrhea.

EHEC

This Shiga-toxin producing strain is highly responsible for diarrheal outbreaks caused due to the ingestion of contaminated green leaves and fruits and even undercooked meat. The Shiga toxin 1 and 2 (stx1 and stx2 respectively) are closely related to Shiga toxin produced by *shigelladysenteriae*. Stx2 expressed by this strain is known to cause bloody diarrhea. The Stxs are a class of bacterial AB protein toxins consisting of one A subunit and five identical B subunits that can target eukaryotic ribosomes to impair protein synthesis. The B pentamer binds to the endothelial cell receptor, glycosphingolipid Gb3, whereas the A subunit inhibits protein production. Inhibiting protein synthesis causes the death of enterocyte cells, which is followed by inflammatory colitis. Intimin, the key adhesin of EHEC is encoded by the genome, and EHEC/STEC has a plasmid (pO157) that expresses the pore-forming toxin known as EHEC-hemolysin [23] [24].





Ramya et al.,

FIFC

Just like EHEC the Enterotoxins produced by EIEC cause diarrheal secretion. Inflammatory colitis is the outcome of further colonization, invasion, replication, and cell-to-cell dissemination. Such toxins invade and kill the colonic epithelium, causing illness that is initially characterized by watery diarrhea. Inv plasmid, chromosome and plnv genes are the virulence factors involved in causing the disruption of colonic epithelium.

EPEC

The aftermath of contracting this strain is watery diarrhea in children and infants due to the virulent factor called Bundle-forming pilus (BFP) which renders it capable to form an attachment to enterocyte of the small intestine, the plasmid "pEAF" is responsible for encoding the BFP. After its attachment, Intimin an outer membrane protein colonization factor helps in further adherence, within the Locus of Enterocyte Effacement chromosomal island, the attaching and effacing (eae) gene can be found where the intimin is encoded on [25].

EAEC

It is known to cause traveler's diarrhea, Intestinal epithelial adhesion, mucus production stimulation, biofilm development, cytotoxic damage, and mucosal inflammation are all various aspects of pathogenesis. Many virulence factors are said to be involved in these processes. Which includes the Aggregative Adherence Fimbriae (AAF) and its variants (AAF I to AAF V). The virulence plasmid containing AggR (transcriptional regulator) and Dispersin (AAF dispersal contributor) called pAA is where the AAF is encoded on [26]. Several studies have shown the other virulence factors like Enteroaggregative *E. coli* heat-stable enterotoxin-1 (EAST-1), haemolysin E (HIyE) and cytotoxins Pet [27].

Staphylococcus aureus

Gram-positive, spherical in shape, often found in clusters. They are non-motile, non-flagellated, non-capsulated and non-sporing. The cells' diameter ranges from 0.5 to 1.0 μm . On a nutrient-rich agar medium, S. aureus develops significant yellow or white colonies. It is aerobic, at times a facultative anaerobe. It is catalase positive and oxidase negative. The nose, throat, hair, skin, and mucous membranes of healthy individuals are *S. aureus's* natural habitats. Enterotoxins are produced by toxic *s. aureus* strains, when ingested foods contaminated with such strains in the number higher than 104 per g of food, resulting in staphylococcal food poisoning. Serologically different enterotoxins A, B, C1, C2, D, and E are produced *by enterotoxigenic S. aureus strains*. Enterotoxins can tolerate heating at 100°C for 30 minutes. SEs, hemolysins, leukotoxins, exfoliative toxins, and toxic shock syndrome toxin-1 are the most important secreted toxins [28]. The bacterium frequently produces four different forms of hemolysins (alpha, beta, gamma, and delta), due to this it is hemolytic in blood agar. The organism can thrive on mannitol-salt agar medium with 7.5% sodium chloride because it is salt-tolerant. One of the most prevalent bacterial infections in humans is caused by *S. aureus* which includes bacteremia, urinary tract infections, toxic shock syndrome, and gastroenteritis.

There are five phases during a *S. aureus infection*. These include colonization, local infection, systemic spread and/or sepsis, metastatic infections, and toxinosis. The species is known to pertain to the anterior nares during the carrier stage for weeks or months without producing infections; The bacterium can enter the bloodstream and spread throughout the body to various organs, leading to sepsis. Endocarditis, osteomyelitis, renal carbuncles, septic arthritis, and epidural abscesses can all be caused by this hematogenous spread. Specific symptoms can develop because of *S. aureus* extracellular toxins without a bloodstream infection, these include foot-borne gastroenteritis, scalded skin syndrome, and toxic shock syndrome [29]. *Staphylococcal enterotoxins* (SEs) are one of the most frequent causes of food poisoning. During growth, *S. aureus* produces SEs along with a variety of other virulent components. The bacterium has the ability to spread multiple infectious illnesses, such as toxic shock syndrome (TSS), in addition to food intoxication. Exopolysaccharides, surface-associated protein adhesins, immunological modulators, and exoproteins, along with a range of toxins, are among the staphylococcal virulence factors [30]. As previously mentioned, the important toxins secreted include SEs, haemolysins, exfoliative toxins, leukotoxins, toxic shock syndrome toxins (TSST-1), among these 25 SEs have been identified [31]. There is also another class of SEs, called the SEIs (enterotoxin-like proteins). The emetic action of SEs is a noteworthy characteristic. It causes vomiting, which is a





Ramya et al.,

primary symptom of SFP. However, SEs do not always produce diarrhea. SEG-SEIZ are referred to as "new enterotoxins," whilst SEA-SEE are referred to as "classical enterotoxins". The emetic activity of classical enterotoxins was described in the paper of Bergdoll M.S et al., 1988 [32]; whereas the emetic activity of new toxins has not been well established yet. The enterotoxins whose emetic potential has not been confirmed in primate models have been designated as SEIs. To be precise SEs are divided into categories based on their nucleotide and amino acid sequences, example: SEA group involves the SEs, SEA, SED, SEE, SEIJ, SEH, SEN, SEO, SEP, SES, then there's SEB group involving SEB, SEC, SER,SEIU, SEIW. Food poisoning due to this organism is mostly associated with SEA since detecting the enterotoxins from SEA to SEE is easier using appropriate test kits. It is also possible that all the toxin varieties can act synergistically. The SEs get access to the lamina propria thru mucus producing epithelial or goblet cells of the small intestine, SEs then stimulate the release of serotonin and histamine from the mast cells, this in-turn evokes an emetic response in the host by acting on the vagus nerve.

Clostridium perfringens

They are gram-positive, anaerobic, fermentative, and spore-forming. The most well-known toxin-producing species are Clostridium perfringens and Clostridium botulinum, which can result in mild to deadly food poisoning. They are motile, obligatory anaerobes with varying tolerance to oxygen and catalase & oxidase negative. The organisms concerned are widespread and are found in the intestines of animals and humans and in the soil, where they can thrive. Different species require growing environments; some are thermophilic, while others are mesophilic [33]. The optimum temperature was found to be approximately 45°C and the generation time is less than 10 minutes, described by Labbe R., et al, 2014 [34]. The species clostridium perfringens is well known to cause illnesses infections that range in variety of severity, starting from diarrhea to myonecrosis; this is possible due to its toxins and capability or producing stress tolerant spores. It produces six major toxins, and they are described in the review by Yao P. Y et al., 2023 [9]; hence its classification is based on the major toxin/toxins produced by the different classes of C.perfringens. The six major toxins are Alpha toxin (CPA), Beta toxin (CPB), Epsilon-toxin (ETX), Iota toxin (ITX), Enterotoxin (CPE) and Necrotic enteritis B- like toxin (NetB). Class A produces CPA alone, B is involved in the production of CPA, CPB and ETX, C produces CPA, CPB and CPE (positive/negative), D produces CPA, ETX and CPE, E is involved in producing CPA, ITX and CPE as well, then F produces CPA and CPE and finally the class G produces CPA and NetB. Out of this the type A is known to be the cause for almost all C. perfringens related food poisoning.

CPA

It is associated with breaking down the phospholipid called the phosphatidylcholine & the sphingolipid called sphingolipid called sphingomyelin of the nerve cells, these are components of cell membrane. This results in stimulation of neutrophils and activates arachidonic acid metabolism further leading to aggregation of platelets and vasoconstriction. Since the 1930's the role of these toxins has been researched and it has been established in the paper of MacFarlane, Knight and co workers in 1941 [35] that the alpha toxin is a phospholipase, an enzyme.

CPB

It is pore forming and it binds to endothelial cells possessing neurotoxic properties. Theoret J R et al in 2015 [36] have stated that this beta toxin binds to vascular endothelial cells and causes vascular necrosis, hypoxic necrosis as well as hemorrhage [37].

CPE

This toxin binds to the claudin receptors on the surface of the cell, leading to the formation of hexamer, which causes an influx of calcium ions. This ultimately leads to activation of calpain, it has been that Calpain, a calcium activated protease usually exists as an inactive pro enzyme in cytosol [38], when there is an influx of calcium at intracellular level, the calpain is activated then it proceeds to cleave cytoplasmic & nuclear substrates which leads go cell death.





Ramya et al.,

Klebsiella pneumoniae

They are Gram negative, non-motile, facultative anaerobes and they thrive at 37°C. They produce mucoidal colonies on media that are rich in carbohydrates. They are straight rods approximately 0.3 to 1.8 µm. They are oxidase negative, Voges-Proskauer and catalase positive, certain subspecies of *K. pneumoniae* are Voges-Proskauer negative, E.g. *K.pneumoniae subsp. ozaenae & K.pneumoniae subsp. rhinoscleromatis* [39]. Many of the strains are capable of hydrolysing urea and reducing nitrates without hydrogen sulphide gas evolution. *K.pneumoniae* possesses polysaccharide capsule, this determines its pathogenicity. The capsule is made up of complex acidic polysaccharides, it protects the bacterium from host's defenses like phagocytosis and proteins that are bactericidal, the capsular types include K1, K2 K5, K54, K57 and K20. During the infection, the frimbrial and non frimbial adhesions help in adherence to the host's cell [40]. Along with this they possess additional virulence factors like adhesins, siderophores (enterobactin), endotoxins, and scavenge systems. It produces two major fimbriae, type 1 & 3, the type 1 is thin, rigid and adhesive found on the surface of family- *enterobacteriaceae*. Type 1 fimbriae's adhesive properties are due to FimH adhesin recognizing glycoproteins that contain mannose.

Salmonella enterica

They are gram negative *bacilli*, facultative anaerobes with flagella. Some species like *Salmonella Galinarum* and *Pullorum* are non-flagellated and non-motile [41]. The range is from $0.2 - 1.5 \mu m \times 2 - 5 \mu m$ in size. They are characterized by the presence of antigens like O, H and Vi. They are non-fastidious and heat sensitive (> 70° C), their optimal temperature requirement is $32 - 35^{\circ}$ C and they can thrive at temperatures between $5 - 47^{\circ}$ C. They can grow at pH ranging from 4 - 9 and the optimum being 6.5 - 7.5. This pathogenic species contains three main antigenic components namely: Flagellar antigen denoted as H, Somatic antigen occurring on surface of outer membrane, it is determined by sugar sequences on cell surface, and it denoted as O and antigen that is specific to serotypes denoted as Vi. The lipopolysaccharide covering is also considered as an antigen, it can function as an endotoxin. Such an endotoxin exists as a complex of three components namely O-polysaccharide covering, middle portion and inner lipid A covering.

CONCLUSION

Antibiotics are substances that inhibit growth and kill the pathogens that cause harm in several ways. Over the years, due to the misuse and overuse of antibiotics, these pathogens have been showing resistance towards the existing antibiotics due to the mutations in their genes and the emergence of resistance genes in their chromosomes and plasmids as well. Antibiotics have several mechanisms to destroy the pathogens, it includes cell wall/membrane disruption/damaging, inhibition of protein or nucleic acid synthesis, interruption of transcriptional/translational processes. The way in which the microbes are resistant varies from species to species, one such mechanism is the production of enzymes that inactivate the antibiotics such as the Beta-lactamase enzyme by Gram positive/negative bacteria. This acts on the amide bond of the beta-lactam ring therefore it inactivates the Beta-lactam ring containing antibiotics hence rendering it useless against such microbes. Likewise, Klebsiella pneumoniae has also shown resistance towards a variety of antibiotics, in a study done by Shuhong Zhang et al in 2018 [42] it has shown resistance to piperacillin, cephalothin, streptomycin, ampicillin and as well as tetracycline. Carbapenemase producing microbes are also a threat, they are mostly found in the family enterobacteriaceae, Klebsiella pneumoniae has the ability to produce this enzyme along with another gram-negative organism like the Pseudomonas aeruginosa. In certain E. coli strains that were found to be resistant had resistance genes that were capable of producing extended-spectrum beta lactamases that act against monobactams, cephalosporins and penicillin [43]. E.coli has also seen to be resistant to gentamicin, ceftriaxone and chloramphenicol [44] and its strains were also seen to be resistant to many more antibiotics, this is alarming due to the fact that foods contaminated with multidrug resistant microbes can mean that the illness caused by it to be very challenging and tough to cure, making food poisoning a life threatening condition. Similarly, Salmonella species are also found to be resistant to common antibiotics like penicillin, amoxicillin and gentamicin, staphylococcus aureus is also found to bear the same resistance. It can be interpreted that foods are potential carriers of pathogens when they are produced with no proper care. When it is contaminated, it can be understood that various





Ramya et al.,

toxins from these pathogens can cause severe harm to human beings. Apart from illnesses it can also act as a place that can spread the antibiotic resistant variants of these pathogens. Hence there is a dire need for proper awareness regarding food safety before it turns into a major threat. There is a dire need for current research to be involved in the prevention/control of food borne pathogens. Food preservatives help in the storage of these foods in the longer run, applicable to packed ones but the street foods have no alternatives, highly dependent on the food vendors' hygiene practice.

REFERENCES

- 1. World Health Organization, 2006. The world health report 2006: working together for health. World Health Organization.
- 2. World Health Organization, 1996. Cancer pain relief: with a guide to opioid availability. World Health Organization.
- 3. Adams, N.L., Byrne, L., Rose, T.C., Adak, G.K., Jenkins, C., Charlett, A., Violato, M., O'Brien, S.J., Whitehead, M.M., Barr, B. and Taylor-Robinson, D.C., 2019. Influence of socio-economic status on Shiga toxin-producing Escherichia coli (STEC) infection incidence, risk factors and clinical features. Epidemiology & Infection, 147, p.e215.
- 4. Ramaswamy, R., Ahn, J., Balasubramaniam, V.M., Saona, L.R. and Yousef, A.E., 2019. Food safety engineering. Handbook of farm, dairy and food machinery engineering, pp.91-113.
- 5. Abebe, E., Gugsa, G. and Ahmed, M., 2020. Review on major food-borne zoonotic bacterial pathogens. Journal of tropical medicine, 2020.
- 6. Argaw, S. and Addis, M., 2015. A review on staphylococcal food poisoning. Food Science and Quality Management, 40(2015), pp.59-72.
- 7. Jeffery, I.A. and Karim, S., 2017. Botulism.
- 8. Hailegebreal, G., 2017. A review on Clostridium perfringens food poisoning. Global Research Journal of Public Health and Epidemiology, 4(3), pp.104-109.
- 9. Yao, P.Y. and Annamaraju, P., 2023. Clostridium perfringens infection. In StatPearls [Internet]. StatPearls Publishing.
- 10. Navarro, M.A., McClane, B.A. and Uzal, F.A., 2018. Mechanisms of action and cell death associated with Clostridium perfringens toxins. Toxins, 10(5), p.212.
- 11. Longheu, C.M., Azara, E., Marogna, G., Addis, M.F. and Tola, S., 2020. Identification of secreted and cellular antigens of Staphylococcus aureus causing dairy sheep mastitis and their potential for vaccine development. Veterinary Immunology and Immunopathology, 230, p.110149.
- 12. Etter, D., Schelin, J., Schuppler, M. and Johler, S., 2020. Staphylococcal enterotoxin C—an update on SEC variants, their structure and properties, and their role in foodborne intoxications. Toxins, 12(9), p.584.
- 13. Taylor, S.J., Winter, M.G., Gillis, C.C., Silva, L.A.D., Dobbins, A.L., Muramatsu, M.K., Jimenez, A.G., Chanin, R.B., Spiga, L., Llano, E.M. and Rojas, V.K., 2022. Colonocyte-derived lactate promotes E. coli fitness in the context of inflammation-associated gut microbiota dysbiosis. Microbiome, 10(1), p.200.
- 14. Swenson, E.S., Mann, E.A., Jump, M.L., Witte, D.P. and Giannella, R.A., 1996. The guanylin/STa receptor is expressed in crypts and apical epithelium throughout the mouse intestine. Biochemical and biophysical research communications, 225(3), pp.1009-1014.
- 15. Zhang, S., Yang, Z., Sun, L., Wang, Z., Sun, L., Xu, J., Zeng, L. and Sun, T., 2020. Clinical observation and prognostic analysis of patients with Klebsiella pneumoniae bloodstream infection. Frontiers in Cellular and Infection Microbiology, 10, p.577244.
- 16. Fan, L.P., Yu, Y., Huang, S., Liao, W., Huang, Q.S., Du, F.L., Xiang, T.X., Wei, D.D., Wan, L.G., Zhang, W. and Liu, Y., 2022. Genetic characterization and passage instability of a novel hybrid virulence plasmid in a ST23 hypervirulent Klebsiella pneumoniae. Frontiers in Cellular and Infection Microbiology, 12, p.870779.
- 17. Riwu, K.H.P., Effendi, M.H., Rantam, F.A., Khairullah, A.R. and Widodo, A., 2022. A review: Virulence factors of Klebsiella pneumonia as emerging infection on the food chain. Veterinary world, 15(9), p.2172.





Ramya et al.,

- 18. Van Dijk, W.C., Verbrugh, H.A., Van der Tol, M.E., Peters, R. and Verhoef, J., 1979. Role of Escherichia coli K capsular antigens during complement activation, C3 fixation, and opsonization. Infection and immunity, 25(2), pp.603-609.
- 19. Mueller, M. and Tainter, C.R., 2023, Escherichia coli infection, In StatPearls [Internet], StatPearls Publishing.
- 20. Lupindu, A.M., 2017. Isolation and characterization of Escherichia coli from animals, humans, and environment. Escherichia Coli-Recent Advances on Physiology, Pathogenesis and Biotechnological Applications. London, United Kingdom: IntechOpen Limited, pp.187-206.
- 21. Basavaraju, M. and Gunashree, B.S., 2022. Escherichia coli: an overview of main characteristics. Escherichia coli-Old and New Insights.
- 22. Lal, A. and Cheeptham, N., 2007. Eosin-methylene blue agar plates protocol. American Society for Microbiology.
- 23. Bielaszewska, M., Aldick, T., Bauwens, A. and Karch, H., 2014. Hemolysin of enterohemorrhagic Escherichia coli: structure, transport, biological activity and putative role in virulence. International Journal of Medical Microbiology, 304(5-6), pp.521-529.
- 24. Sadeyen, J.R., Kaiser, P., Stevens, M.P. and Dziva, F., 2014. Analysis of immune responses induced by avian pathogenic Escherichia coli infection in turkeys and their association with resistance to homologous rechallenge. Veterinary research, 45, pp.1-12
- 25. Gaytán, M.O., Monjarás Feria, J., Soto, E., Espinosa, N., Benítez, J.M., Georgellis, D. and González-Pedrajo, B., 2018. Novel insights into the mechanism of SepL-mediated control of effector secretion in enteropathogenic Escherichia coli. Microbiologyopen, 7(3), p.e00571.
- 26. Ellis, S.J., Crossman, L.C., McGrath, C.J., Chattaway, M.A., Hölken, J.M., Brett, B., Bundy, L., Kay, G.L., Wain, J. and Schüller, S., 2020. Identification and characterisation of enteroaggregative Escherichia coli subtypes associated with human disease. Scientific Reports, 10(1), p.7475.
- 27. Hebbelstrup Jensen, B., Olsen, K.E., Struve, C., Krogfelt, K.A. and Petersen, A.M., 2014. Epidemiology and clinical manifestations of enteroaggregative Escherichia coli. Clinical microbiology reviews, 27(3), pp.614-630.
- 28. Kong, C., Neoh, H.M. and Nathan, S., 2016. Targeting Staphylococcus aureus toxins: a potential form of anti-virulence therapy. Toxins, 8(3), p.72.
- 29. Gnanamani, A., Hariharan, P. and Paul-Satyaseela, M., 2017. Staphylococcus aureus: Overview of bacteriology, clinical diseases, epidemiology, antibiotic resistance and therapeutic approach. Frontiers in Staphylococcus aureus, 4(28), pp.10-5772.
- 30. Etter, D., Schelin, J., Schuppler, M. and Johler, S., 2020. Staphylococcal enterotoxin C—an update on SEC variants, their structure and properties, and their role in foodborne intoxications. Toxins, 12(9), p.584.
- 31. Fetsch, A. and Johler, S., 2018. Staphylococcus aureus as a foodborne pathogen. Current Clinical Microbiology Reports, 5, pp.88-96.
- 32. Bergdoll, M.S., 1988. [45] Monkey feeding test for staphylococcal enterotoxin. In Methods in enzymology (Vol. 165, pp. 324-333). Academic Press.
- 33. Drouin, P. and Lafrenière, C., 2012. Clostridial spores in animal feeds and milk. In Milk Production-An up-to-date overview of animal nutrition, management and health. IntechOpen.
- 34. Lee, C.A. and Labbé, R., 2018. Distribution of enterotoxin-and epsilon-positive Clostridium perfringens spores in US retail spices. Journal of food protection, 81(3), pp.394-399.
- 35. Macfarlane, M.G. and Knight, B.C.J.G., 1941. The biochemistry of bacterial toxins: The lecithinase activity of Cl. welchii toxins. Biochemical Journal, 35(8-9), p.884.
- 36. Theoret, J.R., Uzal, F.A. and McClane, B.A., 2015. Identification and characterization of Clostridium perfringens beta toxin variants with differing trypsin sensitivity and in vitro cytotoxicity activity. Infection and immunity, 83(4), pp.1477-1486.
- 37. Laroucau, K., Aaziz, R., Lécu, A., Laidebeure, S., Marquis, O., Vorimore, F., Thierry, S., Briend-Marchal, A., Miclard, J., Izembart, A. and Borel, N., 2020. A cluster of Chlamydia serpentis cases in captive snakes. Veterinary microbiology, 240, p.108499.





Ramya et al.,

- 38. EI-Hamid, A., Ellakany, H.F., Rizk, M.S., Elbestawy, A.R. and Abdelfatah, S.I., 2017. Effect of combined Clostridium perfringens infection and aflatoxicosis in broiler chickens. Alexandria Journal of Veterinary Sciences, 52(1).
- 39. De Jesus, M.B., Ehlers, M.M., Dos Santos, R.F. and Kock, M.M., 2015. Understanding β-lactamase producing Klebsiella pneumoniae. InTechOpen: Rijeka, Croatia, pp.51-83.
- 40. Ashurst, J.V. and Dawson, A., 2018. Klebsiella pneumonia.
- 41. Bhat, K.A., Manzoor, T., Dar, M.A., Farooq, A., Allie, K.A., Wani, S.M., Dar, T.A. and Shah, A.A., 2022. Salmonella Infection and Pathogenesis. In Enterobacteria. IntechOpen..
- 42. Zhang, S. and Wu, Q., 2018. Phenotypic and genotypic characterization of Klebsiella pneumoniae isolated from retail foods in China. Frontiers in microbiology, 9, p.291639.
- 43. Teklu, D.S., Negeri, A.A., Legese, M.H., Bedada, T.L., Woldemariam, H.K. and Tullu, K.D., 2019. Extended-spectrum beta-lactamase production and multi-drug resistance among Enterobacteriaceae isolated in Addis Ababa, Ethiopia. Antimicrobial Resistance & Infection Control, 8, pp.1-12.
- 44. Rajaei, M., Moosavy, M.H., Gharajalar, S.N. and Khatibi, S.A., 2021. Antibiotic resistance in the pathogenic foodborne bacteria isolated from raw kebab and hamburger: phenotypic and genotypic study. BMC microbiology, 21, pp.1-16.

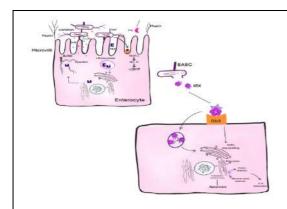


Figure 1: EAEC Infection causing mechanism. In figure 1, it can be seen that the AAF that are extended by the dispersin lying on the surface of the bacterial cell helps in the attachment to the host cell, Adhesins such as Tia and Hra ½ involve in further attachment processes. Pic is involved in digesting mucin on host cells. Pet is endocytosed and then undergoes retrograde trafficking to cleave spectrin, further disrupting the actin cytoskeleton

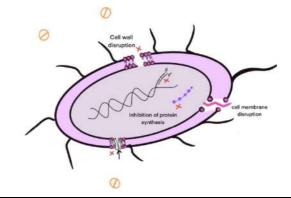


Figure 2: Antibiotic action.





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Assessment of the Physico-Chemical, and Biological Profile of the Two Water Bodies in Harapanahalli and Arasikere of Karnataka

Umadevi K.M.^{1*}, Shilpa,P.Raikar¹, Roopa, M.C¹ and SharadadeviKallimani ²

Research Scholar, Department of Environmental Science, Davangere University, Shivagangothri, Davangere, Karnataka, India.

²Assistant Professor, Department of Environmental Science, Davangere University, Shivagangothri, Davangere, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 09 Aug 2024

*Address for Correspondence Umadevi K.M.

Research Scholar. Department of Environmental Science, Davangere University, Shivagangothri, Davangere, Karnataka, India.

Email: drbrk2003@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The importance of water in lakes extends far beyond its role as a mere liquid; it regulates temperature, supports aquatic life, and facilitates essential processes like nutrient cycling. The study presents a thorough investigation into the physical, chemical, and biological parameters of two lakes in Karnataka, India: Ayyanakeri Lake, and Sannakere Lake, shedding light on their overall health and pollution status. The analysis of water quality parameters reveals diverse conditions and varying levels of pollution in these freshwater ecosystems. While, some parameters meet acceptable standards, such as pH and fecal coliform counts, others indicate potential environmental concerns, including elevated levels of turbidity, total dissolved solids, and chemical oxygen demand. Additionally, the objectionable odors and disagreeable tastes further underscores the impact of pollution sources on sensory aspects of the water. The findings highlight the distinct characteristics of heavy metal presence in Ayyayanakere and Sannakere Lakes, indicating potential mineral enrichment and contamination sources, respectively. Fecal coliform counts, unveil fluctuating contamination levels, necessitating continual monitoring and management efforts. The research underscores the urgency of implementing remedial measures to enhance water quality and preserve the ecological balance of these lakes. Sustained vigilance and proactive interventions are imperative to mitigate pollution risks and ensure the long-term sustainability of freshwater reservoirs in the region.





Umadevi et al.,

Keywords: Chemical speciation, Contaminant levels, Ayyanakeri and Sannakere Lakes, Physico-chemical analysis, Heavy metals, MPN test.

INTRODUCTION

Water bodies are important resources used for inland fisheries and recognition of various fish fauna, which offers great prospects for the improvement and sustainable management of water bodies (Krishna and Piska, 2006). Water is a renewable natural resource and the basis of life. Lentic water is used for drinking and domestic purposes. Physico-chemical factors are developed based on scientific data on the impact of pollutants on specific water uses (Rashmi et.al., 2013; Thirumala and Kiran, 2018). Rapid development, population growth in metropolitan areas and urbanization in rural areas are increasing ecosystem pollution in complex ways. The most affected are water bodies that are severely affected by the addition of foreign bodies such as flora and fauna, domestic and industrial wastewater. Solid waste dumping and unplanned interventions also increase the disturbance. The deterioration of water quality significantly limits its availability for human consumption and marine life. Therefore, consistent and regular monitoring of water quality is essential to take appropriate preventive and medical measures. The BOD and bacterial characteristics of a water body indicate the type of pollution and the water quality. Water pollution has become a challenging issue today as all water resources are under threat due to spontaneous urbanization and industrialization (Singh et al., 2002). It is known that humans, animals, and plants all face various problems arising from different types of environmental pollution (Petak, 1980; Pushkar Lal Dangi et al., 2017). Unlike the misuse of inorganic waste, organic waste is easy to dispose of as it is biodegradable. In fact, nature has some very effective mechanisms to purify itself of such waste over time through biological transformation and recycling. The Stockholm Conference on Human Climate (Jones, 1972) proposed regulating crop residues and animal waste and recycling them as compost. Of course, a heterogeneous mixture of bacteria, plants and other parasites plays an important role in the biological decomposition of organic waste. However, if this is not done efficiently, general health can be significantly impaired, as many types of microorganisms are involved in the exploitation of human and animal sources. Up to 10,000,000 bacteria can be found in one gram of human waste (Hultan, 1981; Pushkar Lal Dangi et al., 2017). Furthermore, organic waste is rich in biologically important nutrients and can alter the environmental conditions of receiving water bodies. As a result, disposal of raw organic waste can accelerate eutrophication. Recognizing the critical importance of lake water quality and the pressing issue of pollution, the main aim of the study was to comprehensively assess the pollution status of lakes in the Arasikere, and Harappanahalli regions of Karnataka. This involved identifying lakes where there is limited information available regarding pollution levels or scientific studies, thus addressing gaps in environmental monitoring and management efforts. The survey encompassed a range of scientific methodologies and interdisciplinary approaches to assess water quality, pollution sources, and ecological health of the two water bodies.

MATERIALS AND METHODS

Study area

A comprehensive field survey was recently conducted to investigate the key parameters of lakes in two locations: Harapanahalli and Arasikere. Located within Vijayanagar district, the Ayyanakeri Lake in Harapanahalli, Karnataka, plays a crucial role in shaping the ecological and socio-economic dynamics of the area. Positioned at 14.787° N latitude and 75.9818° E longitude, this lake is not only a picturesque feature of the landscape but also a lifeline for nearby communities. Serving as a primary water source, it sustains a rich diversity of plant and animal life, making it an essential ecosystem within the region [Figure 2]. Lastly SannaKere, found in Arasikere and marked by coordinates latitude14.673° N and 76.074° E longitude, holds significance as a prominent lake within the area. The water in the lake was not suitable for consumption due to the direct discharge of wastes from Slaughter houses located around the lake and sewage effluents of the village [Figure 3].





Umadevi et al.,

Sampling and Laboratory Analysis

Samples of water from all the five lakes were methodically collected in 5 liter polythene bottles from the field sites and ferried to the laboratory for detailed analysis. These samples were meticulously handled to ensure the preservation of their integrity and to prevent any contamination during transit. In the laboratory, comprehensive assessment of various physico- chemical and biological parameters was performed to assess the quality and composition of the water.

Physical parameters

To determine different physical properties of water such as temperature, pH, turbidity, conductivity, and dissolved oxygen concentration the following procedure were followed

Fecal Coliform testing [MPN] Method

The procedure for Fecal Coliform testing, according to the standard procedure, involved utilizing the Most Probable Number method to quantify fecal coliform bacteria in water samples. The process began with serial dilution of the water sample to generate a range of concentrations suitable for the MPN test. Subsequently, aliquots of these diluted samples were inoculated into multiple tubes or wells containing selective culture media like MacConkey broth or Lauryl tryptose broth. The inoculated media were then incubated at the prescribed temperature [typically 37°C] for 24 to 48 hrs to facilitate bacterial growth. Following the incubation period, the tubes or wells were examined for bacterial growth, indicated by turbidity or gas production. Confirmation tests, such as the indole test or methyl red test, were conducted on samples showing positive growth to confirm the presence of fecal coliform bacteria. Finally, the MPN was calculated based on the number of positive tubes or wells at each dilution level, referencing the MPN table provided in the standard to determine the Most Probable Number of fecal coliform bacteria per 100 milliliters of the original water sample.

RESULTS

Ayyanakeri Lake Water

Physically, the color of the water is measured at 198, which is within the acceptable range according to IS – 22.96 "C". Temperature of the water was 28°C, the odor is objectionable, and the taste is disagreeable, indicating potential contamination sources affecting the sensory aspects of the water. Chemically, the pH level is recorded at 7.70, falling within the acceptable range of 6.5-8.5. However, the electrical conductivity is notably high at 2500 µs/cm, suggesting a significant presence of dissolved ions. TDS are measured at 1452 mg/L, exceeding the recommended range of 50-250 mg/L, indicating elevated mineral content. Calcium, Magnesium, Sulfate, and Chloride concentrations are within measurable ranges, but some exceed standard limits. For instance, sulfate and chloride levels are above the recommended standards of 250 mg/L. Nitrate levels are also slightly elevated at 3.0 mg/L, although still below the permissible limit of <10 mg/L.Biologically, the total coliform count was18 MPN/50ml while these counts suggest a moderate level of fecal contamination, they still fall within acceptable limits. Overall, the data indicates a mixed picture of water quality in Ayyanakeri Lake, with some parameters meeting acceptable standards while some express concerns regarding potential pollution sources. Continued monitoring and management efforts are necessary to address water quality issues, ensuring the ecological integrity and usability of the lake for various purposes [Table 1].

Sannakere Lake Water

Physically, the color of the water was 192, and the temperature was recorded at 24.7°C, which falls within the typical range for surface water bodies. However, the odor is objectionable, and the taste is disagreeable, indicating potential contamination affecting sensory aspects of the water. Chemically, the pH level is slightly alkaline at 7.66, within the acceptable range of 6.5-8.5. Electrical conductivity is notably high at 2870 µs/cm, indicating a significant presence of dissolved ions. Turbidity is relatively low at 6.6 NTU, suggesting clarity in the water. TSS are measured at 80 mg/L, which is below the standard limit of 100 mg/L, indicating relatively low levels of particulate matter. However, TDS are recorded at 1683 mg/L, exceeding the recommended range of 50-250 mg/L, suggesting elevated mineral content.





Umadevi et al.,

Biologically, the fecal coliform count was 78 MPN/100ml, which is below the permissible limit of 100 MPN/100ml, indicating relatively low levels of fecal contamination. This suggests that the water may be suitable for recreational activities, but continued monitoring is essential to guarantee adherence to water quality regulations. Overall, while some parameters meet acceptable standards, others raise concerns regarding potential pollution sources and environmental degradation. Continued monitoring and management efforts are essential to address water quality issues in Sannakere Lake, safeguarding its ecological integrity and usability for various purposes [Table 5].

DISCUSSION

Comparing the color and temperature of Ayyanakeri Lake, and Sannakere Lake, distinct variations are evident, offering insights into the unique characteristics of each water body. Conversely, Sannakere Lake records a slightly lower temperature of 24.7°C, implying cooler conditions. Our findings align with observations of water color in Bengaluru City lakes, which generally adhere to prescribed limits, signifying minimal pollution, despite seasonal fluctuations affecting other physicochemical parameters (Carrea et al., 2023). Similarly, studies on Puliyanthangal Lake note variations in water appearance from turbid to clear, influenced by the influx of tannery effluents and domestic waste (Chatterjee and Ganesh, 2020). In Maharashtra's Malijunga Lake, water temperature displays seasonal variability, indicating the influence of climatic changes on lake water temperature (Maheshwari and Sivachandrabose, 2023). Ayyanakeri Lake, and Sannakere Lake exhibit objectionable odor and disagreeable taste, suggesting potential contamination sources affecting water quality. Our findings resonate with studies on Lake Goverdhan Sagar and Puliyanthangal Lake, which report similar issues with odor and color, indicating potential water quality challenges (Maheshwari and Sivachandrabose, 2023; Patida, 2022; Kumar et al., 2020). The chemical parameters of lake water, including pH, EC, TDS, and Turbidity, have been extensively investigated across various lakes, reflecting their diverse environmental conditions and anthropogenic influences (Turunen and Aroviita, 2024). When comparing the pH, electrical conductivity, TDS, and turbidity of Ayyanakeri Lake, and Sannakere Lake with findings from other studies, several noteworthy comparisons emerge. Our results show that Madiwala Lake exhibits a pH of 7.54, similar to findings from Pulicat Lake, which recorded a pH of 8.17, indicating slightly alkaline conditions (Sumithraa et al., 2022; Kumar, 2023). Additionally, where most physicochemical parameters, including pH, were within WHO limits (Suresha et al., 2023). Electrical conductivity in Ayyanakeri Lake [2500 µs/cm] suggests varying mineral content, resembling observations in Medchal Lake, where water was deemed suitable for drinking based on permissible EC levels (Randrianiaina et al., 2019). Moreover, our study underscores the importance of continued monitoring and management efforts to ensure the sustainability of freshwater resources, echoing the sentiments expressed in various studies emphasizing the significance of assessing physicochemical parameters for water quality and health implications (Tigga and Pandey, 2023; Santhi et al., 2023).

The chemical parameters of lake water, specifically sulfate, chloride, nitrate, and fluoride, vary across different studies, reflecting the diverse ecological statuses and anthropogenic impacts on these water bodies. These variations highlight the complex interplay between natural processes and human activities in shaping the chemical composition of lake waters. Comparing sulfate, chloride, nitrate, and fluoride levels across Ayyanakeri Lake, and Sannakere Lake reveals significant variations in these chemical parameters, indicating diverse pollution sources and ecological conditions. Ayyanakeri Lake also exhibits elevated levels of sulfate and chloride, suggesting increased mineralization and potential contamination. Sannakere Lake's data regarding these parameters is not provided. Fluoride levels vary across the lakes, Ayyanakeri Lake's fluoride content is not specified. These variations highlight the diverse chemical compositions and pollution sources affecting the lakes, emphasizing the need for targeted management strategies to mitigate contamination risks and safeguard water quality. Continued monitoring and remedial actions are essential to ensure the ecological integrity and usability of these freshwater ecosystems. The acceptable chloride and fluoride levels in Lake Badovci contribute to its moderate ecological status (Chatterjee and Lataye,2020), contrasting with potential pollution impacts observed in Puliyanthangal Lake (Maheshwari and Sivachandrabose,2023). While specific data on sulfate, chloride, nitrate, and fluoride were not provided for Lake Pomacochas, (Leiva Tafur et al.,2022),our study's focus on these parameters adds depth to understanding water quality issues in different ecosystems. Lake





Umadevi et al.,

GoverdhanSagar's chloride and fluoride levels were below permissible limits, consistent with our findings, though sulfate and nitrate concentrations were not specified (Patida, 2022). The chemical parameters of lake water, such as DO, [COD], and [BOD, are crucial indicators of water quality and its suitability for various uses. When comparing DO levels across Ayvanakeri Lake, and Sannakere Lake, significant variations in water quality indicators are evident. In contrast, various studies have shown a range of DO levels supporting aquatic life, such as 5.4 mg/l (Santhi et al.,2023)and 5.65 ± 0.13 to 8.99 ± 0.19 mg/l (Arik and Muliadiasa,2023). Urban and rural lakes in Bangalore, including the cleanest one with a DO of 3.6 mg/L [Patida, 2022], exhibited lower DO levels, suggesting some degree of pollution. Similarly, DO levels in Lake GoverdhanSagar ranged from 5.4 ppm (Santhi et al., 2023) to 7.69 mg/L in Lake Hayq (Gobeze et al., 2023). These variations highlight differing levels of aeration and biological activity within these aquatic environments. When comparing COD across Ayyanakeri Lake, and Sannakere Lake, significant variations in water quality indicators are observed. Madiwala Lake exhibits a relatively high COD value of 309.5 mg/L, suggesting a notable organic pollution burden and the presence of oxygen-consuming substances. Lake GoverdhanSagar, on the other hand, showed a COD value of 45.88 ppm (Suresha et al.,2023;Gobeze et al.,2023), reflecting a comparable organic pollution load. Similarly, in the study of Lake Beratan, COD levels exceeded quality standards, although specific figures were not provided (Patida, 2022). These variations highlight fluctuations in water quality influenced by human activities. For example, during a lockdown period, a lake demonstrated COD levels within acceptable limits due to reduced human activities (Premsudha et al., 2022). Conversely, tourist areas showed COD values surpassing quality standards, indicating pollution from domestic and agricultural activities (Fatimah et al., 2021). Additionally, Buyan and Tamblingan Lakes exhibited high COD levels, suggesting significant organic contamination (Khune et al., 2021). BOD levels varied significantly among Ayyanakeri Lake and Sannakere Lake, indicating differences in organic pollution levels across these water bodies. Our findings are correlated with many studies who also reported varied levels of BOD, for instance, Lake GoverdhanSagar displayed a BOD of 3.96 ppm (Leiva Thafur et al.,2022), slightly increasing to 4.02 ppm in a subsequent study (Molla et al.,2022), suggesting a moderate level of organic pollution. Conversely, Lake Beratan's BOD parameters met quality standards, indicating lower organic pollution levels (Premsudha et al., 2022). In contrast, Lake Hayq exhibited a higher BOD of 6.40 mg/L (Anguilar Torrejan et al.,2023), signifying a relatively more substantial organic load.

These findings underscore the significance of monitoring and managing water quality to mitigate pollution and support aquatic ecosystems. Additionally, the complex interplay of chemical factors, such as BOD, COD, and others like electrical conductivity and alkalinity, underscores the need for comprehensive assessment approaches. Although the Malijunga Lake study primarily focused on physicochemical parameters, it indirectly suggested that factors like BOD and COD could influence water taste and odor by affecting overall quality (Khune et al., 2021). Moreover, BOD levels within quality standards in Lake Beratan implied a moderate level of organic pollution (Birla and Vedashree, 2020), while a study on Lake Goverdhan Sagar reported a higher BOD of 4.02 ppm, indicating a higher pollution status alongside elevated COD levels (Sukmawati et al., 2020). These collective findings underscore the varying levels of BOD in lake waters, influenced by natural conditions and human activities, necessitating ongoing monitoring and management to preserve water quality. When comparing fecal coliform levels across Ayyanakeri Lake and Sannakere Lake, notable differences in microbial contamination are evident. Ayyanakeri Lake's fecal coliform count was 18 MPN/100ml, while Sannakere Lake shows a higher count of 78 MPN/100ml, suggesting a higher degree of fecal contamination and potential health risks associated with water use. These variations highlight the diverse pollution sources affecting the lakes and underscore the importance of continued monitoring and management efforts to safeguard water quality and protect public health.Comparatively, fecal coliform contamination in lake water across Karnataka, India, presents a significant public health concern, as evidenced by various studies. For instance, in Gidadakonenahalli Lake, Bangalore, severe pollution levels were identified, although specific fecal coliform counts were not detailed, indicating severe pollution (Shekar et al., 2015). Similarly, in the Bangalore district, fecal coliforms, including E. coli, were detected in 60% of water samples, indicating widespread bacterial contamination (Ramachandra, and Latha, 2014). The River Cauvery, a major drinking water source, showed high levels of fecal coliforms, posing a threat to public health and antibiotic efficacy due to multidrug-resistant isolates (Skariyachan, et al., 2015). Studies in South India's reservoirs and lakes have also shown pollution from fecal coliforms due to inadequate civic facilities, leading to the inflow of untreated wastewater (Chandrashekar et





Umadevi et al.,

al.,2012). Proximity of wells to open sewerage systems in Bangalore resulted in high levels of fecal coliform contamination, exceeding permissible limits (Singh et al., 2009). Ramasandra Lake's water samples revealed high microbial and fecal contamination, indicating a serious public health threat (Latha and Mohan, 2013). Heavy metals in lake water pose significant ecological and health risks, with variations in contamination levels and sources across different regions and seasons. The sources of these heavy metals are varied, including agriculture, transportation, chemical industry, steel-making, and natural sources (Yu et al., 2022). Our study on Ayyayanakere and Sannakere Lakes revealed notable variations in heavy metal concentrations compared to broader research conducted across various regions in India. Ayyayanakere Lake exhibited elevated levels of calcium and magnesium, potentially indicating increased mineral content, while Sannakere Lake showed heightened levels of magnesium, chloride, and manganese, suggesting contamination from industrial discharge, agricultural runoff, or natural processes. This aligns with findings from lakes across India, where heavy metal pollution poses significant risks to aquatic ecosystems and human health. Furthermore, the accumulation of heavy metals in sediments and fish tissues in lakes like Varthur Lake, Bellandur Lake, and Kolleru Lake has underscored the bioaccumulation potential and ecological risks associated with heavy metal pollution (Ramachandra et al., 2020; Muniraju and Delvi, 2022; Venkataramana and Sandhya, 2023). Remediation strategies such as phytoremediation and bioflocculant treatments have shown promise in mitigating heavy metal pollution in lake waters (Dih et al., 2019). Additionally, industrial activities near water bodies, as observed near a thermal power plant in Udupi District, have contributed to heavy metal contamination of groundwater and soil (Shetty et al., 2021). These findings emphasize the urgent need for continuous monitoring, assessment, and implementation of innovative treatment solutions to address heavy metal pollution in Karnataka's aquatic ecosystems (Prathiba and Muralidhar, 2022; Das Sharma, 2019).

CONCLUSION

The analysis of water quality parameters across Ayyanakeri Lake, and Sannakere lake reveals diverse conditions and varying levels of pollution in these freshwater ecosystems. While, some parameters meet acceptable standards, such as pH and fecal coliform counts, others indicate potential environmental concerns, including elevated levels of turbidity, total dissolved solids, and chemical oxygen demand. Additionally, the presence of objectionable odors and disagreeable tastes further underscores the impact of pollution sources on sensory aspects of the water. The findings highlight the distinct characteristics of heavy metal presence in Ayyayanakere and Sannakere Lakes, indicating potential mineral enrichment and contamination sources, respectively. Understanding these differences is crucial for targeted management strategies to address water quality concerns effectively. Continued monitoring and mitigation efforts are essential to preserve the ecological balance and ensure the long-term sustainability of these freshwater ecosystems.

Acknowledgement

The authors extend their sincere gratitude to the faculty and staff of the Department of Studies in Environmental Science, Davangere University for providing the essential facilities to carry out the manuscript work.Ms, Umadevi, K.M. extend her sincere gratitude to the SC ST Cell, Davangere University, Davangere, for providing fellowship support, which was instrumental in facilitating this research endeavor.

REFERENCES

 Abdulaziz, A., Sathyendranath, S., Vijayakumar, S., Menon, N., George, G., Kulk, G., Raj, D., Krishna, K., Rajamohananpillai, R., Tharakan, B., Jasmin, C., Vengalil, J., & Platt, T. [2023]. The Distribution of Fecal Contamination in an Urbanized Tropical Lake and Incidence of Acute Diarrheal Disease, ACS Environmental Science & Technology Water, 3[6], 1561-1573.





- 2. Abhirosh, C., Sherin, V., Thomas, A. P., Hatha, A. A. M., & Abhilash, P. C. [2010]. Potential exposure risk associated with the high prevalence and survival of indicator and pathogenic bacteria in the sediment of Vembanadu Lake, India, *Water Quality, Exposure and Health*, 2, 105–113..
- 3. Aguilar-Torrejón, J. A., Balderas-Hernández, P., Roa-Morales, G., Barrera-Díaz, C. E., Rodríguez-Torres, I., & Torres-Blancas, T. [2023]. Relationship, importance, and development of analytical techniques: COD, BOD, and, TOC in water—An overview through time. *SN Applied Sciences*, 5, 118.
- 4. Akçaalan, R., Devesa-Garriga, R., Dietrich, A. M., Steinhaus, M., Dunkel, A., Mall, V., Kaloudis, T.[2022]. Water taste and odor [T&O]: challenges, gaps and solutions from a perspective of the WaterTOP network, *Chemical Engineering Journal Advances*, 12.
- 5. Akila, M., Anbalagan, S., Lakshmisri, N. M., Janaki, V., Ramesh, T., Merlin, R. J., & Kamala-Kannan, S. [2022]. Heavy metal accumulation in selected fish species from Pulicat Lake, India, and health risk assessment, *Environmental Technology & Innovation*, 27, 102744.
- 6. Aliagha, U. G., &Begham, F. M. [2022]. Urban lake physicochemical parameters seasonal and vertical variability within the context of ecological disturbance theory, *Desalination and Water Treatment*, 269, 249-261.
- 7. Anjaiah, V., & Shailaja, K. [2023]. Analysis of Water Quality Using Physico-Chemical Parameters of Upper Manair Dam RajannaSiricilla District, Telangana, *International Journal of Scientific Research in Science and Technology*[IJSRST], 10[2], 332-341.
- 8. Anuradha, K., &NirmalaBabu Rao.[2021]. Study of Physico-chemical parameters of Mominpet Lake in Vikarabad Dist., Telangana State., India, *Annals of the Romanian Society for Cell Biology*, 5717–5733.
- 9. Arik, A., &Muliadiasa, I. [2023].Impact of tourism on water quality of beratan lake based on bod and cod parameters, *Journal of Culinary Management*, 2, 1-9.
- 10. Benarjee, G., & Gowri, P. [2018]. Assessment of water quality using physico-chemical parameters: A study of a freshwater lake in Warangal District, Telangana State, India. Conference Proceedings,
- 11. Birla, S., & Vedashree, S. [2020]. Microbial diversity and physico-chemical assessment of lake water, *International Journal of Engineering Applied Sciences and Technology*, 04[10], 59-64.
- 12. Carrea, L., Crétaux, J. F., Liu, X., Wu, Y., Calmettes, B., Duguay, C. R., Albergel, C. [2023]. Satellite-derived multivariate world-wide lake physical variable timeseries for climate studies, *Scientific Data*, 10[1], 30.
- 13. Chandrashekar, H., Lokesh, K. V., Roopa, J., Ranganna, G. [2012]. On Water Quality Aspects of Manchanabele Reservoir Catchment and Command Area [Karnataka]. Proceedings, 137-143.
- 14. Chatterjee, A., & Ganesh, S. [2020]. Analysis of physicochemical parameters of the Hebbal, Shivpura, Elemallappa Shetty Lakes in Bengaluru City, India, *Annals of Limnology and Oceanography*, 5[1], 001-007.
- 15. Chatterjee, R., &Lataye, D. [2020]. Analysis of Water Quality Parameters and Their Variation for Surface Water Using GIS-Based Tools. In *Applications of Geomatics in Civil Engineering*[pp. 289-302].
- 16. Daija, L., Këpuska, X., Shallari, S., & Shehu, L. [2016]. Adjusting Water Processing Technology in the Function Water Quality of Lake Radoniqi, ANGLISTICUM, *Journal of the Association-Institute for English Language and American Studies*, 2[4], 326–330.
- 17. Das Sharma, S. [2019]. Risk assessment and mitigation measures on the heavy metal polluted water and sediment of the Kolleru Lake in Andhra Pradesh, India. Pollution, 5[1], 161-178. doi: 10.22059/poll.2018.263546.493
- 18. Dih, C.C. & Jamaluddin, N.A. & Zulkeflee, Zufarzaana. [2019]. Removal of heavy metals in lake water using bioflocculant produced by *bacillus subtilis*, *Pertanika Journal of Tropical Agricultural Science*, 42, 89-101.
- 19. Fatimah, N., Yunita, B., Agam, B., Maryono, M., &Merdekawati, D. [2021]. The Analysis of Aquatic Chemical Parameters in Kurapan Lake and Sambas River, Sepantai Village, Sambas, *Berkalaperikananterubuk*,740-747.
- 20. Gobeze, A., Kaba, T., Tefera, M., Lijalem, T., Legesse, M., Engdaw, F., Guadie, A. [2023]. Evaluation of water quality of Angereb reservoir: a chemometrics approach. Applied Water Science, *Applied Water Science*, 13, 103.
- 21. Hamsa, N., & Prakash, N. B. [2020]. Heavy Metal Contamination in Soils and Crops Irrigated with Lakes of Bengaluru, *Current Science*, 119[11], 1849-1854.
- 22. Hultan L. Water quality and bacteriology testing (211-116). In: Developing world water. Grosvenor Press Int. 1981, 832.





- 23. Jan, A., Banerjee, S., Chouhan, R., & Pani, S. [2023]. Ecotoxicological Assessment of Heavy Metals in Lower Lake of Bhopal, Madhya Pradesh, India. *Uttar pradesh journal of zoology*, 44[3], 47–58.
- 24. Jones JG. Studies on freshwater bacteria: association with algae and alkaline phosphate activity J. Ecol. 1972;60:59-75.
- 25. Joshi, P. K., Mishra, A., Trakroo, M. D., Sharma, A., Ram, R. N., & Srivastava, R. K. [2020]. Variation of heavy metals in water, sediment, and macrovegetation of Lake Nainital, India. National Academy Science Letters-India, 43, 403–407.
- 26. Karki, D., & Verma, A. [2021]. Assessment of heavy metal contamination within the sediments in some fresh water lakes of Udaipur. EQA *International Journal of Environmental Quality*, 46, 37–45.
- 27. Kashtanjeva, A., Vehapi, I., Kurteshi, K., &Pacarizi, M. [2022]. Determining the Physico-Chemical and Microbiological Parameters of the Water Quality in the Batllava Lake, Kosovo, *Journal of Ecological Engineering*, 23[9], 231-240.
- 28. Khune, C. J., Raut, M. B., &Nagpurkar, L. P. [2021]. A Study on Water Quality Parameters of Malijunga Lake in Gondia District of Maharashtra State, India, *International Journal of Life Sciences*, 9[1], 114-118.
- 29. Krishna, M and Piska, R. S. 2006. Ichtyofaunal diversity in secret lake Durgamcheruvu, Ranga Reddy District, Andhra Pradesh, India. J.Aqua. Biol, vol. 21 (1): 77079.
- 30. Kumar, M. S. [2023]. Comprehensive Analysis of Physiochemical Parameters in Lake GoverdhanSagar: A Study on Water Quality Assessment, *International Journal For Science Technology And Engineering*, 11[6].
- 31. Kumar, P., Joshi, A., Mishra, M., Das, T., Sharma, R. N., Ram, & Srivastava, R. K. [2020] Variation of heavy metals in water, sediment, and microvegetation of Lake Nainital, India. *National Academy Science Letters*-india, 43 [2], 403–407.
- 32. Latha, N., & Ramachandra Mohan, M. [2013]. Evaluation of the Microbial Pollution of Water in Ramasandra Lake, Bangalore, Karnataka and Assessment of Multiple Antibiotic Resistance among Escherichia Coli, *International Journal of Advanced Research*, 1, 162-166.
- 33. Leiva-Tafur, D., GoñasMalluri, Culqui Lorenzo, Santa Cruz Carlos, RascónJesús, & Oliva-Cruz, M. [2022]. Spatiotemporal distribution of physicochemical parameters and toxic elements in Lake Pomacochas, Amazonas, Peru, Frontiers in Environmental Science, 10, 885591.
- 34. Ma, S., You, B., Jiang, L., Wu, Y., Chen, D., Zhu, H., Chen, K. [2023]. Spatial distribution and influencing factors of odorous compounds during algal blooms in the littoral zones of Lake Chaohu, *Journal of Lake Sciences*, 35[4], 1203-1211
- 35. Maheswari, M., &Sivachandrabose, K. [2023]. Assessment of Physicochemical Parameters of Water in Puliyanthangal Lake of Ranipet, Tamilnadu, India, *International Journal of Zoology and Animal Biology*, 6[1], 000431.
- 36. Molla, A., Tewodrose, M., Metafet, A., Seid, E., & Girum, A. [2022]. Assessing Physicochemical Parameters and Trophic Status of Lake Hayq, South Wollo, Ethiopia.
- 37. Muniraju, S., &Delvi, M. R. [2022]. Accumulation of Heavy Metal In Water and Certain Freshwater Fishes of Bellandur Lake, Karnataka, *Shanlax International Journal of Arts, Science and Humanities*, 9[3], 90–95.
- 38. Nabi, M. [2021]. Heavy metals accumulation in aquatic macrophytes from an urban lake in Kashmir Himalaya, India, *Environmental Nanotechnology, Monitoring & Management*, 16, 100509.
- 39. Onah, I., Ajanwachukwu, O., &Ubachukwu, P. [2022]. Comparison of physico-chemical parameters with macroinvertebrate and vertebrate fauna of Lake Ogelube and Lake Ojii, Opi-Agu, south-eastern Nigeria, *African Journal of Aquatic Science*, 47[6], 1-10.
- 40. Pandey, R., & Chauhan, L. [2022]. Comparative physicochemical and heavy metal contamination analysis in lake water samples collected from nallasopara lakes in palghar, *Paripex Indian Journal of Research*, 11[8].
- 41. Patida, R. [2022]. Assessment of Physio-Chemical Parameters of GoverdhanSagar Lake [GSL], *International Journal for Science Technology and Engineering*, 10.
- 42. Petak WJ. Environmental planning and management; The need for an integrative perspective, Environmental Management. 1980; 4:287-295.
- 43. Prathibha, S., &Murulidhara, V. N. [2022]. Assessment of spatio-temporal variation of heavy metals in Tunga reservoir at Gajanur, Karnataka, *International journal of applied research*, 8[10], 81-86.





- 44. Premsudha, R., Tirupathi, G., Madhusudhan, G., Swaroopa, L., Sathyavathi, L., Naveenkumar Reddy, V., &Mahavish, M. [2022]. Evaluation of Physico-Chemical Parameters to Assess Hussain Sagar and Saroor Nagar Lake Water Quality in Hyderabad, Telangana, India, *International Journal of Advanced Research in Science, Communication and Technology*, 543-550.
- 45. Priyanshi, Phiri, T. A., Prachi, Chhaya, Tomar, S., Sagar, S., Awasthi, A., & Sharma, S. [2023]. Assessment of Physicochemical Properties of Water Samples, *Journal for Research in Applied Sciences and Biotechnology*, 2[2], 118–123
- 46. Pushkar Lal Dangi, BK Sharma and B Uppadhyay.2017. BOD, Total and Faecal coliforms bacterial status of Lake Pichhola, Udaipur, Rajasthan.International Journal of Fisheries and Aquatic Studies 5(3): 176-180.
- 47. Raju, N. S., Roopavathi, C., Ramachandra Kini, K., & Niranjana, S. R. [2011]. Assessment of coliform contamination in drinking water from source to point of use in Mysore city of Karnataka, India. Loughborough University. Conference contribution.
- 48. Ramachandra, M., and Latha, N. [2014]. Evaluation of bacteriological water quality, Bangalore- in view of public health, *International Journal of Chemical Studies*, 2[1], 12-18.
- 49. Ramachandra, T. V., Sudarshan, P., Vinay, S., Asulabha, K. S., & Varghese, Sincy. [2020]. Nutrient and heavy metal composition in select biotic and abiotic components of Varthur wetlands, Bangalore, India, *SN Applied Science*, 2, 1449.
- 50. Randrianiaina, J. C. F., Rakotonirina, I., Ratiarimanana, R., &Razafindramisa, L. F. [2019]. Modelling of lake water quality parameters by deep learning using remote sensing data, *American Journal of Geographic Information System*,8[6], 221-227.
- 51. Rashmi, B.S. and Somashekar Malammanavar, G. 2013. Diversity of Phytoplankton of Lakkinakoppa pond Shivamogga dist, Karnataka. Indian Journal of Plant Sciences 2(3): 87-91
- 52. Salla, S., & Ghosh, S. [2014]. Assessment of water quality parameters of lower lake, Bhopal, Archives of Applied Science Research, 6[2], 8-11.
- 53. Santhi, K., Lakshmi, N. U. C. M., & Noornissabegum, M. [2023]. Studies on the Physico-chemical Parameters and Correlation Coefficient of Dharapadavedu Lake, Vellore Tamilnadu, India, *Uttar Pradesh Journal of Zoology*, 44[5], 68–75.
- 54. Saturday, A., Lyimo, T.J., Machiwa, J[2021]. Spatio-temporal variations in physicochemical water quality parameters of Lake Bunyonyi, Southwestern Uganda. *SN Applied Sciences*, 3, 684.
- 55. Shah, A. R., Shah, R. A., Achyuthan, H., Krishnan, H., Lone, A. M., Saju, S., Ali, A., Lone, S. A., Malik, M. S., & Dash, C. [2021]. Heavy metal concentration and ecological risk assessment in surface sediments of Dal Lake, Kashmir Valley, Western Himalaya. Arabian Journal of Geosciences, 14, 187.
- 56. Shekar, G., Narayan, L., Mohan, M. R. [2015]. Impact of urbanization and study of water quality index on Gidadakonenahalli Lake, Bangalore urban district, Karnataka, India, *Advances in Forestry Science*, 2[1], 7-12.
- 57. Shetty, N., Shetty, J. K., Mohandas, C., & Udaya, S. H. N. [2021]. Trace analysis of heavy metals in groundwater and soil near coal-based thermal power plant Udupi, Karnataka. Journal of the University of Shanghai for Science and Technology, 23[2].
- 58. Singh, M. J., Somashekar, R. K., Prakash, K. L., &Shivanna, K. [2009]. Bacteriological assessment of groundwater in Arkavathi and Vrishabhavathi basins, Bangalore, Karnataka, *Journal of Ecology and the Natural Environment*, 1[6], 156-159.
- 59. Skariyachan, S., Mahajanakatti, A. B., Grandhi, N. J., Prasanna, A., Sen, B., Sharma, N., Vasist, K. S., Narayanappa, R. [2015]. Environmental monitoring of bacterial contamination and antibiotic resistance patterns of the fecal coliforms isolated from Cauvery River, a major drinking water source in Karnataka, India, Environmental Monitoring and Assessment, 187[5], 279.
- 60. Śliwa-Dominiak, J., &Tokarz-Deptuła, B. [2014]. Occurrence of F-Specific RNA Coliphages and Microbial Indicators in Municipal Lake Water, *Polish Journal of Environmental Studies*, 23, 467-473.
- 61. Sukmawati, N. M. H., Rusni, N. W., &Pratiwi, A. E. [2020]. Physical, Chemical, and Biological Water Quality Characteristics of Buyan Lake and Tamblingan Lake, *Warmadewa Medical Journal*, 5[1], 8-15.
- 62. Sule, P. I., &Ivoms, O. [2020]. Some Physicochemical Parameters of Keana Salt Lake and, Domestic Water Sources in the Salt Lake Community, Nasarawa State, Nigeria, *Science Journal of Analytical Chemistry*, 8[3],111.





Umadevi et al.,

- 63. Sumithraa, R., Vinoth, A., &Thirunavukkarasu, N. [2022]. Quality assessment of Physico-chemical parameters in Drinking Water at Pulicat Lake Fishermen Villages, *Ecology, Environment and Conservation*, 422-427.
- 64. Suresha, N. S., Smitha, N., & Shiva Kumar, D. [2023]. Study of influence of environmental variables on water quality parameters of Thippayya Lake of Mysore, India, *World Journal of Advanced Research and Reviews*, 17[1], 1218–1228.
- 65. Swetha, S., &Maddela, A. [2023]. Assessment of physico-chemical parameters of Manchippa Lake, Nizamabad District, Telangana, *International Journal of Scientific Research in Science and Technology*, 696-703.
- 66. Thirumala, S and B.R.Kiran. 2018. Studies on Physico-Chemical parameters of water samples in Shivamogga area, Karnataka. Research Review International Journal of Multidisciplinary Vol 3(8):85-88.
- 67. Tigga, T. M., & Pandey, V. K. [2023]. A Review on Physico-chemical Parameters of the Quality of Water, *International Journal for Multidisciplinary Research*, 5, 1.
- 68. Venkataramana, G. V., & Rani, P. Sandhya. [2023]. Heavy Metal Concentrations in Certain Edible Freshwater Fishes and Sediments from Kapila River in Mysore District, Karnataka, *Shanlax International Journal of Arts, Science and Humanities*, 10[4].
- 69. Yu, Liu, Chun Ou, Ning Zhang, &Xiaoli Wang. [2022]. Distribution feature of heavy metals in the system of sediment-submerged plant-water in Xuanwu Lake, *Water supply*, 22[5], 6821–6832.

Table 1: Water Quality Analysis of Avvanakeri and Sannakere lakes water

Table 1: Water Quality Analysis of Ayyanakeri and Sannakere lakes water					
Parameters	Ayyanakeri Lake	Standards	Parameters	Sannakere Lake	Standards
Color	198	300	Color	-	300
Temperature	28	20°C	Temperature	24.7	20°C
Odour	Objectionable	Unobjectionable	Odour	Objectionable	Unobjectionable
Taste	Disagreeable	-	Taste	Disagreeable	-
рН	7.70	6.5-8.5	рН	7.66	6.5-8.5
EC	2500	0-200	EC	2870	0-200
Turbidity	6.9	<10	Turbidity	6.6	<10
TDS	1452	50-250	TDS	80	100
Calcium as Ca	117.5	0-100	TDS	1683	50-250
Total Hardness	434.5	50-250	Total Alkalinity	746.2	-
Magnesium	34.2	30	Calcium as Ca	73.5	0-100
Oil & Grease	Nil	<10	Total Hardness	724.2	50-250
Sulphate as SO4	214.4	250	Magnesium	131.3	30
Chloride as CI	227.9	250	Oil & Grease	8	<10
Nitrate as NO3	3.0	<10	Sulphate as SO4	69.7	250
Fluoride as F	0.80	1.5	Chloride as Cl	546.9	250
Dissolved Oxygen	6.40	6	Nitrate	6.2	<10
COD	320	20	Fluoride	1.2	1.5
BOD for 3 days at 27°C	105	3	Dissolved Oxygen	3.6	6
Manganese	0.2	0.05	COD	12.8	20
Arsenic as As	< 0.003	0.05	BOD	4.2	3
Cadmium as Cd	<0.004	0.01	Manganese	0.09	0.05
Lead as Pb	< 0.003	0.1	Mercury as Hg	Nil	0.01
Nickel as Ni	0.5	-	Arsenic as As	<0.01	0.05
Chromium as Cr	<0.006	0.05	Cadmium as Cd	<0.001	0.01
Zinc as Zn	0.12	1.5	Hexavalent	BDL	0.05





Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

			Chromium		
Selenium as Se	<0.001	0.01	Lead as Pb	0.1	0.1
Boron as B	0.18	-	Nickel as Ni	0.5	-
Barium Ba	0.10	1.0	Copper as Cu	BDL	1.5
Silver as Ag	<0.001	0.05	Zinc as Zn	<0.002	1.5
Total Coliforms	221	-	Free Ammonia	BDL	=
E-Coli	18	50	Iron as Fe	0.24	0.3
			Phosphates	0.1	-
			Residual Chlorine	BDL	=
			Fecal Coliforms	78	100



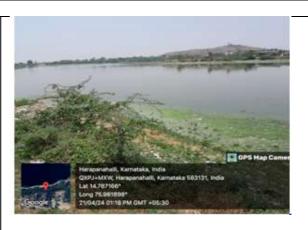


Figure 1: Study area map showing Bellary

Figure 2: Geographical Location of Ayyanakere lake, Harapanahalli, Karnataka



Figure 3: Geographical Location of Sannakere, Arasikere, Karnataka





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Plants and their Medicinal uses in Kitchen Gardens of Bhadravathi Town, Karnataka

Dharmendra*

Associate Professor, Department of Botany, Government Science College (Autonomous), Hassan, (Affiliated to Hassan University) Karnataka, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence **Dharmendra**

Associate Professor, Department of Botany, Government Science College (Autonomous), Hassan, (Affiliated to Hassan University) Karnataka, India.

Email: botanydharmesh@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This present communication explores the diversity and uses of medicinal plants growing in kitchen gardens of Bhadravthi Town, Karnataka. Information on medicinal uses of plants was collected through direct field surveys and interactive questionnaires with owners, traditional healers and knowledgeable people. A total of 43 species of medicinal plants belonging to 04 habits from 30 different families have been recorded and are used in medicine for various ailments in humans and animals. The study shows that the region is very rich in traditional knowledge and kitchen gardens are endemic to a wide variety of medicinal plants, which provides practical strategies to promote cultivation and conservation of a range of medicinal plants in kitchen gardens. The uses of medicinal plants in Bhadravthi town are discussed in this article.

Keywords: Medicinal uses, Kitchen garden plants, Bhadravathi town

INTRODUCTION

Plants are used as medicine. Hundreds of plant species are recognised for their therapeutic values and used to treat various diseases. People living in remote areas primarily depend on herbal and indigenous healthcare systems of medicines due to Limited access of modern healthcare facilities and their expensive nature. Indian systems of medicine depend on plant materials or their derivatives for treatment of human ailments (Prajapati et al., 2003). About 12.5% of total 4,22, 000 plant species documented worldwide is reported (Schippmann et al., 2002). However, in modern medicine around the quarter of the drugs prescribed to patients are derived from medicinal plants and they





Dharmendra

are rigorously tested. The World Health Organization, estimates some two billion people are largely reliant on medicinal plants. The use of plant, based materials including herbal or natural health products which suppose health benefits, is increasing in developed countries (Gershenzon and Ullah, 2022). Herbal medicines have been in use long ago and they effects the health and boosting the immunity. Phyto-chemicals have pharmacological activity in medicinal plant for their use in modern medicine,. For instances, the bark of cinchona tree contains quinine, licenced for use against malaria. Several studies have been undertaken on the role of kitchen garden as main source of medicinal plants in different parts of the world. Kitchen gardens are nothing but a separate space in the home gardens devoted to grow specific plants of purely functional. Kitchen garden is also a delightful hobby which ensures an inexpensive, regular and handy supply of fresh vegetables and other medicinal Herbs. Hence, it has been practiced by Urban population also. Moreover, the conservation and sustainable utilisation of medicinal plants are important for better management of valuable resources. Therefore, an attempt has been made to document, diversity and uses of medicinal plants grown in the kitchen Gardens of Bhadravathi town.

The main objectives of the present study is to survey the medicinal plants, their uses, cultivation methods and need for kitchen gardening in present days of Bhadravathi town of Karnataka.

MATERIALS AND METHODS

The study was conducted in the selected kitchen gardens of 5 different localities of Bhadravathi town (Figure 1) namely Siddharoodha nagara, Hosamane, Jannapura, Huttha colony & Paper town.

Sampling Method

27 Kitchen gardens were selected randomly for the present survey. Information of medicinal use was collected during 2 weeks before the survey by interviewing the house owners through interactive questionnaires focusing on local names, parts used and modes of preparation, use and maintenance. Plant species were identified on the basis of vernacular names, floras, and relevant references (Purabhisikia and Mohammed Latif Khan,2011). On the basis of their growth habit and utilization pattern as provided by the informants, medicinally important plant species were categorized into three different categories such as species cultivated exclusively for medicine, species cultivated for medicinal and household purposes.

RESULTS

The results are depicted in Tables 1-2 and Figure 2-5. In the present study, out of 43 species encountered and have different medicinal utilities. These belong to 31 families which were used in preparation of medical remedies against different ailments of both human being and their livestock. Most commonly represented families of medicinal plants of which Lamiaceae contributed the highest number of exotic species. The medicinal plants cover a wide range of higher plants belonging to 14 herbs, 12 trees, 14 shrubs. Out of the total medicinal flora of the studies kitchen gardens, 23 species were cultivated and rest 21 species were spontaneously grown weed. Among these, 10 species were cultivated solely for medicinal purpose, species 13 cultivated primarily for other household utilities as well as for medicinal utilities and remaining some species were weedy in nature. Though few of the medicinal plants were common in majority of home garden, variation in medicinal plants occurrence were also observed in the studied home gardens. Majority of plants are used for external application and oral consumption (Figure 3). Medicinal plants are mainly using different plant parts and extracts for primary treatment of large number of diseases like pain, cough, cold, fever, jaundice, allergies, dysentery and diarrhoea. Most commonly used plant parts are leaf, young shoot, stem, bark, fruit, flower, seed, root and rhizome. Among all the plant parts, are most frequently utilized one is leaf. Different plant parts in various formulations such as decoctions, infusions, fresh extract, juice or paste are used to get relief from various ailments. Additive like honey and milk are also added in some formulations. Mode of medical administration for different ailments is also different and includes inhalation, instillation and chewing, oral absorption, applying and rubbing. Most of the remedies are administered orally and externally by applying or





Dharmendra

rubbing. Maximum gastro-intestinal, respiratory tract infection, kidney and urinary remedies are used orally while dermatological remedies are applied topically. Most of the species were found having more than a single therapeutic use. Few medicines are prepared only from single plant species and some others are prepared from mixtures of two or more different plants details of the different medicinal remedies of common plants documented from home gardens are enumerated in table.

Centella asiatica-: Memory enhancer, Has powerful antioxidants, Prevent anxiety and stress, Lowers Blood Pressure level. Azadirachta indica- Malaria, indigestion, Intestinal worm, menstrual pain, Measles, Burning, Snake bite, Skin disorder, Tooth infection. Citrus sp- Black spot in face, Indigestion, Treat Scurvy, Sore throat, Rheumetism, High Blood Pressure. Curcuma longa - Anaemia, Antiseptic, Pain, Cuts and wounds - Hibiscus rosa-sinensis-: Hairfall, Burning, Skin afflictions, Dry cough Paste is applied for both hairfall and burning. Mentha sps- Gastric, Acidity.

Ocimum sanctum-: Cough, Asthma. Momordicacharantia- Intestinal worm, Malaria. Leucas aspera-Snake bite, Intestinal worm, Antifungal, Cold, Antioxidant, Antipyretic, Antibacterial. Herb has the ability to help reduce fever, Juice of the flowers is used to treat intestinal worm and infections in children, Leaf is boiled with water and the water is given for cold. Carica papaya- Dengue, Malaria, Abortifacient, Purgative, Asthma. Piper nigrum- Insomania, Oral abscesses, Sunburn, Tooth ache, Cold and fever. Zingiber officinale- Antihelimentic, Antibacterial, Anti inflammatory, Used for muscle pain, curbs, cancer growth, Lowers Blood sugar, eases menstrual cramps, Lowers cholesterol, relieves indigestion, Nausea, Arthritis. Murraya koenigii- Used as herb in ayurvedic and sidda medicine because of anti disease property, helps in treatment of dysentery, diarrohea, morning sickness and nausea. It is rich source of vitamin A,B,C and Calcium, Iron etc.

Amaranthus tricolor-Treat infalmmatioin, used as diuretic, Antimicrobial, Antidiabetic, Gastroprotective, Antioxidant, Cardio protective, Anti malarial. *Cyanodon dactylon*-Anasarca, Cancer, Convolutions, Cramps, Diarrhea, Dropsy, Epilepsy, Headache, Haemorrhage, Hypertension, Hysteria, Measles and Rubella. *Basella alba*- Gastro protective activity, ulcer healing, anti-inflamatory, boosts libido in males, safe laxative in pregnant women and children, healthy eyesight, Prevent diabetes.

Moringa oleifera-Anti-tumor, Anti Pyretic, Anti-pyleptic, Anti inflammatory, Anti ulcer, Anti spasmodic, Diuretic, Anti-Hypertensive, Lowers cholesterol, Anti-oxidant, Anti diabetic, Mal-nutrtion relief. Piper betle- Diabetes, Lower cholesterol, Anti-microbial property, Asthma, Improve oral health, Protect gastric system, Helps depression, Heals wound, Increase appetite, Dry cough. Colocasia esculenta- Controls Blood sugar, Improves digestive health, Healthy heart, Improves vision, Skin health, Weight loss, Reduce fatigue, Improves blood circulation, Boosts immune system. Mimosa pudica- Used for prolonged bleeding, Fasten wound healing, Diarrhoea, Amoebic dysentery, Piles, Gynocological disorders, Skin diseases, Bronchitis,

Calotropis gigantean- Bacterial infections, lever and spleen diseases, Anti-Cancer Properties, Anti dot for snake bite, Arthritis. Used to treat fever, Elepahantiasis, Nausea, Vomiting, Diarrhea, Skin, digestive, respiratory, circulatory and Neurological disorders. Nyctanthes-arbor-tritis- Leaves have been used in ayurvedic medicine and Homeopathy for sciatica, Arthritis, Fevers, Malaria, Chicken gunya, Dengue, Anti allergic, Anti-viral, Anti-bacterial, Treat cough, Prevent radicle damage to body, sedative effect, Anti leishmanial activity, Anti-cancer. Vinca rosea (Catharanthus roseus)-Anti- Cancer, Diabetis, High blood pressure, Stroke. Vinblastin and Vincristin are used in treatment of Leukamia and Hodgekin's Lymphoma

Phyllanthusniruri- To treat urinary tract stones, Ulcers, Anti- Diabetic, Wound healing, Immuno modulatory activity, Anti oxidant, Anti inffalmmatory, Hepato protective. *Phyllanthus emblica*- It is rich in Vitamin C and Anti-Oxidants, enhances gastro intestinal activity, Fortifies lever, Nourishes brain, Strengh then lungs and eyes, Enhances fertility, Good for Heart and urinary system, Promotes good skin and healthy hair. *Impatiens balsamina*- Rheumatism, Fractures and other ailments. Juice from leaves is used to treat warts and snake bite, flower is applied to burns, Constipation, Gastritis, Hair growth.





Dharmendra

Tinospora cordifolia- Anti-diabetic, Fever, Jaundice, Chronic diarrhea, Cancer, Dysentery, Bone fracture, Pain, Asthma, Skin disease, Poisonous insect and snake bite, Eye sight, Aphrodisiac. *Clitoria ternatea-* Memory enhancer, Nootropic, Anti-Stress, Anxiolytic, AntiDepressant, Anti-convulsent, Tranquilising, Sedative agent

Psidium guajava- Diarrhea, Dysentery, Gastro enteritis, Hyper-tension, Diabetes, carries Pain relief, Cough, Oral ulcers, Lever damage, Inflammation, Hair lice. *Oxalis acetosella-*Anti-inflammatory, Diuretic, Anti-pyretic, Used to treat snake bite and applied to abscess, Cough, Diabetes, Bronchitis, Psychiatric disease, Eye disease, Skin disease.

Punicagranatum- High blood pressure, Athletic performancy, Heart disease, Diabetes, Anti-cancer, Alzheimers disease protection, Digestion, Anti-oxidant, Antiinflammatory, Arthritis. *Aegle marmelous*- Anti-diarrheal, Anti-microbial, Anti-viral, Radio protective, Anticancer, Chemo preventive, Anti-Pyretic, Ulcer healing, Antigenotoxic, Diuretic, Anti-fertility, Anti-inflammatory. *Coelus amboinicus*-Cold, Asthma, Constipation, Cough, Head ache, Fever, Inflammation and Skin disease. *Mirabilis jalapa*- Diuretic, Pergative, Aphrodisiac, Vulnerary, Treatment of Dropsy, Reduce inflammation.

Solanum nigrum-Ulcer, Skin disease, Asthma, Whooping cough, Analgesic, Sedative, Stomach complaints, Fever, Tuberculosis, Gastric ulcers, Anti-inflammatory, Anticarcinogenic. *Aloe vera-*Enhances skin health, Treats acne, Inflammation, Constipation, Immune booster, Cures gum diseases, Lower high cholesterol, Stabilizes blood sugar, Regulate weight and energy levels, Reduces heart attack, Treats stretch marks and sun burn, Anti-aging, Promotes hair health, Reduces menstrual pain

Euphorbia hirta- Used to treat breathing disorders including Asthma, Bronchitis, Chest congestion, Nose and throat muscilage, Throat spasms, Hay fever and tumor, Diarrhoea, Menstrual cramps, Urinal blockage. *Alternanthera sessilis*-Diuretic, Cooling, Disuria, Haemorrhoides, Good for eyes, Anti-Hypertensive, Anti-asthamatic, Anti-spasmodic Preparation and mode of use: Orally used as tonic

Acalypha indica-Jaundice, To reduce Phlegm and in treatment of cough, Asthma, Breathing problems, Constipation. It is Anti-heliminthic, Anti-inflammatory, Antibacterial, Anti-cancer, Anti-diabetic, Anti-Hyperlipidemic, Anti-obesity, Anti-venom, Hepato protective, Hypoxia and wound healing, Skin problems. *Santalum album* -Treats common cold, Bronchitis, Skin disorders, Heart ailments, General weakness, Fever, Infection of urinary tract, Inflammation of mouth and pharynx, Lever and Gall bladder compliants and other maladies Preparation and mode of use: Oil is used externally

*Musa sps-*Increases digestion capacity, Prevent anaemia, Reduce menstrual pains, Prevent ulcers, Provides energy, Helps bones to grow stronger, Regulate bowel system, Kidney stones, Heart diseases, Neural disorders, Weight loss, Detoxification . *Tectona grandis*-Inflammation, Pain relief, Poisoning and burning, Increases blood, Hair enhancer, Treats itchiness, Biliary disorders, Urino-genital disorders, Diuretics, Cough, Fever . *Mangifera indica*-Regulates diabetes, Lower blood pressure and risk of Hypertension, Dissolve gall and kidney stones, Treats restlessness, Respiratory problems, Dysentry, Hiccups, Burns, Various stomach ailments, Ear aches, Anti-cancer, Stomach ulcer, Healthy skin, Nausea, Bleeding nose, Anti-fungal, Anti-bacterial, Anti-helimenthic

Bacopamonnieri– entire plant is used in insanity, epilepsy, pox, improves intelligence, fever, cough and inflammations.

DISCUSSION

India has a special position in the world due to its capability in producing most of the important plants used both in modern as well as traditional systems of medicine. Medicinal plants can be valued not only for their short term economic benefits but also for cultural richness and the sustenance that they offer to large number of households. The





Dharmendra

present study indicates that home gardens of Bhadravathi harbour a great diversity of medicinal plants with 23 cultivated species and 21 weedy growths. Here, the weedy grown plants are almost equal to the cultivated plants. This is mainly due to owners growing interest towards daily household needs and other commercial cash crops as food supply economic enlistment is the primary aim of the home garden owners'. The present study reported 43 medicinal species present in 27 home gardens. Moreover, the percentage of indigenous medicinal flora is higher than the exotic flora in the region home garden is maintained on the basis of owners' needs and interest. In the present study, we recorded leaf as the most frequently utilized plant parts followed by fruits. The leaf is used for 41 medical remedies as the most frequently utilized plant parts followed by the root used for 34 medical remedies, fruit used for 21 medical remedies and flower is used for 23 remedies. The leaf decoction of Catharanthus roseus is used against diabetes, whereas, it is also used it as anti-carcinogenic agent. In some remedies, two or more plants or plant parts are used jointly in the present report. This may be due to either synergistic or additive effects of the is constituents that have been observed over the years. The predominance of remedies for gastrointestinal disorders by oral absorption and dermatological infections by external use agrees with data from other regions of world. Thus, the present study validates that plants and plant extracts used by Bhadravathi people have promising therapeutic properties and the active ingredients of these plants may be further characterized and tested for their safety and efficacy to uncover their therapeutic potential. Although, a few cultivated medicinal species like Psidium quajava, Murraya koenigii, Moringa oleifera, Papaya etc. were found in more than 50% studied home gardens. Most of the species were found only in one to two studied home gardens and require intensive care and habitat management for further existence. It is necessary to find out suitable ways for effective domestic and commercial utilization of medicinal species to ensure their sustainability. Cultivation of medicinal plants is safe both economically and ecologically. Therefore, cultivation is suggested as an alternative way for conservation and management of the medicinal plant species and also to fulfil the market their role as a main source of medicinal plants in demand and local needs. Studies on ecological conditions required for natural regeneration and habitat management is another prerequisite to conserve these resources.

MEDICINAL USE

People of Bhadravathi city area are mainly using different plant parts and extracts for primary treatment of a large number of diseases like pain, cold, cough, fever, jaundice, dysentery and diarrhoea. Most commonly used plant parts are leaf, young shoot, stem, bark, fruit, flower, seed, root and rhizome. Among all the plant parts, most frequently utilized one is leaf followed by fruit. Different plant parts in various formulations such as decoction, infusion, fresh with medicinal and other multifarious utilities. The extract or paste is used to get relief from various ailments. Additives like honey and milk are also added in some formulations. Mode of medical administration for different ailments is also different and includes inhalation, instillation, chewing, oral absorption, applying and rubbing Most of the remedies are administered orally and externally by applying or rubbing. Maximum gastro-intestinal and kidney and urinary remedies are used orally and dermatological and snakebite remedies are used externally. Highest number of species is used in different formulation to treat gastro intestinal ailments (gastric, indigestion, constipation, diarrhoea, dysentery, intestinal worm, stomach ache, and other stomach problem) while some species is used in respiratory tract infections (fever, headache, asthma, cold and cough) and some species are used in kidney and urinary ailments (kidney stone, jaundice, diabetes, urinary disorder). Most of the species like Azadirachta indica, Curcuma longa, Ocimum sanctum were found having more than a single therapeutic use. For example, different plant parts of Azadirachta indica in different formulation are used in treating a range of diseases like intestinal worm, skin disorder, tooth infection, indigestion, malaria, menstrual pain, measles, burning and snakebite. A few medicines are prepared only from a single plant species and some others are prepared from mixtures of two or more different plants. For example, leaf extract of Centella asiatica is used against ear pain. On the other hand, leaf of Indica mixed with bark of chebula and fruit of Piper nigrum are pounded to extract the juice and used for the treatment of menstrual pain.

Cultivation of Kitchen garden

A balcony or terrace with 6-7 hours of sunlight is suitable for this. Remove any rubbish or weeds and till the garden well. After that, improve the soil with compost or cow manure before planting. Recommend growing the seeds in pots filled with soil and transplanting them into the garden after a few weeks. Some plants are better planted





Dharmendra

indoors, while others can be sown directly outdoors. Water the garden thoroughly twice a week, but reduce watering in winter. If have potted plants, check the water level by poking the soil with finger. If it looks dry, water generously. Provide supports or trellises for climbing plants such as cucumbers, tomatoes, beans, and squash, as many of them are vines. Also try vertical gardening by using planters on walls and railings. To avoid competition between plants for nutrients and moisture, always maintain a distance of 45-90 cm between rows or plant the plants in individual. containers. Practice intercropping by growing some plants together as companion plants. Raised beds are a viable option for creating vegetable gardens because they are easy to maintain.

CONCLUSION

The knowledge and use of medicinal plants is still very much alive among people. The present study indicates that the home gardens harbour a high diversity of medicinal plants. Despite gradual socio-cultural transformation, people still possess of curative properties of different plants and their formulations. It is very essential to compile and document the available knowledge of our rapidly eroding valuable plant resources and to prove their curative properties through detailed phytochemical, biological and pharmacological investigations. Validation and commercialization through wide cultivation of these medicinal plant resources can also provide subsistence and livelihood to the farmer as well as conservation of these rich genetic resources.

REFERENCES

- 1. Gershenzon J, Ullah C (January 2022). "Plants protect themselves from herbivores by optimizing the distribution of chemical defenses". *Proc Natl Acad Sci USA*. **119** (4). Bibcode:2022PNAS..11920277G. doi:10.1073/pnas.2120277119. PMC 8794845. PMID 35084361.
- 2. Prajapati ND, Purohit SS, Sharma AK, Kumar T: species like *A. malaccensis, T. chebula, P. guyava, A Handbook of Medicinal Plants.* Agribios, India;
- 3. Schippmann U, Leaman DJ, Cunnningham AB:2002. Impact of cultivation and gathering of medicinal plants on biodiversity: global trends and issues. In *Biodiversity & the Ecosystem Approach in Agriculture*. Forestry and Fisheries, FAO, Italy; 2002:1-21.
- 4. Purabi Saikia and Mohammed Latif Khan.2011. Diversity of medicinal plants and their uses in homegardens of upper Assam, Northeast India. Asian Journal of Pharmaceutical and Biological Research 1:296-309.
- 5. Pandey B.P.Taxonomy of Angiosperms, S Chand publication.
- Veronica Caballero-Serrano, Brian McLarenb, Juan carlos Carrasco c, Josu G. Alday d, Luis Fiallos e, Javier Amigo f, Miren Onaindia .2019. Traditional ecological knowledge and medicinal plant diversity in Ecuadorian Amazon home gardens. Global Ecology and Conservation. Volume 17, January 2019,

Table 1: Occurrence of Plants in number of houses of Bhadravathi taluk

SI.No.	Plant Name	Number of houses
1.	Curcuma longa	6
2.	Zingiber officinale	7
3.	Murraya koenigii	22
4.	Carica papaya	17
5.	Amaranthus tricolor	3
6.	Cyanadon dactylon	20
7.	Basella alba	15
8.	Occimum sanctum	26





ISSN: 0976 - 0997

Dharmendra

		1
9.	Citrus limon	7
10.	Moringa olifera	16
11.	Azadirachta indica	7
12.	Mentha viridis	13
13.	Piper betle	11
14.	Hibiscus rosa-sinensis	25
15.	Leucasaspera	12
16.	Colacasiaesculanta	3
17.	Bacopamonnieri	6
18.	Mimosa pudica	25
19.	Calotropis gigantean	3
20.	Nyctanthes arbor-tristis	2
21.	Vinca rosea	17
22.	Phyllanthus niruri	5
23.	Phyllanthus emblica	4
24.	Impatiens balsamina	11
25.	Tinspora cordifolia	6
26.	Clitoria ternatea	10
27.	Momordica charantia	7
28.	Oxalis acetosella	15
29.	Psidium guajava	10
30.	Punica granatum	5
31.	Aegle marmelos	1
32.	Coelusamboinicus	8
33.	Mirabilis jalapa	1
34.	Solanum nigrum	14
35.	Aloe vera	15
36.	Euphorbia hirta	17
37.	Alternanthera sessilis	17
38.	Acalypha indica	16
39.	Piper nigrum	1
40.	Santalum album	2
41.	Musa sps.	16
42.	Tectona grandis	1
43.	Mangifera indica	9

Table 2: Family wise occurrence of plants in Kitchen gardens of Bhadravathi town

	<u> </u>	
SI.No.	Family Name	Number of Plants
1.	Lamiaceae	4
2.	Rutaceae	3





Dharmendra

3.	Zingiberaceae	2
4.	Amaranthaceae	2
5.	Fabaceae	2
6.	Apocyanaceae	1
7.	Asclepiadaceae	1
8.	Euphorbiaceae	4
9.	Cariaceae	1
10.	Poaceae	1
11.	Basellaceae	1
12.	Moringaceae	1
13.	Meliaceae	1
14.	Piperaceae	2
15.	Malvaceae	1
16.	Araceae	1
17.	Scrophulariaceae	1
18.	Oleiaceae	1
19.	Balsaminaceae	1
20.	Menispermaceae	1
21.	Cucurbittaceae	1
22.	Oxalidaceae	1
23.	Myrtaceae	1
24.	Lythraceae	1
25.	Nyctaginaceae	1
26.	Solanaceae	1
27.	Asphordilaceae	1
28.	Santalaceae	1
29.	Musaceae	1
30.	Anacardiaceae	1
31.	Verbenaceae	1



Figure 1: Study area map of Bhadravathi town

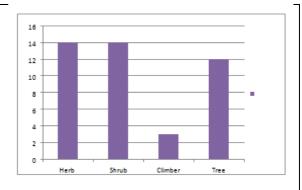
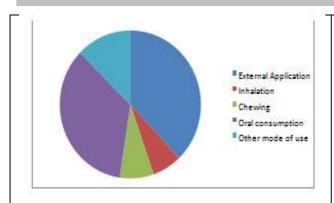


Figure 2: Habit of plants in Kitchen gardens of Bhadravathi town





Dharmendra



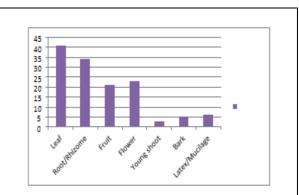


Figure 3: Mode of application of plants used in Bhadravathi town

Figure 4: Plant parts used for various ailments in Bhadravathi town



Figure 5: Plants of Kitchen gardens of Bhadravathi town





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Institutional Credit to Agriculture in Karanataka: A Review

Hanumanthappa.H*

Assistant Professor, Department of Economics, Government First Grade College, Bapujinagara-577201, Shivamogga, Karnataka, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence Hanumanthappa.H

Assistant Professor, Department of Economics, Government First Grade College, Bapujinagara-577201, Shivamogga, Karnataka, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Institutional credit to Agriculture in Karnataka is reviewed based on published literature as worked out by various researchers. The agricultural sector in Karnataka has benefited greatly from institutional credit flow, which has increased significantly. The effective interest rate has been reduced to 3% per year to the government's interest subsidy program for farmers who pay back their short-term crop loans on time. The commitment of commercial banks to the agrarian acknowledge is most elevated for 70% followed by Co-operative banks and RRB. The effectiveness of the credit can be seen in the higher credit-to-total GDP and agricultural GDP ratios. Linear regression was used to look at the factors that affect the growth of total agricultural output. It was found that credit has a significant relationship with agricultural output at a probability of 10%. All of the variables, with the exception of pesticide consumption, have an effect on agriculture credit, according to the analysis of the determinants of the supply of institutional credit. Credit flow is influenced the most by gross cropped area, followed by GDP and gross irrigated area. To modernize their farming operations, the farmers heavily rely on credit systems. The findings demonstrated that formal farm credit has a significant impact on modern farm inputs. One notable outcome was that farmers were able to acquire inputs like high-quality seeds, fertilizers, pesticides, and farm machinery thanks to their increased credit capacity. Overall, the agricultural sector's growth and development depend on the flow of institutional credit.

Keywords: Agriculture, institutional credit, NABARD, KSFC, Production function, Self help group, Karnataka.





Hanumanthappa

INTRODUCTION

The first co-operative society of our country was registered in 1905 at Kanaginahal village of Gadag district in Karnataka.(Annual Report for 2009-2010). The Mysore Co-operative Societies Act 1959 is the first legislation in our sate pertaining to the co-operative societies and has come into being from 25-05-1960. Karnataka occupies third position in the economic condition of people involved and has spread to almost all sectors of economic activities, both in rural and urban areas. Karnataka is the first state where in Agricultural loans through co operatives are available at 3% which is lowest in the country. This facility is also extended to the loans borrowed by weavers and fishermen (Ibid). Since the implementation of the recommendation of Prof Vaidyanathan Committee through MOU with Government of India, NABARD and state Government in March 2008, the role of the state Government in respect of Agricultural credit structure Institutions has been metamorphosed from the role of regulator, supervisor to the role of being a Friend, Philosopher and Guide. Al statutes, circulars, orders which were coming in the way of day to day internal affairs of the institutions have been withdrawn. Karnataka has a good banking network system which is spread across all the rural areas. There are 27 public sector banks, over 16 private sector banks besides 6 Regional Rural Banks operating in the State. 65% of the total banking business turnover in the State is concentrated in 7 major banks having lead responsibilities in the State. A few new generation banks such as IDRI Bank, Axis Bank, ICICI Bank, Indus Bank, Kotak Mahindra Bank, etc., are also in the banking arena in the State catering to the credit needs of people. The rural credit dispensation in the State takes place through co-operatives, commercial banks and Regional Rural Banks., Of the two major state run lending institutions, the Karnataka State Financial Corporation (KSFC) supports industry and service sectors, the Karnataka State Industrial Investment and Development Corporation (KSIIDC) undertakes promotion and development of medium and large scale industries in the State and acts as a nodal agency to formulate proposals for implementation of infrastructure projects.

The National Bank for Agriculture and Rural Development (NABARD) as an apex level institution prepares the potential Linked Credit Plans (PLPs) every year. Annul action Plans at district level are based on the PLPs prepared by NABARD. 1 Apart from this, NABARD also bring out the State Focus Paper, which covers among other things agriculture and rural economy of the state, performance of rural credit delivery system, policy initiatives of Union and State Governments and NABARD's involvement in supporting credit, developmental arnd supervisory functions. The State Focus paper is discussed at length in a meeting of Secretaries of various Government departments specially convened for the purpose before pronouncing the credit policy initiatives for the State. NABARD has opened district level offices for better credit planning and monitoring, improving the financial health of rural credit institutions, by creating opportunity for the rural poor to have access to institutional credit through innovations in micro finance like promotion of Self Help Groups (SHGs) and development of rural non-farm sector etc., establishing separate fund for specific activities like promoting infrastructure in rural areas and conservation of scarce land and water resources through watershed management. NABARD is also vested with the power to review Service Area Monitoring and Information System (SAMIS). The working group constituted with the representatives of RBI, NABARD, IBA and nine Commercial banks reviews all aspects of existing SAMIS, constrains in its stabilization and recommends changes to address deficiencies in the system and taken care of future needs of the banking industry. As at the end of March 2009, the total number of bank branches was 5504.

There were 755 bank branches at the time of nationalization in 1969. Since then 4816 bank branches have been added till the end of June 2009, taking total number of bank branches to 5571. out of which 3390 bank branches are located in rural and semi urban areas. At present, the share of rural bank branches in Karnataka Stands at 39.74% as against 25% at the time of nationalization. The per branch population in the state stood at 9593 as at March 2009. The aggregate outstanding deposits of commercial Banks (including RRBs) Stood at Rs. 256709 crore as at the end of March 2009 as against Rs. 210349 crore recorded a year ago. Growth in deposits during the year was 22.04% up to the end of March 2009. As at March 2009, the total outstanding advances of commercial Banks including RRBs in the state stood at Rs. 196719 crore as against the level of advances of Rs. 164110 crore recorded a year ago indicating a growth rate of 19.87%. The credit-deposit ratio (C-D ratio) of the state as of June 2009 was 75.22% vis-a-vis 79.45% as





Hanumanthappa

of June 2008 showing a decline of 4.23%. The performance of commercial banks in Karnataka from 2006-07 to 2008-09 is given in Table 2 Domestic banks are required to provide 40 percent of their net bank credit to the Priority sector. The Priority sector advances of all bank groups in the State amounted to Rs. 71810 crore in March 2009 contributing to 45.80% in total advances made by them, thus exceeding the norms fixed by the RBI. Direct advances to agricultural sector by Scheduled Commercial Banks (SCBs) in March 2009 stood at Rs. 29196 crore as against Rs. 25817 crore recorded in March 2008 contributing to 18,79% of total advances made by SCBs. Weaker section advances stood at Rs. 16103 crore. The advances paid to Minority communities amounted to Rs. 6489 crore, marking an increase of Rs. 1473 crores against the advances paid during the last year as on March 2008. Details of advances to priority sectors by commercial banks in Karnataka from 2006-07 to 2008-09 is given in Table.3. Credit disbursed by banks to MSME sectors in the state in March 2009 increased to Rs. 16920 crore from Rs. 13974 crore recorded in the previous year. Advances to SC/STs accelerated to Rs. 5315 crore in March 2009 as against Rs. S030 crore recorded in March 2008. Similarly, advances to women stood at Rs. 13466 crore in March 2009 as against Rs. 11512 crore made in the corresponding period of 2008 recording a good growth during the period.

Data collection

Secondary data is collected related to the status, issues and future agenda of institutional Agricultural credit in Karnataka by referring books, journals, monographs and conference/scientific papers. The data is compiled in the form of a review paper

Implementation of Rural Infrastructure Development Fund (RIDF) in Karnataka.

Government utilized institutional finance from National Bank for Agriculture and Rural Development (NABARD) under Rural Infrastructure Development Fund Scheme for financing various developmental programmes in the state to supplement plan financing. In order to select and priorities the works for loan assistance from NABARD a Cabinet Sub-Committee on RIDF has been constituted under the chairmanship of the Hon'ble Minister for Public Works. A High Power Committee (HPC) has also been constituted chaired by the development Commissioner for reviewing the implementation of RIDF projects in the State. The progress in the implementation of the scheme is also being monitored by NABARD from time to time. Government of India, in 1995 announced the scheme for setting up of Rural Infrastructure Development Fund (RIDF) within the apex institution, NABARD for financing rural infrastructure projects. Domestic Scheduled Commercial Banks, both in the public and private sectors which are unable to meet their targets for priority sector/agricultural lending are required to deposit the shortfall amount in to the RIDF with NABARD such amounts as may be allocated to them by the Reserve Bank, depending upon the extent of their shortfall, subject to a ceiling of 1.5%. The benefits accrued from RIDF programme are unlocking of sunk investments already made by State Governments, creation of additional irrigation potential, generation of additional employment, all-weather connectivity/ improved connectivity to villages and marketing centres and improvements in the quality of life through facilities in education, health and drinking water supply. The initial corpus fund was Rs. 2000 crore in 1995-96 and this fund has since been magnified with an additional corpus being announced every year in the Union Budget and has reached Rs. 88,359 crore from transches I to XIV. Karnataka got the allocation of Rs. 728 crore from implementing works under RIDF-XV. The rate of interest on loans to State Governments in charged at 6.5% from RIDF tranche VIII and onwards.

Programme status

In Karnataka, till 31-03-2009 an aggregate amount of Rs. 4910.41 crore has been sanctioned by the National Bank for Agriculture and Rural Development (NABARD) under various tranches of RIDF to the State Government so far since 1995. The completed projects include rural roads, rural bridges; minor irrigation project; rural godowns; rural markets: Anganawadi buildings, primary health centers and school class rooms. 12213 projects have been completed as against 23246 projects sanctioned so far. An amount of Rs.728 crores has been allocated by NABARD under RIDE-XV and proposals regarding school and college buildings, Rural Roads and Bridges, Anganawadi buildings, Minor Irrigation works, SC/ST and BCM Hostels, Rural Gowdons, Panchayat service centers etc., have been forwarded to NABARD for utilizing the same (Economic Survey of Karnataka).





Hanumanthappa

Integrated Rural Development Programme (IRDP)/ Swarna Jayanthi Grama Swarojgar Yojana (SGSY)

Various programmes such as IRDP, TRYSEM, DWCRA, Toolkits, GKY & MWS were merged to form a new scheme known as Swarna Jayanti Swarozgar Yojana (SGSY) that came into effect from 01-04-1999. Under this programme 10 percent of the funds are earmarked for training, I10 percent for revolving fund, 20 percent for infrastructure development and remaining 60 percent utilized as subsidy for taking economic activities with thrust on group activities. Eligible beneficiaries are identified by the Grama Panchayats with the approval of the Grama Sabha. The greater participation of the Grama Panchayats will enable the Implementing agencies to have greater flexibility in execution and to meet the needs of the local people. To tackle the problem of poverty, programmes have been formulated and implemented and the beneficiaries assisted to acquire productive assets. Self-employment programmes like the Swarna Jayanthi Grama Swarozgar Yojana are implemented in urban areas also. Under some of these programmes lower rates of interest are offered, subsidy provided and a longer time for repayment allowed. These are aimed at encouraging the unemployed to take up self employment. During 2008-09, 635 individual families and 5548 SHGs comprising of 88159 swarozgaries were assisted by providing loan of Rs. 2.16 and 186.08 crore and subsidy of Rs. 0.86 and Rs. 71.41 crore respectively. During 2009-10 disbursements to the tune of Rs. 5,44 and Rs. 175.75 crore of loan and Rs. 1.79 and 63.80 crore of subsidy to 1973 individual families and 5104 SHGs are anticipated. Sanctions and disbursements of loan and the subsidy availed under Swarna Jayanthi Grama Swarozgar Yojana (SGSY) for the period from 2006-07 to 2009-10.

Special Project

Each special scheme is a time bound one with the objective of lifting a definite number of families below the poverty line through self-employment programmes. Organizing the rural poor, providing infrastructure, technology, marketing facilities, training or through other activities, sustainable employment opportunities will be created. Twenty two proposals worth of Rs. 177.76 crore have been submitted to Government of India for sanction. Of which, two projects of Dakshina Kannada, Kolar, Mysore, Bellary, Dharwad and Bagalkote have been sanctioned and released Rs. 23.85 crore, out of which Central share is Rs. 17.94 crore and State share is Rs. 5.91 crore.

Micro Credit Delivery Innovations- Self Help Groups (SHGs)

Despite vast expansion of formal rural credit delivery system, and Implementation of series of anti poverty programmes, the majority of the rural Population still finds itself outside the credit delivery system and continues to depend on local money lenders. This is attributable to the high transaction costs and perceived risks. Self help Groups (SHGs) is the culmination of a pilot study undertakes by NABARD to address the credit needs of rural poor. The focus under SHG bank linkage programme is largely on those rural poor who have no sustained access to the formal banking system. Thus, Micro-finance started by NABARD in 1992 has made rapid strides in recent years. The programme, whose seeds were initially sown in Karnataka, has over the past decade seen many milestones in its progress with active involvement of Government agencies, Non-Governmental Organizations (NGOs) commercial banks and regional rural banks. In Karnataka, the State Government through its Women and Child Development Department is empowering rural women in Stree Shakti Programme.

Present Status of the Programme

Karnataka is the Leader State in using micro finance initiative as an effective strategy to address the credit needs of rural poor. By the end of March 2009 about 94795 SHGs were credit linked involving Bank Loan of Rs. 746.38 Crore during the year.*3

Suggestions for promotion and sustenance of SHGs in the State are

- Banks may continue the emphasis on repeat finance to meet growing needs of SHGs.
- Maintain good repayment culture in SHG portfolio.
- Identify, develop and support micro enterprise amongst SHGs members.
- Finance diversified activities from the present traditional farm based activities.
- Devise appropriate Insurance products for SHGs.
- Banks to train staff at various levels on promotion of SHGs on a continuous basis.





Hanumanthappa

Several districts of north Karnataka have scope for improvement in credit linkages programme.

Kissan Credit Card

Kissan Credit Card Scheme (KCC) introduced by the Government of India ne year 1998-99 aims at providing adequate and timely availability of credit for the comprehensive credit requirements of farmers under a single window, with flexible and simplified procedure adopting whole farm approach including short term and term credit needs and reasonable Component for consumption purpose. The scheme has made rapid progress with the banking system in the state. The banking system has been releasing crop loans through KCCs having recognized it as an accepted mechanism of delivering of credit to farmers. During 2008-09 a total number of 734759 Kissan Credit Cards have been issued by the banking system including Co-operative s in the State involving a credit limit of Rs. 6374.56 crore. This is in comparison to cards with a credit limit of Rs. 4412.91 crore deployed in the corresponding period a year ago. In the current fiscal 2009-10 up to the end of June a total number of 371885 cards with a credit limit of Rs. 2148.32 crore have been issued by Commercial Banks, Regional Rural Banks and co-operatives in the state bringing the outstandings to 2792189 cards with a credit limit of Rs.1 186S.43 crore. The scheme has also been tied up with Personal Accident Insurance scheme (PAIS) wherein card holders are insured up to Rs. 50,000/- at a nominal premium of Rs. 15 for three years.

Regional Rural Banks (RRBs)

The Regional Rural Banks (RRBs) have evolved into a major institution towards credit dispensation in rural areas over the years since their inception in 1975. RRBs being an integral segment of the banking system with focus on rural poor have also been subjected to various reform measures. As a result they have achieved considerable operational and financial stability in recent years. At the end of March 2009 there were 1177 bank branches of 6 Regional Rural Banks (RRBs) (after their amalgamation) spread over all their districts in the State. These Banks have mobilized Rs. 9428.86 crore of deposits at the end of March 2009 and advanced Rs. 8178.07 crore, resulting in a creditdeposit ratio of 86.73%. Priority sector advances made by these banks stood at Rs. 6941.03 crore as of June 2008 showing a growth of 11.48% Direct agricultural advances of Regional Rural Banks amounted to Rs. 5061.61 crore constituting 61.89% of total advances made by those RRBs. The State Government in accordance with the provisions of Section (0) of RRB Act 976 has contributed a sum of Rs. 195 lakhs being 15% contribution wands equity share to the 13 RRBs earlier, From time to time several actions were initiated by Govt. of India for enabling the RRBs to attain viability. Under this exercise State Government have infused financial support to these banks to the tune of Rs. 1753.52 lakh in March 2003 towards their re-capitalization in accordance with the policy decision of the Government of India, as part of reform measures to improve the performance of Regional Rural Banks in the State. The Credit Policy announced by the Reserve Bank of India in 2004 indicated that sponsor Banks in consultation with State Governments would initiate steps for amalgamation of RRBs sponsored by them. Accordingly, 13 RRBs in accordance with the policy decision taken by the Government of India to make these banks more vibrant. 4 RRBs sponsored by Canara Bank were amalgamated into one and renamed as Pragati Grameena Bank. Similarly, another 4 RRBs sponsored by Syndicate Bank were amalgamated and renamed as Karanataka Vikas Grameena Bank. The status position of other RRBs sponsored by the State Bank of Mysore, Corporation Bank, State Bank of India and Vijaya Bank remained unaltered. Now all the six RRBs in the State have attained viability.

State Term Lending Institutions

The Karnataka State Financial Corporation (KSFC) and the Karnataka State Industrial Investment and Development Corporation (KSIIDC) are the two major State term lending institutions engaged in the development of Small/medium enterprises and promotion/ development of medium and large scale industries in the State respectively. While KSFC Supports industry and service sectors, the KSIIDC undertakes promotion and development of medium and large scale industries in the State and acts as a nodal agency to formulate proposals for the implementation of infrastructure projects. Keeping in pace with the changed economic scenario, the KSFC has focused its attention on newer areas of financing such as schemes for financing construction activity, infrastructure development IT Parks, Tourism, Health care, Textiles, Insurance, Agro based industries and Food processing, Engineering etc., As at the end of March 2009 the assistance rendered by KSFC aggregated to Rs. 565.24 crore in





Hanumanthappa

sanction and Rs.383.92 crore in disbursements as against Rs. 368.15 crore in sanctions and Rs. 303.13 crore in disbursements made in March 2008, registering a growth in sanctions and disbursements. It is anticipated that sanctions and disbursements of the corporation would reach Rs. 570.00 crore and Rs. 430.00 crore respectively in 2009-10. There is no sanction made by KSIIDC in 2008-09. But, Rs. 7.53 crore disbursements were made by KSIDC. It is anticipated that the corporation would make disbursement of Rs. 15.09 crore in 2009-10 (Table-5).

Non-Performing Assets (NPA) in Banks

The Non-Performing Assets (NPA) in Banks in the State stood at Rs. 4627.01 crore in June 2009 as against Rs.4214.73 crore in March 2008. The NPAs of farm sector accounts for Rs. 802.01 crore in the State in end of June in the current year. There has been satisfactory growth in credit expansion in recent years, which is associated with greater efficiency and better management. At the same time excessive growth in credit without adequate safeguards could lead to some erosion in credit quality. Hence, balance has to be established between credit quality and associated risks, while allowing bank lending to contribute to higher growth. In view of the rapid growth in bank credit, there may be a need for strict management techniques for prudent evaluation of investment proposals.

Agricultural Loan waiver

Under Central Government Loan Waiver Scheme Agricultural loans have been waived in Karnataka as follows:

Interest Subsidy Scheme on Crop Loans to Farmers

Government of Karnataka has sanctioned a interest subsidy scheme on crop loans to farmers. As per the scheme the farmers availing crop loans through Public Sector Banks and Regional Rural Banks in Karnataka up to Rs.50000/- on or after 01.04.2009 and repaying the loans as per due date or earlier are eligible for interest subsidy of 4%. The farmers are facilitated to get crop loan at an interest rate of 3%. The State Government will release the interest subsidy to Public Sector Bank and Regional Rural Banks through State Level Bankers Committee, the Nodal Agency for implementing the schemes.

Interest Subsidy Scheme for Loans up to Rs.50000/- to Weavers and Fishermen @ 3% P/A.

Government of Karnataka accorded sanction for schemes of interest Subsidy for loans up to Rs.50,000/- availed by Weavers and Fishermen from nationalized Banks and Regional Rural Banks and repaid as per due date or earlier to enable them to get loan at an interest rate of 3% P/A. The scheme is applicable to the loans availed by Weavers and Fishermen with effect from 1.4.2009 and L1.2009 respectively during this Financial year.

Co-operative Credit

This section highlights the performance of Co-operative banks towards credit deployment and recovery aspects in the state from 2007-08 to 2009-10 and measures initiated to facilitate development and improve their various performance.

Primary Agricultural Credit Societies (PACS)

These credit institutions at the grass root level deal directly with individual borrowers and provide short, medium, and long term credit there are 4697 PACS functioning in the state. In addition to this, there are 21 District Cooperative Central Banks (DCCBs) with 596 bank branches. Karnataka State Cooperative Apex Bank, Karnataka State Cooperative Agricultural and Rural Development Bank (KASCARD) at the state level and Primary Cooperative Agricultural and Rural Development Bank at Taluk level numbering 177 cater to the long term credit needs in the two-tier credit delivery system. The National Bank for Agriculture and Rural Development (NABARD) provides refinance to the Apex Bank and KASCARD Bank As on 31.03.2009, the short term (ST), Medium term(MT), and Long term(LT) loans issued by the Cooperative Credit System in the State was Rs.3290.68 crore, Rs. 114.41 Crore and Rs. 171.89 crore respectively as against Rs 2849.50 crore (ST), Rs.98.54 crore (MT) and Rs.210.43 crore (LT) issued a year ago. While disbursement in Long term (LT) loans indicated a declining trend, there was a marked improvement in the issue of Short term (ST) and Medium term (MT) loans. In the Current fiscal up to the end of Nov 2009, the Cooperative credit System issued ST, MT and LT loans to the extent of Rs.2229.19 crore, Rs.75.62 crore and Rs.48.52





Hanumanthappa

crore respectively. (Table .6). The Comparative study of Short. Medium and Long term loans were presented in Table 7. As on 30th June 2009, the recovery percentage under short term loans recorded 76.50 as against 68.15 recorded during the year 2007-08. The recovery made under Medium Term and Long Term Loans stood at 65.95 percent as against 42.28 percent and 43.50 percent as against 38.65 percent respectively in 2007-08.

Development Action Plans of DCC Banks and Memoranda of Understanding

DCC Banks and Karnataka State Co operative Apex Bank have prepared Development Action Plan (DAP) to strengthen their financial and organization set up accordance with NABARD. On the basis of this, DAP has been prepared. Memorandum of understanding (MoU) for strengthening the co operative credit structure has been signed by NABARD, State Government and Apex Bank in June 1995. The MOU has been extended for a period of three years from 2000. Similar MoU has been prepared and signed by all the DCC Banks for the years from 2004-05 to 2010-11. These action plans are in operation. The position of working funds of DCC banks was Rs.8701.74 crore as at the end of March 2008. The Annual target for the year 2008-09 is Rs.9611.58 crore and the achievement as at the end of March 2009 is Rs.10174.03 crore. (a growth of 15.16% over the previous year). Deposits in DCC banks, which were Rs. 4639.00 crore as on 31.3.2008 increased to Rs.5237.61 crore by 31.3.2009 (a growth of 12.90%). Of the 21 DCC Banks 18 Banks posted profit during the year 2008-09, 3 Banks continued to suffer accumulated loss.

Business Development Plans (BDP) for Primary Cooperative Agricultural Credit Societies

Business Development Plans (BDP) are being implemented in Primary Credit Cooperative Societies from 1995-96. 4374 societies have been brought under this programme by the end of March 2009. During 2008-09, 4374 societies achieved a business turnover of Rs.4339.74 crore as against the target of Rs.5057.68 crore. Government have sanctioned a sum of Rs.324.50 lakh as grant to 651 societies (including the Integrated Cooperative Development Project) so far for the creation of basic infrastructure facilities such as opening of banking counters and cash chests. In addition to this, the Apex Bank and DCC Banks have sanctioned a sum of Rs.338.50 lakh to 676 societies and Rs.3 79.91 lakh to 823 societies respectively for the above purpose since 1995-96 till date. The deposits mobilised by the PACS as at the end of March 209 was Rs. 1472.75 crore. Working capital fund of these 4374 societies brought under BDP was Rs, 4635.29 crore in 2008-09 and now it is projected to increase it to Rs.6161.60 crore during the year 2009-10. The business credit and non-credit (retail business etc.,) done during 2008-09 by these societies was Rs. 3773.99 crore and Rs.565.75 crore respectively.

Development Action Plan of Karnataka State Co-Operative Agricultural and Rural Development Bank and Primary Co-Operative Agricultural and Rural Development Banks.

177 PCARD Banks working in the state had a paid-up share capital of Rs.107.01 crore at the end of 2008-09. Target fixed for the year 2008-09 for advancing agricultural loans is Rs. 250.00 crore against which they have advanced Rs. 177.65 crore by the end of March 2009 of which Rs. 87.90 crore were lent to small and marginal farmers and Rs. 6.21 crore to SCs/STs. 28 Banks were considered eligible to get unrestricted finance and 147 are eligible to get restricted finance during 2008-09. Eligibility criteria depends on the NPA level of Banks. The Government has given full stamp duty exemption for all the loans availed by farmer members under agriculture and allied activities upto 2009. The Government has sanctioned a sum of Rs. 2412.02 lakh as compensation to 23838 beneficiaries under the failed well compensation scheme as at the end of March 2008.

Disbursement of Agricultural Loans at 3% through PCARD Banks

State Government has formulated a scheme for disbursement of long-term 4giicultural loans to farmers at 3% from 01-04-2008 through co operative credit societies. During the year 2008-09 an amount of Rs. 171.89 crore agricultural loan has been disbursed to 23327 farmers.

Agricultural Loans to farmers at 4% through Agricultural Co-operative Credit Institutions.

The State Government has implemented the scheme of lending agricultural loans to the farmers at 4% from 01-04-2006 through Agricultural Co-operative Credit Institutions in the State. During 2006-07, an agricultural loan amounting to Rs. 2471.24 crore has been disbursed to 9.20 lakh farmers. The Government has reimbursed an amount





Hanumanthappa

of Rs.123.7 crore as difference of interest on behalf of the farmers. From 01-04-2008 the Government has implemented the scheme of lending agricultural loans to farmers at 3%. During the year 2007-08, agricultural loan amounting to Rs.3158.46 crore has been disbursed to farmers. Government has reimbursed an amount of Rs.155.93 crore as different of interest on behalf of farmers.

Waiver of Interest & Penal Interest for the Agricultural Loans Availed from Co-Operative Credit Institutions

Agricultural Cooperative Credit Institutions are advancing agricultural loans to the farmers for agricultural activities. The continuous drought in Karnataka for a period from 2001-2004 has caused severe & unprecedented distress & hardship to the farmers. Considering this aspect, the Government have formulated and implemented the scheme of waiver of the interest and penal interest on Short Term, Medium Term and Long Term loans outstanding as on 31.03.2004 from Cooperative Banks: provided the farmers repaid the principal amount within the stipulated period from 01.03.2005 to 31.05.2006. The Government would reimburse the interest waiver amount to the Cooperative Institutions. Under the scheme an amount of Rs. 1519.3 8 crore has been recovered from 812305 farmer members with respect to Short Term & Medium Term loans. The waiver of interest and penal interest amount is about Rs.797.95 crore. An amount of Rs.296.57 crore has been recovered from 222970 farmer members with respect to Long-term loans and the waiver of interest amount is about Rs.310.74 crore. Under the scheme, a total number of 1200714 farmers have been benefited. The total agricultural loans recovered under this scheme is about Rs. 1812.46 crore and the Government has to reimburse the waiver of interest and penal interest amount which is about Rs. 1123.92 crore Government has released Rs. 1121.02 crore in this regard.

Co-operative Agricultural Credit Sector Agricultural loans to farmers at 3%

The State Government had implemented the scheme of providing agricultural loans through Cooperative credit institution w.e.f. 01-04-2004 at 6% and subsequently the Government has accorded approval to provide agricultural loans at 4% w.e.f. 01-04-2006. In order to further reduce the interest burden of the farmers, the Government has approved the scheme of providing Short term, Medium term and Long term agricultural loans through co-operative institutions at 3% w.e.f. 01-04-2008. The Scheme has been extended to loans availed by weavers and fishermen also. The Government has undertaken to reimburse the loss to the Co-operative credit institutions by way of Interest Subsidy w.e.f. 01-04-2004.

Revival package for short term Co-operative credit structure

In order to strengthen the short term co-operative credit structure in the State, the Govt. of Karnataka has signed the Memorandum of understanding with Govt. of India and NABARD on 25-03-2008 for implementation of Prof. Vaidhyanathan Committee recommendations. In view of the above the State level implementation committee (SLIC) under the chairmanship of the Principal Secretary to the Govt. Finance Dept. has approved Rs.732.25 crores as the amount under this package pertaining to 4131 PACS coming under the Jurisdiction of 19 DCC Banks as on 31-3-2010. Since there was delay on the part of DLIC of Bidar and South Canara DCC Banks to submit the information, the amount to be received under this package pertaining to these 3 Districts of Bidar, South Canara and Udupi are yet to be placed before the SLIC for the approval. Government of Karnataka has provided budgetary provision of Rs 90.07 Crores as its Share under this package and released Rs.39.53 crores along with GOI Shares of Rs. 139.74 crores as Ist instalment on 31-3-2010. The PACS has to adjust their Share out of their profits within one year by increasing Business turn over. The amount released in the 1st instalment is related to the category 'A' PACS which have attained 50% and above recovery as on 31-3-2004. The two weak DCC Banks viz., KCC Bank Darwad has got major Share of Rs.65.33 crores and The Kolar DCC Banks which has got Rs.9.90 crores as a result of this; these Two DCC Banks have become currently viable. This recapitalization assistance has been utilized effectively for the strengthening of credit structure by adopting the Development Action Plan (DAP) for DCCBs and Business Development Programme (BDP) for PACS to become economical vibrant and sustainable in Three years. The follow up action has been taken to avail the 2nd instalment of the revival package during 2010-11.





Hanumanthappa

Self help Group

For the up liftment of financial weaker section of the society Self Help Groups have been formulated and provided linkage for lending by banks. The main objective of the scheme is to encourage the weaker section of the society for the development activities under taken by them and encourage thrift. Self Help Group is a homogeneous group of rural poor voluntarily formed to save small amounts out of their earnings which is convenient to all the members .As on 31-03-2010, 157163 groups were formed and 119763 groups were credit linked with a credit Linkage of Rs. 545.19 crores.

The Karnataka State Co-operative Apex Bank Ltd., Bangalore

The Karnataka State Co-operative Apex Bank was established in 1915 and is earning profit since inception. As on 31-03-2010 its own funds was Rs.351.97 crores. Working capital - Rs.6701.33 crores; Deposits - Rs.4479.04 crores.*6

Loan Term Structure

The Karnataka State Co-operative Agricultural & Rural Development Bank advances long term loans to the farmers through 177 Primary Co-operative Agricultural & Rural Development Banks (PCARD) in the State.

Karnataka State Co-operative Agricultural & Rural Development Bank Ltd.

For long-term loaning, the main resources for the Karnataka State Co-Operative Agriculture and Rural Development Bank are floating of debentures. For these debentures Registrar of Cooperative Societies is the Trustee. For the year 2009-10 banks share capital was Rs. 50.13 crore, government share capital Rs. 4.45 crore and working capital of Rs. 1978.10 crore.

Urban and Semi Urban cooperative Banks

Urban Cooperative Banks and Non Agriculture Credit Cooperative Societies cater to the needs of Non Agriculture Credit. The Urban Cooperative Banking Sector in the State has achieved tremendous progress in the Banking Sector and has acquired third place in the country. Apart from Urban Cooperative Banks, Non-Agricultural Credit Cooperative Societies and Employees Credit Cooperative Societies are also functioning and have played a significant role in advancing loans. As on 31 -03 -2010 there are 319 urban banks and 3175 credit perat1ve societies with the membership of 5862000 members and working Capital of Rs.25839.56 crores.

Women Urban Cooperative Banks

Among the above Urban Cooperative Banks, there are 28 Banks organized exclusively for women.

The Karnataka State Cooperative Urban Banks Federation Ltd., Bangalore.

Federation is providing Institutional Assistance to its members in addition; it has 2 separate courts to resolve Disputes pertaining to Urban Banks.

Co-operative Marketing Societies

In Karnataka there are 185 Taluk Agriculture Produce co-operative Marketing Societies. Apart from these societies there are specialized marketing Societies dealing with Commercial Crops like Areca nut etc,.

The Karnataka State Co-operative Marketing Federation Ltd..

It plays a major role in supplying chemical fertilizers, pesticides, seeds, Agricultural implements and other agricultural inputs to the farmers. It is also engaged in marketing certain consumer articles. The Federation also has its own Pesticides formulation Unit in Peenya, where pesticides under the brand name "SAHAKAR" are formulated. As on 31-03-2010, the federation's total share capital is Rs.3867.58 lakhs and business turnover of Rs.62500.00 lakhs.

Processing Cooperative Societies

For Processing of Agriculture and Horticulture produce such as Rice, Dhal, Oil. Cotton, Coffee, Areca nut, Fruits Processing Units are established in the Cooperative sector. Out of these (1) CAMPCO - Mangalore (Areca nut and





Hanumanthappa

Cocoa Marketing Processing Cooperative Society) (2) COMARK (Coffee) (3) Rubber, Marketing and processing Cooperative Society, Mangalore have been registered under the Multistate Cooperative Societies Act 1984.

There are other Areca Marketing and Processing Cooperative Societies in the Areca growing Districts of the State and they are

- 1. Karnataka State Areca nut co-operative society Itd., Shimoga
- 2. The Totagars Cooperative Sale Society Ltd., Sirsi
- 3. The Malnad Areca marketing Cooperative Society Ltd., Shimoga
- 4. The Areca Processing and Sales Cooperative Society Ltd., Sagar
- 5. Hassan Valaya Arecanut Growers Co-operative Society Itd. In the State of Karnataka there are 91 Rice Mills which have been established by the TAPCMS. Kodagu Coffee Growers Cooperative Society is registered on 02-01-1956 for processing coffee.

The Karnataka Cooperative Oil Seeds Grower's Federation, Bangalore

The Federation has 371 Oil Seeds Growers Cooperative Societies and 143062 Farmers as members covering 3245 villages. As on 31 -03-2010, Federation's share capital is Rs. 179.11 lakhs, business turnover of Rs. 1019 lakhs and net profit of Rs. 179.00 lakhs. (Provisional).

The Karnataka State Cooperative Horticulture Marketing federation Ltd. Bangalore.

The Federation was registered on 25/10/96. The main objective of the Federation is to develop and encourage Horticulture, supply of inputs to farmers, procurement and marketing of produce.

Horticulture Producers Cooperative Marketing and Processing Society Ltd., Bangalore (HOPCOMS)

Horticulture Producers Co-operative Marketing and Processing Society Ltd., (HOPCOMS) was registered in 1959. As on 31-03-2010, the society has 41497 members with share capital of Rs.301.62 lakhs, business turnover of Rs.5649.52 lakhs and net profit of Rs.216.29 lakhs.

Consumer Co-operatives

In Karnataka Consumer Co-operatives are working in 3 stages viz.,

- I) The Karnataka State Co-operative Consumers Federation Ltd, Bangalore at State level.
- 2) The District Central Co-operative Wholesale Stores at District level.
- 3) The Primary Consumer Co-operative Societies at Primary level.

The Karnataka State Co-operative Consumer's Federation

Federation was registered in the year 1964 and has 24 members, 7 Janatha Bazaars at Bangalore, 7 branches in other Districts and 9 Medical shops. For the year 2009-10, the Federation has share capital of Rs.267.15 lakhs, Government Share capital of Rs.257.90 lakhs and Business turnover of Rs.8692.30 lakhs.

District Central Co-operative Wholesale stores:

There are 30 District Central Co-operative Wholesale Stores in the State of which 25 societies are working.

Consumers Cooperative Societies

There are 1476 Primary Consumers Co-operative Societies in the State. As on 31-03-2010 these societies are having share capital of Rs. 1046.65 lakhs and turnover of 11504.01 lakhs.

Karnataka State Co-operative Milk Federation Ltd.

Karnataka Co-operative Milk Producers Federation Ltd., a co-operative apex body, in Karnataka established in 1984, is implementing Dairy Development activities in the entire state since 3 decades through 13 Milk Unions in Co-ordination with NDDB. Ushering prosperity in the lives of rural Milk Producers. As at the end of March-2010, 11,929 dairy co-operative societies (DCS) have been organized covering 19,154 villages in the 13 Milk Union areas, 10415





Hanumanthappa

DCS are functioning with 95% of them in profit. 20.34 lakhs farmers are members in these DCS, out of which 6, 60 lakhs are women members. DCS in villages are procuring milk from the member producers twice in a day. The average milk procurement was 35.79 lakhs kg during 2009-10. The surplus milk is converted in to milk products. Karnataka Milk Federation is in second position, as far as milk procurement by CO-operative is concerned, at the National level, and first in South India under co-operative dairies. 22 dairies with a total processing capacity of 26.65 lakhs liters per day, 44 milk chilling centers with chilling capacity of 15 lakhs litters per day, and 5 milk powder plants with drying capacity of 92 M.T. per day are functioning. During the year, 2009-10, an average 24.70 lakhs liters of milk per day was sold. Out of which an average of 13.34 lakhs liters was sold in Bangalore. To meet the demands of consumers, milk products like good life, UHT Milk in different various curds, butter, ghee, flavoured milk (in 8 flavours) Mysore pak, Nandini burfi, Rasgulla, Badam powder, Jamoon mix are produced and sold. In addition to these other products like sweet curds; butter milk, ice cream and kunda are being produced and sold.

House Building Co-operative Societies

There are 1493 House Building Co-operative Societies in the State. Out of which 1005 societies are working, 530 defunct and 258 societies are under liquidation.

Karnataka State Cooperative Housing Federation Ltd.,

The Karnataka State Co-op Housing Federation Ltd., Bangalore was registered during the year 1950. As on 31-03-2010 the Federation has got share capital of Rs.633.57 lakhs and working capital of Rs.8924.98 lakhs. The Federation has advanced loan of Rs. 1545.68 lakhs to the House Building Co operative Societies, Industrial Co-operative societies.

Karnataka Plans Farmer Loans At 1 Percent

In the first of its kind budget in the country for farmers, Karnataka proposed to give crop loans at one percent interest rate through cooperative credit institutions in the ensuing fiscal 2011-12. Crop loan will be made available at one percent interest rate to farmers through cooperative credit institutions as against three percent interest rate hitherto "Chief Minister B.S. Yeddyurappa told lawmakers in the assembly, presenting a separate budget for the agriculture sector. The resilient farm sector in the state is projected to grow 5.5 percent this fiscal (2010-11). Mainly owing to above normal monsoon and the food grain production is expected to increase 14 percent over the last fiscal (2009-10), according to the state economic survey report released Wednesday. "The difference of interest on account of reduction will be met by the state government, though crop loans up to Rs. 50,000 from commercial banks will continue (to be given) at three percent," the chief minister clarified. Proclaiming to be a farmer's son himself, Yeddyurappa proposed to allocate a whopping Rs. 17,857 crore for the development of agriculture, allied and irrigation sectors in the new fiscal ."A total of) Rs. 1,000 crore was provided for the development of one million farmer families under 'Suvarna Bhoomi Yojana' (land enrichment programme)," he said.

The National Bank for Agriculture and Rural Development (Nabard) has estimated a credit flow potential of Rs 41,085.23 crore for Karnataka in 2011-12, an increase of 31 per cent over the previous year. Speaking at the "State Credit Seminar - 2011-12" here, Dr V. Tagat, Chief General Manager, NABARD, Bangalore, said the bank has created potential linked credit plans for all the 30 districts in the State. The Share of crop loans formed 45 per cent of the total potential estimated, owed by other priority sector at 29 per cent, agricultural term loan at 18 per Cent and non-farm sector at eight per cent. Dr. Tagat said the credit flow to crop loans in 2010-11 was Rs 14,622.37 Crore and the potential assessed for financing in 2011-12 was Rs 18,373.51 Crore. The credit flow to water resources sector in 2010-11 was Rs. 947.22 crore and the estimated potential in 2011-12 is Rs 847.44 crore. The cumulative irrigation created under major, medium and minor irrigation touched 34.84 lakh hectares. Credit flow break-up. The estimated credit flow to various activities in 2011-12 is: land development – Rs. 905.94 crore, farm mechanisation -Rs 1,975.62 crore, plantation and horticulture – Rs. 1,415.94 crore, forestry – Rs. 64.99 crore, dairy development –Rs. 668.35 crore, poultry development – Rs. 257.62 crore, sheep goat piggery Rs. 151.05 crore, fisheries – Rs. 134.96 crore, storage godown and market yards – Rs. 561.09 crore renewable sources of energy and waste utilisation – Rs. 59.78 crore, and





Hanumanthappa

food and agro-processing – Rs. 731-98 crore, other activities – Rs. 678.93 crore, term Ioan – Rs. 7.553-75 crore, nonfarm sector – Rs. 3.365.83 crore and other priority sector – Rs. 11,792.11 crore.

CONCLUSION

Credit plays a crucial role in the growth of agriculture. As a result, credit has played a significant role in the growth of the agricultural sector thanks to institutional credit. The agricultural sector in Karnataka has benefited greatly from institutional credit flow, which has increased significantly over time. The agricultural sector's success and expansion depend on having access to agricultural finance. Farmers now rely primarily on commercial banks for credit, so educating them on the ins and outs of banking procedures could make it easier for them to get credit. In addition, it is recommended to implement and streamline microfinance options in order to effectively link small, marginal, and tribal farmers with Self Help Groups (SHGs).

REFERENCES

- 1. NABARD REPORT 2010.
- 2. Economic Survey of Karnataka P-439.
- 3. Ibid-P.442.
- 4. Annual Report for 2009-2010 Department of Co-operation
- Ibid.
- 6. The Karnataka State Co-operative Bank Ltd., Bangalore, Annual Report. 2009-2010.
- 7. The Karnataka State Co-operative Banks Federation Ltd., Bangalore. Annual Report 2009-10.
- 8. Http://www.thaiindian.com/newsportal/business 7/27/2011.
- 9. The HINDU -7/27/2011 State Credit Seminar -2011-12, Dr. D.V. Tagat. Chief General Manager, NABARD, Bangalore.
- 10. Mahesh Kumar M.2019. Cooperative Movements in Karnataka: Society Structure and Growth" Published in International Journal of Trend in Scientific Research and Development Volume-3 | Issue-4, June 2019, pp.854-861,
- 11. Sahakarasindhu.karnataka.gov.in.
- 12. https://www.kubfed.com.

Table:1

Name of the	Small and medic	um Farmers	Other Fai	mers	Total		
Institution	nstitution No. of A/cs Total		No. of A/cs	Total	No. of A/cs	Total	
Commercial Banks	428588	1430.99	264782	754.35	693370	2185.34	
RRB	257390	663.12	123390	304.51	380780	967.63	
Cooperative Banks	237370	4069.80	65440	95.63	302810	502.43	
Total	923348	2500.91	453612	1154.49	1376960	3655.40	

Table:2 The SLIC has sanctioned the Recapitalization assistance to the PACS as under on 31-03-2010 (Rs. in Crores)

Category	Total No. of PACS	GOI Share	GOK Share	PACS Share	Total
'A'	1934	139.74	39.53	23.00	202.27
'B1'	595	92.52	14.62	11.26	118.40
'B2'	001	00	00	0.08	0.08
'C'	1482		30.76	28.47	354.55
Total	3912	527.58	84.91	62.81	675.30





Hanumanthappa

(Grand Total	4131	572.82	90.07	69.32	732.25
Ine	eligible PACS	219	45.24	5.16	6.55	56.95

Table:3 Details of Long Term Loans advances in the last 3 years (Rs. in Crores)

Year	Target	Achievement	% of achievement target
2007-08	220.00	210.43	95.65
2008-09	250.00	177.15	70.86
2009-10	302.00	183.42	60.73

Table:4 Comparative position of aggregate bank deposits & gross bank credit in Karnataka with selected States in the country as on March 2009.(Rs. Crore)

State	Office/ Branch network	Aggregate deposits	Rank	Gross credit	Rank
Maharashtra	7394	1004898	1	912368	1
Delhi	2144	517150	2	354425	2
Uttar Pradesh	9595	264369	3	111185	8
Karnataka	5716	256709	4	196719	5
Tamil Nadu	5841	246992	5	268963	3
West Bengal	5023	228649	6	138969	6
Andhra Pradesh	6443	217453	7	212178	4
Gujarat	4283	187906	8	118684	7
Kerala	4016	135173	9	81612	9
Punjab	3229	120667	10	79064	10
All India	79056	3937336		2857252	-

Source: Quarterly statistics issued by R.B.I.- March 2009.

Table:5 Performance of Scheduled Commercial Banks in Karnataka 2006 to 2009 (End of March)

SI. No.	Indicator	Unit	2006-07	2007-08	2008-09
		Branch Ne	etwork		
	a) Commercial Banks	No.	3971	4127	4391
1.	b) Regional Rural Banks	No.	1128	1153	1180
	Total	No.	5099	5280	5571
		Depos	its		
	a) Commercial Banks	Rs. in Cr.	124725.11	156306.27	198969.51
2.	b) Regional Rural Banks	Rs. in Cr.	6024.88	7617.52	9428.86
	Total	Rs. in Cr.	130749.99	163923.79	208398.37
		Advan	ces		
	a) Commercial Banks	Rs. in Cr.	101831.04	124418.78	148580.82
3.	b) Regional Rural Banks	Rs. in Cr.	5893.06	7081.58	8178.07
	Total	Rs. in Cr.	107724.10	131500.35	156758.89
		Credit-Depo	osit ratio		
	a) Commercial Banks	%age	81.64	76.88	74.68
4.	b) Regional Rural Banks	%age	97.81	92.96	86.73
	Total	%age	82.39	80.22	75.22

Source: State Level Bankers Committee, Karnataka





Hanumanthappa

Table:6 Priority sector advances: 2007 to 2009 (Rs. Crore)

SNo	Indicator	End of March			
		2007	2008	2009	
1.	Agriculture & Allied Activities	22149.00	25817.00	29296.00	
2.	Small Scale Industries	6295.28	10081.06	16920.00	
3.	Tertiary Sector	18645.78	23013.23	26703.66	
4.	Total Priority Sector Advances	47090.06	58002.00	71810.00	
	Out Standings under PSA:	3113.00	5030.00	5315.00	
	i) SCs & STs	3113.00	3030.00	3313.00	
	ii) Weaker Sections	10971.00	13645.00	16103.00	
5.	Percentage of Priority Sector advances of total advances	43.71	44.10	45.80	
6.	Percentage of weaker section advances to total advances	10.18	10.37	10.27	

Source: State Level Bankers Committee, Karnataka

Table 7A: RIDE: Tranche-wise Size of Corpus

RIDF Tranche	Year	Corpus
RIDF I	1995-96	2000
RIDF II	1996-97	2500
RIDF III	1997-98	2500
RIDF IV	1998-99	3000
RIDF V	1999-2000	3500
RIDF VI	2000-2001	4500
RIDF VII	2001-2002	5000
RIDF VIII	2002-2003	5500
RIDF IX	2003-2004	5500
RIDF X	2004-2005	8000
RIDF XI	2005-2006	8000
RIDF XII	2006-2007	9000
RIDF XIII	2007-2008	9500
RIDF XIV	2008-2009	9800
TOTAL	-	78300

Source: 1. NABARD Annual Report 2. RBI Bulletin

Table:8 Swarnajayanthi Gram Swarojgar Yojana from 2006-07 to 2009-10 (Rs. Crore)

rable to Swarrajayaran Grain Swarojgar Tojana nom 2000-07 to 2007-10 (Ks. Orofe)									
Category	Year	Sar	Sanctions Disbursements		Subsidy released				
		No.	Amount	No. Amount		No.	Amount		
Individuals	2006-07	2773	6.77	2695	6.58	2695	2.54		
	2007-08	1641	6.12	1549	5.78	1549	2.13		
	2008-09	709	2.69	570	2.16	570	0.86		
Groups	2009-10 (upto Nov.09)	622	2.71	466	2.03	466	0.72		





Hanumanthappa

	2006-07	3543	84.25	3298	78.42	3298	36.67
	2007-08	9107	154.06	8789	148.68	8789	64.91
	2008-09	6325	203.79	5774	186.08	5774	71.47
Total	2009-10 (upto Nov.06)	3260	126.89	2482	96.61	2482	35.81
	2006-07	6361	89.58	5993	85.00	5993	39.21
	2007-08	10748	160.59	10338	154.46	10338	67.04
	2008-09	7034	208.67	6344	188.24	6344	72.33
	2009-10 (upto Nov.09)	3882	129.89	2948	98.64	2948	36.53

Source: Rural Development and Panchayath Raj Department

Table 8A: Beneficiaries under Self Employment Schemes 2006-07 to 2009-10

Programme/ Scheme	2006	5-07	2007	7-08	2008	3-09	2009	-10
Programme/ Scheme	Target	Acht.	Target	Acht.	Target	Acht.	Target	Acht.
SGSY	37603	46407	65032	82158	76834	88794	34768	43493

Table 8B: Swarna Jayanthi Grama Swarozgar Yojana (SGSY) Abstract Progress Report (end of March)

Indicator	2001- 2002	2002- 2003	2003- 2004	2004- 2005	2005- 2006	2006- 2007	2007- 2008	2008- 2009	2009-2010 (Nov.09)
Beneficiaries (in thousands)	42.94	37.12	43.29	52.98	46.92	46.41	82.15	88.79	43.49
Of which SC/STs (in thousands)	16.16	13.86	17.49	21.31	20.16	31.32	38.97	42.34	20.91
Loan Component (Rs. Crore)	78.15	62.53	65.05	75.60	79.44	84.83	154.46	188.24	98.66
Grant in aid (Rs. Crore)	36.11	34.41	34.91	40.98	40.55	39.21	67.04	72.33	36.53
Total (Rs. Crore)	114.26	96.94	99.96	116.58	119.99	124.04	221.50	260.57	135.19

Table 8C: SHG-Bank Linkage Programme

Year	Total SHGs Financed by banks number in '000		Banks Ioans (Rs. Crores)		Refinance (Rs. Crore)	
real	During the year	Cumulative	During the year	Cumulative	During the year	Cumulative
1999-	81.78	114.78	136	193	98	150
2000	(147.9)	(247.9)	(138.6)	(238.6)	(88.5)	(188.5)
2000-	149.05	263.83	288	481	251	401
2001	(82.3)	(129.9)	(111.8)	(149.2)	(156.1)	(167.3)
2001-	197.65	461.48	546	1026	396	797
2002	(32.6)	(74.9)	(89.6)	(113.3)	(57.8)	(98.8)
2002-	255.88	717.36	1022	2049	622	1419
2003	(29.5)	(55.4)	(87.2)	(99.7)	(57.1)	(78.0)
2003-	361.73	1079.09	1856	3904	705	2125
2004	(41.4)	(50.0)	(81.6)	(90.5)	(13.3)	(49.7)
2004-	539.39	1618.48	2994	6899	968	3092
2005	(49.1)	(50.0)	(61.4)	(76.7)	(37.3)	(45.5)
2005-	620	2239	4449	11398	1068	4160





Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Hanumanthappa

2006	(15.0)	(38.3)	(50.3)	(65.2)	(10.3)	(34.5)
2006-	686	2924	6643	18041	1299	5459
2007	(11.0)	(30.6)	(47.6)	(58.3)	(21.6)	(31.2)
2007-	798	3456	7829	20342	1467	6098
2008	(13.4)	(45.3)	(51.4)	(61.6)	(26.1)	(36.2)
2008-	824	4812	8735	22456	1642	7541
2009	(14.3)	(37.5)	(61.5)	(56.4)	(23.5)	(34.7)

Note: Figures in parentheses indicate percentage variations over the previous year.

Data for 2008-09 are provisional.

Source: Report on Trend and Progress of Banking in India, various issues.

Table 8D: Agency-wise and Year-wise KCC Cooperative banks

Year	Cooperative Banks	RRBs	Commercial Banks	Total
1998-99	0.16	0.01	0.62	0.78
1999-2000	3.6	0.17	1.37	5.13
2000-2001	5.61	0.56	2.39	8.65
2001-2002	5.44	0.83	3.07	9.34
2002-2003	4.58	0.96	2.70	8.24
2003-2004	4.88	1.27	3.09	9.25
2004-2005	3.56	1.73	4.40	9.68
2005-2006	2.60	1.25	4.17	8.01
2006-2007	3.80	1.76	4.54	9.54
2007-2008	3.96	1.95	4.21	10.45
2008-2009	4.65	1.99	4.64	10.98
Total	42.82	12.58	35.19	90.59
Share in Total (percent)	47.28	13.87	38.85	100.0

Table 9 : State Term Lending Institutions in Karnataka from 2007 to 2010

Institution	2007-08		2008-09		2009-10 (Anticipated)	
Institution	Sanctions	Disbursements	Sanctions	Disbursements	Sanctions	Disbursements
KSFC	368.15	303.13	565.24	383.24	570.00	430.00
KSIIDC	0.00	17.72*	0.00	7.53*	0.00	15.09*

Source: KSFC & KSIIDC ;Investment in equity- Bangalore International Airport Ltd.,

Table 10: Short, Medium and Long Term Credit Structure in Kamataka from 2007 to 2010 (Rs. Crore)

Year	Type of Loan	Target	achievement
	Short Term	3031.23	2849.49
2007-08	Medium Term	137.51	98.54
	Long Term	106.10	210.43
	Short Term	3422.22	3010.62
2008-09	Medium Term	146.00	114.40
	Long Term	218.17	171.89
	Short Term	3617.56	2229.19
2009-2010 (as on 30-11-2009)	Medium Term	158.93	75.62
	Long Term	279.00	48.52





Hanumanthappa

Table 11: Recovery of Loans in Karnataka (Percent)

Type of Loan	2007-08	2008-09	2009-10
Short Term	68.15	76.5	52.77
Medium Term	65.95	40.53	42.28
Long Term	38.65	43.50	12.44





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Nerium oleander: Chemical Constituents, Pharmacological Properties and Recent Scientific Work an Overview

Manish Kumar Yadav^{1*}, Komal Sharma² and Ajay Kumar Shukla³

Research Scholar, Faculty of Pharmacy, Bhupal Nobles' University, Udaipur, Rajasthan, India. ²Professor, Faculty of Pharmacy, Bhupal Nobles' University, Udaipur, Rajasthan, India. ³Assistant Professor, Department of Pharmacy, Institute of Pharmacy, Dr. Rammanohar Lohia Avadh University, Ayodhya, Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Manish Kumar Yadav

Research Scholar. Faculty of Pharmacy, Bhupal Nobles' University, Udaipur, Rajasthan, India

Email: manishky81@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In Indian traditional medicine, Nerium oleander (N. oleander) is a valuable medicinal herb. There are also known cases of suicide utilizing N. oleander in South Asian countries, including India and Sri Lanka. Cardenolides, gentiobiosyl, odoroside, oleandrin, and neridin are only few of many cardiac glycosides found in all sections of the N. oleander plant. This plant species produces a variety of secondary metabolites, including alkaloids, flavonoids, and steroids, all of which have different medicinal uses. It possesses a variety of significant pharmacological effects, such as hepatoprotective, immunopotent, antibacterial, anthelmintic, anti-inflammatory, antioxidant, antifungal, anticancer, and anti-HIV qualities. This objective of this review is to present evidence-based information on phytochemicals and pharmacological effects of N. oleander.

Keywords: Anthelmintic, hepatoprotective, immunopotent, anti-pyretic, antioxidant, antifungal, anticancer, and anti-HIV properties of N. oleander.

INTRODUCTION

N. oleander belongs to the family Apocynaceae and is a green plant (fig. 1). It is now the sole species identified by formal classification as belonging to the genus Nerium. It bears little resemblance to the unrelated olive Olea, known by the name "oleander. It is thought to have originated in Southwest Asia and is now extensively grown. Oleander is





Manish Kumar Yadav et al.,

commonly planted as a decorative plant in yards, parks, and by the sides of roadways since it thrives well in warm, subtropical climates [Abderrahim et al., 2017]. Oleandrin, a very lipid-soluble cardiac glycoside, as reported by [Zhai et al. 2022] is the main constituent of the plant *N. oleander* (Apocynaceae). Traditional herbal therapy uses oleandrin due to its excellent pharmacological characteristics. It is frequently used in the treatment of many diseases, including congestive heart failure. Oleandrin's potent antiviral and broad anticancer properties have lately garnered a lot of attention. [Zaid et al., 2022] investigated different cellular models for assessment of plant's toxicity. It was discovered that extract from *N. oleander* has many other beneficial effects on the antioxidant defense system of the cell, including reduction of free radical production in the cells during an inflammatory insult. Benson et al., 2015 investigated and found that the *N. oleander* extract derived from Aloe vera reduces free radical generation within cells and strengthens their antioxidant defense system when an inflammatory stimulus exists or not. Khalid et al., 2023 reported that *N. oleander* should be grown near roadside verges to remove vehicle pollutants, as this could result in long-term management of these corridors. *N. oleander* ethanolic floral extract (NOEE) demonstrated strong anti-inflammatory properties when investigated by Shafiq et al., 2021 in inflammatory models using carrageenan and cotton pellets. They provided scientific validation for the long-standing usage of *N. oleander* flowers to alleviate heightened pain and inflammation, especially in cases of bacterial infections.

Chemical constituents

The plant contains many cardiac glycosides that have properties akin to those of digitalis [Radford et al., 1986] like gentiobiosyl, oleandrin, cardenolides, neriine, and odoroside [Duke et al., 1985]. Numerous other potent pharmacological substances found in the plant include oleandomycin, rutin, rosagenin and folinerin [Siddiqui et al., 1997]. The five cardenolides that shown CNS antidepressant effect were found to be neridiginoside and four newly discovered compouds cardenolide, nerizoside, neritaloside, and odoroside-H,. It was discovered that neridiginoside had a structure of 3 beta-O-(D-diginosyl).14 (-5 beta) beta-dihydroxy-card-20 (22)-enosil [Begum et al., 1999]. N. oleander leaves were found to contain 12 previously identified triterpenes in addition to three newly identified triterpenes: oleanane-type triterpene 2, dammarane-type triterpene and ursane-type triterpene 1 [Dong et al., 2000]. Three new ursane-type triterpenes, 20 beta, 28-epoxy-28 alpha, and 3beta-hydroxyurs-12-en-28-aldehyde; taraxasterane-type triterpenes; 28-nor-Urs-12-ENE-3beta, 17beta-diol, were obtained from an ethyl acetate extract of N. oleander leaves [Zhao et al., 2006]. 3-O-caffeoylquinic acid and its structural isomer, 5-O-caffeoylquinic acid, are two examples of chlorogenic acids, were extracted from N. indica leaves using hot water. These substances are antihyperglycemic medications since it has been demonstrated that they inhibit alpha-glucosidases non-competitively [Ishikawa et al., 2007, Wang et al., 2009, Bai et al., 2007]. Significant antioxidant activity was found in 1-hydroxy-8-5-dimethoxyxanthone, 1, 8-dihydroxy-3, 7-dimethoxyxanthone, trihydroxyxanthone, and ursolic acid are also included, which were shown to be bioactive substances obtained from the aerial portions of N. oleander [Chakravarty et al., 1991]. N. oleander leaves as a possible source of ursolic acid in light of this discovery. Our study's goals included obtaining, screening, characterizing, and evaluating the plant's anti-inflammatory qualities. [Chakravarty et al., 1991, Rana et al., 2005], Traditional Chinese medicine makes extensive use of oleandrin, a highly lipid-soluble cardiac glycoside that is extracted from the N. oleander (Apocynaceae) plant. Congestive heart failure is among the several diseases for which it is commonly used. The toxicity of oleandrin is also extensively studied, and evaluating feasible research approaches to lessen toxicity, a discovery of safe medicinal applications for oleandrin might be achievable [Zhai et al., 2022] structure of ursolic acid is shown below in figure 2.

Botanical Description

The Apocynaceae family includes the *N. oleander*, which is indigenous to South Asia and the Mediterranean. It is a tough shrub that can withstand dryness and is distinguished by its tall, thin stems, lance-shaped leaves, and clusters of colourful, funnel-shaped blooms. Oleander is a well-liked decorative plant in lots of gardens and landscapes because of its several flower colours, which include white, pink, red, and yellow. *N. oleander* has a long and rich history. Numerous ancient manuscripts, including those written by the Greeks and Romans, mention it as having been grown for ornamental reasons for thousands of years. In fact, it's thought that the botanical name "Nerium" comes from the Greek word "nerion," which means "water," probably as a result of the plant's fondness for damp





Manish Kumar Yadav et al.,

soils. Additionally, throughout history, oleander has been mentioned in poetry, literature, and art from various cultures [Poppenga et al., 2020].

Traditional Uses

Traditional medical practices have long used *N. oleander*, especially in areas where it is native. The potential therapeutic properties of the plant's various sections, including the leaves, flowers, and roots, have been explored. Scabies and edoema have been treated externally with a leaf decoction. Bark can be used as a febrifuge and cathartic for sporadic fevers because of its bitterness. The root bark is used to make oil that is used to treat scaly skin diseases like leprosy. As a purgative, seeds are used for rheumatism and dropsy. Because the root is toxic and should only be used externally, it is powerful, dissolves readily, and is used as plasters for tumors. *N. oleander* has diaphoretic, cardiotonic, and antimicrobial properties in its leaves and petals. *N. oleander* is pounded with water to form a paste that is applied to penile lesions and ulcers. Apart from being beneficial against warts, malignant ulcers, carcinoma, ulcerating or hard tumors, the plant's bark, roots, leaves, juice, and blooms have all been utilized in cancer treatments [Valnet et al., 1976]. In 2011, the Federal Drug Administration (FDA) concluded the first phase of testing with oleander extract and certified it safe for use in cancer treatment. Furthermore, the extract was found to have nearly no cardiotoxicity or adverse side effects and to have a favorable influence on the development of cancers of the breast, pancreas, bladder, colon, and appendix. Advanced cancer patients have been treated with Anvirze, an aqueous extract of the *N. oleander* plant. Additionally, *N. oleander* is used medicinally to treat ulcers, hemorrhoids, ringworm, herpes, leprosy, and abscesses [Pathak et al., 2000, Manna et al., 2000].

Pharmacological properties

Anti-oxidant role

Higher antioxidant properties were reported in *N. oleander* leaf extract. The ability of *Nerium oleander* to reduce and scavenge free radicals is one of its antioxidant activities, and this ability was linked to the total phenolic content discovered in each distinct extract in each experiment [Iran et al., 2012, Kumar et al., 2012]. *N. oleander* leaf, stem, and root extracts are potent free radical scavengers and an organic supply of strong antioxidants.

Anti-inflammatory properties

The ethanolic extracts of fresh flowers and dried leaves of *N. oleander* shown excellent anti-inflammatory effectiveness without endangering stomach health in a mouse model of carrageenan-induced hind paw edoema [Devi et al., 2019].

Antimicrobial activity

Novel medicinal molecules have been inspired by the plant since plant-derived medications have significantly improved human health. Bacterial strains including B. subtilis and Nyctanthes arbortristis were significantly affected by the ethanolic leaf extract. Oleander extracts have been shown to have antibacterial action against gram-negative bacteria. Researchers came to the conclusion that these chemicals, which lower the concentration of free radicals, are responsible for the antibacterial activity [Kumar et al., 2013].

Larvicidal activity

N. oleander aqueous leaf extract exhibited adulticidal and larvicidal actions on Anopheles stephensi in addition to its ovicidal and larvicidal properties [Roni et al., 2013]. The larval mortality of Culex quinquefasciatus was compared to crude hexane and aqueous *N. oleander* flower extracts [Raveen et al., 2014].

Anti-cancer cell inhibition properties

Ali and associates successfully employed oleander blossoms [Ali et al., 2009] extracted essential oil from oleander blossoms with success. When applied to Ehrlich Ascites Carcinoma (EAC) cell lines, it demonstrated efficacy. In human cancer cells, anvirzel and oleandrin have the ability to cause cell death, but not in mice. In one trial, oleandrin, According to the study's findings, PBI-05204 showed signs of a tumor response and oleandrin was well tolerated up to a dosage of 10.2 mg extract per day with little adverse effects [Pathak et al., 2000]. *N. oleander* extract can be applied





Manish Kumar Yadav et al.,

topically without risk or toxicity; investigations have shown that skin absorption is negligible. This implies that it may be used at lower dosages to increase insect immunity and control pests without causing harm. The National Cancer Institute claims that oleandrin, a significant glycoside in *N. oleander*, has anticancer effects. AnvirzeITM, a product of the University of Texas, has been shown to promote autophagy and death in cancer cells while sparing healthy cells. Moreover, it blocks NF-kB, a signal that encourages the proliferation and metastasis of cancer cells [ST et al., 2008, ST et al., 2009].

Cellular and humoral immune responses

Studies reveal the powerful immune-stimulating qualities of *N. oleander*. T and B lymphocytes, as well as the humoral and cell-mediated immune response, are stimulated by extracts. This improves particular subsets of mononuclear cells. Modest dosages of *N. oleander* considerably increased immunity in rabbits. On the other hand, a greater dose decreases the generation of antibodies, delays hypersensitive reactions, and slows down phagocytic activity [Schultz et al., 1982].

Poisonous nature

N. oleander has a variety of its constituents, some of which can be dangerous if consumed in excess, *N. oleander* has historically been thought to be a deadly plant, especially to animals. Lethal cardiac glycosides are present throughout the entire oleander plant. The highest concentration is found in seeds and roots. The water the plant was immersed in and its own smoke both have the potential to be toxic. The dangerous "cardiac glycosides" oleandrin and oleandrigenin are among these drugs; their window of therapeutic use is limited. Among the more serious adverse effects of oleander are mouth irritation, nausea, vomiting, emesis, stomach cramps, and diarrhea [Arai et al., 1992]. Rats were comparatively resistant to the effects of oleander "cardioactive glycosides," according to animal toxicology tests [Szabuniewicz et al., 1972]. More sensitivity has been shown in other mammals, including humans and dogs [Szabuniewicz et al., 1971; Hougen et al., 1979]. She consumed an unidentified oleander extract orally and rectally before she passed away, which resulted in higher amounts of oleandrin in her tissue at autopsy [Blum et al., 1983; Haynes et al., 1985]. Oleander's systemic hyperkalemia can worsen cardiac function, possibly leading to problems with conduction that start in the sinus or AV nodes and develop to PR interval lengthening and atrioventricular dissociation [Eddleston et al., 2000].

Mechanism of toxicity

Cardiac glycosides, which include nerium, folinerium, thevetin, adynerin, neriantin, digitoxigenin, and oleandrin, bind to the α -subunit of cardiac cells cytoplasmic membrane to block the Na+/K+ ATPase pump. This results in an increase in intracellular calcium levels and hyperkalemia [Dodd-Butera et al., 2005].

Signs of toxicity

It usually takes a few hours after consumption for clinical indications to manifest. Heart glycosides cause symptoms in the gastrointestinal system (localized diarrhea with blood, cramps, nausea, vomiting, and irritation of the mouth and digestive tract) as well as the cardiovascular system (dysrhythmias and ectopic beats, similar to digitoxin overdose). Dizziness, respiratory failure, unconsciousness, and even death could occur [Robert et al., 2020]. This study was unable to fully disclose all properties of *N. oleander*, including the lethal doses for different age groups of humans and different animal species, due to *N. oleander* poisoning and the tiny number of humans and animals that were affected by this plant's ingestion. Therefore, further experimental study is needed to address these issues [Farkhondeh et al., 2020].

Preventative actions

Medical intervention is necessary in cases of oleander plant poisoning or reactions involving humans or animals due to the rapid onset of symptoms. Atropine and isoproterenol are frequently effective treatments for conduction anomalies, and oral activated charcoal is a further option [Singh et al., 2013]. Contra-digoxin treating severe cardiac arrhythmias brought on by yellow oleander with fab fragments has shown to be successful. Anti-digoxin antibodies serve to stabilize sinus rhythm and are a quick treatment for bradycardia and hyperkalemia. The higher dosage





Manish Kumar Yadav et al.,

needed for oleander toxicity in comparison to traditional digoxin toxicity, however, is explained by the digoxin-specific Fab's reduced affinity for nondigoxin cardiac glycosides. Some reported pharmacological properties of plant are shown in below table 1.

Future application of N. oleander

N. oleander is utilising cutting-edge extraction techniques and biotechnology research to develop a broad line of products that benefit from the unique and potent properties of *N. oleander*. Anti-aging skin creams are readily accessible on the cosmetics market nowadays. Many other products that are comparable to *N. oleander* these days, creams for spots, blemishes, skin repairs, and eyes are manufactured, among others. According to the American Cancer Society, "the effectiveness of oleander has not been proven," and "even a small amount of oleander can cause death." In HIV-positive patients with baseline CD4 values of less than 400, *N. oleander* is also effective in significantly increasing CD4 counts over a 60-day period. *N. oleander* aqueous extract is used in a novel anti-HIV therapy. *N. oleander* plant could be used in cancer and AIDS therapies in the future. *N. oleander* can be used as a potentially safer and more environment friendly way to manage pests like mosquitoes [Fonseka et al., 2002]

Recent Scientific Research

Recent studies have shed insight on *N. oleander* potential medical benefits while also emphasising the need for caution. The plant's cardiac glycosides have been studied for potential anti-cancer properties, particularly in relation to specific cancer cell types. Additionally, studies on oleandrin's potential as a neuroprotective and anti-inflammatory drug are still being conducted [Farkhondeh et al., 2020].

CONCLUSION

A botanical wonder that has fascinated people for millennia is *N. oleander*. It is an interesting topic to examine due to its remarkable look, long history, and variety of traditional therapeutic uses. When thinking about using the plant as medicine, extra caution must be used due to its toxicity, which cannot be ignored. Recent scientific research has provided some promising insights, but more study is needed to fully realize this wonderful but potentially dangerous plant's therapeutic potential. As we continue to explore the realm of natural cures, *N. oleander* serves as a reminder of the intricate interplay between beauty, danger, and healing in the plant kingdom.

Acknowledgement

We appreciate the authors' willingness to share their understanding of the pharmacological importance and practical application of herbal medicinal plants. Both writers contributed equally to the review project.

Author contribution

Equal contributions from all authors were made to the review effort.

Interests in conflicts Nil

REFERENCES

- 1. Abderrahim Leila Ait, Taibi Khaled, Alomery Anas M. Antibacterial activity of medicinal plants extracts; Rosmarinus officinalis and Nerium oleander. AGJSR. 2017; 35(1/2/3/4):46-53.
- 2. Aboud Anmar Saadi. Antimicrobial Activities of Aqueous and Ethanolic Extracts from Nerium oleander Used in the treatment of burns infections isolates. Journal of Pharmaceutical, Chemical and Biological Sciences. 2015; 2(4):248-258.
- 3. Adome R. O., Gachihi J. W., Onegi B., Tamale J., Apio S. O. The cardiotonic effect of the crude ethanolic extract of Nerium oleander in the isolated guinea pig hearts. African Health Sciences. 2003; 3(2):78-82.





- 4. Akgun Evcan Gul, Aydemir Sezgin, Ozkan Naziye, Yuksel Meral, Sardas Semra. Evaluation of the wound healing potential of Aloe vera-based extract of Nerium oleander. Experimental Pharmacy. 2017; 4(3):205-212.
- 5. Ali HFM, El-Ella FMA, Nasr NF. Screening of chemical analysis, antioxidant antimicrobial and antitumor activities of essential oil of oleander (Nerium oleander) flower. Int J Biol Chem 2009; 4:190–02.
- 6. Almanaa Taghreed N., Alharbi Naiyf S., Ramachandran Govindan, Chelliah Chenthis Kanisha, Rajivgandhi Govindan, Manoharan Natesan, Kadaikunnan Shine, Khaled Jamal M., Alanzi Khalid F. Anti-biofilm effect of Nerium oleander essential oils against biofilm forming Pseudomonas aeruginosa on urinary tract infections. Journal of King Saud University Science. 2021; 33:101340.
- 7. Al-Obaidi Omar Hamad Shehab. Studies on antibacterial and anticancer activity of Nerium Oleander extracts. Eur. Chem. Bull. 2014; 3(3):259-262.
- 8. Avci Oguzhan, Dik Burak. Determination of in vitro antiviral activity of Nerium oleander distillate against parainfluenza-3 virus. Animal and Veterinary Sciences. 2014; 2(5):150-153
- 9. Siham A, Izabela KM, Nawel AA, Agnieszka B, Radia A, Khokha M, Aji MDR, Larasatie ND, Khodir M. Anticarcinogenic and antioxidant activities of leaves and flowers hydroalcoholic extracts of Nerium oleander L.: PCA analysis and phytochemical content by FTIR spectroscopy. Nor. Afr. J. Food Nutr. Res. 2023; 7(15):1-8.
- 10. Atay BI, Tuğba DH, Gökhan Z, Nesrin C, Ahmet FA, Ceyhan GA, Hasan K, Erdem Y. Enzyme inhibitory and antioxidant activities of Nerium oleander L. flower extracts and activity guided isolation of the active components. Industrial Crops & Products. 2018; 112:24-31.
- 11. Atay BI, Gören AC, Hasan K, Erdem Y. Evaluation of the in vitro Anti-inflammatory Activity of Nerium oleander L. Flower Extracts and Activity-Guided Isolation of the Active Constituents. Rec. Nat. Prod. 2018; 12:128-141.
- 12. Alka B, Anita K, Dr. Anshulika U. Phytochemical analysis and antimicrobial activity of Nerium oleander L. 2017; 2(3):29-32.
- 13. Benson KF, Newman RA, Jensen GS. Antioxidant, anti-inflammatory, anti-apoptotic, and skin regenerative properties of an Aloe vera-based extract of Nerium oleander leaves (NAE-8®). Clinical, Cosmetic and Investigational Dermatology. 2015; 8:239-248.
- 14. Blum LM, Reiders F. Oleandrin distribution in a fatality from rectal and oral Nerium oleander extract administration. J Anal Toxicol. 1983; 11:219–21.
- 15. Botelho AFM, Artour SM, Cavalcante JHC, Martins MM. Hydroalcoholic extract from Nerium oleander L. (Apocynaceae) elicits arrhythmogenic activity. Journal of Ethnopharmacology. 2017; 206:170-177.
- Calderón-Montaño JM, Burgos-Morón E, Mateos SO, Lázaro ML. A Hydroalcoholic Extract from the Leaves of Nerium oleander Inhibits Glycolysis and Induces Selective Killing of Lung Cancer Cells. Planta Med. 2013; 79:1017–1023.
- 17. Chakravarty AK, Mukhopadhyay S, Das B. Swertane Terpenoids from Swertia chirayita. Phytochemistry. 1991; 30:4092-4087.
- 18. Chaudhary K, Dev. NPAntimicrobial Studies on Nerium Oleander Iinn. leaves (white kaner leaves)", Int. Res. J. Pharm. 2018;9(2):1-3.
- 19. Dardona Ayman W. Y, Sahabuddin Dahlia. Evaluation of Antimicrobial activity of Methanolic and Ethanolic extracts of Three varieties of Nerium oleander. Journal of Pharmaceutical Negative Results. Vol 13, special issue 7, 2022
- 20. Derwich Elhoussine, Benziane Zineb, Boukir Abdellatif. Antibacterial activity and chemical composition of the essential oil from flowers of Nerium oleander. Electronic journal of Environmental and Agricultural chemistry. 2010; 9(6):1074-1084.
- 21. Devi N, Prajapati SK, Gupta AK. Evaluation of Anti-Inflammatory Activity of Aerial Part Extract of Nerium Indicum in Acute and Chronic in vivo Models in Mice. International Journal of Pharmacy and Biological Sciences 2019; 9(1): 1465-1473.
- Dodd-Butera T, Broderick M. Plants, Poisonous. Encyclopedia of Toxicology (Second Edition) 2005, Pages 443-448.





- 23. Eddleston M, Ariaratnam CA, Sjostrom L. Acute yellow oleander (Thevetia peruviana) poisoning: cardiac arrhythmias, electrolyte disturbances, and serum cardiac glycoside concentrations on presentation to hospital. Heart 2000; 83:301-6.
- 24. Farkhondeh T, Kianmehr M, Kazemi T, Samarghandian S, Khazdair MR. Toxicity effects of Nerium oleander, basic and clinical evidence: A comprehensive review. Human and Experimental Toxicology 2020; 39(6):773-784.
- 25. Fonseka MMD, Seneviratne SL, de Silva CE, Gunatilake SB, de Silva HJ. Yellow oleander poisoning in Sri Lanka: outcome in a secondary care hospital. Hum Exp Toxicol 2002; 21:293-5.
- 26. Gayathri V., Ananthi S., Vasanthi Hannah R. Antihyperlipidemic Potential of Polyphenol and Glycoside Rich Nerium oleander Flower against Triton WR-1339-Induced Hyperlipidemia in Experimental Sprague Dawley Rats. Journal of Chemistry. 2013 Volume 2013 | Article ID 825290.
- 27. Giuseppina S, Silvia M, Alessandra S, David.C. Anti-poliovirus activity of Nerium oleander aqueous extract. Natural Product Research. 2019:1478-6427.
- 28. Hamon-Navard S, Bahrami AM, Razmjou M, Asadi-Samani M, Hatami-Lak M. Evaluation of Nerium oleander aqueous extract effect on Staphylococcus aureus and Staphylococcus epidermis. Journal of Shahrekord University of Medical Science. 2013;15(1):46-54.
- 29. Haynes BE, Bessen HA, Wightman WD. Oleander tea: herbal draught of death. Annals Emergency Med 1985; 14:350-3.
- 30. Hougen TJ, Lloyd BL, Smith TW. Effects of inotropic and arrhythmogenic digoxin doses and of digoxin-specific antibody on myocardial monovalent cation transport in the dog. Circ Res 1979; 44: 23-31.
- 31. Huq M. Mostaqul, Jabbar A., Rashid M.A., Hasan C.M. A novel antibacterial and cardiac steroid from the roots of Nerium oleander. Fitoterapia. 1999; 70:5-9.
- 32. Iran MM. Antioxidant activity and total phenolic content of Nerium oleander L. grown in North of Iran. J Pharm Res Autumn 2012; 11:1121–6.
- 33. Jaddoa Nihad Taha Mohammed, Mohammed Rasha Kareem. Evaluating the anti-Pseudomonas Aeroginosa Efficacy and Potential Cytotoxicity of Nerium Oleander Alcoholic Extract. Indian Journal of Forensic Medicine & Toxicology. 2021; 15(2):3026-3033.
- 34. Kandagatla Swapna, Arukala Meghana, Mandapally Greeshma. In-vitro evaluation of anthelmintic activity of aqueous extract of Nerium oleander. Journal of Pharmacognosy and Phytochemistry. 2019; 8(2):1303-1305
- 35. Khalid N, Noman A, Nazir A, Tufail A, Hadayat N, Alzuaibr FM, Ikram S, Akhter N, Hussain M, Aqeel M. Environ Sci Pollut Res Int. 2023 Mar;30(14):40551-40562. doi: 10.1007/s11356-023-25160-z.
- 36. Kumar G, Karthik L, Rao KVB, Kirthi AV, Rahuman AA. Phytochemical composition and mosquito controlling property of Nerium oleander leaves (Apocynaceae) against Culex tritaeniorhynchus and Culex gelidus (Diptera: Culicidae). Asian Pacific J Trop Biomed 2012; 2:1-6.
- 37. Kumar R, Yadav D. Antibacterial activity of ethanolic extracts of nyctanthes arbortristis and nerium oleander. Indian Journal of Research in Pharmacy and Biotechnology 2013;1(3):311-313.
- 38. Kumar Senthil, Anand Ganeshan R.," Evaluation of anti-inflammatory activity of Nerium Oleander" Pharmacia. 2010;1(1):1-5.
- 39. Magdum Dr. J. J., "Study of Antibacterial and Antifungal Activity of Nerium Oleander flower extract and its Phytochemical Screening. World Journal of Pharmaceutical Research. 2016; 5(1):640-647.
- 40. Makia Raghada S. A. Antibacterial Evaluation of Nerium Oleander Extract Enhanced by Titanium Oxide Nanoparticles", Journal of Al-Nahrain University. 2017; 20 (2):25-30.
- 41. Manna SK, Sah NK, Newman RA, Cisneros A, Aggarwal BB. Oleandrin suppresses activation of nuclear transcription factor-kappaB, activator protein-1, and c-Jun NH2-terminal kinase. Cancer Res 2000; 60:3838-47.
- 42. Mary SJ, Chithra B, Sivajiganesan Dr.S, "In vitro Anti inflammatory Activity of the Flowers of Nerium oleander (white)", International Journal of Research. 2017; 5 (6):123-128.
- 43. Mouhcine M, Amin Li, Saaid A, Khalil H, Laila B, Mohammad M. Cytotoxic, antioxidant and antimicrobial activities of Nerium oleander collected in Morocco" Asian pacific journal Of tropical medicine. 2019; 12:32-37.
- 44. Namian P, Talebi T, Germi KG, Shabani F. "Screening of Biological Activities (Antioxidant, Antibacterial and Antitumor) of Nerium oleander Leaf and Flower Extracts" American Journal of Phytomedicine and Clinical Therapeutics. 2013; 1(4):378-384





- 45. Pathak S, Multani AS, Narayan S. Anvirzel an extract of Nerium oleander, induces cell death in human but not murine cancer cells. Anticancer Drugs 2000; 11:455-63.
- 46. Poppenga RH, Puschner B. Toxicology. In: Farkhondeh T, Kianmehr M, Kazemi T, Samarghandian S, Khazdair MR. Toxicity effects of Nerium oleander, basic and clinical evidence: A comprehensive review. Human and Experimental Toxicology. 2020;39(6):773-784.
- 47. Rana VS, Raw coside and antioxidant constituents from the rhizomes of Sweria speciosa. Chemistry and Biodiversity. 2005; 2(10):1310-1315.
- 48. Rashan LJ, Franke K, Khine MM. Characterization of the anticancer properties of monoglycosidic cardenolides isolated from Nerium oleander and Streptocaulon tomentosum. Journal of Ethnopharmacology. 2011; 134(3):781-788.
- 49. Raveen R, Kamakshi KT, Deepa M, Arivoli S, Tennyson S. Larvicidal activity of Nerium oleander L. (Apocynaceae) flower extracts against Culex quinquefasciatus Say (Diptera: Culicidae). Int J Mosquito Res 2014; 1:38–42
- 50. Roni M, Murugan K, Panneerselvam C, Subramaniam J, Hwang JS. Evaluation of leaf aqueous extract and synthesized silver nanoparticles using Nerium oleander against Anopheles stephensi (Diptera: Culicidae). Parasitol Res 2013; 112:981–90
- 51. Saranya S, Archana D, Santhy KS. Antimicrobial and antioxidant effects of Nerium oleander flower extracts. Int J Curr Microbiol App Sci. 2017; 6(5):1630-1637.
- 52. Sawi M El, Geweely Neveen S, Qusti Safaa, Mohamed M, Kamel A. Cytotoxicity and antimicrobial activity of Nerium oleander extracts. Journal of Applied Animal Research. 2010; 37:25-31.
- 53. Schultz RP. Assay of cellular immunity. J. Am. Vet. Med. Assoc., 1982; 181:1169-1176.
- 54. Shafiq Y, Naqvi SBS, Rizwani GH, Asghar MA, Bushra R, Ghayas S, Rehman AA, Asghar MA. A mechanistic study on the inhibition of bacterial growth and inflammation by Nerium oleander extract with comprehensive in vivo safety profile. BMC Complementary Medicine and Therapies. 2021; 21:1-19.
- 55. Shafiq Y, Naqvi SBS, Rizwani GH, Asghar MA, Bushra R, Ghayas S, Rehman AA, Siddiqui B, Bokhari NA, Perveen K. Antifungal ability of Nerium Oleander against Fusarium Oxysporum, Sclerotium Rolfsii and Macrophomina Phaseolin. The Journal of Animal & Plant Sciences. 2016; 26(1):269-274.
- 56. Sighal KG, Gupta GD. Some central nervous system activities of Nerium Oleander Linn (Kaner) flower extract. Tropical Journal of Pharmaceutical Research. August 2011; 10(4):455-461
- 57. Siham Lakhmili, Saida Obraim Saida, Taourirte Moha, Nadia Seddiqi, Hakima Amraoui. Chemical Analysis and Antioxidant Activity of "Nerium Oleander" leaves. OnLine Journal of Biological Sciences. 2014; 14(1): 1-7.
- 58. Singh S, Shenoy S, Nehete PN, Yang P, Nehete B, Fontenot D, et al. Nerium oleander derived cardiac glycoside oleandrin is a novel inhibitor of HIV infectivity. Fitoterapia 2013;84:32-9.
- 59. Singh Shailbala, Shenoy Sachin, Nehete Pramod N., Sastry K. Jagannadha. Nerium oleander derived cardiac glycoside oleandrin is a novel inhibitor of HIV infectivity. Fitoterapia. 2013; 84: 32–39.
- 60. Singhal GK, Gupta GDas. Hepatoprotective and antioxidant activity of methanolic extract of flowers of Nerium oleander against CCI4-induced liver injury in rats. Asian Pacific Journal of Tropical Medicine. 2012: 677-685.
- 61. ST, T. Research Int. An open-label, non-randomized, pilot study to test the safety and efficacy of Nerium-AS, a topical natural Nerium-based solution, (the Test Article) in patients with solar lentigines (Age Spots) and actinic keratosis. Report to Nerium Biotechnology, Inc; 2008.
- 62. ST, T. Research Int. Pharmacological effects of single and multiple dose topical administration of Nerium LS-A and Nerium LS-HW in New Zealand White Rabbits. Report to Nerium Biotechnology, Inc; 2009
- 63. Tien Vung Nguyen, Duc Loi Vu, Thanh Tung Bui. Isolated Compounds and Cardiotonic Effect on the Isolated Rabbit Heart of Methanolic Flower Extract of Nerium oleander L. Research Journal of Phytochemistry. 2016; 10(1):21–29.
- 64. Tirumalasetti Jayasree, Patel Maulik, Shaikh Ubedulla, Harini K., Shankar J. Evaluation of skeletal muscle relaxant activity of aqueous extract of Nerium oleander flowers in Albino rats. Indian J Pharmacol. 2015; 47(4): 409-413.
- 65. Tiwari Ghanshyam, Rathour Braj Kishore, Mishra Sunil K., Sagar Ram. New CNS depressant cardenolide glycoside from the roots of Nerium oleander. Results in Chemistry. 2020; 2: 100035.





Manish Kumar Yadav et al.,

- 66. Valnet J. Oleandro, Fitoterapia-cura delle malattie con lepiante (Oleander, phytotherapy-diseases cure with plants). Aldo Martello-Giunti, Firenze, Italy; 1976:332-3.
- 67. Zaid R, Garayoa RC, Ortega-Chacón NM, Mouhouche F. Phytochemical analyses and toxicity of Nerium oleander (Apocynaceae) leaf extracts against Chaitophorus leucomelas Koch, 1854 (Homoptera: Aphididae). Journal of the Saudi Society of Agricultural Sciences. 2022; 21: 310-317.
- 68. Zhai J, Dong X, Yan F, Guo H, Yang J. Oleandrin: A Systematic Review of its Natural Sources, Structural Properties, Detection Methods, Pharmacokinetics and Toxicology. Front. Pharmacol. 2022; 13: 822726.

Table 1: List of reported pharmacological activities of N. oleander and their parts

S.N.	Parts of Plant	Extraction (Medium)	Model (In Vivo/ In Vitro)	Activity	Reference
1.	Fresh pulverized roots	95% EtOH	Disc diffusion method	Antibacterial activity	Huq M. Mostaqul et al., 1999
2.	Freshly ground leaves	Reconstituted Iyophilized extract was chromatographed using water, methanol, acetone, and 2 N HCl in a sequential elution method on a Diaion HP- 20 column	Effects on the growth of human tumor cell lines were assessed using a modified propidium iodide assay. conducted an acid phosphatase assay	Antitumor activity, Antiproliferative activity	Rashan Luay J. et al., 2011
3	Flowers	Petroleum ether (40 – 60 °C)	Pentylenetetrazol (PTZ)- induced convulsion test	Determination of anticonvulsant activity	Sighal KG, et al., 2011
4	Flowers	Petroleum ether, chloroform, ethyl acetate, methanol and water	Thiocyanate method	Antioxidant activity	Singhal Kumar Gaurav, et al., 2012
5	Flowers	50% Ethanol	Triton WR-1399-Induced Hyperlipi demia	Antihyperlipidemic activity	Gayathri V et al., 2013
6	Leaf	Aqueous extract	Well diffusion and disk methods	Antibacterial activity	S Hamon- Navard, <i>et al.</i> , 2013
7	Leaf	Methanol	Method of DPPH	Antioxidant Activity	Siham Lakhmili, et al., 2014.
8	Shoots	Distillate	MTT assay	Antiviral Activity	Avci Oguzhan, et al., 2014
9.	Flowers	Hydro-distillation	Disk diffusion testing and Minimum inhibitory concentration (MIC)	Antibacterial activities	Derwich Elhoussine et al., 2010
10	Leaves	Ethanolic extract using cold extraction method	Langendorff method	Cardiotonic effect	AdomeR. O., et al., 2003.
11	Leaves	The extraction was done with ethanol: water (1:1) for one hour at 60 °C	Measuring the levels of lactate and glucose in untreated and treated	Inhibition of glycolysis and cytotoxic activity	Calderón- Montaño José Manuel, <i>et al.</i> ,





		using an ultrasonic water bath equipment	cells 2. In-vitro MTT assay	against lung cancer cell	2013
12	Whole plant	Hot extract and cold extract	Plaque assay	Anti-poliovirus activity	Sanna Giuseppina, et al., 2019
13	Leaves	Extracted with petroleum ether, chloroform and alcohol with the help of soxhlet apparatus	1.Anti inflammatory: Borgi and vogel Method 2. Antipyretic activity:Brevers yeast induced pyraxia	Anti inflammatory and Antipyretic activity	Kumar Senthil, et al., 2010.
14	Flowers	Aqueous extract of flowers	Rotarod test	Activity of skeletal muscle relaxants	Tirumalasetti Jayasree, et al., 2015
15	Flowers	Methylene chloride with methanol (1:I) extraction	Assay of Comet Diffusion plate technique	1.Level of DNA strand-break formation 2. Antibacterial and antifungal activity	Sawi M. El, <i>et</i> <i>al.</i> , 2010
16	Whole plant	<i>N. oleander</i> extract, Anvirzel™	After treatment, cell viability was assessed using the common Trypan blue dyeexclusion technique.	Effectiveness against human peripheral blood mononuclear cell HIV infection.	Singh Shailbala, et al., 2013
17	Freshly collected leaves	Extracted with a hydroalcoholic solution (ethanol: water; 1:1)	In vivo studies with guinea pig	Arrhythmogenic activity	Botelho Ana Flávia Machado, et al., 2017
18	Whole plant	Extracted with ethanol	Disc diffusion method	Antimicrobial activity	Makia Raghada S. A., et al. 2017
19	Whole plant	Aqueous extract	1.Agar-well diffusion method Mueller Hinton agar 2. cancer cell lines (L20B)	1.Activity against pathogenic bacteria 2. Cytotoxic effect on cancer cell line	Al- Obaidi Omar Hamad Shehab, et al., 2014
20	Leaves and flowers	Soxhlet extracted with n- Hexane (Hex), dichloromethane (DCM) and methanol (Met),	1. RC50 value was measured as mg/mL. 2. Agar disc diffusion, agar dilution and determination of Minimum Inhibitory Concentration (MIC)	Antioxidant assay Antibacterial assay Cytotoxicity assay	Namian Pegah, <i>et a l.</i> , 2013
21	Leaves	Aqueous and ethanol extractions	1.Cell lines used 2. Disc diffusion method 3. DPPH radicals	Cytotoxic Antioxidant Antimicrobial activities	Mouhcine Messaoudi, et al., 2019
22	Leaves and	Methanol, ethanol,	Agar disc diffusion	Antimicrobial activity	Bameta Alka,





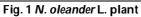
	stems	petroleum ether and chloroform	method		et al., 2017
23	Leaves	Ethanol and aqueous extraction	Plate-hole diffusion assay	Antimicrobial activity	Aboud Anmar Saadi, et al., 2014
24	Flowers	Ethanol Extract	Agar well diffusion method	Antimicrobial and Antifungal Activity	Magdum Dr. J. J., <i>et al.</i> , 2016
25	Flowers	Methanol Extract	Langendorff technique	Cardiotonic Effect	Tien Vung Nguyen, <i>et al.,</i> 2016.
26	Leaves	Aloe Vera based extract	Topical application	Wound healing potential	Akgun evcan Gul, et al., 2017
27	Flowers	Mixture of ethanol, chloroform and water	agar diffusion method DPPH free radical scavenging	Antimicrobial and Antioxidant Effects	Saranya S et al., 2017
28	Leaves and stems	Methanol extracts	Agar dilution method	Antibacterial activity	Abderrahim Leila Ait, <i>et al.</i> , 2017
29	Flowers	Methanol extract	Inhibition of albumin denaturation	Anti-Inflammatory Activity	Mary Dr.S.Jasmine, et al., 2017.
30	Flowers	Methanol extract	in vitro activity-guided fractionation techniques	Anti-inflammatory Activity	Balkan İrem Atay, et al., 2018
31	Leaves	Chloroform and Methanol	Well diffusion method	Antibacterial and Antifungal activity	Kiran Chaudhary, et al., 2018
32	Flowers	Absolute ethanol	Ellman's method DPPH free radical scavenger activity	Cholinesterase (ChE) inhibitory activity Antioxidant activity	Balkan İrem Atay, <i>et al.,</i> 2018
33	Leaf, stem and root	Extracts made from aqueous, methanol, ethanol, choloroform, and acetone	Agar well diffusion method	Antifungal activity	Siddiqui, Bokhari N. A, et al., 2016
34	Leaves	Aqueous extract by decoction method	Standard drug solution and different concentration of extracts were poured in different Petri dishes . Earthworms were used	Anthelmentic Activity	Kandagatla Swapna, <i>et al.</i> , 2019
35	Fresh dried roots	Aqueous-ethanol extract	Bioassay	CNS depressant activity	Tiwari Ghanshyam, <i>et</i> <i>al.</i> , 2020
36	Leaves	Hydrodistillation	Agar well diffusion method	Anti-bacterial activity	Almanaa Taghreed N <i>et</i> <i>al.</i> , 2021
37	Leaves	Alcoholic extract	Disk diffusion test onion root cells as a	Antibacterial Activity cellular toxic effect	Jaddoa Nihad Taha





			model of the study		Mohammed, <i>et al.</i> , 2021
38	Flowers	Ethanol and Methanol (70% Concentration)	Agar well diffusion method	Antimicrobial activity	Dardona Ayman W Y, et al., 2022.
39	Flowers and leaves	Hydro-ethanolic extracts extracted using a microwave	Spectrophotometric tests (DPPH, ABTS and FC) MTT Assay	Antioxidant activity Anticarcinogenic Activity	Ayouaz Siham, Koss- Mikołajczyk Izabela <i>et al.</i> , 2023





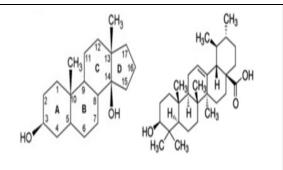


Fig. 2: The structure of Oleandrin and Ursolic acid [Rana et al., 2005].





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Challenges and Impact Analysis of NIPUN Bharat in Improving Foundational Literacy and Numeracy

Lohans Kumar Kalyani*

Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College (Affiliated to Dr. Rammanohar Lohia Avadh University), Ayodhya, Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 27 Aug 2024

*Address for Correspondence Lohans Kumar Kalyani

Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College (Affiliated to Dr. Rammanohar Lohia Avadh University), Ayodhya, Uttar Pradesh, India.

Email: lohanskalyani@lbsdc.org.in

© 0 9 9

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The purpose of this study is to assess NIPUN Bharat, the Indian program for proficiency in reading, comprehension, and numeracy, as well as its difficulties. NIPUN Bharat was introduced as a component of the National Education Policy 2020 with the goal of guaranteeing that all children acquire fundamental literacy and numeracy abilities by the conclusion of Grade 3. The study examines the program's effects and evaluates how well it works to enhance children's reading comprehension and math skills between the ages of three and nine. The study examines the various difficulties encountered in putting NIPUN Bharat into practice. It covers topics like the lack of resources, the digital divide, teacher preparation, diversity in language and culture, and the necessity of ongoing parental involvement. The study also explores the program's resistance to pedagogical adjustments and its flexibility to different educational situations. Through a thorough assessment, this study adds significant understanding of NIPUN Bharat's efficacy and makes suggestions for resolving the issues that arose. Policymakers, educators, and other stakeholders interested in improving foundational education in India are intended to benefit from the findings.

Keywords: NIPUN Bharat, FLN, Education, Training Programme.

INTRODUCTION

The NIPUN Bharat Scheme, recently introduced by the Ministry of Education, marks a significant initiative aimed at addressing the educational needs of children aged 3 to 9 years. Spearheading this effort, The National Initiative for Proficiency in Reading with Understanding and Numeracy was essentially introduced by Union Minister of





Lohans Kumar Kalyani

Education Shri Ramesh Pokhriyal, or "Nishank." With a target date of 2026–2027, the overriding objective is to guarantee that every kid in the nation acquires fundamental literacy and numeracy by the end of Grade 3. This national purpose lays the groundwork for revolutionary changes in the country's school and higher education systems. It is an essential part of the National Education Policy (NEP) 2020. NEP 2020, which replaces the 34-year-old National Policy on Education (NPE) from 1986, focuses on important areas like giving children access to and retention during their formative years of education, developing high-quality and diverse learning materials for both students and teachers, and putting in place reliable progress tracking mechanisms to keep an eye on each student's learning outcomes. The launch of NIPUN Bharat aligns with the broader vision of NEP 2020, emphasizing the critical importance of foundational literacy and numeracy as building blocks for a comprehensive and inclusive educational framework in India. The initiative reflects a commitment to fostering a solid educational foundation for the country's youth, paving the way for a brighter and more equitable future.

What is the NIPUN Bharat Initiative's Complete Form

NIPUN Bharat Initiative stands for "National Initiative for Proficiency in Reading with Understanding and Numeracy Bharat Initiative." The NIPUN Bharat Initiative is a comprehensive national initiative that aims to improve the reading and numeracy proficiency of Indian pupils. The effort places special emphasis on ensuring that these fundamental abilities are mastered by the end of Grade 3, laying the groundwork for improved academic performance and increased opportunities for all children in the country.

Vision of NIPUN Bharat Initiative

NIPUN AXOM aims to establish a conducive atmosphere that guarantees the equitable attainment of fundamental literacy and numeracy skills. By the end of Grade 3, 2026–2027, all kids will have achieved the desired learning outcomes in reading, writing, and numeracy thanks to this.

NIPUN Bharat Mission Features

The NIPUN Bharat Mission encompasses a range of features that are strategically designed to foster foundational literacy and numeracy skills among students across India. These features are integral to the mission's comprehensive approach towards transforming the educational landscape.

Universal Learning Competencies

The goal of the NIPUN Bharat Mission is to guarantee that all people acquire the fundamentals of literacy and numeracy. The focus is on imparting essential competencies in reading with comprehension, proficient writing, and numerical skills.

End-of-Grade 3 Mastery

A key feature is the targeted timeline for skill mastery. The mission sets a clear objective that every child should achieve the desired learning competencies by the end of Grade 3. This time-bound approach adds urgency and specificity to the initiative.

Alignment with National Education Policy (NEP) 2020

NIPUN Bharat is in harmony with the transformative goals outlined in the National Education Policy of 2020. It aligns with the broader vision of restructuring and revitalizing the education system to meet the evolving needs of students.

Inclusive Learning Environment

The goal of the mission is to establish a welcoming atmosphere that meets the various needs of every student. It acknowledges how critical it is to accommodate differences in learning styles so that all children, regardless of background, may take advantage of the program.





Lohans Kumar Kalyani

Strategic Planning for 2026-27

NIPUN Bharat features a strategic planning horizon, setting the target year as 2026-27. This long-term vision allows for systematic implementation and assessment, fostering sustainable improvements in literacy and numeracy outcomes.

Technology Integration

The initiative acknowledges the role of technology in modern education. It incorporates elements of technology to enhance learning experiences, providing educators and students with digital resources and tools.

Progress Tracking Mechanism

NIPUN Bharat stresses the need of having a reliable system in place to monitor each child's development. Implementing effective monitoring and evaluation systems ensures that the initiative's goals are met and adjustments can be made as necessary.

Teacher Capacity Building

Recognizing the pivotal role of educators, NIPUN Bharat includes provisions for teacher training programs. Enhancing teacher capacity is seen as crucial to the success of the mission, ensuring that educators are well-equipped to facilitate improved learning outcomes.

In essence, NIPUN Bharat Mission's features encapsulate a holistic and forward-looking approach, addressing not only the immediate need for foundational literacy and numeracy but also considering the broader educational landscape and future learning requirements.

NIPUN Bharat Foundational Literacy and Numeracy (FLN)

The National Initiative for Proficiency in Reading with Understanding and Numeracy (NIPUN Bharat) is one of the most significant national missions focused on fundamental literacy and numeracy (FLN). FLN places a high value on a child's ability to read comprehension and solve simple math problems by the end of Class 3. Fundamental literacy and numeracy are highly valued in the National Education Policy (NEP) 2020. which is why it carefully combines two crucial elements: foundational language and literacy and foundational numeracy. The strong emphasis placed on FLN by NEP 2020 is in line with the overarching goal of education, which is to provide students with a solid grounding in language and math to support their future academic pursuits. The fundamental level synergistic integration of numerical aptitude and language competency is essential for supporting holistic educational development and equipping pupils for lifetime academic achievement.

Foundational Language and Literacy

(i). Focus Areas:

- Reading Comprehension: Emphasis on developing the ability to understand and interpret written texts.
- **Writing Proficiency:** Building foundational writing skills, including grammar, vocabulary, and composition.

(ii). Key Components:

- Phonics: Understanding the relationship between sounds and letters.
- Vocabulary Building: Expanding the range of words known and understood.
- Reading Fluency: Developing the ability to read with speed, accuracy, and comprehension.
- Comprehension Strategies: Teaching methods to understand and interpret texts effectively.
- Writing Skills: Grasping fundamental writing techniques and expressing ideas coherently.

(iii). Teaching Approaches:

- Storytelling: Using narratives to enhance language skills and comprehension.
- Phonics Instruction: Systematic teaching of sound-letter relationships.
- Reading Aloud: Encouraging students to listen and comprehend spoken language.





Lohans Kumar Kalyani

Foundational Numeracy

(i). Focus Areas:

- Basic Arithmetic: proficiency in addition, subtraction, multiplication, and division—basic mathematical operations.
- Number Sense: Developing an intuitive understanding of numbers and their relationships.

(ii). Key Components:

- Counting: Learning to count numbers and understand their sequence.
- Basic Operations: Building skills in addition, subtraction, multiplication, and division.
- **Problem Solving:** Applying mathematical concepts to solve real-world problems.
- Number Recognition: Identifying and understanding the significance of different numbers.
- Measurement: Grasping basic concepts of measurement and comparison.

(iii). Teaching Approaches:

- Hands-On Activities: Engaging students in tangible, real-world math experiences.
- Problem-Based Learning: Encouraging students to solve problems using mathematical concepts.
- Visual Aids: Using visuals and manipulatives to aid understanding.

Comparative Insights

- **Interconnectedness:** While these areas seem distinct, they are interconnected. Proficiency in language can enhance mathematical understanding and vice versa.
- **Early Intervention:** Both literacy and numeracy skills are foundational and require early intervention for optimal development.
- Holistic Approach: Combining language and numeracy education creates a holistic learning environment, acknowledging the symbiotic relationship between these skills.
- **Individualized Learning:** Recognizing that students may have different learning styles and paces, educators tailor their approaches to cater to diverse needs in both language and numeracy education.

Remember, the effectiveness of these programs relies heavily on the integration of various teaching methodologies, continuous assessment, and the adaptability of educators to the unique needs of their students.

NIPUN Bharat Objective

- The Ministry of Education (MoE) oversees the NIPUN Bharat Mission, which is run by the Department of School Education and Literacy and has a designated Mission Director. The mission has a broad range of goals aimed at changing the educational system, including:
- **Inclusive Classroom Environment:** Create an inclusive learning environment by explicitly introducing home languages into the curriculum, connecting play, discovery, and activity-based pedagogies to children's everyday experiences.
- **Literacy Empowerment:** Give kids the opportunity to develop into enthusiastic, self-reliant, and involved writers and readers who possess long-lasting writing and reading abilities.
- **Numerical and Spatial Proficiency:** Develop children's capacity for reasoning in the areas of numbers, measurements, and shapes so they can solve problems on their own by improving their numeracy and spatial comprehension.
- **Quality Teaching Materials:** Make ensuring that excellent, culturally relevant teaching and learning resources are available and are used effectively in the mother tongue.
- **Continuous Capacity Building:** Make it a priority to continuously develop the competencies of educators, including head teachers, academic resource persons, and education administrators.
- **Stakeholder Engagement:** Actively collaborate with educators, parents, students, members of the community, and legislators to build a solid basis for lifelong learning.
- Assessment Strategies: Use a variety of assessment techniques to make sure that evaluation is done as part of
 and for learning, such as portfolios, group and collaborative projects, games, quizzes, role plays, oral
 presentations, and quick exams.





Lohans Kumar Kalyani

• **Learning Progress Tracking:** Establish mechanisms to track the learning levels of all students, ensuring a dynamic and responsive approach to education.

NIPUN Bharat Mission Benefits

Children between the ages of 3 and 9 benefit greatly from the NIPUN Bharat Yojna's emphasis on helping them build the fundamental reading and numeracy skills that are necessary for their overall development.

- Comprehensive Support:By providing support to pupils from preschool through Class 3, the NIPUN Scheme guarantees a thorough approach to early childhood education.
- Targeted Assistance for Classes 4 and 5:Special provisions are established for children in Classes 4 and 5 who may not have the foundational skills as part of the NIPUN Bharat objective. To improve their academic performance, these children get committed peer assistance, tutoring, and additional learning resources.
- **Public and Private School Integration:**The mission aspires to achieve its objectives across both private and government-aided schools, intending to cover the entire educational spectrum by the year 2026-27.
- Clear Learning Goals "Lakshya": The NIPUN Bharat Mission sets clear and measurable learning goals, encapsulated under the term "Lakshya," ensuring a focused and goal-oriented approach towards educational outcomes.

Level Learning Outcomes

(i). Balvatika:

- **Identifies letters and their corresponding phonemes:** The foundational stage involves the recognition of letters and their corresponding sounds, setting the groundwork for language acquisition.
- Capable of reading simple words with at least two or three alphabets: Children at this level progress to reading basic words, typically consisting of 2 to 3 alphabets, enhancing their language skills.
- Recognizes and reads numerals up to 10: The introduction to numerals begins at this stage, with an emphasis on recognizing and reading numbers up to 10.
- Sequences the numbers, items, shapes, and occurrences of events: Developing a sense of order and sequence is fostered, encompassing the arrangement of numbers, objects, shapes, and understanding the occurrence of events in a sequence.

(ii). Grade 1:

- Reads simple phrases in an age-appropriate unknown text that have at least four to five simple words: Progressing from single words, students in Grade 1 advance to reading small sentences, typically composed of 4-5 simple words, in texts unfamiliar to them.
- Read and write numbers up to 99: Numeric literacy expands, enabling students to read and write numbers up to 99, enhancing their mathematical capabilities.
- **Perform simple addition and subtraction:** Basic arithmetic operations, such as addition and subtraction, become part of the learning outcomes at this stage.

(iii). Grade 2:

- **Read with meaning:** The focus intensifies on reading comprehension, encouraging students to read with a deeper understanding of the content.
- **45 60 words per minute:** Reading fluency is emphasized, with the target of achieving a reading speed of 45-60 words per minute.
- Read and write numbers up to 99: Numerical proficiency continues to develop, with students maintaining the ability to read and write numbers up to 99.
- Subtract numbers up to 99: The scope of mathematical operations expands, incorporating subtraction of numbers up to 99.

(iv). Grade 3:

- Read at least 60 words per minute meaningfully: Reading fluency is further refined, with an increased target of reading at least 60 words per minute.
- Write and read the digits up to 99: Numeric literacy remains a focus, with continued proficiency in reading and writing numbers up to 99.





Lohans Kumar Kalyani

• **Resolve basic multiplication issues:** Introduction to multiplication is initiated, with students expected to solve simple multiplication problems, laying the foundation for more advanced mathematical concepts.

Implementation of NIPUN Bharat

- The implementation of NIPUN Bharat would be under the purview of the Department of School Education and Literacy. A comprehensive five-tier implementation system operating at the national, state, district, block, and school levels will be in place for all States and Union Territories. This project is funded centrally as part of the Samagra Shiksha initiative.
- Three previous programmes, Teacher Education (TE),RMSA, and SSA, were combined into the new 'Samagra Shiksha' programme. This program's main objective is to handle schooling from preschool through Class XII in an all-encompassing manner.
- NCERT is assisting NISHTHA in developing a specific package for FLN under the auspices of NIPUN Bharat.
 An ambitious initiative to train almost Now, 25 lakh instructors are using FLN to educate pre-primary through primary classes.
- NISHTHA is a vital capacity-building program that aims to improve school education quality by providing
 integrated teacher training. The training objectives are outlined in a step-by-step manner that includes preprimary or balvatika sessions, guaranteeing a methodical approach to learning at every level.

Expected Outcomes OF NIPUN

The anticipated outcomes of NIPUN encapsulate a spectrum of transformative impacts on the educational landscape, emphasizing foundational skills and holistic development.

- Retention and Transition: It is hoped that foundational skills would act as a solid base, reducing the number of students dropping out and promoting a smooth transition from elementary to upper primary and secondary education.
- Quality Enhancement: The implementation of activity-based learning strategies coupled with a conducive learning environment is poised to elevate the overall quality of education, providing a more enriching and immersive experience for students.
- **Innovative Pedagogies:** The incorporation of innovative pedagogical approaches, including toy-based and experiential learning, is set to infuse joy and engagement into classroom transactions, making learning a vibrant and participatory endeavor.
- **Empowered Teachers:** Through intensive capacity-building initiatives, teachers are expected to be empowered, granting them greater autonomy in selecting and implementing pedagogical methodologies tailored to the unique needs of their students.
- Holistic Child Development: NIPUN lays a strong focus on the holistic development of children, covering a
 wide range of areas including literacy and numeracy proficiency, life skills, physical and motor abilities, socioemotional health, and cognitive development. A thorough Holistic Progress Card will represent these
 interrelated aspects.
- **Accelerated Learning Trajectory:** Enabling children to achieve a steeper learning trajectory is anticipated to yield positive impacts on later life outcomes and employability, setting the stage for lifelong success.
- **Equitable Access:** Given the near-universal attendance of early grades, the strategic focus on this stage is poised to disproportionately benefit socio-economically disadvantaged groups, ensuring equitable and inclusive access to quality education.

Effectiveness of Nipun Bharat Yojana in the present Education System

The NIPUN Bharat Yojana holds significant importance in the present education system, offering a multifaceted approach to address crucial aspects and challenges. Here are some key points highlighting its usefulness:

• Foundational Skills Enhancement: NIPUN Bharat concentrates on teaching kids between the ages of three and nine fundamental reading and numeracy abilities. By targeting these formative years, the scheme aims to equip students with essential skills that lay the groundwork for future academic success.





Lohans Kumar Kalyani

- Early Intervention for Lifelong Learning: Early childhood is a critical phase for cognitive development. NIPUN Bharat's emphasis on foundational skills ensures early intervention, setting children on a trajectory for lifelong learning and enabling them to grasp more advanced concepts as they progress through the education system.
- Reducing Dropout Rates: By enhancing foundational skills, NIPUN Bharat seeks to reduce dropout rates. Strong foundational literacy and numeracy skills contribute to better comprehension and engagement, making it more likely for students to remain in school and pursue higher education.
- **Inclusive Education:** Through the use of a variety of pedagogies, such as play-based and activity-based learning, the program seeks to establish an inclusive learning environment in the classroom. Children from different backgrounds and skill levels can actively engage in the learning process thanks to this inclusion.
- Quality Enhancement through Holistic Development: NIPUN Bharat goes beyond academic skills and emphasizes holistic development, including physical, emotional, and cognitive aspects. This holistic approach contributes to the overall quality of education, nurturing well-rounded individuals.
- **Empowering Teachers:** The scheme recognizes the pivotal role of teachers in achieving its objectives. Capacity-building initiatives for teachers ensure they are well-equipped to implement effective teaching methodologies, creating a positive impact on students' learning experiences.
- Innovative Pedagogies for Engagement: NIPUN Bharat promotes cutting-edge pedagogies to make learning more interesting and pleasurable, like toy-based and experiential learning. This not only enhances educational outcomes but also fosters a love for learning.
- Assessment and Tracking: The scheme places importance on continuous assessment through various methods, including portfolios, collaborative work, and quizzes. This allows educators to track the learning levels of students and tailor interventions accordingly.
- Socio-economic Inclusivity: By focusing on foundational literacy and numeracy, NIPUN Bharat aims to bridge socio-economic disparities in education. It ensures that children, especially those from disadvantaged backgrounds, have equal access to quality education. NIPUN Bharat Yojana emerges as a comprehensive initiative designed to fortify the foundations of education, enhance learning experiences, and contribute to a more inclusive and equitable educational landscape in India.

Challenges Of Nipun Bharat Yojana

While the NIPUN Bharat Yojana holds promising objectives for improving foundational literacy and numeracy in India's education system, it also faces certain challenges. Here are some key challenges associated with the implementation of NIPUN Bharat:

- Infrastructure and Resource Constraints: Many schools, especially in rural areas, face challenges related to inadequate infrastructure, including classrooms, teaching aids, and learning materials. Insufficient resources can hinder effective implementation of NIPUN Bharat's initiatives.
- **Teacher Training and Capacity Building:** The success of NIPUN Bharat relies heavily on the effectiveness of teacher training programs. Ensuring that teachers are adequately trained and have the necessary skills to implement innovative pedagogies can be a substantial challenge, particularly in remote areas.
- **Diversity in Languages and Cultures:** India is a linguistically diverse country with various regional languages and cultures. Adapting teaching materials and methods to cater to this diversity poses a significant challenge. Localized content is essential to ensure that children can relate to the learning materials.
- **Monitoring and Evaluation:** Continuous assessment and tracking of student progress are fundamental to the NIPUN Bharat mission. However, establishing robust monitoring and evaluation mechanisms at various levels, from national to local, can be complex and resource-intensive.
- Parental Engagement and Awareness: Engaging parents in the educational journey of their children is crucial. However, in many cases, there may be a lack of awareness or involvement from parents, especially in marginalized communities. Educating and involving parents becomes a challenge.
- **Digital Divide:** With an increasing emphasis on technology-based learning, the digital divide poses a challenge. Not all schools and students have access to digital devices and the internet, leading to disparities in the implementation of online or technology-driven educational programs.





Lohans Kumar Kalyani

- **Resistance to Change:** The adoption of novel pedagogical approaches and instructional techniques may encounter opposition from established educational establishments. It might be difficult to persuade parents, administrators, and educators to accept change.
- **Socio-economic Disparities:** Socio-economic disparities among students can impact the effectiveness of NIPUN Bharat. Children from disadvantaged backgrounds may face additional hurdles such as lack of proper nutrition, health issues, and home environments that are not conducive to learning.
- Alignment with Existing Curricula: Aligning NIPUN Bharat initiatives with existing curricula and examination systems can be a challenge. Ensuring that the foundational literacy and numeracy skills align seamlessly with the broader educational framework requires careful planning.
- Long-term Sustainability: The sustainability of the initiatives under NIPUN Bharat over the long term is a critical challenge. This involves maintaining the momentum of the program, securing continued funding, and adapting strategies to evolving educational landscapes.

Addressing these challenges will be essential for the successful and sustained implementation of NIPUN Bharat, ensuring that its objectives contribute significantly to improving foundational literacy and numeracy levels across the country.

CONCLUSION

The NIPUN Bharat evaluation highlights the organization's admirable initiatives to improve young students' basic literacy and numeracy abilities in India. The program is a positive step toward achieving the learning goals outlined in the National Education Policy 2020, but there have been challenges encountered along the route that require acknowledgment and resolution. The ability of NIPUN Bharat to lower dropout rates, enhance the transition from elementary to upper primary stages, and establish an engaging learning environment through creative pedagogies is clear evidence of its efficacy. Intense teacher capacity building and a focus on holistic child development all help to improve the educational system as a whole. The report also identifies a number of important obstacles, such as the lack of resources, the digital divide, the diversity of linguistic and cultural environments, and the reluctance to pedagogical innovations. To ensure NIPUN Bharat's long-term success, these issues must be resolved. In order for the project to succeed going ahead, legislators, educators, and stakeholders must work together to improve implementation tactics, supply required resources, and create a positive atmosphere. By doing this, It will be possible for NIPUN Bharat to continue exerting a major effect on the Indian educational system and to ensure that every kid has equitable access to a foundational education of the highest caliber.

REFERENCES

- 1. https://www.education.gov.in/nep/understanding-FLN#:~:text=Foundational%20Literacy%20and%20Numeracy%20(FLN,and%20perform%20simple%20mathematical%20operations.
- 2. chromeextension://efaidnbmnnnibpcajpcglclefindmkaj/https://www.education.gov.in/sites/upload_files/mhrd/files/nipun_bharat_eng1.
- 3. https://www.adda247.com/teaching-jobs-exam/nipun-bharat-mission-2023/#:~:text=NIPUN%20Bharat%20Mission%20is%20to,not%20later%20than%20Grade%20V.
- 4. https://byjus.com/current-affairs/nipun-bharat-programme/#:~:text=The%20NIPUN%20Bharat%20Programme%20was,Understanding%20and%20Numeracy%20Bharat%20Programme
- 5. https://www.foundationallearning.in/fln/
- 6. https://www.foundationallearning.in/fln/#:~:text=About%20FLN%E2%80%8B&text=Class%203%20is%20considered%20the,risk%20dropping%20out%20of%20school.





Lohans Kumar Kalyani

- 7. https://leadschool.in/blog/nipun-bharat-guidelines-understanding-the-outcomes-of-nipun-bharat-mission/#:~:text=Conclusion,understanding%20%26%20numeracy%20skills%20amo
- 8. https://nipunaxom.com/teacher_corner





RESEARCH ARTICLE

Promote Visual Support to Enhance Communicative Skills in Children with Autism

T.Jegadeesan^{1*} and P.Nagalakshmi²

¹Ph.D Research Scholar, Department of Rehabilitation Science, Holy Cross College, (Affiliated to Bharathidasan University) Tiruchirappalli, Tamil Nadu, India.

²Associate Professor, Department of Rehabilitation Science, Holy Cross College, (Affiliated to Bharathidasan University) Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 09 Sep 2024

*Address for Correspondence

T.Jegadeesan

Ph.D Research Scholar, Department of Rehabilitation Science,

Holy Cross College,

(Affiliated to Bharathidasan University)

Tiruchirappalli, Tamil Nadu, India.

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Children diagnosed with autism spectrum disorders (ASD) have difficulties communicating, interacting with others, and using language. They rarely respond to parents and carers and typically avoid making eye contact. Other disabled youngsters use gestures or nonverbal communication to make up for their lack of language and communication skills. It is rare for kids with ASD to speak out of the blue or have discussions with other kids or adults. While some kids with ASD engage in peer connections on their own, others only react; they don't start conversations. The study's objective is to ascertain how visual aids affect autistic children's social, linguistic, and functional capacities. The current study included a total of thirty individuals, ranging in age from two to nine years old (15 in the experimental group and 15 in the control group). The method used to evaluate functional abilities, while the Indian scale for assessment of autism is used to diagnose autism. notable progress in functional abilities about occupational therapy's visual supports. According to research analysis, visual strategies are quite beneficial for helping children between the ages of 5 and 11 enhance their communication skills. The results of this study lend credence to the idea that the use of visual aids enhances comprehension, boosts engagement, and ultimately leads to more successful communication. The pre-and post-test results for the experimental and control groups were examined. The results are examined in light of important factors that could influence how successful a treatment is in the future for both practice and research. For children with autism, occupational therapy appears to compound the positive effects of both therapeutic modifications by enabling them to be self-sufficient in performing all activities of daily living.

Keywords: Autism spectrum disorder, Activities scale for kids, children, visual aids.





Jegadeesan and Nagalakshmi

INTRODUCTION

Autism Spectrum Disorder (ASD)is frequently associated with language and communication issues, such as difficulties interpreting and producing language. Complex language abilities are needed for conversational speech. It can be challenging for kids with ASD to start and continue a discussion, to take turns in a conversation, and to keep up that interaction. Before starting kindergarten, young children must understand how to end one activity and start another promptly. The effectiveness of using a photo figure cue package to help a young kid with autism successfully transition between daily routines in three distinct school environments, [1]. The article discusses the implications of teaching young infants a range of activities with photo-figure cue packages.. According to, [2] there was a significant increase in the number of grooming behaviors performed by all individuals during treatment. During follow-up, there was only a little decline in behavior completion; this is explained by the pictorial cues, which are frequent stimuli used in other maintenance programming processes to help mentally retarded people develop independent living skills. Baseline-1 and Baseline-2 sessions were carried out, [3]. They removed the schedules when these sessions were over. The children were able to complete a task without supervision or suggestions once the visual supports were removed, therefore it can be said that using visual supports to help autistic pupils transition is successful. According to, [4] autism is a neuro developmental condition that affects socialization and communication and is typified by stereotypical behavior. Globally, autism has advanced in rehabilitation services over the last ten years, and research suggests that the number of cases of autism diagnosis has increased by 50% to 2000%. [5] used the ISAA as a diagnostic tool for autism severity in 400 children who were referred for possible autism and ranged in age from 5 to 15. Results indicated that 78.2% of the 400 children who were chosen to have autism had mild autism, 10.8% had moderate autism, and 11.0% had severe autism.

According to WHOQOL-BREF, parents of children with autism exhibited considerable impairment in all four categories (physical, psychological, social, and environmental) when compared to parents of other children, [6]. The mean summary score for normal kids was 93.12, which is considerably higher than the mean score for kids with minor disabilities. In summary, the ASKp score determination for children without musculoskeletal problems offers valuable insights that can support the ASKp's application in clinical and research contexts to evaluate a child's functional level and track any alterations, [7]. A correlation of 0.81 (p<0.0001) with parent-reported childhood showed the validity of the ASK, and Rasch analysis verified that all questions measured the same construct and validated summary score, [8]. [9], describe three photo-type systems that use mobile personal devices, big group displays, and personal recording technologies to address these design issues. Using visual supports for students with autism in inclusive physical education; analyzing the impact of visual supports on individuals with autism who behave in time-on-task and time-off-task manners in inclusive physical education; and analyzing the impact of visual supports on individuals with autism who behave in assisted task-related ways in inclusive physical education, [10]. After receiving 14 one-on-one sessions, the experimental group showed progress. It is determined that youngsters between the ages of 5 and 11 can develop their communication abilities more effectively by using visual tactics, [11]. Three autistic children were used in the testing, and the results showed that all three of them satisfied the PECS learning requirements and should consequently exhibit improved social communication and behavior, [12]. [13], is to find out how well three low-functioning autistic children may learn daily life tasks using visual self-management. The findings demonstrated that autistic children could effectively utilize pictures to control their behavior when a treatment provider was not present, generalize their behavior to different tasks and environments, and retain their behavior throughout follow-up.

MATERIALS AND METHODS

The objective of the study is to ascertain how visual support affects autistic children's social, communicative, and functional abilities. Pre and Post- tests were conducted in Quasi-experimental design.

Control group =Pre-test Experimental group =Pre-test





Jegadeesan and Nagalakshmi

MATERIAL SETTINGS

In total, thirty patients were recruited for this study. They were diagnosed with mild to moderate autism using the ISAA, and they ranged in age from two to nine years. The thirty patients were split into two groups—a control group and an experimental group—and all thirty of them showed delays in social, communicative, and functional skills. Fifteen individuals were drawn from the Occupational Therapy Foundation clinic in Trichunogode for the control group, while the fifteen subjects were drawn for the experimental group from Sri Sarvavidhya Multispeciality Therapy Centre in Erode. The Activities scale for kids is used to quantify social, communicative, and functional skills. The experimental group received visual assistance therapy, while the control group merely received interaction. The therapy was administered for three months, during which time it was increased to one hour on six days each week. The patients in the experimental group had a total of 34 treatment sessions, which were then broken down into 6 sessions: 1–5, 6–10, 11–15, 16–20, 21–25, 26–30, and 31–34. The experimental group of 15 students was divided into two subgroups, consisting of 8 students in one group and 7 students in another. Each group received three days of therapy for its respective subgroup. Pre-test results were obtained using ASK at both groups' entry-level, and post-test results were obtained using ASK once more following the treatment of visual support.

Selection criteria

The selection criteria were divided into two groups: inclusion and exclusion. The study's participant pool consisted of kids with autism who were between the ages of 2 and 9, as per the inclusion criteria. kids who struggle with communication, socialization, and function. Both males and females were chosen for this study. Children classified as having severe autism (ISAA score > 153). The upper age restriction for the exclusion criteria is nine years old. Based on these criteria, children who had a documented medical history of any severe illness and those who had physical dysfunction were chosen. Mental retardation, Asperger's syndrome, Rett's syndrome, and other associated disorders are excluded by an exclusion criterion.

Equipment

The Activities Scale for Kids (ASK)scales were used for the assessment. The Activities Scale for Kids (ASK), a self-reported indicator of physical impairment in children, shows good reliability (ICC=0.97).

Validity: Based on the premise that the genuine correlation was roughly 0.8 alpha = 0.05, beta = 0.20, the sample size computed for the comparison of the Activities Scale for Kids (ASK) and Childhood Assessment Questionnaire (CHAQ) was based on a correlation of at least 0.6. According to this estimate, 40 out of the 200 children who were gathered for the Rasch analysis were included in the assessment of construct validity, even though baseline data from all 200 children were used.

RESULTS AND DISCUSSIONS

Data Analysis and Interpretation

The results of both pre and post-tests for the experimental and control groups are displayed in the figures and tables given below, with the significant differences highlighted. The pre-test results of the experimental and control groups' ASK performance are evaluated in [Table 1 and Figure 1]. The ASK performance mean values, according to the unpaired t-test, are 19.733 and the 19.667, t value is 0.03309 and the p-value is 0.9738, in that order. It demonstrates that there is no discernible change in pre-test values for ASK performance between the experimental and control groups. [Table 2 and Figure 2] compare the experimental and control groups' pre- and post-test ASK capacity values. The results of the unpaired t-test reveal that the experimental and control groups' pre-test ASK capacity values do not significantly differ from one another (mean values: 34.133 and 33.333, respectively; t value: 0.3566; p-value: 0.7241). The effectiveness of using a photofigure cue package to help a young child with autism successfully transition between daily routines in three distinct school environments was investigated. The effectiveness of the intervention was assessed using a multiple baseline across contexts methodology. There is a discussion of the implications of teaching young children a range of activities with photofigure cue packages. ASK performance post-test data for the experimental and control groups are compared in [Table 3 and Figure 3]. With a mean value of





Jegadeesan and Nagalakshmi

70.800 and 20.666, respectively, t values of 3.061, and a p-value of 0.0048, the unpaired t-test reveals a very significant difference between the experimental and control groups' post-test ASK performance scores. [Table 4 and Figure 4] present a comparison of the ASK capacity post-test values between the experimental and control groups. The unpaired t-test reveals a very significant result with a mean value of 72.400 and 34.600, respectively, a t-value of 6.039, and a p-value of 0.0001. The comparison of the control group's pre- and post-test values for ASK performance is shown in [Table 5 and Figure 5], with mean values of 19.667 and 20.666, respectively, t value of 0.5903, and p-value of p>0.05. It demonstrates that there are no notable differences between the groups. The control group's pre- and post-test ASK capacity values are compared in [Table 6 and Figure 6], with mean values of 33.333 and 34.600, respectively. A t value of 0.6102 and a p value of p>0.05 indicate that there is no significant difference. The experimental group's comparative and post-test results for ASK performance are displayed in [Table 7 and Figure 7]. The paired t-test indicates that the mean values are 19.733 and 70.800, the t-values are 14.697, and the p-value is 0.0167, all of which are highly significant. The findings demonstrated that autistic children could effectively utilize pictures to control their behavior when a treatment provider was not present, generalize their behavior to different tasks and environments, and retain their behavior throughout follow-up. [Table 8 and Figure 8] compare the experimental group's pre- and post-test ASK capacity levels. The mean values of 34.133 and 72.400, as well as the tvalue of 10.313 and p-value of 0.0077, indicate that the paired t-test is very significant. It was carried out using thirty kids from Mumbai's special education schools. Visual aids included manual signs, objects, images, and symbols. After receiving 14 one-on-one sessions, the experimental group showed progress. It is established that children between the ages of 5 and 11 benefit greatly from visual tactics in the development of their communication skills. The findings of this study support and strengthen the theory that using visual aids improves understanding, increases participation, and eventually results in more effective communication.

CONCLUSION

The study's findings indicate that, when compared to the control group, the experimental group of autistic children who received visual assistance improved more in social, communicative, and functional skills. This suggests that providing visual assistance to autistic youngsters was a useful strategy for bringing about functional changes in them. Combining a visual support program with occupational Therapy seems to enhance the benefits of both therapeutic changes in children with Autism, which supports them to be independent in doing all their daily tasks.

LIMITATIONS

Convenient sampling was used to enlist participants, and while the researcher used observation and analysis to evaluate the behavioral features, part of the study relied on the subjective input provided by the parents.

ABBREVIATIONS

ASK - Active Scale for Kids

ASD - Autism Spectrum Disorder

PECS – Picture Exchange Communication Systems

ISAA - Indian Scale for Assessment of Autism

CHAQ - Childhood Assessment Questionnaire

REFERENCES

- 1. Schmit J, Alper S, Raschke D, Ryndak D. Effects of using a photographic cueing package during routine school transitions with a child who has autism. *Ment. Retard.* 2000 Apr 1;38(2):131-7.
- 2. Thinesen PJ, Bryan AJ. The use of sequential pictorial cues in the initiation and maintenance of grooming behaviors with mentally retarded adults. *Ment. Retard.*. 1981 Oct 1;19(5):246.





Jegadeesan and Nagalakshmi

- 3. Dettmer S, Simpson RL, Myles BS, Ganz JB. The use of visual supports to facilitate transitions of students with autism. *Foc. on autism and other devel. dis.*2000 Aug;15(3):163-9.
- 4. Ganaie SA, Bashir A. Global autism: Autism, autism etiology, perceptions, epistemology, prevalence and action. *Inter. Jour. of Clinical Therap. and Diag.*2014 Apr 28;2(2):39-47.
- 5. P. Nanzni. Assessment of Autistic severity among children under 5-15 yearsusingIndianScaleforAssessmentofAutism. *Jour.lofFoodandNutri.sci*.2016 5(2): 87-92.
- 6. VaithiPerumal. Quality of life infamiliesofchildrenwithautism. *Jour. of Phar. Res.* 2014 8(6): 791-797.
- 7. Plint AC, Gaboury I, Owen J, Young NL. Activities scale for kids: an analysis of normals. *Jour. of Pedia. Orthopaed*.2003 Nov 1;23(6):788-90.
- 8. Young NL, Williams JI, Yoshida KK, Wright JG. Measurement properties of the activities scale for kids. *Jour. of clin.Epidem*.2000 Feb 1;53(2):125-37.
- 9. Hayes GR, Hirano S, Marcu G, Monibi M, Nguyen DH, Yeganyan M. Interactive visual supports for children with autism. *Pers and Ubiquitous Compu.* 2010 Oct;14:663-80.
- 10. RubinaLal.Effectofvisualstrategiesondevelopmentofcommunicationskillsinchildrenwithautism. *Jour.ofAsiaPacificDisRehab.Jour.* 2007 18 (2):120-130.
- 11. Charlop-Christy MH, Carpenter M, Le L, LeBlanc LA, Kellet K. Using the picture exchange communication system (PECS) with children with autism: Assessment of PECS acquisition, speech, social-communicative behavior, and problem behavior. *Jour of App.BehaviorAna*. 2002 Sep;35(3):213-31.
- 12. Quill KA. Visually cued instruction for children with autism and pervasive developmental disorders. *Focus on Autis.* Beh. 1995 Aug;10(3):10-20.
- 13. Pierce KL, Schreibman L. Teaching daily living skills to children with autism in unsupervised settings through pictorial self-management. *Jour.of app.beha. ana*.1994 Sep;27(3):471-81.

Table 1:Comparison of pre-test values of experimental and control groups of ASK performance

Group	Factor	Test	Mean	S.D	t value	P value
Experimental	ASK	Pre	19.733	6.112	0.03309	n. 0.0F
Control	Performance	Pre	19.667	4.850	0.03309	p>0.05

Table 2: Comparison of pre-test values of experimental and control groups of ASK Capability

GROUP	Factor	TEST	MEAN	S.D	"t"Value	Pvalue
Experimental	ASK	Pre	34.133	6.105	0.3566	p>0.05
Control	Capability	Pre	33.333	6.184		

Table 3: Comparison of post-test values of experimental and control groups of ASK performance.

GROUP	Factor	TEST	MEAN	S.D	value	P value
Experimental	ASK	Post	70.800	11.989	3.061	p<0.05
Control	performance	Post	20.666	8.016		

Table 4: Comparison of post-test values of experimental and control groups of ASK Capability.

GROUP	Factor	TEST	MEAN	S.D	value	P value
Experimental	ASK	Post	72.400	13.010	6.039	P<0.05
Control	Capability	Post	34.600	9.148	0.039	P<0.05

Table 5:Comparison of pre and post-test values of a control group for ASK Performance.

GROUP	Factor	TEST	MEAN	S.D	value	P value
Control	ASK	Control Pre	19.667	4.850	0.5903	P<0.05
Control	Capability	Control Post	20.666	4.419	0.5903	P<0.05





Jegadeesan and Nagalakshmi

Table6: Comparison of pre and post-values of a control group for ASK capability.

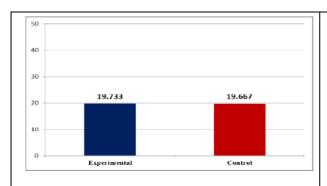
GROUP	FACTOR	TEST	MEAN	S.D	t value	PValue
CONTROL	ASK	Controlpre	33.333	6.184	0.6102	p>0.05
	Capability	Controlpost	34.600	5.138		

Table 7: Comparison of pre and post-test values of the experimental group for ASK performance.

GROUP	Factor	TEST	MEAN	S.D	value	P value
Experimental	ASK	Pre	19.733	6.112	14.697	p<0.05
Control	performance	Post	70.800	11.989		

Table 8: Comparison of pre and post-test values of the Experimental group for ASK Capability.

GROUP	Factor	TEST	MEAN	S.D	value	P value
Experimental	ASK	Pre	34.133	6.105	10.313	p<0.05
Control	performance	Post	72.400	13.010		



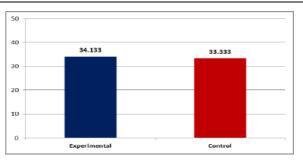


Figure 1: Comparison of Ask Performance

100 80 70.8 60 40 20 60 Experimental Control

Figure 2: Comparison of Ask Capability Pre-Test Values of Experimental and Control Group

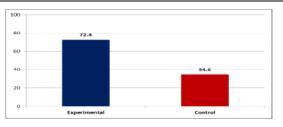


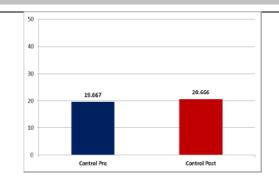
Figure 3:Comparison of Post Test Values of Experimental and Control Group of Ask Performance

Figure 4: Comparison of Post Test Values of Experimental and Control Groups of Ask Capability





Jegadeesan and Nagalakshmi



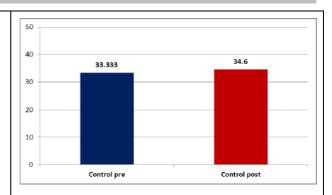
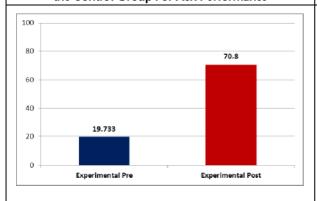


Figure 5: Comparison of Pre and Post Test Values of the Control Group For Ask Performance

Figure 6: Comparison of Pre and Post Test Values of the Control Group For Ask Capability



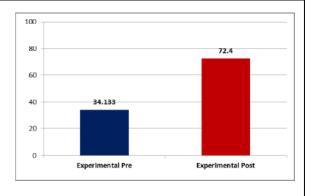


Figure 7: Comparison of Pre and Post Test Values of Experimental Group

Figure 8: Comparison of Ask Capability Pre-Test Values of Experimental Group





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Role of Salicylic Acid and Humic Acid In improving the Growth, Biomass and Pigment Composition in Sesamum indicum L. (Tmv-4) Variety Grown under NaCl Stress

K.Silambarasan¹ and S.N. Rajan^{2*}

Research Scholar, Department of Botany, Annamalai University, Annamalai Nagar, Tamil Nadu, India. ²Assistant Professor, Department of Botany, Government Arts and Science College, Nagercoil, Kanyakumari, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 28 Aug 2024

*Address for Correspondence

S.N. Rajan

Assistant Professor, Department of Botany, Government Arts and Science College, Nagercoil, Kanyakumari, Tamil Nadu, India.

Email: sreelakamrajan@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Soil salinity has become a significant environmental concern due to a growing population and limited arable land. Salt stress on plants has three main effects: It diminishes water potential, disrupts ion balance and homeostasis, and induces toxicity. These alterations in water status initially hinder growth and ultimately limit plant productivity. In this regard, the experimental pot study was carried out to study the impact of salt stress and plant growth regulators salicylic acid and humic acid in mitigating the salinity impact on the Sesamum indicum L (TMV-4) Variety. The plants were treated as, Control, NaCl (100mM), NaCI (100mM) + salicylic acid (1mM), NaCI (100mM) + Humic acid (500mg/L), Humic Acid (500mg/L) and Salicylic acid (1mM/L). The NaCl treatment was given by soil drenching and plant growth regulators were applied by foliar. Plants were collected randomly for experimental study on the 35th, 45th, and 55th, Days after sowing, to analyze the growth parameters and biomass content and estimate pigment composition in Sesamum indicum L. NaCl stress caused the reduced effect on root length, shoot length, biomass content, and leaf area of Sesamum indicum L. plants, the pigment composition was also declined due to NaCI stress effect. In contrast, the plants treated with salicylic acid and humic acid ameliorate the effects of salt stress and enhance thegrowth parameters biomass content and pigment composition in the Sesamum indicum plants. Thus, it can be concluded that these two plant growth regulators can be used to mitigate the salinity stress in gingelly plants.

Keywords: Salicylic acid, humic acid, salt stress, gingelly, NaCl.





Silambarasan and Rajan

INTRODUCTION

Salinity represents a significant environmental constraint that restricts plant growth and overall productivity. Elevated salinity levels exert adverse effects on plants, manifesting as plant mortality or reduced productivity. Numerous plant species have evolved adaptive mechanisms to counteract the negative impact of salinity, either by preventing salt entry into their cells or by developing tolerance mechanisms to cope with salt accumulation within their cellular environment. [1]. High concentrations of sodium (Na·) and chloride ions (CI·) are the primary contributors to salt stress. This stress condition has three main effects as follows: - it diminishes water potential, disrupts ion balance and homeostasis, and induces toxicity. These alterations in water status initially hinder growth and ultimately limit plant productivity. Salt stress encompasses both osmotic and ionic stress components. [2,3,] Salt stress prompts a rapid reduction in leaf surface expansion rates, eventually halting expansion as salt concentration increases. Furthermore, it leads to a substantial decrease in the fresh and dry weights of leaves, stems, and roots. [4]. As salinity levels rise, the water potential and osmotic potential of plants become increasingly negative, while turgor pressure increases. [5] Under salt stress, chlorophyll and total carotenoid levels in leaves typically decrease, leading to chlorosis and eventual shedding of the oldest leaves over prolonged exposure to salt stress [6]. Sesamum indicumL. commonly known as sesame, is a significant oilseed crop with economic importance.

It is extensively grown across various regions globally, including but not limited to India, China, Thailand, Mexico, Guatemala, Afghanistan, Pakistan, Bangladesh, Indonesia, Sri Lanka, Saudi Arabia, and Turkey[7]. Sesame oil comprises sesamin and sesaminol lignans within its non-glycerol fraction, and these components are acknowledged for their crucial roles in enhancing oxidative stability and antioxidative activity[8]. Salicylic acid has been demonstrated to have a pivotal function in activating defense mechanisms against various abiotic stresses, such as salinity and osmotic stress. Several research investigations have indicated that the external application of salicylic acid (SA) can mitigate the toxic effects caused by salinity stress in numerous plant species[9,10]. Exogenous SA treatment induces the expression of pathogenesis-related (PR) genes, including PR1, PR2, and PR5 [11]. Humic acid, found in organic humus, is not classified as a fertilizer but rather as a plant bio-stimulant. Its application leads to significant enhancements in soil and plant characteristics, thereby effectively enhancing plant growth and productivity [12]. Humic acidpossesses the capacity to safeguard plants from both abiotic and biotic stresses while also fostering their growth and development. This stimulation contributes to enhanced yields and agricultural production. [13]. The beneficial impacts of humic acid have been associated with enhancements in soil characteristics, including aeration, aggregation, water retention capacity, ion availability, and transport mechanisms. These improvements facilitate more efficient uptake of nutrients and water by plants, as well as increased accumulation of photosynthates, particularly in conditions of water stress[14,15].

MATERIALS AND METHODS

Sesamum indicum L. specifically the TMV-4 variety waspurchased from Tamil Nadu Agricultural University in Coimbatore (TNAU), Tamil Nadu, India. The chemical regulators Humic acid, salicylic acid, and the analytical reagent sodium chloride (NaCl) were purchased from Sisco Research Laboratories [SRL] based in Chennailndia.

Experimental design

An experimental study on the plant Sesamum indicumL. was conducted at the Botanical Garden situated within the Department of Botany at Annamalai University, located in Chidambaram, Tamil Nadu. The geographical coordinates of the experimental site were recorded as 11°23'23.1"N and 79°43'05.3"E. Prior to sowing, the healthy seeds underwent surface sterilization utilizing a 0.2% mercuric chloride solution for 2 minutes, followed by extensive rinsing with sterile double-distilled water to ensure sterility. The seeds of the TMV-4 variety of Sesamum indicum were then sown in a total of 90 pots, which were further categorized into six distinct groups. Each group, comprising ten replicates, received a single application of mixed fertilizer combined with manure, with the soil composition maintained at a ratio of red soil, sand, and farmyard manure in a 1:1:1 proportion. Various treatments were





Silambarasan and Rajan

administered to the plants, Control, (without treatment) NaCl (100mM), NaCl (100mM) + salicylic acid (1mM), NaCl (100mM) + Humic acid (500mg/L), Humic Acid (500mg/L) and Salicylic acid (1mM/L). The salinity levels within the pots were meticulously monitored at regular intervals by assessing soil samples from each pot using an Electrical Conductivity Meter. Plant specimens were harvested at specific time points (i.e., at the 35th, 45th, and 55thdays after sowing [DAS] to conduct morphological and chlorophyll pigment analyses, thereby evaluating the impact of the different treatments on plant growth and physiological parameters.

Root length

The collected plant roots are thoroughly washed with tap water and waited for 15 minutes for drying the moisture. To calculate the root length by measuring below the point of root-shoot transition to fibrous root and the lengths of lateral roots were taken as total root length. The root lengths were expressed in cm plant-1.

Shoot length

The length between the shoot tip and the point of the root stem transition region was taken as shoot length. The stem lengths are expressed in cm plant⁻¹.

Fresh weight

The plant samples that are collected, roots, and shoots were thoroughly washed with tap water and tissue paper was used to remove the excess moisture. The root and shoot fresh weights were taken by using an electronic balance (Model - XK3190-A7M) and the values were recorded and expressed in gm plant⁻¹.

Dry weight

After taking fresh weight, the plants were dried at 60°C in a hot air oven for 48 hours. After drying, the weights were measured and the material was kept in the same oven until the constant dry weight was obtained. The values were expressed in gm plant-1.

Chlorophyll and Carotenoid Content

Collected plant samples in fresh leaf tissues of 500mg were measured and ground in pestle and mortar adding 10ml of 80% Acetone after complete extraction and centrifuge at 800g for 15 minutes, further, the extraction was repeated again and then the supernatant was collected and makeup to a final volume of 20ml of Acetone. The Spectrophotometer is used for absorption of reading @645, 663, 480nm, against 80% acetone blank. Chlorophyll and Carotenoid contents were extracted from the leaves and estimated according to the methods of Arnon's method 1949and Kirk and Allen 1965 and expressed in mg/gram fresh weight.

STATISTICAL ANALYSIS

The experiment data were analyzed statistically using the SPSS Software {Version 22.0} followed by one-way ANOVA. The obtained data represented in bars are mean values of replicates. The $P \le 0.05$ result was chosen as significance by Duncan's Multiple Range Test [DMRT].

RESULTS AND DISCUSSIONS

Root length

The plants treated with NaCl show a reduction in root length compared to the control. The plants that are foliar applied salicylic acid and humic acid show increased root length compared to the control. While the plants treated with NaCl + Salicylic acid and NaCl + humic acid show a reduction in root length compared to the control and the plants treated with plant growth regulators. (Fig-1) NaCl stress affects the root length of the two different cultivars (cv. *Orhangazi* and cv. *Cumhuriyet*) of *Sesamum indicum* at the concentration of 100 mM Concentration [16]. It's reported that the root length and dry mass were reduced due to salinity in wheat plants [17]. Elevated levels of salinity detrimentally impacted the root development of purslane (*Portulaca oleracea* L.), manifesting in reduced





Silambarasan and Rajan

metrics such as root area, root volume, main root length, and total root length [18]. And similar decline in growth was seen in *Zea mays* [19].

Stem length

Salt stress reduced the stem length in *Sesamum indicum* L. compared to the control. However, the plants treated with salicylic acid and humic acid show increased stem length as compared to control. The plants treated with NaCl + SA and NaCl + HA showed increased stem length than NaCl treated plants but it was found less than the control.(Fig-2) Elevating the levels of NaCl resulted in a reduction in the lengths of the plants. This investigation was conducted on moth bean (*Vigna aconitifolia* L.) [20], radish plants (*Raphanus sativus* L.) [21], and cowpea (*Vigna unguiculata* L.) [22]. Applying small amounts of salicylic acid (SA) to the shoots of seedlings of horticultural plants such as habanero pepper (*Capsicum chinense*) or perennial trees like the Ramon (*Brosimum alicastrum*) significantly boosts their growth, advancement, and yield. [23].

Fresh weight and Dry weight

The height of the plants notably decreased following the introduction of NaCl in comparison to the control group. Through our current research, it was noted that applying NaCl at a concentration of 100mM to *sesamum indicum* L. led to a greater reduction in both fresh and dry biomass of the entire plant when compared to plants in the control group. (Fig- 3,4). The plants treated with salicylic acid and humic acid show increased fresh weight and dry weight compared to the control. However, the plants treated with NaCl + SA and NaCl + HAshowed less biomass weight compared to the control. NaCl stress affects the fresh weight and dry weight of plants as found in Rice cultivars [24]. *Brassica napus* [25]. Studies have indicated that the application of humicacid and salicylic acids positively influences the growth, yield, and fruit quality of three cultivars of red sweet pepper (*Capsicum annuum*), leading to enhanced plant growth and increased yield. [26].

Chlorophyll and carotenoid content

The plants treated with NaCl exhibit reduced levels of chlorophyll pigments compared to other groups. Control plants demonstrate higher pigment levels in contrast to plants treated with NaCl along with either SA or HA. Additionally, HA-treated plants show lower pigment content compared to those treated with SA. The concentrations of chlorophyll-a, chlorophyll-b, and total chlorophyll are elevated in plants treated with Salicylic acid. However, the plants treated with NaCl + SA and NaCl + HA chlorophyll pigment content in these plants is low as compared to the control. (Fig-5.6.7.) Salinity reduces the soil water potential which can lead to osmotic stress, and decreased water potential, salinity shows a potent effect on the photosynthetic composition of *sesamum indicum* plants. [27] and in *Plantago coronopus* L. [28]salicylic acid has been found in alleviating the salinity stress in *Zea mays* and in increasing photosynthesis. [29]. Similar results of enhancing the photosynthesis were found in *Solanum lycopersicum* L. [30].

Carotenoid content

The carotenoid content declined in the salt-treated plants. However, the plants treated with salicylic acid and Humic acid have high carotenoid content. However,in the plants treated with NaCl + SA and NaCl + HA, the carotenoid content is less as compared to the control.(Fig-8). The plant growth regulators salicylic acid and humic acid have been found to enhance the pigment composition and carotenoid content in *safflower* [31]. Similar results were found in strawberry [32].

CONCLUSION

Salt stress is recognized as one of the most detrimental environmental challenges impacting the agricultural productivity of numerous crops, leading to adverse effects on plant growth, physiological and biochemical traits, vigor, and photosynthetic pigments. Foliar spray of salicylic acid and humic acid mitigates the salinity effects in sesamum indicum L (TMV-4) variety. Overall plants responded well to the exogenous application of salicylic acid and humic acid. And it can be concluded that the application of salicylic acid and humic acid through foliar spraying



Silambarasan and Rajan

represents a potential approach to improve salt tolerance in *sesamum indicum* L. Resulting in enhanced growth and development. However, the precise molecular mechanisms underlying their stress protection function require further exploration.

ACKNOWLEDGMENT

We extend our gratitude to the Head of Department of Botany, for granting us the opportunity to conductresearch in the department.

REFERENCES

- 1. Allakhverdiev, S. I., Sakamoto, A., Nishiyama, Y., Inaba, M., & Murata, N. (2000). Ionic and osmotic effects of NaCI-induced inactivation of photosystems I and II in *Synechococcus* sp. *Plant Physiology*, *123*(3), 1047-1056.
- 2. Rai, A. K. (1997). Cyanobacterial nitrogen metabolism and environmental biotechnology.
- 3. Hayashi, H., & Murata, N. (1998). Genetically engineered enhancement of salt tolerance in higher plants. *Stress response of photosynthetic organisms: Molecular mechanisms and molecular regulation*, 133-148.
- 4. Chartzoulakis, K., &Klapaki, G. (2000). Response of two greenhouse pepper hybrids to NaCl salinity during different growth stages. *Scientia horticulturae*, *86*(3), 247-260.
- 5. Romero-Aranda, R., Soria, T., & Cuartero, J. (2001). Tomato plant-water uptake and plant-water relationships under saline growth conditions. *Plant science*, *160*(2), 265-272.
- Agastian, P., Kingsley, S. J., & Vivekanandan, M. (2000). Effect of salinity on photosynthesis and biochemical characteristics in mulberry genotypes. *Photosynthetica*, 38, 287-290.
- 7. Morris, J. B. (2002). Food, industrial, nutraceutical, and pharmaceutical uses of sesame genetic resources. In *Trends in new crops and new uses. Proceedings of the Fifth National Symposium, Atlanta, Georgia, USA, 10-13 November, 2001* (pp. 153-156). ASHS Press.
- 8. Xu, J., Chen, S., & Hu, Q. (2005). Antioxidant activity of brown pigment and extracts from black sesame seed (Sesamum indicum L.). *Food chemistry*, *91*(1), 79-83.
- 9. Borsani, O., Valpuesta, V., & Botella, M. A. (2001). Evidence for a role of salicylic acid in the oxidative damage generated by NaCl and osmotic stress in Arabidopsis seedlings. *Plant physiology*, *126*(3), 1024-1030.
- 10. Hayat, Q., Hayat, S., Irfan, M., & Ahmad, A. (2010). Effect of exogenous salicylic acid under changing environment: a review. *Environmental and experimental botany*, 68(1), 14-25.
- 11. Ali, S., Ganai, B. A., Kamili, A. N., Bhat, A. A., Mir, Z. A., Bhat, J. A., ... & Grover, A. (2018). Pathogenesis-related proteins and peptides as promising tools for engineering plants with multiple stress tolerance. *Microbiological research*, 212, 29-37.
- 12. Ngullie, C. R., Tank, R. V., & Bhanderi, D. R. (2014). Effect of salicylic acid and humic acid on flowering, fruiting, yield and quality of mango (*Mangifera indica* L.) cv. KESAR.
- 13. Perminova, I. V., García-Mina, J. M., Knicker, H., & Miano, T. (2019). Humic substances and nature-like technologies: Learning from nature: understanding humic substances structures and interactions for the development of environmentally friendly, nature-like technologies. *Journal of Soils and Sediments*, 19, 2663-2664.
- 14. Ampong, K., Thilakaranthna, M. S., & Gorim, L. Y. (2022). Understanding the role of humic acids on crop performance and soil health. *Frontiers in Agronomy*, *4*, 848621.
- 15. Yang, F., Tang, C., & Antonietti, M. (2021). Natural and artificial humic substances to manage minerals, ions, water, and soil microorganisms. *Chemical Society Reviews*, *50*(10), 6221-6239.
- 16. Koca, H., Bor, M., Özdemir, F., & Türkan, İ. (2007). The effect of salt stress on lipid peroxidation, antioxidative enzymes, and proline content of sesame cultivars. *Environmental and Experimental Botany*, 60(3), 344-351.
- 17. Ramadoss, D., Lakkineni, V. K., Bose, P., Ali, S., & Annapurna, K. (2013). Mitigation of salt stress in wheat seedlings by halotolerant bacteria isolated from saline habitats. *SpringerPlus*, *2*, 1-7.
- 18. Kafi, M., & Rahimi, Z. (2011). Effect of salinity and silicon on root characteristics, growth, water status, proline content and ion accumulation of purslane (Portulaca oleracea L.). *Soil Science and Plant Nutrition*, *57*(2), 341-347.





Silambarasan and Rajan

- 19. Azevedo Neto, A. D. D., Prisco, J. T., Enéas-Filho, J., Lacerda, C. F. D., Silva, J. V., Costa, P. H. A. D., & Gomes-Filho, E. (2004). Effects of salt stress on plant growth, stomatal response and solute accumulation of different maize genotypes. *Brazilian Journal of Plant Physiology*, *16*, 31-38.
- 20. Mathur, N., Singh, J., Bohra, S., Bohra, A., Vyas, A., 2006. Biomass production, productivity and physiological changes in moth bean genotypes at different salinity levels. Am. J. Plant Physiol. 1 (2), 210–213.
- 21. Jamil, M., Lee, K. J., Kim, J. M., Kim, H. S., & Rha, E. S. (2007). Salinity reduced growth PS2 photochemistry and chlorophyll content in radish. Scientia Agricola, 64(2), 111-118.
- 22. Taffouo, V. D., Kouamou, J. K., Ngalangue, L. M. T., Ndjeudji, B. A. N., & Akoa, A. (2009). Effects of salinity stress on growth, ions partitioning and yield of some cowpea (*Vigna unguiculata* L. Walp.) cultivars. International Journal of Botany, 5(2), 135-143.
- 23. Tucuch-Haas, C. J., Pérez-Balam, J. V., Díaz-Magaña, K. B., Castillo-Chuc, J. M., Dzib-Ek, M. G., Alcántar-González, G., & Larqué-Saavedra, A. (2017). Role of salicylic acid in the control of general plant growth, development, and productivity. *salicylic acid: a multifaceted hormone*, 1-15.
- 24. Puvanitha, S., & Mahendran, S. (2017). Effect of salinity on plant height, shoot and root dry weight of selected rice cultivars. *Scholars Journal of Agriculture and Veterinary Sciences*, 4(4), 126-131.
- 25. Tunçtürk, M., Tunçtürk, R., Yildirim, B., & Çiftçi, V. (2011). Changes of micronutrients, dry weight and plant development in canola (*Brassica napus* L.) cultivars under salt stress. *African Journal of Biotechnology*, 10(19), 3726-3730.
- 26. Ibrahim, A., Abdel-Razzak, H., Wahb-Allah, M., Alenazi, M., Alsadon, A., & Dewir, Y. H. (2019). Improvement in growth, yield, and fruit quality of three red sweet pepper cultivars by foliar application of humic and salicylic acids. *HortTechnology*, 29(2), 170-178.
- 27. Abdulfatah, H. F., Hassawi, D. S., & Abu-Romman, S. (2021). Physiological Responses of Sesame Genotypes (Sesamum indicum L) to Salinity. *Indian J Ecol*, 48(17), 403-409.
- 28. Koyro, H. W. (2006). Effect of salinity on growth, photosynthesis, water relations and solute composition of the potential cash crop halophyte Plantago coronopus (L.). *Environmental and Experimental Botany*, *56*(2), 136-146.
- 29. Khodary, S. E. A. (2004). Effect of salicylic acid on the growth, photosynthesis and carbohydrate metabolism in salt stressed maize plants. *Int. J. Agric. Biol*, *6*(1), 5-8.
- 30. Aires, E. S., Ferraz, A. K. L., Carvalho, B. L., Teixeira, F. P., Rodrigues, J. D., & Ono, E. O. (2022). Foliar application of salicylic acid intensifies antioxidant system and photosynthetic efficiency in tomato plants. *Bragantia*, *81*, e1522.
- 31. Shaki, F., Maboud, H. E., & Niknam, V. (2018). Growth enhancement and salt tolerance of Safflower (*Carthamus tinctorius* L.), by salicylic acid. *Current Plant Biology*, *13*, 16-22.
- 32. Karlidag, H., Yildirim, E., & Turan, M. (2009). Salicylic acid ameliorates the adverse effect of salt stress on strawberry. *Scientia Agricola*, *66*, 180-187.





Silambarasan and Rajan

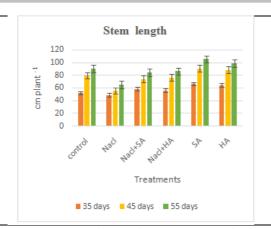


Fig. 1. Effect of foliar application of SA and HA onStem length of Sesamum indicum (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±) standard error.

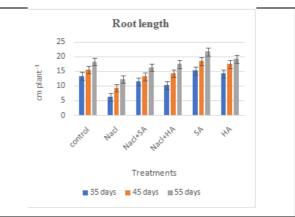


Fig. 2. Effect of foliar application of SA and HA on Root length of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).

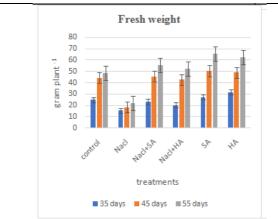


Fig. 3. Effect of foliar application of SA and HA on fresh weight of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).

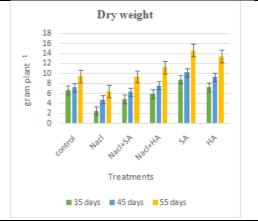


Fig. 4.Effect of foliar application of SA and HA on dry weight of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).





Silambarasan and Rajan

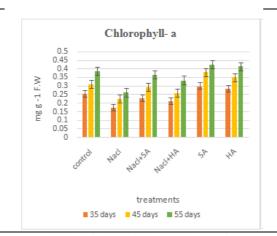


Fig. 5. Effect of foliar application of SA and HA on chlorophyll-a of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).

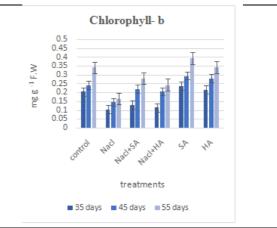


Fig. 6. Effect of foliar application of SA and HA on chlorophyll-b of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).

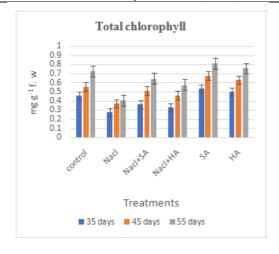


Fig. 7. Effect of foliar application of SA and HA on total chlorophyll of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).

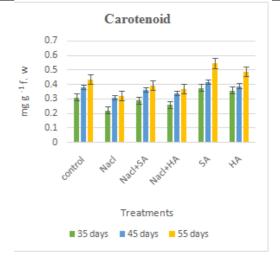


Fig. 8. Effect of foliar application of SA and HA on carotenoid content of *Sesamum indicum* (TMV-4 variety) under 100mM NaCl stress. Values represented in Bars are mean of three replicates (n=3) and (±).





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Molecular Docking Study to Evaluate Anti-Allergic and Anti-Inflammatory Activity of Siddha Poly Herbal Formulation Thoothuvalai Nei

P.Suvedha^{1*}, G.Thirunarayanan², S.Eswari¹, A.M.Amala Hazel³, M.Meenakshi Sundaram⁴

PG Scholar, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, Chennai, Tamil Nadu, India.

²Medical Officer, National Institute of Siddha, Chennai, Tamil Nadu, India.

³Guide and Associate Professor, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, Chennai, Tamil Nadu, India.

⁴Professor, Department of Kuzhandhai Maruthuvam, National Institute of Siddha, Chennai, Tamil Nadu, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence

P.Suvedha

PG Scholar.

Department of Kuzhandhai Maruthuvam,

National Institute of Siddha,

Chennai, Tamil Nadu, India.

Email: suvevas95@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Allergy and inflammation are important pathophysiological features of several disabilities or medical conditions such as common cold, runny nose, nasal congestion and sneezing. It is caused due to release of Histamine in our body. An Indian study reported that prevalence of allergic rhinitis was 11.3% in children aged 6-7 years, and 24:4% in children aged 13-14 years. Thoothuvalai Nei is a well-known siddha poly herbal drug which is widely used to treat the Kabhathodam and diseases preceeding the Kabha thodam like Sayam (Tuberculosis), Elai (Cold), Irumal (Cough), Kasam, 96 types of Seththumanoi, Megam, Uttinanoi, Eraippu (Asthma), Vaayvu, Kundalavaayvu. To ensure anti-allergic and anti-inflammatory properties of Thoothuvalai Nei through molecular docking against target enzyme Histamine H1 receptor. Docking study were carried out for 20 retrieved phytocomponents (Solasodine, Ascorbic acid, Apigenin, Quercetin, Vasicoline, Piperic acid, Piperine, Gallic acid, Gingerenone-A, Chebuloside, Betulonic acid, Phellandrene, Diosgenin, Palmitic acid, Embelin, Beta-Sitosterol, Germacrene, Nerolidol, kaempferol, Elemicin) against target enzyme Histamine H1 receptor. It is observed that 19 phytochemicals except gallic acid reveals significant interaction with the core active amino acid residues present on the target histamine H1 receptor. This study ensures that Siddha poly herbal formulation *Thoothuvalai Nei* possesses promising anti-allergic and anti-inflammatory activity.

Keywords: Anti-allergic activity, Anti-inflammatory activity, Molecular docking Thoothuvalai Nei





Suvedha et al.,

INTRODUCTION

Thoothuvalai Nei is an ancient Siddha medicine indicated for EraippuNoi in various Siddha literatures. Most of the ingredients of the Thoothuvalai Nei possess expectorant, tonic and stimulant and also have anti-inflammatory, analgesic, antimicrobial and antioxidant activity. Hence here ancient Siddha drug Thoothuvalai Nei was subjected to molecular docking analysis against Histamine H1 receptor target to ensure its anti-allergic and anti-inflammatory properties. Molecular docking is a key tool in structural molecular biology and computer-assisted drug design. The goal of ligand-protein docking is to predict the predominant binding mode(s) of a ligand with a protein of known three-dimensional structure[1]. Histamine is a nitrogenous organic compound involved in immune responses such as allergy and it functions by combining with specific cellular histamine receptors such as H1, H2, H3 and H4, which are the members of the family of rhodopsin-like G protein-coupled receptors. The histamine H1 receptor (HRH1) is one of the four histamine receptors, and it plays an important role in different physiological functions such as inflammation, gastric acid secretion, mast cell-mediated chemotaxis and neurotransmitter release when bound to histamine[2].Binding of phytocomponents with the core amino acid (428 TRP) of the target by forming hydrogen bond will hinder the function of the histamine H1 receptor with PDB - 3RZE. These amino acid residues are functionally responsible for binding of substrate and inhibitors. Thereby phytocomponents which inhibit the target H1 receptor may act as a potential therapeutic agent for management of allergic conditions[3]. Crystalline structure of the target H1 receptor with PDB - 3RZE (Figure.1) was retrieved from protein data bank and protein clean-up process was done and essential missing hydrogen atom were being added. Different orientation of the lead molecules with respect to the target protein was evaluated by Autodock program and the best dock pose was selected based on the interaction study analysis [3].

MATERIALS AND METHODS

Docking calculations were carried out for retrieved phytocomponents against target enzyme H1 receptor. Essential hydrogen atoms, Kollman united atom type charges, and solvation parameters were added with the aid of AutoDock tools[4]. Affinity (grid) maps of ×× Å grid points and 0.375 Å spacing were generated using the Autogrid program [4]. AutoDock parameter set- and distance-dependent dielectric functions were used in the calculation of the van der Waals and the electrostatic terms, respectively. Docking simulations were performed using the Lamarckian genetic algorithm (LGA) and the Solis & Wets local search method[5]. Initial position, orientation, and torsions of the ligand molecules were set randomly. All rotatable torsions were released during docking. Each docking experiment was derived from 2 different runs that were set to terminate after a maximum of 250000 energy evaluations. The population size was set to 150. During the search, a translational step of 0.2 Å, and quaternion and torsion steps of 5 were applied. The ingredient and retrieved phytocomponents are tabulated in **Table 1**. Ligand Properties of the Compounds Selected for Docking Analysis are given in **Table 2**.

RESULTS

Total of 20 bioactive lead compounds were retrieved from the herbs present in the siddha formulation *Thoothuvalai Nei*. From the reported data of the herbs, It was observed from the outcome of the present investigation that all 19 phytochemicals except gallic acid reveals significant interaction with the core active amino acid residues present on the target histamine H1 receptor. The docking poses of the retrieved phytocomponents with the Histamine H1 receptor (PDB: 3RZE), along with 2D interaction plot analysis and hydrogen bond plotting with core amino acid analysis, are depicted in Figures 2 to 22. The molecular docking studies of compounds against the histamine H1 receptor (PDB: 3RZE) and the interaction of lead compound with amino acid residues against the same receptor are summarized and presented in Tables 4 and 5, respectively.





Suvedha et al.,

DISCUSSIONS

Thoothuvalai Nei, a Siddha poly herbal formulation, is believed to possess potent anti-allergic and anti-inflammatory properties based on empirical evidence. However, the molecular mechanisms underlying these effects remain largely unexplored. In this study, we utilize molecular docking techniques to gain insights into the potential interactions between the bioactive compounds present in *Thoothuvalai Nei* and key proteins involved in allergic and inflammatory pathways. The findings from our molecular docking study provide valuable insights into the potential molecular mechanisms underlying the anti-allergic and anti-inflammatory activities of *Thoothuvalai Nei*. The identified interactions between *Thoothuvalai Nei* compounds and histamine H1 receptor associated with allergic and inflammatory pathways support the traditional claims of its therapeutic efficacy. Further experimental validations, such as in vitro and in vivo studies, are warranted to corroborate the computational findings and establish the translational potential of *Thoothuvalai Nei* in allergic and inflammatory conditions.

CONCLUSION

Based on the results of the computational analysis it was concluded that almost all bio-active compounds present in herbal ingredients belongs to the siddha formulation *Thoothuvalai Nei* possess significant binding against the target histamine H1 receptor by interacting with active amino acid present on the active site thereby it was concluded that these compounds may exerts promising anti-allergic and anti-inflammatory activity.

REFERENCES

- Morris, G.M., Lim-Wilby, "Molecular Docking. In: Kukol, A. (eds) Molecular Modeling of Proteins," Methods Molecular Biology™, vol 443. Humana Press. https://doi.org/10.1007/978-1-59745-177-2_19. 2008.
- 2. Daddam JR, Sreenivasulu B, Peddanna K, Umamahesh K, "Designing, docking and molecular dynamics simulation studies of novel cloperastine analogues as anti-allergic agents: homology modeling and active site prediction for the human histamine H1 receptor," RSC Adv, Jan 29;10(8):4745-4754. doi: 10.1039/c9ra09245e. 2020.
- 3. Mehta P, Miszta P, Filipek S, "Molecular Modeling of Histamine Receptors-Recent Advances in Drug Discovery," Molecules, Mar 22;26(6):1778. doi: 10.3390/molecules26061778. 2021.
- 4. G. M. Morris, D. S. Goodsell, et al, "Automated docking using a Lamarckian genetic algorithm and an empirical binding free energy function," Journal of Computational Chemistry 19 (14), pp. 1639-1662, 1998.
- 5. Francisco J. Solis and Roger J-B. Wets Source, "Mathematics of Operations Research," INFORMS Stable, Vol. 6, pp. 19-30, 1981.
- 6. ShanmugamAnandakumar. Potential Phytopharmaceutical, "Constituents of SolanumTrilobatum L. as Significant Inhibitors Against COVID-19: Robust-Binding Mode of Inhibition by Molecular Docking," PASS-Aid Bioactivity and ADMET Investigations.ChemRXi.2020.
- 7. Nakitto, A. M. S., Muyonga, J. H., Byaruhanga, Y. B., & Wagner, A. E, "Solanumanguivi Lam. Fruits: Their Potential Effects on Type 2 Diabetes Mellitus. Molecules," Basel, Switzerland, 26(7), 2044. https://doi.org/10.3390/molecules26072044,2021.
- 8. Siva Kumar Tekuri, "Phytochemical and pharmacological activities of SolanumsurattenseBurm. f.–A review," Journal of Applied Pharmaceutical Science Vol. 9(03), pp 126-136, 2019.
- 9. BonamSrinivasa Reddy, "Phytochemical, pharmacological and biological profiles of tragia species (family: euphorbiaceae)," Afr J Tradit Complement Altern Med, 14(3) pp.105–112, 2017.
- 10. Deepak Kumar Jha, "Detection and Confirmation of Alkaloids in Leaves of JusticiaAdhatoda and Bioinformatics Approach to Elicit Its Anti-Tuberculosis Activity," ApplBiochemBiotechnol, 168(5), pp. 980-90, 2012.





Suvedha et al.,

- 11. BahareSalehi, "Piper Species: A Comprehensive Review on Their Phytochemistry," Biological Activities and Applications. Molecules, 24(7), pp. 1364, 2019.
- 12. AnshulyTiwari, "Piperine: A comprehensive review of methods of isolation, purification, and biological properties," Medicine in Drug Discovery, 7: 100027, 2007.
- 13. Anwesa Bag, Subir Kumar Bhattacharyya, "The development of Terminaliachebula Retz. (Combretaceae) in clinical research," Asian Pac J Trop Biomed, 3(3), pp. 244–252, 2013.
- 14. SahdeoPrasad, :Ginger and Its Constituents: Role in Prevention and Treatment of Gastrointestinal Cancer," Gastroenterology Research and Practice, pp.1-11, 2025.
- 15. Sobeh, M., Mahmoud, M. F., Hasan, R. A., Abdelfattah, M. A. O., Osman, S., Rashid, H. O., El-Shazly, A. M., & Wink, M, "Chemical composition, antioxidant and hepatoprotective activities of methanol extracts from leaves of Terminaliabellirica and Terminaliasericea (Combretaceae)," PeerJ, pp.7 https://doi.org/10.7717/peerj.6322, 2019.
- 16. Li B, Huang GQ, Lu RM, Wei JH, Zhong ZG, "Study on Chemical Composition of Phyllanthusemblica," Zhong Yao Cai, 38(2), pp.290-3, 2015.
- 17. FairuzFatemaPriya, Mohammad Sayful Islam, "Phyllanthusemblica Linn. (Amla) A Natural Gift to Humans: An Overview," Journal of Diseases and Medicinal Plants. Volume 5, Issue 1, pp. 1-9, 2019.
- 18. Bindu Sati, "Isolation of bioactive compounds of Taxusbaccata and Swertiachirata plants of Uttarakhand region by GC," MS.IJSDR., 6(2), pp.108-110, 2016.
- 19. Selim, S., & Al Jaouni, S, "Anticancer and apoptotic effects on cell proliferation of diosgenin isolated from Costusspeciosus (Koen.) Sm," BMC complementary and alternative medicine, 15, pp.301, 2015.
- 20. Jawhari FZ, El Moussaoui A, Bourhia M, Imtara H, Mechchate H, Es-Safi I, Ullah R, Ezzeldin E, Mostafa GA, Grafov A, Ibenmoussa S, Bousta D, Bari A, "Anacyclus pyrethrum (L): Chemical Composition, Analgesic, Anti-Inflammatory, and Wound Healing Properties," Molecules.25(22):5469, 2020.
- 21. Lal B and Mishra N, "Importance of Embeliaribes: An Update." Int J Pharm Sci Res, 4(10), pp.3823-3838, 2013.
- 22. Luo H, Cai C, Zhang J, Mo L, "Study on the chemical components of Alpiniaofficinarum," Zhongyaocai = Zhongyaocai = Journal of Chinese Medicinal Materials,;21(7), pp.349-351,1998.
- 23. I. NirmalaMenon, "Chemical Composition of the Volatile Oils of Alpiniagalanga Plant Parts from Kerala," Journal of Essential Oil Bearing Plants, 9:3, pp.277-282, 2006.
- 24. Ashokkumar K, Murugan M, Dhanya MK, Raj S, Kamaraj D, "Phytochemical variations among four distinct varieties of Indian cardamom Elettariacardamomum (L.) Maton.," Nat Prod Res,34(13), pp.1919-1922, 2020.
- 25. Batiha, G. E., Alkazmi, L. M., Wasef, L. G., Beshbishy, A. M., Nadwa, E. H., &Rashwan, E. K, "Syzygiumaromaticum L. (Myrtaceae): Traditional Uses, Bioactive Chemical Constituents, Pharmacological and Toxicological Activities," Biomolecules, 10(2), pp.202, 2020.
- 26. Francis SK, James B, Varughese S, Nair MS, "Phytochemical investigation on Myristicafragrans stem bark," Nat Prod Res, 33(8), pp.1204-1208, 2019.

Table 1: List of Phytocomponents Selected for docking

S.No	Herbs	Phytochemicals	2D and 3D Structure of Phytocomponents
1.	Solanumtrilobatum	Solasodine[6]	Ligand in 3D Ligand in 3D JSmol





ISSN: 0976 - 0997

2.	Solanumanguivi	Ascorbic acid[7]	Ligand in 2D Absolute OH OH HO JSmol
3.	Solanumsurattense	Apigenin[8]	Ligand in 3D Ligand in 3D JSmol
4.	Tragia involucrate	Quercetin[9]	Ligand in 3D Ligand in 3D JSmol
5.	Justicaaadathoda	Vasicoline[10]	Ligand in 2D Account
6.	Piper nigrum	Piperic acid[11]	Ligand in 3D Ligand in 3D JSmol
7.	Piper longum	Piperine[12]	Ligand in 2D Ligand in 3D JSmol





8.	Terminaliachebula	Gallic acid[13]	Ligand in 3D Ligand in 3D JSmol
9.	Tragiacannabina	Unable to Retreieve	
10.	Zingiberofficinale	Gingerenone-A[14]	Ligand in 3D Ligand in 3D JSmol
11.	TerminaliaBellarica	Chebuloside [15]	Ligand in 2D Ligand in 3D JSmol
12.	Phyllanthusemblica	Betulonic acid[16,17]	Ligand in 3D Ligand in 3D JSmol
13.	TaxusBaccata L	Phellandrene[18]	Ligand in 2D Absolute CH ₃ H ₃ C JSmot
14.	Costusspeciosus	Diosgenin[19]	Ligand in 2D Ligand in 30 JSmol





15.	Anacyclus pyrethrum	Palmitic acid[20]	Ligand in 3D Ligand in 3D JSmol
16.	Embeliaribes	Embelin[21]	Ligand in 2D Ligand in 3D JSmot
17.	AlpiniaOfficinarum	Beta-SitosteroI[22]	Ligand in 2D Ligand in 3D JSmol
18.	Alpinia Galangal	Germacrene[23]	Ligand in 3D Absolute H ₂ C H ₃ C H ₄ C H ₅ C H ₅ C H ₇ C
19.	Eletaria cardamom	Nerolidol[24]	Ligand in 3D Absolute Action to the control of th
20.	Syzygiumaromaticum	kaempferol [25]	Ligand in 3D Ligand in 3D JSmot





Suvedha et al.,

Elemicin[26]

Myristicafragrans

Elemicin[26]

Table 2: Ligand Properties of the Compounds Selected for Docking Analysis

Compound	Molar weight	Molecular	H Bond	H Bond	Rotatable
Compound	g/mol	Formula	Donor	Acceptor	bonds
Solasodine	413.6 g/mol	<u>C27H43NO2</u>	2	3	0
Ascorbic acid	176.12 g/mol	<u>C6H8O6</u>	4	6	2
Apigenin	622.5 g/mol	C27H26O17	9	17	7
Quercetin	302.23 g/mol	C15H10O7	5	7	1
Vasicoline	291.4 g/mol	<u>C19H21N3</u>	0	2	2
Piperic acid	218.2 g/mol	<u>C12H10O4</u>	1	4	3
Piperine	285.34 g/mol	<u>C17H19NO3</u>	0	3	3
Gallic acid	170.12g/mol	C7H6O5	4	5	1
Gingerenone- A	356.4 g/mol	<u>C21H24O5</u>	2	5	9
Chebuloside	666.8 g/mol	<u>C36H58O11</u>	8	11	5
Betulonic acid	454.7 g/mol	<u>C30H46O3</u>	1	3	2
Phellandrene	136.23 g/mol	<u>C10H16</u>	0	0	1
Diosgenin	414.6 g/mol	<u>C27H42O3</u>	1	3	0
Palmitic acid	256.42 g/mol	C16H32O2	1	2	14
Embelin	294.4 g/mol	<u>C17H26O4</u>	2	4	10
Beta-Sitosterol	414.7g/mol	C29H50O	1	1	6
Germacrene	204.35 g/mol	C ₁₅ H ₂₄	0	0	1
Nerolidol	222.37 g/mol	C ₁₅ H ₂₆ O	1	1	7
Kaempferol	286.24 g/mol	<u>C15H10O6</u>	4	6	1
Elemicin	208.25 g/mol	<u>C12H16O3</u>	0	3	5
Cetirizine	461.808 g/mol	C21H27CI3N2O3	3	5	8

Table 3: Summary of the molecular docking studies of compounds againsthistamine H1 receptor (PDB) - 3RZE

Compound	Est. Free Energy of Binding			Total Intermolec. Energy	Interact. Surface	
Solasodine	-6.92 kcal/mol	8.50 uM	-7.46 kcal/mol	-0.25 kcal/mol	936.402	
Ascorbic acid	-6.31 kcal/mol	23.84 uM	-5.33 kcal/mol	-0.28 kcal/mol	468.532	
Apigenin	-6.54 kcal/mol	16.09 uM	-7.62 kcal/mol	-0.50 kcal/mol	723.391	
Quercetin	-9.23 kcal/mol	170.36nM	-8.17 kcal/mol	-0.49 kcal/mol	692.056	





Vasicoline	-8.39 kcal/mol	711.20 nM	-8.76 kcal/mol	-0.29 kcal/mol	672.097
Piperic acid	-7.60 kcal/mol	2.68 Um	-7.21 kcal/mol	-1.27 kcal/mol	569.362
Piperine	-9.29 kcal/mol	154.70 nM	-9.90 kcal/mol	-0.08 kcal/mol	663.029
Gallic acid	-5.75 kcal/mol	60.52 uM	-3.11 kcal/mol	-2.21 kcal/mol	586.437
Gingerenone- A	-9.20 kcal/mol	180.67 nM	-10.65 kcal/mol	-0.06 kcal/mol	931.043
Chebuloside	-7.87 kcal/mol	1.71 uM	-7.93 kcal/mol	-0.25 kcal/mol	587.645
Betulonic acid	-2.07 kcal/mol	30.33 mM	-2.38 kcal/mol	-0.37 kcal/mol	897.234
Phellandrene	-6.56 kcal/mol	15.55 uM	-6.86 kcal/mol	-0.09 kcal/mol	449.254
Diosgenin	-7.01 kcal/mol	7.33 uM	-7.26 kcal/mol	-0.05 kcal/mol	931.274
Palmitic acid	-7.60 kcal/mol	2.71 uM	-10.15 kcal/mol	-0.92 kcal/mol	751.998
Embelin	-8.80 kcal/mol	354.67 nM	-8.72 kcal/mol	-0.35 kcal/mol	592.191
Beta- Sitosterol	-10.86 kcal/mol	11.01 nM	-12.86 kcal/mol	-0.03 kcal/mol	951.648
Germacrene	-8.19 kcal/mol	988.88 nM	-8.49 kcal/mol	-0.16 kcal/mol	600.235
Nerolidol	-8.02 kcal/mol	1.32 uM	-10.11kcal/mol	-0.05 kcal/mol	621.641
Kaempferol	-7.03 kcal/mol	6.99 uM	-6.67kcal/mol	-0.74 kcal/mol	680.396
Elemicin	-5.79 kcal/mol	56.64 uM	-6.58kcal/mol	-0.06 kcal/mol	562.244
Cetirizine	-11.38 kcal/mol	4.52 nM	-0.83 kcal/mol	-13.28 kcal/mol	895.24

Table 4: Amino acid Residue Interaction of Lead againsthistamine H1 receptor (PDB) - 3RZE

Compounds	Interactions	Amino acid Residues												
Solasodine	1	84	103	107	108	111	112	115	179	198	428	431	432	454
		ASN	TRP	ASP	TYR	SER	THR	ILE	LYS	ASN	TRP	TYR	PHE	ILE
Ascorbic acid	1	108	111	112	115	199	424	428	432					
		TYR	SER	THR	ILE	PHE	PHE	TRP	PHE					
Apigenin	1	84	103	107	108	111	179	194	195	428	431	432	435	454
		ASN	TRP	ASP	TYR	SER	LYS	THE	ALA	TRP	TYR	PHE	PHE	ILE
Quercetin	1	107	108	111	112	115	199	424	428	431	432	454	458	
		ASP	TYR	SER	THR	ILE	PHE	PHE	TRP	TYR	PHE	ILE	TYR	
Vasicoline	1	107	108	111	112	194	195	198	199	424	428	431	432	435
		ASP	TYR	SER	THR	THR	ALA	ASN	PHE	PHE	TRP	TYR	PHE	PHE
Piperic acid	1	108	111	112	115	158	184	191	194	198	424	428	432	435
		TYR	SER	THR	ILE	TRP	PHE	LYS	THR	ASN	PHE	TRP	PHE	PHE
Piperine	1	108	111	112	115	158	184	191	194	195	198	199	424	428
		TYR	SER	THR	ILE	TRP	PHE	LYS	THR	ALA	ASN	PHE	PHE	TRP

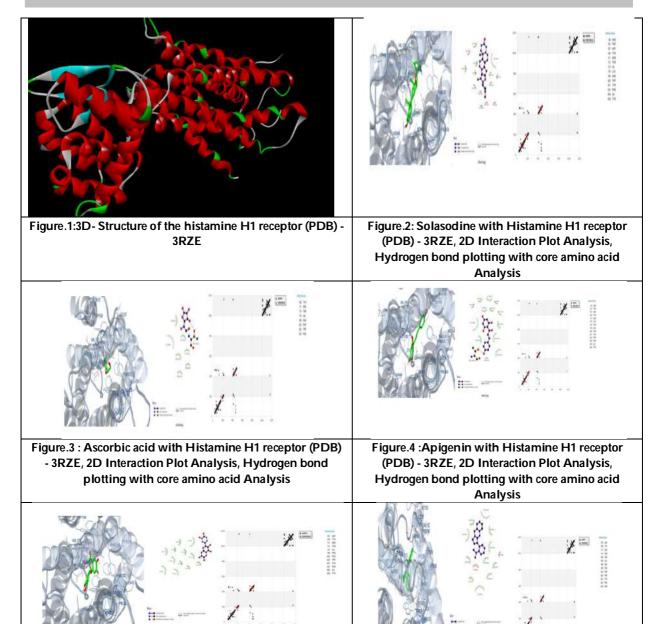




Gallic acid	0	84	107	108	179	191	431	435	450	454	458			
		ASN	ASP	TYR	LYS	LYS	TYR	PHE	HIS	ILE	TYR			
Gingerenone-A	1	107	108	111	112	115	178	179	198	199	424	428	431	432
		ASP	TYR	SER	THR	ILE	ASP	LYS	ASN	PHE	PHE	TRP	TYR	PHE
Chebuloside	1	84	103	107	108	111	112	115	158	178	179	198	428	431
		ASN	TRP	ASP	TYR	SER	THR	ILE	TRP	ASP	LYS	ASN	TRP	TYR
Betulonic acid	1	103	107	108	111	112	158	179	194	198	199	428	431	432
		TRP	ASP	TYR	SER	THR	TRP	LYS	THR	ASN	PHE	TRP	TYR	PHE
Phellandrene	1	108	111	112	115	158	198	199	424	428	432			
		TYR	SER	THR	ILE	TRP	ASN	PHE	PHE	TRP	PHE			
Diosgenin	1	84	103	107	108	111	112	115	179	198	428	431	432	454
		ASN	TRP	ASP	TYR	SER	THR	ILE	LYS	ASN	TRP	TYR	PHE	ILE
Palmitic acid	1	107	108	111	112	179	198	424	428	431	432	454		
		ASP	TYR	SER	THR	LYS	ASN	PHE	TRP	TYR	PHE	ILE		
Embelin	1	107	108	111	112	115	198	199	424	428	431	432	435	
		ASP	TYR	SER	THR	ILE	ASN	PHE	PHE	TRP	TYR	PHE	PHE	
Beta-Sitosterol	1	84	107	108	111	112	115	179	198	199	424	428	431	432
		ASN	ASP	TYR	SER	THR	ILE	LYS	ASN	PHE	PHE	TRP	TYR	PHE
Germacrene	1	108	111	112	158	198	199	424	428	431	432			
		TYR	SER	THR	TRP	ASN	PHE	PHE	TRP	TYR	PHE			
Nerolidol	1	108	111	112	158	194	195	198	424	428	431	432	435	
		TYR	SER	THR	TRP	THR	ALA	ASN	PHE	TRP	TYR	PHE	PHE	
Kaempferol	1	107	108	111	112	115	198	428	431	432	435	458		
		ASP	TYR	SER	THR	ILE	ASN	TRP	TYR	PHE	PHE	TYR		
Elemicin	1	111	115	158	194	198	424	428	432					
		SER	ILE	TRP	THR	ASN	PHE	TRP	PHE					
Cetirizine	1	84	107	108	111	112	178	179	191	428	431	432	435	
		ASN	ASP	TYR	SER	THR	ASP	LYS	LYS	PHE	TYR	PHE	PHE	







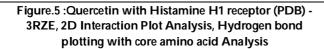


Figure.6 :Vasicoline with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis





ISSN: 0976 - 0997

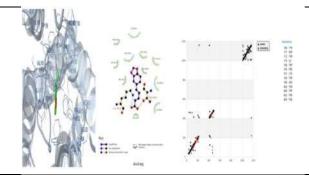


Figure.7: Piperic acid with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

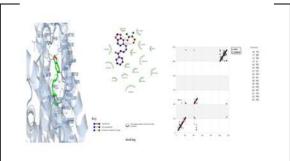


Figure.8: Piperine with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

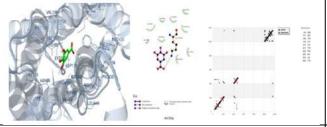


Figure.9: Gallic acid with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

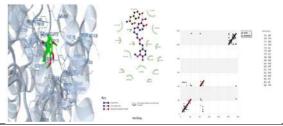


Figure.10 :Gingerenone-A with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

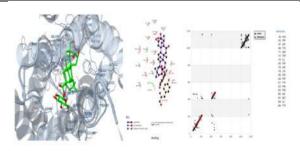


Figure.11: Chebuloside with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot
Analysis, Hydrogen bond plotting with core amino acid
Analysis

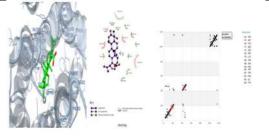


Figure.12: Betulonic acid with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis





ISSN: 0976 - 0997

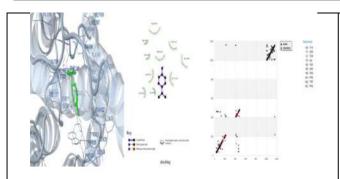


Figure.13: Phellandrene with Histamine H1 receptor (PDB)
- 3RZE, 2D Interaction Plot Analysis, Hydrogen bond
plotting with core amino acid Analysis

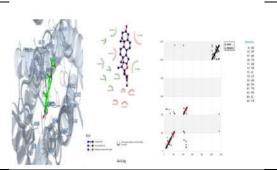


Figure.14: Diosgenin with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

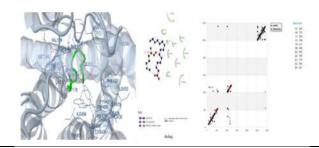


Figure.15: Diosgenin with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

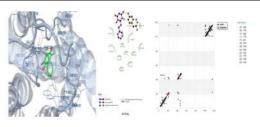


Figure.16: Embelin with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

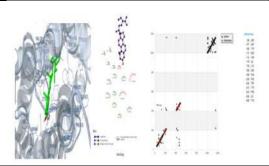


Figure.17: Beta-Sitosterol with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

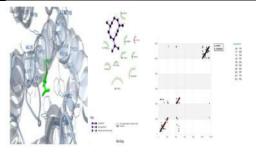


Figure.18: Germacrene with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis





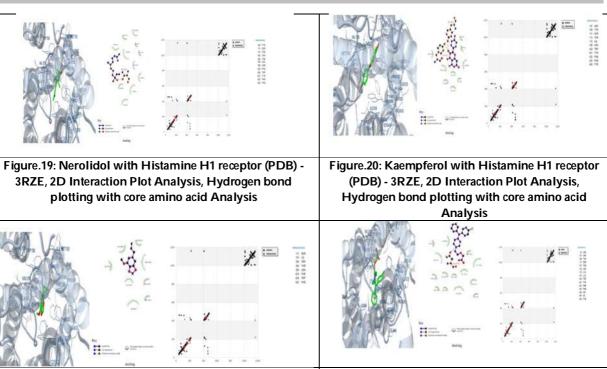


Figure.21: Elemicin with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis

Figure.22: Cetirizine with Histamine H1 receptor (PDB) - 3RZE, 2D Interaction Plot Analysis, Hydrogen bond plotting with core amino acid Analysis





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Taxonomy, Distribution and Identification of Two Plant Parasitic Nematode Species Infesting Crops in Jammu and Kashmir, India

Jatinder Singh^{1*} and Shashi Kiran Solanki²

Research Scholar, Department of Zoology, D. A.V. (P.G) College, Dehradun, (Affiliated to HNB Garhwal Central University, Srinagar, Uttarakhand, India.

²Professor and Head, Department of Zoology, D. A.V. (P.G) College, Dehradun, (Affiliated to HNB Garhwal Central University, Srinagar, Uttarakhand, India.

Revised: 19 Jul 2024 Accepted: 14 Aug 2024 Received: 18 May 2024

*Address for Correspondence Jatinder Singh

Research Scholar, Department of Zoology, D. A.V. (P.G) College, Dehradun, (Affiliated to HNB Garhwal Central University),

Srinagar, Uttarakhand, India.

Email: jatindersingh2761@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The present study reports the taxonomy, distribution, and identification of two prominent plant-parasitic nematode species from litchi (Litchi chinensis) orchards in the region of Jammu and Kashmir, India. Aporcelaimellus obtuse caudatus has been documented specifically in litchi orchards, where as Orientyluscitri has been observed in mixed cropping apple orchards alongside cucurbits. Detailed morphological were conducted to characterize these nematode species, facilitating accurate identification. Understanding the taxonomy and distribution of these nematodes is essential for devising effective management strategies to mitigate their impact on litchi and other crops in the region.

Keywords: Plant parasitic nematodes, Nematodes, Crops, Damage, Litchi, Apple, Jammu

INTRODUCTION

Plant-parasitic nematodes, microscopic worms, represent a formidable menace to global agriculture by inflicting extensive harm on crops [1-3]. These nematodes, originating from various genera, infiltrate the roots of numerous crops, resulting in diminished nutrient absorption, hindered growth, and eventual yield reduction [4]. Recent research endeavors have presented the intricate interplay between plant hosts and nematodes, elucidating the molecular mechanisms underlying nematode parasitism [5]. By investigating into the molecular intricacies of nematode-plant interactions, researchers have identified key pathways and molecules involved in nematode





Jatinder Singhand Shashi Kiran Solanki

invasion, feeding, and manipulation of host physiology [6]. This deeper understanding opens avenues for the development of targeted strategies to disrupt these interactions and enhance plant resistance to nematode infestation [7]. Moreover, advancements in nematode management strategies extend beyond conventional chemical control methods. Researchers are exploring alternative approaches such as the use of biocontrol agents, soil amendments, and cultural practices to mitigate nematode damage sustainably [8]. These environmentally friendly methods offer promise for reducing reliance on chemical pesticides and promoting the long-term health and productivity of agricultural ecosystems. As researchers, continue to unravel the complexities of nematode-plant interactions and innovate in nematode management, the agricultural community moves closer to achieving sustainable and resilient crop production systems capable of withstanding the threats posed by plant-parasitic nematodes [9].

The genus *Aporcelaimellus* Heyns, 1965, classified within the family Aporcelaimidae (Heyns, 1965), predominantly preys upon Oligochaeta worms and nematodes [9]. These nematodes typically possess a robust medium-sized body structure characterized by an offset labial region, a short odontostyle featuring a large aperture, a short rounded tail, and two distinct cuticle layers with differing refractive properties [10]. Male specimens are seldom encountered within this genus. *Aporcelaimellus* is widely distributed and ranks among the most prevalent soil nematodes. Notably, the species *A. obtusicaudatus* (Bastian, 1865) Altherr, 1968 stands out as one of the most ubiquitous terrestrial nematodes, with its range extending across the globe [11]. Researchers have examined and distinguished the genera *Orientylus* and *Calvatylus*. They transferred four species from *Rotylenchus* to *Orientylus*, renaming them as *O. helicus* n.comb., *O. citri* n.comb., *O. secondus* n.comb., and *O. siddiqii* n.comb. Additionally, they identified a new species, *O. geraerti* n.sp., discovered in soil surrounding grass roots in Dalhousie, District Chamba, H.P., India[12].

The present study represents a significant contribution to the understanding of plant-parasitic nematodes in the region by providing the evidence of two nematode species from Jammu and Kashmir, India. This report adds a crucial part to the nematode distribution and diversity in the northwestern Himalayas, shedding light on the geographical range of this particular species. Understanding the dynamics of nematode populations in this region is essential for farmers, agronomists, and researchers to implement effective and sustainable measures to mitigate potential crop losses. This finding emphasizes the importance of continuous monitoring and research efforts to stay ahead of emerging plant health threats and underscores the need for global collaboration to address the challenges posed by plant-parasitic nematodes.

MATERIALS AND METHODS

As a part of the nematode diversity assessment in Jammu and Kashmir, soil samples were collected from Tehsil Akhnoor in District Jammu, located at coordinates 32°.87' N 74°.73' E, at an altitude of 301 m (988 ft.) and District Doda, GandohBalessa, 33.0322° N, 75.9100° E, 662m (Fig. 1). The collection was made in September 2023 from an agricultural land with grapevines, at depths ranging from 0 to 15 cm and 10 to 20 cm. In order to inhibit evaporation, the samples were meticulously preserved in polythene bags that were tightly fastened with rubber bands. The Baerman funnel technique and decanting method was used for nematode isolation during the processing of soil samples. Using the Baerman funnel method, the turbid solution was delicately agitated manually to disintegrate clumps. The solution was further filtered using a coarse sieve to remove any unwanted particles, and then passed through a 300-micron mesh sieve to specifically catch root nematodes by Cobb's sieving and decanting method and Baermann funnel technique [13, 14]. The decantation technique involves the amalgamation of soil and water, followed by the process of sedimentation, and finally, the separation of the water by pouring it out. The mud suspension was deposited onto a petri dish containing a small quantity of water, thereby exposing nematodes suspended or attached to the surface of the dish. Individual live nematodes were selected using a size 0 brush. The nematodes were exterminated by immersing them in test tubes containing a solution of 70 percent alcohol. They were then kept undisturbed for a duration of 24 hours prior to further handling. Lactophenol was used for the purpose of conducting morphological and anatomical analysis, namely during the process of cleaning and mounting. Accurate categorization of nematodes was achieved by the use of morphological and image-based analysis. For the





Jatinder Singh and Shashi Kiran Solanki

identification of nematodes, temporary slides were made and studied under Olympus BX51 compound microscope and identification was confirmed with the help of available literature [12, 15, 16, 17, 18, 19].

RESULTS

Species account

Phylum: NEMATODA Order: DORYLAIMIDA

Family: APORCELAIMIDAE Heyns, 1965 Subfamily: APORCELAIMINAE Heyns, 1965

Genus: Aporcelaimellus Heyns, 1965

Species: Aporcelaimellus obtusicaudatus (Bastian, 1865) Altherr, 1968

Basionym: Dorylaimus obtusicaudatus Bastian, 1865

Synonyms:

- =Aporcelaimellus barelicus Jain &Saxena, 1993
- = Aporcelaimellus futaii Khan & Araki, 2002
- =Aporcelaimellus kazirangus Khan, Ahmad &Jairajpuri, 1995
- =Aporcelaimellus microhystera Altherr, 1972
- = Aporcelaimellus micropunctatus Botha & Heyns, 1990
- =Aporcelaimellus obscuroides Altherr, 1968
- = Aporcelaimellus obscurus (Thorne & Swanger, 1936) Heyns, 1965
- =Aporcelaimellus porcus Thorne, 1974
- = Aporcelaimellus quietus (Kirjanova, 1951) Bagri&Khera, 1975
- =Aporcelaimellus vanderlaani (Meyl, 1957) Heyns, 1965
- =Aporcelaimellus williamsi Heyns, 1965
- = Aporcelaimus obscurus (Thorne & Swanger, 1936) Goodey, 1963
- =Aporcelaimus vanderlaani Meyl, 1957
- *■Dorylaimus obscurus* Thorne &Swanger, 1936
- *■Dorylaimus obtusicaudatus* Bastian, 1865
- = Dorylaimus ornatus Fuchs, 1930
- = Dorylaimus perfectus Cobb, 1893
- = Dorylaimus quietus Kirjanova, 1951
- = Eudorylaimus obscurus (Thorne & Swanger, 1936) Andrássy, 1959
- = Eudorylaimus obtusicaudatus (Bastian, 1865) Andrássy, 1959
- = Eudorylaimus quietus (Kirjanova, 1951) Andrássy, 1959

Species examined

1 ♀, INDIA, Jammu and Kashmir, District Jammu, Tehsil Akhnoor, 32°.87' N 74°.73' E, 301m, 19.09.2023, Jatinder Singh, Voucher specimen (DOZ-J&K-25).

Distribution and Host Range

Aporcelaimellus obtusicaudatus are soil-dwelling nematodes with a predilection for consuming bacteria and algae as part of their free-living lifestyle [20].

Species Description

The female *Aporcelaimellus obtusicaudatus* (Bastian, 1865) Altherr, 1968 exhibited a slender and elongated body morphology, which was typical of the genus with total body size (2.5 mm) (Fig. 2). The cuticle was finely annulated, appearing smooth under light microscopy. The lip region was rounded and slightly offset from the rest of the body. The stylet was robust and well-developed, bearing three prominent knobs at its base. The esophageal glands were





Jatinder Singhand Shashi Kiran Solanki

prominent, extending posteriorly towards the intestine. The vulva was located approximately at the mid-body region and was characterized by a slightly raised vulval lip. The tail was bluntly rounded, with a distinct hyaline terminus. Morphometric measurements of body length, stylet length, esophageal length, and tail length were consistent with the species description. Overall, these morphological features distinguished the female *A. obtusicaudatus* from other members of the genus, facilitating its taxonomic identification.

Species account

Phylum: NEMATODA Order: DORYLAIMIDA

Family: HOPLOLAIMIDAE Filipjev, 1934 Subfamily: HOPLOLAIMINAE Filipjev, 1934

Genus: Rotylenchus Filipjev, 1936

Species: Orientylus citri (Rashid & Khan, 1973) Jairajpuri & Siddiqi, 1977

Basionym: Rotylenchus citri Rashid & Khan, 1973

Species examined

1 &, INDIA, Jammu and Kashmir, District Doda, Gandoh Balessa, 33.0322° N, 75.9100° E, 662m, 24.09.2023, Jatinder Singh, Voucher specimen (DOZ-J&K-27).

Distribution and Host Range

The host of *Orientylus citri*, formerly classified as a species of *Rotylenchus*, primarily includes citrus plants. This nematode species is known to infest various citrus species, such as oranges, lemons, grapefruits, and mandarins, among others [21]. It can cause damage to citrus trees by feeding on the roots, leading to stunted growth, reduced yield, and susceptibility to other diseases. Additionally, in some cases, *Orientylus citri* has been reported in association with other crops or plants in citrus-growing regions, potentially indicating a broader host range under specific environmental conditions [22].

Species Description

The male specimen typically exhibited a slender and elongated body shape, with a distinctively shaped lip region and body size (0.99mm) (Fig. 3). Its stylet is well-developed and often bears prominent knobs at its base. The esophageal glands were observable, extending posteriorly toward the intestine. Males of *Orientylus citri* are relatively rare compared to females. Additional features included the presence of spicules and a gubernaculum, which are structures associated with reproduction in male nematodes. Detailed morphological and morphometric measurements are often employed to accurately characterize and differentiate male *Orientylus citri* specimens from other closely related species within the genus.

DISCUSSION

In our comprehensive examination, *Aporcelaimellus obtusicaudatus* emerges as a pivotal species within soil nematode communities, showcasing not only its extensive geographical distribution but also its remarkable adaptability to various ecological niches. By elucidating its feeding preferences, which predominantly target bacteria and algae, alongside detailing its unique morphological features and habitat preferences, we contribute to a deeper understanding of its ecological role. Moreover, our findings underscore the need for further investigations into its interactions with other soil organisms and its potential implications for soil health and nutrient cycling dynamics. Meanwhile, our exploration of *Orientylus citri*, previously classified under *Rotylenchus*, untangles insights into its host specificity, particularly its affinity for citrus plants, thereby highlighting its significant implications for citrus cultivation. The detailed characterization of its morphological traits aids not only in its accurate identification but also in the development of targeted management strategies to mitigate its detrimental impact on citrus orchards. As we investigate deeper into the ecological nuances of these nematode species, there remains a pressing need for





Jatinder Singh and Shashi Kiran Solanki

ongoing research efforts aimed at unraveling their broader ecological roles and devising sustainable management practices for agricultural systems worldwide.

ACKNOWLEDGMENTS

The author wishes to thank Department of Zoology, D.A.V. College, Dehradun-India for extended support, guidance and laboratory facilities.

REFERENCES

- 1. Jatala P. Biological control of plant-parasitic nematodes. Annu Rev Phytopathol 1986;24(1):453-489.
- 2. Mesa-Valle CM, Garrido-Cardenas JA, Cebrian-Carmona J, Talavera M, Manzano-Agugliaro F. Global research on plant nematodes. Agronomy 2020;10(8):1148.
- 3. Khan MR. Nematode pests of agricultural crops, a global overview. In: Khan MR, editor. Novel Biological and Biotechnological Applications in Plant Nematode Management. 2023. p. 3-45.
- Jung C, Wyss U. New approaches to control plant parasitic nematodes. ApplMicrobiolBiotechnol 1999;51:439-446
- 5. Siddique S, Coomer A, Baum T, Williamson VM. Recognition and response in plant–nematode interactions. Annu Rev Phytopathol 2022;60:143-162.
- 6. Phani V, Khan MR, Dutta TK. Plant-parasitic nematodes as a potential threat to protected agriculture: Current status and management options. Crop Prot 2021;144:105573.
- 7. Oka Y, Koltai H, Bar-Eyal M, Mor M, Sharon E, Chet I, Spiegel Y. New strategies for the control of plant-parasitic nematodes. Pest ManagSci 2000;56(11):983-988.
- 8. Siddiqui ZA, Mahmood I. Role of bacteria in the management of plant parasitic nematodes: A review. BioresourTechnol 1999;69(2):167-179.
- 9. Alvarez-Ortega S, Pena-Santiago R. Taxonomy of the genus AporcelaimellusHeyns, 1965 (Nematoda, Dorylaimida, Aporcelaimidae). Zootaxa 2013;3669(3):243-260.
- 10. Álvarez-Ortega S, Peña-Santiago R. Studies on the genus AporcelaimellusHeyns, 1965 (Dorylaimida: Aporcelaimidae). Nematology 2011;13(2):193-209.
- 11. Andrássy I. Two new species of Aporcelaimellus (Nematoda: Dorylaimida) from the Americas. Acta Zool Acad Sci Hung 2010;56(1):1-8.
- 12. Jairajpuri MS, Siddiqi MR. Observations on the nematode genera Orientylus and Calvatylus (Rotylenchoidinae: Hoplolaimidae) with descriptions of three new species. Indian J Nematol 1977;7(2):101-111.
- 13. Cobb NA. Estimating the nematode population of the soil. AgricTechnolCirc 1918;1. Bureau of Plant Industry, United States Department of Agriculture.
- 14. Southey JF. Laboratory Methods for Work with Plant and Soil Nematodes. HMSO; 1986.
- 15. De Ley P, Loof PA, Coomans A. Terrestrial nematodes from the Galápagos Archipelago II: Redescription of Aporcelaimellusobtusicaudatus (Bastian, 1865) Altherr, 1968, with review of similar species and a nomenclature for the vagina in Dorylaimida (Nematoda). Bull Inst R Sci Nat Belg 1993;63:13-34.
- 16. IuM R, Solov'eva GI. Structural characteristics of the cuticle of the phytonematode Aporcelaimellusobtusicaudatus in mechanical injury. Parazitologiia 1979;13(4):397-401.
- 17. Álvarez-Ortega S, Peña-Santiago R. Studies on the genus AporcelaimellusHeyns, 1965 (Dorylaimida: Aporcelaimidae). Four species originally described by Thorne and Swanger in 1936. Nematology 2010;12(4):587-607.
- 18. Rashid A, Khan AM. Two new species in the subfamily HoplolaiminaeFilipjev, 1934 from North India. Indian J Nematol 1973:3:50-53.
- 19. Dattaray PD, Gantait VV, Roy S, Manna B. Three new and four known species of the genus AporcelaimellusHeyns, 1965 (Nematoda: Dorylaimida) from West Bengal, India. Rec ZoolSurv India 2013;113(3):11-40.





Jatinder Singhand Shashi Kiran Solanki

- 20. Lišková M, Renčo M. Communities of free living and plant parasitic nematodes in hop gardens in Slovakia. Helminthologia 2007;44:80-86.
- 21. Gantait VV, Bhattacharya T, Chatterjee A. Description of Varotylusjairajpurii, new species (Tylenchida: Hoplolaimidae: Hoplolaiminae) from PaschimMedinipur, West Bengal, India with key to its world species. Pak J Zool 2011;43(4).
- 22. Sultan MS. Two new species of the genus OrientylusJairajpuri and Siddiqi, 1977 (Tylenchida: Rotylenchoidinae). Revue Nématol 1980;3(2):227-229.



Fig. 1. Map of the study areas showing red spots where species were collected





Fig. 2.♀ Aporcela imellus obtusicaudatus

Fig. 3. 3 Orientylus citri





RESEARCH ARTICLE

Antimicrobial and Cleansing Properties of Protease Enzyme Isolated from Coconut shells

Hema S1 and Poongothai M2*

¹Research Scholar, PG and Research Department of Biotechnology, Dr. N. G.P. Arts and Science College, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

²Associate Professor, PG and Research Department of Biotechnology, Dr. N. G.P. Arts and Science College, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Poongothai M

Associate Professor,
PG and Research Department of Biotechnology,
Dr. N. G.P. Arts and Science College,
(Affiliated to Bharathiar University),
Coimbatore, Tamil Nadu,
India.

Email: poongothaim@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Cocos nucifera (CN), commonly known as Coconut tree, has many applications. Generally, coconut shells are considered as waste product. Coconut shells can be used as a source of protease enzyme. Protease enzyme from the coconut shells have many applications. Protease enzyme was extracted from the coconut shell and it was characterized. In this study our aim was to assess the antimicrobial and Cleansing activity (Bloodstain removal), of the coconut shell protease enzyme. The agar disc diffusion method was used for antibacterial and antifungal screening. Protease enzyme from the coconut shell shows excellent inhibitory activity against both gram-positive and gram-negative bacterial strains. The Blood stain removal was done by wash test method. Stains were removed when they were treated with a combination of Coconut shell protease and commercial detergent. This would be used as an alternative in detergent making industries and it is eco - friendly.

Keywords: Coconut shell Protease, antibacterial, antifungal, Blood Stain Removal.





Hema and Poongothai

INTRODUCTION

The genus Cocos (coconut) is a member of the Aracaceae family. The nutritional and therapeutic characteristics of coconut make it a popular tropical fruit in many nations. The majority of the time, coconut meats are utilized as a staple meal, while other coconut plant parts can be used to make wood and crafts. Throughout history, coconut has also been known as a source of essential oils with biological activity. Coconut shell is a component of coconut that is rarely used in high-value goods. Traditionally, coconut shell is burnt and utilized in cooking. This approach may cause air pollution. At the moment, agricultural waste is receiving greater attention than in the past. Since it is less expensive also more effective for the creation of natural goods, and the best waste disposal option. Particularly, in underdeveloped nations, infectious infections are a significant cause of death and disability among the general population.[1] As microorganisms are increasingly becoming resistant to traditional antimicrobials, in recent years pharmaceutical companies have been driven to create novel antimicrobial medications. There are common reports on bacterial isolates that are known to be sensitive to commonly used drugs but which have become multi-resistant to other medications that are available on the market.[2] This suggests that bacterial species possess the genetic ability to acquire therapeutic properties. Several pathogenic and spoilage bacteria and fungi are becoming more and more resistant to drugs. The focus of scientific research in this area is shifting toward natural ingredients.[3] The growth of pathogenic and spoilage microbes can be slowed by using plant protease enzymes with antimicrobial characteristics from a variety of sources, including fruits, leaves, peels, and herbs. These days, plant Protease is used as an inexpensive, effective, and completely acceptable organic food additive in all industries [4]. It is becoming more popular to use biological resources and by-products to extract bioactive compounds with antimicrobial effects. [5] Existing research has demonstrated that the partly purified proteolytic extract of the pineapple, fig, papaya, etc., shows antibacterial activity against gram-positive and gram-negative bacteria.

Proteases are most often used in laundry detergents, where they help in the removal of stains caused by protein.[16] Protein stains are attacked by protease enzymes, which break down protein molecules into shorter chains of amino acids that may be readily removed from the cloth after washing.[6]Proteases are also expected to play a significant role in the development of environmentally friendly technologies as well as in many bioremediation procedures. Proteases can break down proteins into peptides and amino acids. They are distinguished by the temperature and pH at which they function best in removing the stains. Their capacity to hydrolyse specific proteins has drawn considerable interest as a potential chemical alternative. An enzyme should be stable and active in the presence of common detergent additives, such as surfactants, bleach activators, builders, fillers, bleaching agents, fabric softeners, and many other formulation additives.[7] Proteases may also be employed in the textile industry to remove the stiff and unappealing gum coating of sericin from the raw silk fiber in order to increase the luster and softness. Wool and silk fibers can undergo protease treatments to change their surface, creating novel and distinctive finishes.[8]Protease addition to detergents significantly improves (35-40%) cleaning performance (especially in eliminating stains containing proteins, such as blood) and enhances the consumption of surface-active compounds, Surface active compounds are molecules that can adsorb to solid surfaces or fluid interfaces, allowing them to function as multifunctional ingredients, hence enhancing the ecological situation.[9] The objective of this study was to investigate the impact of the purified proteolytic extract (Protease enzyme) from the Coconut shell for the antimicrobial and stain removal activity.

MATERIALS AND METHODS

COCONUT SHELL PROTEASE ENZYME EXTRACTION

10 grams of coconut shell powder was weighed and soaked in 100 ml of Hydroalcohol solvent, heated in a water bath at 100°C for 15 minutes, and kept for incubation for over 48 hrs. After incubation the extract was filtered and the collected extract was taken for the purification and characterization process. After purification, the purified protease enzymes were checked for antimicrobial and Cleansing properties. [10]





Hema and Poongothai

DETERMINATION OF ANTIMICROBIAL ACTIVITY

ANTIBACTERIAL ACTIVITY

The antibacterial activity of coconut shell protease enzyme was tested against both Gram-positive and gram-negative bacteria (Staphylococcus aureus, Escherichia coli, Pseudomonas aeruginosa, Salmonella typhi, and Bacillus sp.), and the zone of inhibition were recorded (figure: 1 and table: 1). Ampicillin (1 mg/ml) and Penicillin (1 mg/ml) was used as positive control; negative control was double distilled water. In Pseudomonas aeruginosa and Salmonella typhi inoculated plates, the maximum zone of inhibition was observed. Coconut shell liquid smoke inhibited only gram-positive bacteria (Kailaku et al., 2017) and in the case of coconut husk extract maximum inhibition was found only in gram-negative bacteria. Coconut shell protease had excellent antibacterial activity against Staphylococcus aureus (13 mm), Escherichia coli (15 mm), Pseudomonas aeruginosa (20 mm), Salmonella typhi (19 mm), and Bacillus sp. (16 mm). Of different bacteria tested, Coconut shell protease showed maximum inhibition against Pseudomonas aeruginosa (20 mm), compared to standard antibiotic penicillin (14 mm). The zone of inhibition in Salmonella typhi was measured as 19 mm which was similar to that of the standard antibiotic ampicillin (20 mm) Temikotan et al., 2021). When compared to other studies that show antimicrobial properties for coconut husk extract and coconut shell liquid smoke, the current study on antimicrobial property of coconut shell protease demonstrated that it inhibited both gram-positive and gram-negative bacteria. Hence Coconut shell protease can be exploited for its effective antimicrobial nature.

ANTIFUNGAL ACTIVITY

The antifungal activity of coconut shell protease was tested against Aspergillus niger and Candida albicans and the results were recorded. The results were shown in Figure: 2 and table: 2. Coconut shell proteases possess antifungal activity for the fungi like Aspergillus niger and Candida albicans. In Aspergillus niger, Amphotericin B was used as positive control and the zone of inhibition was measured as 14 mm and the zone of inhibition of the enzyme was measured as 10mm. In the case of Candida albicans the maximum zone of inhibition was noted as 30mm, which is higher than the standard antibiotic Fluconazole (14mm). When compared to Aspergillus niger, Candida albicans shows the maximum zone of inhibition (30mm). Earlier studies by Jayasree et al., 2019 reported that coconut shell oil shows the maximum zone of inhibition (30mm) against Candida albicans which is found equal to the zone of inhibition (30mm) exhibited by coconut shell protease. Ethanol extract from coconut shells shows maximum inhibition for C. albicans because of the presence of phenols and other metabolites in it. Coconut shell liquid smoke does not inhibit the growth of Candida sp., (Kailaku et al., 2017). Current research and earlier literature conclude that coconut shell protease plays a prominent role in inhibiting the growth of fungi and ensures that it can act as a best antibiotic for fungal infections.

Blood stain removal

Coconut shell powder proteases were also used to remove the blood stains on clothes. Blood stain removal was studied by washing test. For this study, five white cotton cloth pieces were taken (5cm X 5cm) and stained with blood, then it was dried at 95 - 100°C in the oven for 5 minutes. The stained clothes were used for stain removal studies. Five different sets of treatments were given as follows. Set 1: Water (100 ml) + empty cloth (control), Set 2: Water (100 ml) + blood stained cloth (control), Set 3: Water (100 ml) + blood stained cloth + 2 ml of partially purified enzyme (1.5 U/ml). Set 4: Water (100 ml) + blood stained cloth + 1 ml of commercial detergent (5 mg/ml) + 1ml of partially purified enzyme (1.5 U/ml). Set 5: Water (100 ml) + blood stained cloth + 2 ml of commercial detergent (5 mg/ml). Set 6: Water (100 ml) + blood stained cloth + 1 ml of commercial detergent (5 mg/ml) + 2 ml of partially purified enzyme (1.5U/ml). The stained cloths were incubated for 10 minutes at 55°C. In each set of treatments, three pieces of cotton cloth were maintained. After incubation, the cotton pieces from each set were removed, cleaned with distilled water, dried, and visually examined. Blood-stained cotton pieces that had been treated with water were used as a control.





Hema and Poongothai

RESULTS AND DISCUSSION

COCONUT SHELL PROTEASE ENZYME EXTRACTION

Protease enzyme was isolated from Coconut shell powder at 100°C. The extracted protease enzyme was checked by qualitative and quantitative analysis. Coconut shell proteases have 1.1 mg/ml of protein and 1.5U/ml of protease in them. Characterization studies were carried out. In this study, the antimicrobial and Cleansing activity of Coconut shell protease were checked.

DETERMINATION OF ANTIMICROBIAL ACTIVITY

ANTIBACTERIAL ACTIVITY

The antibacterial activity of coconut shell protease enzyme was tested against both Gram-positive and gram-negative bacteria (*Staphylococcus aureus, Escherichia coli, Pseudomonas aeruginosa, Salmonella typhi*, and *Bacillus sp.*), and the zone of inhibition were recorded (figure: 1 and table: 1)In this positive control used was Ampicillin (1mg/ml) and Penicillin(1mg/ml); negative control was double distilled water. In *Pseudomonas aeruginosa* and *Salmonella typhi*inoculated plates, the maximum zone of inhibition was observed. Other bacteria were also inhibited by the coconut shell protease enzyme. When compared to other studies that show antimicrobial properties for coconut husk extract, coconut shell extract and coconut shell liquid smoke this coconut shell protease inhibits both gram-positive and gram-negative bacteria, but in that case, the coconut shell liquid smoke inhibits only the gram-positive bacteria (Kailaku*et al.*, 2017) and in the case of coconut husk extract maximum inhibition was found only in gram-negative bacteria (Temikotan et al., 2021). Hence Coconut shell protease enzyme inhibits both gram-positive and gram-negative bacteria.

ANTIFUNGAL ACTIVITY

The antifungal activity of coconut shell protease enzyme was tested against *Aspergillus niger* and *Candida albicans* and the results were recorded. The results were shown in Figure: 2 and table: 2. When compared to *Aspergillus niger*, *Candida albicans*shows the maximum zone of inhibition (30mm). In earlier studies, Jayasree*et al.*, 2019 reported that coconut shell oil shows the maximum zone of inhibition against *Candida albicans* which is equal to the zone of inhibition of coconut shell protease enzymes. Ethanol extract from coconut shells shows maximum inhibition for *C. albicans*because of the presence of phenols and other metabolites in it. Coconut shell liquid smoke does not inhibit the growth of *Candida sp.*, Kailaku*et al.*, 2017. Current research and earlier literature conclude that coconut shell protease plays a prominent role in inhibiting the growth of fungi and it would act as a best antibiotic for fungal infections.

Blood stain removal

In this present study, partially purified protease from coconut shell powder has been used as the cleansing supplement in blood stain removal along with the commercial detergent (Surf excel). Results were shown in the figure 3(a), 3(b) and 3(c). Figure 3(a) explains the 6 different sets of blood-stained clothes for the stain removal treatment and figure 3(b) shows the dried blood-stained clothes and in figure3c) shows the stain-removed clothes after the treatment with Coconut shell protease enzyme and with commercial detergent. Totally 6 different treatments were used for this assay, in that Set1 plain cloth was kept as negative control and it was treated with 100 ml of distilled water. In set 2 the blood-stained cloth was used as positive control and it is treated with 100 ml of distilled water along with 1 ml of commercial detergent (5 mg/ml) and 2 ml of partially purified enzyme (3 U). In set 4 the blood-stained cloth was treated with 100 ml of distilled water along with 1 ml of commercial detergent (5 mg/ml) and with 1 ml of partially purified enzyme (1.5U) and in set 5 the blood-stained cloth was treated with 100 ml of distilled water and with 2 ml of commercial detergent (5mg/ml) in set 6 the blood-stained clothe was treated with 100 ml of distilled water and with 2 ml of commercial detergent (5mg/ml) of all the treatments. Treatment 3 shows a much better result in removing the stain than the other set of treatments. The study concluded that the combination of the Coconut shell Protease and the commercial detergent shows excellent activity in stain removal. The blood stain was partially removed when they





Hema and Poongothai

were treated with Coconut shell protease alone and stains were partially removed when they were treated with commercial detergent alone. *Shanmugavel et al.*, 2016 reported that protease enzyme from *Aspergillus tamari* was used for treating the blood-stained clothes with a combination of commercial detergent (7mg/ml) for 10 mins and the blood stains were removed. Similarly in current study, the blood stains were removed when they were treated with 5mg/ml of commercial detergent along with 2 ml of the coconut shell protease enzyme for 10 mins. It shows that the enzyme from coconut shell protease gave a better result than the *Aspergillus tamari* protease enzyme. Suryawanshic *et al.*, 2017, reported that protease obtained from *Aspergillus niger* and *Trichoderma longibrachiatum* have cleansing properties. Blood-stained clothes were treated with 1% commercial detergent and 1 ml of enzyme of *Aspergillus niger* for 1 hour and *Trichoderma longibrachiatum* shows moderate results in blood stain removal with the combination of 1% commercial detergent and 1 ml of enzyme of *Trichoderma longibrachiatum* for 1 hour. These results also show that coconut shell protease has better cleansing properties than other protease enzymes.

CONCLUSION

From this study, we could conclude that the Coconut shell protease enzyme shows both antimicrobial activity and cleansing activity. Protease extracted from coconut shell at 100°C does not affect the antimicrobial and cleansing activity. This will be useful for the production of antibiotics against disease-causing organisms and also it will protect the environment from getting polluted. This Coconut shell protease would be used as an alternative to hazardous chemicals in the detergent industry in making detergent for laundry purposes.

CONFLICT OF INTEREST

Conflict of interest declared none.

REFERENCES

- 1. Moumita Chakraborty, AdinpunyaMitra (2008) .The antioxidant and antimicrobial properties of the methanolic extract from *Cocos nucifera*mesocarp. Food Chemistry, 107, 994–999.
- 2. OladipupoOdunayoOlatunde, SoottawatBenjaku&KitiyaVongkamjan (2018). Coconut husk extract: antibacterial properties and its application for shelf-life extension of Asian sea bass slices. International Journal of Food Science and Technology, doi:10.1111/ijfs.14000.
- 3. Esquenazi, D., Wigg, M.D., Miranda, M.M. et al. (2002). Antimicrobial and antiviral activities of polyphenolics from Cocos nucifera Linn. (Palmae) husk fiber extract. Research in Microbiology, 153, 647–652.
- 4. Twumasi, P.A. (2005). Medical Systems in Ghana: A Study in MedicalSociology. Accra: Ghana Publishing Corporation.
- 5. Mehdizadeh, T., Tajik, H., Rohani, S.M.R. &Oromiehie, A.R. (2012). Antibacterial, antioxidant and optical properties of edible starch-chitosan composite film containing Thymus kotschyanus essential oil. Paper presented at the Veterinary Research Forum.
- 6. Banik, R.M., Prakash, M., (2004). Laundry detergent compatibility of the alkaline protease from *Bacillus cereus*. Microbiol. Res.,159: 135–140.
- 7. Mohsen FathiNajafi, DileepDeobagkar, DeeptiDeobagkar (2005). Potential application of protease isolated from *Pseudomonas aeruginosa*.PD100 Vol.8 No.2, Issue of August 15,
- 8. Wang, S. L., Yang, C.H., Liang, T.W., Yen, Y.H., (2008). Optimization of conditions for protease production by *Chryseobacteriumtaeanense*TKU001. Bioresour. Technol., 99: 3700-3707.
- 9. Chwojnowski, A., and Lada, W.A., (1985). Polish Patent. PL 133637 (IPC G03C-011/24).
- 10. Hema .S., and Poongothai .M.,(2024) Optimization of Temperature for Extraction of Protease from Coconut Shells. Journal of University of Shanghai for Science and Technology, 26,1, 50-62.
- 11. Kailaku, S.I., Syakir, M., Mulyawanti , I., ANA Syah(2017) Antimicrobial activity of coconut shell liquid smoke. Materials Science and Engineering 206, 012050 doi:10.1088/1757-899X/206/1/012050.





Hema and Poongothai

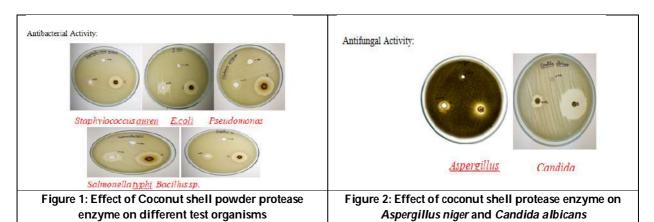
- 12. Temikotan, T., Daniels, A.O., and Adeoye, A.O., (2021). Phytochemical Properties and Antibacterial Analysis of Aqueous and Alcoholic Extracts of Coconut Husk Against Selected Bacteria. AJOSR Vol. 3, Issue 2.
- 13. Jayasree, D., Vasundara Devi, B., Aarthi, V., (2019). Antifungal activity of Chirattaithailam (coconut shell oil). IOSR Journal of Dental and Medical Sciences e-ISSN: 2279-0853, p-ISSN: 2279-0861.18, 7, 54-57).
- 14. Suryawanshi, H.K., and Pandya, N.D., (2017). Screening, Identification of Alkaline Proteases Producing Fungi from Soil of Different Habitats of Amalner Tahsil [Maharashtra] and Their Applications. Int. J. Appl. Sci. Biotechnol. Vol 5(3): 397-402 DOI: 10.3126/ijasbt.v5i3.18304
- 15. Shanmugavel. M., S. Vasantharaj, S., Saathiyavimal and Gnanamani, A., (2016). Application of an alkaline proteasein biological waste processing: an eco-friendly approach. J. Biosci. Nanosci., 3(2).
- 16. Sharma, M., Gat, Y., Arya, S., Kumar, V., Panghal, A., & Kumar, A. (2019). A Review on Microbial Alkaline Protease: An Essential Tool for Various Industrial Approaches. Industrial Biotechnology, 15(2), 69–78.

Table 1: Antibacterial activity of coconut shell protease enzyme on different test organisms

	Zone of Inhibition (mm)				
Test Organism	Positive Control	Negative Control	Coconut shell protease enzyme		
Staphylococcus aureus	8	0	13		
Escherichia coli	17	0	15		
Pseudomonas aeruginosa	14	0	20		
Salmonella typhi	20	0	19		
Bacillus sp.	13	0	16		

Table 2: Antifungal activity of coconut shell protease enzyme o Aspergillus niger and Candidaalbicans

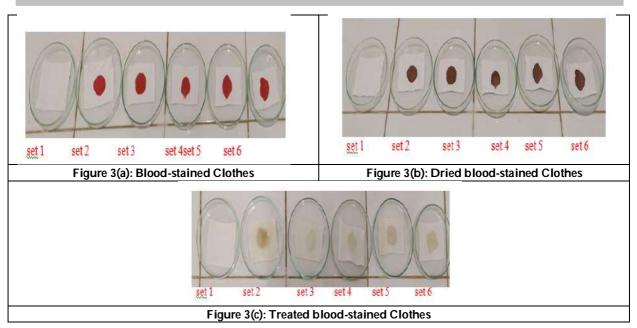
rable 2.7 intrangal activity of coconat shell procease enzyme o hisperginas inger and canal					
Test Organism	Zone of Inhibition (mm)				
	Positive Control Negative Control Coconut shell protease enzy				
Candidaalbicans	14	0	30		
Aspergillus niger	14	0	10		







Hema and Poongothai



Note

- Set 1- water (100 ml) + empty cloth (Negative control)
- Set 2- water (100 ml) + blood stained cloth (Positive control)
- Set 3 distilled water (100 ml) + blood stained cloth + 1 ml of commercial detergent (5 mg/ml) + 2 ml of partially purified enzyme
- Set 4 water (100 ml) + blood stained cloth + 1 ml of commercial detergent (5 mg/ml) + 1ml of partially purified enzyme
- Set 5 water (100 ml) + blood stained cloth + 2 ml of partially purified enzyme.
- Set 6 distilled water (100 ml) + blood stained cloth + 2 ml of commercial detergent (5 mg/ml).





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Antimicrobial Activities of Green and Black Tea (Camellia sinensis) extracts against Pathogens

Barkha Bhatnagar¹, Aaina² and Kunal^{3*}

1Professor, Department of Nutrition & Dietetics, Faculty of Allied Health Sciences, SGT University, Gurugram, Haryana, India.

²PG Student, Department of Nutrition & Dietetics, School of Allied Health Sciences, Manav Rachna International Institute of Research and Studies, Faridabad, Haryana, India.

³Associate Professor, Department of Microbiology, Faculty of Allied Health Sciences, SGT University, Gurugram, Haryana, India.

Revised: 03 Jul 2024 Accepted: 13 Aug 2024 Received: 08 Apr 2024

*Address for Correspondence

Kunal

Associate Professor. Department of Microbiology, Faculty of Allied Health Sciences, SGT University, Gurugram, Haryana, India.

Email: kunal_fahs@sgtuniversity.org



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The present study evaluated the antimicrobial (AM) activity of aqueous extracts derived from green and black tea (Camellia sinensis). Given the growing interest in natural antimicrobial agents, understanding the effectiveness of these commonly consumed teas against various pathogens is crucial for potential therapeutic applications. Multiple samples of tea were collected, and their aqueous extracts were tested for their AM activity against Escherichia coli, Staphylococcus aureus, Pseudomonas aeruginosa, and Salmonella typhi. The green and black tea extracts demonstrated significant AM activity (P<0.001) against all selected pathogens. However, green tea exhibited higher antimicrobial efficacy than black tea, particularly in inhibiting P. aeruginosa. Packaged tea varieties showed superior antimicrobial activity compared to open forms. Additionally, the minimum inhibitory concentration (MIC) value of all four aqueous extracts(open and packaged green and black teas) displayed potent growth inhibition against the test pathogens. Packaged green and black teas showed MIC values ranging from 400-800 µg/mL, while open tea forms showed MIC values ranging from 400-1400 µg/mL against the pathogens. Consequently, it can be concluded that aqueous extracts from C. sinensis leaves have the potential to be used as natural AM agents against E. coli, S. aureus, P. aeruginosa, and S. typhi pathogens.





Barkha Bhatnagar et al.,

Keywords: Escherichia, Minimum inhibitory concentration, Pseudomonas, Salmonella, Staphylococcus

INTRODUCTION

Tea is an aromatic beverage crafted from the leaves and buds of the Camellia sinensis plant, an evergreen shrub native to Asia[1][2][3]. It is the second most widely consumed beverage globally, surpassed only by water and outstripping the consumption of coffee, beer, wine, and carbonated soft drinks[4]. Teas are categorized into three main types based on their manufacturing process. The first is non-fermented green tea, which undergoes drying and steaming to prevent oxidation; the second is semi-fermented oolong tea, subjected to partial fermentation before drying; and the third is fermented black and red teas, which undergoes post-harvest fermentation, catalyzed by polyphenol oxidase for black tea and by microorganisms for red tea[5][6]. Among the components found in green tea leaves, polyphenols stand out, making green tea a significant dietary source of flavonoids. Conversely, black tea predominantly contains polymerized catechins like theaflavins and thearubigins. These catechins and polyphenols have demonstrated antimicrobial properties, potentially inhibiting various pathogens[7][8][9][10]. The daily moderate consumption of green tea has been observed to eliminate pathogens such as Bacillus cereus, Clostridium perfringens, Pleisomonasshigelloides, Staphylococcus aureus, and Vibrio parahemolyticus[7]. The antimicrobial effects of catechins involve direct actions such as damaging bacterial cell membranes, inhibiting fatty acid synthesis, and suppressing enzyme activity. Additionally, they contribute to overall antimicrobial efficacy by inhibiting inflammation, induced by oxidative stress, increasing nitric oxide synthesis, inhibiting angiotensin II and interleukin 6 (IL-6)-induced Creactive protein expression, suppressing IL-6 and receptor activator of nuclear factor kappa beta (RANKL) production in infected osteoblast-like cells, inhibiting IL-8 production, and suppressing hyaluronidase activity activated by chronic inflammation via IL-12 inhibition [11][12][13][14][15]. Despite significant efforts to explore medicinal plants as alternatives with minimal side effects, easy accessibility, and excellent compatibility, further clinical trials and standardization of medicinal plants are imperative for drug discovery [16]. However, there remains a gap in our understanding regarding the antimicrobial effects of aqueous extracts of C.sinensis against common pathogens such as Escherichia coli MTCC443, S.aureus MTCC96, Pseudomonas aeruginosa MTCC741, and Salmonella typhi MTCC733. Therefore, this study aims to fill this gap by evaluating the antimicrobial properties of aqueous leaf extracts of *C. sinensis* against these specific pathogens.

MATERIALS AND METHODS

Tea sample collection

One sample of packaged and open forms of green and black tea containing *C. sinensis* leaves was purchased from local tea shops in New Delhi.

Preparation of aqueous extract of *C. sinensis* tea leaves

Aqueous extracts of *C. sinensis* tea (green and black, packaged and open) were prepared by soaking 10 g of dry powdered leaves in sterile distilled water (100 mL) in a reagent bottle for 30 minutes. The extract was then filtered through Whatman filter paper No.1 and stored in amber-coloured reagent bottles at 4°C for further use.

Procurement of test pathogens

The pathogens used in the study were procured from the FICCI Research and Analysis Centre (FRAC), New Delhi. Bacterial strains *S. aureus* MTCC96, *S. typhi* MTCC73, *E. coli* MTCC443 and *P. aeruginosa* MTCC741 were used in the study and maintained by subculturing them on nutrient agar at regular intervals.

Antimicrobial (AM) analysis using agar-well diffusion test

The AMpotential of *C. sinensis* aqueous extract (open and packaged green and black tea leaves) was conducted in the laboratory using the agar-well diffusion method. Aliquots of 500 µL of 24-hour-old broth culture (exponential phase





Barkha Bhatnagar et al.,

of culture) were spread over the dried surface of a Muller-Hinton agar plate to ensure an even distribution of bacterial inoculums. The plates were left undisturbed for 5-10 minutes to allow for any excess surface moisture to be absorbed before making wells. Wells of about 0.6 mm diameter were made on agar plates using a sterile cork borer. A 100 µL aliquot of the tea leaf extract (green and black) was then introduced into the wells. Petriplates were incubated at 37°C for 24 hrto assess bacterial growth, and results were recorded[17].

Determination of Minimum Inhibitory Concentration (MIC)

The MIC of aqueous tea leaf extract was evaluatedby inoculating 1 mL of test bacterial strains into 10 mL of sterile nutrient broth in test tubes containing increasing concentrations (200, 400, 600, 800, 1000, 1200, 1400, and 1600 μ L) of aqueous leaves extract. The contents of the tubes were subjected to gentle shaking for proper mixing of the bacterial broth with the extract. The test tubes were incubated at 37°C for 24 hours. A tube without the test organism was kept as a control. The visual turbidity of the tubes was observed before and after the inoculation to determine the MIC value [18].

Statistical Analysis

The AMactivity of green and black tea leaves aqueous extract was expressed as mean ± standard deviation (SD). The comparison of the AMactivity of aqueous extracts of packaged and open green and black tea leaves against each test bacteria was evaluated through an independent t-test. The comparison of antimicrobial activities of packaged and open green tea and black tea extract against *S.aureus* MTCC96, *S.typhi* MTCC73, *E.coli* MTCC443 and *P.aeruginosa* MTCC741 was evaluated by applying one-way analysis of variance (ANOVA).

RESULTS

Antimicrobial Activity of Tea Extracts

The study revealed potent AMactivity in the aqueous extract of *C. sinensis*, confirming its efficacy against microbial infections. The AM activity was assessed by measuring inhibition zones formed around wells. After 24 hours of incubation, all four aqueous tea extracts displayed inhibition zones against selected pathogenic strains. Green tea consistently exhibited higher AM activity compared to black tea in all cases. Specifically, green tea (packaged) showed maximum inhibition against *P. aeruginosa* MTCC741, while black tea (packaged) demonstrated minimum inhibition against *S. aureus* MTCC96 and *E. coli* MTCC443. The diameter of inhibition zones for packaged green tea ranged from 22.8±1.06 mm to 23.6±0.67 mm, while for packaged black tea, it ranged from 18.8±0.73 mm to 21.75±1.34 mm (Table 1). Open green tea displayed inhibition zones ranging from 20.7±0.42 mm to 23.6±0.70 mm, whereas open black tea exhibited zones ranging from 9.2±0.63 mm to 11.2±0.52 mm against all four studied pathogenic bacterial strains (Table 1). Both green and black tea demonstrated significant AMactivity (P<0.001) against all the tested pathogens, whilegreen tea showedmore significant inhibition, particularly against *P. aeruginosa*. Packaged green tea exhibited significantly higher AM activity (P<0.001) compared to packaged black tea; a consistent trend was observed in the comparison between open green tea and open black tea (Table 1).

Determining the MIC of Aqueous Tea Extracts

A MIC test was conducted to ascertain the lowest concentration of green tea and black tea capable of inhibiting the growth of selected pathogens. Turbidity in the growth medium indicated the presence of the test bacterial strain, and the MIC represented the lowest concentration at which no visible growth occurred. Results showed that the MIC of packaged green tea was 400 µg/mL for *E. coli* MTCC443 and *S. aureus* MTCC96, and 600 µg/mL for *P. aeruginosa* MTCC741 and *S. typhi* MTCC733. Packaged black tea exhibited MICs of 600 µg/mL for *P. aeruginosa* MTCC741 and *S. typhi* MTCC733, and 800 µg/ml for *E. coli* MTCC443 and *S. aureus* MTCC96. In the case of open green tea, the MIC was 400 µg/mL for *P. aeruginosa* MTCC741 and 800 µg/mL for the remaining three bacterial strains. The open form of black tea demonstrated MICs of 1200 µg/mL for *P. aeruginosa* and 1400 µg/mL for the other three strains, as shown in Table 2.





Barkha Bhatnagar et al.,

DISCUSSION

The findings of the present study attribute the presence of different bioactive compounds in green and black tea, which are presumed to possess AM action against the studied pathogens. The major proportions of bioactive are comprised of polyphenols, as reported earlier by Mbata et al. [19]. Various polyphenolic compounds such as epigallocatechin gallate, catechins and theaflavins are recognized as the microbiologically active molecules present in both green and black tea [20][21][22]. A study conducted by Shetty and co-workers demonstrated that Japanese green tea, Chinese tea and black tea inhibited the growth of several bacteria responsible for diarrheal diseases, including Vibrio cholera and S. typhi [21]. The AM efficacy of boiled water tea extract and organic solvent extract was assessed against a range of bacterial strains including E.coli (EPEC P2 1265), Yersinia enterocolitica C770, S. typhi, S. typhi Ty2a, S. typhimurium 1402/84 and Shigella dysenteriae [23]. Results indicated that both green and black tea extracts significantly inhibitedbacterial growth across all tested strains. However, the inhibitory concentration required for green tea extract was lower than that of black tea extract. Notably, S. typhi Ty2a exhibited maximum sensitivity, while Y. enterocolitica C770 demonstrated greater resistance to the tea extracts. Combining the commercially available antibiotic chloramphenicol at a concentration of 2.5 µg/ml (MIC 5 µg/ml) with 5.094 mg/ml of black tea extract (MIC 9.089 mg/ml) effectively inhibited the growth of S. dysenteriae. Other antibiotics such as gentamicin, nalidixic acid, and methicillin along with tea extract were also exhibited AM activity against the tested strains [24]. Furthermore, green tea demonstrates various antibacterial activities, restraining bacterial growth, and exhibiting synergy with βlactam antibiotics. It was noted that employing tea extracts alongside different antibiotics enhanced the sensitivity of bacterial isolates that were resistant to most antibiotics when used alone [25].

Additionally, it was noted that green tea augmented the bactericidal activity of all tested antibiotics by suppressing the production of β-lactamases. Radji et al. reported the AM activity of Indonesian water-soluble green tea extract, which further proved to be beneficial in combating emerging drug-resistant clinical isolates such as methicillinresistant S. aureus and multi-drug-resistant P. aeruginosa[26]. Furthermore, methanol and water extracts of C. sinensis tea were demonstrated to inhibit the growth of Listeria monocytogenes[19]. Moreover, a combination of green tea extract and penicillin G exhibited inhibitory effects on the growth of E. coli ATCC 25922 and S.aureus ATCC 25923 compared to penicillin G alone [27]. The AM effectiveness of plant extracts relies on the presence of various secondary metabolites, including hydroxyl groups, on active constituents considered as AMagents. It is reported that chemicals implicated in AM activity typically belong to diverse groups such as flavonoids, alkaloids, saponins, and polyphenols [28]. Green tea leaves comprise of numerous polyphenolic compounds which possess distinct properties for combating the harmful effects of cell proliferation. Green tea is a chemotherapeutic option and is a natural alternative to combat antibiotic-resistant pathogens [29][30]. The findings revealed greater AM activity in packaged green and black tea leafextracts against all four tested pathogens, with their MIC values proving more effective against S. aureus, S. typhi, P. aeruginosa, and E. coli. These values were lower than those of open green and black tea leaf extracts. Aligiannis et al. proposed a classification of plant extracts based on MIC values: strong inhibition (MIC < 500 μ g/mL), moderate inhibition (600 μ g/mL < MIC < 1500 μ g/mL), and low inhibition (MIC > 1600 μ g/mL) [31]. According to this classification, aqueous extract of the packaged green tea leaves demonstrated strong inhibitory activity against all tested bacteria, while open black tea exhibited low inhibition. Tiwari et al. observed significant differences in MIC values (ranging from 9.089 to 94.61 mg/ml) of tea extract against different bacterial strains, including S. typhi, with MIC values ranging from 79.56 to 91.98 mg/mL [20]. However, Mbata et al. reported MICs of 0.26 and 0.68 mg/mL for methanolic and aqueous extracts of C. sinensis leaves against L. monocytogenes[19]. Thus, from the study it is indicated that the aqueous extract obtained from C. sinensis leaves presents a promising natural source of antimicrobial agents against S. aureus MTCC96, S. typhi MTCC73, E. coli MTCC443 and P. aeruginosa MTCC741.





Barkha Bhatnagar et al.,

CONCLUSION

In summary, widely consumed globally, black and green tea (*C. sinensis*) possess antimicrobial and health-enhancing qualities. Consequently, their antimicrobial properties could offer valuable supplements to the existing array of antibiotics. Hence, it suggests that both green and black tea extracts could serve as potent antibacterial agents against *S. aureus, S. typhi, E. coli* and *P. aeruginosa*, with packaged green tea being the preferred choice.

REFERENCES

- 1. Costa LM, Gouveia ST, Nóbrega JA. Comparison of Heating Extraction Procedures for AI, Ca, Mg, and Mn in Tea Samples. Anal Sci 2002;18:313–8. https://doi.org/10.2116/analsci.18.313.
- 2. Rietveld A, Wiseman S. Antioxidant effects of tea: evidence from human clinical trials. J. Nutr 2003; 133:3275–3284.
- 3. Martin LC. Tea: The drink that changed the world. Tuttle Publishing, USA; 2007.
- 4. Macfarlane A, Macfarlane I. The empire of tea. The Overlook Press pp.32; 2003.
- 5. Willson KC.Coffee, Cocoa and Tea. Cabi Publishing, New York; 1999.
- McKay DL,Blumberg JB. The role of tea in human health: An update. J Am CollNutr2002; 21:1–13. https://doi: 10.1080/07315724.2002.10719187
- 7. Urme SRA, Ahmed SF, Quadir MMA, Akhand MRN, Khan MMH. Evaluation of the antimicrobial activity of phytochemicals from tea and agarwood leaf extracts against isolated bacteria from poultry and curd. SciWorldJ 2023; 2023:6674891. doi: 10.1155/2023/6674891.
- 8. Vinson JA, Dabbagh YA, Serry MM, Jang J. Plant Flavonoids, Especially Tea Flavonois, Are Powerful Antioxidants Using an in Vitro Oxidation Model for Heart Disease. J Agric Food Chem 1995;43:2800–2. https://doi.org/10.1021/jf00059a005
- 9. USDA. USDA database for the flavonoid contents of selected foods. Beltsville: US Department of Agriculture;
- 10. Ikigai H, Nakae T, Hara Y, Shimamura T. Bactericidal catechins damage the lipid bilayer. Biochimica et Biophysica Acta (BBA) Biomembranes1993;1147:132–6. https://doi.org/10.1016/0005-2736(93)90323-R.
- 11. Yamakuchi M, Bao C, Ferlito M, Lowenstein CJ. Epigallocatechin gallate inhibits endothelial exocytosis. Bchm2008;389:935–41. https://doi.org/10.1515/BC.2008.095.
- 12. Li M, Liu J-T, Pang X-M, Han C-J, Mao J-J. Epigallocatechin-3-gallate inhibits angiotensin II and interleukin-6-induced C-reactive protein production in macrophages. Pharmacological Reports 2012;64:912–8. https://doi.org/10.1016/S1734-1140(12)70886-1.
- 13. Ishida I, Kohda C, Yanagawa Y, Miyaoka H, Shimamura T. Epigallocatechin gallate suppresses expression of receptor activator of NF-κB ligand (RANKL) in Staphylococcus aureus infection in osteoblast-like NRG cells. Journal of Medical Microbiology 2007;56:1042–6. https://doi.org/10.1099/jmm.0.47029-0.
- 14. Hirao K, Yumoto H, Nakanishi T, Mukai K, Takahashi K, Takegawa D, et al. Tea catechins reduce inflammatory reactions via mitogen-activated protein kinase pathways in toll-like receptor 2 ligand-stimulated dental pulp cells. Life Sciences 2010;86:654–60. https://doi.org/10.1016/j.lfs.2010.02.017.
- 15. Adcocks C, Buttle DJ, Collin P. Catechins from green tea (*Camellia sinensis*) inhibit bovine and human cartilage proteoglycan and type II collagen degradation *in vitro*. The Journal of Nutrition 2002;132:341–6. https://doi.org/10.1093/jn/132.3.341.
- 16. John G, Kumari PR, Balasundar A. Health promoting biochemical effects of three medicinal plants on normal and aeromonashydrophilainfected *Labeorohita*. J of Fisheries and Aquatic Science 2011;6:633–41. https://doi.org/10.3923/jfas.2011.633.641.
- 17. Mahida Y, Mohan JSS. Screening of Indian Plant Extracts for Antibacterial Activity. Pharmaceutical Biology 2006;44:627–31. https://doi.org/10.1080/13880200600897551.





Barkha Bhatnagar et al.,

- 18. Parvekar P, Palaskar J, Metgud S, Maria R, Dutta S. The minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) of silver nanoparticles against *Staphylococcus aureus*. BiomaterInvestig Dent 2020;7(1):105-109. doi: 10.1080/26415275.2020.1796674
- 19. Mbata TI, Debiao LU, Saikia A. Antibacterial activity of the crude extract of Chinese green tea (*Camellia sinensis*) on *Listeria monocytogenes*. Afr J Biotechnol 2008;7(10):1571-1573.
- 20. Tiwari RP, Bharti SK, Kaur HD, Dikshit RP, Hoondal GS. Synergistic antimicrobial activity of tea and antibiotics. Indian J Med Res2005;122:80-84.
- 21. Shetty M, Subbannayya K, Shivananda PG. Antibacterial activity of tea (*Camellia sinensis*) and coffee (*Coffee arabica*) with special reference to *Salmonella typhimurium*. J Commun Dis1994;26:147-150.
- 22. Yam TS, Shah S, Hamilton-Miller JMT. Microbiological activity of whole and fractionated crude extracts of tea (Camellia sinensis), and of tea components. FEMS Microbiology Letters 2006;152:169–74. https://doi.org/10.1111/j.1574-6968.1997.tb10424.x.
- 23. Hamilton-Miller JM. Antimicrobial properties of tea (Camellia sinensis L.). Antimicrob Agents Chemother1995;39:2375–7. https://doi.org/10.1128/AAC.39.11.2375.
- 24. Kawamura J, Takeo T. Antibacterial activity of tea catechin to Streptococcus mutans. J FoodSciTechnol1989;36:463–7. https://doi.org/10.3136/nskkk1962.36.6_463.
- 25. Fanaki NH, Kassem MA, Fawzi MA, Dabbous FSE. Influence of aqueous green tea extract on the antimicrobial activity of some antibiotics against multi-resistant clinical isolates. Egypt J Med Microbiol2008;17(3):449-460.
- 26. Radji M, Agustama RA, Eyla B, Tjampakasari CR. Antimicrobial activity of green tea extract against isolates of methicillin-resistant *Staphylococcus aureus* and multi drug-resistant *Pseudomonas aeruginosa*. Asian Pac J Trop Biomed 2013;3(8):663-667.
- 27. Mbuthia SK, Wachira FN, Koech RK. In-Vitro antimicrobial and synergistic properties of water-soluble green and black tea extracts. Afr J Microbiol Res 2014;8:1527-153.
- 28. Lavanya P, Sri Priya M. Antibacterial activity of green tea (*Camellia sinensis*) extract against dental caries and other pathogens. Intern J Adv Res Biol Sci 2014;1(5):58-70.
- 29. Kumar A, Kumar A, Thakur P, Patil S, Chandani P Kumar A, Sharma P. Antibacterial activity of green tea (*Camellia sinensis*) extracts against various bacteria isolated from environmental sources. RecRes Sci Technol 2012;4(1):19-23.
- 30. Haghjoo B, Lee LH, Habiba U, Tahir H,Olabi M, Chu TC. The synergistic effects of green tea polyphenols and antibiotics against potential pathogens. Adv BiosciBiotechnol2013;4:959–967.
- 31. Aligiannis N, Kalpotzakis E, Mitaku S, Chinou IB. Composition and antimicrobial activity of the essential oils of two *Origanum* species. J Agri Food Chem 2001;49:4168-4170.

Table 1: Assessment of antimicrobial activity of aqueous extract of green and black tea (open and packaged) by well diffusion method

Bacterial Stains	Pack	aged	Open		
	Green Tea	Black Tea	Green Tea	Black Tea	
	Zone of inhibition in mm ± S.D.				
S. aureus MTCC96	22.8±1.06	19.1±0.81	20.7±0.42	9.20±0.63	
E. coli MTCC443	23.1±0.47	19.0±0.85	20.7±0.60	11.2±0.52	
P. aeruginosa MTCC741	23.6±1.38	21.8±1.34	23.5±0.70	10.2±0.55	
S. typhi MTCC733	23.6±0.67	18.8±0.73	20.7±0.58	9.55±0.60	





Barkha Bhatnagar et al.,

Table 2: MIC of green and black teas (open and packaged) extracts against test pathogens.

Test organisms	Minimum Inhibitory Concentration(µg/mL)				
	Packaged Green tea	Open Green tea	Open Black tea		
E. coli MTCC443	400	800	800	1400	
S. aureus MTCC96	400	800	800	1400	
P. aeruginosa MTCC741	600	600	400	1200	
S. typhi MTCC733	600	600	800	1200	





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Standardization and Evaluation of Value - Added Choco-bar for School Children using Samai - Vallarai Powder (SVCP)

Priyadharshini R.S1* and Indirani Kaliappan2

¹PG Student, Department of Clinical Nutrition and Dietetics, PSG College of Arts and Science, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India.

²Assistant Professor, Department of Clinical Nutrition and Dietetics, PSG College of Arts & Science, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 24 Aug 2024

*Address for Correspondence Priyadharshini R.S

PG Student, Department of Clinical Nutrition and Dietetics,

PSG College of Arts and Science,

(Affiliated to Bharathiar University)

Coimbatore, Tamil Nadu, India.

Email: rspriyad01@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Samai (Panicum sumatrense) is known for its health benefits because it is gluten free, rich in iron, calcium and phosphorous. Vallarai leaves (Centella asiatica) improves mental characteristics by preventing cell damage, acts as a memory enhancer and helps to improve iron & calcium for children. Many studies revealed unhealthy snacking habit among the children which has adverse effects on them like childhood obesity, early mensuration, anaemia, nutritional deficiencies, and poor mental health status. "Objective of the study is to standardize Samai-Vallarai composite powder (SVCP), to develop value added product from SVCP, to evaluate the organoleptic acceptability, to analyse the nutrients, phytochemical properties, physiochemical properties and shelf life of the developed product. Samai-Vallarai was sundried and grounded into fine powder. SVCP was formulated into three different proportions and developed a value-added Choco-bar in three variations V1, V2 and V3. The developed product was organoleptically evaluated using 5-point hedonic scale with control sample. Selected sample and SVCP was analysed for its nutrients and shelf life. The results indicated that variation V2 was highly accepted with mean score of (4.37 ± 0.490)compared with control sample score (3.50 ± 0.509), V1 and V3. Nutrient analysis revealed more fat and crabs in SVCP Choco-bar than SVCP whereas protein, fiber, calcium and iron was higher in SVCP than SVCP Choco-bar. Phytochemical analysis revealed greater antioxidant and total phenolic properties in SVCP than SVCP Choco-bar. The physiochemical analysis revealed that the pH and TSS was high in SVCP Choco-bar than SVCP and acidity was found to be same (0.06%) in both. The microbial analysis revealed 0.55*105, 1.69*105, 2.87*105, and 3.42*105cfu/ml during the first, second, third, and fourth days for





Priyadharshini and Indirani Kaliappan

four weeks respectively. This study observed that SVCP was a high source of phenols, antioxidants, proteins, fiber, iron and calcium. Considering poor eating habits of kids, this makes it a better choice for making snacks for them. SVCP may be used as a basic ingredient in a wide range of culinary items like the SVCP Choco bar which is nutritious and have high health benefits towards many disease conditions such as diabetic, cataract, cancer and helps to improve cardiac health.

Keywords: Millets, Vallarai, anaemia, memory enhancement, iron, antioxidant, healthy snack.

INTRODUCTION

Indian millets are a class of highly nutritious, drought-tolerant plants that are mostly cultivated in the country's arid and semi-arid areas. Because it has more protein, vitamins, and minerals than wheat and rice, it is a better nutritious choice. They are also low in glycaemic index and gluten-free, which makes them perfect for those who have diabetes or celiac disease [1]. The tribe Paniceae, sub-family Panicoideae, and family Poaceae are native to little millet. Common names for little millet (Panicum sumatrense L.) include samai, samo, moraio, vari, and kutki. It is cultivated in India in various agroecological conditions [2]. A recent study on small millet revealed that its higher concentration of dietary fiber causes it to display a hypoglycaemic impact, which is one of the factors leading to its low glycaemic index [3]. It plays a vital function in the diet by contributing a substantial number of phytochemicals and antioxidants [4]. It is a fantastic millet that works well for all age groups. It cures various gastrointestinal issues and aids in the prevention of constipation. The complex carbohydrates in it break down gradually, making it ideal for those with diabetes and obesity patients. Nutraceutical elements including phenols, tannins, and phytates are among the many nutrients that Indian pennywort or Vallarai keerai contributes to the diet [5]. The plant family Apiaceae (previously Umbelliferae) and subfamily Mackinlayoideae include Centella Asiatica, often known as Little Millet. This plant thrives in marshy places found in tropical and subtropical climates worldwide. Native to the Asian subcontinent, it is found in the tropical parts of Southeast Asia, Malaysia, the Solomon Islands, and certain temperate regions of China, Japan, Korea, and Taiwan. The extensive history of C. asiatica's medical usage spans from the first indications of its utilization by the Indian physician Sushruta to the traditional herbal medicine systems found in Asian and African nations [6].

The significance of C. asiatica in the Ayurvedic tradition as a "medhyarasayana" plant—that is, a herb with restorative properties that enhance memory, guard against cognitive decline, and enhances brain function [7]. Phytochemical substances such as ursolic acid, myrcene, rutin, sitosterol, eugenol acetate, arjunolic acid, asiatoc acid, asiaticoside, brahminoside B, castillicetin, castilliferol, catechin, chavicol, and corosolic acid [8]. For hundreds of years, Centella asiatica has been used in traditional medical systems to treat a wide range of illnesses, such as dementia, hypertension, sleeplessness, infectious infections, and asthma. The Ayurvedic tradition has long acknowledged the plant's ability to enhance brain function. Numerous preclinical investigations conducted in the last ten years have validated these effects in the setting of age-related cognitive decline, both pathological and normal [9]. It is well known that CA—a cognitive and antioxidant—can boost focus and attention span, fight aging, and revitalize the brain and nervous system. It has sedative, antidepressant, antiepileptic, anti-inflammatory, wound-healing, and radioprotective qualities as well [10]. When it comes to supporting changes in the brain and cognitive processing, linear bone development and mineralization, body composition, and other organ systems, nutrition is essential for children during the developmental stage [11]. Numerous studies have demonstrated the negative impacts of children's unhealthy eating habits, including early menstruation, childhood obesity, juvenile diabetes, anemia, nutritional inadequacies, and poor mental health.





Priyadharshini and Indirani Kaliappan

MATERIALS AND METHODS

Preparation of Samai-Vallarai Composite Powder (SVCP)

Fresh and high-quality Vallarai leaves were chosen from Chennai's Koyampedu market to make the Samai-Vallari Composite. Freshness, colour, and firmness were the determining factors in the selection of Vallarai leaves. Dried, dark-coloured, and dull-looking Vallarai leaves were rejected. Fresh vallarai leaves obtained from local market were washed with soft water. The leaves were then dried in direct sunlight for almost 3-4 days. To make a fine powder, dried leaves were ground in a mixer, and kept in airtight aluminium zip-lock bags until use. The samai rice was purchased from a nearby grocery in Chennai. The highest-quality millets were chosen from a batch of aged, organic, unpolished, and uniformly sized yellow grains. New, shiny millets with vibrant colours were turned down. Small millets were cleaned, then dry-roasted in a skillet till the smell of samai emerged. After being cooled, the little millet was roasted and then ground into flour using a mixer to produce a fine powder, the millet flour was sealed in an airtight container and kept until use. Lastly, three distinct proportions (V1, V2, and V3) with ratios of 25%, 50%, and 75% respectively was made by mixing vallarai leaves dry powder with millet powder (Figure 1).

Preparation of SVCP Choco-bar

To formulate the SVCP Choco-bar, 100g of SVCP was dry-roasted for a few seconds and set away. Next, 50g of jaggery, 25ml of coconut oil, and 100 g of dark chocolate compound was melted using the double boiling technique. This was mixed with roasted SVCP. After being moved to the mould, 10g of nuts (almonds and cashews) were topped, and the mixture was cooled for two hours at -18 degrees ☐ in the freezer. The Choco-bar from SVCP was finally demoulded (Figure 2). This was repeated three times, using SVCP variation of 25%, 50%, and 75% (V1, V2, and V3, respectively). As a control sample, a regular Choco-bar with nuts was purchased from the store. A 5-point hedonic score-card was used to evaluate the organoleptic qualities of these Choco-bars, and the evaluation was conducted by 30 semi-trained panel members [12]. The panellists evaluated the Choco-bar's quality based on a range of sensory factors, including appearance, colour, flavour, texture, taste and overall acceptability of the different variations of Choco-bar (V1, V2, and V3) and control. After an organoleptic study, variation V2 was chosen because it had the greatest overall acceptance score compared to the other two variations and the control.

Analysis of the SVCP and SVCP Choco-bar.

The selected variation V2 SVCP and SVCP Choco-bar were analyzed for nutrients, phytochemical properties, and physiochemical properties. The microbial analysis was also done for the selected products. The results obtained from the organoleptic evaluation were interpreted using statistical tools such as mean and standard deviation using SPSS software.

RESULTS AND DISCUSSIONS

Organoleptic evaluation

Based on a sensory evaluation of SVCP Choco-bar made with 25%, 50%, and 75% SVC powder, it was determined that overall acceptance of variation 2 was very good when compared to other variations and controls. Table 1 presents the mean score and standard deviation for appearance, colour, flavour, texture, taste, and overall acceptability derived from all three variations (V1, V2, and V3) and control. The texture, taste, and flavour of the Choco-bar were significantly impacted by the addition of 50% SVC powder (V2), as seen in Graph 1. Additionally, as seen in Graph 2, the variation with 50% SVCP Choco-bars added was the most acceptable.





Priyadharshini and Indirani Kaliappan

Nutrient analysis of SVCP and SVCP Choco-bar

A nutritional analysis of the SVCP and SVCP Choco-bar was shown in Table 2, revealing 65.01g of carbohydrates, 12.31g of protein, 2.69g of fat, 4.85g of fiber, 4.5g/L of calcium, and 2.02 g/L of iron in 100g of the powder. The nutritional composition of the SVCP Choco-bar was 68.42g carbohydrate, 10.58g protein, 12.13g fat, 1.07g fiber, 1.3 g/L calcium, and 0.6 g/L iron. In comparison to the SVCP Powder, the SVCP choco-bar contained more fat and carbs, according to this study. SVCP contained higher protein, fiber, calcium, and iron levels than SVCP Choco-bar. Next to barnyard millets, little millets are fibrous. Research indicates that 37–38% of some Kodo and small millet cultivars contain dietary fiber, which is the main component [13].

Phytochemical analysis of SVCP and Variation (V2) SVCP Choco-bar

The chemical analysis of the SVCP and Choco-bar was shown in Table 3, which showed that 100g of the powder contained 324.58 mg/g of total phenols and 5579.88 µg of total antioxidants. SVCP Choco-bar has a total antioxidant content of 3186.18 mg and 146.13 mg/100 g. According to this study, SVCP is a great source of high levels of phenolic properties and antioxidants when compared to SVCP Choco-bar; the total antioxidant analysis (TAA) revealed these high levels in SVCP. With SVCP Choco-bar's functional qualities and suitability for mixing its high concentration of antioxidant components with other ingredients, itcan be made into manyconfections utilising a range of methods. One study finding suggested that applying heat could increase or decrease Total Antioxidant Activity.

Physiochemical analysis of SVCPand V2 SVCP Choco-bar

The physiochemical properties of the SVCP and SVCP Choco-bar were shown in Table 4, which showed that SVCP powder contained 5.6 pH, and 2 brix of TSS. SVCP Choco-bar has a pH content of 6.6 and 10 brix of TSS. According to this study, SVCP Choco-bar is a great source of high levels of pH and TSS when compared to SVCP powder. Both powder and Choco-bar have an acidity level of 0.06% respectively.

Shelf-life analysis

As a result, the microbiological count was performed on the first, second, third, and fourth days of the respective four weeks. Between the first day of the first week and the thirty-first day, the total number of plates counted was 0.55*10⁵, 1.69*10⁵, 2.87*10⁵, and 3.42*10⁵cfu/ml, respectively. Graph 3 shows that even though it was locked with an aluminium zip lock cover for about four weeks, the total number of plates rose from day one to day thirty. Therefore, another innovative preservation or packaging technique is required to stop microbial development and extend the shelf life to more than 3 months.

CONCLUSION

Samai-Vallarai Composite Powder (SVCP) was formulated and used to create a value-added Choco-bar for the current investigation. It emerged that SVCP was a high source of phenols, antioxidants, proteins, fiber, ironand calcium. Considering how poorly kids eat, this makes it a better choice for making snacks for them. Like the SVCP Choco bar, SVCP may be used as a basic ingredient in a wide range of culinary items. Because of its potential health benefits, including memory enhancement, antidiabetic, anti-hyperlipidaemic, anemia prevention and treatment, bone health and development, constipation prevention, aging prevention, improved heart health, and anticancer action due to antioxidant properties, this composite mixture is suitable for children as well as all other age groups.

"ALWAYS BE RIGHT TO EAT RIGHT": Raising children's awareness of the benefits of eating Indian millets or little millets along with vallarai leaves will help prevent chronic illnesses like diabetes and cardiovascular disease, as well as work toward making our nation diabetes-free [13]. Thus, always consider eating healthy; increase the amount of millet than the amount of rice and wheat.

ACKNOWLEDGMENT

The authors would like to sincerely thank the PSG College of Arts & Science, Department of Clinical Nutrition and Dietetics for their support under the Institutional Research Seed Grants fund scheme, with reference number





Priyadharshini and Indirani Kaliappan

PSGCAS/IRSG/2021-2022/Clinical Nutrition/026: Development and Quality Evaluation of Little Millet-Cintella (Vallarai keerai) Choco-bar.

REFERENCES

- 1. Agricultural and Processed Food Products Export Development Authority (APEDA): About Millets, https://apeda.gov.in/milletportal/about_us.
- 2. N. Anuradha*, P. Kranthi Priya, T.S.S.K. Patro, Y. Sandhya Rani and U. Triveni. Association Studies in Little Millet (Panicum sumatrense L.) for Yield and Other Important Traits. International Journal of Current Microbiology and Applied Sciences ISSN: 2319-7706 Special Issue-11 pp. 1465-1472.
- 3. Patil KB, Chimmad BV, Itagi S. Glycemic index and quality evaluation of little millet (Panicum miliare) flakes with enhanced shelf life. J Food Sci Technol. 2015 Sep;52(9):6078-82. doi: 10.1007/s13197-014-1663-5. Epub 2014 Dec 4. PMID: 26345032; PMCID: PMC4554602.
- 4. Singh, Maneesha & Naithani, And. (2014). PHYTOCHEMICAL ESTIMATION AND ANTIOXIDANT ACTIVITY OF SEED EXTRACT OF MILLETS TRADITIONALLY CONSUMED BY COMMON PEOPLE OF UTTRAKHAND, INDIA. 2389-2400.
- 5. Swarna Ronanki, Sangappa, Ganapathy K.N and Vilas A Tonapi, ICAR Indian InstituteofMilletsResearch.https://www.millets.res.in/technologies/brochures/Little_Millet_Brochure.pdf.
- 6. Brinkhaus B, Lindner M, Schuppan D, Hahn EG (2000) Chemical, pharmacological and clinical profile of the East Asian medical plant Centella asiatica. *Phytomedicine* 7: 427–448.
- 7. Shinomol G, Muralidhara MMB (2011) Exploring the role of "Brahmi" (Bocopamonnieri and Centella asiatica) in brain function and therapy. *Recent Pat EndocrMetab Immune Drug Discov* 5: 33–49
- 8. Gray NE, Alcazar Magana A, Lak P, Wright KM, Quinn J, Stevens JF, Maier CS, Soumyanath A. *Centella asiatica* Phytochemistry and mechanisms of neuroprotection and cognitive enhancement. Phytochem Rev. 2018 Feb;17(1):161-194. doi: 10.1007/s11101-017-9528-y. Epub 2017 Sep 20. PMID: 31736679; PMCID: PMC6857646.
- 9. Shinomol G, Muralidhara MMB (2011) Exploring the role of "Brahmi" (Bocopamonnieri and Centella asiatica) in brain function and therapy. *Recent Pat EndocrMetab Immune Drug Discov* 5: 33–49.
- 10. Gohil KJ, Patel JA, Gajjar AK. Pharmacological Review on Centella asiatica: A Potential Herbal Cure-all. Indian J Pharm Sci. 2010 Sep;72(5):546-56. doi: 10.4103/0250-474X.78519. PMID: 21694984; PMCID: PMC3116297.
- 11. Saavedra JM, Prentice AM. Nutrition in school-age children: a rationale for revisiting priorities. Nutr Rev. 2023 Jun 9;81(7):823-843. doi: 10.1093/nutrit/nuac089. PMID: 36346900; PMCID: PMC10251301.
- 12. Margaret Everitt. (2009). Consumer Targeted Sensory Quality. Global Issues in Food Science and Technology. 117 128 https://doi.org/10.1016/B978-0-12-374124-0.00008-9.

Table 1: Mean organoleptic scores of controls and SVCP Choco-bar

	Mean score of variations				
ORGANOLEPTIC PROPERTIES	CONTROL	V 1	V2	V3	
Appearance	3.93 ± 0.254	2.13 ± 0.681	4.37 ± 0.490	3.03 ± 0.414	
Colour	3.50 ± 0.509	1.60 ± 0.675	4.40 ± 0.480	2.50 ± 0.509	
Flavour	3.93 ± 0.254	2.33 ± 0.758	4.40 ± 0.480	3.03 ± 0.414	
Texture	3.87 ± 0.346	2.27 ± 0.828	4.40 ± 0.480	2.97 ± 0.490	
Taste	3.93 ± 0.254	2.30 ± 0.750	4.40 ± 0.480	3.03 ± 0.414	
Overall acceptability	3.50 ± 0.509	2.13 ± 0.681	4.37 ± 0.490	2.97 ± 0.490	





ISSN: 0976 - 0997

Priyadharshini and Indirani Kaliappan

Table 2: Nutrient analysis of (V2) SVCP Choco bar and SVCP powder

Nutrients	Variation (V2) SVCP Choco-Bar	Variation (V2) SVCP (powder)
Carbohydrates (g)	68.42	65.01
Protein (g)	10.58	12.31
Fat (g)	12.13	2.69
Fiber (g)	1.07	4.85
Calcium (g/L)	1.3	4.5
Iron (g/L)	0.6	2.02

Table 3: Phytochemical analysis of SVCP (powder) and SVCP Choco-bar

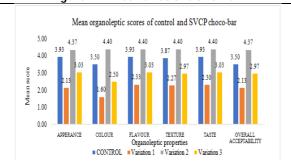
Phytochemical properties	SVCP (Powder)	SVCP Choco-bar (V2)
Total Antioxidant Activity mg/g	5579.88	3186.18
Total Phenols mg/100g	324.58	146.13

Table 4: Physiochemical properties of SVCP (powder) and SVCP Choco-bar variation

Physiochemical properties	SVCP	SVCP Choco-bar (V2)
рН	5.6	6.6
Acidity %	0.06	0.06
TSS (Brix)	2	10



Figure 1: Three variations of SVCP Figure 2: V2 Variation of SVCP Choco-bar



Graph 1: Mean organoleptic scores of controls and SVCP Choco-bar



Overall acceptability of SVCP choco-bar

5.00

4.00

3.50

3.00

2.97

2.13

Control

Variation 1

Variation 2

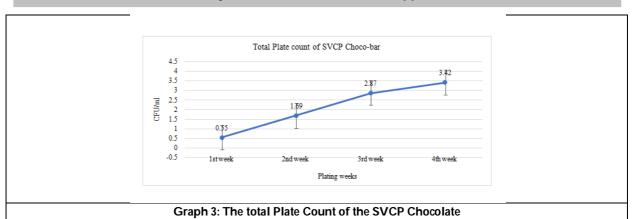
Variation 3

Graph 2: Overall acceptability of SVCP Choco-bar





Priyadharshini and Indirani Kaliappan







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Comprehensive Management of Shushkakshipaka w.s.r. to Dry Eye Syndrome - A Single Case Study

Shalaka More^{1*} and Manjiri Keskar²

Associate Professor and PhD Scholar, Department of Shalakya Tantra, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

²Professor and HoD, Department of Shalakya Tantra, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence Shalaka More

Associate Professor and PhD Scholar, Department of Shalakya Tantra, Parul Institute of Ayurved, Parul University, Vadodara, Gujarat, India.

Email: vdshalakapawar@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Dry eye disease is a global issue that continues to grow in terms of the numbers of people it affects and the impact it has on sufferers. It is one of the most prevalent issues and causes of referrals to ophthalmology clinics. The signs and symptoms of dry eye can be correlated to Shushkakshipaka which is one among the Sarvagata netrarogas affecting all parts of eye as explained in Ayurvedic texts. This is a single case study of dry eye, treated according to the treatment principles of Shushkakshipaka as explained in Ayurvedic classics Present study is a case report of 23 years old male patient who approached Shalakya Tantra OPD of Parul Ayurved Hospital, Vadodara, Gujarat with feeling of dryness on both eyes associated with headache, irritation and blurring of vision since 4 months. He was thoroughly examined and diagnosed as Shushkakshipaka. He was treated with Deepana Pachana, Kosthashodhana, Aschyotana along with Snehapana followed by three sittings of Netra Parisheka, Akshi Tarpana, Nasya, as mentioned in the classics. Patient showed marked improvement subjectively and in diagnostic tests like Schirmer-I test, Tear film Break up time and Fluorescein staining in both eyes. The dry eye disease can be considered as Shushkakshipaka and can be treated according to its treatment principles.

Keywords: Shushkakshipaka, Tarpana, Nasya, Parisheka, Aschyotana, Sarvagata netraroga.





Shalaka More and Manjiri Keskar

INTRODUCTION

Dry Eye is a multifactorial disorder due to inflammation of the ocular surface, lacrimal gland, meibomian gland dysfunction and neurotrophic deficiency.[1]It is a condition in which a person doesn't have enough quality tears to lubricate and nourish the eye. Tears are necessary for maintaining the health of the ocular surface and for providing clear vision. Various environmental factors such as air dryness, pollution, global warming, smoke, allergens, systemic diseases, contact lens wear and age are contributing to more cases of dry eye.[2-5]The disease has increased in recent years with the advent of monitors and prolonged use of computers due to over exposure to visual displays. Similar clinical manifestation of Dry Eye can be observed in a disease called as Shushkakshipaka. It is a Vata- PittajaVyadhi having symptoms like Gharsha (foreign body sensation), Vishushkatwama (feeling of dryness in eyes), Kricchronmeela-Nimeelanama (difficulty in opening the eyes), Rukshadarunavartma, Toda (Pricking sensation), Daha (Burning sensation), Raga (redness) [6] In Ayurveda classics, specific treatment has been mentioned for Shushkakshipaka such as Snehapana Tarpana, Nasya and Pariseka.[7]

MATERIALS AND METHODS

Case Report

A fully conscious, normal oriented male patient, aged 23 years, consulted Shalakya Tantra OPD of ParulAyurved Hospital, Vadodara on 20-12-2023 complaining of irritation, pain, feeling of dryness in both eyes along with frontal headachefor4 months. He was diagnosed as a case of Dry Eye. History of present illness: The subject was apparently normal 4 months ago. He gradually developed dryness of bilateral eyes, irritation and pain. It was associated with Frontal headache and blurring of vision in both the eyes. But gradually the severity of symptoms increased and he approached Shalakya Tantra OPD of Parul Ayurved Hospital, Vadodara. History of past illness: No past history of any systemic diseases like Asthma, Hypertension or Diabetes. No Surgical history.

Family History: Nothing Significant.

Personal History: Appetite: Good

Bowel: Once a day / Regular Micturition: 4-6 times/ day

Sleep: Sound Habits: None

Occupation: Engineering student (Computer Science)

Ashta Sthana Pareeksha:

Nadi: 74/min Mutra: 4-6 times/day Mala: **Parkrutha** Jihwa: Alipta Shabda: Prakrutha Sparsha: Prakrutha Drik: Shuskatha

Akriti: Madhyama

Clinical findings: He was afebrile. The pulse rate was 74/minute. Respiratory Rate was 16/minute and Blood Pressure was 110/70mmHg. Systemic examination was within normal limits.

Ophthalmic Examination:





Shalaka More and Manjiri Keskar

THERAPEUTIC INTERVENTION

Deepana Pachana(appetizer & digestant) was done with HingwasthakChurna.[8]6 gm of the medicine was consumed with first morsel of food, added with cow gheetwice daily for three days. Koshtashodhana(elimination of doshas and malas from kostha) in the form of Sadyovirechana (purgation) was done with Eranda Taila^{[9].} 40 ml of the medicine was given with hot water at 6.00 am in the morning. Nasya was done with Anutaila.8 drops of the Anutailawere instilled in both nostrils for the next seven days at 10.00 am^[10]. Then Tarpana was done in both the eyes with JeevanthyadiGhrita for 5 days. There was a gap of 15 days between each sitting of the treatment, during which, the patient was given Ashchyotana with JeevanthyadiGhrita 2 drops for each eye in the morning and evening. JeevanthyadiGhrita was given internally 10ml twice a day with milk. Subject was assessed 15 days after the completion of 3rd sitting of treatment.

RESULTS

The study showed marked improvement in signs and symptoms of the patient after the treatment as shown in table no. 5. There was no recurrence in signs and symptoms at the time of follow up of the patient. No adverse or unanticipated events were observed during or after the completion of study.

DISCUSSION

Shushkakshipaka is a Vata Pitta predominant vyadhi. In present case the aim of treatment was to achieve Vata Pittahara and Brumhana karma to eyes. Hingwasthak Churna was given at first for Pachana of Amadoshaln order to improve the body's absorption of the medications, sadhyovirechana was administered to cleanse the koshta. After Kaya Shodhanaand Sansarjan karma UrdhwajatruShodhana was planned with Anutail Nasya, as it is indicated in Shushkakshipaka and Urdhwajatrugata vikaras [11]. Seka was selected as sthanikamrudusweda. Madhura Rasa and Vipaka, Sheeta Veerya, Snigdha and Guru Guna, Vatapittahara and Rasayana[12] properties of YastimadhuKsheerapaka, administered as netraseka helps in reducing the inflammation thereby does the healing of conjuctival and corneal epithelial defects. Tarpana with JeevantyadiGhrita was adopted for 5 days. Balya, Rasayana, Drishtivardhaka[13]properties of JeevantyadiGhritahelps in maintaining the lipid layer of tear film which reduces the evaporation of aqueous layer of tear film. Thus maintains the longer lubrication of the ocular surface thereby relieving dryness, painful blinking of the eyes and foreign body sensation. The internal administration of Jeevanthyadighrita will help in nourishment of depleted dhatus Aschyotana with Jeevanthyadighrita will help in proper movements of the eyelids, reduces burning sensation, discoloration and irritation of eyes caused due to the dry ocular surface.

CONCLUSION

Dry eye is a very common clinical condition of eye. In which homeostasis between the three layers of tear film is lost. As per Ayurveda, Snehana and Brumhanachikitsa is essential for maintaining the homeostasis and stabilising the tear film. Thus, it can be concluded that, by adapting the above therapeutic procedures, the further vitiation of Vata and Pitta doshas can be prevented and functions of different structure of the eye which are responsible for proper lubrication will be restored.

REFERENCES

- 1. Javadi MA, Feizi S. Dry Eye Syndrome. J Ophthalmic Vis Res. 2011;6(3):192-98.
- 2. Gayton JL. Etiology, prevalence, and treatment of dry eye disease. Clin Ophthalmol. 2009; 3:405-12.
- 3. Hom MM, Nguyen AL, Bielory L. Allergic conjunctivitis and dry eye syndrome. Ann Allergy Asthma Immunol. 2012;108(3):163-66.
- 4. Hessen M, Akpek EK. Dry eye: an inflammatory ocular disease. J Ophthalmic Vis Res. 2014;9(2):240-50.





Shalaka More and Manjiri Keskar

- 5. Peck T, Olsakovsky L, Aggarwal S. Dry Eye syndrome in menopause and perimenopausal age group. J Midlife Health. 2017;8(2):51-54.
- 6. Pt. Hari Sadasiva Sastri Paradakara edited commentary on Ashtanga Hridaya. Sarvangasundara, Reprint 2015, Chaukhamba publications NewDelhiuttaratantra 15/16-17 pp 829
- 7. Pt. Hari Sadasiva Sastri Paradakara edited commentary on Ashtanga Hridaya. Sarvangasundara, Reprint 2015, Chaukhamba publications NewDelhiuttaratantra16/28 pp. 832
- 8. AyurvedacharyaRajeshwardattaShastri edited commentary of Ayurvedacharya Kaviraj Ambikadattashastri on BhaishajyaRatnavali, Agnimandya Chikitsa,10/59, Page no. 338
- 9. Maharshi Shushruta; Shushrut Samhita; Hindi Translation By Sharma A.; Part 3; Reprint Edition 2012; Varanasi, ChaukhambhaSurbhartiPrakashan, Chikitsasthana; Adhyay 40, Verse 36.
- 10. Maharshi Shushruta; Shushrut Samhita; Hindi Translation By Sharma A.; Part 3; Reprint Edition 2012; Varanasi, ChaukhambhaSurbhartiPrakashan, Uttartantra; Adhyay 4, Verse 12-14.
- 11. Maharshi Shushruta; Shushrut Samhita; Hindi Translation By Sharma A.; Part 3; Reprint Edition 2012; Varanasi, ChaukhambhaSurbhartiPrakashan, Uttartantra; Adhyay 9, Verse 22.
- 12. Namboothiri. (2005) Chikitsamanjari. (7th edition.). Alappuzha, Vidyarambham publishers.
- 13. Vagbhata Ashtanga Sangraha with Sashilekha commentary of Indu, editor Shivprasad Sharma. 2nd edition. Choukambha Sanskrit publication Varnasi(2008) 706.

Table 1: Slit lamp examination

Ocular Structures	Right Eye	Left Eye
Ocular Adnexa	No abnormalities detected	No abnormalities detected
Conjunctiva	Congestion present	Congestion present
Sclera	No abnormalities detected	No abnormalities detected
Cornea	Clear	Clear
Anterior chamber	Normal depth	Normal depth
Pupil	Round, Regular, Reactive	Round, Regular, Reactive
Lens	No abnormalities detected	No abnormalities detected
IOP	14.6 mm Hg	14.6 mm Hg

Table 2: Visualacuity

Tuble 2. Visualacuity						
Visual squity	Without Spectacles			With Spectacles		
Visual acuity	BE	OD	OS	OD	OS	BE
Distant vision	6/9	6/12	6/9	6/6	6/6	6/6
Near vision	N6	N6	N6	-	-	-

Table 3: Diagnostic Test

Test Name	Right Eye	Left Eye				
Schirmer 1Test	6 mm	8 mm				
TBUT	7 sec	8 sec				
Fluorescein stain	Positive	Positive				





Shalaka More and Manjiri Keskar

Table 4: Treated Adopted

Treatment Given	Drug Name	Duration	Dosage
Deepana Pachana	HingwasthakChurna	3 days	6 gm
Kosthashodhana	Eranda Taila	1 day	40 ml
Netra Seka			
	YastimadhuKsheerapaka	7 days	Sufficient quantity
(3 sittings)			
Nasya (3 sittings)	Anutaila	7 days	8 drops each nostril
Tarpana (3 sittings)	JeevantyadiGhrita	5 days	30 gm

Table 5: Result

DIAGNOSTIC CRITERIA	RIGHT EYE		LEFT EYE		
	ВТ	AT	ВТ	AT	
Schirmer- I test	6 mm	16 mm	8 sec	18 mm	
Tear film break up time	7 sec	13 sec	8 sec	15 sec	
Fluorescein staining	Positive	Negative	Positive	Negative	





RESEARCH ARTICLE

Phytochemical Analysis and Antimicrobial Activity of Cinnamon Oil against *Aeromonas caviae*

Devika. N1, Madhavan. S1, Sivamani. P2, Baskaran C3* and Balasubramanian G4*

¹Assistant Professor, Department of Zoology, Arignar Anna Government Arts College, Cheyyar, Tiruvannamalai, (Affiliated to Thiruvalluvar University, Vellore) Tamil Nadu, India.

²Director of Micro lab, Department of Microbiology, Vellore, Tamil Nadu, India.

³Post Doctoral Fellow, Department of Pediatrics, University of Pittsburgh, Pittsburgh, Pennsylvania, USA.

⁴Associate Professor, Department of Zoology, Arignar Anna Government Arts College, Cheyyar, Tiruvannamalai, (Affiliated to Thiruvalluvar University, Vellore) Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Aug 2024

*Address for Correspondence

Address for correspondence	
Baskaran C	Balasubramanian G
Post Doctoral Fellow,	Associate Professor,
Department of Pediatrics,	Department of Zoology,
University of Pittsburgh,	Arignar Anna Government Arts College,
Pittsburgh, Pennsylvania,	Cheyyar, Tiruvannamalai,
USA.	(Affiliated to Thiruvalluvar University, Vellore)
Email: basphd@gmail.com	Tamil Nadu, India.
	Email: aaacbala@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study analyzed cinnamon oil to identify its chemical composition and evaluated its antibacterial activity against Aeromonas caviae. The analysis revealed the presence of various classes of compounds, including acids, alkaloids, coumarins, flavonoids, phenols, quinones, steroids, tannins, terpenoids, and triterpenoids. Among the identified compounds, phenol, vanillin, (Z)-cinnamyl benzoate, and rutamarin were found to be the main constituents. Phenol exhibited disinfectant properties, vanillin had pharmaceutical applications, (Z)-cinnamyl benzoate was used in perfumes and cosmetics, and rutamarin showed potential for protecting against heart disease, diabetes, neurodegenerative diseases, and microbial/fungal infections. The antibacterial activity of cinnamon oil was investigated, and the results demonstrated a dose-dependent increase in the zone of inhibition against *Aeromonas caviae*. At higher concentrations, cinnamon oil exhibited stronger antibacterial activity than the standard antibiotic ciprofloxacin. The molecular mechanism underlying the antibacterial effects of cinnamon oil was attributed to bioactive components such as cinnamaldehyde, which disrupts bacterial cell membranes, leading to membrane damage and inhibition of bacterial growth. Furthermore, cinnamon oil may





Devika et al.,

interfere with bacterial enzymes and metabolic processes. However, the exact mechanism of its antibacterial activity against *Aeromonas caviae* may involve additional factors that warrant further investigation.

Keywords: Aeromonas caviae, cinnamon oil, well diffusion method, GC-MS

INTRODUCTION

Phytochemical analysis plays a crucial role in the field of natural product research, as it involves the identification and characterization of bioactive compounds present in plant extracts [1-11]. These compounds possess diverse biological activities and have been extensively studied for their potential therapeutic applications [12]. One such plant extract that has gained considerable attention is cinnamon oil, derived from the bark of Cinnamomum species [13-15]. Cinnamon oil is known for its distinct aroma and flavor, and it has been traditionally used for various medicinal purposes [16-19]. In recent years, there has been a growing interest in exploring the phytochemical composition and biological properties of cinnamon oil. The objective of this study is to conduct a comprehensive phytochemical analysis of cinnamon oil and evaluate its antimicrobial activity against *Aeromonas caviae*. *Aeromonas caviae* is a Gram-negative bacterium commonly found in aquatic environments and is known to cause a range of infections in humans, including gastroenteritis, wound infections, and septicemia [20]. The emergence of antibiotic resistance among *Aeromonas species* has become a significant concern, necessitating the search for alternative antimicrobial agents.

Specifically, this research aims to

Analyze the phytochemical composition of cinnamon oil using various analytical techniques such as gas chromatography-mass spectrometry (GC-MS). This analysis will provide insights into the presence and abundance of different classes of bioactive compounds in cinnamon oil, including phenolic compounds, terpenoids, and essential oils. Determine the antimicrobial activity of cinnamon oil against *Aeromonas caviae* through well-established microbiological methods. The antimicrobial activity will be compared to standard antibiotics to evaluate its potential as a natural alternative or adjunct therapy. Investigate the mechanisms of action underlying the antimicrobial activity of cinnamon oil. This will involve studying its effects on bacterial cell viability, membrane integrity, and the production of virulence factors by *Aeromonas caviae*. Understanding the mode of action will provide insights into the potential targets of cinnamon oil and its suitability as an antimicrobial agent. By conducting a comprehensive phytochemical analysis and evaluating the antimicrobial activity of cinnamon oil against *Aeromonas caviae*, this study aims to contribute to the growing body of knowledge on natural products with potential therapeutic applications. The findings of this research could have implications for the development of new antimicrobial agents to combat Aeromonas infections and serve as a foundation for further investigations into the bioactivity of cinnamon oil.

MATERIALS AND METHODS

All the chemicals, solvents, and essential oils used in investigations were obtained from Aromax trading PVT Ltd, Chennai, India as a standard chemical supplier.

Qualitative Phytochemical Analysis

Phytochemical screening of cinnamon oilwas carried out according to the method of Mishraet al. (2014) [21].

Test for Acids

Million's Test: To 1.0 ml oil, five drops Millon's reagent was added, heated on a water bath for 5 min. and allowed to cool, followed by addition of 1% sodium nitrite solution. Formation of red colour indicates the presence of acids.





Devika et al.,

Test for Alkaloids

Mayer's Test: To 2.0 ml oil, 2.0 ml concentrated hydrochloric acid followed by few drops Mayer's reagent were added. Presence of green colour or white precipitate indicates the presence of alkaloids.

Test for Anthocyanin and Betacyanin

Sodium Hydroxide Test: To 2.0 ml oil, 1.0 ml 2N sodium hydroxide was added and heated for 5 min. at 100°C. Formation of bluish green colour indicates the presence of anthocyanin and yellow colour shows the presence of betacyanin.

Test for Carbohydrates

Molisch's Test: To 2.0 ml oil, 1.0 ml Molisch's and few drops of concentrated sulphuric acid were added. Formation of purple or reddish ring indicates the presence of carbohydrates.

Test for Cardiac Glycosides

Ferric Chloride Test: To 0.5 ml oil, 2.0 ml glacial acetic acid and few drops 5% ferric chloride were added. This was under layered with 1.0 ml concentrated sodium hydroxide. Formation of the brown ring at the interface indicates presence of cardiac glycosides.

Test for Coumarins

Sodium Hydroxide Test: To 1.0 ml oil, 1.0 ml 10% sodium hydroxide was added. Formation of yellow colour indicates presence of coumarins.

Test for Flavonoids

Sulphuric Acid Test: 1.0 ml oil was treated with few drops of concentrated sulphuric acid and observed for the formation of orange colour, which indicates the presence of flavonoids.

Test for Glycosides

Sulphuric Acid Test: To 2.0 ml oil, 1.0 ml glacial acetic acid, 5% ferric chloride and few drops concentrated sulphuric acid were added. Presence of greenish blue colour indicates the presence of glycosides.

Test for Phenois

Ferric Chloride Test: To 1.0 ml oil, 2.0 ml distilled water, followed by few drops 10% ferric chloride were added. Formation of blue or green colour indicates presence of phenols.

Test for Proteins

Ninhydrin Test: To 2.0 ml oil, few drops 0.2% ninhydrin was added and heated for 5 min. Formation of blue colour indicates the presence of proteins.

Test for Quinones

Sulphuric Acid Test: To 1.0 ml oil, 1.0 ml concentrated sodium hydroxide was added. Formation of red colour indicates the presence of quinones.

Test for Saponins

Foam Test: To 1.0 ml oil, 5.0 ml distilled water was added and shaken well in a graduated cylinder for 15 min lengthwise. Formation of 1.0 cm layer of foam indicates the presence of saponins.

Test for Starch

lodine Test: To 2.0 ml oil, few drops iodine solution was added. Formation of blue-purplecolour indicates the formation of starch.





Devika et al.,

Test for Steroids

Salkowski Test: To 5.0 ml oil, 2.0 ml chloroform and few drops concentrated sulphuric acid were added. Formation of red colour indicates the presence of steroids.

Test for Tannins

Ferric Chloride Test: To 1.0 ml oil, 2.0 ml 5% ferric chloride was added. Formation of dark blue or greenish black indicates the presence of tannins.

Test for Terpenoids

Sulphuric Acid Test: To 0.5 ml oil, 2.0 ml chloroform was added and to this, concentrated sodium hydroxide was added carefully. Formation of red brown colour at the interface indicates presence of terpenoids.

Test for Triterpenoids

Liebermann - Burchard's test (LB test): To 1.5 ml oil, few drops Liebermann - Burchard's reagent (acetic anhydride and concentrated sodium hydroxide) was added. Formation of blue green colour indicates presence of triterpenoids.

GC-MS Spectral Analysis

GC-MS spectral analysis was carried out to determine the presence of aromatic compounds in the essential oil samples. The model of the GC-MS used for mass spectral identification was an Agilent 7890 interfaced with a 240-mass selective detector and an ion trap. Interpretation of GC-MS was conducted using the database of the National Institute of Standard and Technology (NIST) having more than 62,000 patterns. The spectrum of the unknown component was compared with the spectrum of the known components stored in the NIST library. The name, molecular weight, and structure of the components of the test materials were ascertained.

Antibacterial activity

Antimicrobial assay of extracts of different plants was performed by agarwell diffusion method in Mueller Hinton Agar (MHA) plates. The test organisms were inoculated in Nutrient broth and incubated overnight at 37 °C to adjust the turbidity to 0.5McFarland standards giving a final inoculum of 1.5 × 108CFU/ml. MHA plate was lawn cultured with standardized microbial culture broth. Cinnamon oil of 5,10,15,20 and 25 μ g/ μ l concentration were prepared in Dimethyl Sulfoxide (DMSO). Four wells of 6 mm were bored in the inoculated media with the help of sterile corkborer (6 mm). Positive control(Ciprofloxacin 300 mcg was used. It was allowed to diffuse for about 30 minutes at room temperature and incubated for 18-24 hours at 37 °C. After incubation, plates were observed for the formation of a clear zone around the well which corresponds to the antimicrobial activity of tested compounds. The zone of inhibition (ZOI) was observed and measured in mm.

RESULTS AND DISCUSSION

Essential oils, which contribute to the distinctive scent of plants, consist of volatile compounds. These oils vary in composition, as illustrated in Table 1. For instance, cinnamon oil comprises acids, alkaloids, coumarins, flavonoids, phenols, quinones, steroids, tannins, terpenoids, and triterpenoids. The analysis of cinnamon oil through phytochemical analysis involves identifying and quantifying the different chemical compounds present. This examination has unveiled the existence of multiple compound classes, including acids, alkaloids, coumarins, flavonoids, phenols, quinones, steroids, tannins, terpenoids, and triterpenoids. Let's explore the significance of each of these compound classes. Acids, characterized by their sour taste, are organic compounds found in cinnamon oil, including varieties like cinnamic acid and benzoic acid. These acids not only add to the aroma and flavor of cinnamon oil but also harbor antimicrobial and antioxidant properties. Alkaloids, nitrogen-containing compounds, exhibit pharmacological effects and diverse biological activities, such as analgesic, antimicrobial, and anti-inflammatory properties. Examples like cinnamaldehyde and eugenol may be present in cinnamon oil. Coumarins, recognized for their sweet odor, contribute to the distinctive aroma of cinnamon oil and are associated with





Devika et al.,

antimicrobial, anti-inflammatory, and antioxidant properties. Flavonoids, a diverse group of plant compounds, bring potential health benefits to cinnamon oil, featuring antioxidant, anti-inflammatory, and anticancer properties. Notable flavonoids in cinnamon oil, such as quercetin and kaempferol, enhance its therapeutic potential. Phenols, characterized by a hydroxyl group (-OH) attached to an aromatic ring, exhibit robust antioxidant properties. effectively scavenging free radicals in the body. Cinnamon oil encompasses diverse phenols, including cinnamic acid derivatives like cinnamaldehyde and cinnamyl alcohol. Quinones, aromatic compounds, play pivotal roles in physiological processes, serving as antioxidants or prooxidants based on the context. The quinones in cinnamon oil may play a role in its antioxidant and antimicrobial properties. Steroids, a lipid class, are vital in various biological processes, regulating cellular functions with anti-inflammatory and immunomodulatory properties. The steroids present in cinnamon oil could offer potential health benefits. Tannins, polyphenolic compounds, are recognized for their protein-binding and precipitating abilities, featuring antimicrobial, antioxidant, and anticancer properties. The inclusion of tannins in cinnamon oil may contribute to its diverse biological activities. Terpenoids, also referred to as isoprenoids, form a diverse category of compounds abundant in numerous plants, playing a pivotal role in imparting the unique aroma and flavor of cinnamon oil. Terpenoids exhibit antimicrobial, anti-inflammatory, and antioxidant activities. Triterpenoids, a specific subclass of terpenoids distinguished by their structure, have been linked to a range of biological activities, including anticancer, anti-inflammatory, and antimicrobial properties.

The triterpenoids found in cinnamon oil may contribute to its potential health benefits. In summary, the phytochemical analysis of cinnamon oil reveals a rich assortment of compounds with diverse biological activities. These compounds collectively contribute to the distinctive aroma, flavor, and potential health advantages associated with cinnamon oil, establishing it as a valuable natural product. Cinnamon oil (Figure 1) was analyzed, revealing the presence of twenty-one compounds. Key compounds identified include phenol (9.145 min), vanillin (15.223 min), (Z)cinnamyl benzoate (16.589 min), and rutamarin (42.239 min). Phenol is recognized for its disinfectant properties against bacteria, fungi, and viruses [22], while vanillin finds applications in the pharmaceutical industry [23]. (Z)cinnamyl benzoate serves purposes in perfumes, cosmetics, and exhibits antimycotic activity [24]. Rutamarin, apart from potential benefits against heart disease and diabetes, demonstrates antimicrobial and antifungal properties, suggesting its potential for treating infections. Moreover, rutamarin has been investigated for its cognitive function enhancement and anxiety reduction properties [25]. The study further unveils the antibacterial activity of cinnamon oil against Aeromonas caviae, as indicated by the zone of inhibition in Figure 2. The zone of inhibition, reflecting the area where bacterial growth is hindered, reveals a concentration-dependent antibacterial effect. Notably, as the concentration of cinnamon oil increased, so did the extent of the zone of inhibition. The results point towards the effectiveness of cinnamon oil as an antibacterial agent. As per the findings, Cinnamon essential oil displayed notable antimicrobial activity against Aeromonas caviae, surpassing the effectiveness of Ciprofloxacin.

The diverse concentrations of geranium essential oil extract (5, 10, 15, 20, and 25 µl) demonstrated significant inhibitory effects on pathogenic strains, particularly Aeromonas caviae. The measured inhibition zones were 6.3±0.11, 8.4±0.22, 12.55±0.50, 17.56±0.50, and 40.22±0.44, respectively, indicating the area where bacterial growth was restricted around the oil on the culture plate. This implies that Cinnamon essential oil exerted an inhibitory influence on the growth of Aeromonas caviae, preventing its spread within the specified zone. In comparison, the standard antibiotic ciprofloxacin at 30 µg/µl resulted in a zone of inhibition of 15 mm. However, the control antibiotic Ciprofloxacin exhibited a higher efficacy against Aeromonas caviae. This suggests that, under the given experimental conditions, Cinnamon essential oil demonstrated greater potency in inhibiting the growth of Aeromonas caviae compared to Ciprofloxacin. This indicates that higher concentrations of cinnamon oil demonstrate more robust antibacterial activity against Aeromonas caviae compared to ciprofloxacin at the tested concentration. The molecular mechanism responsible for the antibacterial activity of cinnamon oil is likely linked to its bioactive components, with cinnamaldehyde being a key player. Cinnamaldehyde possesses antimicrobial properties and is recognized for disrupting the integrity of bacterial cell membranes. Its ability to penetrate bacterial cell membranes causes structural damage, leading to the leakage of cellular contents. This disruption ultimately hinders bacterial growth and survival. Moreover, cinnamon oil may interfere with bacterial enzymes and metabolic processes, further contributing to its antibacterial effects. It's crucial to acknowledge that the precise molecular mechanism of cinnamon oil's





Devika et al.,

antibacterial activity against Aeromonas caviae may involve multiple factors and could potentially be more intricate than the general mechanisms outlined here.

CONCLUSION

In summary, the chemical analysis of cinnamon oil revealed the presence of various compound classes, with phenol, vanillin, (Z)-cinnamyl benzoate, and rutamarin identified as the major constituents. These compounds exhibit diverse applications, ranging from disinfectants and pharmaceuticals to perfumes and protective agents against diseases. The antibacterial effectiveness of cinnamon oil against *Aeromonas caviae* displayed a concentration-dependent pattern, with higher concentrations yielding stronger inhibition. Notably, cinnamon oil demonstrated superior antibacterial activity compared to the standard antibiotic ciprofloxacin at the tested concentration. The mechanism of action involves the disruption of bacterial cell membranes by cinnamaldehyde, causing membrane damage and inhibiting growth. Additionally, interference with bacterial enzymes and metabolic processes may contribute to its antibacterial effects. However, a comprehensive understanding of the intricate molecular mechanisms requires further research. The study's findings endorse the potential use of cinnamon oil as a natural antimicrobial agent, emphasizing its promising applications in pharmaceutical and therapeutic realms.

Acknowledgements

The authors would like to thank the Director of Microlabs at the Institute of Research and Technology in Vellore, Tamil Nadu, India, for generously providing the necessary facilities for conducting this research. Additionally, their assistance in evaluating antimicrobial activity is greatly appreciated.

Declarations

Ethics approval Not applicable.

Consent to Participate Yes. All authors agreed to participate in this research.

Consent for publication Yes. All authors have approved the last version of the manuscript for its submission.

Author Contribution D.N: Conducted GC-Ms analysis and formal analysis. M.S.: Performed computational analysis and visualization. S.P.: Collected and processed plant material and assessed antimicrobial activity. B.G.: Contributed to experimental design, provided guidance, and executed the experiment. B.C.: Took responsibility for writing the original draft, as well as reviewing and editing.

Funding No funding and worked with online and freely available software's.

Conflict of interests The authors declare that they have no conflict of interests.

Availability of data and materials All the data generated or analyzed during this study are included in this article.

REFERENCES

- 1. Wolfender, J. L., Marti, G., Thomas, A., & Bertrand, S. (2015). Current approaches and challenges for the metabolite profiling of complex natural extracts. Journal of Chromatography A, 1382, 136-164.
- 2. da Silva, B. V., Barreira, J. C., & Oliveira, M. B. P. (2016). Natural phytochemicals and probiotics as bioactive ingredients for functional foods: Extraction, biochemistry and protected-delivery technologies. Trends in Food Science & Technology, 50, 144-158.
- 3. Altemimi, A., Lakhssassi, N., Baharlouei, A., Watson, D. G., & Lightfoot, D. A. (2017). Phytochemicals: Extraction, isolation, and identification of bioactive compounds from plant extracts. Plants, 6(4), 42.
- 4. Mousavi, L., Salleh, R. M., & Murugaiyah, V. (2018). Phytochemical and bioactive compounds identification of *Ocimumtenuiflorum* leaves of methanol extract and its fraction with an anti-diabetic potential. International Journal of Food Properties, 21(1), 2390-2399.
- 5. Koparde, A. A., Doijad, R. C., & Magdum, C. S. (2019). Natural products in drug discovery. In Pharmacognosy-medicinal plants. IntechOpen.





Devika et al.,

- 6. Mosić, M., Dramićanin, A., Ristivojević, P., &Milojković-Opsenica, D. (2020). Extraction as a critical step in phytochemical analysis. Journal of AOAC International, 103(2), 365-372.
- 7. Shrinet, K., Singh, R. K., Chaurasia, A. K., Tripathi, A., & Kumar, A. (2021). Bioactive compounds and their future therapeutic applications. In Natural Bioactive Compounds (pp. 337-362). Academic Press.
- 8. Maitra, U., Stephen, C., & Ciesla, L. M. (2022). Drug discovery from natural products–Old problems and novel solutions for the treatment of neurodegenerative diseases. Journal of Pharmaceutical and Biomedical Analysis, 210, 114553.
- 9. Najmi, A., Javed, S. A., Al Bratty, M., & Alhazmi, H. A. (2022). Modern approaches in the discovery and development of plant-based natural products and their analogues as potential therapeutic agents. Molecules, 27(2), 349.
- 10. Gupta, M. K., Singh, R., & Rangan, L. (2023). Phytochemical screening, antibacterial, anti-biofilm and quorum sensing inhibiting activity of Alpinia nigra leaf extract against infectious pathogen Pseudomonas aeruginosa PAO1. Food Control, 143, 109327.
- 11. Hossain, A., Rahman, M. E., Rahman, M. S., Nasirujjaman, K., Matin, M. N., Faruqe, M. O., & Rabbee, M. F. (2023). Identification of medicinal plant-based phytochemicals as a potential inhibitor for SARS-CoV-2 main protease (Mpro) using molecular docking and deep learning methods. Computers in Biology and Medicine, 157, 106785.
- 12. Paul, R. K., Ahmad, I., Patel, H., Kumar, V., & Raza, K. (2023). Phytochemicals from Amberboaramosa as potential DPP-IV inhibitors for the management of Type-II Diabetes Mellitus: Inferences from In-silico Investigations. Journal of Molecular Structure, 1271, 134045.
- 13. Fei, L. U., DING, Y. C., YE, X. Q., & DING, Y. T. (2011). Antibacterial effect of cinnamon oil combined with thyme or clove oil. Agricultural Sciences in China, 10(9), 1482-1487.
- 14. Haddi, K., Faroni, L. R., & Oliveira, E. E. (2017). Cinnamon oil. In Green pesticides handbook (pp. 117-150). CRC
- 15. Stevens, N., & Allred, K. (2022). Antidiabetic potential of volatile cinnamon oil: A review and exploration of mechanisms using in silico molecular docking simulations. Molecules, 27(3), 853.
- 16. Stevens, N., & Allred, K. (2022). Antidiabetic potential of volatile cinnamon oil: A review and exploration of mechanisms using in silico molecular docking simulations. Molecules, 27(3), 853.
- 17. Hu, J., Zhu, H., Feng, Y., Yu, M., Xu, Y., Zhao, Y., ... & Cullen, P. J. (2023). Emulsions containing composite (clove, oregano, and cinnamon) essential oils: Phase inversion preparation, physicochemical properties and antibacterial mechanism. Food Chemistry, 421, 136201.
- 18. Pan, Q., Zhou, C., Yang, Z., Wang, C., He, Z., Liu, Y., ... & Li, P. (2023). Preparation and characterization of functionalized chitosan/polyvinyl alcohol composite films incorporated with cinnamon essential oil as an active packaging material. *International Journal of Biological Macromolecules*, 235, 123914.
- 19. Xu, X., Li, Q., Dong, W., Zhao, G., Lu, Y., Huang, X., & Liang, X. (2023). Cinnamon cassia oil chitosan nanoparticles: Physicochemical properties and anti-breast cancer activity. International Journal of Biological Macromolecules, 224, 1065-1078.
- 20. de Alegría Puig, C. R., Martínez, M. F., & Fonseca, A. D. M. P. (2023). Virulence genes of Aeromonas spp. isolates from stool in Spain. Enfermedadesinfecciosas y microbiologiaclinica (English ed.).
- 21. Mishra, P., Jamdar, P., Desai, S., Patel, D., & Meshram, D. (2014). Phytochemical analysis and assessment of in vitro antibacterial activity of Tinospora cordifolia. International Journal of Current Microbiology and Applied Sciences, 3(3), 224-234.
- 22. Sadahira, T., Wada, K., Araki, M., Mitsuhata, R., Yamamoto, M., Maruyama, Y., ... & Ishii, A. (2021). Efficacy of Lactobacillus vaginal suppositories for the prevention of recurrent cystitis: A phase II clinical trial. International Journal of Urology, 28(10), 1026-1031.
- 23. Bezerra, D. P., Soares, A. K. N., & de Sousa, D. P. (2016). Overview of the role of vanillin on redox status and cancer development. Oxidative medicine and cellular longevity, 2016.
- 24. Koga, Y. O. S. U. K. E., Nishihara, M. A. S. A. T. E. R. U., Morii, H. I. R. O. Y. U. K. I., & Akagawa-Matsushita, M. A. S. A. Y. O. (1993). Ether polar lipids of methanogenic bacteria: structures, comparative aspects, and biosyntheses. Microbiological Reviews, 57(1), 164-182.



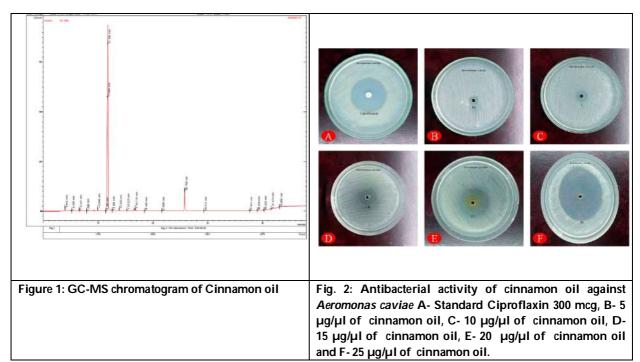


Devika et al.,

25. Wu, T., Wang, Y., & Yuan, Y. (2014). Antiviral activity of topoisomerase II catalytic inhibitors against Epstein–Barr virus. Antiviral research, 107, 95-101.

Table 1: Qualitative phytochemical analysis of essential oils

Phytocompounds	Cinnamon oil
Acids	+
Alkaloids	+
Anthocyanins and Betacyanins	-
Carbohydrates	-
Cardiac Glycosides	+
Coumarins	+
Flavonoids	+
Glycosides	-
Phenols	+
Proteins	+
Quinones	-
Saponins	+
Starch	-
Steroids	+
Tannins	+
Terpenoids	+
Triterpenoids	+







International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Multiplicative Graph

Mythily.C.V1* and D.Kalamani2

Research Scholar (Full Time), Department of Mathematics, Bharathiar University PG Extension and Research Centre, Erode, Tamil Nadu, India.

²Professor and Head, Department of Mathematics, Bharathiar University PG Extension and Research Centre, Erode, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

Mythily.C.V

Research Scholar (Full Time), Department of Mathematics, Bharathiar University PG Extension and Research Centre,

Erode, Tamil Nadu, India.

Email: mythilyvaradharajan@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Let I_s bean S-prime ideal of a commutative ring R with unity of order p^t and $p^tq_t t \ge 1$ respectively and A_s be the multiplicatively closed subset of R which is disjoint from the S -prime ideal I_s . In this paper a new graph called multiplicative graph is introduced and denoted by $G_{A_s}(R)$. The vertices of $G_{A_s}(R)$ are the elements of all A_s and any two vertices are adjacent if and only if their product is in any A_s of R. Furthermore, some graph theoretic and algebraic properties of a graph are investigated. Also, relationship between A_s and nil(R) is discussed, where nil(R) is the nilradical of R and proved that the total number of vertices of a graph is the number of elements in the complement of the set nil(R). Finally using Euler's totient function, first Zagreb index and Randi´c index of $G_{As}(R)$ are generalized.

Keywords: S-prime ideal, Multiplicatively closed subset, Nilpotent elements, Orthogonal elements, Girth, Rank.

2010 AMS Subject Classification 05C25, 13A99, 16U99.

INTRODUCTION

Algebraic graph theory is the application of graph theory using algebraic conditions. Group theory, graph invariants and linear algebra are the three main areas of algebraic graph theory. In 1964, Bosak[5] introduced the graphs of semigroups. Later on, many authors developed the wide range of graphs from groups, they are [1, 17, 20], etc., In 2022, Kiruthika and Kalamani [14] derived a new graph from group theory called the vertex order graph and studied its complements with the edge condition. Also, they [15] partitioned the set of vertices and edges of the power graph of a group \mathbb{Z}_{pq} , p,q are primes. In 1988, Beck [4] introduced a graph from a commutative ring with unity. Several different graphsare defined by many authors: [7, 6], nilpotent graph by [8], graphs defined by orthogonality by [3],





Mythily and Kalamani

etc., In 2021, D. Kalamani and G. Ramya [12] introduced a graph called the product maximal graph, itis defined as their vertices are from the elements of a ring R and the verticesa, bareadjacent if their product is in the maximal ideal of R. In 2019, Ahmed Hamed and Achraf Malek [2] defined an S-prime ideal it represents the prime ideal's generalization of a ring R. It is defined as an ideal is S-prime if \exists an $s \in S$ such that $\forall a, b \in R$ if $ab \in I$, then $sa \in I$ or $sb \in I$, where S is the multiplicative subset of R which is disjoint from the ideal I. In 2023, D. Kalamani and Mythily. C. V [11] defined a graph denoted by $G_{S_d}(R)$ it an undirected graph from the definition of S-prime ideal of R. The vertices are from a ring R and two vertices are connected if either saor $sb \in I$ whenever the product $ab \in I$, I is an ideal of R and S is the multiplicative subset of R and $S \cap I = \emptyset$. Also, they discussed S-prime ideal of a local and non-local rings in [16]. A number linked to chemical composition that suggests a relationship between chemical structure and different physical attributes, chemical reactivity is called a topological graph index. Many degrees based topological indices are discussed earlier [13, 21], in this paper first Zagreb index $F_1[G_{A_s}(R)] = \sum_{u \in V[G_{A_s}(R)]} [d(u)]^2$ and the Randi´c index of a multiplicative graph is presented. The product maximal graph and the S-prime ideal graph of a ring R were motivated to discover a graph $G_{A_s}(R)$ of finite order. In this paper the ring R is considered as a commutative ring with identity of order $n = p^t$ and p^tq , $t \ge 1$ respectively. The set A_s is the multiplicatively closed subset of R which is disjoint from the S-prime ideal I_s and introduced the new graph of R called multiplicative graph denoted by $G_{A_s}(R)$ whose vertex set V contains the elements of all the multiplicatively closed subsets A_s of R.

In section 2, some basic definitions are studied for algebraic and graph theoretical results from [9] and [19]. The notations and symbols of algebra and graph theory are studied from [9], [19] and Algebraic Graph Theory by C. Godsil and G. Royle [10]. In section 3, some examples of a multiplicative graph $G_{A_s}(R)$ of $G_{A_s}(R)$ also relation between $G_{A_s}(R)$ is discussed. In section, 4 maximum, minimumdistance, girth of the multiplicative graph $G_{A_s}(R)$ and also number of vertices in a graph $G_{A_s}(R)$ are derived. In section 5, degree of the vertex of $G_{A_s}(R)$ is generalized and using Welsh-Powell algorithm generalize the chromatic number of the graph. In 1967, Welsh and Powell [18] introduced an upper bound for the chromatic number of a graph and its application to timetabling problem, it gives Welsh-Powell algorithm applied to find the chromatic number of a graph by using degree of the vertex. The following steps derive the Welsh-Powell graph coloring algorithm:

- First find the degree of the vertexdeg (v) of every vertex in a graph.
- List the degree of the vertices in descending sequence.
- Next color the first vertex in the list.
- Move to the next vertex in the list and color the vertices which are not connected to the colored
- vertex then color it with same color.
- Repeat in this way to color all the uncolor vertices in a graph. In section 6, rank and nullity of the multiplicative graph are generalized by using the adjacency matrix of the multiplicative graph $G_{A_s}(R)$ and in section 7, by using Euler's totient function, the first Zagreb index and Randi'c index of the multiplicative graph is generalized.

PRELIMINARIES

The basic definitions of a commutative ring, zero-divisor, unit, prime ideal, maximal ideals, orthogonal, diameter, girth and complete graph are given in this section.

Definition 2.1.Let a be an element in R; it is called a **zero-divisor** if \exists a nonzero element $b \in R$ such that either a. b = 0 or b. a = 0.

Definition 2.2. An element ufrom a ring is called a **unit** if $\exists v \in R \ni uv = vu = 1$.

Definition 2.3. A proper ideal P is called a **prime ideal** if $a, b \in P$ where $a, b \in R$ then either a or $b \in P$.

Definition 2.4. The subset M is called a **maximal ideal** of R if $M \neq R$ and for any I of R is in M and there is no proper ideal that contains M.





Mythily and Kalamani

Definition 2.5. Let $S \subseteq R$ be the **multiplicatively closed set** such that the following two conditions hold:

- 1 ∈ S.
- $ab \in S \forall a, b \in S$

The identity element $0 \in R$ is not in the set S.

Definition 2.6. Let $a \in R$ is called **nilpotent** if $\exists t \in \mathbb{Z}^+ \ni a^t = 0$. The collection of all nilpotent elements, denoted by nil(R).

Definition 2.7. The elements $a, b \in R$ are said to be **orthogonal** $(a \perp b)$ if ab = 0.

Definition 2.8. Let $I \subset R$ disjoint with $S \subseteq R$. The ideal I is called S-prime if \exists ans $\in S \ni \forall a, b \in R$ with $ab \in I$ then $sb \in I$ or $sb \in I$.

Definition 2.9. The smallest number of edges in any cycle within the graph is the **girth** of a graph. If the graph has no cycles, the girth is considered to be infinite.

Definition 2.10. Maximum length of the shortest paths connecting any two vertices is the **diameter** of a graph and it is denoted by diam(G). Smallest value of the greatest distance between any vertex and all other vertices in the graph is the **radius** of a graph, it is denoted by rad(G). The diameter and radius of a trivial graph is zero.

Definition 2.11. In a simple graph G, every vertex adjacent to another vertex is called complete.

Definition 2.12. The smallest number of colors required to label the vertices of a graph is called **chromatic number** it is denoted by $\chi(G)$. In order to give distinct colors to vertices that are adjacent.

Definition 2.13.A **clique** of a graph is the size of the maximal complete subgraph of the graph which is denoted as $\omega(G)$.

Definition 2.14.The **rank** of *G* denoted by $\varrho(G)$ is the count of λ , where λ is the non-zero eigen values of the adjM of *G* where adjM is the adjency matrix *M*. The **nullity** of *G* is defined as the count of the λ 's which are zero of the adjM of *G* and it is denoted by $\eta(G)$. The dimension of a matrix *M* is $diamM = \varrho(M) + \eta(M)$.

Definition 2.15.Randi c index of graph G is given by, $\mathcal{R}(G) = \sum_{uv \in e(G)} \frac{1}{\sqrt{d(u)d(v)}}$, $u,v \in v(G)$ and $uv \in e(G)$, where v(G) and e(G) are the vertex and edge set of G.

MULTIPLICATIVE GRAPH OF A RING

In this section, a graph from ring is introduced and discusses the relation between the multiplicative set A_s which contains disjoint elements of I_s and nil(R) of a ring of order n, where nil(R) is nilradical of R, includes every nilpotent element of R.

Definition 3.1.Let R be a finite commutative ring and I_s be an S-prime ideal of R. Let A_s be the multiplicatively closed subset of R which is disjoint from the S-prime ideal I_s of R. The multiplicativegraph of R denoted by $G_{A_s}(R)$ is a graph whose vertices are the collection of all the elements of the multiplicatively closed subsets A_s of R and two vertices are only connected if and only if their product is in any multiplicatively closed subsets A_s of R.

Example 3.1.Let $R = \mathbb{Z}_9$ be the local ring the only S-prime ideal I_s is the prime ideal $I_1 = \{0,3,6\}$. Let $A_s = R - I_s$ is complement of I_s . The set A_s is disjoint from I_s . Vertex set $V(G_{A_s}(\mathbb{Z}_9))$ is $A_1 = \{1,2,4,5,7,8\}$ and the multiplicative graph of \mathbb{Z}_9 shown in Figure 1.

Example 3.2.Let $R = \mathbb{Z}_{14}$ be the semilocal ring and their *S*-prime ideals are





Mythily and Kalamani

 $I_1 = \{0, 2, 4, 6, 8, 10, 12\}$

 $I_2 = \{0, 7\}$ and

 $I_3 = \{0\}.$

Disjoint multiplicative subsets of S-prime ideals are

 $A_1 = \{1, 3, 5, 7, 9, 11, 13\}$

 $A_2 = \{1, 2, 3, 4, 5, 6, 8, 9, 10, 11, 12, 13\}$ and

 $A_3 = \{1, 2, 4, 8\}$

The vertices V of a graph $G_{A_s}(\mathbb{Z}_{14})$ are the elements of all the collections of A_1 , A_2 and A_3 of $R=\mathbb{Z}_{14}$. i.e., $V=\bigcup_s A_s$.

Therefore, the vertex set $V = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13\}$. The Figure 2 shows that an orthogonal element in a graph is non-adjacent. For instance, the orthogonal elements of 7 in \mathbb{Z}_{14} are 2,4,6,8,10 and 12. The vertex 7 is notadjacent to the elements of $\{2, 4, 6, 8, 10, 12\}$. The vertices from I_1 is not adjacent to the vertices of anyother I_s . By the above examples, it is noted that the multiplicative graph $G_{A_s}(R)$ is complete if R islocal ring. The set A_s are multiplicatively closed so that every element of a set A_s is adjacent to themultiplicative identity 1 of R. All the element of a set A_s is V of a graph $G_{A_s}(R)$ they are adjacent with each other and no vertex can be adjacent with itself. Thus, the multiplicative graph of a finite ring R with unity it is a simple and connected.

Proposition 3.1.Let R be a ring of order n. The vertex set V of a multiplicative graph $G_{A_s}(R)$ does not have any nilpotent elements of a ring R.

Proof. Let A_s be the multiplicatively closed subset of R which is disjoint from the S-prime ideal I_s of R and the vertex set of a multiplicative graph of R contains all the elements of A_s . Assume that if the nilpotent element 0 in the vertex set V then 0 is in A_s of R. It contradicts to the definition of multiplicatively closed subset and the S-prime ideal property that $I_s \cap A_s = \emptyset$. Therefore $0 \notin A_s$. The set nil(R) is contained in the intersection of the prime ideals P_i and every prime ideal P_i is the S-prime ideal P_i of R where $1 \le i < n$. Therefore, the multiplicatively closed subset P_i is also disjoint from P_i . Thus, the vertex set P_i of P_i

MAXIMUM AND MINIMUM DISTANCE OF A GRAPH

A multiplicative graph of a ring R whose vertex set is the collection of all A_s of R which is disjoint from the *S*-prime ideal I_s and draw a graph for a vertex set V is a multiplicative graph $G_{A_s}(R)$ is connected. The most important thing for drawing a graph is its vertices. The cardinality of vertices of a multiplicative graph and maximum distance of the graph $G_{A_s}(R)$ is $G_{A_s}(R)$ is $G_{A_s}(R)$ is $G_{A_s}(R)$ in this section.

Theorem 4.1.Let $G_{A_s}(R)$ be a graph of a ring R of order n and V be the vertex set of a multiplicative graph $G_{A_s}(R)$. Then the total number of vertices in a graph is $|V| = \overline{|n\iota l(R)|}$.

Proof. Let A_s be the multiplicatively closed subset of R which is disjoint from the S-prime ideal I_s .

By Proposition 3. 1. the vertex set V does not contain any nilpotent element of R. If x is any non-nilpotent element of a ring then $x^t \neq 0$ for all $t \in \mathbb{Z}^+$. Assume that x is not an element of the set A_s of R then $x^t \notin A_s$ which means $x^t \in I_s$ for all $t \in \mathbb{Z}^+$. Then $x^t = 0$ for some $t \in \mathbb{Z}^+$. This implies that x is nilpotent. It contradicts to x is non-nilpotent. Therefore, the vertex set V contains all the set A_s of R and the set A_s does not contains any nilpotentelement. Since all the nilpotent elements of R contained in nil(R). i.e., $V = \overline{|nil(R)|}$. Then the total number of vertices, $|V| = \overline{|nil(R)|}$.

Corollary 4.2.If R is a field, then |V| = n - 1.

Proof. In a field, every non-zero elements are the units of R and it is a local ring so they have unique S-prime ideal I_s . The disjoint multiplicatively closed subset of I_s is A_s . It contains all the units of R. That is A_s contains $R = \{0\}$. Therefore |V| = n - 1.

Theorem 4.3. Let R be a ring of order n > 2. Then $diam(G_{A_n}(R))$ is





Mythily and Kalamani

$$diam(G_{A_s}(R)) = \begin{cases} 1 & \text{if } R \text{ is local} \\ 2 & \text{otherwise.} \end{cases}$$

Proof. Case (i)

Let R be a local ring. The multiplicative graph $G_{A_n}(R)$ is complete such that all vertices are connected to every other vertex in $G_{A_s}(R)$. $\therefore diam(G_{A_s}(R)) = 1$.

Case (ii)

Let R be a non-local ring and a, bare the vertices of a multiplicative graph of R. Let us consider a, bare units of R and $a,b \notin I_s$ since $a,b \in A_s$ such that their product $ab \in A_s$ then they are adjacent $\inf_{A_s}(R) : d(a,b) = 1$. Let a,b are nonunits of R and $a,b \in A_s$ which are in the S-prime ideal I_s . If a,b are in same I_s then they are adjacent in $G_{A_s}(R)$. d(a,b) = 1. If a,b are in different multiplicatively closed subset A_s then a,b in different S-prime ideal I_s of R and their product does not exist in the vertex set of $G_{A_s}(R)$. d(a,b) = 2 Since every vertex of d(a,b) = 2 Since every ve distance of a vertices

$$d(a,b) = \begin{cases} 1 & \text{if } a,b \text{ are unit} \\ 2 & \text{otherwise.} \end{cases}$$

 $d(a,b) = \begin{cases} 1 \text{ if } a,b \text{ are units} \\ 2 \text{ otherwise.} \end{cases}$ The maximum distance is the diameter of the multiplicative graph of R.

Therefore $diam(G_{A_s}(R)) = 2$.

Corollary 4.4.A ring of order n = 2 then $diam(G_{A_s}(R)) = 0$.

Corollary 4.5. Let R be a ring of order n. Then the radius of a multiplicative graph is

$$rad(G_{A_s}(R)) = \begin{cases} 0 & \text{if } n = 2\\ 1 & \text{otherwise.} \end{cases}$$

A graph $G_{A_s}(R)$ is connected and the order of a ring $n = \{3, 4\}$ are K_2 complete and they are isomorphic. The following theorem states the girth of the multiplicative graph of a ring R.

Theorem 4.6. Let Rbe a ring. Then the girth of a multiplicative graph is

$$gr(G_{A_s}(R)) = \begin{cases} 3 & \text{if } n > 4 \\ \infty & \text{otherwise.} \end{cases}$$

Proof. Case (i) n > 4

Let a and b are the elements in the same multiplicative subset As. Then a and b are adjacent $\inf_{A_a}(R)$ which are adjacent to the units of R in $G_{A_s}(R)$. Therefore, 1-a-b-1 form a cycle. Thus, $gr(G_{A_s}(R))=3$.

Case (ii)

The multiplicative graph $G_{A_s}(R)$ is a singleton graph if the ring of order is 2.

Therefore, the multiplicative graph has no cycle. Thus, $gr(G_{A_c}(R)) = \infty$.

DEGREE OF THE VERTEX AND CHROMATIC NUMBER OF A GRAPH

Let vertex set of $G_{A_s}(R)$ are collection of all A_s of a ring R and number of vertices |V| = m in $G_{A_s}(R)$ and the elements of Rare units and zero-divisors. Consider zero-divisors of Rare non-units of R in the subsequent theorem, here talk about the degree of the vertices in the multiplicative graph $G_{A_s}(R)$.

Theorem 5.1. Let $G_{A_s}(R)$ be the multiplicative graph of a ring R then,

$$\deg(v) = \begin{cases} m - 1 \text{ if } v \text{ is a unit} \\ |A_s| - 1 \text{ otherwise.} \end{cases}$$

Proof.Let A_s be the subset of R and V be the vertex set contains all the elements of the subsets A_s of aring R.





Mythily and Kalamani

Case (i)

Let $v \in V$ be the unit element of a ring R. It is contained in the subsets A_s of R. The vertex v is connected to every other vertex of the multiplicative graph $G_{A_s}(R)$ and there is no vertex adjacent to itself. It is a simple graph. Therefore, the degree of the vertex of a multiplicative graph $G_{A_s}(R)$ is, deg(v) = m - 1 where m = |V|.

Case (ii)

Let $v \in V$ be the non-unit element of a ring R. If $v \in A_s$ then v is adjacent to all the elements of A_s and non-adjacent to non-unit element of other multiplicatively closed subset A_s of R, therefore $deg(v) = |A_s| - 1$, $v \in A_s$ where $|A_s|$ is the number of elements in A_s .

Thus,deg
$$(v) = \begin{cases} m-1 \text{ if } v \text{ is a unit} \\ |A_s|-1 \text{ otherwise.} \end{cases}$$

Using the Welsh-Powell algorithm [18] to find $\chi(G_{A_s}(R))$ for the multiplicative graph $G_{A_s}(R)$ in the next theorem.

Theorem 5.2.Let A_s be the multiplicatively closed subset of R with unity of order n and N be the vertex set is the collection of all A_s . Then the chromatic number of the multiplicative graph $G_{A_s}(R)$ is $\chi(G_{A_s}(R)) = Max\{|A_s|\}$.

Proof.Let vertex set of $G_{A_s}(R)$ is $V=\{u_1,\ u_2,\ u_3,\dots,u_k,v_1,v_2,v_3,\dots,v_l\}$ where vertices u_k and v_l are units and non-units of a ring R and k+l=m. By Theorem 5.1, $\deg(v)$ of the multiplicative graph $G_{A_s}(R)$ are calculated. After finding the degree of the vertex they are arranged in descending order. First color the vertex u_1,u_1 is connected to all the vertices of $G_{A_s}(R)$ and move to the second unit u_2 by different color. The process can be repeated till all the units are colored with k colors namely C_1,C_2,\dots,C_k respectively. Next move on to the non-unit of maximum degree v_j with the k colors namely k and k are the multiplicative subsets of k. Let k and k are the non-units of k and is not adjacent to the non-units of k and is adjacent to all the other non-units of k and is not adjacent to the non-units of k and it can be colored with the color k and it can be colored with the color k and it can be colored with the color k and it can be colored with the color k and k are process till all the vertices k are colored and hence the set k has k and k and k are colored same process till all the vertices k are colored and hence the set k has k and k and k are colors for the multiplicative graph k and k are colors is the maximum cardinality of k and k are k and k are colors of the multiplicative graph k and k are colors used for the graph k and k are maximum cardinality of k and k are the multiplicative graph k and k are the maximum cardinality of k and k are the multiplicative graph k and k are the maximum cardinality of k and k are the multiplicative graph k and k are the maximum cardinality of k and k are the multiplicative graph k and k are the maximum cardinality of k and k are the multiplicative graph k and k are the multiplicative graph k and k are the multiplicative graph k and k are the multiplicative graph k and k are the multiplicative graph

The $\chi(G_{A_s}(R))$ also same as the maximum degree of the vertex in a graph.

Example 5.1.Let us consider a ring \mathbb{Z}_{10} and the *S*-prime ideal of \mathbb{Z}_{10} are

 $I_1 = <2 > = \{0, 2, 4, 6, 8\}$

 $I_2 = <5 > = \{0,5\}$ and

 $I_3 = < 10 > = \{0\}$

Disjoint multiplicative subsets of S-prime ideals are

 $A_1 = \{1, 3, 5, 7, 9\}$

 $A_2 = \{1, 2, 3, 4, 6, 7, 8, 9\}$ and

 $A_3 = \{1, 5\}$

Vertex set of $G_{A_s}(\mathbb{Z}_{10})$ is collection of all A_s of a ring $R = \mathbb{Z}_{10}$.

Therefore, $V = U_s A_s = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$.

By using Theorem 5.1. finding degree of the vertices in $G_{A_s}(\mathbb{Z}_{10})$ and according to the first step of the Welsh-Powell algorithm, sort the vertices by their degrees as shown in Table 1. The next step is to color the vertex which degree is highest. In the Figure 3 vertices $\{1,3,7,9\}$ are units of \mathbb{Z}_{10} adjacent to each other so they need different color. It is shown in Figure 3. The vertex set $\{2,4,6,8\}$ are adjacent to each other and adjacent to all units of \mathbb{Z}_{10} such that they also need different color those colors are different from the already colored vertices. In Figure 3, vertex $\{5\}$ is adjacent to all the units of \mathbb{Z}_{10} and not adjacent with the vertex set $\{2,4,6,8\}$. So, one of the colors of vertices $\{2,4,6,8\}$ is the





Mythily and Kalamani

color of the vertex {5}. It is easiest way to find minimum color of a graph. The $\chi(G_{A_s}(R))$ is the maximum complete of the graph with mvertices. In Figure 3 the vertex set from A_2 is form a maximum complete. Thus $\chi(G_{A_s}(\mathbb{Z}_{10})) = |A_2| = 8$

Corollary 5.3. Let *R* be a ring. Then the clique number of a multiplicative graph is $\omega(G_{A_c}(R)) = \chi(G_{A_c}(R))$

RANK OF A MULTIPLICATIVE GRAPH

In this section rank and nullity of the multiplicative graph $G_{A_s}(R)$ of a ring R are generalized.

Theorem 6.1. Let $R = \mathbb{Z}_n$, n > 2 be a ring and V be the vertex set of $G_{A_s}(R)$. Then $rank(G_{A_s}(R)) = |V|$.

Proof. The vertex set V is the collection of elements of A_s of R. It contains all units of R and the units of R are adjacent with all other vertices in $G_{A_s}(R)$. No vertex in $G_{A_s}(R)$ is adjacent with itself. By Theorem 5. 1., if v is a unit thendeg(v) = m-1, where m=|V| of a multiplicative graph $G_{A_s}(R)$. If the vertex v is a non-unit and $v \in A_s$ for some s, then $deg(v) = |A_s| - 1$. Let M(G) be the adjacency matrix of $G_{A_s}(R)$ it is a simple graph do not have an identical row(columns). Hence $|M(G)| \neq 0$. The matrix M(G) of a graph $G_{A_s}(R)$ of the form

It is a non-singular matrix and hence it has no zero eigenvalues. Thus $rank(G_{A_s}(R)) = |V|$. The rank of a multiplicative graph $G_{A_s}(R)$ also calculate by the Theorem 4.1. as $rank(G_{A_s}(R)) = \overline{|nil(R)|}$.

Corollary 6.2.Let $G_{A_c}(R)$ be the multiplicative graph of a ring R. Then the nullity of the graphis $\eta(G_{A_c}(R)) = 0$.

RANDI'C INDEX OF A MULTIPLICATIVE GRAPH

Euler's totient function, denoted as $\phi(n)$ it calculates the number of integers between 1 and nthat shareno common factors with nother than 1. By using Euler's totient function the first Zagreb index F_1 of amultiplicative graph are generalized in the subsequent theorem. Let R be the ring of order either p or p^tq , $t \ge 1$. The ring of order p^tq has two prime ideals generated by p and q and their complement sets are A_1 and A_2 respectively. The Euler's totient function, denoted as $\varphi(n)$ gives the number of units of $R = \mathbb{Z}_n$. With the help of totient function, the first Zagreb index F_1 and Randić indices of a multiplicative graph are generalized and are shown in the following theorems. Denote the cardinality of A_i as η_i and the difference between η_i and $\varphi(n)$ as ζ_i for i = 1, 2.

Theorem 7.1.Let $G_{A_s}(R)$ be a connected graph of order $n \neq p$ with m vertices. Then the first Zagrebindex of $G_{A_s}(R)$ is $F_1[G_{A_s}(R)] = \phi(n)(m-1)^2 + \zeta_i(\eta_i-1)^2$.

Proof. By Theorem 5.1, obtained degree of the vertices is m-1 if vertices are units of R and otherwisedegree of vertex is m-1. Thus, the two different vertex degrees are obtained for the multiplicative graph. Therefore, the first Zagreb index of the multiplicative graph is

Index of the multiplicative graph is
$$F_1[G_{A_s}(R)] = \sum_{u \in V[G_{A_s}(R)]} \deg(u)^2$$

$$= \sum_{u \in U(R)} \deg(u)^2 + \sum_{u \notin U(R)} \deg(u)^2$$





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

Mythily and Kalamani

$$\begin{split} & = \sum_{u \in U(R)} \deg{(u)^2} + \sum_{u \in A_1} \deg{(u)^2} + \sum_{u \in A_2} \deg{(u)^2} \\ & = \phi(n)(m-1)^2 + \zeta_1(\eta_1-1)^2 + \zeta_2(\eta_2-1)^2 \\ F_1\big[G_{A_s}(R)\big] = \phi(n)(m-1)^2 + \zeta_i(\eta_i-1)^2. \end{split}$$

Example 7.1.Let $G_{A_s}(R)$ of order 13. Then the first Zagreb index of $G_{A_s}(\mathbb{Z}_{14})$ is, $F_1[G_{A_s}(\mathbb{Z}_{14})] = \phi(n)(m-1)^2 + \zeta_i(\eta_i-1)^2$. Thus $F_1[G_{A_s}(\mathbb{Z}_{14})] = 1626$.

Corollary 7.2. First Zagreb index of a multiplicative graph of a prime order is $F_1[G_{A_s}(R)] = m (m-1)^2$.

Theorem 7.3.Let $G_{A_s}(R)$ be a multiplicative graph of orderm. Then the Randić indexof a multiplicative graph is $\mathcal{R}\left[G_{A_s}(R)\right] = \frac{\phi(n)C_2}{(m-1)} + \frac{\zeta_i\phi(n)}{\sqrt{(m-1)(\eta_i-1)}} + \frac{\zeta_iC_2}{(\eta_i-1)}$, i=1,2.

Proof. Let $uv \in E[G_{A_s}(R)]$ be the edge set of a multiplicative graph $G_{A_s}(R)$. Then the Randić index of a multiplicative

$$\mathcal{R} \big[G_{A_S}(R) \big] = \sum_{uv \in E(G_{A_S}(R))} \frac{1}{\sqrt{deg(u)deg(v)}} \\ = \sum_{u,v \in U(R)} \frac{1}{\sqrt{d(u)d(v)}} + \sum_{u \in U(R),v \notin U(R)} \frac{1}{\sqrt{deg(u)deg(v)}} + \sum_{u,v \notin U(R)} \frac{1}{\sqrt{deg(u)deg(v)}} \\ \mathcal{R} \big[G_{A_S}(R) \big] = \frac{\phi(n)c_2}{(m-1)} + \frac{\zeta_i \phi(n)}{\sqrt{(m-1)(\eta_i - 1)}} + \frac{\zeta_i c_2}{(\eta_i - 1)}.$$

Example 7.2.Let $G_{A_s}(\mathbb{Z}_{10})$ of order 9. Then the Randić index of a multiplicative graph $G_{A_s}(\mathbb{Z}_{10})$ is, $\mathcal{R}\big[G_{A_s}(\mathbb{Z}_{10})\big] = \sum_{uv \in E(G_{A_s}(\mathbb{Z}_{10}))} \frac{1}{\sqrt{deg(u)deg(v)}}$

$$\mathcal{R}\big[G_{A_s}(\mathbb{Z}_{10})\big] = \sum_{uv \in E(G_{A_s}(\mathbb{Z}_{10}))} \frac{1}{\sqrt{deg(u)deg(v)}}$$

$$=16\frac{1}{\sqrt{56}}+6\frac{1}{\sqrt{64}}+4\frac{1}{\sqrt{32}}+6\frac{1}{\sqrt{49}}$$

$$\mathcal{R}\big[G_{A_2}(\mathbb{Z}_{10})\big]=4.4523.$$

Corollary 7.4. The Randić index of a multiplicative graph with m vertices of a ring of prime order is $\frac{m}{2}$.

CONCLUSIONS

In this paper, a new graph called the multiplicative graph $G_{A_s}(R)$ is introduced. Some basic graph theoretic properties namely number of vertices, degree of a vertex, girth, diameter, maximum and minimumdistance of a graph, chromatic number and clique number are generalized and also algebraic properties and nullity are interpreted for the multiplicative graph of a finite commutative ring R with unity. Finally, first Zagreb index and Randi´c index of $G_{A_s}(R)$ are generalized.

REFERENCES

- S. Abi and N. liyori, A generalization of prime graphs of finite groups, Hokkaido Math. J.,29(2),391 407, (2000).
- Ahmed Hamed and Achraf Malek, S-prime ideals of a commutative ring, Beitragezur Algebra and Geometrie, 61, 533 - 542, (2020).
- B. R. Bakhadly, A. E. Guterman, and O. V. Markova, Graphs defined by orthogonality, J. Math. Sci., 207 (5), 698 -3. 717, (2015).





Mythily and Kalamani

- 4. I.Beck, Coloring of commutative rings, *Journal of Algebra*, 116 (1) 208 226 (1988). Academic Press, New York (1964).
- 5. J. Bosak, The graphs of semigroups, *In Theory of Graphs and Application*, Academic Press, New York, 119 125, (1964).
- 6. David F. Anderson and Philip S. Livingston, The total graph of a commutative ring, *Journal of Algebra*, 320 (7), 2706 2719, (2008).
- 7. David F. Anderson and Philip S. Livingston, The Zero-Divisor Graph of a Commutative Ring, *Journal of Algebra*, 217, 434 447, (1999).
- 8. D. K. Basnet, A. Sharma and R. Dutta, Nilpotent graph, Theory and Applications of Graphs, 8(1), (2018).
- 9. David S. Dummit and Richard M. Foote, Abstract Algebra (third edition), John Wiley and Sons Inc, (2004).
- 10. C. Godsil and G. Royle, Algebraic Graph Theory, Springer- verlag, New York Inc. (2001).
- 11. D. Kalamani and Mythily. C. V, S- prime ideal graph of a finite commutative ring, *Advances and Applications in Mathematical Sciences*, 22 (4), 861 872, (2023).
- 12. D. Kalamani and G. Ramya, Product Maximal Graph of a Finite Commutative Ring, *Bull. Cal. Math. Soc*, 113 (2), 127 134, (2021).
- 13. M. H. Khalifeh, H. Yousefi-Asari and A. R. Ashrafi, The first and second Zagreb indices of some graph operations, *Discrete Applied Mathematics*, 157, 804 811, (2009).
- 14. G. Kiruthika and D. Kalamani, Some aspects of Vertex- order graph, *Italian Journal of Pure andApplied Mathematics*, 50, 3213 3231, (2023).
- 15. G. Kiruthika and D. Kalamani, Degree based partition of the power graphs of a finite abelian group, *Malaya J. of Matematik*, 1, 66 71, (2020).
- 16. C. V. Mythily and D. Kalamani, Study on S-Prime Ideal as Nilpotent Ideal, *J. Appl. Math. and Informatics*, Accepted, (2024).
- 17. Tamizh Chelvam. T, Selvakumar. K, Raja. S, Commuting graph on dihedral group, *The J. Math. and Comp. Sci*, 2(2), 402 406, (2011).
- 18. D. J. A. Welsh and M. B. Powell, An upper bound for the chromatic number of a graph and its application to timetabling problems, *Comput. J*, 10, 85 86, (1967).
- 19. D.B. West, Introduction to Graph Theory, second edition, Prentice Hall, Upper Saddle River, (2001).
- 20. J. S. Williams, Prime graph components of finite groups, J. Algebra, 69, 487-513, (1981).
- 21. J. Zhang and Baoyindureng Wu, Randi'c index of a Line Graph, Axioms, 11, 1 6, (2022).

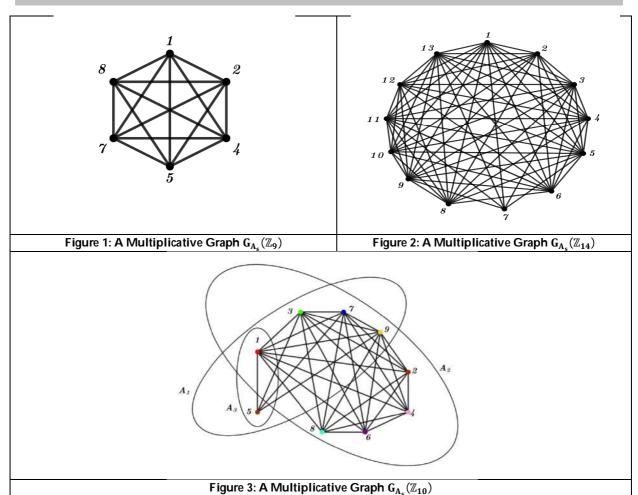
Table 1: Degree of the vertices in a multiplicative graph G_{Δ} (\mathbb{Z}_{10})

Vertex	1	3	7	9	2	4	6	8	5
Degree	8	8	8	8	7	7	7	7	4





Mythily and Kalamani







RESEARCH ARTICLE

A Correlation of Rock Types with Physico-Chemical Properties of Soils Around Bar, Raipur Tehsil, Pali District, Rajasthan

Hemant Prakash^{1*}, Hakim², Ravi Patel³, Bhakar Ram⁴, Alka Baghela¹ and Jaina Ram⁵

¹Image Processing Expert, State Remote Sensing Application Centre, Jai Narain Vyas University, Jodhpur, Rajasthan, India.

- ²Geologist, Department of Mines and Geology, Jai Narain Vyas University, Jodhpur, Rajasthan, India.
- ³ Mining Officer, District Mining Office, Panna, Madhya Pradesh, India.
- ⁴Geologist, Department of Mines and Geology, Jai Narain Vyas University, Sirohi, Rajasthan, India.
- ⁵Guest Faculty, Department of Geology, Jai Narain Vyas Univercity, Jodhpur, Rajasthan, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Sep 2024

*Address for Correspondence Hemant Prakash

Image Processing Expert, State Remote Sensing Application Centre, Jai Narain Vyas University, Jodhpur, Rajasthan, India.

Email: hemantprakash30@yahoo.in

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Minerals and nutrients in soil result by weathering, erosion and deposition the rock particles. These make soil fertile and productive. These are the most important ingredients of soils, which have an effect on organic activities. The present study is confined to South Delhi Fold Belt (SDFB), that's an aggregate of hilly and pene-plained place. A major part of western side covered with soil with scanty outcrops of Banded Gneisses Complex (BCG). In northwestern aspect of Bar, in the back of the Ramdev temple very big hillocks of granitic gneisses are present, while within side the southwestern aspect close to Haripur Railway station once more the outcrops of granitic gneisses are present, which are covered by coarse textured soils of variable depths. The parent rocks had definite impact on soil characteristics and nutrient. The present paper highlights impact of parent rocks on soil characteristics.

Keywords: Delhi Fold Belt, Banded Gneisses Complex (BCG), arid region, Aravalli, Bar, Agriculture





Hemant Prakash et al.,

INTRODUCTION

India has a long history of being an agrarian society, with a substantial portion of its population involved in agriculture. Soil is indeed a valuable and non-renewable resource. Its importance lies in providing a medium for plant growth and supporting various forms of vegetation essential for human sustenance and ecosystem balance. Soil depth, texture, and fertility are pivotal factors that influence the health and productivity of the soil. Farmers and land managers need to understand and manage these factors effectively for sustainable agriculture. The interaction between soil quality, climate, and agricultural practices significantly impacts crop productivity. Higher crop yields contribute to food security, economic prosperity, and overall societal well-being. The soils have originated through the processes of weathering and erosion acting on rocks. These soils may either form in situ or be transported through mechanisms like sand drift or aeolian processes. Regardless of their mode of formation, the soils retain the distinctive characteristics of the parent rock, evident in the minerals that compose the soil. Depending on the raw material, soils exhibit varying morphological, physical, chemical, and biological properties (Pandurang 2022). This study specifically explores the relationship between parent rocks and the soils formed in Bar, Raipur tehsil of Pali district.

MATERIALS AND METHODS

The soils have been studied at 11 sites atPhatakhera, Megarda, Bhilan, Kanuja and Kotra of Pali district, Rajasthan (Table 2). The rocks in the study area predominantly exhibit a conglomeratic nature, particularly in the Bar conglomerate horizon, and are characterized by folding and metamorphism. The soil samples representing various soil types were analyzed for both physicochemical and chemical properties. The chemical properties were determined utilizing a UV-VIS spectrophotometer (Model Shimadzu-1650PC). Additionally, the soil texture was assessed using the particulate organic matter (POM) method. To analyze the available nitrate nitrogen (NO3-N), we utilized the extraction procedure outlined by Cataldo et al. (1975). Olsen's method (1954) was employed for the extraction and estimation of available phosphorous (PO4-P). Titrimetric and DTPA methods were employed for assessing other soil nutrients. Lithostratigraphic classification and mineralographic data for the rock samples are provided in Tables 2.

RESULTS AND DISCUSSIONS

GEOLOGY OF THE AREA

The rocks in the southwestern part of the study area comprise scattered outcrops of granitic gneisses, mostly concealed beneath a thick layer of loose sand. Throughout the study area, posts-tectonic dikes, pegmatite and granite sills, and quartz veins are prevalent. Notably, there is widespread igneous intrusive activity, particularly of an acidic nature, in areas such as Bar, Phatakhera, Bhilan, Kotra, and their immediate vicinity. While faint impressions of granitization are observed in the form of veins and boudins at Phatakhera and Megarda villages, they are not as distinctly marked as rock formations. The presence of pegmatite, granite, and quartz veins signifies intrusive activity in the region, a phenomenon also documented by Naha et al. (1984). These veins contain minerals like tourmaline, garnet, beryl, etc. At the Kanuja-Sawanji ki Bariya road section, a distinct break in slope is observed between a hill and the next terrace level. Further southeast, there is a lower terrace level where the Sendra Formation is exposed. A few meters downstream from the Sukri river section at Bheru ki Bariya, well-exposed dark green amphibolite bands alternate with sillimanite gneiss. A detailed examination of the rock sequences of the Sendra Formation along the Sukri river section aimed to identify various lithological units. These include medium to coarse-grained gneisses, the crystalline content in migmatite, and the gradational contact of calc amphibolite gneiss with intrusive granite at Bheru ki Bariya, Kanuja, and Kotra villages, respectively (Figure 1& Table 1). The study area encompasses three primary tectonic divisions of the Delhi Supergroup, extending from southwest to northeast, namely the Banded Gneiss Complex (BGC, as described by Heron in 1953), Barotia (Alwar Group), and Sendra Formation (Ajabgarh





Hemant Prakash et al.,

Group). Each of these tectonic divisions exhibits distinct displacement patterns within the study area. The Banded Gneiss Complex (BGC) forms the Precambrian basement in the southwestern part and constitutes the lowermost tectonic unit in the region (Roy et al., 1985). An unconformity separates the BGC from the overlying rocks of the Barotia Formation (Gangopadhyay and Lahiri, 1983). The Barotia Formation comprises the Bar conglomerate horizon, calc amphibolite schist, quartzite schist, and calc-schist with intercalated quartzite schist. The Bar conglomerate horizon further divides into quartzofeldspathic schist, Bar conglomerate schist, garnetiferous mica schist, staurolite schist, and kyanite schist. Moving towards the northeastern part of the study area, the Sendra Formation overlays the region. Dolomite, equivalent to Nandana crystalline limestone according to Heron (1953), serves as a conformable separation between the Sendra Formation and the underlying Barotia Formation. The Sendra Formation is characterized by substantial gneisses with alternating bands of mica schist and foliated quartzite (Tripathi et al. (2015).

SOIL OF STUDY AREA

The soil in the Bar area is intricate, exhibiting high variability in composition, and reflects a diversity of parent materials and physiographic land features. Based on their characteristics, the soils are categorized into five significant groups: (1) light soils or sandy soils, (2) sandy loam or light-medium soils, (3) loam or medium soils, (4) heavy soils, including clay or loam to clay, and (5) shallow rocky or skeletal soils and hilly soils. These encompass grey-brown (wasteland soil), ferruginous pink soil, blended pink, black soil, medium black soil, and alluvial soils. The eastern side of the study area is characterized by rocky and hilly terrain, covered with shallow gravelly and superficial soils, primarily under forest or wastelands. Bernhard-Reversat (1982) noted a strong correlation between soil and vegetation in this area, which belongs to the Barotia and Sendra formations and the Kotra Intrusive granite of the Delhi Supergroup. Among the 11 sites surveyed, four (Phatakhera I, II, and Megarda I, II) cover the Barotia Formation, five (Bhilan I, II, Kanuja I, II) cover the Sendra Formation, and two (Kotra I, II) cover the Kotra Intrusive granite. Metamorphosed dolomite formations are situated on the eastern side of Phatakhera and Megarda villages. Phatakhera, located near Bar market, rests on Bar Conglomerate mica schist, presenting an eroded patch adjacent to the Bar conglomerate bed. Megarda features a small hillock of Calc amphibolite schist, concealed beneath a substantial layer of soil and rock formations. Bhilan and Kotra sample sites are situated on metamorphosed migmatite, with steeply sloping hills. Kotra, in particular, is located in the highly hilly terrain of Kotra Intrusive granite rocks. On the western side, the landscape is relatively plain, with variations in soil depth from shallow to very deep and in texture from sandy loam to sandy clay loam. The soils are brown, calcareous in the lower horizon, and highly productive under both rainfed and irrigated conditions. Gupta (1958) studied desert sands in Rajasthan, finding various easily weatherable minerals of aeolian origin, such as hornblende, feldspars, kyanite, and mica.

Dhir and Singh (1985) reported that in gray-brown soils, illite is the dominant clay mineral, followed by mica, smectite, vermiculite, kaolinite, attapulgite, and chlorite. In the Yamuna alluvial plain in Haryana, Shanwal et al. (1989) identified mica as the predominant clay mineral in soil, followed by kaolinite, chlorite, vermiculite, and smectite. They suggested that the presence of fibrous minerals is due to aeolian material from Rajasthan and not as an alluvial deposit of the Yamuna River. Kasser et al. (1979) studied the sands of red soils and observed quartz as the dominant mineral, with light pink feldspars occurring as an accessory mineral and significant amounts of opaque pyroboles and epidotes. Additionally, moderate amounts of zircon, rutile, tourmaline, and staurolite were noted. The high magnesium availability in soils is associated with the alteration products of ultramafic rocks. The potassium content in the soils shows no correlation with the geology of the area. Micronutrients such as iron and manganese exhibit a strong dependence on the bulk chemistry and mineralogy of the parent rock material. According to Moraetis et al. (2006), the bulk chemistry and mineralogy of the parent rock affect the availability of Mg2+ in the soils. Regions underlain by ultramafic parent rocks displayed an oversupply of Mg2+ in soil solution. In contrast, potassium availability did not show any correlation with the bulk chemical analysis and mineralogy of the soil; instead, it displayed a good correlation with the particle size distribution, especially with soil clay content. The input and output of magnesium and potassium were strongly related to the availability of these elements in vegetation. Furthermore, the deficiency of essential micronutrients such as manganese and iron followed the bulk chemical analysis and mineralogy of the soil, while zinc and copper showed no correlation with the chemistry and mineralogy





Hemant Prakash et al.,

of the different soil types. A direct relationship exists between nutrient concentrations present in soil stores and nutrient deficiency in the soil. Nutrient concentrations in plants are typically correlated with nutrient availability in the soil. Concentrations of nutrients are expected to be higher in under storey vegetation compared to open grassland vegetation. Garcia-Moya and McKell (1970) observed that shrubs help maintain the pool of soil nutrients in desert ecosystems by creating islands of organic matter accumulation. Tiedmann and Klemmedson (1973) studied soil profiles under the canopy zone of Mesquite trees (Prosopis juliflora (Swartz) DC.) and compared them with soil from adjacent openings at three depths near Tucson, Arizona. Bulk density was lower in the soil under Mesquite but increased with depth in that location. Organic matter, total nitrogen, total sulfur, and total soluble salt were up to three times higher in the surface 0-4.5 cm of Mesquite soil than in open soil but declined with increasing depths to levels approximately the same as in the open soil. Total potassium was enhanced under Mesquite but increased with depth (Malvi et al., 2021,Choudhary, 2020 and Khandagle et al., 2019). Total phosphorus and hydrogen ion awareness were similar to soil from open areas. Results suggested that Mesquite trees serve to ameliorate soil conditions under their canopies by redistributing nutrients from areas beyond the cover to areas beneath the cover.

The Aravalli Range, the oldest mountain range, stretches across the state of Rajasthan from Mount Abu (southeast) to Khetri in Jhunihunu district (northeast). It divides the state into 60% in the northwest and 40% in the total carbon and nitrogen in the soil under the canopies of Acacia senegal and Balanites aegyptiaca trees, impacting tree growth. Soil nutrients undergo changes over time with the occupancy of woody plants in a given patch. Generally, soil nutrient concentrations tend to decrease with increasing soil depth. However, there is substantial evidence of a significant reserve of nitrate-nitrogen at greater depths in groundwater in arid zones. The average values of soil available PO4-P, NH4-N, and NO3-N were 15.22 mg kg-1, 3.82 mg kg-1, and 2.43 mg kg-1, respectively, across all sampling sites and soil layers (refer to Table 2). Regardless of soil layers, available PO4-P ranged from 11.25 mg kg-1 at Kanuja I to 18.30 mg kg-1 at Phatakhera-I, while available NH4-N varied from 2.55 to 2.15 mg kg-1 at Phatakhera-I and 5.75 to 4.14 mg kg-1 at Kotra-I. When considering different soil layers, these soil nutrients consistently exhibited higher concentrations in the topsoil compared to deeper layers, showing a decreasing trend with depth, except at Kanuja-III and Megarda-I. In Kanuja III, the availabilities of PO4-P and NH4-N were relatively greater in deeper soil layers compared to the topsoil layer. Megarda-I also showed higher concentrations in deeper soil layers. The soil in the Pali district is categorized as gray-brown alluvial soil, containing a substantial nitrate content that contributes to its fertility. The soil depth in the study area varies from nearly bare on hill covers to a few meters in foothills with scattered dunes. In certain areas, especially those adjacent to water bodies, the soil fertility is notable, supporting the growth of agricultural crops and vegetables with distinctive flavors, likely attributed to water quality and trace minerals. Thus, the available soil in the study area may serve as an indicator of the rock degradation pattern and the formation of soil that supports specific types of vegetation.

CONCLUSION

For a sustainable urban future, society must work towards the goal of efficient and judicious mineral use. Any country aspiring to develop its economy and improve living standards must secure a robust and active supply of mineral resources. As more countries emerge from poverty and bolster their economies, the demand for energy, supported by the supply of mineral resources, is bound to rise. The escalating factors of population growth, urbanization, and industrialization are exerting relentless pressure on the supply of ores, mineral resources, drinking water, and energy worldwide. Indiscriminate mining of large quantities of ores, rocks, minerals, placer deposits, and soil for domestic, commercial, and industrial purposes not only presents immediate challenges but also generates long-term consequences that may impact future generations and instill a sense of global insecurity. Soil pollution poses a significant threat to human health, even in urban areas, as the quality of soil deteriorates due to various inorganic and organic contaminants. This issue is not only an ecological risk but also a socio-economic challenge. Polluted soil becomes deficient in physicochemical properties, prone to erosion, lacking in productivity, sustainability, and diminished food chain quality-critical factors for human society. Materials such as granite, dolomite, migmatites, limestone, quartzitic schist, and Bar conglomerates mica schist, which can be utilized for





Hemant Prakash et al.,

making tiles, slabs, and pillars, are in high demand in both rural and urban areas. Additionally, soil, sand, concrete, cobble, pebble, and boulders are already being used for masonry works, generating considerable revenue. However, it is now crucial to curb the exploitation of natural resources and control the unnecessary consumption of modern comforts and luxuries for the economic development of our country. The primary objective of this paper is to advocate for responsible management of soil, water, and mineral resources, lest future generations bear consequences for actions without cause. This paper emphasizes that mineral resources vary from soil to soil, dependent on distinct intensity levels found in the soil, and these minerals are derived from parent rock materials.

ACKNOWLEDGMENT

The author expresses gratitude to Prof. Beena Triphati, Department of Geology, JNV University, Jodhpur (Rajasthan) for her kind support and valuable suggestions. I also to get benefited from the published information of many unknown workers, their contributions provide me useful suggestions that helped me a lot and improve the manuscript.

REFERENCES

- 1. Bernhard-Reversat, F. 1982. Biological cycle of nitrogen in a semi-arid savanna. Oikos, Vol. 38: pp 321-332.
- 2. Dhir, R.P. and Singh, K.S. 1985. Soil of Rajasthan and theirmanagement. In: Alexander, T.M., Biswas, B.C., Yadeo, D.S. and Maheshwari, S. (eds), Soils of India and theirmanagement. The fertilizer Association of India, New Dehli, India. pp 343-364.
- 3. Choudhary, V. K. (2020). Relationship between nutrient and soil loss with respect to land configuration and mulches in ginger (Zingiber officinale). The Indian Journal of Agricultural Sciences, 90(1), 107-111.
- 4. Gangopadhyay, P.K. and Lahiri, A. 1983. Barr conglomerate: its recognition and significance in stratigraphy of Delhi Super Group in Central Rajasthan. Jour. Geol. Soc., India, Vol. 24, pp.562-570
- 5. Garcia-Moya, E. and McKell, C.M. 1970. Contribution of shrubs to the nitrogen economy of a desert-wash plant community. Ecology. 51: pp 81-88.
- 6. Gupta, R.S. 1958. Investigation on the desert soils of Rajasthan, J. Indian Soc. Soil Sci., Vol. 6(2), pp 113-122
- 7. Heron, A.M. 1953. The Geology of Central Rajputana. Memoir Geol. Surv. Indian Vol. 79, pp. 389.
- 8. Kasser, Y.S., Elwan, A.A. and Harga, A.A. 1979. Minerology of the sand fraction and its bearing on soil genesis and uniformity in Sinai Peninsula. Egyption J. Soil Sci. Vol. 19(2): 192-205.
- 9. Khandagle, A., B. S. Dwivcdi, S. B. Aber, A. K. Dwivedi. D. S.Yashona and D. lat, 2019. Effect of long-term application offertilizers and manure on soil properties. J. Soils and Crops, 29(1): 97-104.
- 10. Malvi, V., Dotaniya, C.K., Reager, M.L., Dixit, H.C., Doutaniya, R.K., and Mohhbe, S. 2021. Effect of potassium and sulphur on yield, quality and nutrient uptake of winter season berseem (trifolium alexandrinum I.) in central part of India. J Soils and Crops 31(1): 25-31
- 11. Moraetis, D., Pentari, D., Perdikatsi,, V.S, Manutsoglu, E., Apostolaki, C. and Lydakis-Simantiris, N. 2006. "A study on the correlation of the properties parent rock and soils of different geological origin. Amireg Chania. pp 349-354.
- 12. Naha, K., Mokhopadhyaya, D. K., Mohanty, R., Mitra, S. K. and Biswal, T. K., 1984. Significance of contrast in the early stages of the structural history of the Delhi and the pre-Delhi groups in the Proterozoic of Rajasthan, western India. Tectonophysics, 105, 193-206.
- 13. Pandurang, B., 2022. Analysis of soil to ensure NPK proportion at various sites of Panewadi village, district Nashik (M.S.) India.J. Soils and Crops, 32(1) 164-166
- 14. Roy, A.B. 1985. Tectonic and stratigraphic frame work of the early Precambrianrocks of Rajasthan and Northern Gujarat. *Geol. Min. Meta.* Soc. India, **53**:100-114.
- 15. Shanwal, A.V., Dahiya, L.S. and Dahiya, D.J. (1989). Soil fluorine as an indicator of profile development in Yamuna Alluvial plain India. Fluoride, **22**(3): pp 119-127.





Hemant Prakash et al.,

16. Tripathi, B. and Singh, G. 2015. Lithostratigraphy of Bar-Mohra Khurd- Raira Khurd area of Pali district, Rajasthan and their relationship with the soil and vegetation. Indian Forester, **141**(12): 1257-1268.

Table 1: Lithostratigraphy of the Phatakhera-Megarda-Bhilan-Kanuja-Kotra section of rock formation.

Heron (1953)	Under Present Study		
Intrusive granite		Intrusive granite	
		Calc gneiss with intercalated quartzite	
		Metamorphosed limestone	
Sendra Complex	Sendra Formation	Calc amphibolite gneiss	
		Foliated quartzite	
		Calc gneiss	
Nandana Crystalline Limestone		Dolomite	
		Quartzite schist	
	Barotia Formation	Calc amphibolite schist	
		Kyanite Schist	
Barotiya Sequence		Staurolite schist	
	Bar Conglomerate Horizon	Garnetiferous mica schist	
	Horizon	Bar conglomerate schist	
		Quartzofeldspathic schist and conglomerate	
Unconformity	Unconformity		
(B.G.C) Granitic gneiss	(B.G.C) Granitic gneiss		

Table: 2. Soil content for vegetation study around Phatakhera-Megarda-Kanuja-Kotra villages Raipur tehsil Pali District, Rajasthan.

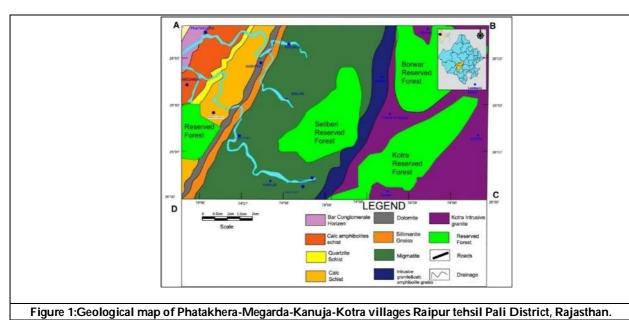
	Soil depth	Soil nutrients (mg kg-1)		
Sampling site	(cm)	PO ₄ – P	NH4 – N	NO ₃ – N
Phatakhera I	0-15	18.30	2.55	1.80
FIIatakileiai	15-80	18.99	2.15	1.05
	0-30	9.10	5.50	2.05
Phatakhera II	30-75	12.80	5.20	1.80
	75-100	18.10	4.70	1.05
	0-10	12.80	5.55	3.90
Megarda I	10-30	9.16	4.08	2.09
	30-80	16.67	3.68	2.95
	0-25	21.26	4.90	2.75
Magarda II	25-80	11.25	4.30	1.95
Megarda II	80-100	13.68	3.77	2.09
	100-110	12.25	3.90	1.92
	0-10	19.85	4.99	3.99
Bhilan I	10 -50	12.30	4.86	1.95
	50-70	9.69	2.85	2.95





Hemant Prakash et al.,

Distress II	0-10	14.26	3.72	1.65
Bhilan II	10-180	12.82	2.88	1.92
Kanuja I	0-60	11.25	3.52	2.70
	0-20	22.14	4.59	3.70
Kanuja II	20-40	13.99	3.77	2.39
	40-70	15.51	1.93	0.71
	0-30	19.28	2.95	3.86
Kanuia III	30-60	19.78	2.83	2.91
Kanuja III	60-90	15.20	2.84	1.36
	90-180	13.44	2.95	3.86
Kotra I	0-10	18.36	5.76	4.77
NOU a I	10-50	16.44	4.14	2.64
	0-10	17.67	4.12	2.78
Kotra II	10-100	16.33	3.56	1.89
	100-140	14.22	2.32	1.54`







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Assessment of Awareness, Attitude, and Practices of University Students on Solid Waste Management in the State of Andhra Pradesh

Rapuru Yasoda^{1*}, M. Rajendra Nath Babu² and Debendra Nath Dash³

Professor, Department of Education and HRD, Dravidian University, Srinivasa Vanam, Kuppam, Chittoor Dist. Andhra Pradesh, India.

²Associate Professor, Department of Education, Nagaland University (A Central University), Kohima Campus, Meriema, Nagaland, India.

³Assistant Director, Department of Research & Networking, Mahatma Gandhi National Council of Rural Education, Ministry of Education, GoI, Hyderabad, Telangana, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence Rapuru Yasoda

Professor, Department of Education and HRD, Dravidian University, Srinivasa Vanam, Kuppam, Chittoor Dist. Andhra Pradesh, India.

Email: rapuruyasaoda@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Developing a comprehensive solid waste management (SWM) programme needs analysis and improvement of awareness, attitude and practice on different aspects of SWM. The present descriptive study is an assessment of existing level of awareness, degree of attitude, and extent of practice on solid waste management (SWM) among university students in the state of Andhra Pradesh in South India and the results unveiled that the general level of awareness of SWM among students is moderate. It also revealed that the degree of attitude of students towards SWM is much greater whereas the extent of practice of SWM is moderate among students. Further, it revealed that gender has significant influence on the awareness of students with respect to SWM rules, community participation and other aspects only, but not in the case of SW minimisation, collection & segregation, disposal and overall awareness of SWM. As regards the attitude, the results reveal that gender has significantly influenced the attitude of students towards overall SWM and the dimensions with respect to collection & segregation, community participation, disposal, transport and other aspects only but not the SWM rules and SW minimisation. Regarding the SWM practices, the results reveal that gender has significant influence on the practices of students with respect to overall SWM and the dimensions refuse & reduce, reuse & recycle only, but not in the case of collection, segregation, transport and disposal.





Rapuru Yasoda et al.,

Keywords: Waste management, university students, awareness, attitude, practice, gender.

INTRODUCTION

Solid waste management (SWM) has emerged as one of the most massive development challenges in India. Numerous studies indicate that the unsafe disposal of waste generates dangerous gases and leachates, due to microbial decomposition, climate conditions, refuse characteristics and land-filling operations. India has already exhausted all available landfill sites, and the concerned ULBs do not have resources to acquire new land (Namita Gupta and Rajiv Gupta, 2015). Various legislations have been passed for regulating the manner of waste disposal. The Ministry of Environment, Forest and Climate Change (MoEFCC) and the Ministry of Housing and Urban Affairs (MoHUA) have together rolled out policies and programmes to address these issues. However, most of these have failed to achieve their objectives due to a lack of clarity and awareness amongst the stakeholders, and poor enforcement by the regulators (Shyamala Mani and Satpal Singh, 2016). On October 2, 2014, Swachh Bharat Abhiyan was introduced nationwide to address issues with solid waste management and hygienic conditions (Jangra et al., 2016). Higher education institutions have long been viewed as both centres of learning and catalysts for social and political transformation. HEIs expand the frontiers of knowledge in addition to educating decision-makers. They have a considerable economic impact both domestically and internationally because they are important employers and consumers of goods and services (UNEP, 2011). One of the main problems of HEIs is waste management. As the number of students increases each year, the amount of waste generated every day also increases. Therefore, Ministry of Human Resource Development, GOI, initiated Swachh Campus for Higher Education Institutions (HEIs) and Universities, which mirrors the principles of green institutions and endeavors to extend learning beyond the classroom to inculcate and develop responsible attitude, habits and lasting commitment to Swachhta, be it at home, on the campus or in the wider community. Students must have awareness about environmental problems so that they can play their role very effectively in proper waste management (Tartiu, 2011).

Nikhat Parvez, Avlokita Agrawal, and Akhilesh Kumar (2019) in a study on "Solid Waste Management on a Campus in a Developing Country: A Study of the Indian Institute of Technology Roorkee " reported that there were some areas without dustbins, that there was no waste segregation in the academic buildings, and that occasionally garden waste (leaves and weeds) was burned in some places. Starovoytova and Namango's (2018) study also found that the university's current SWM system is largely unacceptable and the investigation has demonstrated, in particular, that the university's open and unregulated waste dumpsite makes all environmental degradation, health effects, and safety violations highly likely. Mark Joseph Tamba Reyes & D.V. Madrigal (2020) in a study on 'Assessing Students' Awareness, Attitude, and Practices on Solid Waste Management in a Philippine Catholic School' found that students exhibited a high level of awareness, a very Favourable attitude, and a great extent of practice on SWM regardless of sex(Cited in Mark Joseph Tamba Reyes & D.V. Madrigal, 2020). Though many measures were made, the HEIs still need to develop a comprehensive program on solid waste management to give a solution to the problem permanently. To date, however, there is a dearth of substantial evidence supporting the extent to which students have internalized and practiced the environmental concepts. To develop a comprehensive swm programme, finding out and improvising students' awareness, attitude and practice on different aspects of swm is important. Systematic research is thus, necessary to identify the swm awareness, attitude and practice to help the educators and planners to develop suitable content for environmental education and training programmes with special reference to swm for the students as well as educators. The present study is a limited attempt to assess the swm awareness, attitude and practice of university students in the state of Andhra Pradesh to initiate and develop suitable strategies.

Objectives of the study

 To assess the level of awareness, degree of attitude and extent of practice of SWM among university students of Andhra Pradesh State.





Rapuru Yasoda et al.,

- 2. To find out the significant difference if any, in the awareness of SWM among university students due to variation in their gender.
- 3. To find out the significant difference if any, in the attitude of university students due to variation in their gender.
- 4. To find out the significant difference if any, in the practice of SWM among university students due to variation in their gender.

Hypotheses of the Study

- 1. There exists no significant difference in the awareness of SWM among university students due to variation in their gender.
- 2. There exists no significant difference in the attitude towards SWM among university students due to variation in their gender.
- 3. There exists no significant difference in the practice of SWM among university students due to variation in their gender.

METHODOLOGY

For the present study descriptive survey method was used. The sample group of the research consisted of a total of 590 students from 13 universities were selected by using Stratified Random Sampling Method. The researchers developed the tools with structured statements and validated to assess the students' awareness, attitude and practice on SWM: 1) Solid Waste Management Awareness Scale (SWMAS), 2) Solid Waste Management Attitude Scale (SWMATS) and 3) Solid Waste Management Practice Scale (SWMPS). The SWMAS consists of 20 items. The items were distributed under six dimensions viz., SWM rules, SW minimization principles, collection & segregation, disposal, community participation and other aspects. The dimensions have 3,3,4,3, 2 and 5 items respectively. Each item has three alternate responses viz., Fully Aware, Aware to Some Extent and Not Aware. Pilot study has been conducted to test suitability of the items. The reliability of this tool was found as 0.79. The tool has content validity, intrinsic validity and face validity. The SWMATS consists of 26 statements. The statements were distributed under six dimensions viz., SWM rules, SW minimization principles, collection & segregation, disposal, community participation, disposal, transport and other aspects. The dimensions have 3,4, 6, 3, 3, and 7 items respectively. Each item has five alternate responses viz. strongly agree, agree, undecided, disagree, strongly disagree. Pilot study has been conducted to test suitability of the items. The reliability of this scale was found as 0.77. The tool has content validity, intrinsic validity and face validity. The SWM practice scale consists of 26 items and was distributed under four dimensions viz., SW minimization, collection & segregation, SW disposal, and transportation & others. The dimensions have 10, 5, 5, and 6 items respectively. Each item has three alternate responses viz. Always, Sometimes and Never. Pilot study has been conducted to test suitability of the items. The reliability of this tool was found as 0.81. The scale has content validity, intrinsic validity and face validity. Statistical Techniques Used: Percentage (%), Mean, Standard Deviation and t-test

DATA ANALYSIS AND INTERPRETATION

Levels of Awareness of SWM among Students

For the purpose of categorizing the university students under different levels of awareness, the investigators computed the values of Mean + σ and M - σ based on the total scores obtained by the students. The obtained values of Mean + σ and M - σ are 32.76 and 19.3 respectively. The students who scored greater than or equal to the value of Mean + σ were considered as students having 'Fully Aware', the students who scored less than or equal to the value of M - σ were considered as having 'Not Aware' and the students who scored between the values of Mean + σ and M - σ were considered as having 'Aware to some Extent'. The frequency and percentage of students identified at different levels of SWM awareness are shown in the Table No. 1. Out of the sample of '590', the students are categorized in to three levels based on the frequencies and percentages such as '16% are not aware of SWM, 67% are aware of SWM to some extent and 17 % students are fully aware of SWM. It implies that a majority of university





Rapuru Yasoda et al.,

students are aware of SWM to some extent. But, only 17 % of students are aware of all the dimensions of SWM such as SWM rules, SW minimisation, collection & segregation, disposal and community participation, transport and other aspects. This may be due to non-exposure of students to complete cycle of the SWM process or lack of participation of students' participation in these activities. Further, the results also indicate that only 16% of students expressed less level of awareness. It contradicts the results of Kofi Debrah , Diogo Guedes Vidal and Maria Alzira Pimenta Dinis (2021) , Mark Joseph Tamba Reyes & D.V. Madrigal (2020), and Margarita C. Paghasian (2017) which showed high level of awareness of swm among students. The results also contradict with the results of Ifegbesan (2010) and Alfredo P. Nabor Jr., Ruth A. Ortega-Dela Cruz (2022) which reveals low level of pupils' awareness. The low level of awareness of students on solid waste management can be attributed to lack of information they gain from the university and at home about SWM with regard to waste collection, segregation and disposal. This further implies that much amount of concern, responsiveness, and efforts need to be done by the administrators and faculty to promote better waste management.

Degree of students' Attitude towards SWM

For the purpose of categorizing the university students based on their degree of attitude towards SWM, the investigators computed the values of Mean + σ and M - σ based on the total scores obtained by the students. The obtained values of Mean + σ and M - σ are 105.07 and 80.99 respectively. The students who scored greater than or equal to the value of Mean + σ were considered as students having 'Favourable Attitude', the students who scored less than or equal to the value of M – σ were considered as having 'Unfavourable Attitude' and the students who scored between the values of Mean + σ and M - σ were considered as having 'Neutral Attitude'. The frequency and percentage of students identified at different degrees of attitude towards SWM are shown in the Table No. 2. Out of the sample of '590', around 17 %, students expressed Unfavourable attitude towards SWM, around 68 % are neutral whereas around 15% students expressed Favourable attitude towards SWM. It indicates that a majority of students are possessed with neutral attitude towards SWM. The results contradict the findings of Kofi Debrah, Diogo Guedes and Maria Alzira Pimenta Dinis (2021) which suggests that students at both secondary and tertiary levels have Favourable environmental attitudes, Desa et al. (2012), which showed that pupils have a high level of attitude and the results of Alfredo P. Nabor Jr., Ruth A. Ortega-Dela Cruz (2022) which reveals low level of pupils' attitudes towards SWM. A smaller number of students have Favourable attitude and this may be because students were not sensitized on different components of SWM so as to develop a Favourable attitude towards SWM and thereby help them adopt suitable practices for effective solid waste management.

Extent of Practice of SWM among Students

For the purpose of categorizing the university students, based on extent of their practice, the investigators computed the values of Mean + σ and M - σ based on the total scores obtained by the students. The obtained values of Mean + σ and M – σ are 43.19 and 28.37 respectively. The students who scored greater than or equal to the value of Mean + σ were considered as students practicing in SWM to the maximum extent, the students who scored less than or equal to the value of M - σ were considered as students practicing in SWM to the least extent and the students who scored between the values of Mean + σ and M - σ were considered as practicing in SWM to the moderate extent. The frequency and percentage of students identified at different levels of SWM practice are shown in the Table No. 3. Out of the sample of '590', the investigators observed the 17% are practicing SWM to the least extent, 16 % are practicing to the maximum extent and remaining 67% of students are practicing to moderate extent. The results contradict with the results of Alfredo P. Nabor Jr., Ruth A. Ortega-Dela Cruz (2022) and Ifegbesan (2010) which shows low level of practice of swm by students, and Margarita C. Paghasian (2017) which reveals that the students' practices in terms of segregation, reduce and recycle were good; and their practices in terms of recycle and disposal were fair, Desa et al. (2012), which showed that pupils have a high level of practices regarding solid waste management program. This implies that most of the students practice SWM to the moderate extent only and a smaller number of students practice it to the maximum extent. As an institution committed to providing quality Education which includes concern about the environment, the integration of SWM topics is an essential indicator in the promotion of better SWM practices. This is a desirable step in warranting a better and safe environment and promoting the community's common good. Mark Joseph Tamba Reyes & D.V. Madrigal (2020) found a great extent of practice on SWM.





Rapuru Yasoda et al.,

Influence of Gender on Awareness, Attitude and Practice Scores of Students:

As the distribution follows normality, parametric tests of significance such as 't' was applied to study the significance of the influence of gender on the awareness, attitude and practice of SWM among university students. The hypotheses 1-3 have been tested on appropriately selected groups - male and female students - to assess the significance of the influence of gender on the awareness, attitude and practice of SWM among university students and the results are presented in Table no 4-6. From table 4, it is seen that the obtained t-value is significant at 0.05 level with regard to the dimensions SWM rules (t, 2.208), community participation (t,1.987), transport and others (t ,2.381) indicating the influence of gender on the students' awareness of SWM. Hence, the formulated null hypothesis is rejected in the case of SWM rules, community participation, transport and others only. Thus, it is concluded that gender has significantly influenced the awareness of students with respect to SWM rules, community participation, transport and other aspects only, but not the SW minimisation, collection & segregation, disposal and overall awareness of SWM. From the results, it is observed that female students exhibited higher means, which indicates that the female students are more aware of solid waste management compared to male respondents respectively. Mark Joseph Tamba Reyes & D.V. Madrigal (2020), Madrigal and Oracion (2017), Do Paco, Raposo, and Leal Filho (2009), Garcia and Luansing (2016), Adeolu, Enesi, and Adeolu (2014), and Guido and Lim (2015) indicated in their studies that females are more conscious about solid waste management than males (Cited in Mark Joseph Tamba Reyes & D.V. Madrigal, 2020). But contradicts with the results of Tatlonghari and Jamias (2010) which reports that gender is not an influencing factor of male and female students in their awareness of SWM. It is seen from the table-5 that the obtained t-value is significant at 0.01 level with regard to overall attitude towards SWM (t, 5.723) and the dimensions collection & segregation (t, 4.183), community participation (t, 3.442), disposal (t, 3.697), and transport and others (t, 5.266) indicating the influence of the gender on the attitude of university students towards SWM. Hence, the formulated null hypothesis is rejected in the case of collection & segregation, community participation, disposal, transport and others and overall attitude towards SWM. It is concluded that sex has significantly influenced the attitude of students towards overall SWM and the dimensions with respect to collection & segregation, community participation, disposal, transport and other aspects only, but not in the case of SWM rules and SW minimisation. According to demographics, students also showed a very Favourable attitude towards solid waste management regardless of gender. Female students exhibited higher means, which implies that in terms of gender, female students have more possibility to have a Favourable attitude towards solid waste management. Moreover, the findings validated the study of Madrigal and Oracion (2017); and Adeolu (2014); Raudsepp (2001), which emphasized that female students could be said to have Favourable waste management attitude than their male counterpart (Cited in Mark Joseph Tamba Reyes & D.V. Madrigal, 2020) but contradicts the results of Tatlonghari and Jamias (2010) which reports that gender is not an influencing factor of male and female students in their attitude towards SWM. Table-6 clearly reveals that t- values are significant at 0.05 level with respect to overall SWM practices (t, 2.281), and with respect to the dimensions refuse & reduce (t, 2.4)), and reuse & recycle (t, 2.21). Hence, the formulated null hypothesis is rejected in the case of refuse & reduce, reuse & recycle, and overall practice of SWM. Hence, it is concluded that gender has significantly influenced the practices of students with respect to overall SWM and the dimensions refuse & reduce, reuse & recycle only, but not the SWM collection, segregation, transport and disposal. The results contradict the findings of Tatlonghari and Jamias (2010) which reports that sex is not an influencing factor of SWM practice among male and female students. Nikhat Parvez, Avlokita Agrawal and Akhilesh Kumar, (2019) also found that improper collection, imprecise segregation, exposed transportation, inefficient processing and disorganized disposal of solid waste are the major reasons for disorganized and incompetent SWM at IIT, Roorkee.

FINDINGS OF THE STUDY

- 1. Students expressed moderate level of awareness of SWM in general.
- 2. Students expressed Favourable attitude towards SWM in general.
- 3. The extent of practice of SWM among students is moderate.
- 4. Gender has a significant influence on the awareness of SWM of university students, limited to SWM rules, community participation and other aspects only, but not on the other dimensions viz., SW minimisation, collection & segregation, disposal and overall awareness of SWM.





Rapuru Yasoda et al.,

- 5. Gender has significantly influenced the attitude of university students towards overall SWM and the dimensions with respect to collection & segregation, community participation, disposal, transport and other aspects only, but not the other dimensions such as SWM rules and SW minimisation.
- 6. Gender is an influencing factor of the practices of students with respect to overall SWM and the dimensions refuse & reduce, reuse & recycle only, but not the other dimensions viz., collection, segregation, transport and disposal.

CONCLUSION

From the results of the study, it is implied that students still need to be educated on the issue of solid waste management from a variety of angles, including community involvement, transportation, collection and segregation, disposal, and SW minimization. This will help to increase their awareness of the issue and encourage them to support the implementation of the waste management strategies required to keep the campus clean. Even though the kids show a Favourable attitude towards solid waste management, it is still necessary to teach them about appropriate behaviour in this regard. Therefore, it is recommended that administrators create a solid waste management programme that includes education, attitude, and practice activities to help university members develop environmentally friendly solid waste management practices, promote moral behaviour, and create a comprehensive awareness of the subject in the direction of a more sustainable, healthy, and safe university campus. Additionally, educators can host lectures on solid waste management; incorporate SWM into performance tasks and projects; organise clean-up campaigns; host environmental exhibits and competitions; and run other pertinent programmes that raise awareness of environmental issues and actively involve teachers and students in finding solutions to solid waste management-related problems. Green clubs ought to be established in order to guarantee Green Campuses in university settings, as recommended by UGC. These clubs ought to buy additional trash cans and place them in noticeable locations where students can readily dispose of their waste correctly, such as beside walkways or under every covered space.

ACKNOWLEDGMENTS

The authors are grateful to the all the State Universities of Andhra Pradesh State authorities for providing the necessary facilities to carry out this work. Our sincere thanks to the participants of the present study and especially for the financial support by Mahatma Gandhi National Council of Educational Research and Training (MGNCRE), Hyderabad, A.P.

REFERENCES

- 1. Debrah, J. K., Vidal, D. G., & Dinis, M. A. P. (2021). Raising awareness on solid waste management through formal education for sustainability: A developing countries evidence review. *Recycling*, 6(1), 1–21. https://doi.org/10.3390/recycling6010006
- 2. Diana Starovoytova. (2018). Solid Waste Management (SWM) at a University Campus(Part 1/10): Comprehensive-Review on Legal Framework and Background to Waste Management, at a Global Context, *Journal of Environment and Earth Science*, 8(4),68-116.
- 3. Gupta, N., & Gupta, R. (2015). Solid waste management and sustainable cities in India: the case of Chandigarh. *Environment and Urbanization*, 27(2), 573-588. https://doi.org/10.1177/0956247815581747
- 4. Jangra, B., Majra, J.P., & Singh, M. (2016). Swachh bharat abhiyan (clean India mission): SWOT analysis. *International Journal of Community Medicine and Public Health*, *3*, 3285-3290.
- 5. Mani, S., & Singh, S. (2016). Sustainable municipal solid waste management in India: A policy agenda. *Procedia Environmental Sciences*, *35*, 150-157.





Rapuru Yasoda et al.,

- 6. Margarita C. Paghasian.(2017). Awareness and Practices on Solid Waste Management among College Students in Mindanao State University Maigo School of Arts and Trades, *Advances in Social Science, Education and Humanities Research*, 128, 5-12.
- 7. MoHUA (Ministry of Housing and Urban Affairs). Swachh Survekshan 2018: Survey Toolkit. Government of India.2018.http://164.100.228.143:8080/sbm/content/writereaddata/Survekshan%20Surve y%20 Book_English% 20%20 Final.pdf
- 8. Nabor Jr., A. P. ., & Cruz, R. A. O.-D. . (2023). Pupils' Awareness, Knowledge, Attitude and Practice of School-Based Solid Waste Management in a Public Elementary School in the Philippines. *UW Journal of Social Sciences*, 5(1), 1–14. Retrieved from https://uwjss.org.pk/index.php/ojs3/article/view/45
- 9. Parvez N, Agrawal A, Kumar A. (2019). Solid Waste Management on a Campus in a Developing Country: A Study of the Indian Institute of Technology Roorkee. *Recycling*, 4(3):28. https://doi.org/10.3390/recycling4030028
- 10. Reyes, M., & Madrigal, D.V. (2020). Assessing Students' Awareness, Attitude, and Practices on Solid Waste Management in a Philippine Catholic School, *Philippine Social Science Journal*, 3(1),9-20.
- 11. UNEP, (2011). Towards a Green Economy: Pathways to Sustainable Development and Poverty Eradication, United Nations
- 12. Valentina TÂRTIU.(2011). Evaluation of Attitudes & Knowledge Regarding Municipal Waste among Students. Case study: Bucharest Academy of Economic Studies, *Academy of Economic Studies*, Bucharest, Romania, 14(1), 263-276.

Table-1: Table Showing Levels of Awareness of SWM among Students

Levels of Awareness of SWM among Students	Number and Percentages of students			
Levels of Awareness of Swivi among Students	Frequency	Percentage		
Not Aware	94	16%		
Aware to some Extent	396	67%		
Fully Aware	100	17%		
Total	590	100%		

Table-2: Table Showing Degree of Attitude of Students towards SWM

Degree of Attitude of Students towards SW	Number and Percentages of students			
Degree of Attitude of Students towards Sw	Frequency	Percentage		
Unfavourable Attitude	100	16.95%		
Neutral Attitude	401	67.97%		
Favourable Attitude	89	15.09%		
Total	590	100%		

Table-3: Table Showing Extent of Practice of SWM among Students

Extent of Practice of SWM among Students	Number and Percentages of students			
Extent of Fractice of Swivi among Students	Frequency	Percentage		
Least Extent	100	17%		
Moderate Extent	396	67%		
Maximum Extent	94	16%		
Total	590	100%		





Rapuru Yasoda et al.,

Table-4: Table showing N, Mean, S.D and t-values of SWM Awareness scores of male and female students

Dimensions	Gender	N	Mean	Std. Deviation	t-Value	Sig
SWM Acts/Rules	Male	210	3.26	1.658	2.208	*
SVVIVI Acts/Rules	Female	380	2.94	1.741	2.200	
SW minimization	Male	210	4.12	1.310	0.243	@
Svv minimization	Female	380	4.15	1.417	0.243	w.
SW Collection and	Male	210	5.35	1.869	0.969	
Segregation	Female	380	5.50	1.715	0.969	@
SWM & Community	Male	210	2.64	1.081	1.987	*
participation	Female	380	2.83	1.117	1.987	
CW/ Diamond	Male	210	3.42	1.699	0.289	0
SW Disposal	Female	380	3.38	1.735	0.289	@
Oth one	Male	210	6.95	2.273	2 201	*
Others	Female	380	7.39	2.034	2.381	
Total	Male	210	25.75	6.703	0.74/	
Total	Female	380	26.18	6.751	0.746	@

Note: *; Significant at 0.05 level; and @; not significant at 0.05 level.

Table-5: Table showing N, Mean, S.D and t-values of SWM Attitude scores of male and female students

Dimensions	Gender	N	Mean	Std. Deviation	t-value	Sig
SW Acts/Rules	Male	210	11.32	1.861	0.260	@
SW Acis/Rules	Female	380	11.80	1.645	0.200	w
SW minimization	Male	210	13.43	2.249	1.825	@
3vv minimization	Female	380	13.78	2.177	1.023	w
SW Collection and Segregation	Male	210	20.79	3.686	4.813	**
3vv Collection and Segregation	Female	380	22.19	3.229	4.813	
SM 9. Community portionation	Male	210	10.54	2.091	3.442	**
SW & Community participation	Female	380	11.20	2.288		
SW Disposal	Male	210	7.71	3.118	3.697	**
SW Disposal	Female	380	8.66	2.907	3.097	
SW Transport and Others	Male	210	25.52	4.500	5.266	**
3W Transport and Others	Female	380	27.45	4.129	3.200	
Total	Male	210	89.31	12.121	5.723	**
Total	Female	380	95.08	11.508	5.723	

Note: *; Significant at 0.05 level; @; not significant at 0.05 level, * *; Significant at 0.01 level





Rapuru Yasoda et al.,

Table-6: Table showing N, Mean, S.D and t-values of SWM Practice scores of male and female students

Dimensions	Gender	N	Mean	Std.	t-value	Sig
Dimensions	Condo		IVICUIT	Deviation	· value	0.9
Refuse & Reduce	Male	210	13.78	3.307	2.400	*
Refuse & Reduce	Female	380	14.40	2.816	2.400	
Reuse & Recycle	Male	210	6.74	2.027	2.210	*
Reuse & Recycle	Female	380	7.11	1.877	2.210	
SW Collection & Sographion	Male	210	6.71	2.015	0.630	@
SW Collection & Segregation	Female	380	6.82	1.945		w
SIM Transport & Disposal Others	Male	210	7.62	2.639	1.614	@
SW Transport & Disposal Others	Female	380	7.97	2.509	1.014	w
SWMPS SCORE	Male	210	34.85	7.932	2.281	*
3VIVIF 3 SCORE	Female	380	36.30	7.056	2.201	

Note: *; Significant at 0.05 level and @: Not Significant at 0.05 level





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Biomimetic Materials: A Review

Suneeth Shetty*

Senior Lecturer, Department of Conservative Dentistry & Endodontic's, J.S.S Dental College & Hospital, JSS Academy of Higher Education & Research (JSSAHER), Mysuru, Karnataka, India.

Revised: 02 Jul 2024 Received: 20 May 2024 Accepted: 14 Aug 2024

*Address for Correspondence **Suneeth Shetty**

Senior Lecturer,

Department of Conservative Dentistry & Endodontic's,

J.S.S Dental College & Hospital,

JSS Academy of Higher Education & Research (JSSAHER),

Mysuru, Karnataka, India.

F.Mail:

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Biomimetics: Can be defined as fabrication of novel materials with remarkable mechanical properties. The words bio and memesis are derived from Greek words meaning life & imitation. The study of biomimetics translates our knowledge of biological structures & their functions to produce new synthetic pathways to emulate biological processes. In this article a detailed review is done on most commonly used biomimetic materials in dentistry. These are the materials that have excellent physicochemical properties along with being biocompatible. A detailed study can provide a basis for material selection in diverse cases.

Key words: biomimetic, biocompatible, mimic, biomaterials

INTRODUCTION

In dental medicine the concept of Biomimetic Material biomimetic is an increasingly applicable word especially in restorative dentistry. The secondary meaning of biomimetics refers to mimicking or recovery of the original tooth both in form as well as function. Biomimetic dentistry is a philosophy that teeth needing restoration should be rebuilt if possible to The invention of glass ionomer cement in 1969 (Qirst mimic clearly the form and the function of the original reported by Wilson and Kent in1971) resulted from design[1].

The following materials can be considered as biomimetic materials:

- Glass ionomer cements
- Calcium hydroxide
- Mineral Trioxide Aggregate





Suneeth Shetty

- · Calcium sulphate
- · Bioactive glass
- Emdogain
- Composites
- Ceramics
- Biodentine

Glass Ionomer Cement

The invention of this cement if from basic studies on dental silicate cements where the phosphoric acid was replaced by organic chelating.

Reinforced Glass Ionomer Cement

The design of the original glass ionomer cements was a hybrid formulation of silicate and polycarboxylate cements. The earliest commercial product was class III/V cavities as an alternative to glass ionomers.

Attempts to the further improve the strength of the glass ionomers or composites led to the incorporation of metallic oxide and metal alloy Qillers by Seed and Wilson in 1980, but these cements known as MIRACLE MIX had poor wear resistance. Later McLean and Gasser in 1985 overcame this problem by fusing silver particles onto glass – 'CERMETS' rendering better wear resistance, smoother surface radiopacity, but had poor esthetics.

Further development led to the introduction of RESIN version of conventional glass- ionomer that is mixed as a MODIFIED GLASS IONOMER OR HYBRID CEMENTS by Mathis and Ferracane in 1989.In these cements the glass ionomers were reinforced by incorporation of resins and the fundamental acid base curing reaction is supplemented by a second polymerization curing process, which is initiated by light (DUALCURE) or both i.e. light and chemical (TRICURE), HEMA (Hydroxy Ethyl Metharylate) is the hydrophilic ionomer used in the liquid component of resin modiQied glass ionomers, so that the Qinal restorations have 4.5-6% resin.

Continued evolution produced the Polyacid modiQied resin composites (compomers- a misnomer), these materials were introduced in 1993 from manufactures efforts to improve and combine the best properties of glass ionomers and composite resins. The earliest term for these systems was

'isosit' (combining the terms ionomers and composite), but it was trademarked by a single manufactures. The industry adopted the alternative arrangement of combined terms (composite and ionomers) which is now known as POLYACID MODIFIED COMPOSITE RESINS. [7].

The main usage of these cements is in Class III/V restorations in place of composites.

Composition

Powder

∑ Silica (SiO2)

30.1%

∑ Alumina (Al2O3)

19.9%

Σ Aluminium Qluoride (ALF3)

2.6%

Σ Calcium Qluoride (CaF2)

34.5%

Σ Sodium Qluoride (NaF)

3.7%

∑ Aluminium phosphate (Al3 Po4)

10%





Suneeth Shetty

Modi7ications in Powder

Some of the modiQications of powder are:

- ∑ Dried Polyacrylic Acid (Anhydrous GIC)
- \sum Silver Tin Alloy (Miracle mix)
- ∑ Silver Palladium/Titanium mix (Cermet Cement)
- Σ BISGMA, TEGMA and HEMA (Light Dual Cure)

Liquid

The percentage composition of glass ionomer liquid is

- Σ POLYACRYLIC ACID+ITAIONIC ACID 40-50%
- Σ TARTARIC ACID 15%
- ∑ WATER 30%

Classi7ication of Glass Ionomer Cements

Type 1: for luting

will craze and crack as a result of desiccation. Uses: cementation of crowns, bridges, inlays etc

Type 2: for restorative

Physical Properties of Glass Ionomers [10]

Type 2.1 -for aesthetic restorations Glass ionomers are rapidly setting cements Uses – class III, class V cavities, tunnel times in the range of 3-8 minutes. Working time should not exceed 45s. They have high compressive strengths.

Type 2.2 – for restorations requiring which may range from 200-400Mpa but are weak in reinforcement Qlexure [5-40Mpa].

Uses - core buildups

Type 3: for liner and base applications

Uses: Low powder: liquid ratio for liners, High Aesthetics: The glass component of glass ionomers

powder: liquid ratios for bases beneath amalgam provides the translucency for the material glass and composite ionomers provide the translucency for the material.

More recently, one more classiQication for glass Adhesion: Glass ionomers have the important property of permanently adhering to the untreated enamel and Type I- Luting dentin. They also bond to the polar substitutes like base. Type II- Restorations metals.80% of the bond strength is developed in the

Type III- Liners and bases Qirst 15 min of cement application and for this purpose Type IV- Qissure sealants cement should be applied immediately after mixing Type V- Orthodontic cementation without delay.

Type VI- Core build up

Type VII- Intermediate restorations Dissolution and Erosion: Chemical erosion by acids

Type VIII- Atraumatic Restorative Techniques (for generated by plaque or external agents like food and beverages has been found to be less for glass ionomers

Type IX- Atraumatic Restorative Techniques (for than other cements posteriors)

Chemistry of Setting: When the powder and liquid are of thermal expansion of conventional glass ionomer mixed to form a paste, the acid etches the surface of the cements is close to that of dentinal hard tissue and has glass particles and calcium, aluminum, sodium and been citied as a signiQicant reason for the good margin Qluorine ions are leached into the aqueous glass ionomer.

The polyacrylic acid chains are cross-linked by the CoefQicient of Thermal Expansion: Glass ionomer hascalcium ions within the next 24 hours. Sodium and a linear co-efQicient of thermal expansion (10-11x10 –6), Qluorine ions do not participate in the cross linking of similar to that of tooth structure (11.4 x10 –6).





Suneeth Shetty

Biocompatibility

Freshly mixed glass ionomercements are acidic (pH 0.9-1.6) and it rises rapidly within the Qirst 20min to reach a ph of 5.5-6 as the polysalt formation takes place.

Disadvantages

Susceptible to dehydration over lifeline
Sensitivity to moisture at placement.
Poor abrasion resistance
Average esthetics
Less Tensile strength than composites
Technique sensitive powder to liquid ratio and mixing.

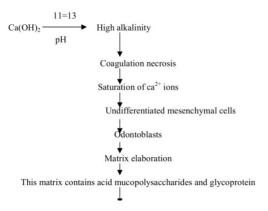
Calcium Hydroxide

Calcium hydroxide was introduced to dentistry by Hermann at the beginning of the 20th century and since then it has been widely used in endodontics. It is a strong alkaline substance with a pH of approximately 12.5 and has various biologic properties that prompted its use in several clinical situations. Its dental use relates chieQly to its antibacterial properties and the ability to induce repair and to stimulate hard tissue formation. The main beneQit of calcium hydroxide as intracanal medicament lies in the bacterial effects conferred by its high pH as many endodontic micro- organisms are unable to survive in the highly alkaline environmental provided by calcium hydroxide.[13]

Mechanism of action

Classi (ication [14]

Can be classiQied as setting or non setting.



The former is used for lining or sublining of cavities or as root canal sealers. and the latter is used for dressing Setting materials eg DYCAL, REOCAP, PROCAL.

Non setting materials

Disadvantages

- 1. Variability of treatment.
- 2. Unpredictability of apical closure.
- 3. DifQiculty in patient follow up.
- 4. Delayed treatment.
- 5. Canal is susceptible to fracture during treatment.
- 6. Ca(OH) doesn't adhere to dentin and lack the ability to seal.





Suneeth Shetty

Mineral Trioxide Aggregate

MTA is a new material developed for endodontics that appears to be a signiQicant improvement over other materials. Ever since it's introduction by Torabinejad and colleagues in 1993 it has been used in both surgical and nonsurgical applications. It is the Qirst restorative material that consistently allows for the overgrowth of cementum and it may facilitate the regeneration of the periodontal ligament.

Advantages [19, 20]

- 1. Resistance to marginal leakage reduces bacterial migration.
- 2. Least toxicity of all the Qilling materials.
- 3. Excellent biocompatibility.
- 4. Hydrophilic sets in the presence of moisture.

Moisture contamination is not an issue.

- 5. Negligible Solubility
- 6. Super Sealing ability
- 7. SufQicient compressive strength to allow condensation of amalgam when it is used as pulp capping agent.
- 8. Reasonably radio opaque.

Disadvantages

- 1. DifQicult to manipulate.
- 2. Prolonged setting time.
- 3. Dissolves in acidic pH.

Properties [23]

- 1. MTA has a pH of 10.2 initially and has a pH of 12.5, 3hours after mixing. This may impart some antimicrobial properties.
- 2. The material has low solubility.
- 3. It has a radiopacity slightly greater than that of dentin.
- 4. It is less cytotoxic than other root end Qilling materials, it is biocompatible.
- 5. Its water based chemistry allows normal setting in the presence of moisture and blood.

Types

- a. MTA is supplied as a grey powder ProRoot MTA .The manufacturer recommends that it should be mixed with sterile water into a thick grainy paste. It can be mixed with anaesthetic or other sterile liquids.
- b. Tooth coloured white ProRoot MTA is also available with easy clinical manipulations.

Composition

Mineral trioxide aggregate is a powder consisting of Qine hydrophilic particles of:

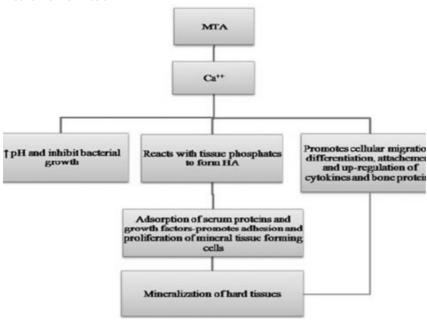
- 1. Tricalcium silicate (CaSiO)
- 2. Bismuth oxide powder is added for powder opacity.
- 3. Dicalcium Silicate (2CaOSiO)
- 4. Tricalcium Aluminate (3CaOAL O)
- 5. Calcium Sulphate 4(CaSO)
- 6. Tetracalcium alumino ferrite (4CaOAI O FeO)
- 7. Tricalcium Oxide
- 8. Silicate Oxide





Suneeth Shetty

Mechanism of Action



Clinical Applications of Mineral Trioxide Aggregate [24,27,28]

It has usage in both surgical and non-surgical procedures

- 1) Direct pulp capping and pulpotomy
- 2) ApexiQication
- 3) Repair of root perforations (surgically and non-surgically)
- a) Lateral perforation.
- b) Furcation perforation
- c) Strip perforation.
- 4) Root-end Qilling
- 5) MTA can also be used for repair of perforation due to internal resorption.

Calcium Phosphate

Researchers have shown that calcium phosphate ceramic biomaterial are effective for a variety of the following: Restorative

Preservative clinical applications

Calcium phosphate ceramics such as hydroxyapatite and beta tricalcium phosphate possess a mineral composition very close to that of normal bone. The composition makes it useful in bone substitution

Emerging dental applications

To Qill oversized osteotomies for implants Craniofacial reconstructions Interpositional grafting procedures As a coating around the metallic implants

Calcium Sulfate

It is a material that has been widely used in endodontic practice for the treatment of numerous bone lesions as well as in implantology.





Suneeth Shetty

It can be used both as a barrier and hemostatic material in perforation management. Calcium sulfate creates a tamponade effect mechanically plugging the vascular channels once it sets. It is remarkably biocompatible does not promote inQlammation and is bioresorbable in 2-4 weeks.

Bioactive glass

Bioactive glass (BAGs) Qirst introduced by Hench et al are surface active glasses that bond chemically to bone materials. They are non-bone graft materials. These bioactive glasses contain different ratios of Na O(24.5%), CaO (24.5%) P O – SiO (45%). The bonding 252at BAGs to living bones is achieved through a bone like apatite layer forming on their surface in the body environment owing to their strong bond with living bone, BAGs have been used as a bone substitute materials in different clinical conditions [31]. The commercially available glass for the application in bone sites is, Bioglass with a particle size of $300-355\mu m$.

Bone morphogenetic proteins [33]

In 1964, Marshal Urist discovered that bone which had been demineralized and dried into a powder could be implanted into the muscle of a rabbit and stimulate the growth of a new bone. Urist and collaborators later determined that the active component with proteinaceous and dubbed it bone morphogenetic proteins (BMP).

There are at least 15 BMP like molecules

BMP-1

BMP-2

BMP - 3 (Osteogenin)

BMP-4 etc

Osteoinductive (OP1)

Eight BMPs namely BMP-1-7 and osteogenic protein have so far been cloned and expressed.

Clinical applications

- 1. Direct pulp capping
- 2. Pulpotomy
- 3. Guided bone regeneration
- 4. Furcation repair
- 5. Dental implants

Resin based composites

These composites include

- 1. Smart composites
- 2. Ormocers
- 3. Ceromers

Smart materials: Tooth coloured restoratives which are cariostatic in nature by their inherent ability to leach Qluoride are referred to as smart Qluorides.

Classification

Passive smart materials:

These are materials that release ions into the oral cavity continuously with or without the necessity to prevent caries Eg. Glass ionomer cement, Resin modiQied glass ionomer, Ceromers

Active smart material: These are materials, which can react favourably when there is a hazardous variation in the environment surrounding the restoration & in prevention of caries.

For instance: Smart composites

Smart composites: A new approach in restorative dentistry was the introduction of an ion releasing composite material in 1998.





Suneeth Shetty

It is based on a new developed alkaline glass which aims at reducing secondary caries, reducing demineralization and buffering acid produced by micro- organisms, when the pH around the restorative material falls below 5.5, the material releases hydroxyl, calcium and Qluoride ions.

Mode of supply: It is available in unit dose capsules (caviQils) and in syringes. Uses of smart materials

Prosthodontics	✓ Smart impression material
Orthodontics	✓ Shape memory alloys
Pediatric and Preventive Dentistry	✓ Fluoride-releasing pit and fissure sealants, ACP releasing pit, and fissure sealants
Dental materials	✓ Smart composites ✓ Smart ceramics
Conservative Dentistry and Endodontics	✓ Ni-Ti rotary instruments ✓ Smart prep burs
Oral surgery	✓ Smart suture
Periodontics	✓ Smart antimicrobial peptide
Laser Dentistry [7]	✓ Smart fibers

Ormocers

It is the acronym of organically modiQied ceramic. It was developed by Fraunhofer institute for silicate research, Wurzburg in co-operation with partners form the dental industry in 1998.

They are new type of material which chemically are methacrylate substituted alkoxysiyl. New multifunctional urethane and thioether (meth) acrylate alkoxy as sol-gel precursors have been developed for preparation of inorganic –organic co- polymers composites.

Composition

Ormocer matrix – Ceramic polysiloxane (silicon- oxygen chain) Zirconium and glass Qillers (1-1.5µm in size) o Coupling agents Eg. Admira Voco

Advantages

Biocompatible
Reduced polymerization shrinkage
High abrasion resistance
Esthetics

Anticaries property

Considered as one of the most promising alternatives to Amalgam.

CEROMERS

Ceramic optimized polymers

They are speciQic combination of the latest in ceramic Qiller technology and advanced polymer chemistry which provide enhanced function and esthetics. They are composed of specially developed and conditioned homogenous three dimensional Qine particle ceramic Qillers (0.04 -1mm) of submicrometer size which are densely packed (approx 80% in weight) and embedded in an advanced organic matrix with optimum light and heat curing potential. e.g Targis / Vectris – Ivoclar

Composition

Barium glass Spheroidal mixed oxide o Ytterbium triQluroide





Suneeth Shetty

BIS-GMA

Urethane dimethacrylate

Disadvantages of Dental Ceramics

- 1. BRITTLENESS:
- 2. TECHNIQUE SENSITIVE:
- 3. HIGH COST:
- 4. WEAR OF NATURAL TEETH:
- 5. DIFFICULT TO REPAIR INTRAORALLY

Properties of Dental Ceramics

Table 3. Physical and Mechanical properties of Dental Ceramics¹

Table 5: I flysical and freehanical propertie	Table 5.1 Hysical and Michainear properties of Dental Cerannes			
Compressive strength	330 MPa			
Diametral tensile strength	34 MPa			
Transverse strength	62 - 90 MPa			
Shear strength	110 MPa			
MOE	69 GPa			
Surface hardness	460 KHN			
Specific gravity	2.2-2.3 gm/cm ³			
Thermal conductivity	0.0030 Cal/Sec/cm ²			
Thermal diffusivity	0.64 mm ² /sec			
Coefficient of Thermal expansion	12×10-6/°C			

Fatigue strength plays an important role in the durability and longevity of dental ceramic restorations.

Classification

1. Sintered all ceramic materials.

Alumina based ceramics eq. Hi-ceram

Leucite-based ceramics eq.Optec HP

- 2. CASTABLE CERAMICS
- 3. PRESSABLE CERAMICS

Leucite -reinforced

Lithium dislocate reinforced Eg.IPS Empress 1, IPS Empress 2

4. InQiltrated/slip cast ceramics

Alumina-based ceramics • Spinel based ceramics

Eg. InCeram ad InCeram spinel

5. Machineable Ceramics

CAD-CAM systems

Copy-milling systems.

Eg.Cerec Vitablocs Mark 1, Cerec Vitablocs Mark

BiodentineTM

Was developed by Septodont's Research Group, Several years of active and collaborative research between Septodont and several universities led to a new calcium-silicate based formulation, which is suitable as a dentin replacement material whenever original dentin is damaged, thus these materials are termed as biomimetic.





Suneeth Shetty

Composition

Powder	Liquid
Tricalcium silicate (3CaO·SiO ₂)	Calcium chloride (CaCl ₂ ·2H ₂ O)
Dicalcium silicate (2CaO·SiO ₂)	Hydrosoluble polymer
Calcium carbonate (CaCO ₃)	
Zirconium dioxide (ZrO ₂)	
Iron oxide	

Setting Reaction [41]

The calcium silicate has the ability to interact with water leading to the setting and hardening of thecement. This is a hydration of the tricalcium silicate(3CaO.SiO = C.S) which produces a hydrated calcium 23silicate gel (CSH gel) and calcium hydroxide(Ca(OH)). 2

Clinical Application

- 1. Preservation of pulp vitality
- 2. Absence of post operative sensitivity: High biocompatibility
- 3. Prevention of dental failures Has excellent sealing properties
- 4. Ultimate dentine substitute

CONCLUSION

Biomimetic materials attempts to repair the damaged living tissue, using or promoting natural mechanisms of growth. This method provides remarkable possibilities well beyond the traditional mode of treatments in almost all Qields of dentistry including preventive, restorative, periodontal and reconstructive surgery. It is hoped that further research will extend the potential of these biomimetic materials, although it is unlikely that there will ever be a single universal material.

Funding: Nil,

Conflict of interest None.

Permission of IRB: Yes

REFERENCES

- 1. P Ram Chandra Rao. Biomimetics. J Biomed Mater Res 2003;28(4):657-676.
- 2. Magne P, Douglas WH. Rationalization of esthetic restorative dentistry based on biomimetics. J Esthet Dent. 1999;11(1):5-15.
- 3. National institute of dental and craniofacial reasearch. Biomimetic and tissue engineering. National Institutes of Health,2002.
- 4. Ratner BD. Replacing and renewing: synthetic materials, biomimetics, and tissue engineering in implant dentistry. J Dent Educ. 2001 Dec;65(12):1340-7.
- 5. Willson A.D. Mclean J.W, Glass ionomer cements.





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Comparison of Exercise and Non-Exercise Testing of Cardio Respiratory Fitness in Young Adults

Suraj¹, Bhawna Verma², Pooja^{3*} Megha Gakhar Vikram², Singh Yadav² and Krishan Narang¹

¹PG Student, College of Physiotherapy, Pandit Bhagwat Dayal Sharma University of Health Sciences, Rohtak, Rohtak, Haryana, India.

²Assistant Professor, College of Physiotherapy, Pandit Bhagwat Dayal Sharma Post Graduate Institute of Medical Sciences, Rohtak, Haryana, India.

3Assistant Professor, School of Physiotherapy, Sanskaram University, Patauda, Jhajjar, Haryana, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 20 Aug 2024

*Address for Correspondence Pooja

Assistant Professor, School of Physiotherapy, Sanskaram University, Patauda, Jhajjar, Haryana, India.

Email: poojakundu97@outlook.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Cardio Respiratory Fitness (CRF) measures the cardiovascular and respiratory systems to perform physical activity. VO2 max is the most accurate measure of cardio respiratory fitness and endurance. Routinely the VO2 max can be estimated using exercise testing. It is not always feasible to use exercise testing hence; non-exercise test equations have been developed for the estimation of VO2 max. The study aims to evaluate and compare the validity of nonexercise testing equations for Vo2 max(developed for the western population)with exercise tests in young adults. Additionally, the study will explore Vo2 max using Queen's college step test to find its association with BMI, physical activity level, gender, waist circumference and waist-hip ratio. A cross-sectional study on 96 participants was conducted. The participants performed a Queen's college step test. They also completed a physical activity rating scale to calculate non-exercise estimation of VO2 max. The results show a significant correlation between various methods of VO2 estimation. The results also show a significant correlation between VO2 max calculated using Queen's step test with waist circumference (p = .040, r=.210), gender (p = .000, r=.501), activity (p = .002, r=.310) and waist-hip ratio (p = .003, r=.304). The non-exercise testing equation may be as accurate as the sub-maximal exercise test for estimation of VO2 max. VO2 max estimated through Queen's college step test is associated with gender, waist-hip ratio, and activity level.

Keywords: Cardio respiratory fitness, exercise testing equation, NET-F equation, non-exercise testing, VO2 max, Queen's College Step Test





Suraj et al.,

INTRODUCTION

Cardiovascular disease is a leading cause of mortality and morbidity worldwide. The prevalence of cardiovascular disease (CVD) has increased substantially over the past few decades in the younger population.[1]Cardio Respiratory Fitness (CRF) is a fitness parameter that indicates the capacity of the cardiovascular and respiratory systems to perform physical activity. Youth with low cardiovascular fitness and high body fat have unfavorable cardiovascular risk profiles. Low Cardio Respiratory Fitness (CRF) is associated with a higher risk for mortality, which may be mediated by risk factors such as hypertension, diabetes, and hypercholesterolemia. Clinical studies have established a strong link between low CRF and various health conditions, including coronary artery diseases, high blood pressure, diabetes mellitus, and some cancers.[2]Therefore, estimating CRF is important as it can serve as an indicator of the risk for mortality. Increased CRF is often accompanied by favorable changes in other health indicators such as blood pressure, triglycerides, glycemic control, and body fat distribution.[3]Increased physical activity and fitness are associated with a reduction in the risk of cardiovascular disease, but the optimal intensity or amount of exercise necessary for reductions in risk or risk factors is unknown.[1]Therefore, there is a strong need for additional clinical measures and identifying huge risks in asymptomatic patients. Aerobic capacity, also known as VO2 max, is the most accurate measure of cardiorespiratory fitness and endurance.[2]It is the maximum amount of oxygen used by the person during maximal or submaximal exercise and the value does not change despite an increase in workload over time. Higher oxygen consumption of an individual shows him/her a more efficient cardio-respiratory system.VO2 max is expressed as liters/min as an absolute value or in milliliters /kg/min as relative VO2 max. It depends on the genetic factors, body composition, age, sex as well as the ethnicity of an individual.[4]

The VO2 max can be estimated using direct or indirect methods. Direct methods use instruments in the laboratory, while indirect methods rely on mathematical formulas. The use of a direct method (open-circuit spirometry in a laboratory) to measure VO2 max is restricted because of its exhausting and difficult experimental protocol and the absence of a well-equipped laboratory. Several exercise-based methods indirectly calculate VO2 max which takes into consideration a person's characteristics such as age, sex, anthropometric measurements, history of physical activity or resting level physiological measurements. Queen's College step test is one such method and is a submaximal exercise test. Stepping requires no elaborate or expensive equipment, no calibration, and can be easily administered to large numbers of people. [5] Estimating VO2max through exercise tests is difficult for large populations due to the need for costly equipment, space, and trained staff. It is also time-consuming and expensive. To overcome these challenges, non-exercise tests are recommended for calculating VO2max. Non-exercise predictive models estimate VO2 max without exercise testing. The equations used for non-exercise testing are easy to apply, low-risk, cost-effective, and feasible. These equations can be performed in areas where infrastructure is limited because all the variables required for the estimation of non-exercise testing are either routinely available (gender, age, body mass index (BMI), resting heart rate (RHR))or relatively easy to obtain (self-reported physical activity) in a primary care setting. Non-exercise testing estimating method has been shown to have good concurrent validity against exercise testing-estimated cardio respiratory fitness.[6]Evidence also suggests that non-exercise testing methods for estimating fitness (NET-F) equations are as accurate as some submaximal methods (Queen's College step test) to estimate CRF.

One of the studies among medical students evaluated the validity of the non-exercise test using the NASA/ Johnson Space Centre PA-R scale compared to exercise the protocol using the Queen's College step test. The study showed no statistically significant difference between VO2 max obtained by both exercise and non-exercise protocols using the PA-R scale.[7] Cardio respiratory fitness is a known predictor of cardiovascular diseases (CVD), hypertension, and hyperlipidemia.[1]However, it is not usually assessed as part of individual risk assessment, possibly due to the practical difficulties of using a graded exercise test. To make CRF data more accessible, researchers have developed a range of Non-Exercise Estimated Cardio respiratory Fitness (NEECRF) equations for estimating CRF. NEECRF equations commonly include age, gender, resting heart rate, smoking status, BMI, and self-reported physical activity status (PAS). Studies have shown NEECRF equations to predict all cause and cardiovascular disease mortality on par with measured CRF.[8]Therefore, non-exercise testing methods for assessing cardio respiratory fitness have been





Suraj et al.,

developed. These methods provide a reasonably accurate estimation of CRF without the burden of exercise testing. The non-exercise testing equations have been developed for the Western population. However, it is unclear whether these equations are valid for the Indian population and whether cardiovascular disease risk factors (CRF) are routinely assessed in primary care settings, despite being a significant risk factor. Therefore, the present study aims to compare the estimation of VO2 max by the non-exercise test with an exercise test to explore the validity of non-exercise test equations in the Indian population.

MATERIALS AND METHODS

The present study was a cross-sectional study conducted in the College of Physiotherapy at Pt. B.D. Sharma PGIMS, Rohtak. The ethical clearance was taken from the institutional biomedical research ethical committee of Pandit Bhagwat Dayal Sharma University of Health Sciences, Rohtak concerning the letter No. BREC/23/017. A signed written informed consent was taken from the participants. A simple random sampling method was used to collect the data. 96 participants aged between 18-25 years participated in this study. The study included both male and female adults. Individuals with a history of cardiac or lung disease, who were on regular medication use that affects the cardiovascular or respiratory system, and those undergoing any physical conditioning program were excluded from the study. The demographic details and self-structured form were completed by the participants. Data was collected regarding participant's height, weight, waist circumference, hip circumference, waist-hip circumference ratio, body mass index (BMI), resting heart rate (RHR), and physical activity level by using the questionnaire. Queen's college step test was used for VO2 max estimation [2]. The exercise testing method used following equation:

- (i) For male subjects: VO2max (ml/kg/min) = 111.33 (0.42 x pulse rate in beats per min)
- (ii) For female subjects: VO2max (ml/kg/min) = 65.81 (0.1847 pulse rate in beats per min) [2]

Two equations for non-exercise testing were calculated. First NET-F equation

NET-F= [sex coefficient×2.78-(age×0.11) -(BMI×0.17) -(RHR×0.05) +(physical-activity-level coefficient)+21.41] [6].

The second equation used the NASA/Johnson Space Centre Physical Activity Rating (PA-R) scale. The equations for male and female subjects is as under:

Formale subjects: VO2 max (ml/kg/min) = 67.350 – [0.381 x age (years)] – (0.754 x BMI) + (1.951 x PAR) For female subjects: VO2 max (ml/kg/min) = 56.363 – (0.381 x age (years) – (0.754 x BMI) + (1.951 x PAR). [7]

Statistical Analysis

Statistical analysis of data was performed using IBM SPSS. Independent t-test was used to compare mean waist and hip circumference, waist-hip ratio, QST, non-exercise testing equation, and NASA equation between males and females. Karl Pearson's coefficient of correlation was used to find out the correlation of the NASA equation with QST and NET-F.

RESULTS

Out of the 96 participants, the majority of participants were females 51 (53.1%) and 45 (46.9%) were males. Table 1 shows mean+SD values of age, BMI and VO2 max estimation using three methods Table 2 shows a comparison of anthropometric measurements & VO2max values using QST, NET, and NASA equations between males and females using an independent t-test. Male participants had significantly higher mean values for all the measures than female participants. Karl Pearson's coefficient of correlation was used to find the correlation between estimation using various methods/equation. The VO2 max estimation using the NASA equation correlated moderately (r=.588, P<0.01) with VO2 max estimation using the QST equation, and highly (r=.838, p=.000) with the NET equation. The results also show a significant and moderate correlation (r=.560, p=.000) between VO2 max estimation through the NET equation with the Queen step test. Table 3(here) Karl Pearson's coefficient of correlation was also used to study the association of VO2max estimated by Queen's college step test with various demographic factors. The results show a weak significant correlation between QST and Waist circumference (p = .040, r=0.210) and a highly significant correlation





Suraj et al.,

between QST and gender (p = .000, r=.501). A moderately significant correlation was found between QST with activity (p = .002, r=.310) and waist hip ratio (p = .003, r=.304).

DISCUSSIONS

The present study aimed to compare the exercise and non-exercise testing methods of cardiorespiratory fitness in young adults. The male and female participants differed significantly in BMI, waist circumference and waist-hip ratio. This difference in anthropometric evaluation may be due to inherent physiological differences in body composition and fat deposition. The mean value of the Queen's college step test (39.10 ± 6.38) is comparable to a study done by Narkhede et al. (2014) in which the mean value of the Queen's College Step Test (QST) was 39.91 ± 4.02.Estimation of VO2 max by non-exercise testing revealed that the mean value of VO2max by using a non-exercise testing equation was in line with the study of Shenoy et al(2012) in which the mean value of VO2max by using a non-exercise testing equation was 43.25 ± 7.81.[9]Estimation of VO2 max by NASA/Johnson Space Centre physical activity rating scale revealed that the mean value of VO2 max by using non-exercise testing is consistent with the mean value of VO2 max calculated by Jang et al. (2012) which was 44.27 ± 7.37.[10] The results of the present study revealed that there was a significant correlation between exercise testing and non-exercise testing of VO2 max (**P<0.01). The results of the study are consistent with the study done by Rao et al (2019). [2]Thus, non-exercise protocols are accurate in estimating VO2 max because they are time and resource-efficient, and may be used for individuals of any age and with any cardiorespiratory disease. They could be especially beneficial for assessing fitness in children and the elderly, who may be unable to comply with exercise guidelines.

The association of VO₂ max estimated by Queen's college step was also studied with various demographic and anthropometric variables. VO2 max was not significantly correlated with age (p = .280), which is contrary to the findings of Varghese et al. (2018).[11] This may be due to the narrow range of age group i.e 18-25 years. The results of the present study show a significant association of QST with gender which is consistent with the findings by Varghese et al.(2018) and Buttar et al. (2020). [11] [12] This may be due to discrepancies attributed to physiological variation. Gender is one of the measure determinants of VO₂ max. The factors that influence higher aerobic capacity in males are post-pubertal hormonal-induced higher lean body mass and HB content.[13]Also, females due to smaller body size have small cardiac size or blood volume. Lower hemoglobin in females is associated with reduced blood viscosity resulting in a proportionate increase in blood flow and cardiac output. [14] The waist-hip ratio exhibited a negative association with QST (r=-.304). The findings of the present study imply that the human body influences cardiorespiratory fitness. In obese people, there is an increase in type-II muscle fiber and a decrease in type-I muscle fiber, which may contribute to lower oxygen absorption. Obesity in general is connected with decreased physical fitness and an increased risk of cardiovascular disease. There was significant correlation between QST with activity level (r=.310) which is consistent with the study done by Sardar et al. (2008).[15] This might be attributed to physical activity activating the cardiovascular system, resulting in an adaptation that improves oxygen transport and contributes to an increase in VO2 max.

CONCLUSION

The study found that males had significantly higher VO_2 max as compared to females. The VO_2 max estimation by three methods significantly correlated with each other which suggests that the non-exercise testing equation may be as accurate as the submaximal exercise test. VO_2 max estimation using Queen's college step test was significantly associated with gender, waist-hip ratio and activity level of the participants.

ACKNOWLEDGMENT

We would like to thank our guide, study participants and colleagues for their valuable time and support in making this research work possible.





Suraj et al.,

Conflicts of Interest: No conflicts of interest

Ethical approval

The ethical clearance was taken from the Institutional Biomedical Research Ethical Committee of Pandit Bhagwat Dayal Sharma, University of Health Sciences, Rohtak, concerning letter No. BREC/23/017 on 16 Jan 2023.

REFERENCES

- 1. Laxmi CC, Udaya IB, Vinutha SS. Effect of body mass index on cardiorespiratory fitness in young healthy males. Int J Sci Res Publ. 2014;4(2):1-4.
- 2. Rao AV, Phadke AV, Patil PB, Joshi AR. Comparison of non-exercise test and step test in estimation of aerobic capacity (VO2 max) in young adults. Natl J Physiol Pharm Pharmacol. 2014;4:218-20.
- 3. Kraus WE, Houmard JA, Duscha BD, Knetzger KJ, Wharton MB, McCartney JS et al. Effects of the amount and intensity of exercise on lipoproteins. N Engl J Med 2002;347(19):1483-92
- 4. Dhara S, Chatterjee K. A study of VO2max in relation with body mass index (BMI) of physical education students. Res J Phys Educ Sci. 2015;3(6):9-12.
- 5. Shamsi MM, Alinejad HA, Ghaderi M, & Talebi K. Queen's College Step Test Predicted VO 2Max: The Effect of Stature. Annals of Biological Research2011; 2(6), 371–377.
- 6. Stamatakis E, Hamer M, Donovan OG, Batty GD, &Kivimaki M. A non-exercise testing method for estimating cardiorespiratory fitness: associations with all-cause and cardiovascular mortality in a pooled analysis of eight population-based cohorts. Europ Hear J 2013; 34(10), 750–758.
- 7. Kalyanshetti S, Veluru S. A cross-sectional study of association of body mass index and VO2 max by nonexercise test in medical students. Natio Jou Physio, Pharm Pharmac2017;7(2).
- 8. Sloan R, Scarzanella MV, Sawada S, Sui X, Myers J. Estimating cardiorespiratory fitness without exercise testing or physical activity status in healthy adults: Regression model development and validation. JMIR Public Health and Surveill 2022;8(7).
- 9. Shenoy S, Tyagi BS, Sandhu JS. Concurrent validity of the non-exercise based VO2max prediction equation using percentage body fat as a variable in asian Indian adults. Sports Med ArthroscRehabil Ther Technol. 2012 Sep 21;4(1):34.
- 10. Jang TW, Park SG, Kim HR, Kim JM, Hong YS, Kim BG. Estimation of maximal oxygen uptake without exercise testing in Korean healthy adult workers. Tohoku J. Exp. Med..2012;227(4):313-9.
- 11. Varghese RS, Dangi A, Varghese A. VO2 Max Normative Values Using Queen's College Step Test in Healthy Urban Indian Individuals of Age Group 20–50 Years. Int J Sci Res. 2020;9(6):803-6.
- 12. Buttar KK, Saboo N, Kacker S. A review: Maximal oxygen uptake (VO2 max) and its estimation methods. Ijpesh. 2019;6(6):24-32.
- 13. Armstrong N, Welsman JR. Assessment and interpretation of aerobic fitness in children and adolescents. Exerc Sport Sci Rev. 1994;22:435–76.
- 14. Kenney WL, Wilmore JH, Costill DL. Physiology of Sport and Exercise. 5th ed. Champaign (IL): Human Kinetics; 2012. Sex differences in sport and exercise; pp. 472–94.
- 15. Sardar MA, Gaeini A, Ramezani JA. The effect of 8-weeks of regular physical activity on blood glucose, body mass index, maximal oxygen uptake (VO₂ max) and risk factors cardiovascular diseases in patients with type of 1 diabetes mellitus. Iranian Journal of Endocrinology and Metabolism. 2008 Jul 10;10(2):91-7.





International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Natural, Synthetic and Semi - Synthetic Polymers As Building Blocks of **Modern Pharmaceutics**

Srinivasa Rao Y, Satyalakshmi S and Prasanthi A*

Department of Pharmaceutics, Vignan Institute of Pharmaceutical Technology, Duvvada, Andhra Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Sep 2024

*Address for Correspondence Prasanthi A

Department of Pharmaceutics, Vignan Institute of Pharmaceutical Technology, Duvvada, Andhra Pradesh, India. Email: lakshmisiragam48@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Natural polymers are stemmed from renewable sources such as plants, animals and microorganisms ex: cellulose, starch. Natural polymers are favoured for their biocompability and sustainability. They often serves as thickners and binders. Synthetic polymers are made-made materials created through chemical synthesis. Synthetic polymers offer precise control over properities such as viscosity, solubility and film formation. Semi- synthetic polymers are stemmed from natural sources but are chemically modified to improve their properties or functionally ex: Carboxy methyl cellulose and semi synthetic polymers offer a balance between natural and synthetic options. They provide enhanced stability in cosmetics. Identify suitable natural polymers based on desired properities and functionality ex: Thickening, conduct compatibility tests with other formulation ingredients to ensure stability and efficacy. Select appropriate semi-synthetic ex: cellulose based on their modified properities and assess compatibility and interactions with other formulation components through screening experiments. Choose synthetic polymers ex:" polyvinyl alcohol based on desired functionalities and properities.

Keywords: Natural polymers, Semi-synthetic, Synthetic polymers, Cosmetic formulation, Carrageenan, Xanthum gum, Hydroxyethyl cellulose

INTRODUCTION

The personal care and cosmetics sectors have seen tremendous growth in the twenty-first century. Over the course of the forecast period (2016–2022), the global cosmetics market is expected to increase at a compound yearly growth rate of 4.3%, reaching \$429.8 billion by 2022.[1] Cosmetics are defined by the European Commission (2015) as any material or preparation that is meant to come into touch with the teeth, oral cavity mucous membranes, hair system,





Srinivasa Rao et al.,

nails, and different exterior aspects of the human body.[2] In fact, polymers can be found in a variety of hair care products, including shampoos, conditioners, hair dyes, fixing gels, and tip repair; in skincare goods, including lotions, liquid soaps, sunscreens, and corporate oils; and in nail care, cosmetics, and scent products.[3] Each of these products has unique uses and applications, as well as variations in composition, production techniques, and physical and chemical factors that necessitate a wide range of polymers. Polymers are a significant category of raw materials used in cosmetic formulations since they are necessary for the creation of high-performing goods. They can be categorised as natural, semi-synthetic, or synthetic macromolecules because they are made up of several repeating units, or monomers, which are often grouped in a chain. Within the formulations of cosmetics, its structural variety is employed as rheology modifiers, thickeners, foam stabilisers and destabilizers, emulsifiers, fixatives, conditioning agents, and film formers to support a range of purposes.[3-5]

Polymers

Being vital to the creation of high-performance goods, polymers constitute a significant class of raw ingredients used in cosmetic formulations. Depending on how many repeating units (monomers) they contain and how they are structured, they can be categorized as synthetic, semi-synthetic, or natural macromolecules. Its structural variety is utilized to support a range of functions in cosmetic formulations, including conditioning, foam formers, emulsifiers, thickeners, foam stabilizers and destabilizers, rheology modifiers, and fusions. Natural, semi-synthetic, and synthetic polymers' characteristics and applications in cosmetic formulations are covered in this review (Figure 1).[3, 5]

Polymers in cosmetic formulation

Polymers are frequently found in many cosmetic and personal care products. They belong to a single class of materials that have a variety of characteristics similar to the class of polymers that have been used. The class of polymers covered in this section has been applied to several fields. The properties of various polymers types are displayed for use in cosmetic formulations.

Synthetic polymers

The fact that synthetic polymers can be customized for particular uses makes them appealing as an excipient in cosmetic formulations. They can be made uniformly on a big scale, are long-lasting, and frequently cost less than natural polymers. Acrylic acid-based polymers, polyacrylamides, silicon, and alkylene oxide-based homopolymers and copolymers are the synthetic polymers most frequently encountered in cosmetics.[6] In recent times, the cosmetics sector has employed silicon materials. Biomethane and cyclomethycaine are two examples of composites made of silicon that are utilized as suspending agents and in processes like emulsification and associative thickening in cosmetics like deodorants, shampoos, and antiperspirants.[7] Analogs of polyethylene glycols (PEGs), whether they are non-ionic or anionic, are frequently found in cosmetics as emollients (which aid in lubricating and softening the skin), emulsifiers (which aid in the proper mixing of water- and oil-based products), and penetration enhancers (which aid in the delivery of other compounds deeper into the skin). They can be found in deodorant, shampoo, hair conditioners, bath and shaving products, skin care products, makeup, and skin cleansing goods.[8] Mangifera indica L. kernel extract was used in Poomanee et al. (2020)'s development of nano emulsion loadings to improve the extract's stability and skin penetration, making it a potentially effective anti-acne treatment.[9] Safflower oil served as the oil phase in the mixture, which also included sorbitan, PEG-7 glyceryl cocoate, ceteareth-20, and PEG-40 hydrogenated castor oil as surfactants. Butylated hydroxytoluene and oleate together. M. indica kernel extract's physicochemical, antibacterial, and skin permeability were demonstrated by the production of a nano emulsion with extremely small droplet sizes and a restricted distribution. The good features of biodegradability, biocompatibility, and mass producibility are possessed by aliphatic polyesters, like poly (lactic acid) (PLA), poly (ε caprolactone) (PCL), and poly (3-hydroxybutyrate-co-3 hydroxy valerate). Natural polymers (such cellulose, chitin, and gelatine) do not have the exceptional mechanical qualities or melting processability that these materials possess. Alphabetic polyesters are being considered for the creation of microbeads for environmentally friendly cosmetics because of their qualities[9] according to Table 1.





Srinivasa Rao et al.,

Semi – synthetic polymers

With distinct physicochemical and mechanical properties, cellulose derivatives can be broadly classified into two groups: cellulose esters and cellulose ethers. Characteristics of cellulose derivatives include stability against biodegradation, heat, hydrolysis, and oxidation, surface activity, viscosity in solution, and thermoplastic film qualities. As opposed to cellulose esters (cellulose acetate, cellulose acetate phthalate, cellulose acetate butyrate, cellulose acetate trimelitate, hydroxypropyl methylcellulose phthalate), which are soluble in water, cellulose ethers (e.g., methylcellulose, ethyl cellulose, hydroxyethyl cellulose, hydroxypropyl methylcellulose, carboxymethyl cellulose, and sodium carboxymethyl cellulose) are soluble in water. have high film-forming properties but are often water-insoluble polymers.[15] In cosmetics such as creams, shampoos, lotions, and gels, these polymers are primarily utilized as thickening, stabilizing, gelling, and bioadhesive agents. Natural gelling substances such starches, acacia, sodium alginate, agar, pectin, and gelatine are more resistant to microbial infection.[7] Using synthetic, semisynthetic, natural, or a combination of polymers, Aung et al. (2019) created dissolving microneedles to facilitate trans epidermal distribution of alpha-arbutin for skin lightening.[16] The patch was made with GantrezTM S-97, hydroxypropyl methylcellulose, polyvinylpyrrolidone K-90, and chitosan as the polymers. I.e. The most appropriate polymer combination to create alpha-arbutin-loaded dissolving microneedles, according to their observations, is 8% w/w HPMC:40% w/w PVP K-90 (1:1). This is because alpha-arbutin has greater mechanical strength and penetration than gel formulation.

Natural polymers

As seen in Figure 2, natural polymers have mostly been employed in cosmetic applications. They may be used for a wide range of purposes, such as skin and hair care, makeup, and as stabilisers and modifiers. They are also biocompatible, safe, environmentally friendly, and very marketable to consumers.[18,19] Polysaccharides, starch, xanthan gum, guar gum, carrageenan, alginate, pectin, gelatine, agar, collagen, and hyaluronic acid are among the most widely utilised natural polymers. Polysaccharides called starches are found in nature and may be utilised in two different ways as soluble and granule starch. After being heated during extraction, the soluble starch dries and becomes resistant to damp, leaving skin and hair feeling silky. The hydrogen bonds in the granule starch are altered, making it unbreakable, which leads to Because of their varying amylase concentrations, the diverse sources of starch, such as non-ionic polymers like potato, maize, pinion, [20] and cassava root, each provide the cosmetic compositions somewhat varied qualities. Starch and other natural polymers, such as chitosan, have been combined to provide antioxidative release and other skin-related properties.[21] In cosmetic applications, such as lotions and nail lacquers, chitosan is frequently used alone. Examples of these applications include hair and skin care.. Another well-known feature of chitosan is its antibacterial capabilities [22-24], and is thus utilized in a variety of deodorants, particularly because the solution is still sprayable. In addition to being utilized as a stabilizer in a variety of formulations, [25-28] chitosan enhances the adherence of fragrances to skin.[29] Furthermore, acne can be treated using chitosan.[30] Chitosan's treatment are what give it its moisturising properties. [31, 32] Additionally, chitosan has been employed as a carrier for many medicinal items. For example, dental plaque was reduced by 70% and bacterial counts were reduced by 85% dental plaque was contained in chitosan gels containing herbal extracts. Spray-drying chitosan was used to create sodium fluoride-containing microparticles that may be used by fluoride-controlled delivery devices to act as a fluoride reservoir in the oral cavity. Dental varnishes with antibacterial efficacy against S. mutations and the capacity to prevent demineralization were created using nanoparticles of chitosan as a fluoride-controlled delivery system carrier was validated. [33, 34] Another naturally occurring polymer is cellulose, which requires chemical changes such substitution processes to be employed in cosmetic applications because it is insoluble in water. After being chemically altered, cellulose fibrils produce skin care products that are non-irritating, have good skin adhesion and spreadability, and are perfect for usage as face masks.[35] Additionally, several cosmetic formulas are thickened using ionic cellulose polymers to boost their viscosity and stability.

Advantages' and disadvantages of polymers used in cosmetic formulation Applications of polymers in cosmetic formulations

The application of polymers in the restoration of many materials, including metals, wood, and ceramics, has grown dramatically in recent years. This is because polymers have advantages over traditional materials, such as easy





Srinivasa Rao et al.,

processing, increased productivity, and decreased value.[49] Cosmetic goods' viscosity and flow properties can be changed with the use of polymers. In goods with water and oil phases, polymers function as stabilisers and emulsifiers. Polymer/layered silicate (PLS) nano-composites have garnered significant attention in recent times, from academia and industry alike, due to their notable ability to improve material properties when compared to traditional micro and macro composites or virgin polymer. Certain polymers form films on the skin or hair, giving makeup longevity benefits. High moduli, enhanced heat and strength resistance, reduced flammability and gas permeability, as well as enhanced of biodegradable polymers are a few examples of these enhancements. However, there has been a lot of interest in theory and simulations that deal with the synthesis and characteristics of such materials, as well as the fact that they are thought to be special model systems for examining the dynamics and structure of polymers in small spaces.

CONCLUSION

For the treatment of skin, teeth, hair, and nails, various physicochemical and biological properties of polymers and other modified derivative polymers can be used. They also possess the ideal attributes to support active chemicals found in cosmetics. Because these crucial characteristics are frequently associated, determining which characteristics are more relevant for a particular application requires a precise polymer characterisation. Because polymers have so many applications, it is important to characterize them not just in terms of their physical-chemical properties but also in terms of their functional attributes, environmental and biological safety, and biopharmaceutical activity.

ACKNOWLEDGEMENT

We express our sincere thanks to Dr.L.Rathaiah, Chairman, Vignan Group of Institutions for providing necessary facilities to carry out the above review.

REFERENCES

- 1. Allied Market Research. Available online: https://www.alliedmarketresearch.com/ (accessed on 12 August 2020)
- 2. Cosmetics Info. Available online: https://cosmeticsinfo.org/Regulation-in-eu (accessed on 12 August 2020).
- 3. Dias-Ferreira J, Fernandes AR, Soriano JL, Naveros BC, Severino P, da Silva CF, et al. Chapter 13-Skin rejuvenation: Biopolymers applied to UV sunscreens and sheet masks. In *Biopolymer Membranes and Films*, de Moraes, M.A., da Silva, C.F., Vieira, R.S., Eds.; Elsevier: Amsterdam, The Netherlands, 2020; pp. 309–330.
- 4. Gawade RP, Chinke SL, Alegaonkar PS. Chapter 17-Polymers in cosmetics. In *Polymer Science and Innovative Applications*; AlMaadeed, M.A.A., Ponnamma, D., Carignano, M.A., Eds.; Elsevier: Amsterdam, The Netherlands, 2020; pp. 545–565.
- 5. Severino P, Fangueiro JF, Chaud MV, Cordeiro J, Silva AM, Souto EB. Chapter 1-Advances in nanobiomaterials for topical administrations: New galenic and cosmetic formulations. In *Nanobiomaterials in Galenic Formulations and Cosmetics*; Grumezescu, A.M., Ed.; William Andrew Publishing: Norwich, NY, USA, 2016; pp. 1–23.
- 6. Goddard ED, Gruber JV. Principles of Polymer Science and Technology in Cosmetics and Personal Care; CRC Press: Boca Raton, FL, USA, 1999.
- 7. Patil A, Sandewicz RW. Cosmetic science and polymer chemistry: Perfect together. In *Polymers for Personal Care and Cosmetics*; American Chemical Society: Washington, DC, USA, 2013; Volume 1148, pp. 13–37.
- 8. Russo E, Villa C. Poloxamer Hydrogels for Biomedical Applications. *Pharmaceutics* **2019**; 11: 671.
- 9. Nam HC, Park WH. Aliphatic polyester-based biodegradable microbeads for sustainable cosmetics. ACS Biomater Sci Eng. **2020**; *6*:2440-2449.





Srinivasa Rao et al.,

- 10. Russo E, Villa C. Poloxamer hydrogels for biomedical applications. Pharmaceutics. 2019; 11: 671.
- 11. Nam HC, Park WH. Aliphatic polyester-based biodegradable microbeads for sustainable cosmetics. ACS Biomater Sci Eng. 2020; 6: 2440-2449.
- 12. Smith, J.A.; Murphy, B.J. 24—Soft cell approach to personal care: Hydrophilic active-filled polyurethane delivery systems. In Delivery System Handbook for Personal Care and Cosmetic Products; Rosen, M.R., Ed.; William Andrew Publishing: Norwich, NY, USA, 2005; pp. 513–532. [CrossRef]
- 13. Johnson W, Heldreth B, Bergfeld WF, Belsito DV, Hill RA, Klaassen CD, et al. Safety assessment of polyguaternium-22 and polyguarternium-39 as used in cosmetics. Int J Toxicol. 2016; 35: 47s-53s.
- 14. Germershaus O, Luhmann T, Rybak JC, Ritzer J, Meinel L. Application of natural and semi-synthetic polymers for the delivery of sensitive drugs. *Int Mater Rev.* 2015; *60*: 101-131.
- 15. Germershaus O, Lühmann T, Rybak JC, Ritzer J, Meinel L. Application of natural and semi-synthetic polymers for the delivery of sensitive drugs. Int Mater Rev. 2015; 60:101-131.
- 16. Aung NN, Ngawhirunpat T, Rojanarata T, Patrojanasophon P, Opanasopit P, Pamornpathomkul B. HPMC/PVP dissolving microneedles: a promising delivery platform to promote trans-epidermal delivery of alpha-arbutin for skin lightening. AAPS Pharm SciTech 2019; 21: 25.
- 17. Tafuro G, Costantini A, Baratto G, Busata L, Semenzato A. Rheological and textural characterization of acrylic polymer water dispersions for cosmetic use. Ind Eng Chem Res. 2019; 58: 23549-23558.
- 18. Klein M, Poverenov E. Natural biopolymer-based hydrogels for use in food and agriculture. J Sci Food Agric. 2020; 100: 2337-2347.
- 19. Patil A, Ferritto MS. Polymers for personal care and cosmetics: Overview. in polymers for personal care and cosmetics; ACS Publications: Washington, DC, USA, 2013; pp. 3-11.
- 20. Daudt RM, Back PI, Cardozo NSM, Marczak LDF, Külkamp-Guerreiro IC, Pinhao starch and coat extract as new natural cosmetic ingredients: Topical formulation stability and sensory analysis. Carbohydr Polym. 2015; 134: 573-580.
- 21. Viyoch J, Patcharaworakulchai P, Songmek R, Pimsan V, Wittaya-Areekul S. Formulation and development of a patch containing tamarind fruit extract by using the blended chitosan-starch as a rate-controlling matrix. Int J Cosmet Sci. 2003; 25: 113-125.
- 22. Barbosa GP, Debone HS, Severino P, Souto EB, da Silva CF. Design and characterization of chitosan/zeolite composite films—Effect of zeolite type and zeolite dose on the film properties. Mater Sci Eng. 2016; 60: 246-254
- 23. Hissae Yassue-Cordeiro P, Zandonai CH, Pereira Genesi B, Santos Lopes P, Sanchez-Lopez E, et al. development of chitosan/silver sulfadiazine/zeolite composite films for wound dressing. Pharmaceutics. 2019; 11:535
- 24. Teixeira MDC, Santini A, Souto EB. Chapter 8-Delivery of antimicrobials by chitosan-composed therapeutic nanostructures. In nanostructures for antimicrobial therapy; Ficai A. Grumezescu AM, Eds.; Elsevier: Amsterdam, The Netherlands, 2017; 203-222.
- 25. Andreani T, Kiill CP, de Souza AL, Fangueiro JF, Fernandes L, Doktorovova S, et al. Surface engineering of silica nanoparticles for oral insulin delivery: Characterization and cell toxicity studies. Colloids Surf B Biointerfaces. 2014; 123: 916-923.
- 26. Ataide JA, Gerios EF, Cefali LC, Fernandes AR, Teixeira MDC, Ferreira NR, et al. Effect of polysaccharide sources on the physicochemical properties of bromelain-chitosan nanoparticles. Polymers. 2019; 11: 1681.
- 27. Jose S, Fangueiro JF, Smitha J, Cinu TA, Chacko AJ, Premaletha K, et al. Cross-linked chitosan microspheres for oral delivery of insulin: Taguchi design and *in vivo* testing. Colloids Surf B Biointerfaces. 2012; 92:175-179.
- 28. Severino P, da Silva CF, da Silva MA, Santana MHA, Souto EB. Chitosan cross-linked penta sodium tripolyphosphate micro/nanoparticles produced by ionotropic gelation. Sugar Tech. 2016; 18: 49-54.
- 29. Fonseca-Santos B, Chorilli M. An overview of carboxymethyl derivatives of chitosan: Their use as biomaterials and drug delivery systems. Mater Sci Eng. 2017; 77:1349-1362.
- 30. Rinaudo M. Chitin and chitosan: Properties and applications. Prog Polym Sci. 2006; 31: 603-632.





Srinivasa Rao et al.,

- 31. Liu M, Li XY, Li JJ, Su XM, Wu ZY, Li PF, Lei FH, Tan XC, Shi ZW. Synthesis of magnetic molecularly imprinted polymers for the selective separation and determination of metronidazole in cosmetic samples. Anal Bioanal Chem. 2015; 407: 3875-3880.
- 32. Popa L, Ghica MV, Dinu-Pirvu CE. Hydrogels-smart materials for biomedical applications; IntechOpen: London, UK, 2019
- 33. Mohire NC, Yadav AV. Chitosan-based polyherbal toothpaste: As novel oral hygiene product. Ind J Dent Res. 2010; 21: 380.
- 34. Wassel MO, Khattab MA. Antibacterial activity against *Streptococcus mutans* and inhibition of bacterial induced enamel demineralization of propolis, miswak, and chitosan nanoparticles based dental varnishes. J Adv Res. 2017; 8: 387-392.
- 35. Lochhead RY. The role of polymers in cosmetics: recent trends; ACS Publications: Washington, DC, USA, 2007.
- 36. Bakshi PS, Selvakumar D, Kadirvelu K, Kumar NS. Chitosan as an environment friendly-A review on recent modifications and applications. Int J Biol Macromol. 2020; 150: 1072-1083.
- 37. Savage R. Effects of rheology modifiers on the flow curves of idealised and food suspensions. Food Hydrocoll. 2000; 14: 209-215.
- 38. Kunz RI, Brancalhao RM, Ribeiro LF, Natali MR. Silkworm sericin: Properties and biomedical applications. BioMed Res Int. 2016; 8175701.
- 39. Padamwar M, Pawar A. Silk sericin and its applications: A review. J Sci Ind Res. 2004; 64: 323-329.
- 40. Nazari T, Moghaddam AB, Davoodi Z. Optimized polylactic acid/polyethylene glycol (PLA/PEG) electrospun fibrous scaffoldfor drug delivery: effect of graphene oxide on the cefixime release mechanism. Mater Res Express. 2019; 6(11): 5351. doi:10.1088/2053-1591/ab508d
- 41. Satturwar PM, Fulzele SV, Dorle AK. Biodegradation and *in vivo* biocompatibility of rosin: a natural film-forming polymer. AAPS
- 42. Gavasane AJ, Pawar HA. Synthetic biodegradable polymersused in controlled drug delivery system: an overview. Clin 000121
- 43. Muxika A, Etxabide A, Uranga J, Guerrero P, De La Caba K.Chitosan as a bioactive polymer: processing, properties and applications. Int J Biol Macromol. 2017;105:1358–1368. doi:10.1016/j. ijbiomac.2017.07.087
- 44. Ahsan SM, Thomas M, Reddy KK, Sooraparaju SG, Asthana A,Bhatnagar I. Chitosan as biomaterial in drug delivery and tissue engineering. Int J Biol Macromol. 2018;110:97-109. doi:10.1016/j. ijbiomac.2017.08.140
- 45. Jesus S, Fragal EH, Rubira AF, Muniz EC, Valente AJ, Borges O.The inclusion of chitosan in poly-ε-caprolactone nanoparticles: impact on the delivery system characteristics and on the adsorbed ovalbumin secondary structure. AAPS PharmSciTech.2018; 19(1): 101-113.
- 46. Saheb DN, Jog JP. Natural fiber polymer composites: a review. Advances in Polymer Technology: J Polymer Process Instit. 1999; 18(4): 351-363
- 47. Matabola KP, De Vries AR, Moolman FS, Luyt AS. Single polymer composites: a review J Mat Sci. 2009; 44(23): 6213-622.
- 48. Okada A, Kawasumi M, Usuki A, Kojima Y, Kurauchi T, Kamigaito O. Synthesis and properties of nylon-6/clay hybrids. In: Schaefer DW, Mark JE, editors. Polymer based molecular composites. MRS Symposium Proceedings, Pittsburgh. 1990; 171: 45-50.
- 49. Biswas M, Sinha Ray S. Recent progress in synthesis and evaluation of polymer–montmorillonitenano composites. AdvPolymSci. 2001; 155: 167-221.

Table 1: Properties of polymers

Polymers	Properties	Ref
PEG, PPG	Humectant and surface activity	[7]
Dimethicone	Thermore versible hydrogels that provide protection, emollience, and improved comfort	[10]





Srinivasa Rao et al.,

Poloxamer	Boost body temperature viscosity and non-ionic surfactant	[11]
Poly (lactic acid)	Microbeads for exfoliation, a biodegradable substitute	[12]
Poly caprolactone)	Polymers not biodegradable, forming of films, elastic properties Shape memory impacts	[13]
Polyurethanes	Water resistance, gloss, and feel of the surface	[14]
Polyquaternium	Antistatic, conditioning, and film-forming	[7]

Table 2: Properties of semi-synthetic polymers

	<u> </u>	
Polymer	Properties	Ref
Nitrocellulose	Develop a film, Wear products longer, and improve skin	[17]
Acrylate – copolymers	Enhanced product appearance and safety	[7]
Hydroxyethyl cellulose	Improved product shelf life, rheological control, and simplicity of use	[7]

Table 3: Properties of natural polymers

Polymers	Properties	Ref
Starch	Emulsifying agent, Film forming, High viscosity and Lip care	[36]
Chitosan	Long-term colour adhesion, hydration, antibacterial qualities, and film formation	[37]
Cellulose	gives hair a moisturized, silky, and anti-static finish	[38]
Sericin	Increases elasticity and has a large capacity to take up moisture.	[39,40]

Table 4: Advantages' and disadvantages of polymers

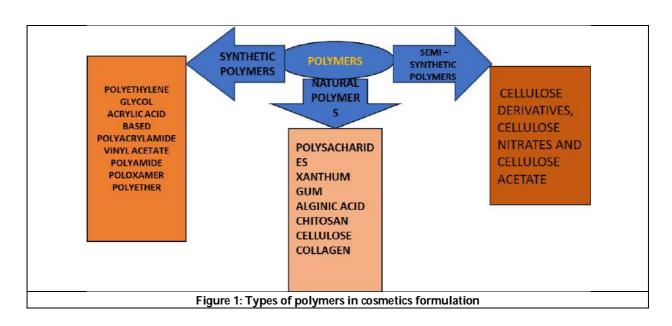
Polymers	Advantages	Disadvantages	Ref
Natural	Enhancer of water solubility Reduced or non-toxic Excellent transparency and edema Both biodegradable and biocompatible able to combine with medications Easily accessible minimal immunogenicity Passivity	Heavy metal and microbiological pollution Uncontrolled pace of hydration variance from batch to batch Slow production pace arduous extraction process	[41- 43]
Synthetic	Simple customization enhanced chemical and mechanical stability Increased Reproducibility Varying Selectivity and Specificity Simple to break down suitable for clinical settings	Inherent biocompatibility and bioactivity deficiencies Potential to induce immunological reaction, inflammation, and toxicity synthesis process that is costly and difficult Problems with water solubility	[44- 47]
Semi- synthetic	Sustainability and renewable energy sources Degradability and biocompatibility customized property Effects on the environment	Cost Differentiation in the original content processing complexity limited toughness Regulation-related difficulties	48





Srinivasa Rao et al.,

Adjustability







RESEARCH ARTICLE

Sustainable Business Innovation-Rethinking of Business Models for **Long-Term Success**

Stephen Deepak1* and Shanthi S2

¹Faculty, School of Management, Kristu Jayanti College (Autonomous), (Affiliated to Bangalore North University), Bengaluru, Karnataka, India.

²Associate Professor, Department of Economics, Mount Carmel College, Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Stephen Deepak

Faculty, School of Management, Kristu Jayanti College (Autonomous), (Affiliated to Bangalore North University), Bengaluru, Karnataka, India



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The rapidly evolving global landscape is compelling businesses to rethink their traditional models in favour of sustainable business innovations. This paper explores the shift towards Sustainable Business Innovation (SBI), focusing on how firms are altering their strategies to align with environmental, social, and governance (ESG) goals for long-term success. By analyzing key drivers, challenges, and examples of businesses embracing sustainability, the paper demonstrates how incorporating sustainability into core business practices enhances resilience and competitiveness. The study also highlights strategic frameworks and methodologies guiding this transition, emphasizing the importance of circular economy principles and stakeholder collaboration.

Keywords: Sustainable Business Innovation, Circular Economy, ESG Goals, Stakeholder Collaboration

INTRODUCTION

In today's fast-evolving global environment, business firms are facing unique challenges driven by environmental degradation, resource scarcity, and shifting consumer preferences. The traditional business models that once guaranteed success are increasingly becoming obsolete encountering these challenges. As the world contends with the issues of climate change, ecological imbalances, and social inequalities, companies are under pressure to not only deliver financial performance but also to operate in a socially and environmentally responsible way. This has led to the rise of Sustainable Business Innovation, where businesses are rethinking their models and operations to ensure long-term success while positively impacting society and the environment. Sustainable business innovation involves designing and implementing new strategies that prioritize environmental sustainability, social equity, and economic viability. The objective is to embed sustainability within their strategies and initiatives into the very core of a business





Stephen Deepak and Shanthi

model, from supply chain operations to product design and customer engagement. Unlike traditional models that aimed at short-term gains, sustainable business models aim for long-term value generation for stakeholders—customers, employees, investors, communities, and the planet. Business firm's that adopt these models are not only more resilient in the face of market disruptions but are also positioned to attract socially conscious consumers and investors who increasingly demand corporate responsibility. The need for sustainable business innovation is further reinforced by global sustainability initiatives, like the United Nations' Sustainable Development Goals (SDGs) and the Paris Agreement on climate action, which urge businesses to take action towards creating a sustainable future. Additionally, technological advancements in areas like clean energy, circular economy models, and digital transformation provide new and different avenues for businesses to innovate to reduce environmental footprint while enhancing profitability. This research article sight sees how companies can rethink their business models through the lens of sustainability to achieve long-term success. It delves into the key drivers behind the shift towards sustainable innovation, the challenges companies face in this transition, and real-world examples of organizations that have effectively incorporated sustainable business practices. The paper also examines the strategic frameworks and methodologies that guide sustainable business innovation, throwing newer insights into how businesses can build a competitive edge while making a positive contribution to global sustainability.

Business Models: An Introduction

A business model is the blueprint through which an organization creates, delivers, and captures value, both for itself and its stakeholders. It defines how a company generates revenue, structures its operations, and engages with its customers. Business models are essential to the survival and success of any enterprise, guiding decision-making and operations in a way that aligns with long-term strategic goals. Essentially, they encompass every aspect of a company's structure, from its products or services to its marketing, distribution, and cost management strategies. Over time, business models have evolved in response to changing technologies, consumer behavior, and economic conditions. Traditional business models, which were dominant before the digital age and sustainability-driven transformations, were primarily profit-oriented and focused on efficiency and mass production. However, these models are beingintensely scrutinized for their long-term sustainability in a world facing environmental degradation, resource depletion, and evolving consumer demands.

Traditional Business Models and Their Characteristics

Traditional business models have resulted in commercial success, emphasizing profitability and growth through standard market practices, including mass production, linear supply chains, and economies of scale. These models are precisely developed to prioritize short-term financial gains, driven by cost reduction and efficiency without significant regard for environmental or social impacts (Bocken et al., 2014). The value proposition in traditional models centers on delivering quality products or services to consumers, usually at competitive prices, while maximizing shareholder value. Traditional business models follow a linear, "take-make-dispose" production and consumption framework, where natural resources are sourced, turned into goods, sold, and eventually discarded. This method is embedded in sectors like manufacturing, agriculture, and energy production, allowing businesses to expand quickly by meeting consumer demand. However, the focus on profit maximization often overlooks environmental sustainability and social equity (Yang et al., 2017). Traditional business models refer to the conventional ways' businesses operated before the rapid technological advancements and global sustainability movement. These models were largely centered on profit maximization, mass production, and efficiency through economies of scale. They often involved predictable supply chains, established markets, and relatively static customer bases. Traditional models focused on delivering physical goods or services in an environment with less competition from digital-first enterprises.

Some key characteristics of traditional business models include

1. Profit-Centric Focus: The primary goal of traditional models was maximizing profit margins by leveraging cost efficiencies, often at the expense of environmental or social concerns.





Stephen Deepak and Shanthi

- 2. Linear Supply Chains: Traditional models typically follow a linear process from raw material extraction to product creation, distribution, and eventual disposal. This model emphasizes a "take, make, dispose" approach, without considering resource regeneration.
- 3. Customer Acquisition and Loyalty: Traditional models emphasized customer loyalty programs and advertising to acquire and retain customers, primarily through physical stores or direct sales.
- 4. Centralized Operations: These models often featured centralized decision-making, with a top-down approach that was slow to adapt to market changes or customer demands. Innovation was typically incremental rather than disruptive.
- 5. Labor-Intensive Processes: Many traditional models relied heavily on manual labor and large workforces, particularly in sectors like manufacturing, agriculture, and retail.

Negative Impact of Traditional Business Models

While traditional business models have driven global economic growth, they have led to a range of harmful impacts on both the environment and society. The linear model, based on the excessive extraction of natural resources, has led to the depletion of finite resources and significant environmental degradation (Schaltegger et al., 2016). For instance, industries that rely heavily on fossil fuels have highly contributed to carbon emissions, exacerbating climate change and causing irreversible damage to ecosystems. The mass production of goods also results in vast amounts of waste, with products and packaging ending up in landfills or polluting oceans, creating significant ecological imbalances (Bocken et al., 2014). Traditional business models have also been criticized for fostering inequality and social injustice. Companies in pursuit of cost reductions frequently exploit workers in developing nations, resulting in low wages, poor working conditions and child labour. This pursuit of profit at the expense of human welfare has created ethical concerns for consumers and regulators alike (Yang et al., 2017). Additionally, traditional business models often lack transparency, which can lead to corporate scandals, such as environmental violations and the exploitation of marginalized communities. While traditional business models were profitable for decades, they are increasingly seen as unsustainable and harmful in today's evolving market landscape. The negative impacts include:

- 1. Environmental Degradation: Traditional business models, particularly those relying on mass production and resource extraction, have significantly contributed to environmental issues such as pollution, resource depletion, and climate change. The linear "take, make, dispose" approach leads to excessive waste and carbon emissions, which are unsustainable in the long term.
- 2. Inflexibility and Resistance to Change: Traditional models are often slow to adapt to technological advancements or changes in consumer preferences. This can make businesses vulnerable to disruption by more agile competitors who adopt innovative, digital, or sustainable practices. Many companies have struggled to pivot their models, leading to declining market share.
- 3. Short-term Profit Focus: Many traditional models focus prioritizing short-term profits often comes at the cost of long-term sustainability This leads to underinvestment in areas like innovation, employee well-being, and corporate social responsibility.
- 4. Social Inequality: Traditional business models have promoted exploitative labour practices, especially in global supply chains. Low wages, poor working conditions, and the outsourcing of labour to developing countries are all consequences of models that prioritize cost-cutting and profit over social responsibility.
- 5. Depletion of Resources: Companies relying on finite, non-renewable materials are facing high pressure as these resources become scarcer. The long-term viability of businesses dependent on such resources is under threat, especially as regulatory and consumer demands shift toward more sustainable practices. While traditional business models have historically driven economic growth and profitability, they are no longer suitable for the challenges of the modern world. As environmental sustainability and technological advancements take center stage, companies must reinvent their business models, moving towards innovation and long-term success. Transitioning to sustainable models is not merely for survival but creates opportunities for enduring value for businesses, society, and the planet.





Stephen Deepak and Shanthi

RESEARCH METHODOLOGY

This research employed a qualitative approach using a systematic review of research articles related to business models and sustainability, case studies of companies that have transitioned to sustainable business models were reviewed. Secondary data from industry reports, academic journals was analysed to identify common strategies, challenges, and success factors. Additionally, thematic review was followed to uncover insights into the role of ESG factors in business model innovation. This methodology allows for a comprehensive exploration of sustainable business innovation and its impact on long-term business success.

Sustainability in Business Models

In today's business scenario, sustainability has become a critical component of long-term success. Sustainability in business means operating in ways that are economically practical, socially responsible, and environmentally friendly. It goes beyond profit generation to address the broader impacts of business operations on society and the planet. The objective of fulfilling sustainability in business models has grown due to increasing awareness of climate change, environmental degradation, resource depletion, and social inequalities. Consumers, investors, and governments are now demanding greater accountability from companies, urging them to prioritize sustainable practices that protect the environment and support communities. Sustainability offers several advantages for businesses, including improved brand image, increased consumer loyalty, and reduced operational costs through energy efficiency and waste reduction. Moreover, sustainable businesses are able to mitigate risks related to regulatory changes, market shifts, and resource scarcity.

The Need to Move from Traditional Business Models to Sustainable Business Models

Given the negative impacts of traditional business models, the need to gravitate towards sustainable models has become critical. Sustainable business models integrate environmental, social, and governance (ESG) factors into their core strategies, promoting long-term value creation that benefits society and the planet (Evans et al., 2017). Sustainability in business is not just driven by the need to meet statutory requirements but also by increasing consumer preferences towards ethically produced and environmentally responsible products. Businesses fail to meet these shifting consumer demands risk losing market position and tarnishing their public image (Schaltegger et al., 2016). Sustainable business models focus on circularity, where resources are reused, recycled, and regenerated to minimize waste and reduce dependence on finite resources. This shift from a linear to a circular economy allows businesses to become conscious of their environmental footprint while creating economic opportunities (Bocken et al., 2014). Furthermore, sustainable business models emphasize social equity by ensuring fair labor practices, community engagement, and ethical supply chains. Traditional business models, while profitable for decades, are increasingly seen as inadequate for delivering to the challenges of the 21st century. These models, often characterized by mass production, linear supply chains, and a focus on short-term profit, have contributed to environmental harm, social inequality, and economic instability. The linear "take, make, dispose" approach of traditional models has resulted in massive waste production, resource depletion, and high carbon emissions, exacerbating environmental issues such as climate change and biodiversity loss. Moreover, traditional business models prioritize profit maximization at the expense of long-term sustainability. This short-sighted focus can lead to poor working conditions, exploitative labour practices, and the depletion of resources, all of which undermine the long-term existence of businesses. Companies that fail to adopt sustainable practices risk losing consumer trust, facing regulatory penalties, and falling behind competitors who have embraced more responsible business models. In contrast, sustainable business modelsprioritize long-term value creation by embedding environmental, social, and governance (ESG) factors into their core operations. These models emphasize resource efficiency, renewable energy adoption, circular economy principles (which aim to reduce waste and recycle resources), and ethical labour practices. By adopting sustainable business models, companies can reduce their environmental footprint, support social progress, and secure their economic future. The global shift toward sustainability is further driven by global efforts such as the United Nations' Sustainable Development Goals (SDGs) and the Paris Agreement on climate initiatives. These frameworks urge businesses to adopt ways that contribute to global sustainability.





Stephen Deepak and Shanthi

The Paradigm Shift in Developing a Sustainable Business Model

The transition from traditional to sustainable business models represents a significant paradigm shift. This shift requires businesses to rethink their value propositions, operations, and relationships with stakeholders. The development of sustainable business models are not about tweaking existing practices but about fundamentally transforming the way businesses operate. Companies must move from a linear to a circular economy model, where products are designed for durability, reuse, and recycling, and waste is minimized throughout the supply chain. One of the prominent pointer of this paradigm shift is the integration of corporate social responsibility (CSR) and environmental stewardship into the core business strategy, rather than treating these as peripheral concerns. Businesses are now recognizing that sustainability can be a driver of innovation, opening up new markets, products, and services that cater to environmentally conscious consumers. For instance, the advances in green technologies, renewable energy solutions, and sustainable packaging are all a result of businesses embracing sustainability as a core value. Another critical factor in developing sustainable business models is collaboration with stakeholders. Businesses must work closely with governments, non-governmental organizations (NGOs), consumers, and communities to create systems that benefit all parties involved. This collaborative approach ensures that businesses not only meet regulatory requirements but also address the needs and concerns of the wider society. Lastly, emerging technologies like artificial intelligence, big data analytics, and the Internet of Things (IoT) are helping businesses refine their processes, aligning with sustainability goals by reimagining the core aspects of value creation, delivery, and capture. Sustainability is no longer a niche concern but a fundamental aspect of modern business strategy. As the world faces pressing environmental and social challenges, companies must move away from traditional business models that prioritize short-term gains and adopt sustainable practices that ensure long-term success. This paradigm shift requires businesses to innovate, collaborate, and leverage technology to create models that benefit the environment, society, and the economy. Sustainable business models are not just the future of business—they are essential for the survival and prosperity of companies in the 21st century.

Sustainable Business Model Innovation: A New Framework

Sustainable business model innovation (SBMI) requires a fundamental rethinking of how businesses operate. Companies must redesign their value propositions to offer products and services that address sustainability challenges while meeting consumer needs. SBMI involves developing new technologies, processes, and practices that minimize environmental harm, promote resource efficiency, and support social well-being (Evans et al., 2017). For example, companies can adopt renewable energy sources, improve energy efficiency, and design products with sustainability in mind, such as using recyclable materials or reducing packaging waste. Furthermore, collaboration with stakeholders, including suppliers, consumers, and governments, is essential to developing sustainable business models that are scalable and impactful (Yang et al., 2017). The transition to SBMI also involves financial innovation, such as incorporating the true cost of resources, emissions, and waste into pricing strategies, ensuring that the business's economic activities contribute to environmental and social sustainability. This shift not only reduces the risk of regulatory penalties but also opens new markets and business opportunities (Schaltegger et al., 2016). Sustainable business model innovation (SBMI) has emerged as a critical framework for integrating environmental and social sustainability into the core of a business. Rosaria Ferlito and Rosario Faraci's research article, "Business Model Innovation for Sustainability: A New Framework," offers valuable pointers into how businesses can adapt their models to fulfil the demands of sustainability by rethinking three key components: value proposition, value creation and delivery, and value capture.

Value Proposition

The value proposition in SBMI refers to the sustainable benefits offered to customers and other stakeholders (Ferlito & Faraci, 2023). It goes beyond merely delivering products or services; it involves offering solutions that positively impact society and the environment. Companies aiming for SBMI must realign their objectives to focus on creating shared value for customers, communities, and ecosystems. Sustainable value propositions are often centered around reducing waste, minimizing environmental footprints, and promoting fair labour practices. For instance, firms in the circular economy provide customers with products designed for reuse and recycling, thereby reducing the utilization of resources and fostering environmental stewardship (Ferlito & Faraci, 2023).





Stephen Deepak and Shanthi

Value Creation and Delivery

Value creation and delivery in sustainable business models require innovation in operational processes and supply chains to ensure that business activities are environmentally and socially responsible. According to Ferlito and Faraci (2023), sustainable value creation demands a shift from traditional linear processes to circular systems. Businesses must innovate by adopting clean technologies, using renewable energy, and ensuring resource efficiency throughout the production and delivery process. Additionally, value creation should engage stakeholders, including customers, suppliers, and communities, to ensure that sustainability objectives align with broader societal goals. Collaboration and transparency across the value chain are essential for ensuring long-term sustainability and stakeholder trust.

Value Capture

Value capture in the context of SBMI involves rethinking how businesses generate revenue while ensuring sustainable outcomes (Ferlito & Faraci, 2023). Traditional models focused solely on profit maximization often ignore environmental and social costs. In contrast, sustainable models prioritize long-term value for all stakeholders by incorporating the true costs of resources, waste, and emissions into their financial strategies. This could involve adopting pricing mechanisms that reflect the full environmental cost of products or implementing shared value models where companies reinvest part of their profits into social and environmental initiatives

Model of Sustainable Business innovation Sustainable Business Models

As businesses worldwide face increasing pressure to address environmental and social concerns, several sustainable business models have emerged, allowing companies to align their operations with sustainability goals while maintaining profitability. Here are five popular sustainable business models that offer long-term benefits for both businesses and society:

Circular Economy Model

The circular economy model is based on minimizing waste and maximizing the reuse of resources. Unlike the traditional linear model of "take-make-dispose," the circular economy emphasizes closing the loop by recycling, reusing, and regenerating materials. This model promotes the making of products are dismantled and reassembled, allowing for the reuse of components. Companies like Patagonia and IKEA have adopted this model by offering repair services and recycling programs, reducing the utilization of virgin materials while extending product life cycles (Bocken et al., 2016).

Product-as-a-Service Model

This model shifts the focus from selling products to providing services. Instead of customers owning the products, they pay for the utility or service the product offers. This approach incentivizes companies to create durable, long-lasting products since ownership remains with the business. For example, Rolls-Royce uses a "Power by the Hour" model, where customers pay for the engine's performance rather than purchasing the engine outright. This model reduces waste, ensures efficient use of resources, and fosters long-term customer relationships (Lacy & Rutqvist, 2015).

Social Enterprise Model

A social enterprise is a business that prioritizes solving social or environmental issues over maximizing profits. These organizations aim to create positive change by reinvesting their profits into causes that benefit society. TOMS Shoes, for instance, operates on a "buy one, give one" model, where every pair of shoes sold helps provide shoes to children in need. Social enterprises contribute to sustainable development by addressing issues such as poverty, health, and education, ensuring that business growth is aligned with social welfare (Alter, 2007).

Inclusive Business Model

Inclusive businesses integrate low-income populations into their value chain as suppliers, distributors, or customers. These businesses create opportunities for marginalized communities by providing access to jobs, goods, and services





Stephen Deepak and Shanthi

that improve their standard of living. Companies like Grameen Bank and Unilever's Project Shakti have successfully employed this model by offering microfinance and empowering rural women to distribute products in underserved areas. This model fosters economic growth while reducing inequality (Prahalad & Hart, 2002).

B Corp Certification Model

B Corp Certification is awarded to companies that achieve rigorous standards in social and environmental performance, accountability, and openness. Ben & Jerry's and The Body Shop are examples of businesses that have obtained B Corp certification, ensuring that their operations benefit people and the planet. B Corporations are legally obligated to consider how their decisions affect all stakeholders, including workers, communities, and the environment. This model encourages ethical practices, long-term sustainability, and corporate responsibility (Honeyman, 2014).

CONCLUSION AND DISCUSSION

Sustainable business innovation marks a fundamental shift from traditional, profit-driven models to ones prioritizing long-term environmental, social, and economic benefits. This shift is crucial as businesses face increasing pressure from consumers, investors, and regulators to adopt more responsible practices. Our analysis reveals that companies integrating sustainability into their operations are better positioned to remain competitive, resilient, and compliant with global initiatives like the SDGs. However, the transition is not without challenges, particularly in balancing profitability with sustainability goals. Despite these hurdles, they offer a roadmap for enduring success, providing value to all stakeholders while addressing the urgent challenges of resource depletion and environmental degradation.

Industry and Managerial Implications

For industries, adopting sustainable business innovations presents opportunities to create value beyond profitability, such as brand enhancement, operational efficiency, and risk mitigation. Managers must foster a culture of sustainability by embedding environmental and social considerations into every aspect of decision-making. This includes rethinking supply chains, adopting circular economy principles, and fostering collaboration across sectors. Moreover, businesses that prioritize sustainability will be better equipped to attract socially conscious consumers and investors, adapt to regulatory pressures, and navigate market disruptions. For long-term success, leaders must champion sustainability as a core business strategy, leveraging innovation and stakeholder engagement to drive meaningful change.

REFERENCES

Journal Articles

- Bocken, N. M. P., Short, S. W., Rana, P., & Evans, S. (2014). A literature and practice review to develop sustainable business model archetypes. Journal of Cleaner Production, 65, 42-56. https://doi.org/10.1016/j.jclepro.2013.11.039
- Evans, S., Vladimirova, D., Holgado, M., Van Fossen, K., Yang, M., Silva, E. A., & Barlow, C. Y. (2017). Business model innovation for sustainability: Towards a unified perspective for creation of sustainable business models. Business Strategy and the Environment, 26(5), 597-608. https://doi.org/10.1002/bse.1939
- Ferlito, R., & Faraci, R. (2023). Business model innovation for sustainability: A new framework. Sustainability, 15(7), 3456-3469. https://doi.org/10.3390/su15073456
- Honeyman, R. (2014). The B Corp handbook: How to use business as a force for good. Berrett-Koehler Publishers.
- Lacy, P., & Rutgvist, J. (2015). Waste to wealth: The circular economy advantage. Palgrave Macmillan.
- Prahalad, C. K., & Hart, S. L. (2002). The fortune at the bottom of the pyramid. Strategy+Business, 26(1), 2-14.





Stephen Deepak and Shanthi

- Schaltegger, S., Hansen, E. G., & Lüdeke-Freund, F. (2016). Business models for sustainability: Origins, present research, and future avenues. Organization & Environment, 29(1), 3-10. https://doi.org/10.1177/1086026615599806
- Yang, M., Evans, S., Vladimirova, D., & Rana, P. (2017). Value uncaptured perspective for sustainable business model innovation. Journal of Cleaner Production, 140(3), 1794-1804. https://doi.org/10.1016/j.jclepro.2016.07.102 Websites
- United Nations (n.d.). The Sustainable Development Goals: A global blueprint for dignity, peace and prosperity. United Nations. https://sdgs.un.org/goals
- Paris Agreement. (2016). United Nations Climate Change. https://unfccc.int/process-and-meetings/the-paris-agreement/the-paris-agreement

Case Studies

- Bocken, N. M. P., Short, S. W., & Rana, P. (2014). The circular economy: Exploring opportunities for business model innovation. Case Study in Circular Economy. Journal of Cleaner Production, 65, 42-56. https://doi.org/10.1016/j.jclepro.2013.11.039
- Other Sources
- Paris Agreement (2016). The Paris Agreement under the United Nations Framework Convention on Climate Change (UNFCCC). United Nations Framework Convention on Climate Change.

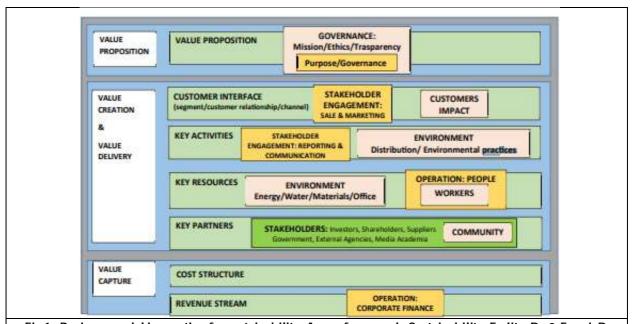


Fig 1 - Business model innovation for sustainability: A new framework. Sustainability, Ferlito, R., & Faraci, R. (2023





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Catalyzing Micro-Entrepreneurship: An Analysis of Mudra Loan **Disbursement among Indian States**

Jayaraksana^{1*}, Sharmista¹ and Shanthi S²

¹Student, Department of Economics, Mount Carmel College (Autonomous), (Bengaluru City University), Bengaluru, Karnataka, India.

²Associate Professor, Department of Economics, Mount Carmel College, Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence **Jayaraksana**

Student, Department of Economics, Mount Carmel College (Autonomous), (Bengaluru City University), Bengaluru, Karnataka, India. Email: jayaraksana11@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study examines the effectiveness of the MUDRA scheme in bridging the finance gap for microenterprises in India. Focusing on five key states - Tamil Nadu, Karnataka, Uttar Pradesh, West Bengal, and Maharashtra - and three PMMY categories, the analysis reveals trends in loan disbursements and participation of women entrepreneurs. With over 5.77 crore micro-units seeking high-cost funds outside the formal credit system (NSSO, 2013), MUDRA's impact is crucial. This research provides valuable insights to inform policy decisions and optimize the scheme's effectiveness in supporting microentrepreneurs.

Keywords: MUDRA Loan, State-wise comparison, Loan Disbursement, Women entrepreneurs.

INTRODUCTION

Pradhan Mantri MUDRA Yojana (PMMY), launched in 2015, bridges the credit gap for small and micro enterprises. It provides loans up to INR 10 lakh through banks, NBFCs, and MFIs, categorized into Shishu, Kishore, and Tarun based on the business life cycle.In 8 years, PMMY has disbursed INR 22.89 lakh crore to 41.16 crore loan accounts, benefiting weaker sections. The Tarun category's loan limit has been increased to INR 20 lakh, effective from Budget 2024, however this is not reflected in this paper.





Jayaraksana et al.,

Objectives

The study objectives are to analyze MUDRA loan trends across India (2015-2023), examine state-wise disbursement trends in the top 5 states (Tamil Nadu, Karnataka, Uttar Pradesh, West Bengal, and Maharashtra), and assess the components benefiting women Entrepreneurs.

SCOPE AND RESEARCH METHODOLOGY

This study analyses PMMY's performance (2015-23) in the top 5 states, loan categories, and women's participation using secondary data from official reports. Statistical tools (mean, standard deviation, coefficient of variation) analyze loan disbursement trends, state performance, and women's participation.

DATA ANALYSIS AND INTERPRETATION

Objective 1: Analyze trends in MUDRA loan disbursements in India (2015-2023)

Pradhan Mantri Mudra Yojana disbursements grew consistently from • 100,000 crores in 2015-16 to nearly • 500,000 crores in 2022-23. Growth doubled by 2018-19, stabilized during COVID-19 (2019-20), and then accelerated post-pandemic, demonstrating Mudra's increasing support for small businesses and economic growth.

Objective 2: Study MUDRA Ioan trends in TN, Karnataka, UP, WB, and Maharashtra.

PMMY promotes financial inclusion and top-performing states are TN(• 231,185), KA (• 214,215.43), UP(• 210,652.44), WB(• 196,374.89), and MH(• 191,897), leading in MUDRA loan disbursement and accounting for a significant share of total disbursements.

MUDRA LOAN DISBURSAL TRENDS: TOP 5 STATES

Stability and Financial Support

Maharashtra and Karnataka are most stable, while Tamil Nadu is moderately stable. West Bengal and Uttar Pradesh are the least stable due to high variability. Tamil Nadu provides the highest financial support, whereas Maharashtra offers the lowest. Uttar Pradesh and West Bengal's support variability affects their stability.

STATE-WISE INTERPRETATION

1) TAMIL NADU

Tamil Nadu's MUDRA loans (2015-23): SISHU (* 14,500.87) was the most stable (CV: 26.89%), KISHORE (* 9,377.28) most variable (CV: 57.83%). 2019-2022 saw a shift from SISHU to KISHORE indicatinga change in microenterprise support trends.

2)KARNATAKA

KA's A/Cs & Disbursement Trends over the years(Rs in crores) Karnataka's MUDRA loans: SISHU (* 10,925.55) was most stable (CV: 18.52%), KISHORE (* 10,234.19) most variable (CV: 43.50%). 2019-2020 saw a shift from SISHU to KISHORE/TARUN, indicating changed funding priorities.

3) UTTAR PRADESH

UP's A/Cs & Disbursement Trends over the years(Rs in crores)

Uttar Pradesh's MUDRA loans: SISHU (* 10,460.39) was fairly consistent (CV: 31.98%), KISHORE (* 9,216.86) highly variable (CV: 49.48%), and TARUN (* 6,654.30) moderately variable (CV: 41.46%). SISHU surged, dipped, and rebounded; KISHORE grew steadily; and TARUN fluctuated before stabilizing.

4) WEST BENGAL

West Bengal's MUDRA Ioans: SHISHU (* 11,299.66, CV: 31.88%) was most stable, KISHORE (* 9,789.93, CV: 68.10%) highly variable, and TARUN (* 3,457.27, CV: 50.32%) moderately consistent. SHISHU supported small enterprises steadily, while KISHORE fluctuated and TARUN needed stability.





Jayaraksana et al.,

5) MAHARASTRA

Maharashtra's MUDRA Ioans: SISHU (* 9,030.86, CV: 21.62%) was most consistent, TARUN (* 6,918.77, CV: 27.20%) moderately variable, and KISHORE (* 8,037.49, CV: 38.14%) highly variable. Post-pandemic, Maharashtra witnessed a remarkable recovery, fueling a "start-up resurgence".

Objective 3: Evaluate MUDRA's components benefiting women.

MUDRA loans empower women entrepreneurs, driving economic growth and social change. With 65% of beneficiaries being women, more than 35 million have started businesses, becoming self-reliant and contributing to India's socio-economic progress. MUDRA loan allocation to women has shifted significantly. Initially, SHISHU dominated, but its share decreased. KISHORE (growth phase) rose from 13.70% to 42% by 2022-23, while TARUN (mature businesses) also increased. This shift prioritizes supporting women entrepreneurs in growth stages, aligning with efforts to strengthen women's Entrepreneurship.

KEY FINDINGS OF THE STUDY

- Karnataka, Maharashtra, and Tamil Nadu top Shishu loan disbursements, ensuring constant funding for new micro-enterprises.
- Maharashtra's Tarun loans demonstrate steady growth, with the lowest CV.
- The States saw a rise in Kishore loans despite the pandemic, highlighting the ongoing financial needs of existing micro-enterprises
- Shishu loans (up to 50,000) have consistently grown, benefiting first-time entrepreneurs, driving financial inclusion, and contributing most to Mudra's success.
- Top MUDRA disbursers in FY 2022-23: UP (* 47,427 cr), TN (* 43,730 cr), Karnataka (* 40,746 cr). TN's consistency outshone UP and Maharashtra's volatility.
- TN's higher MUDRA disbursals than Maharashtra, suggest allocation imbalances.
- Most states saw rising Shishu loans in 2019-2020, except TN and Karnataka, where micro-enterprises shifted to larger Kishore/Tarun loans.
- FY 2020-2021 saw a sharp decline in MUDRA loans, especially the Shishu category, due to COVID-19, as NBFCs and SFBs reduced lending.
- Women entrepreneurs received 47.74% of total MUDRA loans.
- Women's MUDRA loan allocation shifted from Shishu to Kishore and Tarun, indicating reduced early-stage dependence and increased support for growing and mature businesses.

CONCLUSION

The MUDRA scheme has made significant strides in fostering micro-enterprise growth and financial inclusion, particularly in states like Tamil Nadu, Karnataka, Uttar Pradesh, West Bengal, and Maharashtra. However, disparities in disbursement highlight the need for more equitable fund distribution across regions. The pandemic-induced decline in Shishu loans underscores the vulnerability of new enterprises and the necessity for crisis-resilient support systems. After an impressive eight-year journey, the scheme has demonstrated its vital role in "funding the unfunded". To enhance its impact, it is crucial to ensure balanced resource allocation, strengthen financial safety nets, and focus on supporting the transition from Shishu to Kishore and Tarun loans, especially for women entrepreneurs. To further strengthen the MUDRA scheme, developing tailored support strategies for different categories of loans will be crucial in ensuring more inclusive and sustainable growth for micro-enterprises across India.

REFERENCES

https://www.mudra.org.in/





Jayaraksana et al.,

- 2. Juliana Sairah John, Nikita Kabra, Sanchia Maria Jose, Girish S. (2018). MUDRA Performance Karnataka. International Journal of Research and Analytical Reviews, 278-287.
- 3. Mahajan, D. Y. (2019). A STUDY AND REVIEW OF PRADHAN MANTRI MUDRA YOJANA (PMMY) IN THE STATE OF MAHARASHTRA. International Journal of Advance and Innovative Research, 1-7.

Table 1: Overall disbursal trend of PMMY in India

Duration	Amount Disbursed (in Rs. crores)
2015-16	132954.73
2016-17	175312.13
2017-18	246437.4
2018-19	311811.38
2019-20	329684.63
2020-21	311754.47
2021-22	331432.595
2022-23	450423.655

Source: https://financialservices.gov.in/

Table 2: Disbursal trends among 5 states over the years (Rs in crores)

YEARS	TAMILNADU	KARNATAKA	UTTAR PRADESH	WEST BENGAL	MAHARASTRA
ILAKS	Disbursal Amt	Disbursal Amt	Disbursal Amt	Disbursal Amt	Disbursal Amt
2015-16	15496.86	16469.43	11880.93	7740.41	13372.42
2016-17	17756.39	17290.7	14753.59	15480.03	16976.76
2017-18	24980.92	22500.67	21174.46	19970.76	22266.2
2018-19	33807.87	29345.44	24888.92	25892.29	25741.99
2019-20	34615.11	29702.91	29801.37	26457.87	27394.57
2020-21	28534.56	29785.29	27875.13	28529.86	24624.06
2021-22	32262.94	28374.92	32850.8	33949.81	25416.48
2022-23	43730.39	40746.09	47427.26	38353.86	36104.52

SOURCE: https://www.mudra.org.in/

Table 3

	TAMIL NADU	KARNATAKA	UTTAR PRADESH	WEST BENGAL	MAHARASTRA
Std Dev	8712.895726	7385.960932	10449.27166	9259.994647	6410.430939
Mean	28898.13	26776.93125	26331.5575	24546.86125	23987.125
CV	30.15037902 27.58329871		39.68345458	37.72374216	26.72446548





Jayaraksana et al.,

Table 4: TN's A/Cs & Disbursement Trends over the years(Rs in crores)

	TOTAL No. of ACCOUNTS AND AMOUNT DISBURSED OVER THE YEARS IN TAMIL NADU															
CATEGORY	CATEGORY 2015-2016 2016-2017 2017-2018 2018-2019 2019-2020 2020-2021 2021-2022													2022-2023		
	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED
SISHU	4506237	8231.68	5000285	10897.54	5366167	13237.23	6528577	18597.62	6401813	21205.44	4150574	13455.17	4667349	16613.04	3906300	13769.27
KISHORE	234824	4282.07	275564	4258.53	431666	7176.1	601720	8710.56	574390	7771.78	721372	9789.17	894274	10467.36	2416492	22562.67
TARUN	40506	2983.11	34008	2600.32	62332	4567.59	310365	6499.7	141463	5637.89	75786	5290.21	63523	5182.54	83721	7398.44

Table 5: KA's A/Cs & Disbursement Trends over the years(Rs in crores)

	TOTAL No.of.ACCOUNTS AND AMOUNT DISBURSED OVER THE YEARS IN KARNATAKA															
CATEGORY	ORY 2015-2016 2016-2017 2017-2018					17-2018	20	18-2019	2019-2020		2020-2021		2021-2022		2022-2023	
	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED
SISHU	4153714	9071.71	3546071	8166.92	4065431	10351.83	4913740	13428.73	4738282	14017.62	3466071	9998.03	3312243	9676.81	3846632	12692.75
KISHORE	264744	4744.94	332182	5402.85	434785	7177.23	722789	9764.81	861216	9850.88	1091077	12878.14	898314	12768.9	1652609	19285.78
TARUN	41151	2652.78	55325	3720.93	68277	4971.61	170407	6151.9	133629	5834.4	88048	6909.13	87924	5929.2	92825	8767.55

Table 6: UP's A/Cs & Disbursement Trends over the years(Rs in crores)

					T01	'AL No.of.ACCOU	NTS AND AN	MOUNT DISBURSE	D OVER THE	YEARS IN UTTAR	PRADESH					
CATEGORY	201	15-2016	20	16-2017	20	17-2018	2018-2019		2019-2020		2020-2021		2021-2022		2022-2023	
	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED
SISHU	3149078	5849.68	3076798	6756.77	3963399	8396.56	4441760	9954.05	5222319	13802.3	3898753	10016.97	4592780	12615.74	5042608	16291.05
KISHORE	160502	3325.18	213841	4388.28	362732	7171.13	445656	8318.56	542245	8806.14	737244	10461.37	1098459	12523.61	1629124	18740.63
TARUN	35802	2706.07	46908	3608.53	75086	5606.77	88545	6616.31	96858	7192.93	102455	7396.79	96743	7711.45	136989	12395.57

Table 7: WB's A/Cs & Disbursement Trends over the years (Rs in crores)

	TOTAL NO. OF ACCOUNTS DISBURSED OVER THE YEARS IN WEST BENGAL															
CATEGORY	20	15-2016	20	16-2017	20	17-2018	20	2018-2019		2019-2020		2020-2021		21-2022	2022-2023	
	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED
SHISHU	2487603	4086.8	4415268	10912.17	4497279	11909.15	5000300	14441.19	5719479	17357.48	4145668	9323.34	3872638	10555.12	3648648	11812.03
KISHORE	118927	2201.84	125457	2576.24	436208	5555.31	745479	8208.19	413590	5824.68	1251084	15636.53	1692375	19313.74	1675422	19002.89
TARUN	22018	1451.77	25780	1991.62	33799	2506.3	110269	3242.91	43460	3275.71	54168	3569.99	62218	4080.95	102846	7538.94

Table8: MH's A/Cs & Disbursement Trends over the years(Rs in crores)

TOTAL NO. of ACCOUNTS AND AMOUNT DISBURSED OVER THE YEARS IN MAHARASTRA																
CATEGORY	TEGORY 2015-2016 2016-2017		2017-2018		2018-2019		2019-2020		2020-2021		2021-2022		2022-2023			
	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED	No.of.A/Cs	AMT DISBURSED
SISHU	3337382	6616.11	3054130	6909.4	3145685	7980.26	3750570	10052.07	4131535	12110.75	2912303	7665.66	3306200	9312.02	3856944	11600.63
KISHORE	154441	3461.97	220662	4947.01	354818	7343.4	510249	8287.28	505154	7268.66	736733	9565.76	756828	9048.63	1268689	14377.2
TARUN	43242	3294.34	69362	5120.34	96117	6942.55	125162	7402.64	133199	8015.16	105127	7392.64	95024	7055.82	127691	10126.69





Jayaraksana et al.,

Table 9: Overall Distribution of MUDRA Loans to Women by Category (%)

Categorical Distribution of MUDRA Loans to Women (%)							
	SHISHU (%)	KISHORE (%)	THARUN(%)				
2015-16	80.10%	13.70%	6.20%				
2016-17	84.60%	11.20%	4.20%				
2017-18	78.90%	15.70%	5.40%				
2018-19	72.80%	19.87%	7.36%				
2019-20	76.50%	17.60%	5.90%				
2020-21	57.55%	38.02%	4.43%				
2021-22	54.28%	41.75%	3.98%				
2022-23	52.24%	42.67%	5.17%				

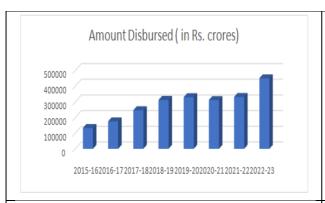


Figure 1: Overall Disbursal trend of PMMY in India

Source: https://www.mudra.org.in/

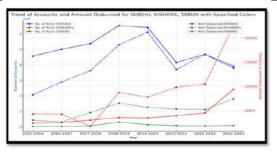


Figure 3: TN's A/Cs & Disbursement Trends over the years(Rs in crores) Source: https://www.mudra.org.in/

Figure 2: Trends in disbursal among five states over the years Source: https://www.mudra.org.in/

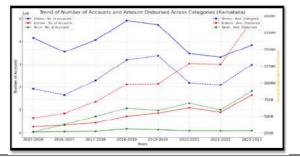


Figure 4: KA's A/Cs & Disbursement Trends over the years(Rs in crores) Source: https://www.mudra.org.in/





Jayaraksana et al.,

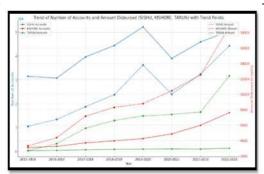


Figure 5: UP's A/Cs & Disbursement Trends over the years(Rs in crores) Source: https://www.mudra.org.in/

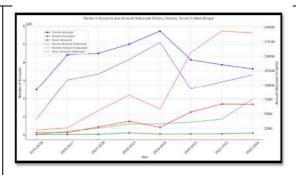


Figure 6: WB's A/Cs & Disbursement Trends over the years (Rs in crores) Source: https://www.mudra.org.in/

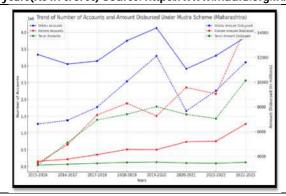


Figure 7: MH's A/Cs & Disbursement Trends over the years(Rs in crores) Source: https://www.mudra.org.in/

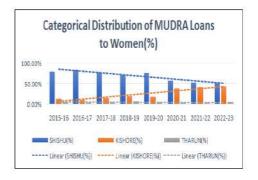


Fig. 8: Overall Distribution of MUDRA Loans to Women by Category (%) Source: https://www.mudra.org.in/





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Study on Attitude of Business Women: Growth and Competitiveness in Madurai District

R. Dilipan^{1*} and S. Theenathayalan²

Research Scholar (Full-Time), PG Department of Economics & Centre for Research in Economics, The Madura College (Autonomous), (Affiliated to Madurai Kamaraj University) Madurai, Tamil Nadu, India. ²Head & Associate Professor, PG Department of Economics & Centre for Research in Economics, The Madura College (Autonomous), (Affiliated to Madurai Kamaraj University) Madurai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

R. Dilipan

Research Scholar (Full-Time), PG Department of Economics & Centre for Research in Economics, The Madura College (Autonomous), (Affiliated to Madurai Kamaraj University) Madurai, Tamil Nadu, India



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study explores the attitudes of sampled business women in Madurai district towards growth and competitiveness. It aims to understand the factors influencing their entrepreneurial journeys, the challenges they encounter, and their perspectives on the business environment. Through in-depth interviews and surveys, the study collected data from a diverse group of women entrepreneurs operating in various sectors. The findings reveal that while women entrepreneurs in Madurai district are driven by a strong desire to attain financial freedom and contribute to their society. They have also faced significant problems that can hinder their growth. The problems namely lack of capital, societal and cultural barriers, lack of support networks, work-life balance struggles, and policy limitations. Despite these obstacles, many women entrepreneurs demonstrate resilience, adaptability, and a willingness to take risks. In order to address these problems and to improve the development of women entrepreneurs in Madurai district, it is recommended for several policy interventions by the government and NGOs. These include improving access to finance, enhancing support systems, promoting gender equality, and addressing work-life balance issues. This paper is focused to review and study the problems faced by women entrepreneur and to provide suitable solutions and policy recommendations to the government to empower the women entrepreneur in the study area.

Keywords: Entrepreneurship, Growth, Competitiveness, entrepreneur and Empowerment.





Dilipan and Theenathayalan

INTRODUCTION

In India for centuries, male entrepreneurs has been dominating predominantly in business, manufacturing and others. Whereas the women involvement in business is not up to the mark. However, in the recent past it observed that a growing emphasis on women's potential to contribute significantly to the nation's economic growth. Indian administrators and policymakers must devise innovative methods to inculcate entrepreneurial skills among women. Indian women entrepreneurs are having a very long history of challenging gender stereotypes, excelling in various fields traditionally dominated by men. From their roles as warriors and rulers to their current achievements in the corporate world, women have consistently demonstrated their capabilities and managerial prowess. Renowned for their attention to detail, dedication, and empathy, women possess qualities essential for successful entrepreneurship. These traits, often observed in their management of household affairs, belie the misconception that they are ill-suited for leadership roles. Indian women have proven to be excellent managers, capable of planning, budgeting, and executing strategies effectively in both personal life and professional life.

Growth of Women Entrepreneurs

In recent years, the entrepreneurial landscape of Madurai district has witnessed a notable surge in the number of women-owned businesses. This is because of several factors, including increased access to education, government initiatives supporting women entrepreneurs, and changing societal attitudes. Education has played a significant role in empowering women in Madurai district to pursue entrepreneurial ventures. With higher levels of education, women have gained the knowledge, skills, and confidence to explore business opportunities. Government initiatives such as self-help groups, microfinance schemes, and skill development programs have also provided essential support to aspiring women entrepreneurs. These initiatives have helped women access capital, acquire necessary skills, and build networks within the business community. Moreover, societal attitudes towards women's entrepreneurship have evolved positively. Traditional gender roles are gradually changing, and women are increasingly encouraged to pursue their career aspirations. This shift in mindset has created a more supportive environment for women entrepreneurs, allowing them to overcome societal barriers and pursue their business goals. Despite these positive developments, women entrepreneurs in Madurai district still face several challenges that can hinder their growth. One of the primary obstacles is access to capital. Obtaining loans and funding for business ventures can be difficult for women entrepreneurs, especially those from marginalized communities. Limited access to financial resources can restrict their ability to expand their businesses, hire employees, and invest in new technologies. Another challenge is the work-life balance struggle. Balancing the demands of running a business with family responsibilities can be particularly challenging for women. Societal expectations and cultural norms often place a disproportionate burden of domestic work and professional work on women. Furthermore, women entrepreneurs may face discrimination and gender bias in the business world. They may encounter challenges in networking, securing contracts, and accessing mentorship opportunities. It is suggested to implement the targeted policies and initiatives for women empowerment through local, state and central governments.

- Improved access to finance: Providing easier access to loans and funding for women-owned businesses through microfinance schemes, government grants, and venture capital funds.
- Enhanced support systems: Establishing mentorship programs, business incubation centers, and networking opportunities specifically designed for women entrepreneurs.
- Skill development and training: Offering targeted capacity building programs to equip women entrepreneurs with fundamental and necessary skills along with computer literacy to succeed in their businesses.
- Policy reforms: Implementing policies that promote gender equality and address discriminatory practices in the business world.
- Awareness campaigns: Raising awareness about the contributions of women entrepreneurs and challenging gender stereotypes.





Dilipan and Theenathayalan

By providing the basic needs and good governance the women entrepreneurs will move forward to take up new business ventures in Madurai district. There by women entrepreneurs contribute to the society in terms of employment and income generation in the study area.

Objectives

- To evaluate the growth trajectory and future prospects of women entrepreneurs in establishing businesses in Madural district
- To identify the problems faced by the women entrepreneurs in the Madurai District.

METHODOLOGY

An interview schedule was used to collect primary data from the women entrepreneurs in the study area relating to the prospects and problems. In order to collect data and information convenience method was applied. A sample of 50 respondents have been identified from the study area for collection of primary data. Secondary data was also used where ever necessary.

Tools of Analysis

The Garret value and scores of each rank is used in this study.

100 (Rij-0.5)

Per cent position = -----

Nj

Rij = Rank given for the i th variable by the j th respondent.

Nj = Number of variables ranked by the j th respondent.

RESULTS AND DISCUSSION

The entrepreneurial landscape of Madurai district has witnessed a burgeoning number of women-owned businesses in recent years. This growth is a testament to the increasing number of women who are breaking down traditional gender stereotypes and pursuing their entrepreneurial aspirations. However, despite the progress made, women entrepreneurs in Madurai district continue to face peculiar problems that that can impact their attitudes towards growth and competitiveness. This study aims to explore the attitudes of sampled business women in Madurai district towards growth and competitiveness. By understanding their perspectives, we can gain valuable insights into the factors influencing their entrepreneurial journeys and the challenges they encounter. This knowledge can inform policy decisions and support initiatives aimed at empowering women entrepreneurs and robust a more inclusive, balanced and sustainable business environment.

Prospects of Women Entrepreneurs to establish Business

The entrepreneurial landscape of Madurai district has witnessed a steady increase in the number of women-owned businesses in recent years. However, despite this growth, women entrepreneurs in the region continue to face multiple challenges that can delay their success the business. This study aims to explore the prospects of women entrepreneurs to establish businesses in Madurai district, considering the various issues that influence their entrepreneurial growth. By understanding these challenges and opportunities, we can develop targeted strategies to support women entrepreneurs and foster a more inclusive business environment. From table 1, it is revealed that all the five reasons are equally important for becoming an entrepreneur. The reason for becoming on entrepreneur the respondents favoured mostly in terms of financial improvement, risk taking / decision making followed by independent. It is observed that the data is based on a survey of a relatively small sample size (50 respondents) and may not be representative of the entire population of women entrepreneurs. It is observed that Personality Development / Skill utilization ranked placed as number I followed by Independent, Risk taking / Decision making, Social reorganization / standard of living and Financial Improvement. It is important to note that the table only





Dilipan and Theenathayalan

shows ranking based on the Garret ranking system. There may be other ranking system that order these factors differently.

Problems of Women Entrepreneurs

It has been identified and listed 16 problems faced by the women entrepreneurs in Madurai district. These challenges are multifaceted, stemming from societal, cultural, economic, and policy-related factors. From the table it is understood that problems faced by the women Entrepreneurs. Among all the problems financial problem and competition among / within the business communities are the major problems followed by family life balance, decision making, labour management, net working challenges and lack of confidence. The other problems are manageable problems expressed by the respondents in the study area.

CONCLUSION

Women entrepreneurship is the backbone of economic growth and development of any region. It is a multifaceted profession that is basically interesting, creative and enterprising. For centuries entrepreneurship has historically been a male-dominated one. But today women entrepreneurs plays a crucial role in business, manufacturing and MEMEs. The performance of women entrepreneurs seems to be remarkable and inspiring in Madurai district. Women's economic contribution through self-employment and industrial initiatives start up as increased attention from the NGOs and Government organizations. It is important to note that the problems and challenges faced by the women entrepreneurs in Madurai district can be reduced through policy changes and provision of loan without security along with subsidy and low rate of interest.

REFERENCES

- 1. Dileep kumar, M.(2006), Problems of Women Entrepreneurs in India. Retrieved 3 December 2010, from http://www.indianmba.com/Faculty column/FC293/fc293.html
- 2. Moses, C., & Amalu, R. (2010). Entrepreneurial motivations as determinants of women entrepreneurship challenges. Petroleum-Gas University of Ploiesti Bulletin , (2), 67-77.
- 3. Nayyar. P (2007) " causes and constraints faced by women Entrepreneurs in Entrepreneurial process journal of social sciences 14 (2): 99-102 (2007)
- 4. Sharma, Y. (2013). Women entrepreneur in India. IOS R Journal of Business and Management, 15 (3), 9-14.
- 5. Shikha Mahajan (2013), "women Entrepreneurship in India," Global journal of management and business studies. ISSN 2248-9878volume 3 Number 10 (2013) pp. 1143-1148 Research India Publications http://www.ripublication.com

Table 1: Reasons for Becoming Women Entrepreneurs

Particular	Ī	Ш	Ш	IV	V	Total
1.Social Reorganization \standard of living	8	6	5	11	20	50
2.Financial Improvement	6	11	12	15	3	50
3.Personality Development\ Skill utilization	11	15	10	7	8	50
4. Risk taking\ Decision Making	14	8	20	3	7	50
5. Independent	11	10	3	14	12	50
Total	50	50	50	50	50	50

Source: primary data





Dilipan and Theenathayalan

Table 2: Percent Position

SI. No	Percent position	Calculate Value	Garret Value
1	100(1-0.5) 5	10	75
2	100(2-0.5) 5	30	60
3	100(3-0.5 5	50	50
4	100(4-0.5 5	70	39
5	100(5-0.5) 5	90	24

Source: primary data

Table 3 : Source of Prospects of Women Entrepreneurs- Garret Score

Particular	ı	П	Ш	IV	V	Total		
1	600	360	250	429	480	2119		
2	450	660	600	195	72	1977		
3	825	900	500	273	192	2690		
4	1050	480	1000	117	168	2815		
5	825	600	150	546	288	2409		

Source: primary data

Table 4: Factor Influencing for Becoming for Women Entrepreneurs- GARRET Ranking

SI. No.	Source of Women Entrepreneurs	Total score	Average	Rank
1	Social Reorganization \standard of living	2119	42.38	IV
2	Financial Improvement	1977	39.54	V
3	Personality Development\ Skill utilization	2690	53.8	I
4	Risk taking \ Decision Making	2815	43.7	Ш
5	Independent	2409	48.18	Ш

Source: primary data

Table 5: Problems of Women Entrepreneurs

Tuble 0.1 Tuble 113 01 Women Entrepreneurs							
SI. No.	Problems of women entrepreneur	Yes	No				
1	Financial	47	3				
2	Travel	8	42				
3	Communication	5	45				
4	Labour Management	13	37				
5	Decision Making	17	33				
6	Family life Balance	23	27				
7	Supply	12	38				
8	Gender	6	44				
9	Mental Barriers	12	38				
10	Education and Managerial skill	6	44				
11	Network challenges	10	40				
12	Competition	42	8				
13	Lack of confidence	10	40				





Dilipan and Theenathayalan

14	Social culture barriers	9	41
15	Role of confidence	9	41
16	Low mobility	6	4





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Determinants of MSME Growth in a Developing Region: a Case Study of Madurai District, Tamil Nadu

S.Karthikeyan¹ and S.Senthilkumar²

¹Associate Professor, Department of Economics & Centre for Research in Economics, The Madura College (Autonomous), Madurai.

²Assistant Professor and Head, Department of Economics, Cardamom Planters' Association College, Bodinayakanur, (Affiliated to Madurai Kamaraj University, Madurai), Theni, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence S.Karthikeyan

Associate Professor,

Department of Economics & Centre for Research in Economics,

The Madura College (Autonomous), Madurai.

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study investigates in the factor influence the development of MSMEs in Madurai district, a developing region in India. By employing a mixed-methods approach, combining quantitative and qualitative research techniques, we explore the determinants of MSME growth in this context. Access to Finance: MSMEs in Madurai district face significant challenges in obtaining adequate financing, hindering their growth potential. Infrastructure Development: The availability of quality infrastructure, including transportation and communication facilities of MSME growth. Government Policies: Supportive government policies, such as tax incentives, subsidies, and credit facilities, can significantly boost MSME growth. Innovation and Technology: MSMEs that adopt innovative practices and technologies are more likely to achieve higher growth rates. Human Capital: The availability of skilled labor and entrepreneurial talent is essential for MSME development. Market Access: Access to domestic and international markets is a serious factor in determining MSME growth. Policymakers should focus on improving access to finance, enhancing infrastructure development, implementing supportive government policies, fostering innovation, investing in human capital development, facilitating market access and MSME growth and contribute to the overall economic growth of the region.

Keywords: MSMEs, Entrepreneurs, Growth, Development and Finance.





Karthikeyan and Senthilkumar

INTRODUCTION

In the MSMEs cooperate a pivotal task in the financial growth of developing regions, providing employment opportunities, fostering innovation, and contributing to the overall growth of the local economy. It influence MSME growth entrepreneurs skills identical. This study delves into the determinants of MSME growth in Madurai district, a representative district in the developing Indian state of Tamil Nadu. Madurai district, with its rich historical and cultural heritage, has witnessed a significant expansion of its MSME sector in recent years. However, the growth trajectory of MSMEs in this region are complex interplay of factors, including economic, social, and institutional variables. By examining these determinants, the research will employ a mixed-methods qualitative and quantitative research techniques and analysis of survey data collected from MSMEs in Madurai district, exploring the relationship between various factors and MSME growth. Qualitative research will involve in-depth interviews with MSME owners, policymakers, and industry experts and the challenges and opportunities of business sectors.

REVIEW OF LITERATURE

Asghar, Nawaser, Paghaleh and Khaksar (2011) evaluated the government policies with respect to the MSMEs and the performance of these enterprises in relation to these policies and assistance and also concentrate on entrepreneurship within the Micro, Small and Medium-sized enterprises addition, they also consider the contribution of MSMEs towards country's employment generation. Liji Jolly in his article on "Globally, micro, small, and medium-sized enterprises (MSMEs) are acknowledged as a crucial component of national economies, making a substantial contribution to the growth of employment and the reduction of poverty. This includes government policies and tiny sectors in India. Acknowledging the significance of micro and small businesses, which constitute a significant portion of the Indian economy the role in employment, exports, industrial production, and an entrepreneurial foundation Development and promotion for modern era describes as "the most employment-intensive segment".

OBJECTIVE

To analyze the growth rates of MSME factors influencing Madurai district.

METHODOLOGY

An analytical one and comprises of both the primary information and secondary records and publications and documents such as annual reports, census reports, small Industries Service Institute (Now MSMEs Development Institute). District Industries Centre, District Statistical Office and various Statistical Handbooks, journals like Asian Research Consortium, Indian Journal of Agricultural Economics and International Journal of Management Research and Business Strategy, Magazines like Economics and School of Management Studies, CUSAT books like organization and Management of Small-Scale Industries, Himalaya Publishing Home in Micro Enterprises and periodicals. The eeconomics of MSME in Madurai district, 100 respondents were selected by adopting the proportionate probability random sampling method. The factors (i) Cell phone and accessories shops, (ii) Stationary and Xerox shops, (iii) Bakery and sweets Shops (iv) Furniture shops, (v) Sales and service of Motor cycles shops and (vi) Miscellaneous and which included all other enterprises. The following tools are multiple regression formula models are used in the study as follows:

Log~y = $\beta 0$ + $\beta 1~log~X$ 1+ $\beta 2~log~X2$ +.... $\beta 10~log~X10$ + u. where

Y = Total growth scale value for ten components (in Nos.)

X1 = Initial capital

X2 = Fixed investment, Rs. in lakhs X3 = Owned fund, Rs. in lakhs

X4 = Borrowed capital, Rs. in lakhs X5 = Working capital

X6 = Term loans

X7 = Employment generation X 8 = Value of products





Karthikeyan and Senthilkumar

X9 =Sales turnover X10 =Net profit $\beta 0 \beta 1 \dots \beta 10$ are the parameters to be estimated.

RESULTS AND DISCUSSIONS

It measured the quantity the increase of MSMEs with the assist of a scale built with the aid by the research. In the examined, ten additives have been diagnosed to measure the growth of MSMEs and they shape the basic of measurement. All the 10 additives are accountable both partially or completely for the 100 boom of the MSMEs. In this table 1 shows the level of growth value of MSMEs. As shown in Table 1, the coefficients of difference are 9.91 percent for high-level growth, 7.06 percent for medium-level growth, and 12.52 percent for low-level growth. It is inferred that MSMEs with medium-level growth are more consistent in those with high-level growth. In the calculated outcomes of growth rate of MSMEs in table 2. It is inferred that the table 2 that the co-efficient of R² value into 0.5315 indicating 53.15 consistent with cent variant in increase of MSMEs degree of freedom. It step with cent boom in those 4 variables may want to growth boom scale with the aid of 0.2916 according to cent, 0.2819 in step with cent, 0.1132 according to cent and 0.2216 consistent with cent.

CONCLUSION

Empirical evidence gathered through the case study of MSMEs in Madurai district, several key determinants emerged as influential factors in their growth and development. The findings suggest that a combination of strategic initiatives, supportive policies, and favorable market conditions has played a pivotal role in fostering the expansion of these enterprises. The study revealed a notable rise in job creation within the MSME sector. This growth in employment has not only alleviated unemployment rates but has also stimulated local economic activity and consumer spending. Furthermore, the total investment made by MSMEs in Madurai district has witnessed a substantial surge. Directed towards modernizing production facilities, upgrading technology, and expanding business operations. Such investments have enhanced the efficiency and competitiveness of MSMEs, enabling them to cater to a wider market and capture new business opportunities. The sales performance of MSMEs in Madurai has also been impressive. The study observed a significant increase in both sales and revenue. This growth in sales can be attributed to factors such as improved product quality, effective marketing strategies, and a growing demand for MSME- produced goods and services. In particular, the motorcycle shops operating within the MSME sector in Madurai have demonstrated commendable progress. These businesses have exhibited higher levels of efficiency and have successfully met the increasing demand for motorcycles and related services. This growth can be attributed to factors such as skilled workforce, reliable supply chains, and competitive pricing. Many MSMEs struggle to secure adequate funding to expand their operations, invest in new technologies, and meet working capital requirements. This lack of financial resources can constrain growth and limit their ability to compete effectively. Another significant challenge faced by MSMEs is the difficulty in selling their products and services. Market access, distribution channels, and brand recognition can be obstacles for smaller enterprises. Limited marketing resources and a lack of awareness about their offerings can hinder their ability to reach potential customers and generate sales. Moreover, technical guidance and support are often lacking in the MSME sector. Many entrepreneurs may require assistance in areas such as technology adoption, quality control, and business management. The absence of adequate technical support can limit the growth potential of MSMEs and hinder their ability to innovate and remain competitive. In conclusion, the case study of MSMEs in Madurai highlights the multifaceted factors influencing their growth and development. While the region has witnessed significant progress with employment generation, investment, sales, finance, market access, and technical guidance persist and the ensuring the continued growth of MSME sector in Madurai district.





Karthikeyan and Senthilkumar

SUGGESTIONS FOR ENHANCING MSME GROWTH IN MADURAI DISTRICT Financial Support

- Loan Programs: Implement targeted loan programs with flexible repayment terms and lower interest rates to address the financial constraints faced by MSMEs.
- Credit Guarantee Schemes: Establish credit guarantee schemes to mitigate the risk faced by lenders, thereby increasing access to finance for MSMEs.
- Venture Capital and Angel Investing: Promote venture capital and angel investing to provide equity financing for innovative MSMEs with high growth potential.

Market Access and Promotion

- Trade Fairs and Exhibitions: Organize regular trade fairs and exhibitions to facilitate B2B and B2C interactions, and enhance market visibility for MSMEs.
- Online Marketplaces: Develop or support online marketplaces specifically designed for MSMEs to connect them
 with a wider customer base.
- Branding and Marketing Assistance: Provide branding and marketing assistance to MSMEs, including training programs and subsidies for marketing activities.

Capacity Building and Skill Development

- Training Programs: Offer vocational training programs to enhance the skills of MSME workers, improving productivity and quality.
- Business Management Workshops: Conduct business management workshops to equip MSME entrepreneurs with essential skills such as financial management, marketing, and operations.
- Technology Adoption Support: Provide technical assistance and subsidies for MSMEs to adopt modern technologies and improve their competitiveness.

Infrastructure Development

- Industrial Parks: Establish well-equipped industrial parks with essential and transportation, to attract MSMEs.
- Technology Incubators: Create technology incubators to foster innovation and provide support services to startup MSMEs.
- Improved Connectivity: Enhance connectivity through improved roads, railways, and telecommunications infrastructure to facilitate services.

Policy Reforms

- Regulatory Simplification: Streamline regulatory procedures and reduce bureaucratic hurdles to improve the ease of doing business for MSMEs.
- Tax Incentives: Provide tax incentives and exemptions to MSMEs to encourage investment and growth. By implementing these suggestions, Madurai district can create a more conducive environment for MSME growth, leading to increased employment opportunities, economic development residents.

REFERENCES

- Asghar, Nawaser, Paghaleh and Khaksar (2011): 'The Role of Government Policy and the Micro, Small and Medium-sized Enterprises in India: An Overview', Australian Journal of Basic and Applied Sciences, Vol.5, pp. 1563-1571.
- 2. Comacchio, "Innovation, complementarities and performance in Micro/Small Enterprises", *International Journal of Entrepreneurship and Innovation Management*, January 2015, pp.5-28.
- 3. Jayapalan, Indian Society and Social Institutions, Atlantic Publishers. November 2007.





Karthikeyan and Senthilkumar

- 4. Liji Jolly, Government Policy and Small Sectors in India, *International Journal of Physical and Social Science*, May 2014, vol.No.4.pp.41-43.
- 5. Malcolm Harper, "Public Services through private Enterprise: Micro-privatization for Improved Delivery", Vistaar Publication, New Delhi, 2000.
- 6. Mishra, J.N.Micro Enterprise and Cottage Industries in Sangor District, Singhai Majital and Sons, Jabalpur, 1980.
- 7. Morris, Basant, Das, Ramachandran & Koshy. (2001): "The Growth and Transformation of Small Firms in India", Oxford University Press, New Delhi.
- 8. Paramasivan, C. (2013): "Progress and Performance f Micro/Small/Medium Enterprises in India," Vol. 2, Issue 4, April.

Table 1: Score Values in Level of Growth of Msmes

SI. No	Level of Growth	Number of MSMEs	Total Score	Х	S.D.	C.V. %
1.	High	20	3861.21	19	8.14	9.91
2.	Medium	52	6621.46	35	3.64	7.06
3.	Low	28	2491.36	38	3.93	12.52
		100	12974.23	52.69	4.16	7.99

Table 2 : Indicates the Growth Rates of MSMEs in Madurai District

Variables		Parameter Estimates	
Valiables	High Level	Medium Level	Low Level
Intercept	1.9631	2.1514	2.0129
Log X1	0.0745	0.1015	0.0081
	(0.1315)	(0.0951)	(0.0036)
Log X2	0.2916*	0.2931*	0.1939*
	(2.1916)	(3.0181)	(2.7315)
Log X3	0.1131*	0.2131*	0.1346
	(0.1211)	(2.2411)	(0.9531)
Log X4	0.2819*	0.2231*	0.3211*
	(3.1521)	(2.0511)	(4.0149)
Log X5	0.1132	0.1131	0.0198
	(1.9916)	(0.0345)	(1.0091)
Log X6	0.0816	0.1036	0.0113`
	(0.1719)	(0.1131)	(0.1431)
Log X7	0.1311*	0.3435*	0.2219*
	(0.0321)	(2.1131)	(3.1921)
Log X8	0.1031*	0.1315	0.0646
	(0.0315)	(0.0346)	(1.0018)
Log X9	0.2216*	0.1116	0.1362
	(2.9561)	(0.1316)	(0.1041)
Log X10	0.1321*	0.1326	0.1321
	(0.0631)	(0.2116)	(0.0921)
R ²	0.5315	0.5541	0.5121
F-Value	27.4511	32.6311	19.261
No of Observation	20	52	28

t-values for brackets.

(*) co-efficients the 5% level significant.





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

The Role of Innovation in the Modern Era: Insights into Autonomous Vehicle Adoption in Bengaluru

Avani Karkare¹ and Shweta Sharma²

Student, Department of Economics, Mount Carmel College, Bengaluru, Karnataka, India. ²Assistant Professor, Department of Economics, Mount Carmel College, Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Avani Karkare

Student, Department of Economics, Mount Carmel College, Bengaluru, Karnataka, India. Email: karkareavani21@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Artificial intelligence has seeped into all sectors of the economy and is transforming how systems and businesses operate. It increases efficiency in healthcare, education, finance, manufacturing, and retail not just this, but even in the automobile industry, where artificial intelligence is very prominent. Autonomous vehicles use artificial intelligence, machine learning, and sensor technologies. Self-driving cars operate without requiring or limiting human drivers' involvement in taking over. By removing the variability associated with human drivers, self-driving cars can greatly increase highway capacity. The study aims to find the factors affecting behavior intention towards AV. With a sample size of 251 sample, SEM, specifically the PLS tool, was used to verify the proposed theoretical model. The most significant variable in deciding the behavior intention toward autonomous vehicles is the utilitarian motive (UM), which means people will buy autonomous vehicles if they get better utility than conventional, manual automobiles. Other important factors having a positive and significant impact on the BIU Avs are environmental Benefits, PIIT, and Economic Benefits. One of the important factors for refraining from using autonomous vehicles are technology anxiety, which means that people who have technology anxiety is likely to refrain from buying autonomous vehicles. India is prepared to allow autonomous vehicles on the roads, much like most affluent nations. However, policy encouragement of research and development are required to make this happen. This would contribute to India's development while lowering the number of traffic accidents, reducing congestion, lowering parking costs, and Fuel Saving.

Keywords: AV-autonomous vehicles, behavior intention to use, PLS-SEM.





Avani Karkare and Shweta Sharma

INTRODUCTION

Al is changing the world in numerous ways by transforming industries, economies, and daily lives. This is one of the greatest technological revolutions as Al is enabling machines to perform tasks that usually require the intelligence and decision-making skills of humans. One such exceptional application of Al is automobile is in the automobile field that is autonomous vehicles. Autonomous vehicles are self-driving vehicles, and they operate without human intervention. It uses technologies like machine-learning algorithms, and sensor technologies like LiDAR, radar, and cameras research has found that autonomous helps to reduce the chances of accidents andhelp to manage traffic by making real-time decisions and navigating complex environments. There are six levels of autonomous as defined by the Society of Automated Engineers (SAE) ranging from level, 0 to level five, and levels one and two are widely used.

Autonomous Vehicles in the Present Day

With leading advancements of companies like Tesla, Waymo, and Uber AV has developed from basic to real-world application. Tesla provides a semi-autonomous driving experience while Waymo gives a fully auto-driving experience in a few regions. In 2019, there were 31 million autonomous vehicles on the road, and it's predicted that by 2035, it will be around 50 million the value of the global autonomous vehicle market is worth \$33.45 million as of 2023. China, Japan, and Singapore are the top three Asian countries in terms of AV testing, despite infrastructure and regulatory barriers. Despite challenges like poor infrastructure and convoluted traffic, India is becoming a significant competitor because of companies like Minus Zero that are creating regional solutions like Z Pod, India's first AV. The government of India is also supporting AV's adoption as a sustainable transportation option. In Indian automobile industries like Mahindra & Mahindra and Tata Motors, are also investing in AV technologies like advanced driver assistance systems (ADAS) and systems to avoid collisions. India's automotive sector contributes significantly to GDP, is expected to grow with the introduction of AV and by 2026 is projected to hit \$300 billion. As autonomous vehicles (AVs) advance, they are expected to yield environmental advantages, reduce traffic, and enhance safety. Countries like Asia and India might greatly improve their economies by integrating autonomous vehicles (AVs) into their transportation networks, there are challenges to be solved including public acceptance, infrastructure development, regulatory considerations, and technical readiness.

REVIEW OF LITERATURE

Behavioral Intention to Use(BIU)

According to Ji and Choi's 2015 study behavioral intention to use an information technology depends upon the perceived utility and ease of use. Many studies (Chau & Hu, 2002; Sheppard, Hartwick, & Warshaw, 1988; Wu, Shen, Lin, Greenes, & Bates, 2008) have found that Behavioral intention, as opposed to actual usage, is a particularly useful dependent variable when studying the early adoption of technological systems. Jing et al. 2019 found that individuals who are young, educated, middle-class, and people who live in cities are more likely to are more likely to use Avs.

Personal information technology innovativeness(PIIT)

Innovativeness refers to the degree to which an individual relatively adopts innovation earlier than another individual as a member of the social system (Rogers & Shoemaker, 1971). PIITwas created to quantify a person's inclination to experiment with any information technology (Agarwal & Prasad, 1998). Simarmata&Hia, n.d., 2020 haveshown in their empirical study that the use of Information technology, over time increases intention to use the technology. Agarwal & Prasad, 1998 concluded that the willingness of one person to experiment with advanced technology increases the PIIT. Similarly to, research on personal innovativeness positively correlates with intending to use location-based services (LBS) to give prospective users marginally supported results (Xu & Gupta, 2009). Hwang, 2014 has highlighted the significance of the PIIT construct in elucidating people's attitudes to the use of technology.





Avani Karkare and Shweta Sharma

 H_{1a} – Personal Innovativeness in Information Technology (PIIT) has a positive impact on the Behavioural intention to purchase an AV.

Utilitarian motivation

Motivation is an internal state that propels a person's willingness to act and forces them to satisfy their basic needs (Ryan and Deci, 2000). Utilitarian motivation refers to purchasing a product for functional and economic benefits. The primary objective of utilitarian systems is to give the user instrumental value, such as knowledge to complete a task (Van Der Heijden, 2004). We conclude that utilitarian benefits (like perceived usefulness and performance expectancy) are important considerations when deciding whether to accept AVs (Kasper & Abdelrahman, 2020). H_{1b} – Utilitarian Motives positively impact the Behavioural intention to purchase AV.

Economic benefits

A benefit can be expressed numerically as an amount of money that will be saved or generated as the result of an action. Since actual usage of AV technology cannot yet be tested due to its limited availability, the empirical research reviewed in the previous studies only looks at the BIU as the outcome variable and does not investigate its further outcomes (Keszey, 2020). Fagnant & Kockelman, 2014 found that Avs have many economic benefits including savings from fewer crashes, reduced congestion costs, and saved parking costs. Both new and established car manufacturers conduct the development and manufacturing of autonomous vehicles (AVs). With a global market of 44 million vehicles, it is anticipated that by 2035 there will be 75% more autonomous vehicles than there were in 2030, which will have a 71-billion-dollar economic influence on the automotive sector (Curto et al., 2021). H_{1c} – Economic Factors positively impact the Behavioural intention to purchase AV.

Technological Anxiety(TA)

Technological anxiety is the propensity for people to feel uneasy, nervous, or afraid when utilizing cutting-edge technological products like AV(Igbaria et al., 1996). Customers who are truly worried about technology are less likely to interact with complex products.(Meuter et al., 2003). Concerns about data security and privacy (such as GPS tracking and smartphone access) are also covered by anxiety. Among the most widely recognized obstacles to technology adoption are resistance to technology and the incapacity to accept new technologies (and the changes they bring about in users' lives) (Davis, 1993, Keszey, 2020, Zmud et.al. 2016). H_{1d} -Technological anxiety has a negative impact on the behavioral intention to purchase AVs.

Data privacy Concerns

Keszey 2020, found that data privacy is one of the most important factors affecting Behavioral intention to use Avs. For instance, a recent study showed 93% of the Participants voiced worries about data privacy, with identity theft and fraud being the most serious issues (Clement, 2019). Concerns about data privacy relate to an individual's susceptibility as an outcome of losing control over how personally identifiable information is managed by third parties, like businesses or organizations.(Martin et al., 2017). People would only plan to use AVs if they were related, and connected technology offers adequate security and privacy protection for data (Panagiotopoulos and Dimitrakopoulos, 2018). The biggest concern regarding AV is the possibility of safety problems caused by the fear of hacker attacks, unauthorized tracking, and misuse of personal information(König & Neumayr, 2017). Data privacy concerns can be reduced by providing strong data privacy protection to Avs users. H_{1e} – Data Privacy has a negative impact on the Behavioural intention to purchase AV.

Environment Benefit

Hao and Yamamoto (2018) in their review study have highlighted the benefits of Avs and SAVs. Avs can lower the greenhouse effect, reducing congestion by optimizing the routes and reducing ownership of vehicles through SAVs. H_{1f} – The environmental benefit has a significant impact on the Behavioural intention to purchase an AV. Since the world is rapidly growing and evolving in terms of technology there is a major need to check people's preferences related to the automobile industry. Moreover, Autonomous vehicles have the scope to solve many personal travel problems varying from Safety, reducing congestion, lowering parking costs, safety, and Fuel Saving. There is much





Avani Karkare and Shweta Sharma

literature that explains AVs, but not many Indian studies have been done in this field. Though Autonomous vehicles are not available in India, it is important to know the Behavioral attitude towards Autonomous vehicles that will be an incentive for the Government to come up with a policy on AVs that is data-driven Vehicle and can provide important insight to car manufacturing firms. The current study objective is to explore and provide an assessment of factors affecting the behavioral intention to use level 3 Avs among the citizens of Silicon Valley (Bengaluru) of India.

Theoretical Framework

The study is based on the theoretical framework of the Unified Theory of Acceptance and Use of Technology, introducing the UTAUT2 model developed by Venkatesh in 2012. This model is developed to understand the factors affecting the acceptance of new information technologies by its users. The UTAUT2 model includes many factors like Performance Expectancy, Effort Expectancy, Social Influence, Facilitating Conditions, Hedonic Motivation, Price Value, and Habit, those are factors that explain the user's decision to adopt and use the technology (Venkatesh, 2012).

Data Analysis

This study develops a key research question: What are the key factors influencing the behavioral intention to use Autonomous Vehicles (AVs) among citizens of Silicon Valley (Bengaluru), India? And covers Behavioral intention to use (BIU) Avs, a fundamental component of the UTAUT2(Venkatesh, 2012). The study used 18 items for seven constructs and modified them to be relevant to the research. After a pilot study was completed on 30 Bengaluru samples, a reliability test using Cronbach's alpha was performed on the questionnaire. All constructs were evaluated using reflecting modeling, followed by PLS-SEM in smart PLS, a commonly used multivariate analytical process (Hair et al., 2019). Primary data was collected from 251 citizens varying ages between 18 to 50 years in Bengaluru and was used to arrive at various results and draw conclusions from the same. The descriptive Statistic is given in Table 2

Assessment of measurement model

Before the structural model was assessed, Confirmatory Factor Analysis (CFA) and Discriminant validity were established. All the values show satisfactory results. In conclusion, there is no issue of reliability, validity, or multicollinearity. The model specifies causal relationships between all constructs of interest. Path coefficient and R2show that data supported the hypothesized model. The analysis was performed on the complete sample of 251 shown in fig 1 and Table 4. The results in Table 4, indicate that PIIT has positive significant effects (β =0.198**, p<0.00), utilitarian motivations have significant, positive effects (b = 0.258 p <.000); Economic benefits have a positive significant effect (b= 0.113, P<0.05); technological anxiety has a significant negative effect (b =-0.252, P<0.00) and Environmental benefits have a significant positive effect (b=0.225, P<0.00) but data privacy has a negative and insignificant effect on the BIU. Hence H1a ,H1b,H1c,H1d and H1f are accepted but H1eis rejected . The results show that out of six factors, five factors have significantly accounted for 36.8% of the variance in behavioral intentions to use Avs. The results have shown that PIIT has a positive significant impact on the BIU as a result aligned with the studies of Agarwal & Prasad, 1998, Simarmata & Hia, n.d., 2020, Xu & Gupta, 2009. Thus, the willingness of one person to experiment with advanced technology increases the BIU Avs. Hypothesis H_{1c} BIU Avs will positively impact the economic benefits of Avs as Avs will lead to an increase in savings from fewer crashes, reduced congestion costs, and saved parking costs (Keszey, 2020, Fagnant & Kockelman, 2014). To encourage the use of Avs, (H₁₇) the environmental Benefit has a positive and significant (b=0.225") impact on the BIU Avs, as highlighted in the study by Hao and Yamamoto (2018).

DISCUSSION

The aim is to explore the role of Data privacy, Economic benefit, Environmental benefit, PIIT, Technological anxiety, and Utilitarian motivations in determining the behavioral intention to use Avs. The examination results show that the economic benefit, environmental benefit, PIIT, technological anxiety, and utilitarian motivation factors are statistically significant and have a positive impact on predicting the behavioral intention of the user. Empirical results of the studies have proposed new antecedents as utilitarian motivation, Environmental benefits, and economic





Avani Karkare and Shweta Sharma

benefits have the maximum impact on the BIU Avs that satisfies the UTAUT2. The results have shown that the most important factor affecting the BIU is the Utilitarian Motivation of the Avs. Therefore, there will be a strong desire among users to use the Avs if they perceive them to be beneficial. Technological anxiety harms BIU Avs. The results aligned with the prior studies (Zmud et.al, 2016). To reduce technological anxiety there is a need to have strong data privacy and safety laws. It is important to highlight the methodological limitations of the study that may limit the generalizability of the results. Several questions about Behavioral intention to use Avs thus need further attention. The study can be extended to examine how respondents' demographic, impact their opinions and attitudes towards the Use of Avs. The above research is restricted to seven factors only but there are other factors like hedonic motivation, and the price of Avs will also affect the BIU Avs.

Policy Recommendations

The study contribute to the knowledge of the policymakers and the firms looking for the opportunity for the manufacture and selling of Avs in India, specifically in Bengaluru. This study affords insights for car manufacturers and self-sustaining gadget designers to meet user wishes and enhance offerings. Understanding the elements influencing consumer recognition of autonomous technology is critical for advertising and marketing corporations to allocate sources correctly, given the tremendous investments in those systems. For example, technical anxiety has a significant negative impact on BIU. AV vehicle stakeholders can organize sessions to make users aware of safety measures, cost-effectiveness, and robustness of AVs. Most of the developed countries, like China, the UK, and Singapore are using and improving on AVs, and India too has huge scope for AVs as the car market grew by 26.7% in FY 23. But to bring AVs to the roadthere is a need to havea data-privacy policy for the safety and privacy of the user data and infrastructural development is required.

REFERENCES

- 1. Agarwal, R., & Prasad, J. (1998). A conceptual and operational definition of personal innovativeness in the domain of information technology. Information systems research, 9(2), 204-215.
- 2. Lin, J. S. C., & Chang, H. C. (2011). The role of technology readiness in self-service technology acceptance. *Managing Service Quality: An International Journal*, *21*(4), 424-444.
- 3. Choi, J. K., & Ji, Y. G. (2015). Investigating the importance of trust on adopting an autonomous vehicle. *International Journal of Human-Computer Interaction*, *31*(10), 692–702.
- 4. Clement, J. (2019). Most concerning issues about data privacy according to mobile users in the United States as of April 2019. *Harvard Business Review*. https://www.statista.com/statistics/248488/frequency-with-which-us-internet-users-worry-about-online-privacy/#statisticContainer
- 5. Curto, S., Severino, A., Trubia, S., Arena, F., & Puleo, L. (2021). The effects of autonomous vehicles on safety. *AIP Conference Proceedings*. https://doi.org/10.1063/5.0047883
- 6. Davis, F. D. (1989). Perceived usefulness, perceived ease of use, and user acceptance of information technology. *Management Information Systems Quarterly*, *13*(3), 319.
- 7. Davis, F. D. (1993). User acceptance of information technology: System characteristics, user perceptions, and behavioral impacts. *International Journal of Man-machine Studies, 38*(3), 475–487. https://doi.org/10.1006/imms.1993.1022
- 8. Fagnant, D. J., &Kockelman, K. M. (2014). Preparing a nation for autonomous vehicles: Opportunities, barriers, and policy recommendations. *Transportation Research Part A.* https://www.caee.utexas.edu/prof/kockelman/public_html/TRB14EnoAVs.pdf
- 9. Fagnant, D. J., &Kockelman, K. M. (2015). Preparing a nation for autonomous vehicles: Opportunities, barriers, and policy recommendations. *Transportation Research Part A: Policy and Practice*, 77, 167-181.
- 10. Hair, J. F., Risher, J. J., Sarstedt, M., & Ringle, C. M. (2019). When to use and how to report the results of PLS-SEM. In European Business Review (Vol. 31, Issue 1, pp. 2–24). Emerald Group Publishing Ltd. https://doi.org/10.1108/EBR-11-2018-0203





Avani Karkare and Shweta Sharma

- 11. Hao, M., & Yamamoto, T. (2018). Shared autonomous vehicles: A review considering car sharing and autonomous vehicles. *Asian Transport Studies*, *5*(1), 47-63.
- 12. Hegner, S. M., Beldad, A., & Brunswick, G. J. (2019). In automatic we trust: Investigating the impact of trust, control, personality characteristics, and extrinsic and intrinsic motivations on the acceptance of autonomous vehicles. *Human-Computer Interaction*, 35(19), 1769–1780.
- 13. Hörl, S., Ciari, F., &Axhausen, K. W. (2016). Recent perspectives on the impact of autonomous vehicles. *HAL (Le Centre Pour La Communication ScientifiqueDirecte)*. https://hal.archives-ouvertes.fr/hal-03208831
- 14. Hwang, Y. (2014). User experience and personal innovativeness: An empirical study on the Enterprise Resource Planning systems. *Computers in Human Behavior*, *34*, 227–234. https://doi.org/10.1016/j.chb.2014.02.002
- 15. Igbaria, M., Parasuraman, S., & Baroudi, J. J. (1996). A motivational model of microcomputer usage. *Journal of Management Information Systems*, *13*(1), 127–143. https://doi.org/10.1080/07421222.1996.11518115
- 16. Jing, P., Huang, H. C., Ran, B., Zhan, F., & Shi, Y. (2019). Exploring the factors affecting mode choice intention of autonomous vehicles based on an Extended Theory of Planned Behavior—A case study in China. *Sustainability*, 11(4), 1155.
- 17. Kapser, S., & Abdelrahman, M. (2020). Acceptance of autonomous delivery vehicles for last-mile delivery in Germany: Extending UTAUT2 with risk perceptions. *Transportation Research Part C: Emerging Technologies, 111,* 210–225. https://doi.org/10.1016/j.trc.2019.12.016
- 18. Keszey, T. (2020). Behavioural intention to use autonomous vehicles: Systematic review and empirical extension. *Transportation Research Part C: Emerging Technologies, 119*, 102732. https://doi.org/10.1016/j.trc.2020.102732
- 19. König, M., & Neumayr, L. (2017). Users' resistance towards radical innovations: The case of the self-driving car. *Transportation Research Part F: Traffic Psychology and Behaviour, 44*, 42–52. https://doi.org/10.1016/j.trf.2016.10.013
- 20. Litman, T. (2020). Autonomous vehicle implementation predictions: Implications for transport planning. *Victoria Transport Policy Institute.*
- 21. Mahat, J., Ayub, A. F. M., Luan, S., & Wong, K. (2012). An assessment of students' mobile self-efficacy, readiness and personal innovativeness towards mobile learning in higher education in Malaysia. *Procedia Social and Behavioral Sciences*, 64, 284–290.
- 22. Martin, K. D., Borah, A., & Palmatier, R. W. (2017). Data privacy: Effects on customer and firm performance. *Journal of Marketing*, 81(1), 36–58. https://doi.org/10.1509/jm.15.0497
- 23. Martin, K. D., & Murphy, P. E. (2016). The role of data privacy in marketing. *Journal of the Academy of Marketing Science*. https://doi.org/10.1007/s11747-016-0495-4
- 24. Massey, A., Khatri, V., & Montoya-Weiss, M. M. (2007). Usability of online services: The role of technology readiness and context. *Decision Sciences*, *38*(2), 277–308. https://doi.org/10.1111/j.1540-5915.2007.00159.x
- 25. Meuter, M. L., Ostrom, A. L., Bitner, M. J., & Roundtree, R. I. (2003). The influence of technology anxiety on consumer use and experiences with self-service technologies. *Journal of Business Research*, *56*(11), 899–906. https://doi.org/10.1016/S0148-2963(01)00276-4
- 26. Milakis, D., van Arem, B., & van Wee, B. (2017). Policy and society-related implications of automated driving: A review of literature and directions for future research. *Journal of Intelligent Transportation Systems*, *21*(4), 324-348.
- 27. Osswald, S., Wurhofer, D., Trosterer, S., Beck, E., &Tscheligi, M. (2012). Predicting information technology usage in the car: Towards a car technology acceptance model. *In Proceedings of the 4th International Conference on Automotive User* Interfaces and Interactive Vehicular Applications (pp. 51–58). ACM.
- 28. Panagiotopoulos, I., & Dimitrakopoulos, G. (2018). An empirical investigation on consumers' intentions towards autonomous driving. *Transportation research part C: emerging technologies*, 95, 773-784.
- 29. Rogers, E. M. and F. F. Shoemaker Communication of Innovations, The Free Press, New York, 1971.
- 30. SAE International, 2016. SAE J3016: Taxonomy and Definitions for Terms Related to Driving Automation Systems for On-Road Motor Vehicles.
- 31. Shladover, S. E. (2018). Connected and automated vehicle systems: Introduction and overview. *Journal of Intelligent Transportation Systems*, 22(3), 190-200.
- 32. Simarmata, M. T. A., &Hia, I. J. (2020). The role of personal innovativeness on the behavioral intention of information technology. *Journal of Economics and Business*, 1(2), 18–29. https://doi.org/10.36655/jeb.v1i2.169





Avani Karkare and Shweta Sharma

- 33. Sun, H., & Zhang, P. (2006). Causal relationships between perceived enjoyment and perceived ease of use: An alternative approach. *Journal of the Association for Information Systems*, 7(9), 618–645. https://doi.org/10.17705/1jais.00100
- 34. Talebpour, A., &Mahmassani, H. S. (2016). Influence of connected and autonomous vehicles on traffic flow stability and throughput. *Transportation Research Part C: Emerging Technologies*, 71, 143-163.
- 35. Venkatesh, V., & Davis, F. D. (2000). A theoretical extension of the technology acceptance model: Four longitudinal field studies. *Management science*, 46(2), 186-204.
- 36. Venkatesh, V., Thong, J. Y. L., & Xu, X. (2012). Consumer acceptance and use of information technology: Extending the unified theory of acceptance and use of technology. *MIS Quarterly*, *36*(1), 157–178. https://doi.org/10.2307/41410412.
- 37. Xu, H., & Gupta, S. (2009). The effects of privacy concerns and personal innovativeness on potential and experienced customers' adoption of location-based services. *Electronic Markets*, 19(2–3), 137–149. https://doi.org/10.1007/s12525-009-0012-4
- 38. Zmud, J., Sener, I. N., & Wagner, J. (2016). Self-driving vehicles: Determinants of adoption and conditions of usage. Transportation Research Record, 2565(1), 57-64.

Table 1: Descriptive Statics

Demographic variable	Frequency	percent
Gender		
Male	127	50.60
Female	124	49.40
Educational Qualification		
Diploma	16	6.37
Undergraduate	126	50.20
Postgraduate	97	38.65
Ph.D.	12	4.78
Occupation		
Business	34	13.55
Government	18	7.17
Private	140	55.78
Others	59	23.51
Income level(annual)		
Less than 5 lakhs	34	13.55
5 lakhs to 10 lakhs	140	55.78
10lakh -20 lakh	59	23.51
more than 20 lakhs	18	7.17
Car Ownership		
Yes	136	54.18
No	115	45.82
Awareness about Avs		
Yes	224	89.24
No	26	10.36
If, yes from where		





Vol.15 / Issue 86 / Oct / 2024 International Bimonthly (Print) – Open Access ISSN: 0976 – 0997

Avani Karkare and Shweta Sharma

Newspaper	30	11.95
Television	22	8.76
Social media	160	63.75
Other	33	13.15

Source: Author's Calculation

Table 2:Construct Reliability and validity

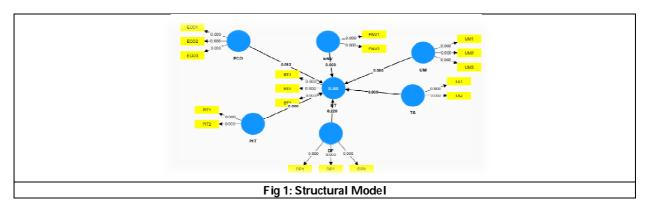
Variables	Cronbach's alpha	Composite reliability (rho_a)	Composite reliability (rho_c)	Average variance extracted (AVE)
Behaviour	0.83	0.839	0.899	0.748
Intention (BIU)				
Data	0.834	0.835	0.901	0.752
Privacy (DP)				
Economic	0.66	0.741	0.802	0.579
factor (ECO F)				
Environmental	0.827	0.865	0.919	0.85
Factor (EF)				
Personal information				
technology innovativeness (PIIT)	0.547	0.628	0.807	0.679
Technology	0.712	0.948	0.863	0.76
Anxiety (TA)				
Utilitarian Motive (UM)	0.812	0.816	0.888	0.727

Source: Author's Calculation

Table 3: BOOTSTRAPPING VALUES on Behaviour Intention

Hypothesis	Item	sample (O)	T statistics (O/STDEV)	P values	Decision	
H _{1a}	PIIT -> BT	0.198	3.759	0	Significant	Supported
H _{1b}	UM -> BT	0.258	4.1	0	Significant	Supported
H _{1c}	ECO -> BT	0.113	2.247	0.012	Significant	Supported
H _{1d}	TA -> BT	-0.252	5.461	0	Significant	Supported
H _{1e}	DP -> BT	-0.045	0.772	0.22	Insignificant	Unsupported
H _{1f}	ENV -> BT	0.225	3.59	0	Significant	Supported

Source: Author's Calculation







RESEARCH ARTICLE

A Study on Socio - Economic Impact of Covid - 19 on Women **Entrepreneurs in Bangalore**

Alvina Paul¹ and Shanthi S²

Student, Department of Economics, Mount Carmel College, Bangalore, Karnataka, India.

²Associate Professor, Department of Economics, Mount Carmel College, Bangalore, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence **Alvina Paul**

Student, Department of Economics, Mount Carmel College, Bangalore, Karnataka, India. Email: alvina12427@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The present study delves into the socio-economic obstacles encountered by female entrepreneurs in Bangalore, India, during the post-COVID-19 period, emphasizing their adaptability and resilience. The principal aims comprise of identifying the hindrances faced and investigating the actions implemented to ease these difficulties. Data were collected using a self-administered, standardized questionnaire, and then evaluated using correlation analysis, chi-square testing, and descriptive statistics for analysis. Important findings show substantial effects on the economy, including challenges in obtaining loans and venture capital along with supply chain interruptions that affect operational effectiveness and quality control. According to socioeconomic characteristics, the majority of female businesses faced difficulties with digital operations and decreased profit margins. Many responded by implementing e-commerce plans, looking for assistance via social media platforms, and making fiscal cutbacks. The results of the study indicate that in order to empower women entrepreneurs, it is essential to provide them with focused technological training, improved supplier relationships, alternative financing choices, and solid inventory management. Stakeholders can create an environment that promotes the steady expansion and adaptability of women-owned enterprises amidst persistent obstacles by putting these suggestions into practice.

Keywords: Women entrepreneurs, COVID-19, Socio-economic challenges, E-commerce, Resilience





Alvina Paul and Shanthi

INTRODUCTION

Entrepreneurship is a key factor in globalization since it stimulates economic growth and innovation. It involves identifying possibilities to enhance current solutions or address gaps in the market, advancing both societal and economic advancement. Through innovation, diversity, and moral behaviour, entrepreneurship promotes social transformation in addition to financial gains. In the process of empowering people individually and collectively, it fosters competition, brings in fresh perspectives, and produces jobs. The article examines how entrepreneurship is complex and has a big influence that goes beyond financial metrics.

Scope of the study

The socioeconomic effects of COVID-19 on female entrepreneurs in Bengaluru, India, are explored in this study. It focuses on how the pandemic has affected women-owned businesses, notably in terms of funding, technology absorption, and operational issues. The study also looks at the methods used by female business owners to overcome these obstacles, such as using digital tools and cost-cutting techniques. It also assesses the usefulness of government aid initiatives and the function of networking groups for female business owners. The study's overall goal is to give policymakers information they can apply to create pertinent assistance programs.

OBJECTIVES OF THE STUDY

The current study has the following objectives

- To identify and analyze the challenges faced by women entrepreneurs in the post COVID era.
- To explore the measures taken by women entrepreneurs to overcome the challenges posed by the COVID -19 pandemic.

METHODOLOGY

Sample

This study utilised a descriptive research design to analyse the obstacles and strategies faced by forty female entrepreneurs based in Bengaluru, India. The sample population consisted of 40 female entrepreneurs working in Bengaluru, India. An industry-wide diversified sample of 45% product-based businesses, 30% product-service firms, and 25% service-oriented businesses was made possible by the use of the snowball sampling technique.

Methodology

A self-administered, standardized questionnaire that was initially assessed for relevance and clarity was used to collect data. The study applied descriptive statistics, such as pie charts and bar graphs, to examine the distribution of firms and demographic data. The relationships between characteristics and barriers to entrepreneurship were investigated using chi-square testing and correlation analysis. Strict adherence to ethical standards guaranteed participant privacy and informed consent. The objective of this research approach was to offer a thorough understanding of female entrepreneurship in Bengaluru, with significant implications for policy and practice in comparable settings.

RESULTS AND DISCUSSIONS

ECONOMIC IMPACT - CHALLENGES

Women entrepreneurs encounter specific challenges that make it difficult for them to thrive, hence understanding challenges is important and create a just environment for them. Objective - 1: To identify and analyze the challenges faced by women entrepreneurs in the post COVID era. Women entrepreneurs encounter specific challenges that make it difficult for them to thrive, hence understanding challenges is important and create a just environment for them.





Alvina Paul and Shanthi

Hypothesis-I

 H_0 : There is no significant correlation between disruptions in the supply chain, delays in receiving essential equipment, and difficulties in maintaining quality control standards among women entrepreneurs in the post-Covid era

H₁: There is a significant correlation between disruptions in the supply chain, delays in receiving essential equipment, and difficulties in maintaining quality control standards among women entrepreneurs in the post-Covid era. The study found a moderate negative correlation between disruptions in the supply chain and both delays in receiving essential equipment (-0.45) and difficulties in maintaining quality control standards (-0.26). This indicates that as supply chain disruptions increase, there is a tendency for delays in receiving essential equipment to also increase, and to a lesser extent, difficulties in maintaining quality control standards. However, a weak positive correlation (0.097) was observed between delays in receiving essential equipment and difficulties in maintaining quality control standards, suggesting a slight tendency for these issues to be associated with each other.

Hypothesis -II

H₀: There is no significant correlation between challenges related to technological barriers, acquisition costs of technology, and insufficient technical skills within the team among women entrepreneurs in the post-Covid era. H₁: There is a significant correlation between challenges related to technological barriers, acquisition costs of technology, and insufficient technical skills within the team among women entrepreneurs in the post-Covid era. The study found very weak negative correlations between technological barriers and both the cost of technology (-0.054) and technical skills (-0.057), suggesting little to no linear relationship between these factors. However, a moderate positive correlation (0.56) was observed between the cost of technology and technical skills, indicating a tendency for higher acquisition costs of technology to be associated with better technical skills within the team, and vice versa.

MEASURES UNDERTAKEN BY WOMEN ENTREPRENEURS

Despite pandemic challenges female entrepreneurs displayed resilience through necessary measures to overcome the challenges.

Objective - 2: To explore the measures taken by women entrepreneurs to overcome the challenges posed by the COVID -19 pandemic.

Hypothesis-I

Ho: There is no significant association between the strategies implemented to reduce costs and the specific cost-saving measures adopted by women entrepreneurs.

Hi: There is significant association between the strategies implemented to reduce costs and the specific cost-saving measures adopted by women entrepreneurs. The study found that there is no significant association between the strategies implemented to reduce costs (such as employee pay cuts or layoffs) and the specific cost-saving measures adopted (such as rent reduction, inventory management, or utility bill reduction) by women entrepreneurs in post COVID-19 pandemic. This is indicated by a p-value of 0.06, which is greater than the conventional significance level of 0.05. This implies that factors other than the strategies themselves, such as industry dynamics, business size, financial resources, market conditions, and regulatory environment, may influence the selection of cost-saving measures by women entrepreneurs. Therefore, we accept the null hypothesis.

Hypothesis - II

 H_0 : There is no significant association between the implementation of new digital tools or software and the expansion of geographical reach due to digital transformation efforts among women entrepreneurs.

H₁: There is a significant association between the implementation of new digital tools or software and the expansion of geographical reach or reaching new markets due to digital transformation efforts among women entrepreneurs. The study found no significant association between the implementation of new digital tools or software and the expansion of geographical reach or reaching new markets among women entrepreneurs. This is indicated by a p-value of 0.367, which is greater than the conventional significance level of 0.05. Here we accept the null hypothesis. This suggests that while women entrepreneurs may be adopting digital tools and software for managing operations,





Alvina Paul and Shanthi

customer relations, and marketing, these efforts do not necessarily lead to significant improvements in geographical reach or market expansion.

SOCIO IMPACT

The variable taken are skills and knowledge, government support, future perspective and support systems.

Government Awareness regarding Support

Level of Awareness: Less than half (45%) of female entrepreneurs were aware of government support initiatives during the COVID-19 pandemic.

Government Measures' Efficacy

Helpfulness: Only 15% of the 45% who were aware of these measures thought they would be beneficial to their company.

Platforms for networking and support

Use: 65% of female entrepreneurs actively participated in support groups or networking sites, appreciating the unity and cooperation found in the female entrepreneur community.

Future Dependency on Digital Instruments

92.5% of female entrepreneurs see a future where technology and digital tools will be used extensively.

KEY FINDINGS OF THE STUDY

Objective I:

To identify and analyze the challenges faced by women entrepreneurs in the post COVID era.

Economic Impact

Access to Finance

- a) Loan Acquisition: Securing loans from financial institutions was a challenge for most women entrepreneurs after the pandemic, with service businesses being the most impacted.
- b) Venture Capital/Angel Investment: While product-service businesses had a higher success rate, securing funding from VCs or angel investors remained a challenge for some.
- c) Supply chain disruptions negatively impact both equipment arrival time (-0.45) and quality control (-0.26). Delayed equipment arrival further contributes to quality control challenges (0.097).
- d) There is a very weak negative correlation between technological barriers and both the cost of technology (-0.054) and technical skills (-0.057). However, a moderate positive correlation exists between the cost of technology and technical skills (0.56).

Socio Impact

- 1. 55% of women entrepreneurs found it challenging to manage digital operations due to inefficient skills and knowledge.
- 2. 87% of women entrepreneurs faced a negative impact on profit margins.

Objective II:

To explore the measures taken by women entrepreneurs to overcome the challenges posed by the COVID -19 pandemic.





Alvina Paul and Shanthi

Economic Impact

- There is no statistically significant association (p-value = 0.06 > 0.05) between cost-reduction strategies (e.g., layoffs) and specific cost-saving measures (e.g., rent reduction) adopted by women entrepreneurs post-COVID, suggesting other factors influence cost-saving choices by chi squared test.
- 2) Digital tool implementation (for operations, customer relations, and marketing) was not statistically linked to geographical reach expansion (p-value = 0.367 > 0.05), suggesting these tools may address internal needs without directly impacting market reach by chi squared test.
- 3) E-commerce Adoption: 77.5% of the women entrepreneurs strategically shifted towards online sales channels.

Socio Impact

- 1. A significant majority of women entrepreneurs sought connection and support by utilizing networking platforms or support groups.
- 2. 45% women entrepreneurs were aware of the government measures and only 15% among them found it useful.
- 3. A significant majority (65%) of women entrepreneurs actively sought connection and support by utilizing networking platforms or joining support groups

SUGGESTIONS

- → In order to overcome obstacles in obtaining loans, particularly for service-oriented firms, female entrepreneurs should investigate alternate kinds of funding such as grants, crowd funding platforms, and angel investors who are specifically focused on women.
- → Women entrepreneurs can benefit from using strong inventory management to maximize stock levels and protect against delays in production or sales.
- → Make training investments to optimize the return on technology investments, taking into account the positive link between technical and cost.
- → Use digital tools to increase internal efficiency first so that resources can be allocated to additional market expansion tactics.
- → Women business owners ought to devote more time to learning how to fully utilize digital tools for marketing.

CONCLUSION

This study analyses the socioeconomic effects of COVID-19 on female entrepreneurs in Bangalore, India, highlighting barriers including lack of funding, disruptions in the supply chain, and technology constraints. They overcame these challenges by using networking platforms and online sales channels to show resiliency. Policy and corporate interventions, such as improved supply chain management, alternate finance sources, and targeted investments in technology and talent development, are required to enable their sustained growth. In spite of persisting obstacles, these steps can foster a climate that is conducive to the long-term development of women-owned enterprises.

REFERENCES

- 1. https://www.weforum.org/agenda/2022/07/women-entrepreneurs-gusto-gender/
- 2. https://kswdc.karnataka.gov.in/en
- 3. https://www.startupindia.gov.in/content/sih/en/bloglist/blogs/women_entrepreneurs.html
- 4. https://www.niti.gov.in/documents/niti-report

Table 1: Correlation values - I

Tubio II Gollolation Values I	
CORRELATION	VALUES
Supply chain and equipment	-0.45
Supply chain and quality control	-0.26





Alvina Paul and Shanthi

Equipment and quality control 0.097

Table 2: Correlation matrix - II Data we_1

	supply chain	equipment	quality control
supply chain	1.000000	-0.448109	-0.263329
equipment	-0.448109	1.000000	0.096824
quality control	-0.263329	0.096824	1.000000

Table 3: Correlation values - II

CORRELATION	VALUES
Technological barriers and cost of technology	-0.054
Technological barriers and technical skills	-0.057
Cost of technology and technical skills	0.56

Table 4:Correlation matrix - II

	technological barriers	costs of technology	technical skills
technological barriers	1.000000	-0.054045	0.056652
costs of technology	-0.054045	1.000000	0.556012
technical skills	0.056652	0.556012	1.000000

Table 5: Data: cont_table - I

Statistic	14.93
p-value	0.060
dof	8

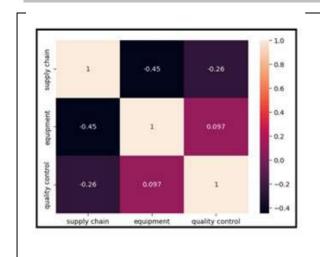
Table 6: Data:cont_table - II

Statistic	17.28
p-value	0.367
dof	16





Alvina Paul and Shanthi



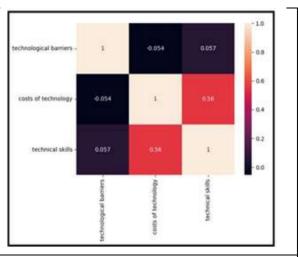


Fig 1: Heatmap 4.1(c) - I

Fig 2: Heatmap 4.2(c) - II





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Study on the Impact of Entrepreneurial Practice Among Students

Sapna Dinesh1* and Sundaravalli.A2

¹Assistant Professor, Department of Home Science, Mount Carmel College, Bengaluru, Karnataka, India ²Associate Professor, Department of Home Science, Mount Carmel College, Bengaluru, Karnataka, India

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Sapna Dinesh

Assistant Professor, Department of Home Science, Mount Carmel College, Bengaluru, Karnataka, India Email: sapna.dinesh@mccblr.edu.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Educational institutions and their adaptive, flexible ecosystem open a lighted pathway of opportunity, creativity, design thinking, knowledge, and motivation among students and academicians. The study aimed in showcasing a practical action-oriented entrepreneurial practice and understand its impact. The Educational, Technological, Entrepreneurial and Social impact about the practice was studied using a structured questionnaire. 100 students of the institution were interviewed and the data was analysed. The study proved that the entrepreneurial practice of product development and marketing done by the students was a reflection of the knowledge and opportunity assimilated from the institution. Educational Institutions should include more practical aspect than theoretical in order to allow the students, practice entrepreneurship and get a real-life experience. Though there were challenges and limitations mentioned, the overall impact was positive, which had brought in skilled, creative and socially responsible young adults which paved way to climb the initial steps of entrepreneurship as budding entrepreneurs.

Keywords: Entrepreneurial Education, Entrepreneurial Culture, Ecosystem.

INTRODUCTION

Entrepreneurship does not demand academic qualification. However, to become successful entrepreneurs, both practical experience and formal education will be effective. Entrepreneurship education is a tool which can be used to attain positive students' intentions towards entrepreneurship(Jianpeng, 2024). Entrepreneurship is when an individual who has an idea act on that idea, usually to disrupt the current market with a new product or service (Adam Hayes, 2024). Entrepreneurial culture is an emerging discipline where someone is motivated to innovate, create and take risks and create an impact on the growth of economy through educational institutions. Flexibility, adaptability, a growth mind-set, and a learning orientation are key components of entrepreneurial culture that can lead to long-term success.(Nasia, 2024). Government and non-government organizations should instigate





Sapna Dinesh and Sundaravalli

educational institutions to plan and implement entrepreneurship training programs with business organizations, governments and other relevant stakeholders in the local environment (Onu, 2013). Policymakers are encouraged to follow policies to improve entrepreneurial culture growth by promoting strategic cooperation among stakeholders and educational institutions implementing several concepts to enhance entrepreneurial culture. (Al-Lawati, 2022).

Statement of the problem

The concept of promoting and instilling entrepreneurial culture in students have paved way towards developing a bunch of budding entrepreneurs from the student community to face the world of entrepreneurship and its challenges to become successful entrepreneurs. This study intends to overview the significance of educational institutions in fostering entrepreneurial culture among the student community and how the institutions can act to nurture and frame an ecosystem to bring out aspiring entrepreneurs from classroom to market. Every educational institution should include practical component of entrepreneurship along with theoretical aspect to contribute to economy, render practical experience of entrepreneurship and gain real life experiences. In this context, the study emphasis the role of Skill India Mission by Government of India which incorporates skill-based training programs in the school and college curriculum with certifications from industry-recognised learning centres. Henceforth, the Skill India Mission has become one of the best education institutes in India (Skillindiamission Blog, 2024). To substantiate this, the study projected the outcome and impact of an entrepreneurial activity conducted in one institution. This study focused on two objectives - (1) To overview the significance of the educational institutions and role played by organisations in emphasising and instilling entrepreneurial culture in institutions and (2) To show case the impact and output of a practical action-oriented entrepreneurial activity conducted.

METHODOLOGY

The study focussed on reviewing the significance of educational institutions in instilling entrepreneurial culture among students and faculties. To substantiate the concept of having practical aspects in entrepreneurship curriculum rather than only theoretical, a case study was conducted to evaluate the impact of one such practice in Mount Carmel College, Bangalore. The students of the Department of Home Science. were involved in an entrepreneurial practice of consumer product development and marketing inculcating all the elements of marketing and entrepreneurship since 2018. The tool used to study the impact was a structured questionnaire which was administered among the students, through purposive random sampling method. The sample size of the study was 100 which included current students and ex-students of the institution. The questionnaire consisted of 5 sections which included general information of the respondents, impact of entrepreneurial practice among students which was categorized as educational impact, entrepreneurial impact, technological impact and social impact, feedback from students about the entrepreneurial practice, strategies that educational institution could adopt to enhance entrepreneurial development and understanding about the entrepreneurial attitude among students. The data was interpreted and analysed.

RESULTS & INTERPRETATION

Educational institutions have a promising role to play in developing entrepreneurship. A study done in the University of Kashmir pointed out that the prevailing education system covers related business education and not entrepreneurship education. (Arshad, 2013).Recently entrepreneurship is been recognized as an academic discipline and has included theoretical models for formal education and learning (Valerio, Brent, Robb, 2014). Entrepreneurship education and incubation (including mentoring) are the factors that contribute directly to the quantity and quality of new startups and indirectly to the Indian economy (Nandini,2022). Another study (AI-Lawati, 2021) aimed on the importance of entrepreneurial culture at educational institutions by analysing the relationship between entrepreneurial culture, entrepreneurship education (medium), and entrepreneurial intention (outcome) as study variables. In a study in Karachi on young entrepreneurs (Ammad Zafar, 2017), the researcher recommended the educational institutions (a) should set up entrepreneurship teachings as per international standard (b) should initiate courses that increase entrepreneurial intentions in students (c) should mentor and mould the students to face





Sapna Dinesh and Sundaravalli

the corporate sector and (d) should provide a supportive platform to bridge the gap between student entrepreneurs and the corporate sector. As mentioned in the methodology of the present study, the results of the case study are discussed below.

General Information of the respondents

Among the 100 respondents, 78% of them fell under the age group of 20 to 25 years.33% of the current students and 67% of ex-students responded to the survey. The data showed that among the 67% of the ex-students, 16% of them were working, out of which 10% were entrepreneurs. India's level of Total early-stage Entrepreneurial Activity (TEA) in 2023 was just under 12% (Sunil.et.al, 2023). Despite that, only 5 to 10% of these entrepreneurs take up the risk of building their ventures (Cheggindia, 2024).21% of the entrepreneurs focused on food products, 19% had their startups in clothing field and remaining of them emphasized on cosmetics, accessories, decor and toiletries as their business venture. Majority (13%) had 5 to 7 years of experience as entrepreneurs,

Impact of the entrepreneurial practice

The Impact of entrepreneurship education and practice have profound effects on students, impacting their personal development, academic performance and career prospects. The result of the study is depicted below in Figure 1.

Feedback on the entrepreneurial practice

The benefits of entrepreneurship in educational institutions and the measure that can be adopted to enhance entrepreneurial skills in students were studied from the feedback obtained from students. 81% of the respondents opined self-employment as the major benefit through entrepreneurship. Boosting leadership qualities of students, creation of opportunities using the latest technologies, enhancement of creativity and innovative skills, learning basic life skills were few benefits mentioned by more than 50% of the students. In order to raise the quality of the entrepreneurial culture in students, the measures suggested by more than 50% of the students were, mentoring from business professionals to help the students to invest and manage entrepreneurial ventures, faculties who has business experience to initiate entrepreneurship in students, Increased practical components in the subject with entrepreneurship as one of major academic discipline, guiding the students to launch their products to the real market, gaining experience through internships, hand on workshop andskill development trainings from government and non-governmental organizations.

Limitations and Challenges

Financial constraints, limited network and connections, competitive market were the major issues commented by more than 55% of the students. Other problems mentioned werepoor time management, lack of confidence, over expectations of customers and dealing with negative feedback. Similar results were obtained in study done on issues and challenges of startups in India (Susilaningsih.et.al, 2017). According to the study, the major issues and challenges faced by startups were poor finance and revenue generation, lack of efficient team members, unavailability of supporting infrastructure, ignorance about market trends, not able to meet consumer expectations, lack of mentorship and less knowledge on marketing strategies. Another study examined social issues, lack of proper technological infrastructure, financial issues and sustainability issues as few challenges faced by entrepreneurs during their initial phase of product launch. (Sumit, 2017)The challenges faced by budding entrepreneurs in institutions include lack of experience, competitive complex corporate world, and business education that is too theoretical and lack of real-life practical experience. (Sowbarnigadevi.et.al, 2022).

Entrepreneurial attitude among the students

50% of the students mentioned unawareness and poor knowledge in financial literacy is a must for stepping forward to startup and business ventures. Openness to criticism, being our own boss than some body's, more employment opportunities and growth and development of society were other positive attitude conveyed (Figure 2). In a study (Ali, 2011), when entrepreneurial attitudes from six public sector potential entrepreneurs of Pakistanwere explored, majority of students showed generally positive attitudes towards entrepreneurship. Significant difference between negative and positive attitudes were derived from this study.





Sapna Dinesh and Sundaravalli

Kedir (2011), in his study on entrepreneurial attitude foundmany areas of attitudinal difference between business and non-business students. The three most significance difference attitudes between business and non-business students were ability to make decision, creativity and persistence.

CONCLUSION

Entrepreneurship education and entrepreneurial culture play a significant role in fostering young entrepreneurs and pave way for self-employment. It also equips the students with positive entrepreneurial attitudes and skills required for start ups and to establish and stabilize their business venture consistently. Entrepreneurship education should include more practical component along with theory in order to give the students more entrepreneurial experience in real life situations. Government and non-governmental organisations should collaborate with educational institutions to build sound theoretical frameworks and implement entrepreneurial courses with trained faculties in order to raise the spirit of entrepreneurship in students.

REFERENCES

- 1. Adam Hayes, 'Entrepreneur: What It Means to Be One and How to Get Started Learn about the challenges facing entrepreneurs as they start new businesses', Investopedia, July 29, 2024.
- 2. Ali, Akhtar & Topping, Keith & Tariq, Riaz ul Haq. (2011). Entrepreneurial Attitudes among Potential Entrepreneurs. Pak. J. Commer. Soc. Sci. 5.
- 3. Al-Lawati, E. H., Abdul Kohar, U. H., & Shahrin Suleiman, E, Entrepreneurial culture in educational institutions: A scoping review. Cogent Business & Management, 9(1) 2022.
- 4. Ammad Zafar, Khalid Iraqi, Sadaf Mustafa, 'Analysis of Role of Educational Institutions in Development of Entrepreneurs '(A Case Study of Karachi), International Journal of Academic Research in Business and Social Sciences, 2017, Vol. 7, No. 1, ISSN: 2222-6990,
- 5. Arshad Nabi Wani,' Role of Educational Institutions in Promoting Entrepreneurship', Global Research Analysis, Volume: 2 | Issue: 5 | May 2013 ISSN No 2277 8160.
- 6. Chegg India, www.cheggindia.com
- 7. Jianpeng Fan, Junhao Hu, Jia Wang, 'How entrepreneurship education affects college students' entrepreneurial intention': Samples from China, Heliyon, Volume 10, Issue 10, 2024, e30776,ISSN 2405-8440, https://doi.org/10.1016/j.heliyon.2024.e30776.
- 8. Kedir Hussen, 'Entrepreneurial Attitude and Business Orientation of Jimma University Students' (Case of Some Selected Colleges), Jimma university, May 2011.
- 9. Nandini N Doddamani,' Role of Educational Institutions In Promoting Entrepreneurship Capacities Among The Students', IJRTI | Volume 7, Issue 8 | ISSN: 2456-3315, 2022.
- 10. Nasia IOANNIDOU, 'Entrepreneurial Culture', Greek Association of Women Entrepreneurs, EFEB Network, 2024.
- 11. Onu A.J.C.,' Stimulating Entrepreneurship In Educational Institutions In Nigeria' European Scientific Journal September 2013 edition vol.9, No.25 ISSN: 1857 7881 (Print) e ISSN 1857-7431.
- 12. Skill India Mission, 2024, www.skillindismission.in
- 13. Sowbarnigadevi, Thirumalraja,' Role of universities & colleges to fostering entrepreneurship among students an overview', Shodhsamhita: Journal of Fundamental & Comparative Research Vol. VIII, No. 1(XVIII): 2022 ISSN: 2277-7067
- 14. Sumit, Mishra, (2017). Start-up in india: opportunities and challenges. 10.13140/RG.2.2.18850.76489.
- 15. Sunil Shukla, Pankaj Bharti, Amit Kumar Dwivedi, 'Global Entrepreneurship Monitor', India Report 2022-23, A National Study on Entrepreneurship.
- 16. Susilaningsih, M., Siswandari, (2017, October). Identification of academic culture dimensions in entrepreneurship learning at universities in Central Java. Paper presented at the International Conference on

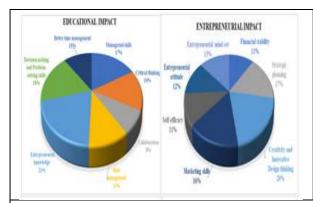




Sapna Dinesh and Sundaravalli

Teacher Training and Education 2017 (ICTTE 2017) Yambol, Bulgaria (Atlantis Press)doi:10.2991/ictte-17.2017.20.

17. Valerio, A., Brent, P., Robb, A. (2014). Entrepreneurship education and training programs around the world. Washington DC: The World Bank.



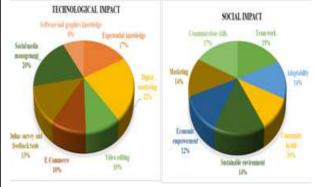


Figure 1: Impact of entrepreneurial practiceon students

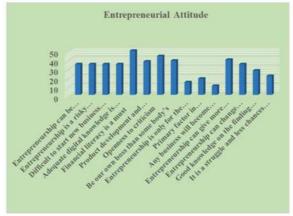


Figure 2: Entrepreneurial Attitude among Students





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Tourism Entrepreneurship and Skill Development: A Systematic Review of the Impact on Innovation and Growth

K.N. Lokesh Kumar^{1*} and Hawaibam Jhalari Devi²

¹Assistant Professor, Department of Hospitality and Tourism Management, Assam University, Silchar, Assam, India.

²Research Scholar, Department of Hospitality and Tourism Management, Assam University, Silchar, Assam, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence K.N. Lokesh Kumar

Assistant Professor,

Department of Hospitality and Tourism Management,

Assam University, Silchar, Assam, India.

Email: hailoks@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This systematic review examines the impact of skill development on entrepreneurial innovation and growth in the tourism industry. A comprehensive analysis of existing literature reveals that skill development enhances entrepreneurial capabilities, fosters innovation, and promotes tourism business growth in tourism. Key skills for tourism entrepreneurial success include technical, business, soft and digital literacy skills. Theoretical frameworks, including Human Capital Theory, Entrepreneurial Learning Theory, and Innovation Capital Theory, support the importance of skill development for tourism entrepreneurial innovation and growth. The review finds a positive relationship between skill development and entrepreneurial innovation in tourism, with tourism entrepreneurs possessing advanced skills more likely to innovate and adapt to changing market conditions. Skill development also has a positive impact on tourism entrepreneurial growth, with entrepreneurs experiencing revenue growth, employment growth, and profitability. The findings have implications for tourism entrepreneurs, policymakers, and educators, emphasizing the need for skill development programs and prioritizing skill development to drive tourism business growth. Overall, this review highlights the crucial role of skill development in driving tourism entrepreneurial innovation and growth, and provides valuable insights for stakeholders to support tourism entrepreneurial success.

Keywords: Skill development, entrepreneurial innovation, tourism business growth, tourism entrepreneurship, human capital





Lokesh Kumar and Hawaibam Jhalari Devi

INTRODUCTION

The tourism industry is a significant contributor to the global economy, accounting for approximately 10% of global GDP and employment (UNWTO, 2020). However, the industry faces numerous challenges, including intense competition, changing consumer preferences, and the need for sustainable practices (Hall, 2019). To address these challenges, tourism entrepreneurs must develop the necessary skills to drive innovation and growth (Hjalager, 2020). Skill development is essential for entrepreneurial success in tourism, as it enables individuals to identify opportunities, develop innovative products and services, and adapt to changing market conditions. Furthermore, skill development can enhance the competitiveness of tourism businesses, leading to increased innovation and growth. Tourism entrepreneurship plays a vital role in driving economic growth, innovation, and job creation (Acs & Audretsch, 2003). As such, understanding the factors that contribute to entrepreneurial success in tourism is crucial for policymakers, educators, and entrepreneurs themselves. Innovation and growth are critical outcomes of entrepreneurial success(OECD, 2005). Tourism entrepreneurs who develop innovative products, services, and processes are better positioned to compete in the market and achieve growth (Damanpour, 1991). Therefore, understanding how skill development impacts innovation and growth is essential for promoting entrepreneurial success in tourism. Despite the importance of skill development for entrepreneurial success, there is a lack of systematic reviews examining the impact of skill development on innovation and growth in the tourism industry. This systematic review aims to address this gap by examining the impact of skill development on innovation and growth in tourism entrepreneurship, and aims to provide a comprehensive understanding of the relationship between skill development and entrepreneurial success.

Objectives

- 1. To systematically review the literature on tourism entrepreneurship and skill development, examining their impact on innovation and growth.
- 2. To identify the key skills required for success in tourism entrepreneurship, and their role in driving innovation and growth.
- 3. To examine the relationship between skill development and entrepreneurial innovation and growth in the tourism industry, highlighting best practices and areas for improvement.

RESEARCH METHODOLOGY

This systematic review employed a comprehensive literature search strategy to identify relevant studies examining the impact of skill development on entrepreneurial innovation and growth in tourism industry. The search was limited to peer-reviewed articles, academic journals, books, and book chapters published in English between 2010 and 2022. Empirical research and conceptual papers that focused on tourism entrepreneurship and skill development were also included.

Theoretical Framework

The theoretical framework of this systematic review consists of three key theories: Human Capital Theory, Entrepreneurial Learning Theory, and Innovation Capital Theory. These theories provide a foundation for understanding the relationship between skill development and entrepreneurial innovation and growth in the tourism industry.

Human Capital Theory (Becker, 1964)

Human Capital Theory posits that education and training are essential for enhancing productivity and economic growth in the tourism sector. According to Becker (1964), human capital refers to the knowledge, skills, and experience that tourism entrepreneurs possess, which can be invested in to improve productivity and earnings in tourism ventures. In the context of tourism entrepreneurship, human capital theory suggests that tourism





Lokesh Kumar and Hawaibam Jhalari Devi

entrepreneurs' skills and knowledge are critical for their success in developing innovative tourism products, services, and experiences.

Entrepreneurial Learning Theory (Cope, 2005)

Entrepreneurial Learning Theory emphasizes the importance of learning and skill development in entrepreneurial success. Cope (2005) argues that entrepreneurs learn through experience, experimentation, and reflection, enabling them to navigate the complex and dynamic tourism industry. This theory suggests that tourism entrepreneurs' ability to learn and adapt is crucial for capitalizing on emerging trends, embracing innovation, and responding to changing tourist demands.

Innovation Capital Theory (Acs et al., 2017)

Innovation Capital Theory highlights the role of innovation in driving economic growth and competitiveness. Acs et al. (2017) argue that innovation capital refers to the knowledge, skills, and experience that enable firms to innovate and adapt to changing environments. In the context of tourism entrepreneurship, innovation capital theory suggests that entrepreneurs' ability to innovate and adapt is critical for their success in developing new tourism products, services, and experiences. According to the theory, innovation capital is composed of three main elements: human capital (the skills and expertise of employees), social capital (the networks and relationships that facilitate knowledge sharing), and intellectual capital (the patents, trademarks, and copyrights that protect intellectual property).

Key Skills for Tourism Entrepreneurial Success

Tourism entrepreneurship is a complex and multifaceted pursuit that requires a diverse set of skills to succeed (Kirzner, 1997). These skills are essential for navigating the challenges of starting and growing a tourism business, from developing innovative products and services to managing teams and adapting to changing tourist demands and market conditions. The four key skill areas that are critical for tourism entrepreneurial success are given below:

Technical Skills

Technical skills are the foundation of any successful entrepreneurial venture. These skills refer to the industry-specific knowledge and expertise required to start and run a business. For instance, a tourism entrepreneur operating a travel agency needs to possess strong knowledge of destination management, tour operations, and travel regulations. Technical skills enable tourism entrepreneurs to innovate, develop new tourism products and services, and solve complex problems, giving them a competitive edge in the tourism industry.

Business Skills

Business skills are essential for tourism entrepreneurs to manage and grow their tourism businesses. These skills include finance and accounting, marketing and sales, management and leadership, strategy and planning, and operations and logistics. Tourism entrepreneurs with strong business skills can create comprehensive business plans, secure funding, manage teams, and make informed decisions to drive growth and profitability in the tourism industry.

Soft Skills

Soft skills are personal attributes and personality traits that enable tourism entrepreneurs to interact and communicate effectively with others in the tourism industry. These skills include communication and presentation, teamwork and collaboration, leadership and motivation, time management and organization, adaptability and resilience. Tourism entrepreneurs with strong soft skills can build strong relationships with tourists, partners, and team members, navigate conflicts, and adapt to changing tourist demands and market conditions

Digital Literacy Skills

Lastly, digital literacy skills are crucial in today's technology-driven tourism industry. These skills refer to the ability to effectively use digital tools and technologies to manage and grow a tourism business. Tourism entrepreneurs with





Lokesh Kumar and Hawaibam Jhalari Devi

strong digital literacy skills can leverage technology to streamline operations, reach new tourists, and drive innovation in tourism products and services.

Impact of Skill Development on Tourism Entrepreneurial Innovation

The impact of skill development on tourism entrepreneurial innovation is profound and far-reaching. As tourism entrepreneurs develop their skills, they become more adept at identifying opportunities, generating ideas, and turning those ideas into reality (Becker, 1964). This, in turn, leads to increased innovation, competitiveness, and growth in the tourism industry. Skill development enhances tourism entrepreneurial innovation by improving the ability to recognize and capitalize on opportunities. Tourism entrepreneurs with advanced skills are better equipped to analyze tourism market trends, identify gaps, and develop innovative tourism products and services.

Moreover, skill development fosters a culture of experimentation and risk-taking, essential for innovation in tourism. Tourism entrepreneurs who continuously develop their skills are more likely to experiment with new approaches, technologies, and business models, leading to the discovery of new tourism opportunities and the development of innovative tourism solutions. Furthermore, skill development enables tourism entrepreneurs to build and lead high-performing teams (Goleman, 2000). Additionally, skill development facilitates collaboration and partnerships in tourism. Tourism entrepreneurs with advanced skills can effectively communicate and collaborate with stakeholders, including investors, partners, and customers, leading to the development of new tourism ideas, access to resources, and increased innovation. Skill development is a critical driver of tourism entrepreneurial innovation. By investing in skill development, tourism entrepreneurs can enhance their ability to innovate, compete, and grow in the tourism industry.

Impact of Skill Development on Tourism Entrepreneurial Growth

The impact of skill development on entrepreneurial growth is significant, leading to increased revenue, employment, and competitiveness in the tourism industry. As entrepreneurs develop their skills, they become more effective at managing and growing their tourism businesses. Advanced skills enable entrepreneurs to:

- Identify new tourism business opportunities, expanding their market reach and revenue streams. With improved market analysis and strategic planning skills, tourism entrepreneurs can capitalize on emerging tourism trends and customer needs.
- Enhance operational efficiency, streamlining processes and improving productivity in tourism operations. By developing skills in areas like project management and supply chain optimization, tourism entrepreneurs can reduce costs and improve overall performance.
- Build and lead high-performing teams, driving innovation and growth in tourism. Tourism entrepreneurs with advanced leadership and management skills can attract, motivate, and retain top talent, fostering a culture of innovation and collaboration.
- Improve financial management, securing funding and managing cash flow effectively in tourism ventures. With advanced financial skills, tourism entrepreneurs can navigate funding options, manage risk, and maintain a healthy financial foundation (Ross et al., 2019).
- Develop strategic partnerships and collaborations, expanding their network and access to resources in the tourism industry. Tourism entrepreneurs with advanced communication and negotiation skills can build strong relationships with partners, investors, and customers.
- Adapt to changing tourism market conditions, pivoting their tourism business or approach as needed. With advanced skills in areas like market analysis and strategic planning, tourism entrepreneurs can stay ahead of the competition and navigate uncertainty.

Findings

This systematic review of the literature on skill development and tourism entrepreneurial success reveals a number of key findings that highlight the critical role of skill development in achieving innovation and growth in the tourism industry. The following points summarize the main findings of the review, providing insights into the impact of skill development on entrepreneurial success and the specific skills that are most critical for tourism entrepreneurs to develop.





Lokesh Kumar and Hawaibam Jhalari Devi

Skill development has a significant positive impact on entrepreneurial success, with a moderate to strong correlation between skill development and innovation and growth in the tourism industry.

The most critical skills for entrepreneurial success are:

- Strategic planning and management
- Leadership and team management
- Market analysis and understanding
- Financial management and planning
- Digital literacy and technology adoption

Entrepreneurs who invest in skill development are more likely to:

- Identify and capitalize on new tourism business opportunities
- Develop innovative products and services
- Improve operational efficiency and productivity in tourism operations
- Build and lead high-performing teams
- Secure funding and manage risk

Skill development has a positive impact on entrepreneurial resilience, enabling entrepreneurs to better navigate uncertainty and adversity. The impact of skill development on entrepreneurial success is influenced by the quality and effectiveness of the skill development program or initiative. Entrepreneurs who develop skills in areas such as sustainability and social responsibility are more likely to achieve long-term success and growth. Skill development has a positive impact on entrepreneurial networks and relationships, enabling entrepreneurs to build stronger connections with customers, partners, and investors. The impact of skill development on entrepreneurial success varies across different stages of the entrepreneurial journey, with different skills being more critical at different stages. Skill development programs and initiatives should prioritize the development of soft skills, such as communication and teamwork, in addition to technical skills relevant to the tourism industry. The impact of skill development on entrepreneurial success is influenced by the entrepreneur's ability to apply and implement the skills developed.

DISCUSSION

The systematic review of existing literature reveals a significant positive impact of skill development on tourism entrepreneurial success, particularly in terms of innovation and growth. The findings suggest that tourism entrepreneurs who invest in skill development are more likely to achieve sustainable growth, innovate, and stay ahead of the competition. The review highlights the importance of skill development in enabling entrepreneurs to identify and capitalize on new tourism business opportunities. By developing skills in areas such as market analysis and strategic planning, entrepreneurs can better understand their customers' needs and develop innovative tourism products and services to meet those needs. Moreover, the review emphasizes the role of skill development in enhancing operational efficiency and productivity in tourism operations. The findings also suggest that skill development is critical for building and leading high-performing teams. Entrepreneurs who develop leadership and management skills can attract, motivate, and retain top talent, fostering a culture of innovation and collaboration. The findings of this review have important implications for entrepreneurs, policymakers, and educators. Entrepreneurs should prioritize skill development as a key strategy for achieving sustainable growth and innovation. Policymakers should invest in programs and initiatives that support skill development for tourism entrepreneurs, particularly in areas such as digital literacy and leadership. Educators should integrate entrepreneurship education with skill development, ensuring that entrepreneurs have the skills needed to succeed. Overall, this systematic review provides a comprehensive overview of the impact of skill development on tourism entrepreneurial success, highlighting the importance of skill development for innovation and growth in the tourism industry. By prioritizing skill development, entrepreneurs can achieve sustainable success and drive economic growth.





Lokesh Kumar and Hawaibam Jhalari Devi

CONCLUSION

In conclusion, the systematic review of the literature on skill development and tourism entrepreneurial success highlights the critical role of skill development in achieving innovation and growth. The findings of this review emphasize the importance of entrepreneurs developing a range of skills, including strategic planning, leadership, market analysis, financial management, and digital literacy. By investing in skill development, entrepreneurs can gain a competitive edge, foster innovation, and achieve sustainable growth in the tourism sector. Moreover, the review reveals that skill development has a positive impact on entrepreneurial success, with entrepreneurs who invest in skill development more likely to achieve sustainable growth, innovate, and stay ahead of the competition. Hence, the evidence suggests that skill development is a critical component of entrepreneurial success. By prioritizing skill development, entrepreneurs can achieve their goals, drive innovation, and create a brighter future for their businesses. As such, it is essential for entrepreneurs, policymakers, and educators to work together to create a supportive ecosystem that fosters skill development and entrepreneurial growth which can unlock the full potential of entrepreneurs and drive economic growth and prosperity.

REFERENCES

- 1. Acs, Z. J., & Audretsch, D. B. (2003). Handbook of entrepreneurship research. Springer.
- 2. Acs, Z. J., Audretsch, D. B., & Lehmann, E. E. (2017). The knowledge spillover theory of entrepreneurship. Small Business Economics, 49(1), 1-14.
- 3. Becker, G. S. (1964). Human capital: A theoretical and empirical analysis. University of Chicago Press.
- 4. Cope, J. (2005). Toward a dynamic learning perspective of entrepreneurship. Entrepreneurship Theory and Practice, 29(4), 373-397.
- 5. Damanpour, F. (1991). Organizational innovation: A meta-analysis of effects of determinants and moderators. Academy of Management Journal, 34(3), 555-590.
- 6. Goleman, D. (2000). Leadership that gets results. Harvard Business Review, 78(2), 78-90.
- 7. Hall, C. M. (2019). Tourism and innovation. Journal of Tourism and Cultural Change, 17(1), 1-13.
- 8. Kirzner, I. M. (1997). Entrepreneurial discovery and the competitive market process. Journal of Economic Literature, 35(1), 60-85.
- 9. OECD (2005). Oslo Manual: Guidelines for collecting and interpreting innovation data. OECD Publishing.
- 10. Ross, S. A., Westerfield, R. W., & Jaffe, J. (2019). Corporate finance. McGraw-Hill Education.
- 11. UNWTO (2020). World Tourism Barometer. Retrieved from https://www.unwto.org/world-tourism-barometer





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Comparative study of the Start-Up Ecosystem - Karnataka and Andaman-Nicobar Islands

Lysandra Johnson¹ and Vijaya Priya S²

Post Graduate Student, Department of Economics, Mount Carmel College Autonomous, Bengaluru, Karnataka, India.

²Faculty, Department of Economics, Mount Carmel College Autonomous, Bengaluru, Karnataka, India.

Accepted: 13 Aug 2024 Received: 21 Jun 2024 Revised: 03 Jul 2024

*Address for Correspondence Lysandra Johnson

Post Graduate Student, Department of Economics, Mount Carmel College Autonomous, Bengaluru, Karnataka, India.

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The study lays emphasis on start-ups and how the Ease of Doing parameters play a role in understanding the start-up culture in a region. The study also tries to bring forth the start-up scenario post-pandemic and the challenges faced by start-ups during the same period. The study, therefore, focuses on Karnataka (State) and Andaman and Nicobar Islands (Union Territory). The preliminary observation of factors affecting the start-up culture in both regions are considered for the study. The tools used in the treatment of the data gathered are regression analysis and chi-square analysis. Graphs and appropriate statistical tools have been taken help of for interpretation and inferences to be drawn.

Keywords: Start-ups, Ease of Doing Business, Revenue, Karnataka, Andaman and Nicobar Islands.

INTRODUCTION

The ecosystem for infant companies in India has been eventful due to changing dynamics in investor sentiments, government policies, and the overall regulatory atmosphere that has undergone major overhauling in the last few years. The startup culture has matured in while also highlighting shortcomings and achievements on this front. Government intervention through its policy framework has led to the development of startups and encouragement to entrepreneurs in the country, which include Make in India, Digital India, and Startup India. Recognizing the changing requirements of a dynamic ecosystem, the State/UT Startup Ranking Framework 2019 was developed. The framework also considers lessons learned and comments received from prior ranking efforts. States and UTs are divided into categories X and Y: States and UTs have been separated into Category-X and Category-Y to maintain uniformity and assure standardization in the ranking process. Category X (This group has all States and UTs except those in Category 'Y')





Lysandra Johnson and Vijaya Priya

Top Performers - Kamataka, Kerala

Category Y (This group has all North-Eastern States except Assam and all UTs except Delhi.)

Best Performer - Andaman and Nicobar Islands

Ease of Doing Business – and what the rankings indicate

The World Bank's Ease of Doing Business rankings offer an unbiased evaluation of business ease pertaining to rules of 190 nations while ranking them across the ten parameter criteria. India improved its overall ranking by 53 spots over a period of two years and by 65 spots over the course of four years, recording a rise of 23 ranks in 2018 after making a notable gain of 30 positions in 2017. It is significant that, despite regulatory hurdles, important areas including cross-border trade, building permits, starting a business, and obtaining financing and power have improved.

REVIEW OF LITERATURE

- Chatterjee, Deepashree. (2020). Startup India. This paper briefly discusses the various action plans and schemes which the government has initiated through the scheme of Start-up India.
- Bhalerao, Vaibhav & Harshal, Dayma. (2020). A Comparative Study of the Indian and the American Start-up Ecosystem.
- Kalyanasundaram, Ganesaraman & Ramachandrula, Sitaram & Mungila Hillemane, Bala Subrahmanya. (2021).

Observations from the ROL-

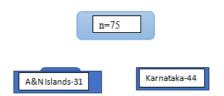
Most research papers analyze the existing startup culture and ecosystems in India with a primary focus on Government initiatives like Make in India and Startup India. Many research papers analyze the life cycle of startups. However, this study focuses on the factors affecting the startup culture with a focus on Karnataka and Andaman-Nicobar Islands using primary data.

Objectives of the study

- To determine the significance of the parameters of EODB in both the regions (Karnataka and A&N Islands)
- To analyze the challenges faced by startups during the covid-19 pandemic in Karnataka and Andaman and Nicobar Islands

METHODOLOGY

The study is both analytical and descriptive and relies on primary data. Field data has been mobilised through a structured questionnaire from startups operating from Karnataka and Andaman and Nicobar Islands. The questionnaire was curated in a manner that the necessary variables as per the requirement of study objectives was reached out. The study mainly focuses on how the startups have evolved and the key determinants inducing the working of small businesses in both regions. For the primary data requirement, purposive sampling under the Non-probability sampling technique was used, where the sample magnitude is 75.The tests conducted for the data collected are as follows. For Primary data – Regression analysis and Chi-Square test.







Lysandra Johnson and Vijaya Priya

RESULTS AND DISCUSSIONS

To ascertain the significance of the parameters of ease of doing business in both the regions (Karnataka and A&N Islands).

- To assimilate the factors and the parameters conducive for the startups in Karnataka and Andaman and Nicobar Islands
- To analyze the challenges faced by start ups in Karnataka and Andaman and Nicobar Islands during the Covid-19 pandemic. A primary survey was administered considering a sample extent of 75, of which 44 responses were recorded from Karnataka and 31 responses were recorded from Andaman and Nicobar Islands using purposive sampling technique under non-random sampling; following which the discussion is presented thereafter-

OBJECTIVE 1 ANALYSIS

Regression analysis on Ease of Doing parameters between Karnataka and Andaman and Nicobar Islands The below mentioned statistical tests were conducted across 5 EODB parameters in Karnataka and the A&N Islands. The parameters under focus are ranked by start-ups on a Likert scale of 1-5, 1 being very difficult and 5 being very easy. The independent variable for the test is the demographic variable – location i.e., Karnataka/A&N Islands. The ordinal regression test is run to predict the ordinal value of Ease of Doing business on an independent variable-the location of the start-up.

Regression Analysis General hypotheses Model fitting

Ho. There is no significant difference between the location and the Ease of Doing Business parameter(s)

H₁- There is a significant difference between the location and the Ease of Doing Business parameter(s)

Goodness-of-Fit

H₀- the observed parameter has goodness of fit with the variable- location

H₁- the observed parameter does not have goodness of fit with the variable- location

Pseudo R-Square

A value greater than or equal to 0.7 signifies that the variance between the variables has been explained

PARAMETER 1-Starting a business INFERENCE

Since the p value is greater than 0.05, there is no significant difference between location and starting a business, signifying that the location does not contribute to influencing one to start a business. It is the same across both Karnataka and Andaman. The p-value is greater than 0.05, indicating a significance between the location and starting a business. The goodness of fit analysis, the population is skewed. Survey entails that, majority of the enterprises tend to find starting a business in their respective locations easy. The R-Square value is less than 0.7 which shows that a very low degree of variance has been explained in the model. Only 1% of the model is explained, stating that it is an unreliable mode.

PARAMETER 2 - Registering property INFERENCE

The p-value is less than 0.05, indicating the significance between location and registering a property for business. Location influences the property registration decision and process. According to the survey conducted, it is easier for start-ups to register their property in Karnataka when put in comparison to Andaman. Since the p-value is greater than 0.05, there is no significance between location and registering property under the model of good fit test signifying that the distribution is not skewed, as the start-ups in Karnataka find registration of property easy, on the other hand, start-ups in Andaman find registration of property difficult. The R-Square value is more than 0.7 which





Lysandra Johnson and Vijaya Priya

shows a high degree of variance has been explained in the model. 70% of the model has been explained, stating that this is a reliable/ good model.

Parameter 3: Dealing with Construction Permits INFERENCE

the P value is less than 0.05, which signifies that there is a difference between location and pertaining to construction permits. Location influences the procedure with regard to construction permits. It is comparatively easier for startups to acquire construction permits in Karnataka when compared to Andaman. Since the p-value is greater than 0.05, there is no significance between location and dealing with construction permits under the goodness of fit test. This signifies that the distribution is not skewed, as the start-ups in Karnataka find dealing with construction purposes considerably easy than Andaman. TheR-Square value is more than 0.7 which shows a high degree of variance has been explained in the model. 80% of the model is being explained that this is a reliable model.

PARAMETER 4 - Getting Electricity INFERENCE

The P value is less than 0.05, which signifies that there is a significance between location and getting electricity. Location influences the start-up in ease of getting electricity. According to the survey conducted, it is very easy for start-ups to get an electricity connection in Karnataka when compared to Andaman. Since the p-value is greater than 0.05, there is no significance between location and ease of getting electricity under the goodness of fit test. This signifies that the distribution is not skewed, as the start-ups in Karnataka find getting an electricity connection easy, and on the other hand start-ups in Andaman find it very difficult to get an electricity connection. TheR-Square value is less than 0.7 which shows a high degree of variance has been explained in the model. 70% of the model is being explained which states that the model is reliable.

PARAMETER 5 - Getting Credit INFERENCE

The P value is greater than 0.05, this signifies that there is no significance between location and getting credit. This means that the location does not influence start-ups in the ease of getting credit. This means that irrespective of the location the start-ups find it easy to get credit to start their business. The P value is greater than 0.05, which means that there is a significance between the location and ease of getting credit. Therefore, according to the goodness of fit analysis, the population is skewed. Both populations tends to find it easy in getting credit to start their business in both locations (Andaman and Karnataka). The R-Square value is less than 0.7 which shows a less degree of variance has been explained in the model. Only 30% of the model is being explained, therefore it is an unreliable model.

OBJECTIVE 2

To analyze the challenges faced by startups during the covid-19 pandemic in Karnataka and Andaman and Nicobar Islands

CHI-SQUARE TEST

H₀- there is no relationship between startups operating online and the challenges(s) faced by startups during Covid-

H₁- there is a relationship between startups operating online and the challenges(s) faced by startups during Covid-19 Challenges – 1) Lack of funds 2) Temporary shutdown of business 3) Loss of customers

INFERENCE:

Lack of funds: Karnataka - Since the P value is less than 0.05, there is a significant association between the 2 variables. This means lack of funds influences the decision pertaining to whether the startups would want to operate online or not. Andaman - Since the P value is less than 0.05, there is a significant association between the 2 variables. This means lack of funds influences the decision pertaining to whether the startups would want to operate online or not.





Lysandra Johnson and Vijaya Priya

There exists a greater association between the 2 variables in Andaman 0.010<0.069. Therefore, H0 is rejected for both regions. Temporary shutdown of business: Karnataka - Since the P value is less than 0.05, there is a significant association between the 2 variables. This means the temporary shutdown of a business due to covid- 19 influences the decision pertaining to whether the startups would want to operate online or not. Andaman –As noted, the P value is less than 0.05, there is a significant association between the 2 variables. This is because a large number of startups in Karnataka operate in both Physical and online modes of business even prior to Covid – 19 Pandemic, whereas startups operating in Andaman did not have an online mode of business prior to the pandemic. Therefore, the null hypothesis is rejected for both regions. Loss of customers: Karnataka - Since the P value is greater than 0.05, there is no significant association between the 2 variables. This means that the loss of customers due to covid- 19 does not influence the decision pertaining to whether the startups would want to operate online or not. Andaman - Since the P value is greater than 0.05, there is no significant association between the 2 variables. This means that the loss of customers due to covid- 19 does not influence the decision pertaining to whether the startups would want to operate online or not. Therefore, the null hypothesis is failed to be rejected.

CONCLUSION

To analyze the factors and parameters conducive to startup culture in A&N islands and Karnataka Ordinal regression using the logit model showed that the location of the startup has a significant influence on the parameters of registering property, regarding construction licenses and getting credit. To analyze the challenges faced by startups in Karnataka and Andaman and Nicobar Islands and the factors affecting them. There was a significant relationship between startups operating in Karnataka with only physical business platforms and the challenges faced by these startups namely, lack of funds, temporary shutdown of business, and loss of customers. However, startups operating only in the physical business workspace in Andaman and Nicobar Islands showed a significant relationship with the challenge of a temporary shutdown of the business. Compared to Karnataka, Andaman and Nicobar Islands still has a lot of areas to work on. The business opportunities in Andaman and Nicobar Islands often do not turn out to be successful as the union territory is classified as defense land under the Government of India. Therefore, because of extremely strict rules and regulations regarding the registration of property and construction permits, there is a discouragement of financial investments made by private players from mainland India. With the onset of improved internet services in the islands, there can be more expectations of digitization of the market in Andaman and Nicobar Islands which will cause an expansion in the market and hence increase the coverage area of the market. Commuting is another major issue regarding trade in the islands, goods are imported and exported through waterways and airways. Improvement in the connectivity of the union territory to the mainland can improve trade and open ways for new businesses to operate from the islands. The Administration of Andaman and Nicobar Islands should work towards simplification of rules and regulations regarding the registration of property and dealing with construction permits. The Government of India should come up with policies that provide subsidies to young entrepreneurs to encourage and develop the startup ecosystem in Andaman and Nicobar Islands. Work towards creating awareness amongst the people in Andaman and Nicobar Islands regarding existing Government initiated schemes and policies which are mainly channelized towards enhancing the entrepreneurial ecosystem like Startup India, Make in India, etc. The Karnataka State authority can interfere towards creating incubation cells and support centers that support the existing startups and the new startups as there has been a tremendous surge in the number of state's startups. More policies that provide subsidies to entrepreneurial projects that are working towards environmental sustainability to maintain ecological balance.

REFERENCES

- 1. Adhana, D., & Gulati, N. (2019). Ease of doing business: A comparative study of India with BRICS and SAARC countries. *International Journal of Management, IT & Engineering, 9*(5).
- 2. Rault, Y. M., & Mathew, S. (2019). An Imbalanced Ecosystem. Economic and Political Weekly, 54(45), 45.





Lysandra Johnson and Vijaya Priya

- 3. Sharma, S., Raj, M., & Gandhi, T. Challenges and Issues Faced by Startup Companies in India. In *Sixteenth AIMS International Conference on Management ISBN* (pp. 978-1).
- 4. Kouamé, D. S., & Ivanaj, S. (2017). French technology start-ups: how and why are they succeeding?. *International Journal of Entrepreneurship and Small Business*, *30*(4), 490-508.

Table 1: Summary Table and Hypothesis Interpretation

Name of Test	Significance Value	Association Inference	Hypothesis Interpretation	
Model Fit	0.253	> 0.05	The null hypothesis failed to be rejected	
Goodness of Fit	0.193	> 0.05	Null Hypothesis is Rejected.	
R Square	0.184	< 0.7	10% of the model is explained.	

Table 2: Summary Table and Hypothesis Interpretation

Name of Test	Significance Value	Association Inference	Hypothesis Interpretation	
Model Fit	0.019	<0.05	Null Hypothesis is rejected	
Goodness of Fit	0.314	> 0.05	Null Hypothesis is failed to be rejected	
R Square	0.775	> 0.7	70 % of the model is explained.	

Table 3: Summary Table and Hypothesis Interpretation

Name of Test	Significance Value	Association Inference	ciation Inference Hypothesis Interpretation		
			, ,		
Model Fit	0.014	< 0.05	Null Hypothesis is rejected		
Goodness of Fit	0.145	> 0.05	The null Hypothesis is failed to be Rejected		
R Square	0.81	> 0.7	80 % of the model is explained.		

Table 4: Summary Table and Hypothesis Interpretation

Table 4. Summary Table and Trypothesis interpretation				
Name of Test	Significance Value	Association Inference	Hypothesis Interpretation	
Model Fit	0.031	< 0.05	Null Hypothesis is rejected	
Goodness of Fit	0.172	> 0.05	Null Hypothesis is failed to be Rejected.	
R Square	0.71	> 0.7	70 % of model is explained.	

Table 5: Summary Table and Hypothesis Interpretation

rubio di Guillinary rubio una rrypourosio interprotation				
Name of Test	Significance Value	Association Inference	Hypothesis Interpretation	
Model Fit	0.088	> 0.05	Null Hypothesis is failed to be rejected	
Goodness of Fit	0.065	> 0.05	Null Hypothesis is Rejected.	
R Square	0.374	< 0.7	30 % of model is explained.	

Table 6: Chi-square test between the Startups operating in online mode and the challenges faced

Karnataka			A& N Islands	
Pearson Chi-Square	Value	Asymptotic Significance (2- sided)	Value	Asymptotic Significance(2- sided)
Lack of funds	6.718	.069	4.034a	.010
Temporary shutdown of business	7.218ª	.040	7.034ª	.011
Loss of customers	6.369a	.341	3.171a	.205





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Medimix: A 50-Year Journey of Entrepreneurial Innovation and Brand Leadership in Ayurveda Personal Care

Rajalakshmi Vel1* and A.S.Poornima2

Assistant Professor, Faculty of Management Sciences, Sri Ramachandra Institute of Higher Education and Research, Chennai, Tamil Nadu, India.

²Assistant Professor, Faculty of Management Sciences, Sri Ramachandra Institute of Higher Education and Research, Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Rajalakshmi Vel

Assistant Professor, Faculty of Management Sciences, Sri Ramachandra Institute of Higher Education and Research,

Chennai, Tamil Nadu, India.

Email: drrajalakshmivel@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Today, wellness is a serious business around the globe as many companies try to create their fortunes in the industry. Given the modern lifestyle induced health issues, customers have started paying more attention to wellness solutions. While a growing economy and populous country like India offers significant market potential for niche products, there is also a shift to age-old practices of Ayurveda as a mainstream care for health issues. Among the many natural approaches to health and wellness, the Indian Ayurveda has retained its charm and impact in a competitive wellness market. Leading domestic player Medimix is synonymous with Ayurveda. The brand Medimix has carved a niche for itself in the personal care segment with its dual approach of preserving ayurvedic traditions and tweaking its media campaigns to changing consumer landscape. The company turned to nature for business idea, conceptualised and pioneered ayurveda based soap at a time when Ayurveda did not receive much recognition in business forums. Part I of the case illustrates entrepreneurship outlook for launching new business and explores various dimensions of entrepreneurship deployed by the company linking it with an appropriate theoretical framework. Part II of the case explores the brand journey of Medimix with focus on brand positioning through various initiatives for 50+ years. The case is developed through an in-depth secondary data reference. Overall, the case would help readers identify essential pivots and growth levers that brand Medimix adopted to unlock value in a highly competitive market. The case calls for crafting new marketing plans to sustain leadership in the Ayurveda market for personal care products. The case can be used for courses of entrepreneurship, marketing management and branding of both under graduate and post graduate management programs. The students are expected to have knowledge of marketing fundamentals.

Keywords: Ayurveda, FMCG, Entrepreneurship, Strategy, Brand Positioning, D2C





Rajalakshmi Veland Poornima

INTRODUCTION

Saga of Medimix

It was 7 p.m in the evening, a hot humid day for Chennai. Dr V.P. Sidhan (Dr.Sidhan) a well-known doctor of Indian railways returned to his quarters engulfed in thoughts. Sowbhagyam Sidhan, the dutiful wife, probed his deep silence with a bright smile and evening coffee. 'I come across our railway labourers who face skin ailments on a recurring basis. Other than medicines, I prescribe ayurvedic oils. My friends advise me to try my formulations anddevelop a soap' shared Dr.Sidhan. The wife jokingly remarked 'a soap for multiple skin disorders... that would be sheer magic.' The idea of developing an ayurvedic soap bar that could solve multiple skin problems originated. Hailing from a family of ayurvedic practitioners, Dr.Sidhan tried his hand at various formulations. The couple invested their limited salary to make soaps in the kitchen. They were assisted by Ashokan, the first employee of Medimix. No boardroom discussion, no market research, no feasibility study. A pure conviction backed by sound knowledge was what made Dr.Sidhan start his entrepreneurial journey in 1967. During the initial years, the soaps were made by Dr Sidhan himself in the backyard of the railway quarters assisted by his wife who did cutting, pressing and packing. In a day they made anywhere between 100-120 soaps, which were pedalled to medical shops by Dr.Sidhan. Over the years as the soap gained popularity, they rented a house for scaling up production. The quality of the soap to heal skin diseases spread by word of mouth. Doctors recommended it to their clients and Medimix entered the physician's prescription list. 50+ years later, as the company is acing to be No.1 in Ayurveda personal care segment, the second generation leader Mr. Pradeep Cholayil is confronted with newer challenges.

Genesis of Medimix

The visionary doctor with his sharp business acumen commercially launched brand 'Medimix' a name coined from the words Medicine and Mix thereby marking the journey of a prescription-only product to a most-sought after brand. Dr.Sidhan's khadi-enterprise set up its first manufacturing unit with one employee and registered as Cholayil Private Limited²⁰. Medimix, an atypical soap was an amalgamation of 18 herbs²blended with three natural oils that protect and nourish skin in the most natural way. Over the years, though many product categories were added, the brand kept its essence intact i.e Herbal and Handcrafted.

The grandmother swore for its ayurvedic properties

The father enjoyed its fragrance

The mother acknowledged its skin care

The neighbour found value for money

All by a home-grown brand that gave legacy brands a run for their money!

As business evolved challenges arose. Medmix faced its first labour strike at its Chennai plant in 1980s that halted production¹². Dr Sidhan roped in A V Anoop from his family to handle the managerial issues at the plant. Work resumed to normalcy in the plant with adding of one more production unit in Madhavaram, Chennai¹².

Excellence of Brand Medimix

- Pioneer in Ayurveda

The brand had a compelling story to tell. Back in 1900s, Cholayil Kunju Maami Vaidyar, a physician by profession advocated natural and unconventional methods of healing. The family had an oil that was prescribed for all kinds of skin ailments. His contributions earned him the titles Modern Dhanvantri, Miracle Man for medicinal cure. He travelled extensively to other parts of the country and Sri Lanka treating patients. As a tribute to his proficiency, his earnest patient Shri Narayan Guru remarked,

"There are no numbers that can't be divided by one There are diseases that can't be cured by maami"

Source: www.cholayil.com





Rajalakshmi Veland Poornima

The legacy was carried on by his son Shri Sankaran Vaidyan who exhibited the same proficiency in treatment. Further he helped the financially weak by providing free treatment and medicines. In line with the family wisdom, Dr Sidhan, being a clinically efficient physician combined various ayurvedic formulations for treating patients. He pursued extensive Ayurveda research and Medimix is a testimony to his in-depth knowledge of Ayurveda for economic benefit.

Handmade

A brand that dates back to half century ago, Medimix soap bars are meticulously made by hand till date, preserving its tradition of 'handmade'. The lable 'Medimix' on soap bars is engraved with a hand operated machine. As the conveyor belt takes the soaps to the packaging line, employees do the final packaging by hands. On an average each 8 hour shift produces 1,00,000 soap bars every single day⁹. The sales numbers are pretty impressive that touched the 10,000 ton mark for FY 2019⁹ for the first time in its 50 year long history.

Process Superiority

According to company sources, unlike most soap manufacturers who use soap pellets that are by-products of oil refineries, Medimix blends the oils directly in the soap making process. To this rich mixture, herbal extracts and perfumes are added at regular intervals, stirred and rotated in huge stainless steel containers causing the aroma to infiltrate the senses. Froths rise to the brim with organised stirring which are eventually laid out on the turntable. Shades of green emerge in varying textures indicating the final time it was stirred. Overall, an optimum proportion of coconut oil mixed with herbs ensures the retention of natural skin glycerine.

"This is why Medimix remains the largest selling handmade soap in the country. At every stage, we've got people operating these machines," states Vinayachandran, VP of AVA group¹².

- Innovation

At a time when the industry was dominated by large players who had automated processes for soap making, the company relied on human interventions at every stage of its production process. The company adopted innovative practices wherein redesigned some aspects of the machinery as suggested by employees. Process innovation was carried out to ensure safety protocols.

Medimix is not only known by what goes into it, but also by what goes behind making this Ayurvedic soap a force to be reckoned with in a market dominated by multinational players. The process does not use any electricity, but relies on a series of innovations made by the labourers involved, which makes it seamless and cost-effective²⁰.

Employees First

It was a general opinion that scaling up is not possible without use of electricity operated machines. The company regularly solicited ideas from each employee as it strongly believed 'the one who makes the soaps knows better'20. Ideas are screened by an in-house team of experts and once validated, further trials are conducted for implementation. Funds are exclusively allocated for the innovation and experimentation. Unlike, companies who hire the services of external consultants, Medimix turned back to its employees for idea generation.

- Cost Advantage

Handmade soaps involve labour intensive production process. As a result, it consumesless electricity, resulting in savings for the brand. The benefit is passed on to consumers in the form of reduced price. As per 2018 company reports, approximately 800-900 tonnes of soaps were produced per month priced anywhere between Rs.15 – 50 (as per weight)¹².By not taking the mechanized route, the company remains true to its legacy of handcrafted and herbal and is able to offer the soaps at a competitive price.





Rajalakshmi Veland Poornima

Division of Business

The year 2007 saw the split in business operations. Today, the brand name Medimix is shared by two companies, AVA, in the South owned by Dr.Sidhan's Son-in-law A V Anoop and Cholayil in the north run by his son Pradeep Cholayil.

AVA Group

The Coronavirus has changed the demand for the brand, creating a pull for the product, instead of relying on a push from the marketers. AVA group has forayed into the hand-wash and sanitiser category, an opportunity thrown by pandemic. AV Anoop, MD, AVA group remarks "The growth came as sweet surprise for the segment, which was de-growing" registering a 25% surge in demand for liquid soaps. Marking the 50th year of operations, AVA group also forayed into healthcare segment by opening Sanjeevanam, a holistic health centre in Kochi, Kerala. The centre hosts an ayurvedic therapy unit, a beauty centre, restaurant and nature store. Further diversifications of the group include Kaytra that marks the foray of the brand into skin and hair care segment. The takeover of Melam Spices by AVA group marks its entry in food segment. While retaining its flagship product Medimix soap, in order to tap the beauty segment in personal care products the brand Divine was launched. Divine has six variants which are mostly overlaps; Turmeric and sandal, Clear Glycerine for deep hydration, for Oil balance, for Natural toning, Sandal soap and transparent soap. While it is clear that Medimix is handmade, no such specification is stated for other soap varieties.

Cholayil Private Limited

In North, the company Cholayilmarkets under the same brand name Medimix and the product portfolio consists of soaps with four variants; 18 Herbs, Natural Glycerine, Sandal and Turmeric and face washes with eight variants; anti pimple, anti tan, oil clear, everyday face scrub, moisturising, natural glow, turmeric and anti pimple. In the personal care category, it has Ayurvedic pharma products, Ayurvedic treatment and care services. Medimix, Cuticura and Krishna Thulasi are the three main brands that Cholayil has under its fast moving consumer goods (FMCG) business segment. As a part of digital strategy, Cholayil launched its own website. The brand has chosen direct-to-consumer (D2C) platform to cater to domestic and export market with diversified basket of personal care products. "The D2C business is helping us in generating lot of data and insights about who our real consumers are. Also, it gives us an opportunity to test out new products directly with consumers," notes MD & CEO, Pradeep Cholayil¹³. Given the challenges of a highly penetrated market, the company Cholayil has found an opportunity to grow its offerings to South-East Asian markets of Vietnam, Taiwanand Cambodia. The shift towards herbal-naturals across the globe is an opportunity the company is capitalising on. Buoyed by robust demand for Ayurveda products, Cholayil has unveiled its premium range Sadhev as an independent brand sold through D2C. Sadhev consists of body oils, serums, shampoos and premium soaps which would be eventually marketed through exclusive stores in top metros.

Journey of the brand from Print to Digital

It was the year 1969 and television was the privilege of the elite few in metros. Print media ruled the roost and advertising meant newspaper advertisement only. The soaps that were in vogue were mostly Lux, Rexona which promised beautiful skin to the young ladies and teenagers. Yet, Dr.Sidhan, from Tamilnadu, an allopathic doctor from the Indian railways embarked on providing skin care to sanitation workers launched the soap Medimix using ayurvedic preparation. 'Doctors prescribe Medimix' was their first tagline on print, OOH, and radio. But as early as 1970's they showed a bikini clad woman and launched the soap on the platform of ayurveda claiming cure of the most common skin ailments, pimples, blackheads and more serious diseases like scabbies. During the late 90s and early 2000s, Medimix moved from 'Cure for skin diseases proposition' to 'protection proposition'. The tag line was 'Asli Suraksha, Kudrati Suraksha'meaning natural protection is real protection. The audience for Medimix has been different and has extensively focused on the uniqueness of the product and endorsement by celebrities. 'Tvacha kal ke liye taiyaar' campaign, released in the late 90s, tried to brand Medimix for 'timeless beauty' hinting to the fact that disease free skin means timeless beauty. The next in line advertisement (ad) launched in early 2000 read 'Skin care





Rajalakshmi Veland Poornima

today for better tomorrow'. This featured a mother and daughter duo signalling the transformation from Skin protection to Skin protection + Handing over to generations platform. Television grew in popularity in late 1980's and early 1990's. Medimix was quick to spot the opportunity and featured celebrities like actress Trisha. The television ad focused on branding Medimixas one stop solution for all skin issues with an underlying message that discouraged the use of pimple creams and other beauty products which were considered to be expensive thereby pushing Medimix to value for money platform to influence the price conscious customer. The 'Shut Up' series moved Medimix from Skin protection to Fun platform. In 2004, Medimix released Ms Pimply ad, brought forth the daily skincare problems faced by teenage girls. The fun tone connected well with teenagers and is one of the popular of Medimix series. It also said no to anti pimple lotions and creams sold in the market, thereby positioning Medmix as the ultimate solution aggressively endorsing the '18 herbs' real ayurvedic soap. As more ladies started to work, Medimix embarked on 'Sachchai Aur Suraksha' campaign that celebrating woman who took up tough roles. Designed to showcase the brand's tribute to women army personnel, the ad portrayed a defence woman holding rifle for war purpose. How strong women go with zero skincare by using Medimix underlining that 'Truth is protection'. Another campaign which set apart the soap from its competitors is 'Nothing better than natural'. The ad urged all generations, to chuck their gadgets and go natural.

Medimix in Digital Space

Early 2019 saw the brand again repositioning itself as 'Get skin fit with fast acting Ayurveda'. The medium was mostly digital. Taking advantage of the two way communication being possible in social media, the brand began to engage with young customers by asking their opinion through 'Time to rethink' campaign focused on breaking the myth that Ayurveda is slow and thus was born the 'Fast acting Ayurveda' campaign. The face book page of Medimix Ayurveda has about 2,80,000 people speaking about it. Social media has dual advantage; Firstly, it enables multinodal exchanges. Secondly, social media ads can be changed quickly responding to the mood of the audience. A special ad created for Valentine's Day showed Ayurveda as solution for a speed-date and quick results. Similarly, the Ganesha idol ad during Ganesh Chaturthi, drew the attention of the audience to environment pollution and urged them to use the Miedimix bar instead ditching the traditional Ganesha murthi made of mud. The immersion of the idol then would be soap water which could then be used as hand wash. The brand echoed the principle of triple bottom line i.e Profits, People and Planet, the way ahead for modern businesses. The YouTube channel reinforces the campaign' 'Get skin fit with Ayurveda' and consists of all commercials which are on TV. The brand has a strong presence in Instagram as well with the content focusing on the concept 'Get skin fit with Fast acting Ayurveda'. Medimix as a brand has come a long way since it first started its brand positioning as 'Soap recommended by doctors', 'Natural protection is the best protection', 'Value for money', 'Nothing better than natural'. In the post pandemic world, the brand has repositioned its strategy with the campaign 'Get skin fit with Ayurveda' insisting on good skin protection through Ayurveda. The brand has taken the celebrity endorsements route by roping in actresses Trisha, Vidya Balan and Parineeti Chopra.

Lead or Bleed

Back in the mid-2000s, a sense of peril gripped Pradeep Cholayil, the second generation leader. As the world evolved, new beauty conscious consumers arrived. It was time to revamp the brand; to ditch the red-black packaging. Dr.Sidhan didn't agree. There were reasons. He had worked hard to make the soap a household brand. Any changes may weaken the loyal customer base which he noticed in the case of other brands who changed packaging and lost significant market share. After much reasoning with his son he agreed and the brand had a complete makeover with a fresh green packaging, marking the move from medicinal bar to beauty soap. A decade later, the space in which the brand pioneered was cluttered with new players popping up each day communicating directly with the customers. Heading into 2019, the jubilee year of the brand, though the company clocked in Rs.200 crore revenue as a top selling brand, volumes were shrinking²². A consumer survey of 2019 carried out clearly pointed that mostly people used Medimix when they faced skin issues and there were few regular users¹⁵. This time around the company invested around 12-15% of overall sales on marketing¹⁶. It was time to change the narrative. It ran digital campaigns to appeal to new age consumers.





Rajalakshmi Vel and Poornima

Young consumers feel that natural products take a lot of time in providing results, and there is a serious dearth of time and patience in their fast-paced life. Medimix has been repositioned to bust this myth with the new brand positioning,' said Ashish Ohlyan, Marketing Head, and Product Development, Medimix¹⁵. Actor Parineeti Chopra was chosen as the new brand ambassador to promote the ayurvedic offerings to young consumers. The tweaked advertising strategy helped to them improve average consumption and purchase occasions. According to Ohlyan, '..... We are continuously investing in revamping our brand image'¹¹. Other moves by the brand included sponsoring team Kolkatta Knight riders in IPL 2020, Search engine optimization (SEO) activities, partnering with e-commerce players Flipkart, Amazon, launch of own digital platform for D2H, deployment on customer analytics, all with a zeal to grow.

Mapping the road ahead

As the brand keeps working on its campaigns in a highly competitive market, the long-term objective of the brand is to evolve as a leader in Ayurveda, a space in which it pioneered 54 years ago. The brand is also transitioning as a personal care player and the sole mission of the company is: To be the go to product for all age groups – millennials to older generation. The challenges are different. The characteristics of the Ayurveda market have changed. It is abysmal flooded with new theories of Ayurveda created by brands. Not until recently, it began to be a part of wellness. People didn't consider herbal as something related to wellness. Just like how sports is regarded as wellness today. They thought both were separate until studies came to show that Ayurveda skin care is holistic leading to overall wellness. With this new found knowledge, consumers are increasingly curious to find out product ingredients i.e what exactly goes into the product they buy. There is a wave of consumer consciousness all industries are facing. Authenticity upholds their purchase decisions. As a result the loyal consumer base for brands keep oscillating. Additionally, there are things like online reviews, social media forums which influence what goes into their cart. How can an iconic brand like Medimixrecalibrate authenticity to foster customer loyalty? What could be a well-crafted strategy that the company can aim at for long-term growth? While India is a big market for Ayurveda market for personal care products, there is no one uniform scenario within India. 'There is a stark difference between the scenarios in south and non-south market and there are rural markets within' says Jagdeep Kapoor, Chairman and MDat Samsika Marketing consultants²².Making inroads into state markets of Gujarat, Rajasthan, Maharashtra requires more than running regular regional commercial ads given regional cultures. These markets are also highly penetrated by Hindustan Unilever with its products such as Lux, Hamam and Rexona. What out of box approach can a 50-year brand adopt to gain wallet share first and market share gradually? How can Mr.Cholayil apply his experience of Medimix in Chennai to cater to new markets and eventually grow his new categories of body wash, shampoo, conditioners and premium products? 'We want to be more than a soap company!' exclaims the agile leader22.

REFERENCES

- 1. CII-India-Ayurveda-Industry-Road-Map__101417_CAM-v4-Edited-Final.pdf. (n.d.).
- 2. https://brandequity.economictimes.indiatimes.com/news/marketing/a-social-media-campaign-urging-people-to-stay-skinfit/77265465 . (n.d.).
- 3. https://economictimes.indiatimes.com/industry/cons-products/fmcg/ava-group-targets-rs-500-crore-sales-turnover-in-fy20/articleshow/69758887.cms. (n.d.).
- 4. https://economictimes.indiatimes.com/industry/cons-products/fmcg/medimix-journey-from-ayurvedic-soap-to-hand-wash/articleshow/17564422.cms. (n.d.).
- 5. https://opportunityindia.franchiseindia.com/article/franchising-is-an-ideal-gateway-to-entrepreneurship-pradeep-cholayil-10806 . (n.d.).
- 6. https://www.adgully.com/ayurveda-and-smart-positioning-medimix-has-got-the-formula-right-86402.html (n.d.).
- $7. \qquad https://www.adgully.com/medimix-launches-ayurvedic-handwash-with-a-new-campaign-97068.html.\ (n.d.).$





Rajalakshmi Veland Poornima

- 8. https://www.business-standard.com/article/companies/stung-by-a-virus-medimix-finds-its-pull-factor-120032301852_1.html. (n.d.).
- 9. https://www.financialexpress.com/opinion/whats-the-future-of-handmade-soaps-iconic-medimix-is-facing-tough-competition-from-mncs/1396113/ . (n.d.).
- 10. https://www.forbesindia.com/article/take-one-big-story-of-the-day/50-years-on-how-medimix-is-keeping-itself-relevant/65021/1 . (n.d.).
- 11. https://www.indianmirror.com/indian-industries/soap.html . (n.d.).
- 12. https://www.indiantelevision.com/mam/marketing/brands/eye-on-competition-medimix-to-heighten-its-marketing-efforts-in-fy21-210216. (n.d.).
- 13. https://www.livemint.com/companies/news/medimix-turns-50-relaunches-with-actor-parineeti-chopra-to-woo-young-consumers-1559126880001.html. (n.d.).
- 14. https://www.researchandmarkets.com/reports/4757742/soap-market-in-india-2018-2023. (n.d.).
- 15. https://www.thehindu.com/life-and-style/ayurveda-and-design-come-together-in-ayurvedic-brand-cholayils-latest-beauty-venture-sadhev/article29595481.ece . (n.d.).
- 16. https://www.thehindubusinessline.com/companies/cholayil-pvt-ltd-embarks-on-direct-to-consumer-strategy/article33842191.ece . (n.d.).
- 17. https://www.thenewsminute.com/article/50-years-medimix-brief-history-india-s-largest-selling-handmade-soap-82272 . (n.d.).
- 18. https://yourstory.com/2018/07/vision-bottle-medicine-medimix/amp. (n.d.).
- 19. www.avacare.in. (n.d.).
- 20. www.cholayil.com. (n.d.).
- 21. www.medimixayurveda.com. (n.d.).





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

The Role of Education and Skill Development in Empowering Women and Boosting India's Economy

S. Meenakshi*

Assistant Professor, Department of Economics & Centre for Research in Economics, The Madura College, (Autonomous), (Affiliated to Madurai Kamaraj University), Madurai, Tamil Nadu, India

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

S. Meenakshi

Assistant Professor,

Department of Economics & Centre for Research in Economics,

The Madura College, (Autonomous),

(Affiliated to Madurai Kamaraj University),

Madurai, Tamil Nadu, India



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Education and skill development are pivotal in empowering women and driving India's economic growth. This paper examines the transformative impact of education and skill development on women's empowerment and India's economy. It highlights the positive correlations between women's education, skill development, and economic outcomes, entrepreneurship, and GDP growth. The study also explores the challenges and barriers to women's education and skill development, such as gender bias, limited access to resources, and societal norms. To address these challenges, the paper recommends policy interventions, including gender-sensitive education and training programs, mentorship initiatives, and civil society. By investing in women's development, India can unlock its full economic potential, promote achieve sustainable development.

Keywords: Women empowerment, education, skill development, India, economic growth, gender equality, human capital development.

INTRODUCTION

India's economic growth and development are inextricably linked to the empowerment of its women. However, the country's progress is hindered by the underutilization of its female workforce. Education and skill development are essential catalysts for empowering women and unlocking their full potential. Despite significant advancements, Indian women continue to face numerous challenges, including:

- Limited access to quality education
- Gender-based discrimination





Meenakshi

- Limited career opportunities
- Social and cultural constraints

These barriers prevent women from participating fully in the economy, leading to a significant loss of talent, innovation, and productivity.

- Enhancing employability
- Increasing entrepreneurship
- Improving decision-making capabilities
- Boosting economic participation

This paper explores the transformative role of education and skill development in empowering women and driving India's economic growth. By examining the current state of women's education in India and the effective strategies for unlocking the full potential of Indian women and propelling the country towards sustainable development.

- Empower women: Enhance their decision-making capabilities, boost self-confidence, and foster economic independence.
- Reduce gender inequality: Narrow the gender gap in education, employment, and income.
- Stimulate economic growth: Increase labor force participation, boost productivity, and drive innovation.
- Improve social indicators: Enhance health, nutrition, and child welfare outcomes.

LITERATURE BACKGROUND

OBJECTIVES

- 1. To analyze the trends in women's empowerment indicators in India from 2005 to 2023.
- 2. To examine the relationship between economic growth and women's empowerment in India.
- 3. To identify the progress made in women's education, labor force participation, and leadership positions in
- 4. To highlight the gaps and challenges in achieving femininity fairness and women's empowerment in India.

RESEARCH METHODOLOGY

Study used for secondary data journals, statistical databases, and other published literature, reputable sources, such as: Government reports and statistical databases (e.g., Ministry of Human Resource Development, National Sample Survey Office), Academic journals and books (e.g., Journal of Education and Development, Indian Journal of Labour Economics), International organization reports (e.g., World Bank, International Labour Organization)

Period of the study

The study period spans from 2005 to 2023, covering 18 years of data and trends on women's education, skill development, and economic empowerment in India. Statistical tools

Arithmetic Mean and Average

Mean =
$$\frac{\epsilon x}{N}$$

Where,

∈= Represents the summation

x = Represents Scores and

N= Represents number of scores





Meenakshi

Index Numbers Index Number for the Year, I Value of Education and skill development in the year i X 100

Value of Education and skill development in the year

RESULTS AND DISCUSSIONS

Women's Education

This table highlights the progress made in women's education and empowerment in India from 2005 to 2023. The indicators show a consistent increase in the average values indicate a steady improvement in women's education and empowerment, with significant progress in literacy rates, primary education enrollment, and higher education enrollment. The projected values for 2023 suggest a continued positive trend, indicating and women's empowerment in India. This table presents the trends in women's education and empowerment indicators in India from 2005 to 2023. The indicators include; Female Literacy Rate (%), Girls' Enrollment in Primary Education (%) Women's Higher Education Enrollment (%) from 2005 to 2022 and projected values for 2023 for the actual value. The 2005 as the base year (100%) in Index number is used. Female Literacy Rate: There has been a steady increase in female literacy rate from 54.2% in 2005 to 67.25% in 2023 (projected). Average literacy rate is 62.45%, Girls' Enrollment in Primary Education: There has been a consistent increase in girls' enrollment in primary education, from 90.2% in 2005 to 98.5% in 2023 (projected). The average enrollment rate is 95.37%. Women's higher education enrollment, from 12.1% in 2005 to 30.2% in 2023 (projected). The average enrollment rate is 21.63%.

Skill Development

This table 2 highlights the progress made in women's skill development and empowerment in India from 2005 to 2023. The indicators show a consistent increase in: The table demonstrates significant progress in women's skill development and empowerment, indicating and economic empowerment. However, the projected values for 2023 suggest a slight decline, emphasizing the need for continued efforts to support women's skill development and entrepreneurship. The table 2 skill development programs, from 10% in 2005 to 50% in 2023 (projected). The average participation rate is 21.63%. Number of Women Trained in Vocational Skills, women trained in vocational skills, from 1.2 million in 2005 to 12 million in 2023 (projected). The average number of women trained is 4.85 million. The average entrepreneurship rate is 23.11%. There women's skill development and empowerment indicators in India over the past two decades. Women's participation in skill development programs has increased by 40 percentage points, and the number of women trained in vocational skills has increased by 10 times. Women's entrepreneurship has seen a substantial increase of 37 percentage points. The steady increase in women's participation in skill development programs indicates a growing focus on skill development and employability.

Women Empowerment

This table 3 highlights the progress made in women's empowerment in India from 2005 to 2023. The indicators show a consistent increase in women's labor force participation, a narrowing of the gender gap index, and a rise in women in leadership positions. The projected values for 2023 suggest a continued positive trend, indicating and women's empowerment in India. This table presents the trends in women's empowerment indicators in India from 2005 to 2023. The indicators include: Women's Labor Force Participation: There has been a steady increase in women's labor force participation, from 23.1% in 2005 to 33.6% in 2023 (projected). The average participation rate is 28.41%. Gender Gap Index: The gender gap index has narrowed over the years, indicating a reduction in gender-based disparities. The index has decreased from 0.593 in 2005 to 0.476 in 2023 (projected).





Meenakshi

Economic Growth and women Empowerment

This table highlights the positive correlation between India's economic growth and women's empowerment from 2005 to 2023. The average values indicate a significant improvement in women's empowerment, with women's contribution to GDP increasing by 18 percentage points and women in leadership positions rising by 428%. The projected values for 2023 suggest a continued positive trend, indicating and women's empowerment in India's growing economy. Table 4 Trends in Economic Growth and Women Empowerment Indicators in India (2005-2023). This table 4 presents the trends in economic growth and women's empowerment indicators in India from 2005 to 2023. Government Programs for Women's Empowerment and Skill Development in India

- Sarva Shiksha Abhiyan (SSA)
- National Scheme of Scholarships for Girl Children
- Mahila Shakti Kendra (MSK)
- Deendayal Antyodaya Yojana-National Rural Livelihoods Mission (DAY-NRLM)
- National Skill Development Mission (NSDM)
- Ujjwala Yojana
- Jan Dhan Yojana

Suggestions of the study

- ❖ Increase investment in girls' education and women's skill development programs.
- Implement policies to address gender-based violence and discrimination.
- Promote women's entrepreneurship and self-employment opportunities.
- Increase representation of women in leadership positions in various sectors.
- Provide training and mentorship programs for women to develop leadership skills.
- Encourage public-private partnerships to support women's empowerment initiatives.
- Monitor and evaluate the effectiveness of women's empowerment programs and policies.
- ❖ Address the socio-cultural barriers that hinder women's empowerment.
- ❖ Increase access to technology and digital literacy for women.
- Provide support for women's organizations and community groups working towards women's empowerment.
- Encourage men's involvement in promoting gender equality and women's empowerment.
- ❖ Develop and implement gender-sensitive policies in all sectors.

Recommendations of the study

Short-term recommendations (2023-2025)

- ❖ Increase funding for girls' education and women's skill development programs.
- ❖ Launch awareness campaigns to address gender-based violence and discrimination.
- **Stablish** mentorship programs for women entrepreneurs and leaders.

Medium-term recommendations (2025-2030)

- Implement policies to address the gender pay gap.
- Increase representation of women in leadership positions in various sectors.
- Develop and implement gender-sensitive policies in all sectors.

Long-term recommendations (2030-2040)

- Reduce gender-based violence and discrimination by 50%.
- ❖ Increase women's labor force participation to 50%.

Policy-level recommendations

- Develop a National Policy on Women's Empowerment.
- **Section** Establish a Ministry of Women's Empowerment.





Meenakshi

Increase budget allocation for women's empowerment programs.

Social-level recommendations

- Promote gender equality and women's empowerment through media and cultural events.
- * Encourage men's involvement in promoting gender equality and women's empowerment.
- Develop community-based initiatives to support women's empowerment.

CONCLUSION

Education and skill growth are necessary tools for empowering women and driving India's economic growth. By investing in women's human capital, the nation can harness the potential of a vast and untapped resource. This research has demonstrated the strong correlation between women's education levels, skill acquisition, and their participation in the workforce.

REFERENCES

- Government Reports: Ministry of Human Resource Development (MHRD), Ministry of Women and Child Development (MWCD), Ministry of Skill Development and Entrepreneurship (MSDE), Reserve Bank of India (RBI).
- Statistical Data: National Sample Survey Office (NSSO), Census of India, Labour Bureau, National Statistical Office (NSO).
- 3. International Organizations: World Bank, International Labour Organization (ILO), United Nations Development Programme (UNDP), UNESCO.
- 4. Research Studies and Reports: National Council of Applied Economic Research (NCAER), Indian Council for Research on International Economic Relations (ICRIER), Centre for Policy Research (CPR), Brookings India
- 5. Academic Journals, Journal of Education and Development, Indian Journal of Labour Economics, Journal of Women's Studies, Economic and Political Weekly
- 6. Online Databases: Google Scholar, JSTOR, Science Direct, Scopus
- 7. Books and Book Chapters: "Women's Education in India" by National University of Educational Planning and Administration (NUEPA), "Skill Development in India" by MSDE, "Women's Empowerment in India" by MWCD.
- 8. Government of India. (2020). Economic Survey 2019-20. World Bank. (2020).
- 9. Newspaper: The Times of India, The Hindu, Business Line.

Table 1: Trends in Women's Education and Empowerment Indicators in India During 2005-2023

Year	Female Literacy Rate (%)	Index Number	Girls' Enrollment in Primary Education (%)	Index Number	Women's Higher Education Enrollment (%)	Index Number
2005	54.2		90.2		12.1	
2006	55.1	101.66	91.1	101.00	13.2	109.09
2007	56.1	103.51	92.1	102.11	14.3	118.18
2008	57.2	105.54	93.1	103.22	15.4	127.27
2009	58.3	107.56	94.1	104.32	16.5	136.36
2010	60.6	111.81	93.5	103.66	18.2	150.41
2011	61.7	113.84	94.5	104.77	19.3	159.50
2012	62.8	115.87	95.5	105.88	20.4	168.60
2013	63.5	117.16	96.2	106.65	21.5	177.69





	Meenakshi							
2014	64.2	118.45	96.8	107.32	22.6	186.78		
2015	63.2	116.61	95.6	105.99	22.5	185.95		
2016	64.5	119.00	96.3	106.76	23.6	195.04		
2017	65.8	121.40	97.1	107.65	24.7	204.13		
2018	66.4	122.51	97.5	108.09	25.8	213.22		
2019	65.46	120.77	98.1	108.76	26.9	222.31		
2020	66.2	122.14	96.7	107.21	26.9	222.31		
2021	66.9	123.43	97.3	107.87	27.9	230.58		
2022	67.2	123.99	97.9	108.54	28.9	238.84		
2023*	67.25	124.08	98.5	109.20	30.2	249.59		
	Average = 62.45		Average = 95.37		Average = 21.63			
	Maximum = 67.25		Maximum = 98.5		Maximum = 30.2			
	Medium = 63.5		Medium = 96.2		Medium = 22.5			
	Minimum	n =54.2	Minimum = 90.2		Minimum = 12.1			

Sources: The data for 2023 is projected based on current trends and available information.

Table 2: Trends in Women's Skill Development and Empowerment Indicators in India During 2005-2023

Year	Women's Participation in Skill Development Programs (%)	Index Number	Number of Women Trained in Vocational Skills	Index Number	Women's Entrepreneurship (%)	Index Number
			(Million)			
2005	10		1.2		8	
2006	11	110.00	1.5	125.00	9	112.50
2007	12	120.00	1.8	150.00	10	125.00
2008	13	130.00	2.1	175.00	11	137.50
2009	14	140.00	2.4	200.00	12	150.00
2010	15	150.00	2.7	225.00	14	175.00
2011	16	160.00	3.0	250.00	16	200.00
2012	17	170.00	3.3	275.00	18	225.00
2013	18	180.00	3.6	300.00	20	250.00
2014	19	190.00	4.0	333.33	22	275.00
2015	20	200.00	4.5	375.00	24	300.00
2016	22	220.00	5.0	416.67	26	325.00
2017	24	240.00	5.5	458.33	28	350.00
2018	26	260.00	6.0	500.00	30	375.00
2019	28	280.00	6.5	541.67	32	400.00
2020	40	400.00	8.0	666.67	35	437.50
2021	45	450.00	9.0	750.00	38	475.00
2022	50	500.00	10.0	833.33	41	512.50
2023*	11	110.00	12.0	1000.00	45	562.50
	Average = 21.63		Average = 4.85		Average = 23.11	
	Maximum = 5	0	Maximum = 12		Maximum = 45	
	Medium = 18	3	Medium =	Medium = 2	Medium = 22	
	Minimum =10)	Minimum =	1.2	Minimum =	8





Meenakshi

Table 3: Trends in Women's Empowerment Indicators in India During 2005-2023

Voor	Women's Labor Force	Index	Gender Gap	Index	Women in Leadership	Index
Year	Participation	Number	Index	Number	Positions (%)	Number
2005	23.1		0.593		5	
2006	24.2	104.76	0.586	98.82	6	120.00
2007	25.3	109.52	0.579	97.64	7	140.00
2008	26.4	114.29	0.572	96.46	8	160.00
2009	27.5	119.05	0.565	95.28	9	180.00
2010	25.5	110.39	0.558	94.10	10	200.00
2011	26.6	115.15	0.551	92.92	11	220.00
2012	27.7	119.91	0.544	91.74	12	240.00
2013	28.8	124.68	0.537	90.56	13	260.00
2014	29.9	129.44	0.530	89.38	14	280.00
2015	27.2	117.75	0.524	88.36	15	300.00
2016	28.3	122.51	0.518	87.35	16	320.00
2017	29.4	127.27	0.512	86.34	17	340.00
2018	30.5	132.03	0.506	85.33	18	360.00
2019	31.6	136.80	0.500	84.32	19	380.00
2020	30.3	131.17	0.494	83.31	20	400.00
2021	31.4	135.93	0.488	82.29	21	420.00
2022	32.5	140.69	0.482	81.28	22	440.00
2023*	33.6	145.45	0.476	80.27	23	460.00
	Average = 28.41		Average = 0.53		Average = 14.00	
_	Maximum = 33	Maximum	n = 0.593	Maximum = 2	23	
	Medium = 28.	Medium	Medium = 0.53 Medium = 14			
	Minimum =23	.1	Minimun	n =0.476	Minimum =5	,

Table 4: Trends in Economic Growth and women Empowerment Indicators in India During 2005-2023

Year	GDP Growth Rate (%)	Index Number	Women's Contribution to GDP (%)	Index Number	Women in Leadership Positions (In Rs)	Index Number
2005	7.2		18		24143	
2006	7.9	109.72	19	105.56	26434	109.49
2007	8.5	118.06	20	111.11	29642	122.78
2008	7.3	101.39	21	116.67	32833	135.99
2009	8.6	119.44	22	122.22	36068	149.39
2010	8.9	123.61	23	127.78	40301	166.93
2011	7.2	100.00	24	133.33	44645	184.92
2012	6.5	90.28	25	138.89	49053	203.18
2013	6.9	95.83	26	144.44	53524	221.70
2014	7.4	102.78	27	150.00	58061	240.49
2015	7.6	105.56	28	155.56	62636	259.44
2016	8.2	113.89	29	161.11	67245	278.53
2017	7.2	100.00	30	166.67	72006	298.25
2018	7.9	109.72	31	172.22	77024	319.03

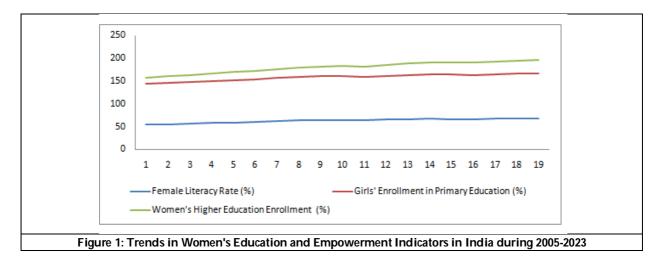




	Meenakshi								
2019 6.8 94.44 32 177.78 82115 340									
2020	8.2	113.89	33	183.33	87265	361.45			
2021	8.5	118.06	34	188.89	92464	382.98			
2022	9.0	125.00	35	194.44	97734	404.81			
2023	9.5	131.94	36	200.00	103063	426.89			
	Averag	e = 7.86	Average = 27.00		Average = 59802.95				
Maximum = 9.5			Maximum = 36		Maximum = 103063				
	Mediu	m = 7.9	Medium = 27		Medium = 58061				
Minimum =6.5			Minimur	n =18	Minimum =24	4143			

Table 5

Education	 Enhances earning potential and reduces poverty (Psacharopoulos & Patrinos, 2004) Improves health and well-being outcomes (Glewwe, 2002)
Skill Development	 Boosts employability and entrepreneurship (ILO, 2018) Enhances productivity and competitiveness (World Bank, 2019) Supports leadership and decision-making capabilities (OECD, 2019)







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

The Impact of CGTMSE Scheme on Growth of MSMES- Tracking the **Entrepreneurship Development in Meerut District**

Tamanna Sharma and Apurva P

Student, Department of Economics, Mount Carmel College (Autonomous), Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Tamanna Sharma

Student, Department of Economics, Mount Carmel College (Autonomous), Bengaluru, Karnataka, India.

Email: sharmatamanna0001@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

CGTMSE was introduced by the Indian government to boost credit access for MSMEs without requiring collateral. This study evaluates the scheme's impact on MSME growth and entrepreneurship in Meerut, focusing on the credit facilitated by local banks. Using a mixed-method approach, the research analyses loan disbursement and repayment data, as well as information from interviews with bank officials and MSME owners, to assess the scheme's effectiveness in promoting business growth and financial inclusion. The findings aim to highlight challenges and successes, offering recommendations to enhance the scheme's impact on MSMEs and local economic development.

Keywords: CGTMSE scheme, MSMEs, entrepreneurship, credit guarantee, financial inclusion.

INTRODUCTION

MSMEs are integral to the economic framework of India, making substantial contributions to employment, industrial production, and exports. Nevertheless, these enterprises frequently face significant obstacles in obtaining formal credit, primarily due to their lack of collateral. To mitigate this issue, the Indian government launched the CGTMSE scheme in 2000. This initiative aims to facilitate credit access for the MSME sector by offering credit guarantee coverage to financial institutions for loans extended to these businesses, eliminating the requirement for collateral. Punjab National Bank (PNB), a leading public sector bank in India, has been actively engaged in the implementation of the CGTMSE scheme, especially within its branches located in the Meerut Circle. This area, characterized by a diverse array of industrial activities, is crucial for assessing the impact of the CGTMSE scheme on MSMEs. The objective of this study is to evaluate the influence of the CGTMSE scheme on the growth and development of MSMEs that are supported by PNB branches in this region. Meerut is celebrated for its bustling markets, particularly its production of sports goods and scissors, securing its significant role in India's industrial landscape. The city boasts a





Tamanna Sharma and Apurva

long history of crafting high-quality sports equipment, such as cricket bats, balls, boxing gloves, and fitness gear, which serve both domestic and international markets. This longstanding reputation has positioned Meerut as a major hub for sports goods manufacturing, with numerous small and medium enterprises contributing to its success. Additionally, Meerut is renowned for its precision-crafted scissors, known for their durability. Skilled artisans in the city have been producing these high-quality scissors for generations, making Meerut a leading supplier across India. The city's blend of traditional craftsmanship and modern techniques highlights its status as a thriving centre for specialized manufacturing. CGTMSE is a trust established by the Government of India, operating under the Ministry of Micro, Small and Medium Enterprises and the SIDBI. Initiated in 2000, the CGTMSE scheme extends credit guarantees to financial institutions for loans amounting to a maximum of Rs. 5 crores, an enhancement from the earlier cap of Rs. 2 crores. This initiative provides guarantees between 75% and 85% to MSEs throughout India. CGTMSE supports young entrepreneurs with promising business ideas who may lack collateral or formal credit sources, enabling them to secure loans to start viable MSEs. This support helps them transition from job seekers to job creators, contributing to national development. Under the scheme, a portfolio guarantee covers 80% of the significant principal amount of the loan to a financial institution. This coverage applies to each transaction within the institution's portfolio.

Points of importance of the CGTMSE Scheme

- Increased guarantee coverage limit from Rs. 2 crores to Rs. 5 crores
- Reduced guarantee fees to lower overall borrowing costs for MSEs
- Inclusion of low capital banking institutions as eligible Member Lending Institutions (MLIs) •Fee concessions and increased coverage for SC/STs
- Additional reductions in guarantee fees by 10% and coverage enhancements to 85% for Women, ZED Certified Units, and units in Aspirational Districts
- Revised annual guarantee fee structure, with rates as low as 0.37%

CGTMSE Coverage Criteria

- The trust guarantees up to 75% of the defaulted principal amount, or 85% for certain categories of borrowers, with a maximum guarantee cap of Rs. 37.50 lakh for credit facility up to Rs. 50 lakhs.
- Term credit, including interest, is covered for one quarter or outstanding capital advances, whichever is lower, as of the account's designation as a Non-Performing Asset (NPA) or the date of filing a suit.
- Other charges, such as penal interest, commitment fees, service charges, or any additional expenses, are not
 covered by the guarantee. The CGTMSE seeks to motivate financial institutions to assess small and micro
 enterprises by focusing on the feasibility of projects and the validation of business models, rather than
 depending exclusively on collateral. Borrowers are required to pay an extra guarantee fee and service charge in
 addition to the interest levied by the bank. Presently, the CGTMSE fee stands at 1.5%, which is lowered to 0.75%
 for the North-Eastern region and Sikkim.

LITERATURE REVIEW

Sharma and Kumar (2019), in their study titled "Impact of Credit Guarantee Schemes on MSME Lending: A Study on CGTMSE in India, "analyze the effects of the CGTMSE scheme on lending to MSMEs. They find that the scheme significantly enhances access to credit, especially for first-time borrowers, by encouraging banks to lend without collateral due to risk mitigation. However, the study points out issues like delays in claim settlements and limited awareness among potential beneficiaries. The authors conclude that while CGTMSE has promoted financial inclusion, improvements in transparency, faster processing, and targeted awareness programs are needed. Patel and Rao (2021), in "Credit Guarantee Mechanisms and Their Effectiveness in Promoting Small Enterprises: The Case of CGTMSE," assess the scheme's impact using a mixed-method approach. Their findings show that CGTMSE has increased loan volumes to MSMEs and encouraged banks to take higher risks. Despite this, they identify issues concerning the capped size of the guarantee fund, complex procedures, and difficulties faced by MSMEs in meeting





Tamanna Sharma and Apurva

eligibility requirements. The authors recommend policy interventions to streamline processes, expand the fund, and improve coordination for banks and CGTMSE for greater effectiveness.

SCOPE OF THE STUDY

- 1. This research investigates loans of up to 10 lakhs within the manufacturing and service sectors of micro, small, and medium enterprises (MSMEs), emphasizing their contributions to job creation, innovation, economic resilience, and sustainable development.
- 2. The analysis is based on half-yearly data from 2020 to 2023, covering Punjab National Bank branches in Meerut, Hapur, and Baghpat.

OBJECTIVES OF STUDY

- 1. To show the impact of the CGTMSE scheme on the growing businesses in the Meerut district.
- 2. To show the influence of the growing businesses in Meerut on the GSDP of the Uttar Pradesh state due to the CGTMSE scheme.
- 3. To analyze the insights of banking officials through interviews on the implementation, effectiveness, and challenges of the CGTMSE scheme.

DATA AND METHODOLOGY

The source of secondary data is:

- 1. The circle office of Punjab National Bank, Meerut.
- 2. Annual reports of the CGTMSE scheme.
- 3. RBI official website.

The methodology used is both quantitative and qualitative which includes:

- 1. Graphical representation
- 2. Regression
- 3. Interview method

RESULTS AND FINDINGS

To show the impact of the CGTMSE scheme on the growing businesses in the Meerut district. The manufacturing and service sectors within MSMEs are vital to the economy due to their significant roles in employment generation, economic diversification, and innovation. These sectors create jobs at lower capital costs, providing crucial employment opportunities and fostering entrepreneurship. By producing a wide array of products and services, they reduce economic dependence on any single industry, enhancing resilience. MSMEs contribute to regional development by operating in semi-urban and rural areas, promoting balanced economic growth. Additionally, they play an important role in the supply chain of larger industries and significantly contribute to exports. By driving inclusive growth and adopting sustainable practices, MSMEs ensure that economic progress benefits a broad spectrum of society, making them essential for sustainable economic development.

- The table and the graph represent the absolute amount of loans sanctioned quarterly
- As shown there has been a consistent jump year on year and quarter on quarter
- Q2 of 2022 recorded 24.31 crores of sanctioned loans in comparison of the Q2 of 2021
- This dip can be attributed to the bank rates increased by the Central Bank to control inflation[2]
- The total number of accounts opened had an average start because of the shutdown in the economy, whose aftermath can be seen until the end of 2022. This can also be attributed to the increase in bank rates The reason for this is attributed to the fact that the amount required to set up a manufacturingunit is significant, which sometimes does not qualify under the CGTMSE scheme. The average quarter-on-quarter and year-on-year





Tamanna Sharma and Apurva

loans sanctioned increased for both sectors, with the service sector consistently outpacing the manufacturing sector.

- Year-on-year increase in the number of loans sanctioned towards micro-manufacturing industries is relatively higher than small and medium.
- Medium ranks lower due to its higher capital requirements and Meerut has fewer small firms therefore the lower amount
- The second quarter of 2023 looked optimistic due to the revival of the economy[3] Micro ranks higher every year compared to small and medium as it reflects that the demand for microservice loans is higher than that of small and medium.

In a region like this, the service sector is important as there is a requirement for services in these regions. The service sector is essential for MSMEs in small towns like Meerut in Uttar Pradesh, as it diversifies the local economy, making it more resilient and stable. It generates employment, provides jobs and skill development opportunities for the local workforce, and supports other sectors by offering necessary services like logistics and marketing. The service sector meets local demand, enhances the quality of life with improved infrastructure, and fosters entrepreneurship due to its low entry barriers. It drives economic growth by generating income, attracting investments, and ensuring better access to essential services like healthcare and education. This holistic support system enables MSMEs to scale up, innovate, and contribute to the town's overall development and prosperity.

The service sector in these regions majorly includes services such as:

- Advertising /publicity
- Hospital activities
- Domestic, laundry, etc.
- Repairing of goods
- Maintenance of computer hardware and software and other electronic goods
- Agriculture service centre
- Cold storage and warehouse
- Telecommunication services
- Travel agencies
- Renting transport, household goods and machines or equipment, etc. Other than that, there are other services as well but in the area under study mentioned above are the main services for which loans are sanctioned.

The data below will show the growth of women entrepreneurs benefitting from this scheme.

- There is a year-on-year increase in the accounts opened by both genders
- But the number of accounts opened by men is more (by a large proportion)than that of women.
- The number of accounts opened has decreased and increased but has shot up in the second quarter of 2023. The graph also indicates the potential which is seen by the peak in 2023 of quarter 2. To show the influence of the growing businesses in Meerut on the GSDP of the Uttar Pradesh state due to the CGTMSE scheme. The state's GDP is influenced by the productivity of its cities and districts, Meerut district is an entrepreneurial hub due to its locational benefits (NCR region) and the district's age-old sports gear production. Looking at how the loans sanctioned under the CGTMSE scheme specifically in Meerut affect the states' GDP, we have obtained the following results.
- Gross state domestic product is regressed on the total amount of loans sanctioned in Meerut.
- The hypothesis is the following: Ho: B(coefficient of the X variable -amount of loan sanctioned) = 0 H1: B ≠ 0
- The above regression results can be interpreted as follows: if the amount of the loans sanctioned in Meerut increases by one crore, then the GSDP of UP increases by 47990.6655 crores.
- If the amount of the loans sanctioned in Meerut is 0, then the GSDP of UP is 1011567.91





Tamanna Sharma and Apurva

- The R square value is 0.58162843, which suggests that 58% of the variations in UP's GSDP can be accounted for by the amount of loan sanctioned in Meerut.
- P- value for both the intercept and beta coefficient is statistically significant, indicating that the beta value is statistically different than 0, which indicates that there exists a relationship between both variables
- The standard for this model is relatively less for the coefficient of the X variable, indicating the precision of the estimate.
- Multiple R of 0.76 indicates that there is a strong positive correlation between the variables.

To analyze the insights of banking officials through interviews on the implementation, effectiveness, and challenges of the CGTMSE scheme.

Collateral-free loans, like those under the CGTMSE scheme, are crucial for MSMEs as they provide access to credit for businesses lacking assets for collateral, promoting growth and innovation. These loans decrease obstacles to starting a business, stimulate new ventures, and promote financial inclusion by integrating unbanked businesses into the formal financial system, assisting them in establishing credit histories.

However, such loans carry risks, including a higher likelihood of non-performing assets (NPAs) due to increased credit risks without collateral. Borrowers may be less motivated to repay during financial difficulties, and MSMEs, with limited resources, are susceptible to economic fluctuations. Banks also have to face challenges in monitoring small-scale borrowers, which can delay problem detection and increase NPA risks.

- Mr. Vijay, manager of the credits department at the PNB Circle office in Meerut, noted, "Since the loans are
 provided without collateral and the government covers a substantial portion of the loan amount, there can be a
 tendency for borrowers to put in less effort, which increases the risk of accounts becoming non-performing
 assets."
- In his research paper, "Problems of MSME Finance in India and the Role of Credit Guarantee Fund Trust for Micro and Small Enterprises," Chandra Sekhar Mund, Assistant Director at IES fromthe Ministry of MSME, Government of India, highlights that information asymmetry in this sector results in inadequate bank finance. The reliance on cash transactions leads to discrepancies between reported and actual sales and profitability figures. This discrepancy arises from a lack of documentation for numerous small cash transactions, resulting in MSMEs qualifying for less loan amount than needed. Additionally, higher transaction costs, lower margins, a lack of product innovation, and a low-risk appetite among financial institutions hinder MSMEs from obtaining timely and adequate credit. NPAs also contribute to bankers' reluctance to provide loans, with the NPA growth rate for MSMEs from June 2018 to June 2019 being 12%, compared to 10.8% for large enterprises.
- Conversely, Mr. Amardeep Joshi, Chief Manager at PNB Headquarters in Dwarika, Delhi, shared positive insights about the scheme, stating, "The scheme is primarily designed to support micro-enterprises and individuals who lack collateral to secure loans. Although there is a nominal annual fee, it is still advantageous for those obtaining loans without collateral and making a profit from their business." This feedback from banking officials underscores the scheme's effectiveness in enhancing the entrepreneurial landscape of the district.

CONCLUSION

This study evaluates the impact of the CGTMSE scheme on MSME growth in Meerut, using both quantitative data and qualitative insights. The findings reveal that the scheme has significantly enhanced credit access, leading to increased loan disbursements and growth in the GSDP of Uttar Pradesh. However, sectoral and gender disparities persist, along with the service sector receiving more loans than manufacturing, and male entrepreneurs benefiting more than female ones. Challenges such as delays in claim settlements, limited awareness, and procedural complexities hinder the scheme's full potential. To improve its effectiveness, the study suggests increasing the guarantee fund size, simplifying application procedures, and enhancing outreach and support for women entrepreneurs. Future research should focus on sector-specific impacts and strategies to bridge these gaps.





Tamanna Sharma and Apurva

REFERENCES

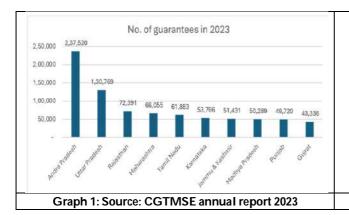
- 1. Data for the tables above Punjab National Bank, circle office, Meerut.
- 2. Chandra Sekhar Mund, Assistant Director, IES, Ministry of MSME, Government of
- 3. India,(July 2020) research paper titled "Problems of MSME Finance in India and Role of
- 4. Credit Guarantee Fund Trust for Micro and small enterprises"-mailto: Chandra SekharMund Assistant Director, IES, Ministry of MSME, Government of India, in his research paper titled "Problems of MSME Finance in India and Role of Credit Guarantee Fund Trust for Micro and small enterprises
- 5. mailto:https://www.cgtmse.in/Home/VS/17
- 6. mailto:https://dashboard.msme.gov.in/udyam_dist_wise.aspx?stid=9
- 7. CGTMSE official website-https://www.cgtmse.in/
- 8. Paisabazar-https://www.paisabazaar.com/businessloan/cgtmsescheme/#:~:text=CGTMSE%20Guarantee,are%20eligible%20to%20be%20covered.
- 9. https://www.drishtiias.com/daily-updates/daily-news-analysis/cgtmse-scheme
- 10. mailto: https://msme..gov in/sites/default/files/CredirGuranteeFundScheme_1.pdf

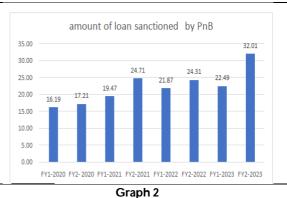
Table:1

Regression Statistics				
Multiple R	0.762645675			
R Square	0.581628425			
Adjusted R Square	0.511899829			
Standard Error	220115.685			
Observations	8			

Table :2

	Coefficients	Standard Error	t Stat	P-value	Lower 95%	Upper 95%	Lower 95.0%	Upper 95.0%
Intercept	1011567.914	378204.9729	2.674655244	0.03679741	86133.68383	1937002.145	86133.68383	1937002.145
TOTAL								
AMOUNT								
SANCTIONED IN								
MEERUT (IN	47990.66551	16616.49498	2.888134084	0.02776112	7331.567021	88649.76399	7331.567021	88649.76399

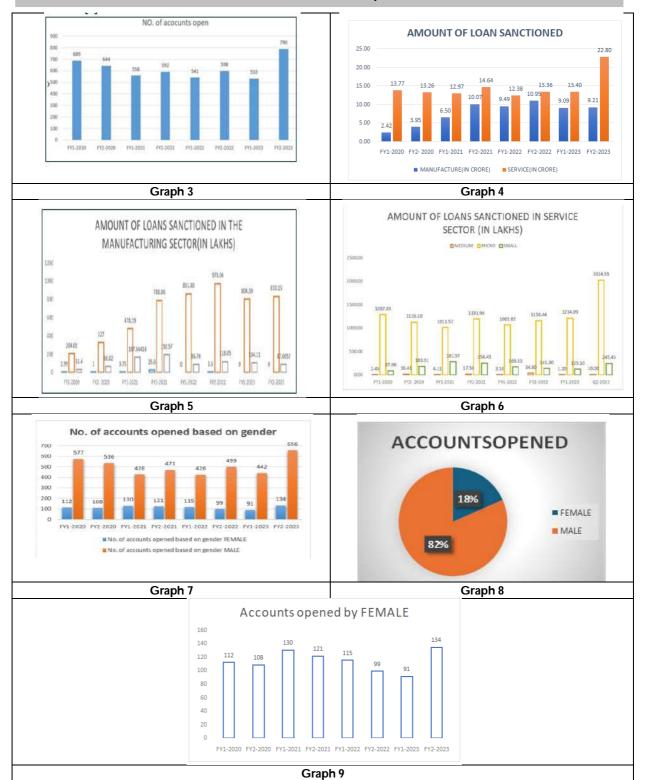








Tamanna Sharma and Apurva







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Integrating Innovation with Nationhood: Dr. APJ Abdul Kalam's Strategic Influence on India's Scientific Progress and Nation-Building

Md. Abu Katadah1* and Hafiz Mohd Arif2

Research Scholar, Department of Language, Integral University, Lucknow, Uttar Pradesh, India. ²Professor, Department of Language, Integral University, Lucknow, Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Md. Abu Katadah

Research Scholar, Department of Language, Integral University,

Lucknow, Uttar Pradesh, India. Email: abukatadah9148@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Visionary leaders have significantly shaped the trajectory of India's scientific and technological development, and none more influential than Dr APJ Abdul Kalam often referred to as the "Missile Man of India." This research paper explores Dr. Kalam's strategic influence on India's scientific progress and nation-building efforts, emphasizing how his leadership and innovative mindset integrated technological advancement with national development. By analyzing his contributions to India's defence, space research, education, and socioeconomic growth, this paper highlights Dr Kalam's pivotal role in aligning scientific innovation with the broader objectives of nationhood. The study concludes that Dr. Kalam's legacy endures in India's ongoing progress and aspirations, demonstrating the crucial interplay between visionary science and strategic governance in shaping a nation's future.

Keywords: Innovation, Nationhood, Strategic Influence, Scientific Progress, Nation-Building

INTRODUCTION

In the annals of India's history, few figures stand as towering exemplars of leadership, scientific prowess, and visionary thinking as Dr. APJ Abdul Kalam. As a scientist, engineer, and statesman, Kalam's life and work reflect a profound synthesis of innovation and nation-building, shaping the trajectory of India's rise as a global scientific and technological power. This paper delves into Dr. Kalam's strategic influence on India's scientific landscape, exploring how his contributions transcended the boundaries of pure science to foster a national identity rooted in technological self-reliance and innovation. Dr. Kalam's leadership in India's missile development programs, his pivotal role in the nation's nuclear advancement, and his passionate advocacy for education and youth empowerment were instrumental in redefining India's approach to science and technology. Yet, his impact extended far beyond the realm of defense and research; he envisioned a self-reliant India where scientific innovation served as the cornerstone of





Abu Katadah and Hafiz Mohd Arif

socioeconomic progress and national unity. This paper seeks to understand how Dr. Kalam's legacy has shaped the intersection of science and governance in modern India, positioning him as a crucial architect of the nation's scientific and strategic advancements.

Dr. APJ Abdul Kalam: A Visionary Scientist and Leader

Dr. APJ Abdul Kalam's early life was marked by perseverance and a passion for science, ultimately defining his contributions to India. Born in 1931 in Rameswaram, Tamil Nadu, Kalam's journey from humble beginnings to becoming one of India's most celebrated scientists and the 11th President of India is a testament to his relentless pursuit of knowledge. After graduating from the Madras Institute of Technology, he joined the Defense Research and Development Organization (DRDO) and later the Indian Space Research Organization (ISRO), where he made significant contributions to India's space and missile programs. Kalam's leadership in developing India's first indigenous satellite launch vehicle (SLV-III) and his pivotal role in successfully testing the Agni and Prithvi missiles earned him the moniker "Missile Man of India." His contributions were not confined to defence; Kalam played a key role in shaping India's nuclear policy, overseeing the successful Pokhran-II nuclear tests in 1998. His scientific achievements laid the foundation for India's strategic security and positioned the nation as a formidable force on the global stage. Dr. APJ Abdul Kalam's leadership in India's missile development program is a cornerstone of his legacy, solidifying his reputation as the "Missile Man of India." His work in this area advanced India's defence capabilities and underscored the nation's scientific prowess on the global stage. Through his visionary leadership, Kalam played an instrumental role in transforming India's missile technology from a nascent stage into a robust, world-class defense system. Kalam's journey into missile development began in earnest when he joined the Defense Research and Development Organization (DRDO) in the late 1950s, where he was involved in developing small hovercraft projects. However, it was his transfer to the Indian Space Research Organisation (ISRO) in 1969 that marked the beginning of his significant contributions to India's indigenous missile program. At ISRO, Kalam was appointed project director of India's first Satellite Launch Vehicle (SLV-III), successfully deploying the Rohini satellite in near-Earth orbit in 1980.

This achievement was a crucial milestone in India's space and defence capabilities, as it demonstrated the country's ability to develop Indigenous launch vehicle technology. Kalam's success with the SLV-III led to his appointment as the Director of the Integrated Guided Missile Development Program (IGMDP) in 1983. This ambitious program was the cornerstone of India's efforts to build self-reliance in missile technology when the country faced significant geopolitical challenges, including threats from neighbouring countries with superior military capabilities. Under Kalam's leadership, the IGMDP set out to develop a range of strategic and tactical missiles, including the Prithvi, Agni, Akash, Trishul, and Nag missiles. Among these, the Agni missile series became one of India's most significant achievements. The Agni missile, named after the Sanskrit word for fire, was designed as a long-range ballistic missile capable of carrying nuclear warheads. It became the backbone of India's nuclear deterrence strategy, offering the country a credible second-strike capability. Kalam's leadership in the development of Agni was critical, as it represented not only technological innovation but also a strategic shift in India's defense posture. The successful testing of Agni in 1989 marked a watershed moment for India's defence sector, placing the country among a select group of nations with advanced missile technology. In addition to Agni, the Prithvi missile series was another significant achievement under Kalam's guidance. Prithvi, a short-range surface-to-surface missile, was the first to develop under the IGMDP. Its successful induction into the Indian Armed Forces showcased India's progress in missile technology under Kalam's leadership. The missile's flexibility for use in different strategic scenarios made it a valuable asset for the country. Kalam's leadership style was characterized by a hands-on approach, tireless dedication, and a deep commitment to the nation's security. He worked closely with his teams, often inspiring them through his vision of a self-reliant India. His ability to lead by example and motivate scientists and engineers to overcome technical challenges was instrumental in the success of the missile development program. Kalam was known for his humility and accessibility, traits that endeared him to his colleagues and helped foster a collaborative environment crucial for the complex projects the DRDO undertook. Under Kalam's stewardship, India achieved selfsufficiency in missile technology and sent a strong message to the world about its capabilities. His efforts in missile development contributed significantly to India's strategic autonomy, providing the nation with a credible deterrence





Abu Katadah and Hafiz Mohd Arif

capability. Furthermore, his work laid the foundation for subsequent advancements in missile and defence technology, ensuring that India remains a formidable player on the global stage.

Strategic Influence on India's Scientific Progress:

Kalam's influence on India's scientific progress extended far beyond his work in missile technology. He envisioned a developed India driven by scientific innovation and technological self-reliance. His "Vision 2020" plan outlined a roadmap for transforming India into a knowledge-based economy, leveraging science and technology to address key challenges such as poverty, illiteracy, and inadequate infrastructure.

Vision 2020: A Blueprint for a Developed India

Vision 2020, conceptualized by Dr. APJ Abdul Kalam, is a strategic framework aimed at transforming India into a developed nation by the year 2020. Presented in his book *India 2020: A Vision for the New Millennium*, co-authored with Dr. Y.S. Rajan, this blueprint envisions India achieving global recognition through technological advancements, education, agriculture, infrastructure, and economic self-reliance. Dr. Kalam emphasized the need for India to harness its human resources, focusing on skill development and education to empower its vast youth population. Vision 2020 outlined goals such as eradicating poverty, ensuring access to quality education, enhancing healthcare, and improving infrastructure in both urban and rural areas. Kalam advocated for technological innovation, particularly in energy, defense, and space exploration, as drivers of economic growth. At its core, Vision 2020 was about bridging the rural-urban divide, promoting inclusive growth, and creating a knowledge-based economy. While the target year has passed, the blueprint continues to influence India's long-term development strategies, embodying Dr. Kalam's enduring belief that a scientifically driven, socially equitable, and economically self-sufficient India is within reach.

The Role of Science in Strengthening National Security

Science plays a pivotal role in enhancing national security by driving innovation in defense, intelligence, and strategic capabilities. In modern times, scientific advancements are integral to developing cutting-edge technologies that safeguard a nation's sovereignty and deter external threats. From missile systems to cyber defence, scientific research and development (R&D) underpin a country's ability to defend itself against conventional and emerging security challenges. Scientific progress has significantly contributed to national security in India, particularly by developing indigenous missile systems, nuclear technology, and space-based surveillance. Dr. APJ Abdul Kalam, a key figure in this domain, was instrumental in integrating science with national security through programs such as the Integrated Guided Missile Development Program (IGMDP) and nuclear deterrence initiatives. These efforts ensured that India could independently design and deploy advanced defense systems, reducing reliance on foreign technologies. Additionally, science enhances national security by addressing non-traditional threats, including bioterrorism, climate change, and pandemics. Data analytics, artificial intelligence, and biotechnology innovations are increasingly critical for real-time threat assessment and response. A strong scientific foundation ultimately empowers a nation to protect its interests, maintain stability, and project strength in an increasingly complex global landscape.

Nation-Building Through Innovation

Kalam's approach to nation-building was deeply rooted in his belief that scientific innovation must serve the broader goals of societal progress. He championed educational initiatives to inspire the next generation of scientists and engineers, recognizing that a well-educated populace was essential for sustaining India's scientific and economic growth. His interactions with students nationwide, often described as "igniting minds," underscored his commitment to empowering youth as agents of change. Kalam was also a vocal advocate for rural development, emphasizing the need for technological solutions to address the unique challenges India's rural population faces. His PURA (Providing Urban Amenities in Rural Areas) initiative aimed to bridge the urban-rural divide by promoting sustainable development in rural areas, integrating infrastructure, technology, and education to improve the quality of life for millions of Indians.





Abu Katadah and Hafiz Mohd Arif

The Pursuit of Sustainable Development Goals

Sustainable Development Goals (SDGs) ensure global prosperity, social equity, and environmental protection. These 17 interconnected goals, established by the United Nations, aim to address critical challenges such as poverty, inequality, climate change, and resource depletion. Achieving the SDGs requires collaborative efforts among governments, private sectors, and civil societies to promote inclusive growth, sustainable resource management, and innovation-driven solutions. By aligning national policies with these global objectives, countries can foster long-term sustainability, ensuring that economic progress does not come at the cost of environmental degradation or social injustice.

Dr. Kalam's Legacy in Contemporary India

The legacy of Dr. Kalam's visionary leadership continues to resonate in contemporary India. His influence on policy and governance, particularly in the areas of science and technology, remains evident in India's continued progress in space exploration, defence, and education. Programs such as the Chandrayaan and Mangalyaan missions and advancements in missile technology reflect the enduring impact of Kalam's work in fostering a culture of innovation and self-reliance.

Influence on Policy and Governance:

Dr. APJ Abdul Kalam's influence on policy and governance in India transcends his iconic status as a scientist and technologist. His tenure as the 11th President of India from 2002 to 2007, combined with his earlier contributions to defence and space research, positioned him as a transformative figure who integrated scientific innovation into the fabric of national governance. Through his visionary leadership, Kalam steered India towards policies emphasising self-reliance, technological progress, and human development, thus shaping the nation's developmental trajectory in profound and enduring ways. Kalam's influence on policy was rooted in his unwavering belief that science and technology are pivotal to national progress. He was instrumental in crafting policies that bridged the gap between scientific research and public welfare, particularly in the areas of defence, education, and rural development. His work at the Defense Research and Development Organization (DRDO) and the Indian Space Research Organisation (ISRO) laid the groundwork for policies aimed at technological self-reliance, ensuring India could stand independently in critical sectors such as missile development, nuclear technology and space exploration. These contributions significantly reduced India's dependency on foreign technology and bolstered its strategic autonomy, influencing defence policies that continue to resonate in contemporary governance.

During his presidency, Kalam leveraged his stature to advocate for science-driven governance, urging policymakers to align national priorities with technological innovation. His Vision 2020 blueprint articulated a forward-looking approach to governance that emphasized a knowledge-based economy, sustainable development, and equitable growth. This vision called for policy reforms in education, healthcare, infrastructure, and environmental sustainability, recognizing that a holistic approach to development was essential for India to emerge as a global power. In education, Kalam's influence on policy was particularly impactful. He championed the cause of universal access to quality education, advocating for policies prioritising scientific and technological education as the bedrock of national development. His deep engagement with the youth of India, epitomized by his frequent interactions with students, reinforced his belief that the nation's future rested in the hands of its young citizens. Kalam's focus on education influenced policies that expanded access to schooling, promoted STEM education, and encouraged technology integration into learning environments. His initiatives inspired reforms to foster innovation and creativity among the youth, which are critical drivers of India's long-term growth. Moreover, Kalam's leadership was marked by his emphasis on transparent and accountable governance. He advocated for policies that promoted ethical leadership, citizen participation, and a governance model that was responsive to the needs of all segments of society. His presidency was characterized by his humility, accessibility, and dedication to serving the nation, which endeared him to the public and reinforced his credibility as a leader who embodied the values he espoused.





Abu Katadah and Hafiz Mohd Arif

Ongoing Impact on Education and Youth Empowerment:

Kalam's emphasis on education and youth empowerment has also left a lasting mark on India's educational landscape. His advocacy for inclusive education and his efforts to inspire young minds have contributed to a growing focus on science and technology education, ensuring that future generations are equipped to carry forward his vision of a developed India. Dr. APJ Abdul Kalam's impact on education and youth empowerment resonates deeply across India, shaping the nation's future through his profound influence on educational reforms, youth policies, and inspirational leadership. As a scientist, statesman, and teacher, Kalam's life and work were dedicated to nurturing the potential of India's youth, recognizing them as the driving force behind the nation's progress. His vision for an enlightened and empowered generation has left an indelible imprint on India's educational landscape, guiding policies and inspiring millions long after his tenure as President. Kalam's commitment to education was rooted in his belief that knowledge is the foundation of national development. He viewed education not merely as a tool for academic success but as a transformative force capable of elevating individuals, communities, and, ultimately, the entire nation. Throughout his presidency and beyond, Kalam tirelessly advocated for reforms emphasising access to quality education for all, particularly in science, technology, engineering, and mathematics (STEM). His influence in shaping educational policies can be seen in initiatives that expanded student opportunities across the socioeconomic spectrum, promoting a more inclusive and equitable education system.

One of Kalam's most significant educational contributions was his ability to inspire young minds with a sense of purpose and possibility. He believed that every student, regardless of background, had the potential to contribute meaningfully to society. His frequent interactions with students nationwide were emblematic of his dedication to youth empowerment. Whether in large assemblies or small classroom settings, Kalam's speeches and personal engagement with students often focused on the importance of dreaming big, overcoming obstacles, and dedicating oneself to the nation's service. His message, encapsulated in his famous exhortation to "dream, dream, dream," continues to inspire generations of young Indians to pursue their passions and ambitions with unwavering determination. Kalam's influence on educational policies extended beyond the classroom to encompass a broader skill development and capacity-building vision. He recognised the need for a skilled workforce in a rapidly globalizing economy and championed initiatives integrating vocational training, entrepreneurship, and innovation into the educational curriculum. His Vision 2020 plan emphasized the importance of creating a knowledge-based economy where students were educated and equipped with the skills necessary to contribute to the nation's technological and economic advancement. This focus on skill development has had a lasting impact on educational policies, with an increasing emphasis on fostering creativity, critical thinking, and practical skills among students.

Youth empowerment, for Kalam, was inseparable from education. He understood that empowering the youth meant more than providing them with knowledge; it required instilling a sense of responsibility, ethics, and commitment to the greater good. He consistently advocated for youth participation in nation-building activities, encouraging young people to engage with societal challenges and contribute to the country's development. His leadership and mentorship created a new paradigm in which youth were seen not as passive recipients of knowledge but as active agents of change. This philosophy continues to influence programs promoting youth leadership, community service, and civic engagement across India. Kalam's legacy in education and youth empowerment is also reflected in his emphasis on bridging the urban-rural divide. He believed actual progress could only be achieved when educational opportunities were accessible to all, regardless of geographical location. While primarily focused on infrastructure, his PURA (Providing Urban Amenities in Rural Areas) initiative also had a vital educational component to bring educational resources and technological tools to rural areas. This focus on rural education continues to inform policies that seek to reduce educational disparities and ensure that rural youth have the same opportunities to succeed as their urban counterparts.

The Enduring Relevance of Kalam's Nation-Building Philosophy

Dr. APJ Abdul Kalam's nation-building philosophy remains a vital and enduring force in contemporary India, deeply resonating with the aspirations of a rapidly evolving nation. His vision was rooted in the belief that a self-reliant,





Abu Katadah and Hafiz Mohd Arif

technologically advanced, and ethically driven India could ascend to global prominence while ensuring the wellbeing and dignity of all its citizens. Kalam's philosophy transcends temporal constraints, providing a blueprint for sustainable growth, social equity, and national pride in facing ongoing challenges. Central to Kalam's nation-building philosophy was his unwayering faith in the power of science and technology as engines of progress. He envisioned an India where technological innovation would drive economic growth and social transformation. This belief in the transformative power of science is evident in his seminal contributions to India's missile and space programs, which bolstered national security and symbolized India's growing prowess on the world stage. However, Kalam's vision extended far beyond defence; he saw technology as a means to address pressing societal challenges such as poverty, education, healthcare, and infrastructure. His emphasis on technological self-reliance continues to inform India's policies in various sectors, reinforcing that scientific advancement is a crucial pillar of nation-building. Kalam's philosophy also underscored the importance of inclusivity in national development. He believed that for India to progress genuinely, it must uplift all its citizens, especially those in marginalized and rural communities. His PURA (Providing Urban Amenities in Rural Areas) initiative exemplified this commitment to equitable growth. PURA sought to bridge the gap between urban and rural India by bringing infrastructure, education, and healthcare to underserved areas, ensuring that development was not concentrated solely in urban centres. This focus on inclusivity is a core tenet of Kalam's nation-building philosophy, reminding us that sustainable development is only possible when it benefits the entire population. The ongoing relevance of this idea can be seen in contemporary policies that aim to reduce regional disparities and promote rural development.

At the heart of Kalam's nation-building philosophy was his profound belief in the potential of India's youth. He viewed young people not as passive recipients of development but as active participants in shaping the nation's future. Throughout his life, Kalam devoted himself to inspiring and empowering the youth of India, encouraging them to dream big, embrace innovation, and take responsibility for their country's progress. His philosophy emphasized education and skill development as the foundations of a knowledge-driven society. This belief in the power of education to transform individuals and the nation continues to influence India's educational policies, particularly in the emphasis on STEM education, vocational training, and innovation. Ethics and leadership were also crucial components of Kalam's nation-building philosophy. He believed that the true strength of a nation lay in the character of its leaders and citizens. Kalam consistently advocated for ethical governance, transparency, and accountability, urging leaders to serve with integrity and a sense of duty to the nation. His own life, marked by humility, simplicity, and dedication to public service, exemplified these values. Kalam's call for ethical leadership remains deeply relevant in a world increasingly confronted by corruption, inequality, and governance challenges. Kalam's nation-building philosophy is not merely a historical artefact but a living framework that continues to guide India's path forward. His vision of a self-reliant, inclusive, and ethically driven nation resonates with the current generation as India grapples with new challenges, from economic disparities and environmental sustainability to global competition and social justice. By integrating science, inclusivity, education, and ethics into a cohesive vision, Kalam offered India a timeless guide for achieving national greatness.

CONCLUSION

Dr. APJ Abdul Kalam's life and work embody the symbiosis of innovation and nationhood. His strategic influence on India's scientific progress and nation-building efforts has left an indelible mark on the nation's development trajectory. Through his contributions to defence, space research, education, and socioeconomic progress, Kalam demonstrated the power of science and technology as instruments of national empowerment. His legacy inspires India's pursuit of scientific excellence and global leadership, ensuring that the visionary ideals he championed remain central to the nation's future.





Abu Katadah and Hafiz Mohd Arif

ACKNOWLEDGMENT

We extend our profound gratitude to the esteemed Chancellor, the Vice-Chancellor, the Dean of Doctoral Studies and Research, as well as the Dean of the Faculty of Humanities and Social Sciences at Integral University for their unwavering support and guidance. The manuscript communication reference for this paper is IU/R&D/2024-MCN0002732.

REFERENCES

- 1. Kalam, APJ Abdul. Wings of Fire. New Delhi: Universities Press, 2011.
- 2. Kalam, A. P. J. Abdul. Ignited Minds: Unleashing the Power within India. Penguin Books, London, 2002.
- 3. Jolly, Margaret, editor. Encyclopedia of Life Writing: Autobiographical and Biographical Forms. Routledge, 2001.
- 4. Mukunda, Hari Sharan. Kalam as a Complete Man. Canon Gate, New York, 2006.
- 5. Kalam, APJ Abdul. *When will India become a Developed Nation? India International Centre Quarterly*, vol. 31, no. 5, 2005, pp. 55-65. JSTOR, www.jstor.org/stable/23005980.
- 6. Ganguli, Rajnish. Political Cynicism. New York: Berkeley Book, 1999
- 7. Kalam, A. P. J. Abdul. *Guiding Souls*. Ocean Books Private Limited, New Delhi, 2005.





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Corporate Social Responsibility Practices and its **Impact** Organisational Performance: A Study with Reference to IT Companies in Bangalore

Hemalatha Yadav J1* and Kapil Arora2

¹Assistant Professor, Department of Management Studies, T John College, (Affiliated to Bangalore Central University), Bangalore, Karnataka, India.

²Professor, Department of Management, Alliance University, Bangalore, Karnataka, India.

Accepted: 13 Aug 2024 Received: 21 Jun 2024 Revised: 03 Jul 2024

*Address for Correspondence Hemalatha Yadav J

Assistant Professor. Department of Management Studies, T John College,

(Affiliated to Bangalore Central University),

Bangalore, Karnataka, India.

Email: hemalathayadav10@gmail.com

<u>@0</u>99

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This research examines the relationship between Corporate Social Responsibility (CSR) practices and organizational performance, with a focus on IT firms in Bangalore. The central aim of the research is to understand how different CSR initiatives impact employee morale, brand image and over all financial performance. Following a qualitative meta-analysis approach, Surveys of CSR practices and performance metrics from 30 IT companies; Stakeholders interviews in the process. This study shows that stronger CSR practices are associated with increased employee morale and a better public image. Conversely, the financial impacts from CSR initiatives were not as powerful, pushing for Wednesday's case suggesting CSR contributes to non-financial performance outcomes although there remains somewhat disappointing direct influence on financial elements. The results suggest the expected need for embedding CSR deeply in a business strategy, especially so considering its potential benefits resulting from employee and brand development of mostly IT companies. The study suggests that CSR can have strong positive impact on non-financial performance measures, while results relevant for more long-term financial implications and strategies to enhance those following different ways calls for further research.

Keywords: Corporate Social Responsibility, Organizational Performance, Employee Satisfaction, CSR Impact, Corporate Image.





Hemalatha Yadav and Kapil Arora

INTRODUCTION

Corporate Social Responsibility (CSR) in simplified terms means the ethical responsibility and a basic moral working behind it is to assist society beyond their financial stability of course still obeying all local, federal laws. It encapsulates practices such as environmental sustainability, ethnic labor, connection to the community and philanthropic activities. In the recent years, CSR has gained prominence due to growing expectancies of stakeholders regarding firms functioning in a manner socially responsible. Companies are adapting their business strategies to include CSR, reflecting a greater understanding of global challenges — including climate change, social inequality and governance issues at the corporate level. In the case of IT industry and more so in Bangalore, which is also called as Silicon Valley of India CSR has a greater role to play. One reason for this being that Bangalore has not just some of the top multi-national IT companies, who are been in and around invoking technology changes but also lies on a place where it enters people's house through economy powers too. Hence, when these companies scale up; their CSR practices are investigated with a microscope by the consumers and stakeholders. However, CSR in Bangalore IT companies is as important their reputation and have a direct impact on the business competitiveness due to a very dynamic industry. The present study fills the gap by investigating CSR practices of IT companies in Bangalore towards their performance. Whilst some research covers the influence of CSR in different industries, there has been limited attention towards how this might be reflected as ITF products on key performance metrics for these organisations such as employee satisfaction, brand reputation or firm financial outcomes. In addition to lack of resources, the problem is aggravated by absence of sector-specific insights that can direct IT firms about how to design their CSR strategies. The study aims to examine the link between corporate social responsibility (CSR) practices and organizational performance metrics, specifying key CSR activities that boost performance in Bangalore IT sector as well assess their impact on employee outcomes, Implications of Corporate Social Responsibility Practices for Organizational Performance Metrics in Indian Information Technology Organizations—Moderating Role of Employee Outcomes. Unlike other researches, this study may give foundations to initiate action on corporate social responsibility new practices for IT firms. To do so, the study seeks to provide relevant insights and recommendations around how companies can better integrate CSR into their core business strategies by explaining CSR activities that are designed to influence performance outcomes. Doing so will enable IT firms in Bangalore to have higher social impact delivery besides enhancing organizational performance and stakeholder engagement.

LITERATURE REVIEW

THEORETICAL FRAMEWORK

There are numerous theories underpinning the field of study that is Corporate Social Responsibility (CSR). One such perspective is the Stakeholder Theory developed by Freeman, which suggests that organizations need to take into account all stakeholder in order for long term success and legitimacy rather than focusing only on their shareholders. In that view, CSR can be seen as a powerful tool for managing relationships with different stakeholders; from employees and customers to the community. CSR activities as a resource by improving reputation and building stakeholder trust: The work of Barney (Resource-Based View-RBV) proposes that CSR is possible to be considered an organizational resource capable of contributing to competitive advantage through the improvement in reputation, thus fostering stakeholders' trusts. Secondly, The Legitimacy Theory argues that corporations perform CSR to conform with societal standards and expectations in order to be seen as legitimate and minimalized the fear of potential social sanctions. In addition, the Institutional Theory helps us to understand that organizations engage in CSR practices because they need it as a part of their institutional environment and face pressures from different stakeholders who influence them such as pressure groups or media. The theory posits that firms are essentially forced to adopt CSR, not if they wish to meet the expectations of their stakeholders (as we might presume), but rather because it is required by society or legislators. Additionally, Social Exchange Theory posits that corporate social responsibility (CSR) initiatives generate mutual benefits for organizations and their stakeholders through a favorable





Hemalatha Yadav and Kapil Arora

exchange., which in turn leads to better organizational reputation and sustainability. Collectively, these theories sketch a portrait of the diverse role that CSR plays in contemporary organizations as it unfolds over time.

Previous Research

Numerous researchers have investigated the effects of CSR practices on firm performance. CSR has been linked to financial performance in a study conducted by Orlitzky, Schmidt and Rynes who argue that companies that participate actively in responsible behaviour are likely to achieve positive financial outcomes through the creation of stronger relationships with those they depend on (stakeholders) as well as benefiting from a favourable corporate reputation. In addition, a meta-analyses on CSR by Margolis and Walsh (2003) has also revealed that contributions to non-financial performance e.g., employee satisfaction/organizational commitment or brand loyalty. Other studies in the IT sector have discovered that companies providing a stronger CSR program can obtain greater brand recognition and customer loyalty, which provides an adaptation advantage to other industries (Lantos, 2001). However, some studies e.g. McWilliams and Siegel (2000), have disclaimed that the financial gains of CSR are not always unambiguous since it varies according to practices and context used).

GAPS IDENTIFIED

Even though CSR has been well researched, there are still wide-reaching gaps. Further research is needed to explore sector-specific studies in the configuration of CSR problems and potentials in IT industry. There has been a generalizing practice among existing CSR research that assesses the impact of CSR in different types of industry sectors, yet there is no explicit discussion to be found on why IT companies are unique as they rely heavily on intellectual capital and technology innovation. Though existing literature delves into financial implications of CSR, limited scholarly contribution has been made on the broader performance measurement scale (other than accounting figures) like employee engagement and brand reputation associated with a commitment to CSR in IT company. More research is needed to understand the context contingent effects of CSR, subtle impacts for IT companies and so on.

RELEVANCE

The literature review provides a theoretical justification and context for the current study by reviewing traditional bases for CSR, but specifying IT-sector as one that requires further particular research. The study can capitalize on this accumulated knowledge by grounding its research in existing theories and prior studies as well, to the extent that they are able through identified gaps. The rationale for examining the impact of CSR practices on IT companies in Bangalore is evident through this review as it highlights how these Introduction Page 3 Magician from the Future Journal of Indian Business Research Emerald Publishing, potential influence various performance metrics. In so doing, referencing our findings will help to inform the design of relevant research projects and also provide a set of guidelines for data collection and analysis ensuring that future CSR studies emerge from an academic-practical synthesis.

MATERIAL AND METHODS

Study Design

The current study employs a mixed-methods approach to analyze the influence of Corporate Social Responsibility (CSR) initiatives on organizational performance, among IT organizations located in Bangalore. This research seeks to provide an extensive evaluation of CSR from multiple performance dimensions by incorporating primary quantitative data and secondary qualitative literature.

Sample

30 IT companies located in Bangalore, using a stratified random sampling technique to ensure representation of various sizes and types within the sector. Inclusion criteria stipulated that companies had to have more than 100 employees and a functional CSR policy in place. Such a selective process would help in providing substantial and relevant sample for assessing the CSR practices as well as outcomes.





Hemalatha Yadav and Kapil Arora

Data Collection

Data collection: Both primary sources and secondary were used in data analysis. Finances The data was collected through quantitative methodology and the primary data emphasizes on CSR practices targeting towards financial performance dimensions, employee satisfaction, score assigned to company by rankings which all goes hand in hand with it along Brand image. Secondary data were collected on the other hand from literature, industry reports, policy documents and relevant case studies. This supplementary data enabled us to move beyond what we found in the primary reports by giving a broader context on industry trends and CSR practices. The combination of these data sources enabled a more complete examination into the effects of CSR on performance.

Data Analysis

We used statistical analysis to detect the patterns and correlations of CSR practices with performance metrics on quantitatively based data. The data was analysed using descriptive statistics and inferential techniques (e.g. correlation, regression analysis) to examine the relationship between individual attributes of respondents; For information gleaned from the literature and case studies, we conducted thematic analysis to determine severalkey themes and patterns running through our data. The data was given a thematic analysis, helping to identify some over-arching themes and values of the respondents in relation to theoretical underpinnings linked between CSR practices that are being introduced by companies and how they were affecting it people who participate here.

Limitations

The study has multiple limitations. Response bias may be introduced by reliance on self-reported data, therefore limiting the generalizability of study findings. While a sample size of 30 companies may not cover the entire IT sector in Bangalore, this provides some insight. Also, secondary data drawn from an existingliterature or a case study can be constrained by the information reviewed. Fourth, the study is cross-sectional and cannot capture long-term changes in CSR practices that might influence organizational performance over time.

RESULTS

Descriptive Statistics

The analysis of the data collected has lead to some key findings about CSR practices and their effect overorganisational performance in IT companies at Bangalore. Results Descriptive statistics Initial descriptive statistics of key variables like CSR activities, employee satisfaction and brand reputation are tabulated. The average value of CSR activities declared by the companies was very high, reflecting an intense mobilization in terms of social and environmental actions [8]. The medium for employee satisfaction was also high, showing a broad approval of the companys CSR. Brand reputation scores averaged 7.5 on a scale of one to ten, which indicates that this were companies performing relatively well in terms of CSR public perception Deeper analysis, however showed that companies with greater CSR also saw improved internal moral and commitment from external stakeholders. In fact, companies that adopted broad CSR strategies like climate programs and community development projects saw higher rates of employee commitment as well as lower staff turnover. However, the study found a higher-ranking but more indirect effect of corporate involvement in CSR on customer satisfaction through brand reputation. This now demonstrates that strong CSR practices not only improve the public outlook on a company, but help keep great employee morale by developing an amazing work environment and closer sense of teamwork. The positive brand perception also backed the claim that CSR initiatives could be considered a giant asset strategically, which clearly increases company performance and long-term success. The broad popularity of CSR activities indicates the universal dividends effective corporate social responsibility can bring to IT industry.

FINDINGS

The results showed a strong, positive relationship between CSR practices and organisational performance metrics. Higher companies that had include different types of CSR practices such as environment friendly program and overall involvement with the community, generally have higher satisfaction among employees along with better





Hemalatha Yadav and Kapil Arora

reputation in terms of their brand. Idled in bed, companies with existing CSR programs experienced improvements on their employee satisfaction scores — a signal of higher morale and commitment. Besides, better brand loyalty and public relations (PR), are essential to stay competitive in an IT enterprise perspective. It also concluded that although there were improvements in financial performance, these often fell short of the non-financial gains such as enhanced stakeholder relationships and a perceived aspect to company image[9]. The study notes — "Among companies that are highly adept at delivering on CSR imperatives, there is often a virtuous cycle in which positive employee experiences enhance brand reputation and trust, driving a greater level of customer satisfaction with the organization. Some 82 per cent indicated reluctance to consider sustainability when making investment decisions because they maximally want returns." This feedback loop can help to position you more competitively in the marketplace. In particular those companies who had invested in CSR activities such as the lowering of carbon footprints, supporting local community; this helped towards strengthening their relationship more holistically nestling next to its conversation pillar. These reinforced relationships are then converted to higher trust and cooperation among your customers, some suppliers, you own investors etc. Additionally, the research showed that while these short-term financial benefits of CSR may be incremental in nature, this approach does facilitate long term strategic benefits such as increased risk management and organizational resilience. While positive ROI can be measured over the short term, net of costs, these non-financial benefits—i.e., increased stakeholder engagement and reputation—are arguably more valuable to organizations' overall objectives in demonstrating long-term individual self-interest.

VISUALS

Results would be presented visually (e.g., bar graphs of the averages for CSR practices and performance metrics, pie charts with shares of types of activities across companies). Tables and figures would serve to complement the descriptive statistics by illustrating how CSR efforts associated with performance outcomes. Line graphs could also be used to show trends over time, demonstrating how CSR practices and performance metrics change as well as correspond. You could even make heat maps showing how many companies address different areas of CSR, and a variety of additional things that are today not engaged or way to difficult. These visualizations not only help understand the data better but also enable comparison of various CSR practices and how they influence organizational performance. Stakeholders can better understand how effectively CSR initiatives are contributing to the organization by presenting this data in a visual manner, which help them take informed decisions.

COMPARISON

These results are consistent with prior evidence for a positive effect of CSR on performance (e.g., Surroca et al. This is in line with the results from Orlitzky, Schmidt and Rynes (2003), which confirmed that extensive CSR practices lead to improved employee satisfaction as well as brand reputation. Nevertheless, while as has been reported from CSR research trove findings such significant financial benefits of the same (e.g., Margolis and Walsh 2003), this was less so than non-financial improvements. One reason could be that this disparity was characteristic only of IT companies in Bangalore, and the manner in which they undertook their CSR efforts. In addition, the results contribute to previous studies by offer sector-specific evidence which call for further exploration of CSR effects in different industry contexts.

CONCLUSION

The research findings highlighted a significant positive association in case of Bangalore (IT companies) between CSR practices and diverse facets organizational performance. Human employees are happier, and your brand looks better overall when you engage in such CSR practices as environmental sustainability or hiring from the community around your corporate office. The financial impacts of CSR may be marginal, but the non-financial benefits such as better stakeholder firm relationships or corporation image outweigh by far. These studies reinforce the tactical importance of not just leveraging but embedding sustainability ventures as part and parcel of a business model — acting like a slow-release capsule that, over time, stands to confer sustained strategic benefits and overall success.





Hemalatha Yadav and Kapil Arora

REFERENCES

- 1. Okafor A, Adeleye BN, Adusei M. Corporate social responsibility and financial performance: Evidence from US tech firms. Journal of cleaner production. 2021 Apr 10;292:126078.
- 2. Fernandes MS, Sudarkodi P. A study on factors affecting employee engagement with reference to IT sector. Journal of Research Administration. 2023 Dec 20;5(2):9404-13.
- 3. Tang YM, Chau KY, Fatima A, Waqas M. Industry 4.0 technology and circular economy practices: business management strategies for environmental sustainability. Environmental Science and Pollution Research. 2022 Jul 1;29(33):49752-69.
- 4. Benitez J, Ruiz L, Castillo A, Llorens J. How corporate social responsibility activities influence employer reputation: The role of social media capability. Decision Support Systems. 2020 Feb 1;129:113223.
- 5. Anthony Jr B. The role of community engagement in urban innovation towards the co-creation of smart sustainable cities. Journal of the Knowledge Economy. 2024 Mar;15(1):1592-624.
- 6. Ikram M, Sroufe R, Mohsin M, Solangi YA, Shah SZ, Shahzad F. Does CSR influence firm performance? A longitudinal study of SME sectors of Pakistan. Journal of Global Responsibility. 2020 Jan 29;11(1):27-53.
- 7. Barnett ML, Henriques I, Husted BW. Beyond good intentions: Designing CSR initiatives for greater social impact. Journal of Management. 2020 Jul;46(6):937-64.
- 8. Allui A, Pinto L. Non-financial benefits of corporate social responsibility to Saudi companies. Sustainability. 2022 Mar 15;14(6):3446.
- 9. Hadj T, Omri A, Al-Tit AH. Mediation role of responsible innovation between CSR strategy and competitive advantage: Empirical evidence for the case of Saudi Arabia enterprises. Management Science Letters. 2020;10(4):747-62.
- 10. Eyasu AM, Arefayne D. The effect of corporate social responsibility on banks' competitive advantage: Evidence from Ethiopian Iion international bank SC. Cogent Business & Management. 2020 Jan 1;7(1):1830473.
- 11. Bacinello E, Tontini G, Alberton A. Influence of corporate social responsibility on sustainable practices of small and medium-sized enterprises: Implications on business performance. Corporate Social Responsibility and Environmental Management. 2021 Mar;28(2):776-85.
- 12. Okafor A, Adeleye BN, Adusei M. Corporate social responsibility and financial performance: Evidence from US tech firms. Journal of cleaner production. 2021 Apr 10;292:126078.
- 13. Fernandes MS, Sudarkodi P. A study on factors affecting employee engagement with reference to IT sector. Journal of Research Administration. 2023 Dec 20;5(2):9404-13.
- 14. Tang YM, Chau KY, Fatima A, Waqas M. Industry 4.0 technology and circular economy practices: business management strategies for environmental sustainability. Environmental Science and Pollution Research. 2022 Jul 1;29(33):49752-69.
- 15. Benitez J, Ruiz L, Castillo A, Llorens J. How corporate social responsibility activities influence employer reputation: The role of social media capability. Decision Support Systems. 2020 Feb 1;129:113223.
- 16. Anthony Jr B. The role of community engagement in urban innovation towards the co-creation of smart sustainable cities. Journal of the Knowledge Economy. 2024 Mar;15(1):1592-624.
- 17. Ikram M, Sroufe R, Mohsin M, Solangi YA, Shah SZ, Shahzad F. Does CSR influence firm performance? A longitudinal study of SME sectors of Pakistan. Journal of Global Responsibility. 2020 Jan 29;11(1):27-53.
- 18. Barnett ML, Henriques I, Husted BW. Beyond good intentions: Designing CSR initiatives for greater social impact. Journal of Management. 2020 Jul;46(6):937-64.
- 19. Allui A, Pinto L. Non-financial benefits of corporate social responsibility to Saudi companies. Sustainability. 2022 Mar 15;14(6):3446.
- 20. Hadj T, Omri A, Al-Tit AH. Mediation role of responsible innovation between CSR strategy and competitive advantage: Empirical evidence for the case of Saudi Arabia enterprises. Management Science Letters. 2020;10(4):747-62.
- 21. Eyasu AM, Arefayne D. The effect of corporate social responsibility on banks' competitive advantage: Evidence from Ethiopian Iion international bank SC. Cogent Business & Management. 2020 Jan 1;7(1):1830473.





Hemalatha Yadav and Kapil Arora

22. Bacinello E, Tontini G, Alberton A. Influence of corporate social responsibility on sustainable practices of small and medium-sized enterprises: Implications on business performance. Corporate Social Responsibility and Environmental Management. 2021 Mar;28(2):776-85.





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Bridging Academia and Industry in the Era of Industry 4.0 and Industry 5.0 : A Bibliometric Study

Divya M1* and S. Sumathi2

Research Scholar, PES University, Bengaluru and Assistant Professor, New Horizon College, Marathalli. ²Research Supervisor and Professor in the Faculty of Management, PES University, Bengaluru, Karnataka, India.

Revised: 08 Sep 2024 Accepted: 17 Sep 2024 Received: 25 Aug 2024

*Address for Correspondence Divya M

Research Scholar, PES University, Bengaluru and Assistant Professor, New Horizon College, Marathalli. Email: divyasanjay2023@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Industry 5.0 changes and the fast pace of its development require that curricula in college classrooms be more aligned with expectations from industry to create a future-fit workforce This contribution introduces a bibliometric analysis of the literature on academia-industry alignment in Industry 4.0 and Industry 5.0 over five consecutive years, ranging from publications between year 2019–2024 The study presents a five-stage method which consists in the whole process of searching, finding, selecting and reviewing relevant publications. Seventy-eight articles met the eligibility and were examined through Keyword Network Structures, Content Analysis (topic modeling), to classify sorts of research. The main aim of this study is to delineate and investigate the research lacunae in academic curriculum development process for aligning with industrial needs, which would ultimately contribute knowledge perspective on what has been done and where future research efforts should be directed. Results show a significant emphasis on quantitative (13 studies) and qualitative (11 studies). In terms of countries responsible for the most publications, Germany is a clear frontrunner, closely followed by UK and USA and distant fourth China—pointing to widespread global interest in the subject. In summary, "Industry 4.0", "Industry 5.0" and "education" appeared to be the top three frequently used terms based on keyword co-occurrence analysis which summarized the core themes of research landscape overall (Table, Fig). India has significant contribution from the highest 3 producing countries, yet a paucity of publications originating in India indicates need for further dialogue. Many research gaps have been identified, including discrepancies between intelligent manufacturing and new curricula that need to be explored within academic areas while being receptive of the requirement variations in industries based on Industry 4.0/5. The analysis also highlights the need for re-training and life-long learning of workforce





Divya and Sumathi

to remain competitive in Industry 4.0, and eventually be ready to take on challenges posed by industry 5.0 era as well. Future research fills these gaps that will be much useful to refine and tailor the scholarly findings of educational system before getting their students ready for a readmission in an adaptive way toward ensuring compactivity between graduates.

Keywords: Academia, Bibliometric Analysis, Curriculum Development, Educational Policy, Industry 4.0, Industry 5.0

INTRODUCTION

Amidst the extremely dynamic industrial development landscape, a cornerstone marked as Industry 5.0 has arisen; which embodies an era where state-of-the-art technology is inherently woven into true worker inclusive production systems (Stadtler & Schulte, 2022). Industry 5.0 is by building upon the fields of industrial automation and data exchange that were founded in Industry 4.0, which focused on creating what has become known as a "smart factory" with emphasis on collaboration between humans and machines within systems for cyber-physical production. Kagermann et al., (2013). This paradigm change not only has led to higher productivity and efficiency but also underscore the need for educational institutions to address quickly changing requirements of industrial sector. The motivation of this study is to mend the persisting divide between academia and industry in light of Industry 5.0 era. Industry of the 5th generation can be depicted as a domain that emphasizes on theoretical understanding and fundamental competencies (academia), while Industry 4.0 actually requires interdisciplinary knowledge including digitization skills, adaptable problem-solving capabilities driven by empirical reality bringing in new technologies to practice within its environment (Lasi et al., 2014). The mismatch between educational curricula and the increasingly changing need of industries adopting Industry 4.0 technologies has more significantly been underscored by this disconnect (Albrecht et al., 2018). The post-implementation process can appear fruitful as well since society is mature when manufacturers decide to pull out support, so they stop allocating manufacturing capacity way too early in fact their experience economically still should have some growth opportunity left in it.

And the challenge with Industry 5.0 is now: The convergence of physical and digital worlds will be even more pronounced, necessitating a complete overhaul in Educational frameworks this time! Its scope includes both, not only technological innovations but also socio-economic aspects through i) sustainability and ii) ethical considerations in industrial practices (Lee et al., 2021). Thus staking a tradition with practice alongside the academia becomes not just an issue of skill set but also emerges as a strategic lever to shape India's destiny on global turf. Importantly, its potential to spur growth and societal advancement also makes this alignment particularly consequential. A workforce skilled in the ways of Industry 5.0 can fuel innovation and new growth opportunities, delivering solutions to assist with many complex social issues such as climate change at a large scale (Porter & Heppelmann, 2014). The study, therefore do explore the current educational alignment with Industry 5.0 and suggest strategic interventions to equip future workforce that can adapt and tap into transformational potential of era called Industry 5.0

To answer the objectives of this study, we ask the following research questions:

- Research Question 1: Trend of Publication in the Adjustment between Academia and Industry on Industrial Internet of Things from year to not less than for next five (5) years?
- Research Question 2: Which are the most cited studies in alignment of academia with Industry needs in Industry 4.0 & Industry 5.0?
- Research Question 3: What is the citation and co-citation pattern for a comprehensive review on academic industry alignment in Industry 4.0 or/and get smart to change with Industry 5.0.
- Research Question 4: What tools and methods are used in the research of bridging academia-industry gap within Industry 4.0 & Industry 5.0?





Divya and Sumathi

• Research Question 5: What are the industry segments that were addressed by previous studies which explored academia–industry alignment in contexts of Industry 4.0 and Industry 5.0?

LITERATURE REVIEW

Industry 5.0 is a way further to the current Industry 4.0, looking at WOW or de-humanized approach of manufacturing and industries towards humans! While Industry 4.0 centered on automation, data exchange and related communication standards in manufacturing technologies using the Internet of Things (IoT) and cloud computing; integrating 'human-in-the-loop' or human intervention processes allowing greater intelligence with less waste is Industry 5.0 —followed by advanced analytics—the Year Supply Chain reinvents industrial systems as collaborative-social-ecological facades that recycle energy while accomplishing more adaptive technology management; this wave builds upon Artificial Intelligence & Cyber compute meanwhile redesigning many operations constituting mass models around tablet computers: agile-apprenticeships assimilate forcible changes whilst self-generating project-based opp's ready to start up-low power-expense internet-operability! The paradigm change emphasizes the human worker as rooting for order within complex manufacturing processes, in a way that furthers productivity hence innovation (Stadtler & Schulte 2022). Key technologies that are part of Industry 5.0 include cobots, Al-driven decision support systems and more advanced human-machine interfaces (HMIs). These technologies are intended to enhance the abilities of human workers, allowing them greater control over and customization in performing production tasks. Parkinson highlights that Industry 5.0 is the latest chapter in a long tradition of technological evolution to address these and other problems, including increasing concentration on sustainable supply chains as well as ethical duties, or making business responsible for its sustainability and social implications (Breque et al., 2021). Such an approach requires a fundamental new look at educational curricula, to turn out workers who can succeed in these complex, ever-changing environments.

The transition to Industry 4.0 has, for instance, uncovered major disparities between the skills taught in academic institutions and those needed by contemporary industries (Bongomin et al., 2020; Mian et al., 2020). Many studies have pointed to these gaps which suggest a corresponding convergence between technical and interdisciplinary knowledge as well as soft skills being part of the curricula (Kipper et al., 2021; Sung, 2018). For instance, Peres et al. This result also agrees with the selection of theoretical knowledge at academic programs while industries require practical/experimental exposure to emerging technologies: according to (2018) Research by Pirola et al. This further corroborates the contention by Rasmussen et al. (2019) which suggests that academic output is frequently not in tune with industry requirements and also, technological advancements are made quicker than educational systems can adapt to them. Consequently, there have been demands for such agile and responsive educational frameworks that can align with technological developments as well industry requirements. Our experience, however damped by the aforementioned factors, is more focused on embedding real-world industrial problems in an academic contexts through collaboration, internships and project-based learning (Benešová & Tupa 2017).

Many theoretical frameworks have been suggested to contribute the hole between academic and industrial particularly now with Industry 4.0 landscape borders, but all these models need an empirical background test in order for them to be applicable by future researchers on their way of modeling better theorindexplanation (theory). A well-known model is the triple helix where universities are expected to work alongside industries and governments for advancing innovation, tech transfer or economic development influences (Etzkowitz&Leydesdorff, 2000). This model promotes a mutually beneficial relationship where both parties add to and take from the knowledge pool. Aproximately opposite in orientation is the framework of competency-based education (CBE), which concerns defining certain competencies that you get out from industry needs, and standards reflect levels within these constructs of competence creation and development. CBE focuses on real-world, results-based learning by only testing students in skills and problems which are directly useful for what they will be doing. This is especially well-suited for the dynamic and interdisciplinary Industry 5.0, where being adaptable in learning new things more than ever matters most. Also, the idea of continuous lifelong learning has emerged as a requisite development experience





Divya and Sumathi

for workers to stay in line with Industry 5.0 requirements (European Commission, 2020). Lifelong learning: This approach refers to continually developing skills and acquiring knowledge, which is supported by flexible pathways through education or training and ongoing professional development opportunities.

Initially, the articles related to academia-industry alignment in Industry 4.0 and Industry5. The identified articles were then screened for further detailed review and inclusion. After shortlisting the articles bibliometric analysis & Content analysis done using VOSviewer and MS Excel to ascertain publication trends, type of studies conducted, scope of journals published in search time period and research gaps identified helps suggest future directions for researchers. The methodology of the comprehensive review used for this literature review is explained in next section.

METHODOLOGY

The methodology is the systematic, theoretical analysis of how research strategies and techniques towards solving a problem are undertaken. This study applies a literature review methodology which is particularly helpful for revealing recent research trends and theoretical frameworks, thus highlighting important areas of future work. This would be beneficial to developers assessing the current state of alignment between academia and industry within Industry 4.0 as well as Industry 5.0 context for research opportunities in these two different domains combined together; The methodology follows the five stages method as proposed by Nyirahabimana et al. Those defined by Rabinow and Sullivan (2022) as follows: searching, finding, choosing to include or exclude each one of the relevant publications.

Literature Collection

A bibliometric analysis method is a systematic one used to access the volume, growth and distribution of academic literature in a certain subject area (Aria & Cuccurullo, 2017). Through this study, bibliometric analysis is used to quantitatively assess the prevailing literature concerning academia and industry alignment in relation to Industry 4 and upward thrusting up of Industry5 premises. The analysis is expected to reveal the publication trends, most cited works and high-impact authors associated with collaborative networks in a research domain. The literature collection started with a broad search in several academic databases, like Scopus, Elsevier and Google Scholar. This would be searched by a range of keywords. These are used to do a more specific search, guaranteeing the right retrieved literature through boolean operators (AND and OR). From searching various journal, 78 articles have been identified (Table 1).

Selection Criteria for Literature Inclusion

In this context, the aim of our study is to perform an extensive analysis and review on articles related to alignment among academia vs. industry focusing on Industry 4.0 & Industry 5.0 published in different academic journals so far (Fig-1) Literature was selected for this review based on inclusion criteria which were applied to define relevance and comprehensiveness.

- Published in a peer-reviewed journal or conference proceedings
- Academic and industry convergence focus
- Published within the past ten years to include up-to-date studies.
- Offered in English for ease of use and understanding.

The results were filtered based on the abstract screening approach to be able pick up most relevant articles. Of the initial 259 articles, we discovered that only 78 were of general relevance to the academic/industry alignment theme in Industry4.0 and Industrial5.0. That enlightenment was later used for producing the literature review and analysis. Table 1 displays the distribution of these articles by database and lists search criteria, keywords, and search strings.





Divya and Sumathi

Review of Selected Literatures

The relevant articles were reviewed systematically, and research type, tools & methods used in the article, specific industries covered etc. was hard coded as part of review process This process was intended to bring forward the salient findings of each study and provide an overall picture of research in this field.

Analysis Methods

VOS viewer is used for bibliometric analysis to visualize the data. VOSviewer is also used to create the bibliometric maps that allow visualizing relationships between authors, journals and keywords (Van Eck & Waltman, 2010). This tool is useful for identifying research topic clusters and key papers in the field. The model gives an outline on the key metrics which include the Number of publications per year and country, Co-occurrence & analysis of Keywords in articles, Citation Analysis and finally a co-citation network visualisation to give readers more insights about various trends being followed over years by authors as well as collaborations in this research domain. It makes use of content analysis which is executed using MS Excel.

RESULTS AND FINDINGS

Bibliometric Analysis Findings

The framework of bibliometric analysis are taken from methodology by Donthu et al. (2021). The search using the search parameters presented in Table 1 identified a total of 259 research articles for review. In the last few years an increasing number of academic papers on the subject have been published and just this figure demonstrates a new focus that examinations Industry 4.0 (and sometimes even Industry 5.0) in combination with learning or education opportunity consequences for students (Figure 1). Characterizing the crossroad of Industry 4.0 and emergent trends, such as in some circles referred to into a stage dubbed Industry 5.0, with education is an area experiencing rapid expansion that has emerged from understanding the imperative for educational systems alignment within this new industrial paradigm The contributions of the countries in the field of academia-industry alignment towards Industry 5.0 was further analyzed through bibliometrics (Table 2). Germany is number one with 16 publications and a total of 746 citations, averaging to 46.63 citations per document. After Germany, there are more than 5 publications on enterotoxigenicEscherichia coli in United Kingdom and the rota virus fromUnited States and China each. This distribution accentuates the pressing need to learn how educational systems can respond to industry demands, and at an unprecedented scale.

Keyword Analysis

During bibliometric analysis, three main types of techniques are used to visualize the networks: distance-based visualization approach graph-based view timeline-view. The distance between two nodes in the distance based network is indicative of strength or intensity of relationships existing among them (Segura-Robles et al., 2020). The construction of a distance-based bibliometric network is supported by VOSviewer software, to enable visualization of publication characteristics using network analysis techniques.

Figure 2 demonstrates the keyword co-occurrence network in articles detected. Clusters of keywords in different colors within the network structure indicate themes. The study uses VOSviewer to generate a distance-based bibliometric network that provides an overview of the selected articles. More specifically, this analysis is looking at the keywords that were common across all articles and how often these key words co-occur with one another. The visualization brings out the interconnectedness among research and themes that are relevant to academia-industry alignment with Industry 4.0/5. As the major factors in bibliometric network, "Industry 4.0," "Industry 5.0" and some of the other key words like "artificial intelligence," higher education," open innovation", digital transformation ILL.highlighted through Figure -2(Frame work). In the co-occurrence analysis, "Industry 4.0" (green cluster) most frequently related to "artificial intelligence," higher education" and "smart manufacturing". Meanwhile, "Industry 5.0" (purple cluster) is often used with the terms digital transformation, higher education and competencies. The clustering results reflect that the keyword "education" (yellow cluster) is most related to "Education 4.0," and following with it nearly equally close degree of "inclusive education", "curriculum design" as well as "open





Divya and Sumathi

innovation". After identifying the clusters of keywords, we grouped textbooks in this study into three main categories as follows: (1) Industry 4.0, (2) Industry 5.0 and education; The keyword "Industry 4,0" meanwhile is mostly popula: it appeared around 49 times and got the highest link strength with a total of 261. The topic "Industry 5.0" also appears thirteen times with a link strength of 104 as well. Again "education" is given a score of 97 as link strength better links us to the keyword. In these explicit or intuitive research clusters imply topical activity areas in the field of aligning academia and industry within Industry 4.0/5.

Citation analysis serves as a fundamental component in bibliometric studies, which has been widely used to understand the importance of research documents within a certain field (Song et al. 2023). Citation analyzes measure the impact and importance of a publication in its field by determining how many times that document has been cited. Analysis of the scientific and scholarly literature through citation serves as an essential means for discovering landmark works as well as important papers contributing to a field of research. It also emphasizes what articles are making an influence and provides a way to understand the academics behind certain papers. In the framing of academia-to-industry alignment for Industry 4.0–5, then citation analysis provides a way to understanding what base studies are driving discourse and subsequent researcher activities. Table 3 provides an overview of notable articles about university–industry collaboration under the umbrella term Industry (4.0 and) 5. Top 20 cited articles on this research area Looking into these ten most cited works would give researchers a firm knowledge of the origin and roots present studies focused on reshaping educational systems to meet standards for Industry 4.0, leading up to Industry 5.0 as well.

Co-citation Network Analysis

Co-citation algorithms analyze how often two authors' articles are cited together by other scholarly articles and thus show the existence of hidden connections between those works (Song et al., 2023). This kind of analysis allows the users to visualise relationships among world cited works and highlight similarities within a field Salemi & Koosha, 2022). As a form of co-citation analysis can help locate in theoretical linkages between the citing and cited documents that show how research pieces fit together by virtue of being linked to one another through established citations (Salemi & Koosha, 2022). Through scanning the references of cited articles, we can gain a comprehensive understanding about how scholarly influence is populated and formed from this field animal which in turn helps to summarize its intellectual organization. This approach helps researchers to find out which papers are generally cited together, indicating a close connection in their theoretical or methodological developments. In addition, co-citation analysis can also identify important research clusters of scholarship and core members who contribute to the advance process in this field [44]. When it comes to bringing together academia and industry for Industry 4.0, or even the horizon of a true next shift (Industry 5.0), co-citation analysis can reveal key works that have shaped this dialogue over its course as well drivable theory foundations Thanks to CrescChain]} Visualizing the co-citation network will indicate how ideas have evolved over time, and identify major intellectual flows that shape contemporary academic-industry alignment research in a new era of Industry 4.0 / 5.

Figures 3 and 4 show the co-citation networks of cited authors and sources, respectively. This is useful to understand the background of a field, as who follows which author highlights where authority in this framework lies and also how various aspects are related. There are several important nodes the network reflects including highlighting Prof. Mehmood, and Profs Alahmari; Haleem; Sihn and Wilfried Kipper as well as Xun On the basis of its popularity, this work has established these authors as critical players in discussions on academia-industry cohesion with respect to Industry 4.0 and emerging themes pertinent to Industry 5.0 which should be contextualized throughout literature pertaining to academic-industry relations recapitulate their significance. In the same way, this is indicated in source co-citation network; which signals key journals and papers that appear as primary dissemination vessels of research among their particular scope. Network reveals IEEE Access, International Journal of Production Economics and Journal of Business Research Education & Information Technologies; Journal information About Editorial board News A prominent node in the network remains with 201/346 The aim is to generate behavioral knowledge that will provide better premises for decision making. These sources make up an important portion of the literature, so their large role in publishing influential research on academia-industry alignment is recognized. Through the analysis of





Divya and Sumathi

these co-citation networks, researchers can gain valuable insights into how authors and academic sources are connected to one another and what plays a crucial role in defining this scholarly terrain. In doing so, not only does the identification of key authors and journals shed light on influential works within the literature but it also can help identify future trends as well as critical analyses that add to the ongoing conversation surrounding Industry 4.0 (as well now — potentially — Industry 5.0). In conclusion, it is clear the role of individual scholars as well academic journals are significant for greater advancements in progressing knowledge and practices with regard to interweaving educational systems (academic) needs into industrial requirements.

Content Analysis Method

The concept of systematized content analysis was meant for studying visual, textual and other kinds of documental artifacts (Lindgren et al. This approach allows researchers to analyze massive amounts of data in an organized manner, facilitating the observation patterns and trends within a particular area. This study conducted a content analysis on 78 relevant research articles focusing alignment between academia and industry in the context of Industry 4.0/5. The purpose of this review was to identify trends or themes across the research studies in that area. In order to do this, we built a database summarizing all the articles that were shortlisted for our review in MS-Excel. The database helped categorize the sample according to a variety of parameters such as methodologies and industrial settings. Using a systematic organization and classification of those articles, the research contributes to identifying empirical knowledge that sheds light on how academic and industrial sectors are coming together in Industry 4.0/5. In doing so, it not only guarantees that this approach underscores with the most commonly used methodologies and industry focus areas in the selected papers but also provides a crisp picture about where empirical research is headed.

Figure 5 provides an integrated understanding of the tools and approaches used, thusto comprehend methodologies implemented by researchers in this field. On the contrary, majority of research studies on the alignment between academia and industry in context to Industry 4.0 and onwards (Industry 5.0). The focus of sector in the studies examined by this study is shown at a detailed level in Figure 6. The figure clearly shows that most such research has been successfully conducted with the IT and manufacturing sector.

DISCUSSION

In this paper, a bibliometric review of articles on Industry 4.0 and Industry 5.0 is provided from the academics partnership with industry to develop an insight view over six years (2019-2024). A total number of 78 articles were reviewed and classified. Based on a keyword network structure, clusters of articles were formed and each article was assigned to one cluster group. Results showed that content analysis statistics — quantitative (13) and qualitative analysis (11 studies) are most commonly employed in these research studies. This analysis supplied a number of key insights and areas in need of further research: By location, most publications come from Germany (57), the UK (~30) US is next with China just over 5. In these countries, researchers are particularly interested in how they can incorporate the latest technologies into educational curricula and approaches that have successfully promoted training and workforce engagement. It is worth noting that there are very fewer publications from India and maybe this could be an area for future research. Based on the keyword co-occurrence analysis, "Industry 4.0," "Industry 5.0" and "education" were used repeatedly in the network of keywords which represent main themes addressed within literature [93]. Results from co-citation network analysis identified are authors mainly from Germany, United Kingdom, United States and China that have had a great impact in the field. The impact of these authors: The very interesting collaborations are well presented. Moreover, significant others as IEEE Access Journal of Manufacturing and International Journal of Information Management, play roles in publication research on Industry 4.0 alignment Industry 5.0. This diversity of industries with studies reinforces the broad application area for these concepts — IT, manufacturing, operations... and also healthcare or even oil and gas. This form of engagement is illustrative in the need to evolve educational frameworks for a more discipline specific preparation, as trends point toward nuancing standard approaches that pave the way with knowledge work and performance over many longterm employment





Divya and Sumathi

themes. These results provide exciting promise and open new pathways of inquiry in this line of research. Additional research may examine the misalignments in current academic curricula and new demands by Industry 4.0 and Industry 5.0 oriented industries. The focus of this research should be to ensure graduates and students are equipped with the appropriate skills so as not only withstand the waves swept by these industrial revolutions, but also surf them. By bridging these gaps, future research in the space can play a role in evolving more responsive and agile education systems that will effectively prepare workers for jobs of the 21st century era; lastly expanding their job readiness.

CONCLUSION

This study gives a detailed analysis of aligning academia with Industry for the generations, i.e., 4.0 and 5.0 industry using bibliometric methods as an aid tool Their recommendations stress the urgent requirement of revising academic curricula to include cutting-edge technologies and forming closer ties between educational institutions and industry. There are still many areas to investigate, particularly regarding the integration of Industry 4.0 and 5.0 technologies in various industries, sustainability over time from a curricular perspective, as well as facilitative partnership models between industry and academia.—Is there some research that went on for too long? What retains utmost importance is focusing on these gaps in future research that will help their educational systems prepare students well-enough to support the changing needs of industry and innovation over Industry 4.0, and into Industry 5.0

REFERENCES

- 1. Albrecht, S., Holm, A., & Breitner, M. H. (2018). Industry 4.0 and the current status as well as future prospects on logistics. Computers in Industry, 97, 152-163.
- 2. Aria, M., & Cuccurullo, C. (2017). Bibliometrix: An R-tool for comprehensive science mapping analysis. Journal of Informetrics, 11(4), 959-975.
- 3. Baartman, L. K. J., & de Bruijn, E. (2011). Integrating knowledge, skills and attitudes: Conceptualising learning processes towards vocational competence. Educational Research Review, 6(2), 125-134.
- 4. Benešová, A., & Tupa, J. (2017). Requirements for education and qualification of people in Industry 4.0. Procedia Manufacturing, 11, 2195-2202.
- 5. Bongomin, O., Gilibrays Ocen, G., OyondiNganyi, E., Musinguzi, A., & Omara, T. (2020). Exponential disruptive technologies and the required skills of industry 4.0. *Journal of Engineering*, 2020(1), 4280156.
- 6. Breque, M., De Nul, L., & Petridis, A. (2021). Industry 5.0: Towards a sustainable, human-centric and resilient European industry. European Commission.
- 7. Donthu, N., Kumar, S., Mukherjee, D., Pandey, N., & Lim, W. M. (2021). How to conduct a bibliometric analysis: An overview and guidelines. *Journal of business research*, *133*, 285-296.
- 8. Etzkowitz, H., &Leydesdorff, L. (2000). The dynamics of innovation: From National Systems and "Mode 2" to a Triple Helix of university–industry–government relations. Research Policy, 29(2), 109-123.
- 9. Kagermann, H., Wahlster, W., & Helbig, J. (2013). Recommendations for implementing the strategic initiative INDUSTRIE 4.0: Final report of the Industrie 4.0 Working Group. Forschungsunion.
- 10. Kipper, L. M., Iepsen, S., Dal Forno, A. J., Frozza, R., Furstenau, L., Agnes, J., &Cossul, D. (2021). Scientific mapping to identify competencies required by industry 4.0. *Technology in Society*, *64*, 101454.
- 11. Lasi, H., Fettke, P., Kemper, H. G., Feld, T., & Hoffmann, M. (2014). Industry 4.0. Business & Information Systems Engineering, 6(4), 239-242.
- 12. Lee, J., Bagheri, B., & Kao, H. A. (2015). A cyber-physical systems architecture for Industry 4.0-based manufacturing systems. Manufacturing Letters, 3, 18-23.
- 13. Lindgren, B. M., Lundman, B., & Graneheim, U. H. (2020). Abstraction and interpretation during the qualitative content analysis process. *International journal of nursing studies*, *108*, 103632.
- 14. Mian, S. H., Salah, B., Ameen, W., Moiduddin, K., &Alkhalefah, H. (2020). Adapting universities for sustainability education in industry 4.0: Channel of challenges and opportunities. *Sustainability*, 12(15), 6100.





Divya and Sumathi

- 15. Nahavandi, S. (2019). Industry 5.0—a human-centric solution. Sustainability, 11(16), 4371.
- 16. Nyirahabimana, P., Minani, E., Nduwingoma, M., &Kemeza, I. (2022). A Scientometric Review of Multimedia in Teaching and Learning of Physics. *LUMAT: International Journal on Math, Science and Technology Education, 10*(1), 89-106.
- 17. Peres, R., Baalsrud Hauge, J., & Thoben, K. D. (2018). Challenges and solutions in the integration of online communities in learning management systems. Procedia CIRP, 73, 290-295.
- 18. Pirola, F., Cimini, C., Pinto, R., Cavalieri, S., & Guzzo, D. (2019). Integrating digital technologies into the value chain: A framework for the digital transformation of manufacturing. Procedia CIRP, 81, 969-974.
- 19. Porter, M. E., & Heppelmann, J. E. (2014). How smart, connected products are transforming competition. Harvard Business Review, 92(11), 64-88.
- 20. Salemi, N., & Koosha, K. (2022). Co-citation analysis and co-word analysis in bibliometrics mapping: A methodological evaluation. *Iranian Journal of Information Processing and Management*, *29*(1), 253-266.
- 21. Segura-Robles, A., Parra-González, M., & Gallardo-Vigil, M. (2020). Bibliometric and collaborative network analysis on active methodologies in education. *Journal of New Approaches in Educational Research (NAER Journal)*, 9(2), 259-274.
- 22. Song, Y., Lei, L., Wu, L., & Chen, S. (2023). Studying domain structure: a comparative analysis of bibliographic coupling analysis and co-citation analysis considering all authors. *Online Information Review*, 47(1), 123-137.
- 23. Stadtler, H., & Schulte, C. (2022). Industry 5.0: A human-centric industrial revolution. IFAC-PapersOnLine, 55(3), 110-115.
- 24. Sung, T. K. (2018). Industry 4.0: A Korea perspective. Technological Forecasting and Social Change, 132, 40-45.

Table 1. Articles Identified from the Selected Databases

Keyword String	Search Criteria	Scopus	Elsevier	Google Scholar
(("Industry 5.0" OR "Industry 4.0") AND ("educational frameworks" OR "education systems" OR "academic programs") AND ("workforce development" OR "skill development" OR "talent management"))	Title/Abstract/ Keywords	87	69	103
Total articles found from all the databases		259		
Selected Articles		78		

Table 2. Country-wise article distribution

Country	Documents	Citations	Average citations per document	Total link strength
Germany	16	746	46.63	22
United Kingdom	13	343	26.38	43
United States	11	411	37.36	39
China	7	27	3.86	25
India	5	31	6.20	23
Malaysia	4	39	9.75	21
Australia	3	11	3.67	19
France	2	17	8.50	17





Divya and Sumathi

Note: Top 10 countries based on Articles and Total link strength						
Italy	2	8	4.00	10		
Turkey	2	19	9.50	15		

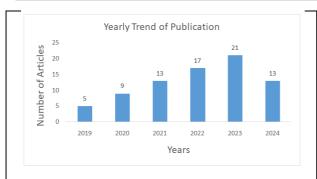
Table 3. Highly cited articles

No	Document		l
		ar	ons
1 S	cientific mapping to identify competencies required by industry 4.0	202 1	256
2 New challeng	ges in higher education: A study of the digital competence of educators in Covid times	202 2	258
3 HEISQUAL:	A modern approach to measure service quality in higher education institutions	202 0	150
4 Strategic susta	ninable development of Industry 4.0 through the lens of social responsibility: The role of human resource practices	202 2	85
5 Organizatio	nal learning and Industry 4.0: findings from a systematic literature review and research agenda	202 0	88
6 S	cientific mapping to identify competencies required by industry 4.0	202 1	148
7	Engineering education for smart 4.0 technology: a review	202 0	66
8 Virtual Rea	lity-Based Engineering Education to Enhance Manufacturing Sustainability in Industry 4.0	201 9	125
9 Factors at	ffecting students' preparedness for the fourth industrial revolution in higher education institutions	201 5	197
10	Higher Education Future in the Era of Digital Transformation	202 2	67
11 Integration o	f Industry 4.0 technologies with Education 4.0: advantages for improvements in learning	202 3	74
12 Operationa	alisation of soft skill attributes and determining the existing gap in novice ICT professionals	202 0	111
13 Esti	mating Industry 4.0 impact on job profiles and skills using text mining	202 0	255
14	The new talent management challenges of Industry 4.0	201 9	174
15	Emerging human resource management practices in Industry 4.0	201 9	96
	Note: Top 15 Articles		





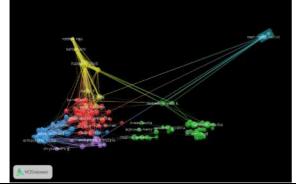
Divya and Sumathi



Antique of the state of the sta

Figure 1. Year-Wise distribution of articles

Figure 2. Visualization of Bibliometric Networks: Cooccurrence of keywords



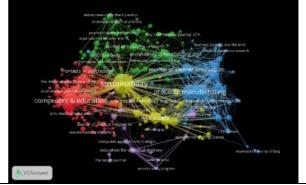
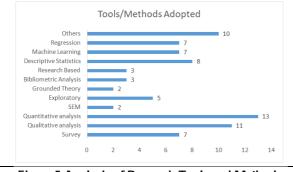


Figure 3. Co-citation network analysis for authors

Figure 4. Co-citation network analysis for sources



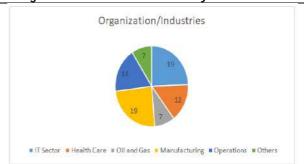


Figure 5. Analysis of Research Tools and Methods

Figure 6.Categorization based on type of industry where the study is performed





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Human Resource Management Practices with Special Reference to Gender Paygap, Employee Retention and Attrition in Airlines of India

Darla Nagaraju^{1*} and K.Venugopal²

Research Scholar, Sri Krishnadevaraya University, Andhra Pradesh and Senior Assistant Professor, New Horizon College, Marathalli, Bengaluru, Karnataka, India

²Research Supervisor, Department of Management, Sri Krishnadevaraya University, Anantapur, Andhra Pradesh, India.

Received: 25 Aug 2024 Revised: 08 Sep 2024 Accepted: 17 Sep 2024

*Address for Correspondence Darla Nagaraju

Research Scholar, Sri Krishnadevaraya University, Andhra Pradesh and Senior Assistant Professor, New Horizon College, Marathalli, Bengaluru, Karnataka, India



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Commercial and non-commercial aircraft alike operate in a technologically advanced, highly competitive, and safety-conscious market. Rather than relying on goods and machinery, a company should build its competitive edge on its people, workers, and customers. A large portion of the organization's architecture, capabilities, security, and even certain day-to-day operations are influenced by these HR practices. It is no longer required to promote products in an environment that prioritises security, customers, and services. Managing the company's internal marketing to acquire client confidence is more important than ever before in the field of human resources management. Human resources' alignment of its methods, procedures, and activities with the organization's development requirements should be a key component of business operations. Service innovation is simple to replicate in today's cutthroat aviation market, but the private client of the aircraft has the secret to innovations that will remain unique. When compared to other global businesses, aviation is among the most unpredictable.

Keywords: workers, technologically, commercial, company's, environment.

INTRODUCTION

External factors are the primary cause of the continuous transformation in the Indian aviation industry's operational style. Not only is this sector vulnerable to takeovers and bankruptcies, but it is also impacted by external forces like politics, the economy, and consumers. In light of the above, it is clear that the aviation industry is confronting





Darla Nagaraju and Venugopal

difficulties in filling these positions via recruiting. Airline firms' demands are always changing, thus human operators must devise a method to assess emotions, obtain the necessary resources, and then distribute them accordingly. Ground attendants, pilots, flight attendants, and maintenance personnel are just a few of the various positions available in this division. Consequently, the HR manager is responsible for training staff to fulfil these unique requirements, establishing lines of direct contact, coordinating with other departments, and developing a recruitment strategy based on the information gathered. Organisations have a strong incentive to attend to the minutiae of HR policies and practices for workers since doing so is critical to better unlocking the knowledge, skills, and capacities of people—the company's fundamental competitive advantage. As a result, HR rules and practices are repeated. methods used in human resources. Human resources (HR) functions at its peak in the aviation industry due to both internal management aims and external environmental pressures on corporate executives to adhere to regulations.

REVIEW OF LITERATURE

Appelbaum, S. H. and Fewster, B.M. (2003) examined the field of civil aviation worldwide from the perspective of human resource management. Three audit categories—recruitment and selection, education, training and development, and organisation development—form a particularly cohesive cluster of HRM key success factors, and the paper presents the outcomes of these audits. A comprehensive audit of their organisations' HRM procedures was conducted by thirteen respondents from nine different countries, all of whom were executives from different airlines. There is a strong relationship between happy workers and world-class human resource practices, and a significant connection between happy workers and pleased customers in knowledge-based service businesses, according to the research.

Harel, G.H. and Tzafrir, S.S. (2001)lookedanalysed the data to see if the public and private sectors of the economy use Human Resource Management (HRM) strategies differently. Representatives from 44 percent of the companies surveyed filled out and returned 102 questionnaires. Evidence from HRM operations data was used to test the hypothesis. Since public sector organisations are heavily unionised, the authors observed that HRM domains dealing with employee selection and grievance processes get more attention from public sector managers. In contrast, private sector management places a premium on career advancement opportunities and performance-based compensation. But the authors also discovered evidence that government agencies are "moving" towards private sector models via the adoption of "high performance work practices" to conquer public demand and a tumultuous environment.

Tjiparuro, Z. (2012)researched and organised HR literature according to the three main domains: HRM, HRD, and OD. In addition, it compiled HRM, HRD, and OD fundamental ideas into a classification system; this scheme was then evaluated, and it was discovered to compare well with the People Capability Maturity Model (P-CMM), a notion recognised for greater organisational maturity. Seven top engineering and manufacturing firms in Pune, India, and fifteen human resources professionals' responses showed that the PCMM was a mystery. On the other hand, commonplace ideas like 5-S, kaizen, Sigma Six, performance management systems, employee satisfaction surveys, and ISO standards were found to have widespread support among practitioners and businesses. The methods were determined to be rooted in Deming's Plan-Do-Check-Action improvement cycle, which is the bedrock of the P-CMM.

Pillai, R. S. (2019).Increasing Productivity by Boosting Employee Engagement in India's Airline Sector. The relationship between engaged workers and productive Indian airlines is the focus of this study. It highlights how HRM strategies are crucial for improving operational results and creating an engaged workforce. Krishnan, S., & Gupta, A. (2017).Human resource management strategies and the happiness of aviation workers in India. This research delves into how HRM practices affect employee happiness, shedding light on how an engaged and enthusiastic workforce may be achieved in the Indian aviation sector via efficient HRM. Mehta (2020) cited staff turnover and retention as one of the industry's most pressing problems. It calls for a great deal of emotional





Darla Nagaraju and Venugopal

investment. To overcome this obstacle, companies have been concentrating on making their workers happy in their jobs. Personal, social, interpersonal, and organisational aspects have all shown in research to have a substantial impact on workers' levels of job satisfaction. Satardien et al. (2019) described Opportunities for employees to make decisions on their own, have private conversations, and get positive feedback on their work are highly valued. Considering the organization's suggestions and looking into other causes other than perceived support and organisational commitment might help with this turnover. Considering the organization's high turnover rate, aviation industry stability may be enhanced by fostering organisational commitment via organisational assistance.

Statement of the Problem

Issues pertaining to gender pay gap, employee insurance, and exploitation should be brought to light in the issues statement about HRM practices at Air India. An example of a research issue introduction is provided below: Increased competition, new technologies, and new regulations are all results of the aviation industry's recent expansion and transformation in India. The importance of human resource management (HRM) techniques on job security, performance, and stability has grown in recent years. Having said that, the present evaluation of HRM practices in Indian airlines has to be finalised in order to identify important obstacles and possibilities.

Theoretical framework

Airlines industry

The majority of Indians still can't afford to fly, hence the country's aviation sector remains underdeveloped despite its enormous untapped potential. People who work in white-collar jobs make up around 40%. If partners want to help India achieve its aviation sector goal, they need to work together and engage with policymakers to put their principles and expertise to use. India can achieve its goal of being the third biggest avionics exporter by 2025 with careful planning and unwavering commitment to quality, value, and cost efficiency. Annual passenger traffic in India reached 308.75 million in fiscal 2018, an increase of 16.52% over the previous year. Between fiscal years 2006 and 2018, the compound annual growth rate was 12.72 percent. With an anticipated rise to 293.28 million passengers in 2020, the number of domestic passengers rose 18.28% from 243 million in 2018. There was a yearly rise of 9.40% in international traffic to 1,886,630 passengers in 2017–18 and a 14.40% increase in domestic traffic to 437,930 passengers.

Human Resource Management Policies in Indian Airlines

A company's policies are its overarching rules for accomplishing its objectives. Managers should have objectives that they want to attain and procedures that they should follow to make sure they do so. Laws are "established before the goal, designed to control the activities of the enterprise and based on the main points of derived behaviour." An organization's policy is a set of rules that members must follow when faced with certain challenges. Determines the extent to which choices may be made and then watches over their implementation to make sure the company meets its goals. Consequently, the fundamental method for managing people effectively may be described as employee rules. Solid employees are necessary, and his right notion is based on the reality that the company can't reach its objectives without an environment where workers feel comfortable interacting with one another and working together. Human resource management in its whole need to be a component of personnel policy. The following are the overarching personnel regulations for all HRM tasks:

Recruitment and Promotion Policy of Indian Airlines

There have been many amendments to the Indian Air Service (General Staff) since it was first declared in Rule 32 of Part 2 of the Gazette of India on 12 March 1960. Except for flight engineers and cabin crew, all workers of Air India are legally obligated to be familiar with these regulations.

recruiting, promotion, disciplinary actions, appeals, compensation, benefits, hours worked, leaves, and recruiting perks are just a few of the numerous services that it encompasses.

Recruitment and Promotion Rules

1. All Appointments made in accordance with this policy are governed by the Service Regulation, which applies to both service personnel and aircraft engineer personnel.





Darla Nagaraju and Venugopal

All employees should be classified in one or the other department-

- Accounting Department
- Central Tax Accounts Department
- Aircraft Engineering Department
- Transport Department
- Accounting Cost Statistics Department
- Internal Audit Department
- Material Supply Department
- General Affairs Department
- 2. The length of service should be in line with the duties of the position; Here are the conditions:

 All four departments—Costs, Statistics, and Research Monitoring—are deemed to be part of the Ministry of Finance for the purposes of this rule.
- 3. Senior This level is entered by students who are at the same level. Consideration of seniority, age, experience, and field shall be given to long-term transfers across departments or fields.
- 4. All Posts will be filled by direct hiring, promotions, or, in the case of workers of the Indian or state governments, with the agreement of the President or CEO. Tasks requiring advancement may be filled by departmental hires or promotions from other areas, or by students in grades one through nine. Disputes involving personnel matters (such as promotions or hiring) must be resolved without delay. 6. Inadequate assistance for department heads at the executive level; The business has the right to fill vacant positions by direct hiring, promotions, other organizational means, as deemed necessary.
- 5. If The senior manager is not currently serving on the Committee. Disagreements over voting rights and Committee support have been reported to senior management. The report has to be examined. The Board of Directors' approval needs to be final.

General Administrative Department

Grade	Designation	Method of Appointment
Cadre-1		
I	Washer man	Direct Recruitment
Cadre-II		
1/11	Sweeper/Head Sweeper	Direct Recruitment
Cadre-III		
1/11	Gardner/Head Gardner	Direct Recruitment
Cadre-IV		
1/11	Peon/Head Peon	Direct Recruitment
Cadre-V		
1/11	Chowkidar/Guard/Durwan/Head	Direct Recruitment
Cadre-VI		
1/11	Daftry/Duplicating Operator	Direct Recruitment
Cadre-VII		
1/11	Dresser	Direct Recruitment
III/IV	Compounder	Direct Recruitment
III/IV	Nurse	Direct Recruitment
VII/VIII	Chief Compounder	Promotion
\/II/\/III	Head Nurse	Direct Recruitment 25%, Promotion
VII/VIII	meau Nui se	75%
IX	Head Nurse	Promotion
Cadre-VIII		
III/VI	Jr. Office Asst. /Typist /Time keeper	Direct Recruitment





Darla Nagaraju and Venugopal

		Direct Doom situation
VII/VIII	Office Superintendent	Direct Recruitment 25%Promotion 75%
IX	OfficeSuperintendent (S.G.)	Promotion
Cadre-IX	Cincocupo internació (cre.)	. remeden
III/VI	Security Asst	Direct Recruitment
	·	Direct Recruitment
VII/VIII	Security Supdt.	25%Promotion 75%
IX	Office Superintend (s.g)	Promotion
Cadre-X		
III/VI	Tele Printer Operator	Direct Recruitment
VII/VIII	Chief Tele Printer Operator	Promotion
Cadre-XI		
III/VI	Stenographer	Direct Recruitment
\ /!! \ /!!!	Confidential Characterists	Direct Recruitment
VII/VIII	Confidential Stenographer	25%Promotion 75%
IX	Confidential Stenographer (s.g)	Promotion
Cadre-XII		
III/VI	Library Assistant	Direct Recruitment
VII/VIII	Librarian	Direct Recruitment
Cadre-XIII		
III/VI	Draughtsman	Direct Recruitment
VII/VIII	Office Draughtsman	Promotion
IX	Chief Draughtsman (SG)	Promotion
Cadre-XIV	-	
III/VI	Telephone Operator	Direct Recruitment
VII/VIII	Chief Telephone Operator	Promotion
IX	Accounts Supdt/ Audit Supdt/	Promotion
Cadre-XV		
III/VI	Library Assistant	Direct Recruitment
VII/VIII	Librarian	Direct Recruitment
IX	Librarian (s.g)	Promotion
Cadre-XVI	Abolished	
Cadre-XVII		
III/VI	Receptionist	Direct recruitment
Cadre-XVIII		
III/VI	Stenographer	Direct recruitment
VII/VIII	Confidential Stenographer	Direct Recruitment 25%Promotio 75%
IX	Confidential Stenographer/Private Secretary (SG)	Promotion
Cadre-XIX		
VII/VIII	Examiner	Direct Recruitment
IX	Senior Examiner	Direct recruitment or Promotion

Selection from Within Indian Airlines

Applicants with passing grades (defined as 60% or above) will be appointed to the panel in ascending seniority, with seniors given precedence. The duration of service in a certain class determines the seniority of that class.





Darla Nagaraju and Venugopal

A candidate will be considered "Excellent" if their score is 80% or greater. Appointments will be given first priority, but seniority will be taken into account when comparing them to others from the same group in the order listed on the panel.

Direct Recruitment from Outside Indian Airlines

Those applicants with the highest scores (60%) will be promoted to the top of the group according to seniority. Successful external applicants will be placed lower than internal candidates based on their scores.

- 1. Internal applicants with a 10/12 standard, SC/ST candidates, and ex-servicemen should be organised into separate groups according to the stipulated 30% selection.
- 2. The total number of applicants chosen The data supplied by the Personnel Department will form the basis for the selection groups in each of the mentioned names.
- 3. Following the interview, the following will be the suggestions put forward by the Selection Committee. You have two days from the time of selection to submit the survey and recommendation letter to the HR department for further processing.

Welfare Activities in Indian Airlines

Finding or supervising staff for both official and informal reasons is the responsibility of this office. Within the context of health policy, this provision permits the tracking of several behaviours. The Labour Law provides an explanation of many legislation:

- Workers' Insurance Law, 1923
- Compensation Law, 1925
- Payment of Wages, 1936
- Right to Complaint Law, 1947
- Employees' Status Insurance Law, 1948, 1948
- Provident Fund Miscellaneous Act, 1953
- · Gratuity Payment Act, 1972

In accordance with the rules set down by the Ministry of Health, Air India offers its workers a plethora of perks. Every area. Every service Whether or whether Air India's facilities double as hotels is only one of several things that will undergo periodic evaluation. Various medical facilities offered by Air India are as follows:-

Health Center

Both inpatient and outpatient therapy treatments are provided to employees at no cost. This job should be handled by trained medical professionals. Healthcare services for workers who pay a little amount into the Contribution Scheme (C.F.M.S.). Insurance coverage using the current REMS and CFMS systems. The glasses have a value of 500 rupees.

Staff Locations

You may find our staff in several major cities and train stations, including Mumbai, Kolkata, Delhi, and Chennai. The appointee will not receive any House Rent Assistance (HRA) funds, and the Authority will refund the licence cost at its discretion.

Restaurant

You may get restaurant services in Ahmedabad, Bangalore, Hyderabad, Kolkata, Chennai, and Delhi. Organisations in different sectors often get grants to bolster their operations.

Loan

Home loan: -Workers are eligible for a house loan depending on their salary after five years of service; the maximum loan amount is 2,000 Turkish Liras. Rs. 3 lakhs.





Darla Nagaraju and Venugopal

Vehicle Loans: - Cars, scooters, and bicycles may be purchased by employees via loans that are based on their wages. Here are the details of vehicle loans:

New car	Rs.75,000
Old Car	Rs.50,000
New Scooter/ Motor Cycle	Rs.15,000
Old Scooter/ Motor Cycle	Rs.6,000
Cycle	Rs.600

Group Insurance Plan

The amount that an employee's family receives from group insurance in the event of an employee's death on the job is directly proportional to the employee's wage as of the time of death. The cost of this non-participatory approach is fifty thousand rupees (Rs.150,000).

Ward Staff Training Program

A person may serve in a maximum of two capacities for a church if they have an associate degree or above. The courses a student takes and the field of study determine the scholarship amount. Participants in the programme are those who have been with the organisation for at least a year and are eligible to receive the stipend.

Holiday Homes

Healthcare centre personnel may also take use of Air India's vacation homes. Hotel No. Resorts and tourist spots in the mountains were the sites of its construction. Rent for housing is an expense that employees must bear. Here, workers have 60 days to plan ahead and 10 days to submit their departmental benefit applications (with an additional 5 days in May and June). The resort's official airline, Air India, takes its name from the nearby airport.

Region	Name of the Station
North Region	Mussoorie, Dharamshala
South Region	Kodaikanal, Ooty
West Region	Goa, Panchgani
East Region	Puri, Gangtok

Nominal rates for Holiday Home

For Grade 1/2	Rs.25 per day
For Grade 3/9	Rs.35 per day
For Grade 10/12	Rs.75 per day

Three Tier Approach for Evaluation of Performance Appraisal

P.A. In the event that it receives criticism. Afterwards, the employee should get feedback on these, and a proper conversation should be had to explain the employee's loss and how the mistake will be fixed. The P.A. has to be signed by all employees and managers. Everything will be OK. A programme that offers promotions is known as the Fixed Deposit Promotion Programme. Assuming the worker has been free of criminal charges over the last three years. To verify that no wrongdoing has occurred during the last three years, you should compile an integrity and health certificate. Construct a truthful medical certificate that demonstrates his dishonesty throughout the last three years. Typically, a promotion that is applied after the fact is based on both merit and fault. If an employee is found to be lacking, their advancement will only be considered based on the report. Accounting books or yearly statements, as well as monthly statements, are the responsibility of this division. Statements of property, income, etc. The planning department has to provide the site with more personnel and monthly instructions; establish a reporting role and draft various reports.





Darla Nagaraju and Venugopal

Protection of Company Residence

More than three months after retiring, retirees are allowed to stay in the corporate apartment. But we won't cancel his account until after Eid.

ID Card

In order to access the IA area and have a good time on vacation, retired workers will get a unique ID card.

Training Department of Air India

Together with other departments and outside trainers, the training department plans the training. Paravartan is a company-wide initiative that Air India (IAL) has previously used to influence employee behaviour. During the course of the two days, almost three thousand employees from all around the Northern Territory were there. From its debut in 1957, when the Ministry of Commerce began offering courses in four disciplines, Air India's training and development programmes have centred on training. Later, in 1965, to address some of the requirements of workers and other economic community members, the Economic Development Corporation was founded. Business performance is impacted by the complete business training network, which is centred on commercial staff training and aircraft training for commercial personnel. In 1968, when management at Indian Airlines saw a need for this kind of training, they engaged Professor Ishwar Dayal to assess the company's requirements and design the first curriculum. According to the results of the poll, Indian airlines should train its employees in the following ways: Air India's Policy on Central Training:-

- 1. Check the organization's training gap and adjust to meet needs.
- 2. Course selection must clearly follow the criteria (A) Personal needs related to personal development. Training should continue throughout the event.
- 3. Provide training to all staff, including senior managers.
- 4. New recruits and non-commissioned officers will be released through a special induction program.
- 5. Experience building experience with members of other organizations.
- 6. Disseminate training results to the broader community.
- 7. Communicate Air India's plans and policies to all levels of management

Gender Pay Gap

The disparity in salary between men and women who do equivalent work is known as the gender pay gap. All around the globe, this is a major issue, but notably in the airline sector. Women only account for 3.3% of seats and 5.2% of airline CEOs, according to a study by the International Air Transport Association (IATA).

Gender Pay Gap in Indian Aviation Industry

There are several prospects in India's aviation business, which is one of the country's main industries. But the gender wage gap is still somewhat large, even if the economy is doing well.

The gender pay gap in India ranks 112th out of 153 nations, according to a research by the World Economic Forum. According to the data, women in India earn 62.5% less than males. Even the aviation sector follows this rule. Women in Aviation found that compared to male pilots, female pilots in India earn 30–40% less.

Factors Contributing to Gender Pay Gap in Indian Aviation Industry

The disparity in salary between men and women in India's aviation business has several causes. Career Opportunities in Indian Aviation Industry. Lack of female representation in leadership roles is a major contributor to this problem. Women are underrepresented in aviation's upper echelons of management and decision-making, contributing to the industry's overall male dominance. The gender wage gap is exacerbated by the fact that men and women have different roles and responsibilities in the workplace. Generally speaking, males tend to work in higher-paying occupations like drivers or managers, whilst women tend to work in lower-paying positions like housekeeping or agricultural labour.





Darla Nagaraju and Venugopal

There is a gender wage gap, with women holding higher-paying positions. Get away from your desk and tend to your loved ones for a while. They will earn less than males in ordinary jobs since the nature of their labour is different.

Employee Protection

Even while the airline business is massive, it doesn't always translate to happy workers. American Airlines is seeing an upsurge in strikes, and according to Forbes, the staff turnover rate is close to 20%. Look at what airlines might do to boost employee retention rates in light of the perceived stress in the present economy.

Self-Employment

There should be a strong sense of community among the thousands of airline employees. It becomes simpler for managers to take care of employees when the fast-paced nature of the aviation sector brings in a huge number of workers. Airlines need to figure out how to get everyone on the same page if they want to solve their business difficulties. To do this, leaders should familiarize themselves with their team members' strengths and areas for improvement before distributing responsibilities.

Creating a Sense of Community

Airline workers need to feel like they belong somewhere, and that can only be achieved if the company fosters a stronger sense of community. Several things may be done to make this happen, such as working together regularly, choosing leaders in the community, being open and honest, and forming an organisation around the objective.

More than Salary

A competitive wage is not the deciding factor for most job candidates. Rather, they are delving into the perks offered by airlines, which implies they should start thinking about factors other than pay. A gift and reward card redeemable at over a thousand different businesses is just one example.

We Help Employees Reach their Potential

If their demands aren't addressed, most airline personnel will depart in pursuit of professional advancement. Hence, airlines should implement plans to assist their staff in realising their full potential. Anything from giving more training to giving someone more responsibility to show that they are confident might be considered. Working in an environment that challenges and develops airline personnel is common.

Communicating Transparently

Conventional wisdom held that the most effective leaders should rise to the top and work their way down. However, a lot has changed, so maybe the firm has some great ideas now. Consequently, airlines should provide a forum for staff to share their opinions and have their proposals considered. Managers should reach out to all workers who are working together to foster camaraderie if they want to see future success for their company.

Celebration

Workers want to be appreciated and recognised when they put in a lot of effort. While it's important to acknowledge workers' achievements, it's crucial to avoid showing favouritism, especially if doing so encourages everyone to work more. When everyone in the economy is in a good mood, production goes up and the economy falls.

Airline companies should realise that there is more to employment than simply generating a profit and treat their workers with dignity and respect. It is time to modernise, using the principles mentioned before as a foundation.

CONCLUSION

In both industrialized and developing nations, the ready-to-eat food market is expanding at a rapid pace. There has been a rise in the demand for ready-made meals due to changes in consumer behaviour. A lot of things in their life





Darla Nagaraju and Venugopal

can be causing these changes. Among them, the rise of two-person or single-parent households, personal income, consumer expenditure, and the number of women in the labour force stand out as particularly significant. Thanks to innovations in healthcare, people may spend less time in the kitchen and more time on other pursuits. Shoppers are putting in less time in the kitchen and more time buying. This is due to the fact that individuals are facing a shortage of leisure time as a result of several variables, such as increased production and earnings. As a result, individuals are compelled to utilise their time to improve their financial situation and spend more quality time with their family, like in the kitchen. Consumers see the elderly as a potentially large and expanding demographic. Teens and young adults, who are often in the workforce and have a family of one or two, make up the next expanding demographic. According to the findings, one major contributor to the expansion of the fast food market is people's more hectic and demanding daily routines as a consequence of their increasingly extended work hours. The respondents, the most of whom are working women, said that they would prepare meals in advance so that they won't have to worry about cooking while they're busy.

FINDINGS

In aviation, like in many other industries, the gender wage gap is a major issue. The gender wage gap is a problem in many fields, including aviation. Businesses in India are strongly urged to follow laws that guarantee equal pay for equal work. Preferred actions included open compensation plans, equal pay regulations, and initiatives to increase diversity and inclusion. Findings from this study could provide light on unique difficulties faced by women in the aviation sector, such as low job security or stagnant professional advancement opportunities. Employees are happier and the public has a better impression of companies that make an effort to reduce the gender wage gap.

Retention and employment

Managing human resources effectively includes retaining employees, which is particularly critical in fields like aviation that need specialised knowledge and education. Competitive pay, chances for professional growth, a healthy work-life balance, and supportive management are common retention tactics. Because of the intense competition and the critical need for skilled workers, airlines may find a high turnover rate to be a problem. When people aren't happy in their jobs, they may experience stress, a lack of possibilities to advance in their careers, and an overall unhealthy work-life balance. Indian airlines may improve their staff retention rates by providing them with a positive work environment, funding their professional development, and instituting retention policies.

Recent Updates

Some things may have changed or happened in the world of business in India since I last checked in. Publications, academic research, and trade journals should be perused for up-to-date information about human resource management techniques in Indian airlines. For the most up-to-date information on gender diversity in HR, employee retention insurance, abuse at Air India, and other related topics, you should read recent academic papers, trade journals, and corporate reports. Further helpful resources include talking to HR specialists, business experts, or researchers in the field.

Suggesions

The results show that the ready meals business has a lot of room to expand. Interacting with ready-meal customers and gathering their views on the crucial missing traits was made possible by the survey. Because of this, the development stage for sellers of ready-to-eat meals will last longer, allowing them to gain the advantages of their product. It would be wise to pay greater attention to the comments and recommendations given by customers in order to encourage them to purchase ready-to-eat meals, because the majority of consumers have a good attitude towards these products.





Darla Nagaraju and Venugopal

Discounts

A lot of young adults and students who live on their own choose to purchase pre-packaged meals. The meal preparation firm may expand its target market beyond consumption if it meets their desire for time savings at a reasonable price. This allows the business to maintain a strong position in the fresh food sector, where ready-to-eat meals are sure to attract budget-conscious shoppers who value convenience above price.

Make it available

Important suggestions Indian businesses rely on customers' familiarity with and preference for Indian goods 85 to drive sales, but when supplies run low, buyers will go elsewhere.

Promotion/diversity of Indian food

Another reason more people buy food is because there isn't enough variety in ready-to-eat items. Indian businesses are putting a lot of effort into finding a solution and providing more to consumers, but they need take the aforementioned suggestions into account to make it more accessible.

Product changes

Companies should lower the fat and salt level in various goods as they are aware of the many health issues that may be caused by these ingredients. They also claimed that meal prep services could make lower-fat alternatives in addition to their more dubious offerings.

REFERENCES

- 1. A Study on HR Practices in Indian Aviation Sector Dr. Vaishali Sharma1 and Prabhjot Kaur2 1 Jagannath University, Jaipur, India 2 Jagannath International Management School, Vasant Kunj,affiliated to GGSIPU, Delhi, India. ISSN:0971-1260 Vol-22- Issue-14-December-2019
- 2. THE INDIAN AIRLINES: Human Resource Management (Assignment) Mayank Singh
- 3. (24) Exploring the Gender Pay Gap in the Indian Aviation Industry | LinkedIn
- 4. Neha Nazneen Siddiqui, Integral University, India, Gaurav Bisaria, Integral University, India (A Comparative Study of Jet Airways and Indigo Airline Employee's Motivation in Context With "Hygiene Factor")





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Investigate the Impact of Flexible Working Arrangements on Organizational Performance, Employee Satisfaction, and Retention, and How HR Can Balance these Needs Effectively

Sharada Shiva Prasad^{1*} and Nagalakshmi G S²

¹Associate Professor, Department of Commerce and Management, Government First Grade College (Affiliated to Bangalore University Bangalore), Ramanagar, Karnataka, India.

²Sr. Assistant Professor, New Horizon College (Affiliated to Bangalore North University, Kolar), Kasturinagar, Bengaluru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 18 Sep 2024

*Address for Correspondence Sharada Shiva Prasad

Associate Professor, Department of Commerce and Management,

Government First Grade College,

(Affiliated to Bangalore University Bangalore),

Ramanagar, Karnataka, India.

Email: sharadashivaprasadts@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

New working patterns, such as flexibility, have emerged today that allow the employee free choice on where, when, and how to work. This work aims to analyze the extended effects of, and the relationship between, flexible working arrangements and organizational productivity, employees' satisfaction and turnover. Studies show that flexibility at work has a positive impact on organizational effectiveness because of increased efficiency, better work-life adjustment, and fewer sick days. Furthermore, they tend to raise the levels of employees' satisfaction because of enhanced job content control and diminished commuting pressure. However, these advantages always come with organizational requirements and meeting the desired balance and distribution among all the employees have remained a problem for HR professionals. Some of the factors that have to be considered include cohesion, control of productivity problem, and equality issues between in-office and work from home employees. Measures that include adequate communication, sound performance management systems, and effective policy enabler and execution are the keystones when it comes to getting the most out of flexible working, while avoiding the pitfalls along the way. Although this research meta-analyzes available literature, SHRM scholars may find its topical suggestions functional for understanding the opportunities and challenges that flexible working arrangements pose to working people and practicing HR practitioners. As a result of such dynamics, reading this paper shall enable the organizations enhance a positive working environment that correlates with the high satisfaction levels and long-term retention among the workers as well as boost organizational performance.





Sharada Shiva Prasad and Nagalakshmi

Keywords: SHRM, better work-life adjustment, organizational performance, employees' satisfaction

INTRODUCTION

Recent changes in the organization of work brought by technologies and preferences of employees to have work-life balance have also caused the emergence of FWAs. These arrangements include work from home arrangement, flexible working hours and compressed working weeks which provide employees with self-organization and flexibility as to how they balance work and other duties. Therefore, the study of FWAs and their impact on organiser's performance, employees' satisfaction, and retention becomes even more important as organisations strive to gain competitive advantage and attract best talent. Teleworking capabilities are normally seen as having the possibility of enhancing organizational commitment and satisfaction due to flexibility from demands placed on an individual and strain from commuting to work. Increased satisfaction, in turn, means that employees will be less inclined to leave the company, which reduces turnover. Thus, the impact on the organizational performance gives somewhat mixed picture. On one hand, FWAs can contribute to higher productivity and innovation through increased employee motivation, but on the other hand they present certain difficulties when it comes to cohesiveness of the teams and management of their performance. Human Resources is caught between these dynamics, it tries to design and secure FWAs that serve organizational interests but also meet its employees' needs. They include the aspects of providing different lines of communication, creating proper systems of performance management, and considering possibilities of working hours' flexibility in the organization. Regarding these aspects, this research will seek to look at ways and means through which various FWAs can be instituted as well as other recommendations on how the benefits of such can be savored with least frustrations of the challenges mentioned above.

RESEARCH OBJECTIVE

- To assess the Effect of Flexible Working Arrangements on Organizational Performance
- To evaluate the Impact of Flexible Working Arrangements on Employee Satisfaction
- To investigate the Relationship Between Flexible Working Arrangements and Employee Retention
- To develop HR Strategies for Balancing Flexible Working Arrangements with Organizational Needs

RESEARCH PROBLEM

This paper aims to outline how the incorporation of flexible working arrangements (FWAs) into today's work setting has raised noticeable concerns on how it is affecting organizational performance, employee satisfaction, and retention. In the wake of organizations embracing FWAs including tele-work, flexible time, and compacted work schedules, there is a bind to examine the impact of these arrangements on different aspects of organisational life. Thus, the relationship between FWAs and OPB is still unclear although FWAs are considered to positively affect employee satisfaction, experienced decrease in work stress, and improved work-to-family conflict. The nature of the controls raised issues about productivity, team spirit and pattern of performances, all of which needs to be discussed in order to understand the effects of these arrangements. The difficulties arise in the fact how to meet organizational and business needs on the one hand, and promote FWAs on the other one. As a result, HR has to identify such effective strategies that would increase employee satisfaction and retention while at the same time meeting or surpassing the organizational performance goals needed to implement FWAs. Thus, guestions such as how FWAs affect overall productivity, how it affects the retention rate of employees, and how FWAs can be effectively balanced with productivity standards fall into the key areas of concern for the management of HR. To arrest these issues, it is consequently necessary to assess the reciprocal impacts of FWAs on the organisations and employees. The following research questions shall be answered The purpose of this research is to identify all the potential effects of FWAs and proffer quantitative suggestions for the utilisation of FWAs in holistic HRM strategies by practitioners taking into consideration the organisational strategic map.





Sharada Shiva Prasad and Nagalakshmi

LITERATURE REVIEW

Impact of the Implementation of Flexible Working Arrangement on Organization performance

The use of FWAs has emerged as a successful organizational intervention for the changing nature of work, but the effect on organizational effectiveness and efficiency is recognized to be a complex relationship. Contemporary research on FWAs' impact on performance indicators has reported both benefits and drawbacks. On the other hand, extant literature indicates that FWAs lead to improved productivity and employee satisfaction. For instance, Bloom et al. (2019) observed that workers who were allowed to work from home delivered more and quit at a slower rate than the office workers. This improvement is sometimes explained by "reduced commuting stress and increased work autonomy positively impact job satisfaction and motivation" (Choudhury et al., 2020). Besides, FWAs can catalyse innovation since employees are able to work in contexts that are in harmony with their chronotypes (Allen et al., 2015). On the other hand, FWAs imply some challenges for organizational performance and its enhancement in particular. Some of the challenges that the employees put forward include reduced cohesiveness of the teams, lack of communication, and performance tracking problems (Gajendran & Harrison, 2007). From this, one may deduce that the physical absence can limit IMC because of the reduced ability to support teamwork and result in management issues concerning enforceability of responsibility and work productivity (Kossek& Ozeki, 1998). Hence, the enforcement of FWAs requires appropriate consideration and planning. These challenges indicate that organisations need to have sound performance management systems and also engage in efficient virtual communication. Solving these potential drawbacks, organization can utilize FWAs to improve the organizational performance and at the same time, preserve the positive team relations.

Analysing the possibility of the existence of the Relationship Between Flexible Working Arrangements and Employee Retention

Flexible working arrangements (FWAs) and its link with employees' turnover intention is one of the trending areas of research in today's organizations. LWAs like telecommuting, flexible schedules, and reduced workdays are more implemented to improve the level of the employee's contentment and decrease turnover rates. In order to understand this kind of a relationship, flexibility must be understood depending on the ways in which it influences the factors that make employees to stay or leave a given organization. Descriptive research indicates that the implementation of FWAs can have a direct impact on the levels of staff retention since it addresses issues related to job satisfaction and work life balance. Allen et al., 2015 echoed the same by pointing that for employees with workplace flexibility, they are always happier, more satisfied at their workplace, and would not want to leave their employer. This relationship is believed to be linked to flexibility that comes from these arrangements which have their effects on the stress levels and hence employee performance and retention. Nevertheless, the association is not always proactive and might differ depending on the specific organizational setting and certain employee characteristics. For instance, Choudhury, Foroughi, Larson (2020) indicates that although the FWAs might enhance retention of employees in some categories, they can as well pose some difficulties like social exclusion and communication barriers which would deter retention of the employees. Moreover, generalizability of the FWAs in achieving the retention enhancement may mute with the degree to which employees perceive these arrangements as fair and unbiased at workplaces (Gajendran & Harrison, 2007). For organizations to get the most out of FWAs on the issue of retention of employees, leaders are encouraged to apply these arrangements wisely. Positive managerial actions, which are depicted as communication, performance review, and ensuring FWAs are made available for the employees are essential if flexibility is to boost retention (Kelliher & Anderson, 2017). Thus, recognizing these factors will help organizations to closer ties between the FWAs and retention of employees.

METHODOLOGY

As a consequence, the research of the present paper uses a single quantitative research method for assessing the consequences of FWAs on organizational performance, employee satisfaction, and turnover. In the proposed study, data would be gathered through structured questionnaires administered to employees in different organizations that





Sharada Shiva Prasad and Nagalakshmi

have adopted FWAs. The survey data will include quantitative data on organisational performance, job satisfaction and retention rates; objective and standardised, using reliable, valid tools. In order to process the data collected from clients/patients and their relatives, Statistical Package for the Social Sciences (SPSS) will be employed. Qualitative data will be described through basic descriptive analysis while quantitative variables will be subjected to correlation and regression analysis to test their hypothesis between FWAs and the organizational performance, employees' satisfaction, and retention. This analysis will aid in determining several important trends and associations that will allow a better understanding of FWAs' impact on these outcomes and HR's ability to manage these requirements. It will also entail comparing FWAs' perceptions with different demographics and organizational settings to gain insight into the general scope. Due to the combination of the quantitative analysis of data collected with the application of practical advice, the study will be able to provide guidelines to the elements that should be considered by the practitioners, especially the HRM ones, with the intention to make the best out of the FWAs within the organizations' frameworks.

Analysis

Demographic examination

Age

The above-demonstrated figure and table highlight the age distribution of the respondents which efficiently displays that the most frequent was the 36-45 years indicated 29.83%. Moreover, there were 19.75% more than 46 years of age, 22.22% 26-35 years of age people, and the least frequent were 8.64% of 15-25 years aged individuals.

Gender

The above table and figure show the gender of the contestants which advocates the percentage of distribution in the survey. There were 34.57% of females,24.69%males, and 20.99% of individuals who refused to reveal their gender involved in the survey.

Designation

The above figure and tables establish the designation of the contributing professional personalities in the survey. There were 34.57% of managers, 25.93% of COO, and 19.75% of CEOs participated in the survey.

Descriptive analysis

The above table denotes the descriptive examination of the established IVs and DV. Moreover, the skewness and kurtosis values of DV are 0.297 and -1.445 respectively. However, the mean score values of the IVs are 2.17,3.09,2.29, and 2.48 respectively.

Regression

Hypothesis

H1: There is a strong connection between flexible working hours and organizational performance. The above table highlights the tables of measurement for the first hypothesis showing model summary ANOVA and coefficient tables. It can be realized that the significance value is 0.058 which is around equal to the standard value of 0.05 suggesting a strong connection between flexible working hours and organizational performance.

H2: There is a significant relationship between remote work opportunities and organizational performance

The above table sheds light on the tables of measurement such as model summary, ANOVA, and coefficient table for the third hypothesis. Moreover, the R and R square values are 0.26 and 0.069. However, the significance value is 0.057 which is roughly equivalent to the standard usual value of 0.05 suggesting that there is a positive connection between the IV2 and DV.

H3: Work-life balance plays a huge role in improving the Organizational Performance. From the ANOVA table, it can be seen that the significance value is 0.050 which validates the developed hypothesis three suggesting a strong relationship between the developed dependent and independent variables.





Sharada Shiva Prasad and Nagalakshmi

H4: there is a huge impact of Employee Autonomy on the performance level of the organizations. The above table sheds light on the tables of measurement such as model summary, ANOVA, and coefficient table for the fourth hypothesis. Moreover, the R and R square values are 0.47 and 0.227. However, the significance value is 0.054 which is roughly equivalent to the standard usual value of 0.05 signifying that there is a strong relationship between the IV4 and DV.

Correlation test

From the above table of correlation investigation, it can be supposed that the uppermost relation value of IV4 which is the use of technology for learning, and the value is 0.914 which is near to the standard P value of 0.9. Therefore, the developed dependent and independent varibels are strongly interrelated with each other.

DISCUSSION

The introduction of FWAs in the context of organizational performance and satisfaction as well as employee's turnover involves a balance, which is quite sensitive for an organization to achieve. According to the current literature, there is an implication that implementation if FWAs will go a long way in improving the level of satisfaction of the current employees hence; increasing their retention rates. When employees do not have control over the working hours, the level of stress rises, however, the organizations that enable the employees to have control over working hours and environment see their employees job satisfaction, organizational commitment, and turnover rate decrease (Choudhury, Foroughi, & Larson, 2020). The convenience, especially in the areas of working time and location, is highly appreciated by the employees because it contributes to non-work activities, and thus affects productivity rates. However, it must be stated that the connection between the introduced FWAs and the performance of an organisation cannot be considered very direct or unambiguous. On the positive side, FWAs have additional repercussions with reference to betterment of satisfaction level of the employees and decline of turnover rates On the other hand, FWAs have some demerits in connection to performance evaluation and team spirit. There is a possible consequence of timely usage of remote or flexible working patterns that is effective communication disruption and decrease of the six people interaction that in the opinion of Allen et al., (2015) is disadvantageous for the project results or for the final result. Adherence to the requirements will assist in preventing such adverse effects as such; On the same note, conscientious practice of the performance benchmarks and the workers' interactions with their teams is integral in preventing such negative repercussions. Relations with the staff, such as Human Resources (HR) does apply such dynamics with the intention of levelling. For this reason to a large extent FWAs need to be backed by efficiency performance set systems which HR has to implement to factor flexibility while working for the same company at the same time. This involves identification of the goals and objectives, pre-eminence of vehicle communication, and best practice of on call or Butler employment (Kelliher & Anderson, 2017). By remedying these determinates HR could enhance the positive impact of FWAs on the employee satisfaction and work retention without the ill-effects on the organizational performance.

CONCLUSION

This paper reviewed the literature on the effects of FWAs on OP, ES and retention, working with the understanding that FWA presents both opportunities and issues for today's workplaces. Numerous studies have demonstrated that FWAs produce better satisfaction and hence higher retention levels to employees due to the opportunity that grant workers the chance of having work-life balance besides the autonomy they possess that would improve job loyalty hence reducing levels of turnover in organizations. However, organizations have to overcome possible disadvantages, like the issues encountered in communication in performance management, which hinders productivity. In order to strike a proper chord between these requirements, it is imperative that HR departments put into practice strategic plans that consists of guidelines such as specific performance measures that demonstrate virtual communication proficiency as well as equal opportunities in regard to flexible working policies. In this manner, through the active control of those factors above mentioned, the organisations can gain all the pluses of





Sharada Shiva Prasad and Nagalakshmi

FWAs without a detriment of their performance, let alone its improvement and creation of the balanced flexibility management.

REFERENCES

- 1. Allen, T. D., Golden, T. D., & Shockley, K. M. (2015). How effective is telecommuting? Assessing the scientific evidence. The Oxford Handbook of Work and Family, 267-284. https://doi.org/10.1093/oxfordhb/9780199663654.013.19
- 2. Gajendran, R. S., & Harrison, D. A. (2007). The good, the bad, and the unknown about telecommuting: Meta-analysis of psychological mediators and individual consequences. Journal of Applied Psychology, 92(6), 1524-1541. https://doi.org/10.1037/0021-9010.92.6.1524
- 3. Kossek, E. E., & Ozeki, C. (1998). Work-family conflict, policies, and the job-life satisfaction relationship: A review and directions for future research. Journal of Applied Psychology, 83(2), 139-149. https://doi.org/10.1037/0021-9010.83.2.139
- 4. Allen, T. D., Golden, T. D., & Shockley, K. M. (2015). How effective is telecommuting? Assessing the scientific evidence. The Oxford Handbook of Work and Family, 267-284. https://doi.org/10.1093/oxfordhb/9780199663654.013.19
- 5. Bloom, N., Liang, J., Roberts, J., & Ying, Z. J. (2019). Does working from home work? Evidence from a Chinese experiment. The Quarterly Journal of Economics, 134(1), 165-218. https://doi.org/10.1093/qje/qjy017
- 6. Choudhury, P., Foroughi, C., & Larson, B. (2020). Work-from-home and productivity: Evidence from an experiment with cubicles. The Quarterly Journal of Economics, 135(2), 855-893. https://doi.org/10.1093/qje/qjaa006
- 7. Gajendran, R. S., & Harrison, D. A. (2007). The good, the bad, and the unknown about telecommuting: Meta-analysis of psychological mediators and individual consequences. Journal of Applied Psychology, 92(6), 1524-1541. https://doi.org/10.1037/0021-9010.92.6.1524
- 8. Kelliher, C., & Anderson, D. (2017). Changing patterns of work and their implications for employment relations. British Journal of Industrial Relations, 55(2), 345-357. https://doi.org/10.1111/bjir.12217
- 9. Kossek, E. E., & Ozeki, C. (1998). Work-family conflict, policies, and the job-life satisfaction relationship: A review and directions for future research. Journal of Applied Psychology, 83(2), 139-149. https://doi.org/10.1037/0021-9010.83.2.139
- 10. Choudhury, P., Foroughi, C., & Larson, B. (2020). Work-from-home and productivity: Evidence from an experiment with cubicles. The Quarterly Journal of Economics, 135(2), 855-893. https://doi.org/10.1093/qje/qjaa006
- 11. Gajendran, R. S., & Harrison, D. A. (2007). The good, the bad, and the unknown about telecommuting: Meta-analysis of psychological mediators and individual consequences. Journal of Applied Psychology, 92(6), 1524-1541. https://doi.org/10.1037/0021-9010.92.6.1524
- 12. Kelliher, C., & Anderson, D. (2017). Changing patterns of work and their implications for employment relations. British Journal of Industrial Relations, 55(2), 345-357. https://doi.org/10.1111/bjir.12217
- 13. Choudhury, P., Foroughi, C., & Larson, B. (2020). Work-from-home and productivity: Evidence from an experiment with cubicles. The Quarterly Journal of Economics, 135(2), 855-893. https://doi.org/10.1093/qje/qjaa006
- 14. Kelliher, C., & Anderson, D. (2017). Changing patterns of work and their implications for employment relations. British Journal of Industrial Relations, 55(2), 345-357. https://doi.org/10.1111/bjir.12217





Sharada Shiva Prasad and Nagalakshmi

Table:1

	What is your age?					
		Frequency	Percent	Valid Percent	Cumulative Percent	
Valid		16	19.8	19.8	19.8	
	15-25 years	7	8.6	8.6	28.4	
	26-35 years	18	22.2	22.2	50.6	
	36-45 years	24	29.6	29.6	80.2	
	46 years and above	16	19.8	19.8	100.0	
	Total	81	100.0	100.0		

Table:2

	What is your gender?					
		Frequency	Percent	Valid Percent	Cumulative Percent	
Valid		16	19.8	19.8	19.8	
	Female	28	34.6	34.6	54.3	
	Male	20	24.7	24.7	79.0	
	Prefer not to say	17	21.0	21.0	100.0	
	Total	81	100.0	100.0		

Table:3

What is your designation?						
		Frequency	Percent	Valid Percent	Cumulative Percent	
Valid		16	19.8	19.8	19.8	
	CEO	16	19.8	19.8	39.5	
	C00	21	25.9	25.9	65.4	
	Managers	28	34.6	34.6	100.0	
	Total	81	100.0	100.0		

Table:4

Descriptive Statistics											
	N	Minimum	Maximum	Mean	Std. Deviation	Skewness		Kurtosis			
	Statistic	Statistic	Statistic	Statistic	Statistic	Statistic	Std. Error	Statistic	Std. Error		
DV	65	1	3	1.65	.943	.775	.297	-1.445	.586		
IV1	65	1	5	2.17	1.833	.935	.297	-1.163	.586		
IV2	65	1	5	3.09	2.013	095	.297	-2.055	.586		
IV3	65	1	5	2.29	1.378	.930	.297	249	.586		
IV4	65	1	5	2.48	1.880	.554	.297	-1.666	.586		
Valid N (listwise)	65										





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

Sharada Shiva Prasad and Nagalakshmi

Table:5

		IV	logel S	ummary			_
		Adjust		sted R	Std. Error of	Durbin-	
Model	R	R Square	Square th		the Estimate	Watson	
1	.207ª	.043	.043 .028 .929 2.444		44		
a. Pr	edictors: (Co	nstant), IV1					
b. D	ependent Var	iable: DV					
				ANOVA			
		Sumo		df			
Model			Squares		Mean Square	F	Sig.
1	Regression	2	2.436 1 4.426 63		2.436	2.820	.058 ^b
	Residual	54			.864		
	Total	56.862		64			
a. D	ependent Var	iable: DV					
b. Pr	edictors: (Co	nstant), IV1					
	-						
					_		
				oefficients	a		
					·		
					Standardized		
		Unstandar	dized C	oefficients			
Model		Unstandar B		oefficients Std. Error	Standardized	t	Sig.
Model 1	(Constant)				Standardized Coefficients Beta	t 7.889	Sig.

	Mo	del Summary ^b			
	Adjusted R		Std. Error of	Durbin-	
Model R	R Square	Square	the Estimate	Watson	_
1 .262ª	.069	.054	.917	2.79	0
a. Predictors: (Co	nstant), IV2				
b. Dependent Var	iable: DV				
		ANOVA ³			
	Sum of				
Model	Squares	df	Mean Square	F	Sig.
1 Regression	3.9	17 1	3.917	4.660	.055 ^b
Residual	52.9	45 63	.840		
Total	56.8	62 64			
a. Dependent Var	iable: DV	•			
b. Predictors: (Co	nstant), IV2				
-					
		Coefficient	s"		
	Unstandardia	Standardized Standa			
				1 t	Oia.
Model	В	Std. Error	Beta	1 1	Sig.
Model 1 (Constant)	B 2.026	Std. Error		9.670	.000





Sharada Shiva Prasad and Nagalakshmi

Table:7

			Model 5	Summary							
Model	R	R Square	Adjusted R R Square Square		Std. Error of the Estimate	Durbin- Watson					
1	.476 ^a	.227			.835	1.8	25				
a. Predictors: (Constant), IV4											
b. Dependent Variable: DV											
u. Dependent variable. Dv											
	ANOVA										
		Sum	of	df							
Model		Squar	Squares		Mean Square	F	8ig.				
1	Regression	1	12.905		12.905	18.496	.054 ^b				
	Residual		43.956		.698						
	Total	5									
a. De	pendent Var	iable: DV									
b. Pre	edictors: (Co	nstant), IV4									
					_						
				Coefficient	s ^a						
					Standardized						
	Unstandardized Coefficients Coefficients										

Std. Error

.056

2.238

-.239

Beta

-.476

12.994

-4.301

.000

.054

a. Dependent Variable: DV

IV4

Table:8

Correlations										
		DV	IV1	IV2	IV3	IV4				
DV	Pearson Correlation	1	.207	.962	.537**	0.9822**				
	Sig. (2-tailed)		.098	.035	.000	.000				
	N	65	65	65	65	65				
IV1	Pearson Correlation	.207	1	199	.382	219				
	Sig. (2-tailed)	.098		.112	.002	.080				
	N	65	65	65	65	65				
IV2	Pearson Correlation	262	199	1	201	.029				
	Sig. (2-tailed)	.035	.112		.108	.816				
	N	65	65	65	65	65				
IV3	Pearson Correlation	437**	.382	201	1	097				
	Sig. (2-tailed)	.000	.002	.108		.443				
	N	65	65	65	65	65				
IV4	Pearson Correlation	476	219	.029	097	1				
	Sig. (2-tailed)	.000	.080	.816	.443					
	N	65	65	65	65	65				

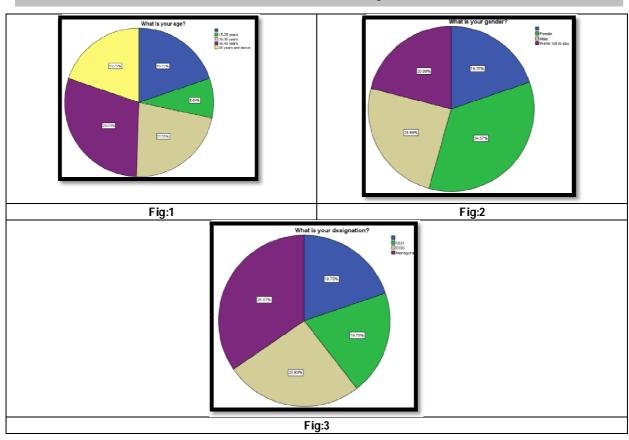
^{*.} Correlation is significant at the 0.05 level (2-tailed).



^{**.} Correlation is significant at the 0.01 level (2-tailed).



Sharada Shiva Prasad and Nagalakshmi







International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

The Role of Board of Directors in Cooperative Administration with Special Reference to Primary Agricultural Credit Cooperative Societies in Cuddalore District - A Study

K.Padhmanaban¹ and S.Arthi²*

Professor, Department of Commerce, Annamalai University, Annamalai Nagar, Chidambaram, Cuddalore, Tamil Nadu, India.

²Ph.D Research Scholar, Department of Commerce, Annamalai University, Annamalai Chidambaram, Cuddalore, Tamil Nadu, India.

Received: 25 Aug 2024 Revised: 08 Sep 2024 Accepted: 17 Sep 2024

*Address for Correspondence S.Arthi

Ph.D Research Scholar, Department of Commerce, Annamalai University, Annamalai Nagar, Chidambaram, Cuddalore, Tamil Nadu, India.

Email: arthisrias01@gmail.com



This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License original work is properly cited. All rights reserved.

ABSTRACT

In rural areas, the Primary Agricultural Cooperative Society (PACS) is crucial for the growth of the agricultural sector. PACS provides necessary services, such as agricultural services, financial inclusion services, e-mobility and smart products, PDS services, and other services, to those needy people at the right time and only for the goal of servicing people. As an example, the PACS acting as a bridge between farmers and the government. PACS is the root of every scheme implemented by both the central and state governments. The Cooperatives are functioning at cross root level and also under prescribed principles which are reformulated by ICA. Cooperatives is not a separate owner entity organisation. In this regard, "member can became an owner than owner can become a member". However, the owner of members are not concentrating fully for the betterment of cooperatives in India. Even though the futures are available in the cooperative organizations, they are not able to function well due to lack of members and board of directors participation. The results indicate that due to lack of skills and knowledge, the board members' are not able to lead the cooperatives effectively. The effectiveness of a board is heavily influenced by its leadership, communication, accountability, and ways of making decisions. Higher effectiveness is related to a style of management that transforms which is characterized by empathy, trust, and open communication. The study highlights the need of board member capacity-building initiatives that emphasize communication, focusing on leadership skills, and decision-making. The study additionally recommends that PACS take a more participatory approach when making decisions, involving stakeholders and members in the process. The author suggests that implications for the Cuddalore District's PACS. Overall, this study provides valuable insights into the role of the board of directors in the





Padhmanaban and Arthi

leadership of a PACS and highlights the importance of effective leadership in promoting the success of these organization.

Keywords: Leadership, Board of Directors, Accountability, Governance, Management, Leadership style, Effectiveness, International Cooperative Alliance (ICA).

INTRODUCTION

According to the International Cooperative Alliance, by supporting local communities, fostering equity in society, and promoting sustainable agriculture, cooperatives have the potential to improve the livelihoods of billions of people around the world. In cooperatives, the board is in responsible for setting up the overall direction and strategy of the organization, overseeing its day-to-day operations, and making important decisions that impact the success of the organization. At the highest decision making body in the cooperatives, the Board of Directors leadership is essential in fostering a culture of transparency, accountability, and member participation. Effective leadership by the board of directors can lead to increased efficiency, improved member satisfaction, and enhanced reputation for the cooperative.

Role and Responsibility of Board of Directors

- 1. Overall Governance: The Board of Directors is in responsible for setting the cooperative society's overall direction and strategy and making sure that it is consistent with the organization's mission, values, and goals.
- 2. Policy Making: The Board sets the rules and guidelines that govern the cooperative's operations, ensuring that they are fair, transparent, and represent the needs of the members.
- 3. Strategic Planning: To ensure sure the cooperative is well-positioned for achieving its goals and objectives, the Board develops and reviews strategic plans.
- 4. Financial Management: The Board is in responsibility of the cooperative's finances and makes ensuring that it makes prudent and responsible financial decisions.
- 5. Risk Management: The Board oversees and regulates risks that could impact the cooperative's financial performance, reputation, or day-to-day operations.
- 6. Governance and Compliance: The Board ensures that the cooperative complies by all relevant regulations, laws, and standards and that its governance practices are transparent and accountable.
- Member Engagement: The Board engages with members, focusing attention to their issues and ensuring their voices are heard.

REVIEW OF LITERATURE

The researcher reviewed 20 number of literature related to the study, at the same time a minimum no.of literature related to the study which are given below. On the basis of the review done, it was understood that the present research is clearly with a different line of thinking and approach to highlight the Cooperative Governance in the Cuddalore District in Tamilnadu. Relevant literature in Role of Board of Directors is reviewed in this section in order to identify research gap in the field. **Singh and Prathap 1985** – Education is essential for self-control, supervision, and better and successful utilization of cooperative credit in cooperative education. Political intervention in recovery matters should also be reduced, as highly qualified and experienced staff may considerably reduce the adverse impacts of such interface on recovery outcomes. **Ahmed et al., 2016** –A crucial factor in cooperative societies' success is the Board of Directors' leadership. According to the author perspective, accountability, transparency, and strong governance are characteristics of good leadership.





Padhmanaban and Arthi

Mwangi et al., 2017 – The leadership of the Board of Directors is also influenced by the role played by stakeholders. The study found that stakeholder engagement and participation can enhance the effectiveness of cooperative leadership. Abubakar et al. 2017 – Members of the Primary Agricultural Cooperative Society also shared their thoughts on the effectiveness of their board of directors. According to a study, members believed the cooperative's ability to make decisions in an accountable and transparent manner depended on having a strong Board of Directors. Wahab et al., 2018 – The personalities and behaviours of each board member have an influence on the board of directors' leadership as well. According to this study, the personal beliefs, attitudes, and behaviours of board members significantly predict their cooperative performance. Abubakar et al. 2019 – To ensure PACS's success and build member trust, accountability and transparency are important. Regular financial reporting and open communication were considered to be essential for upholding accountability and transparency within the cooperative.

Research Gap

There is a need to develop in more number of aspects in the study area Cuddalore District. Which are relating to the Board of Directors in Primary Agricultural Cooperative Societies. The effects of digital transformation, gender diversity, training and development, Awareness and educational programs, involvement of stakeholders, and the long-term impact of leadership styles are a few of these. Despite the fact that men represent the majority of the board, the study did not examine the potential effects of more gender diversity on processes for making decisions, the effectiveness of leadership, and cooperative results. Furthermore, an ongoing study of the ways in which different styles of leadership influence PACS's sustainable growth and performance has been excluded from the study. Filling in these gaps could provide to a more thorough knowledge of the factors affecting PACS sustainability and effectiveness.

Statement of Problem

The leadership of a Primary Agricultural Cooperative Society is important for its success. Even though such significance are available, due to different opinion of both cooperative and Board of Directors, lack of education and skills, weak governance practices, lack of transparency, and accountability can lead to mismanagement and conflicts within the cooperative societies. Inadequacy of leadership quality in the PACS which in turn affects the development of the society. So in the line of thinking, the researcher wanted to study the enhancement of leadership effectiveness of Board of Directors in the PACS.

Objectives of the Study

- 1. To study the level of Board of Directors participation in the study area in Primary Agricultural Cooperative Society.
- 2. To access the member satisfaction about the Board of Directors services in Cuddalore District.
- 3. To find out the suitable solution for the betterment of PACS in the study area.

RESEARCH METHODOLOGY

The present study is based on both Primary and Secondary data. The primary data is collected from the Board of Directors and members by interview schedule of the PACS in the Cuddalore District and Secondary data is collected from the various books, journal, articles and websites relating to PACS. The Primary Agricultural Credit Cooperative Society working under Cuddalore district is divided into 3 circle viz., Cuddalore, Chidambaram, and Viruthachalam. Cuddalore circle consisting 4 blocks, Chidambaram circle consisting 5 blocks and Viruthachalam circle consisting 4 blocks. Chidambaram circle under 57 PACS, Cuddalore circle under 56 PACS and Viruthachalam circle under 46 PACS. Totally, 159 PACS are working in Cuddalore District. The researcher chosen 56 PACS from each block 4 societies undertaken on the year of establishment. Each Five respondents from each society as a Members and 3 from each society have been selected respondents are Board of Directors. Totally, Members are 280 and Board of Directors are 112 respondents. Out of 392 interview schedules, only 92 were answered fully by the





Padhmanaban and Arthi

Board of Directors and 268 by Members, resulting in 360 respondents being chosen for the researcher. Proportionate Stratified Random Sampling method was chosen for the selection of proper sampling frame of the study for collecting in the PACS. Sample size mentioned in below Table 1.

Tools of Analysis

The researcher have been used Percentage Analysis for this Study

Data Analysis and Interpretation

It is observed from the below table that out of 100 respodents, there are 6 respondents from the age group of 18 -20 years, 13 per cent of the respondents belongs to 21 – 30 years, 10 per cent of the respondents are from 31 – 40 years, 35 per cent of the respondents belongs to 41 – 50 years, 7 per the age group of 51 – 60 years. 27 respondents are belongs to the age group of above 60 years. It is inferred from the below table that majority (35 per cent) of the respondents belonged to the age group of 41-50 years years which shows that cooperative society is has more board of directors from the above age category. It is observed from the below figure that out of 100 respodents, 74 per cent of the respondents belongs to male and 26 per cent of the respondents belongs to female gender. It is inferred from the below table that majority (74 per cent) of the respondents belonged to male gender which shows that cooperative society has more male members than female gender.

Awareness among Board of Directors about Cooperative Society

The Researcher wanted to know the role and level of awareness about Board of Directors in Cooperatives. In few aspects, which are given below.

Principles of Cooperation

In the Table 4 clearly explained about that the understanding level of cooperative principles by the Board of Directors. According to the Table majority out of 92 respondents, 96 per cent (88) respondents said No about the Principles of Cooperation. And out of 92 a megar, 4 per cent (4) respondents only Aware about the recent principles which are reformulated by the ICA.

Significance of By-laws: In the below Table indicates that Significance level of By-laws by the Board of Directors, out of 92 respondents, 93 per cent (86) respondents are not aware of the Significance of By-laws, and remaining 7 per cent (6) respondents are aware of the by-laws are followed by the Cooperative Society as per the Guidelines.

Significance of Cooperative Movement

In the below Table 4 revealed that Significance of Cooperative Movement by the Board of Directors, out of 92 respondents, 80 per cent (74) respondents are not aware of the Significance about the Cooperative Movement, and remaining 20 per cent (18) respondents are aware of the cooperative movement which is access by the government.

Getting Proper Rights in Cooperative Society

Table 4 despicts that getting proper rights in cooperative society, Out of 92 respondents, 13 per cent (12) of respondents are aware, and remaining 87 per cent (80) of the respondents are not aware about their rights within the cooperative society.

Attending Board of Directors and General Body Meetings Regularly

Table 4 shows that attending Board of Directors and General Body meeting regularly, Out of 92 respondents, this aspect has a relatively higher awareness, with 42 per cent (39) respondents are regularly attending meetings, while 58 per cent (53) of the directors are not aware about attending the general body meeting. The data indicates a general lack of awareness among the board members about the fundamental principles, by-laws, and the overall significance of the cooperative movement. This lack of knowledge could impede effective governance and the functioning of the cooperative society. The highest level of engagement is in attending meetings, yet more than half still do not attend regularly.





Padhmanaban and Arthi

Board of Directors Services in Members View

The Researcher collected the necessary information as under about Board of Directors services in members view. In few aspects, which are given below.

Participating in General Body Meetings

Table 5 reveals that participating gin General Body Meeting, Out of 268 respondents, only 23 per cent (61) of the respondents participating in the general body meeting, and remaining 77 per cent (207) of the respondents are do not participating the general body meeting.

Sanctioning Agricultural Loans on Time

The table 5 indicates the sanctioning agricultural loans on the time in cooperative society. 27 per cent (72) of respondents are said yes of the loans are sanctioned on time in the cooperative society, compared to 73 per cent (196) of the respondents are said no for loans are not sanctioned on the right time.

Rights to Take Xerox Copies of Records

Table 5 explains that a very small proportion 3 per cent (9) of the respondents said yes that taking Xerox copy of records in the cooperative society, whereas 97 per cent (259) of the respondents said no to that in cooperative they are not allowing to take Xerox copy of the records.

Rights to participate in the Welfare of the Society

The below table revealed that welfare of the society, out of 268 respondents, 22 per cent (8) of members feel they have the rights, and remaining 92 per cent (246) of the respondents are said no for that they did not have a rights to participate in the welfare of the society.

Equality among Members

Table 5 shows that equality among members, out of 268 respondents, 19 per cent of (51) respondents are said yes for there is a equality between members, and remaining 81 per cent (217) of the respondents are said no for equality among members.

Political Influence

From the below table it can understood that out of 268 respondents, 29 per cent (79) of the respondents are said there is no political influence in cooperative society, whereas 71 per cent (189) of the respondents are believe there is more political influence in the cooperative society.

Accountability and Transparency

Table 5 clearly shows that, 18 per cent (47) of the members said yes to there is accountability and transparency, while 82 per cent (221) of the members are said no for accountability and transparency in cooperative society. The data indicates dissatisfaction among members regarding their rights and services provided by the Board of Directors. Issues such as lack of participation in meetings, delayed loan sanctioning, limited rights to access records, low participation in welfare activities, perceived inequality, political influence, and a lack of accountability and transparency are prominent concerns.

Level of Member Satisfaction about Board of Directors Services

The researcher could like to study in detailed manner about opinion regarding Board of Director in cooperative society in select aspects viz, Participating in General Body Meeting, Sanction the Agricultural Loan in Time, Rights to Take Xerox Copies of Records, Rights to participate in the Welfare of the Society, Equality among Members, Political Influence, Accountability and Transparency. It observed from the Figure 2 out of 268 members, 13 per cent of respondents are highly satisfied about the board of directors services, 11 per cent of the respondents are satisfied, 16 per cent of the respondents rate the services as average, 36 per cent of the respondents rate the services as poor, 24 per cent of the respondents mentioned that the services are very poor. The overall satisfaction with the Board of





Padhmanaban and Arthi

Directors' services is notably low. A combined 60 of the respondents rate the services as either poor or very poor, indicating widespread dissatisfaction. Only a small fraction of the respondents are highly satisfied or satisfied with the services provided by the board, reflecting potential issues in governance, service delivery, and overall board effectiveness.

Economic Contribution of Board of Directors in Cooperatives

The Researcher collected the necessary information as under about Economic Contribution of Board of Directors in Cooperatives. The researcher would like to study, regarding the director's economic participation (member economic participation) as per 3rd reformulated principles of cooperation by ICA. According to the table, the maximum number of Board of Directors 46 out of 92, 50 per cent of Board of Directors contributed economic wise very less, 33 per cent of the respondents holding 11 to 20 shares, only 3 – 10 respondents are holding above 30 shares which is high.

FINDINGS

- 1. Out of 92 respondents, the majority 35 per cent of the board members belong to the 41-50 years age group, indicating that the cooperative society tends to have more experienced individuals in the middle-age range in leadership positions.
- 2. Regularly attend meetings.
- 3. The majority of members do not participate in general body meeting
- 4. The total number of respondents are 92, 74 per cent of the board members are male, reflecting a gender imbalance in the leadership of the cooperative society.
- 5. Out of 268 respondents, only 4 per cent of the respondents are aware of the principles of cooperation, 7 per cent of the directors are understand the significance of by-laws, (20 per cent) of the directors are aware of the cooperative movement's significance, 13 per cent of respondents are aware of their rights within the cooperative society, 42 per cent regularly attending meetings, There is a significant lack of awareness among the board members regarding key aspects of cooperative principles and governance. Only a small fraction s and feel that their rights are not adequately upheld. There is also a perceived lack of equality, accountability, and transparency within the cooperative society.
- 6. 97 respondents are dissatisfied, with 36 per cent rating the services as Poor and 24 per cent as Very Poor, 16 per cent of respondents rated the services as Average, indicating ambivalence, Only 24 per cent of respondents are satisfied, with 13 per cent Highly Satisfied and 11 per cent are Satisfied.
- 7. Majority of 46 respondents out of 92, 50 per cent of Board of Directors contributed economic wise very less, 33 per cent of the respondents holding 11 to 20 shares, only 3 10 respondents are holding above 30 shares which is high.

SUGGESTIONS

- 1. The society should take necessary stepsto motive and admit more youth in cooperatives with the help of create awareness about the significance of cooperative movement.
- 2. The cooperative organisation and government should provide necessary awareness programs through cooperative education and training to the Board of Directors for the betterment of cooperative societies. Without knowing the basic aspects of cooperatives they do not function well. The only organisation functioning under prescribed principles. The member and directors should know the basic principles of cooperation, significance of bylaws, significance of cooperative movement, getting proper rights, attending Board of Directors and General Body Meeting.
- 3. The government and non-government organisation should provide compulsory awareness program about the features, significance and benefits, with the help of distribution of bit notice, conducting workshop, seminar, symposium, orientation and refresher course.





Padhmanaban and Arthi

- 4. The government and cooperative organisation should conduct periodical evaluation about the performance of Board of Directors.
- 5. The Board of Director should involve themselves as per the 3rd principles of cooperation they have to contribute more in economic like, buy more shares, deposit in more.
- 6. The Board of Directors, voluntarily involve themselves to motivate the members and provide all facilitate and benefits which are available in the societies namely (viz) to sanction loan as early as possible, make arrangements to visit frequently, verify the records, create transparency, accountability and trustworthiness also.
- 7. The cooperatives organisation entirely service oriented and also there is no separate owners entity (owner can become a member, member can become an owner). In this present situation, 71 per cent of the Board of Directors depended on political influences that should be avoided and also eradicate the political influence in the cooperative administration.

CONCLUSION

The study highlights in various aspects of Board of Directors about the level of participation, without member nothing can be done properly and also not able to achieve the goals. Good planning, transparency, accountability, innovation and to ensure the sustainability in cooperatives. This study provides valuable insights into the importance of effective leadership in the Board of Directors of a cooperative society. The author suggests that leaders who are able to foster a culture of trust, transparency, accountability and innovation and who are able to develop and implement effective strategies for challenges, are more likely to be successful in leading the cooperatives.

REFERENCES

- 1. ICA (2018). Cooperative Leadership: A Guide to Effective Governance. International Cooperative Alliance.
- 2. International Cooperative Alliance (ICA) (2018). Cooperative Governance: A Guide to Effective Leadership, International Cooperative Alliance.
- 3. National Cooperative Bank (NCB) (2020). Cooperative Governance: Best Practice for Cooperatives. National Cooperative Bank.
- 4. CoopDev (2019). Governance in Cooperatives: A Guide for Board of Directors. CoopDev.
- 5. Fingleton (2018). Effective Governance in Cooperatives: A Review of Research and Best Practices. Journal of Cooperative Studies.
- 6. Ahmed, S., Ali, S.M., & Khan, M.I. (2016). Governance challenges in agricultural cooperatives: A case study from Pakistan. Journal of Agricultural Cooperatives, 30(1), 13-26.
- 7. Wahab, M.S., Ali, S.M., & Khan, M.I. (2018). The role of board members' characteristics in determining the effectiveness of agricultural cooperatives: A case study from Pakistan. Journal of Agricultural Cooperatives, 32(2), 21-32.
- 8. Mwangi, W.K., Wanjala, F., & Odhiambo, T.O. (2017). Factors influencing member engagement in agricultural cooperatives: A case study from Kenya. Journal of Agricultural Cooperatives, 31(2), 25-36.
- 9. Abubakar, M., Kumar, S., & Singh, R. (2017). Factors influencing farmer participation in primary agricultural cooperative society: A study from India. Journal of Agricultural Research and development Management, 65 (1), 17 26.
- 10. Singh, R. Kumar, S., & Abubakar, M. (2019). Role of board composition in primary agricultural cooperative society: A case study from India. Journal of Cooperative Studies, 23 (2), 43 56.
- 11. Singh & Prathap. (1985). Efficiency in supervisory control over overdues, PACS in district Patiala, A case study, Indian Cooperative Review, pp. 109 122.





Padhmanaban and Arthi

Table 01 CUDDALORE DISTRICT

CHIDAMBARAM CIRCLE					
Rayanallur PACS (30.01.1968)					
Movur PACS (6.2.1968)	Kattumannarkovil				
Eyyalur PACS (23.7.1971)	Kattumamarkovn				
Kuppanakuzhi PACS (9.8.1971)					
Sivayam (2.8.1967)					
Nandhimangalam (2.8.1967)	Kumarachi				
Lalpettai (23.2.1971)					
Pillayarthangal (11.1.1971) Thillavidagam PACS					
(30.6.1967) Poovalai PACS					
(19.8.1967) Keezhamanakudi PACS	Parangipettai				
(19.6.1967) Pichavaram PACS					
(17.12.1970) Majakollai					
(21.1.1965) Erumbur					
(28.1.1968) b.Udaiyur	Bhuvanagiri				
(24.7.1971) Valayamadevi					
(23.1.1971) C. Orathur					
(18.9.1958) Sakkandgudi	Keerapalayam				
(27.1.1967) Vilagam	_				
(21.2.1970) Velliyakudi	_				
(19.1.1971) Perur (30.1.1968)					
Nantheeswaramangalam (20.8.1968)	Srimushnam				
Mudikandanallur (23.8.1968)					
Palayankottai (29.12.1970)					

CUDDALORE (CIRCLE
Vazhisothanaipalayam	
(30.9.1963)	
Vadapuram Keelapathy	Cuddalore
(19.1.1967)	
Maruthadu	1
(10.1.1970)	
Sigirikudi	1
(23.6.1970)	
Kurinjipadi	
(7.10.1957)	
Renganathapuram	Kurinjipadi
(20.1.1968)	
Theerthanagiri	1
(29.12.1970)	
Kayalpattu	1
(18.4.1972)	
Veeraperumanallur	
(7.10.1957)	Panruti
Anguchettipalayam	1 am uu
(30.9.1967)	
Patharakottai	
(11.6.2014)	
Silaminathanpettai	
(11.6.2014)	
Aviyanur	
(31.8.1958)	Annagramam
Thirukandeswaram	Annagramam
(20.1.1968)	1
Keelkavarapattu	
(23.3.1970)	
Melkumaramangalam	
(23.1.1973)	

VIRUDDACHAL	AM CIRCLE
	ı
Sathiyavadi	
(04.12.1967)	Viruddachalam
Edachithur	VII uooneimimi
(4.12.1967)	_
Ko. Pavazhangudi	
(29.6.1971)	
Aladi	
(29.6.1971)	
V. Sathapadi	
(31.3.1963)	Kammapuram
Devangudi	Kammapuram
(20.1.1968)	
V. Sathamangalam	
(25.11.1969)	
Mudhanai	
(22.12.1969)	ļ
11525 Tholudur	
(12.4.1967)	
11529 Adarikalathur	Mangalur
(20.1.1968)	
CLAPLn02	1
(23.6.1972)	
SPLn01 Edaicheruvai	
(23.8.1972)	
Veppur	
(14.2.1967)	
V. Gudalur	Nallur
(30.1.1968)	
Maligaimedu	1
(28.9.2969)	
Maligaikottam	1
(12.5.1982)	





Padhmanaban and Arthi

Table 2. Age wise Classification of Board of Directors of PACS

SI. No	Age	No.of Respondents	Percentage
1	18-20 years	6	6
2	21-30 years	12	13
3	31-40 years	9	10
4	41-50 years	32	35
5	51-60 years	6	7
6	Above 60 years	27	29
	Total	92	100

Source: Computed from Primary data

Table 3 Awareness among Board of Directors about Cooperative Society

SI. No	Awareness among Board of Directors	No.of Res	pondents	Percentage
		Yes	No	%
1	Principles of Cooperation	4 (4%)	88 (96%)	92 (100%)
2	Significance of By - laws	6 (7%)	86 (93%)	92 (100%)
3	Significance of Cooperative Movement	18 (20%)	74 (80%)	92 (100%)
4	Getting Proper Rights in Cooperative Society	12 (13%)	80 (87%)	92 (100%)
5	Attending Board of Directors and General Body Meeting regularly	39 (42%)	53 (58%)	92 (100%)

Source: Computed from Primary data

Table 4. Board of Directors Services in Members View

SI. No	Members Rights No.of Respondents			
		Yes	No	%
1	Participating in General Body Meeting	61 (23%)	207 (77%)	268 (100%)
2	Sanction the Agricultural Loan in Time	72 (27%)	196 (73%)	268 (100%)
3	Rights to take Xerox copy of record	9 (3%)	259 (97%)	268 (100%)
4	Rights to participate in the welfare of the society	22 (8%)	246 (92%)	268 (100%)
5	Equality among Members	51 (19%)	217 (81%)	268 (100%)
6	Political Influence	189 (71%)	79 (29%)	268 (100%)
7	Accountability and Transparency	47 (18%)	221 (82%)	268 (100%)

Source: Computed from Primary data

Table 5 Economic Contribution of Board of Directors in Cooperatives

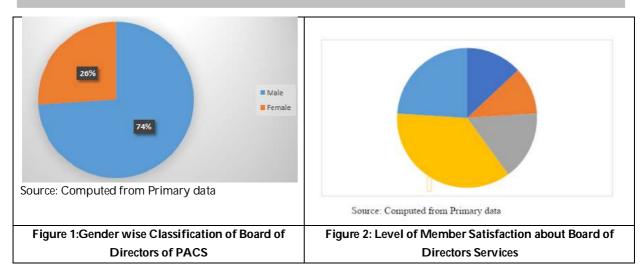
SI. No	No. of Shares	No.of Board of Directors	Percentage
1	1 - 10	46	50
2	11 - 20	30	33
3	21 – 30	9	10
4	31 - 40	3	3
5	41 – 50	4	4
6	51 - 60	NIL	=
	Total	92	100

Source: Computed from Primary data





Padhmanaban and Arthi







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

An UPLC Stability Indicating Method for Quantification of Elvitegravir, Tenofovir Alafenamide Fumarate, Emtricitabine and Cobicistat in their Fixed Dose Combination

Divya Narla^{1*} and Nagaraju Pappula²

¹Associate Professor, Department of Pharmaceutical Analysis, Aditya College of Pharmacy, (Jawaharlal Nehru Technological University, Kakinada), Andhra Pradesh, India.

²HoD, Department of Pharmaceutical Analysis, Hindu College of Pharmacy, (Affiliated to Acharya Nagarjuna University) Andhra Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Divya Narla

Associate Professor, Department of Pharmaceutical Analysis, Aditya College of Pharmacy, (Jawaharlal Nehru Technological University, Kakinada),

Andhra Pradesh, India.

Email: divyanarla@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A stability indicating analytical method for estimation of analytes in bulk and/or dosage forms allows the analyst to quantify the analytes even in the presence of degradants. The main focus of present research work is to develop and validate a stability indicating method for simultaneous estimation of selected drugs elvitegravir, tenofovir alafenamide fumarate, emtricitabine and Cobicistat in their fixed dosage forms using acquity UPLC-PDA system. The analytes were successfully quantified on BEH C18, Acetonitrile and 0.1% orthophosphoricacid (55:45%v/v) as mobile phase at a flow rate of 0.2ml/min at 248nm. The run time of analysis is less than 3mins with retention times and linearity ranges of analytes as 0.403min, 37.50-225 µg/mL; 1.028 min, 2.5-15 µg/mL; 1.464 min, 50-300 µg/mL & 2.122 min, 37.50-225 µg/mL for Elvitegravir, Tenofovir, Emtricitabine and Cobicistat respectively. Statistical analysis of validation parameters data were within the acceptable limits indicating the suitability of the method for the routine quantification of analytes in the dosage form.

Keywords: Elvitegravir, Tenofovir Alafenamide Fumarate, Emtricitabine, Cobicistat, UPLC, Stability indicating.





Divya Narla and Nagaraju Pappula

INTRODUCTION

Antiretroviral therapy (ART) is a strategic treatment for Human Immunodeficiency Virus (HIV) infection. ART is initiated after early diagnosis of HIV which includes oral therapy with combination of multiple drugs in fixed dose[1]. Fixed dose combinations (FDC's) enhance the efficacy and reduce the pill burden for the patients. Fixed dose combinations in ART contain protease inhibitors (PI), nucleoside transcriptase inhibitors (NRTI's), non-nucleoside transcriptase inhibitors (NNRTI's), integrase strand inhibitors[2]. Pharmacokinetic enhancers may be included to improve the bioavailability of ART drugs[3]. A fixed dose combination of Elvitegravir (ELV). Tenofovir alafenamide fumarate (TAF), Emtricitabine (ETC) and Cobicistat (CBS) is approved by USFDA for HIV treatment in adults and adolescents[4]. Elvitegravir is a potent integrase strand inhibitor having strong anti HIV activity against wild and drug resistant HIV strains[5]. It prevents viral replication by inhibiting the integration of viral DNA into host cell[6]. Tenofovir alafenamide fumarate, a prodrug of Tenofovir, shows 10times more antiviral activity than Tenofovir disoproxil fumarate[7]. It belongs to NNRTI class and is used in FDC's for treating HIV infection[8]. Emtricitabine is NRTI antiretroviral compound whose active metabolite incorporates into viral DNA and terminates the synthesis of viral DNA[9,10]. Cobicistat devoids antiviral activity but is a part of FDC as it acts as booster (pharmacokinetic enhancer) to enhance the plasma concentrations of primary active drugs acting against HIV[11]. It acts by inhibiting Cytochrome P450 enzyme which extensively metabolizes Elvitegravir. Cobicistat in FDC's of ART increases drug exposure thereby reducing pill burden[12]. The chemical structures of the selected drugs was shown in Figure 1. Literature review on the afore mentioned combination for analytical research was extensively done, which showed a handful of HPLC[13-18] and few UPLC[20-24] methods reported for combination of Elvitegravir, Tenofovir disoproxil fumarate, Emtricitabine and Cobicistat, As there is no UPLC method for estimation of FDC consisting of Elvitegravir, Tenofovir alafenamide fumarate, Emtricitabine and Cobicistat, there is scope for developing a newer analytical technique. The present stability indicating UPLC method was developed to provide a sensitive, accurate and reliable method for routine quantification of selected analytes in their marketed commercial formulation.

MATERIALS AND METHODS

Reagents and Chemicals

Reference standards of Elvitegravir, Tenofovir Alafenamide fumarate, Emtricitabine and Cobicistat were obtained as gift samples from Hetero Labs, Hyderabad and Aurobindo Pharmaceuticals, Visakhapatnam. The fixed dose generic product of Elvitegravir-150mg, Tenofovir alafenamide fumarate -10mg, Emtricitabine-200mg and Cobicistat-150mg was procured from commercial source. Chromatographic grade solvents Acetonitrile, Methanol, Water and Analytical Grade reagents ortho phosphoric acid, Potassium dihydrogen phosphate, Hydrochloric acid, Sodium Hydroxide and Hydrogen peroxide were used for the present work and are procured from Merck Ltd and Thermo Fisher Scientific.

Instrumentation and Chromatographic conditions

The ultra performance liquid chromatographic analysis for determination of selected analytes was performed on UPLC (waters acquity) equipped with PDA detector, quaternary pump and an inbuilt injector operated through Empower 2 software. Additional equipment included Electronic balance (Shimadzu), pH meter, ultra bath Sonicator, UV chamber, Hot air oven were used in the present study. The separation and quantification of analytes in the fixed dose combination was achieved on BEH C18 column (100×2.1mm,1.8µm) with 1.0ml/min of mobile phase (acetonitrile and 0.1%OPA in ratio of 55:45%v/v) at 265nm for detection of analytes. The sample size is 0.5 µl with runtime of 3mins.





Divya Narla and Nagaraju Pappula

Preparation of standard solution

The reference standards of 75mg of ELV, 5mg of TAF, 100mg of ETC and 75mg of CBS were accurately weighed and dissolved in sufficient quantity of diluent (Acetonitrile and 0.1%OPA in 1:1 ratio) in a 100ml volumetric flask. Final stock solution was obtained after sonication and making the volume. The standard solution for analysis was prepared by further dilution of suitable aliquot of stock solution to obtain required concentration with diluent.

Preparation of sample solution

The tablets of commercial dosage form were used to prepare sample solution. Finely crushed tablet powder equal to half weight of tablet was added to 100ml flask, dissolved, sonicated in diluent followed by vaccum filtration to obtain sample stock solution, which is further diluted to give working sample solution.

Method validation

The developed stability indicating UPLC method was validated according to the ICH guidelines for all the specified parameters such as specificity, system suitability, linearity, precision, accuracy including robustness, limit of detection (LOD) and limit of quantification (LOQ) [13].

System Suitability

The standard solutions were injected in triplicate into the UPLC system and the system suitability parameters were evaluated such as such as theoretical plates, tailing factor and resolution. Each parameter has its specified role in establishing the suitability of the method for the quantification of analytes.

The number of theoretical plates indicates the efficiency of the column and plate count value of more than 2000 is acceptable. Tailing factor of chromatographic peak reflects the symmetry of the peak, whose value should be close to 1. Resolution is a measure of how well the analyte peaks were separated from each other and its specified value is greater than 2.0.

Specificity

The specificity of the developed method is assessed by looking for the presence of additional peaks in the chromatograms of blank and placebo. Method specificity is indicated by the absence of these additional peaks at the analyte retention times in the chromatogram.

Linearity

Calibration curves are plotted to know the concentration ranges of each individual analyte from the value of correlation coefficient. The plot is obtained by taking concentration on ordinate and mean peak area on abscissa. Triplicates of six different concentrations were prepared for injecting into UPLC system and their peak areas are averaged to plot linearity curve.

Precision

Precision of the developed method was determined by performing system precision, method precision and intermediate precision. In system precision (repeatability) six replicates of standard solution were injected and percentage relative standard deviation for peak areas is calculated. For method precision, sample solutions (n=6) were injected and the assay values were determined. Intermediate precision was carried out on two different days under the same experimental conditions using same solutions (n=6). The %RSD values within acceptable range ensure the reliability and consistency of the developed analytical method.

Accuracy

Recovery studies were done to establish the accuracy of the developed UPLC method. The sample solutions at three different levels such as 50%, 100% & 150% of the target assay were prepared in triplicate and are analyzed by standard addition method. The percentage recovery at each level was calculated.





Divya Narla and Nagaraju Pappula

LOD & LOQ

The LOD and LOQ values of the developed method were determined by calibration curve method, where the standard deviation and slope values are used to calculate the LOD & LOQ values.

$$LOD = \frac{3.3 \,\sigma}{s} \qquad \qquad LOQ = \frac{10 \,\sigma}{s}$$

Where, σ = standard deviation of intercept; s = slope of calibration curve

LOD and LOQ represent the lowest concentrations of analyte that can be detected and quantified with certainity indicating sensitivity of the method.

Robustness

Deliberate changes in chromatographic conditions were made and their effect was studied on system suitability parameters to determine whether the method is robust or not. Mobile phase composition was varied at $\pm 5\%$ and flow rate at ± 0.1 ml/min to evaluate the tolerance of the method. The impact of the variables on chromatographic parameters such as resolution, peak symmetry, theoretical plates, retention times of analytes were evaluated. The measured values within acceptable limits indicate robustness of the method.

Forced degradation studies

Forced degradation or stress studies were carried out to induce degradation of analytes under specific conditions. The analytes are exposed to various conditions of stress to produce possible degradants which can be separated and quantified in the presence of analytes without interference. The studies were done by subjecting the analytes to undergo hydrolysis in acid, base, water and peroxide solutions; exposing to UV light and high temperature. Acid, alkali, peroxide and neutral hydrolysis was carried out by reflexing the standard solution with 0.1N HCI, 0.1N NaOH, 10% H₂O₂ and water at 60°C for 30mins. Dry heat degradation was done by placing the solution containing analytes in hot air oven at 105°C for 6hrs and photo degradation by exposing to UV light for 7days. The analyte solutions were then diluted and injected into UPLC system and the chromatograms were evaluated.

RESULTS

The developed stability indicating RP-UPLC method was validated according to ICH guidelines for the chromatographic parameters such as Specificity, System Suitability, Linearity, Accuracy, Precision and Robustness to assure the intended use of proposed method.

Specificity

The specificity of the developed analytical method is determined based on the absence of peaks due to additional components (excipients, impurities, degradants, etc) of placebo and blank (mobile phase) in their chromatograms. Specificity of the developed method is assessed by the ability to determine the analytes in the presence of components that are likely to be present such as excipients, impurities, matrix, degradants etc. Thus the chromatograms of mobile phase alone and that of placebo solution were checked for the presence of any peaks. The blank and placebo chromatograms were shown in Figure 2. Absence of peaks indicates the specificity of the method.

System Suitability

The intended use of the proposed analytical method was verified from the parameters of system suitability. The results were given in Table 1 for the system suitability and validation parameters where all the result values were found to be within acceptable limit.

Linearity

The results of the linearity given in Table 2 were plotted to check the linear relationship between concentration of analytes and the mean peak areas. The linearity plots were shown in Figure 3 and the correlation coefficient values were ≥ 0.999 for the four analytes.





Divya Narla and Nagaraju Pappula

Precision

Precision indicates the closeness of values for a series of measurements obtained under similar conditions. The results of precision were statistically expressed as percentage relative standard deviation(%RSD) and the set value for acceptance is not greater than 2.0. System precision or repeatability of the method was determined by injecting six replicates of working standard solution under the given set of conditions and the results were shown in Table 3. Method precision was assessed by injecting six replicates of working sample solution into the UPLC system and %RSD values were calculated statistically. The results were compiled in Table 4. Intermediate Precision or Interday precision was performed on two different days by using six standard solutions as represented in Table 5. The data was statistically analyzed and the % RSD values were calculated for each analyte. The % RSD values for the four analytes in precision study did not exceed 2.0demonstrating the high precision of the analytical method for quantitative analysis with consistency.

Accuracy

Accuracy of the present developed UPLC method was determined in terms of percentage recovery. The results of recovery studies carried out were given in Table 6 & 7. The closeness of measured value to the reference or standard value is indicative of method accuracy.

Forced degradation studies

Stress conditions with variable reagents were applied to the sample solutions to favor the degradation of analytes. The method is said to be stability indicating when the analyte peaks were not affected by the degradants. The degradation chromatograms under various stress conditions were shown in Figure 4 and the results of forced degradation studies were depicted in Table 8 & 9.

Assay

The analytical method was applied for the marketed formulation GENVOYA for quantification of four analytes. The assay values of Elvitegravir, Tenofovir alafenamide fumarate, Emtricitabine and Cobicistat were found to be 99.63, 100.82, 99.57 & 99.88 respectively. The UPLC chromatograms of standard and sample solution were shown in Figure 5.

DISCUSSION

The simultaneous quantification of selected drugs Elvitegravir, Tenofovir Alafenamide fumarate, Emtricitabine and Cobicistat was successfully achieved in the presence of their degradants by UPLC equipped with BEH C18 column, PDA detector, Mobile phase of Acetonitrile and 0.1% OPA in ratio of 55:45%v/v at 0.3ml/min flow rate. The results of validation of developed method gave satisfactory results.

CONCLUSION

Thereby it can be concluded that the proposed method can be utilized for estimation of four selected analytes in their fixed dose combination on a routine basis for quality control check with high specificity.

CONFLICT OF INTEREST

None.

ACKNOWLEDGEMENT

The authors are privileged to express our gratitude to the Management and Principal of Aditya College of Pharmacy for their continuous support.





Divya Narla and Nagaraju Pappula

REFERENCES

- 1. Gandhi RT, Bedimo R, Hoy JF, et al. Antiretroviral Drugs for Treatment and Prevention of HIV Infection in Adults: 2022 Recommendations of the International Antiviral Society–USA Panel. JAMA. 2023;329(1):63–84. doi:10.1001/jama.2022.22246
- 2. Everson F, Genis A, Ogundipe T, De Boever P, Goswami N, Lochner A, Blackhurst D, Strijdom H. Treatment with a fixed dose combination antiretroviral therapy drug containing tenofovir, emtricitabine and efavirenz is associated with cardioprotection in high calorie diet-induced obese rats. PLoS One. 2018 Dec 5;13(12):e0208537. doi: 10.1371/journal.pone.0208537. PMID: 30517206; PMCID: PMC6281242.
- 3. Krauß, J., & Bracher, F. (2018). Pharmacokinetic Enhancers (Boosters)-Escort for Drugs against Degrading Enzymes and Beyond. *Scientia pharmaceutica*, *86*(4), E43. https://doi.org/10.3390/scipharm86040043
- 4. Department of health and human services. Food and Drug Administration, Silver spring MD 20993. NDA 207561, Gileas Sciences, Inc., Foster City, CA 94404. https://www.accessdata.fda.gov/drugsatfda_docs/appletter/2015/207561Orig1s000ltr.pdf
- 5. Deeks ED. Elvitegravir: a review of its use in adults with HIV-1 infection. Drugs. 2014 Apr;74(6):687-97.
- 6. Klibanov OM. Elvitegravir, an oral HIV integrase inhibitor, for the potential treatment of HIV infection. Curr Opin Investig Drugs. 2009 Feb 1;10(2):190-200.
- 7. Ray AS, Fordyce MW, Hitchcock MJ. Tenofovir alafenamide: a novel prodrug of tenofovir for the treatment of human immunodeficiency virus. Antiviral research. 2016 Jan 1;125:63-70.
- 8. Antela A, Aguiar C, Compston J, Hendry BM, Boffito M, Mallon P, Pourcher-Martinez V, Di Perri G. The role of tenofovir alafenamide in future HIV management. HIV medicine. 2016 May;17:4-16.
- 9. Molina JM, Cox SL. Emtricitabine: a novel nucleoside reverse transcriptase inhibitor. Drugs Today (Barc). 2005 Apr 1;41(4):241-52.
- 10. Bang LM, Scott LJ. Emtricitabine: an antiretroviral agent for HIV infection. Drugs. 2003;63(22):2413-24; discussion 2425-6.
- 11. Sherman EM, Worley MV, Unger NR, Gauthier TP, Schafer JJ. Cobicistat: review of a pharmacokinetic enhancer for HIV infection. Clinical Therapeutics. 2015 Sep 1;37(9):1876-93.
- 12. Larson KB, Wang K, Delille C, Otofokun I, Acosta EP. Pharmacokinetic enhancers in HIV therapeutics. Clinical pharmacokinetics. 2014 Oct;53(10):865-72.
- 13. MallikarjunaraoNagasarapu and GowriSankarDannana. Development and Validation of Stability-Indicating HPLC-DAD Method for Simultaneous Determination of Emtricitabine, Elvetegravir, Cobicistat and Tenofovir in their Tablet Dosage Forms. Indian Journal of Pharmaceutical Education and Research, 2016; 50(1): 205-211.
- 14. Rao PP, Reddy DM, Ramachandran D. Stability indicating HPLC method for simultaneous estimation of emtricitabine, tenofovir disoproxyl fumarate, cobicistat and elvitegravir in pharmaceutical dosage form. World Journal of Pharmaceutical Sciences. 2014 Dec 1:1822-9.
- 15. Runja C, Ravi Kumar P, Avanapu SR. A validated stability indicating RP-HPLC method for the determination of emtricitabine, tenofovir disoproxil fumarate, elvitegravir and cobicistat in pharmaceutical dosage form. Journal of chromatographic science. 2016 May 1;54(5):759-64.
- 16. Jampala RR, Kumar VK, Nemala AR. Development and application of liquid chromatographic method for simultaneous determination of elvitegravir, tenofovir disoproxil fumarate, emtricitabine, and cobicistat in fixed dosage form. Pharmaceutical Methods. 2014 Jan 1;5(1):7-13.
- 17. Gummaluri RK, Parthasarathi TV, Anjanamadhulika G. Simultaneous method for determination of emtricitabine, tenofovir disoproxil fumarate, elvitegravir and cobicistat in tablets by HPLC. Indian Journal of Pharmaceutical Sciences. 2016 Jun 28:78(4):532-7.
- 18. Godasu SK, Sreenivas SA. Determination of Simultaneous Estimation HPLC Method for Elvitegravir, Tenofovir Disoproxil Fumarate, Emtricitabine and Cobicistat It's Pure and Tablet Form. Der Pharma Chemica. 2018;10:158-65.
- 19. Lakshmi PR, Prahlad P, Mastanamma SK, Ravindra N, Rao MV. UPLC separation analysis of emtricitabine, tenofovir, cobicistat and elvitegravir from their degradation products. Int. J. Pharm. Pharm. Sci. 2016;8:362-9.





Divya Narla and Nagaraju Pappula

- 20. Panigrahy UP, Reddy AS. A novel validated RP-UPLC-DAD method for the simultaneous estimation of Emtricitabine, Tenofovir Disoproxil Fumarate, Cobicistat and Elvitegravir in bulk and tablet dosage form with forced degradation studies. IOSR Journal of Pharmacy and Biological Sciences, 2016;11(4) Ver. II:49-69.
- 21. Tejaswi JK, Rajan RG. RP-UPLC method development and validation for simultaneous estimation and forced degradation studies of elvitegravir, cobicistat, emtricitabine and tenofovir disoproxil fumarate in solid dosage form. International Journal of Pharmaceutical Sciences and Research,2019;10(6):2730-38. DOI: 10.13040/IJPSR.0975-8232.10(6).2730-38.
- 22. Sudha PD, Avulapati U, Sohail P. Stability-indicating reverse-phase ultra-performance liquid chromatography method for the simultaneous determination of emtricitabine, tenofovir, cobicistat, and elvitegravir. Drug Invention Today. 2020 Jun 15;14(6).
- 23. Swapna Vemireddy, & Gandla Kumaraswamy. (2023). A novel validated RP-UPLC for the simultaneous estimation of Emtricitabine, Tenofovir Disoproxil Fumarate, Cobicistat and Elvitegravir in tablet dosage form. Journal of Population Therapeutics and Clinical Pharmacology, 30(8), 298–311. Doi:https://doi.org/10.47750/jptcp.2023.30.08.032
- 24. P., R. N. L., P. P., M. S. K., R. N., and V. B. RAO M. UPLC Separation Analysis of Emtricitabine, Tenofovir, Cobicistat and Elvitegravir from their Degradation Products. International Journal of Pharmacy and Pharmaceutical Sciences, 2016;8(4):362-9.

Table 1: Results of System Suitability and Validation

Parameter	Parameter Elvetegravir Tenofovir alafenamide fumarate				
USP Plate count	4728.2	8812.4	14571.6	8801.6	
USP tailing	1.04	0.848	0.56	1.02	
Resolution		5.288	4.368	5.386	
Retention time (Min)	0.401	1.039	1.467	2.129	
Linearity range (µg/ml)	37.5-225	2.25-15	50-300	37.5-225	
Correlation coefficient	0.9998	0.9991	0.9997	0.9996	
Slope	15274.79	13051.5	20067.39	14025.42	
Intercept	12663.68	2165.93	63349.1	35270.39	
LOD (µg/ml)	0.45	0.03	0.6	0.45	
LOQ (µg/ml)	1.5	0.10	2.0	1.5	
Flow rate Minus(%RSD)	0.5	1.21	0.45	1.05	
Flow rate plus (%RSD)	1.1	1.59	0.7	0.81	
Mobile Phase Minus (%RSD)	0.76	0.92	0.36	0.32	
Mobile phase Plus (%RSD)	1.08	1.37	0.47	1.0	
Assay	99.21%	99.80%	99.80%	99.84%	

%RSD-Percentage Relative Standard Deviation, LOD-Limit of Detection, LOQ-Limit of Quantification

Table 2: Linearity data

Elvitegravir		Tenofovir		Emtricitabine		Cobicistat	
Conc. (µg/mL)	Peak Area	Conc. (µg/mL)	Peak Area	Conc. (µg/mL)	Peak Area	Conc. (µg/mL)	Peak Area
0	0	0	0	0	0	0	0
37.50	565456	2.5	39337	50	1127459	37.50	572659
75	1174591	5	64104	100	2087563	75	1084453
112.5	1776362	7.5	100437	150	3054598	112.5	1645982
150	2301446	10	132224	200	4068982	150	2182698
187.5	2856479	12.5	168632	250	5137967	187.5	2644593





Divva	Narla and	Nagaraiu	Pappula

							04/450/
225	3 <i>44</i> 3210	1	105622	200	6020620	225	
225	3443ZTU	1 10	1 190032	300	6030639	225	3161526

Conc. – concentration

Table 3: System precision data

Injection		Peak area response of dr		
No	Elvitegravir	Tenofovir Alafenamide Fumarate	Emtricitabine	Cobicistat
1	2339274	132319	4055679	2101286
2	2319553	133247	4072432	2120871
3 2344189		131054	4033387	2112783
4	2305510	130138	4027485	2106874
5	2344115	132055	4042066	2117136
6	2323067	131359	4061679	2121784
Mean	2329284.66	131695.33	4048788	2113455.66
STDEV	15750.96	1084.039	17371.79	8121.14
%RSD	0.6762	0.823	0.429	0.384

STDEV – Standard Deviation, %RSD – Percentage Relative Standard Deviation

Table 4: Method Precision Results

	Elvitegravir		Tenofovir Alafenan	Emtricita	abine	Cobicistat		
Inj. no.	Peak area	Assay	Peak area	Assay	Peak area	Assay	Peak area	Assay
1	2339274	100.9	132319	100.3	4055679	100.2	2101286	99.3
2	2319553	100	133247	101	4072432	100.7	2120871	100.2
3	2344189	101.1	131054	99.3	4033387	99.7	2112783	99.8
4	2305510	99.4	130138	98.6	4027485	99.5	2106874	99.5
5	2344115	101.1	132055	100.1	4042066	99.9	2117136	100
6	2323067	100.2	131359	99.5	4061679	100.4	2121784	100.2
Mean	2329284.66	100.5	131695.33	99.8	4048788	100.1	2113455.66	99.8
STDEV	15750.96	0.695	1084.039	0.844	17371.79	0.45	8121.14	0.372
% RSD	0.6762	0.69	0.823	0.85	0.429	0.45	0.384	0.37

Inj - Injection, STDEV - Standard Deviation, %RSD - Percentage Relative Standard Deviation

Table 5: Results of Intermediate Precision

Inj.		Peak area response of drugs										
No	Elviteg	ıravir	Tenofovir Alafenamide Fumarate		Emtricitabine		Cobicistat					
	Day - 1	Day - 2	Day - 1	Day - 2	Day - 1	Day - 2	Day - 1	Day - 2				
1	2339274	2311234	132319	130430	4055679	4054786	2101286	2118125				
2	2319553	2301328	133247	130320	4072432	4072367	2120871	2114871				





Divya Narla and Nagaraju Pappula

3	2344189	2341384	131054	133461	4033387	4041542	2112783	2127154
4	2305510	2323784	130138	132312	4027485	4035143	2106874	2116245
5	2344115	2333128	132055	132761	4042066	4046357	2117136	2110871
6	2323067	2313354	131359	133124	4061679	4082687	2121784	2127652
Mean	2329284.66	2320702	131695.33	132068	4048788	4055480.3	2113455.66	2119153
STDEV	15750.96	14903.9	1084.039	1366.302	17371.79	18530.85	8121.14	6821.866
% RSD	0.6762	0.64	0.823	1.0345	0.429	0.4569	0.384	0.32

Inj - Injection, STDEV - Standard Deviation, %RSD - Percentage Relative Standard Deviation

Table 6: Results of Recovery studies of Elvitegravir and Tenofovir Alafenamide Fumarate

% level		Elvitegravir					Tenofovir Alafenamide Fumarate				
% level	A.A	A.R	%R	Mean %R ± RSD	A.A	A.R	%R	Mean %R ± RSD			
	75	75.25	100.3		5	4.97	99.4				
50	75	75.9	101.2	100.8 ± 0.45	5	5	100.0	99.3 ± 0.70			
	75	75.69	100.9		5	4.93	98.6				
	150.00	149.71	99.8		10.00	9.91	99.1	99.86 ± 0.93			
100	150.00	149.33	99.6	99.9 ± 0.36	10.00	9.96	99.6				
	150.00	150.38	100.3		10.00	10.09	100.9				
	225	225.49	100.2		15	15.09	100.6				
150	225	222.9	99.1	99.5 ± 0.58	15	15.05	100.3	100.2 ± 0.45			
	225	223.34	99.3		15	14.95	99.7				

A.A:Amount Added, A.R: Amount Recovered, %R: Percentage Recovery, RSD:Relative Standard Deviation

Table 7: Results of Recovery studies of Emtricitabine and Cobicistat

% level		Emtricitabine					Cobicistat				
76 level	A.A	A.R	%R	Mean %R ± RSD	A.A	A.R	%R	Mean %R ± RSD			
	100	99.73	99.7		75	75.92	101.2				
50	100	99.47	99.5	100.0 ± 0.75	75	74.92	99.9	100.4 ± 0.68			
	100	100.85	100.9		75	75.16	100.2				
	200.00	200	100.0		150	149.62	99.7				
100	200.00	200.5	100.3	99.9 ± 0.40	150	150.53	100.4	100.3 ± 0.55			
	200.00	199.08	99.5		150	151.19	100.8				
	300	299.18	99.7		225	223.39	99.3				
150	300	299.61	99.9	99.96 ± 0.30	225	226.28	100.6	99.8 ± 0.68			
	300	301.02	100.3		225	224.1	99.6				

A.A: Amount Added, A.R: Amount Recovered, %R: Percentage Recovery, RSD:Relative Standard Deviation

Table 8: Degradation Data for Elvitegravir & Tenofovir Alafenamide Fumarate

			Elvitegravir			Tenofovir Alafenamide Fumarate		
5	S.No	Degradation Conditions	Peak Area	% Assay	% Degradation	Peak Area	% Assay	% Degradation
	1	Control	2312823	99.9	0.1	131618	99.9	0.1





Divya Narla and Nagaraju Pappula

2	Acid	2193017	94.7	5.2	127512	96.8	3.1
3	Base	2235075	96.5	3.4	126849	96.3	3.6
4	Peroxide	2181507	94.2	5.7	125125	95	4.9
5	Thermal	2209854	95.4	4.5	125856	95.5	4.4
6	Photo	2189412	94.5	5.4	126478	96	3.9
7	Hydrolyis	2220156	95.9	4	128154	97.3	2.6

Table 9: Degradation Data for Emtricitabine & Cobicistat

S.No	Degradation Condition	Emtricitabine			Cobicistat			
5.140		Peak Area	% Assay	% Degradation	Peak Area	% Assay	% Degradation	
1	Control	4045147	100	0	2115254	99.9	0.1	
2	Acid	3966415	98.1	1.9	2022463	95.5	4.4	
3	Base	3951272	97.7	2.3	2042516	96.5	3.4	
4	Peroxide	3891254	96.2	3.8	2012036	95	4.9	
5	Thermal	3894512	96.3	3.7	2027589	95.8	4.1	
6	Photo	3925163	97.1	2.9	2024712	95.6	4.3	
7	Hydrolysis	4006241	99.1	0.9	2034781	96.1	3.8	

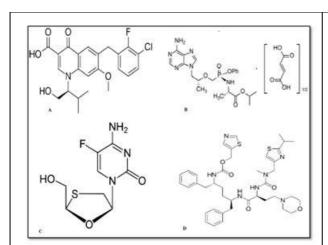


Figure 1: Chemical structures of Elvitegravir(A),
Tenofovir Alafenamide Fumarate (B), Emtricitabine (C)
and Cobicistat (D).

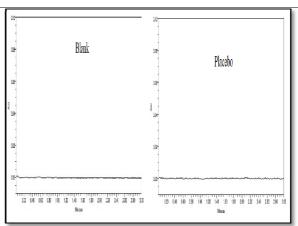
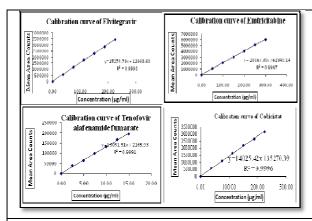


Figure 2: UPLC chromatogram of Blank and Placebo





Divya Narla and Nagaraju Pappula



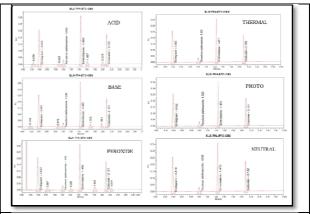


Figure 3: Calibration curves of ELV, TAF, EMT and CBS

Figure 4: UPLC chromatograms of Degradation studies

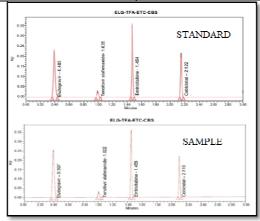


Figure 5: UPLC chromatograms of Standard and Sample





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Technology-Enhanced Language Learning in English Education

Lohans Kumar Kalyani^{1*} and Vandana Bhartiya²

¹Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Ram Manohar Lohia Avadh University), Uttar Pradesh, India.

²Assistant Professor of English, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Ram Manohar Lohia Avadh University), Uttar Pradesh, India.

Revised: 03 Jul 2024 Received: 21 Jun 2024 Accepted: 13 Sep 2024

*Address for Correspondence Lohans Kumar Kalyani

Assistant Professor,

Department of B.Ed.

Shri Lal Bahadur Shastri Degree College,

(Affiliated to Dr. Ram Manohar Lohia Avadh University),

Uttar Pradesh, India.

Email: lohanskalyanilbs@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study explores how technology-enhanced language learning (TELL) is developing in the field of English teaching. It offers a thorough summary of the most recent developments, obstacles, and possibilities influencing the use of technology in English language training. Drawing upon a synthesis of recent research, the paper identifies prominent trends such as the widespread use of mobile applications, online platforms, and digital resources for language learning. Additionally, it examines innovative pedagogical approaches, including computer-assisted language learning (CALL), blended learning models, and gamification strategies, which have gained traction in enhancing language acquisition and engagement among learners. Despite the promising developments, the paper also addresses several challenges hindering the effective implementation of TELL in English education. These challenges encompass issues related to digital divide, access to technology, teacher training, and concerns regarding the quality and appropriateness of digital resources. Furthermore, the paper highlights the importance of addressing socio-cultural and linguistic diversity to ensure inclusivity and equity in technology-mediated language learning environments. Amidst the challenges, the paper underscores the vast opportunities offered by technology in English language education. These opportunities include personalized learning experiences, authentic language practice through immersive simulations, and the facilitation of global collaboration and communication. By seizing these chances and tackling the related obstacles, teachers may fully utilize technology to create engaging and productive English language learning environments for a variety of students.

Keywords: TELL: Technology-enhanced language learning, English Education, technology, training, etc.





Lohans Kumar Kalyani and Vandana Bhartiya

INTRODUCTION

The core purpose of learning English is to interact with others. This aligns perfectly with effective teaching methods, which also emphasize communication. It's uncommon to find a language class devoid of technology, as it's a valuable tool for developing students' real-world communication skills in the new language. Traditionally, Indian high school English classes rely on large groups, teacher-centered grammar-translation methods, and exam-oriented textbook lectures. This decontextualized approach makes it challenging for students to apply their knowledge flexibly in everyday situations. Large class sizes further restrict individual participation and one-on-one communication with teachers or peers. Fortunately, technology has emerged as a powerful tool to both support and enrich language learning. Educators are increasingly incorporating various technologies to enhance their teaching, engage students, provide authentic cultural examples, and establish a connection between their courses. Teachers can also tailor activities and differentiate instruction using technology, meeting the requirements of each student and fostering a more engaging learning environment. Even though technology is becoming more and more important in language learning, the efficiency of this tool ultimately depends on the skills and knowledge of the teachers who oversee and support the classroom.

LITERATURE REVIEW

Technology-enhanced language learning (TELL) has emerged as a dynamic field within English education, offering both opportunities and challenges for language learners and educators alike. This section reviews key literature on trends, challenges, and opportunities in TELL within the context of English education.

Trends in TELL

Numerous trends influencing TELL in English instruction are highlighted by recent studies. The growing use of digital materials, internet platforms, and mobile applications into language training is one notable development (Hubbard, 2018). This pattern illustrates how technology is being used more and more to support language learning outside of traditional classroom environments. Furthermore, studies highlight the significance of implementing cutting-edge pedagogical techniques to improve learner engagement and motivation, such as gamification techniques and computer-assisted language learning (CALL) (Chapelle, 2020). These developments highlight how TELL is changing and how it has the power to revolutionize English language instruction.

Challenges in TELL

TELL has many obstacles that prevent it from being used effectively in English instruction, despite its potential. One significant problem is the "digital divide," which refers to variations in students' access to technology and internet connectivity (Warschauer, 2019). Inequalities in language learning possibilities are made worse by this difference, especially for students from underprivileged families. Concerns over the caliber and applicability of digital resources used in TELL have also been voiced, underscoring the necessity of carefully assessing and choosing technological tools (Kessler, 2016). Additionally, studies indicate that insufficient teacher preparation and assistance in incorporating technology into language learning impede the successful execution of TELL (Levy & Stockwell, 2021). In order to provide fair access to TELL resources and optimize their influence on English language learning objectives, these obstacles must be overcome.

Opportunities in TELL

TELL offers many options to improve English instruction in spite of obstacles. Personalized learning experiences made possible by technological tools present a significant opportunity since they let students customize their language learning path to suit their unique requirements and preferences (Godwin-Jones, 2018). Additionally, TELL facilitates meaningful interactions in real-world scenarios by providing immersive simulations and digital communication platforms for realistic language practice (Meskill & Anthony, 2017). Technology also makes crosscultural cooperation and exchange easier, exposing students to a variety of viewpoints and improving their





Lohans Kumar Kalyani and Vandana Bhartiya

intercultural competency (Thorne, 2020). These chances highlight how TELL can significantly improve English language learners' ability to communicate across cultural boundaries and acquire an effective language.

The literature review emphasizes how TELL is dynamic in English teaching, highlighting its changing patterns, enduring difficulties, and exciting prospects. Teachers may fully utilize TELL to improve English language learning outcomes and advance inclusive and equitable education for all students by tackling obstacles and seizing opportunities.

TECHNOLOGY-ENHANCED LANGUAGE LEARNING (TELL)

Technology-Enhanced Language Learning (TELL) - often called computer-mediated language learning - investigates how technology affects learning a second language. Through the use of computers as a technology instrument, TELL presents multimedia information in addition to the teaching strategies used by language teachers. It's critical to understand that TELL serves as an adjunctive strategy to support current teaching approaches rather than as a standalone teaching style. TELL is closely related to Computer-Mediated Communication (CMC), a field that has been well researched and approved for its effectiveness in supporting students' oral and written foreign language skills. This combination helps students who struggle with language to express themselves both orally and in writing by filling in the gaps in their verbal communication of concepts in the target language. Technology-enhanced language learning makes use of computer hardware, software, and internet resources to improve language learning and instruction. This method covers a range of activities designed to improve language learning, including using portable electronic dictionaries in class, conversing with classmates in English over Instant Messenger, reading news websites, taking part in online discussion boards, finishing computer-based language exercises from the CDs that accompany textbooks, searching words in corpora for contextual usage exploration, immersing oneself in the language through games like World of Warcraft, and texting classmates in English. The concept of TELL is derived from a variety of factors, such as the types of activities (such as fill-in-the-blank, video creation, and chatting), the language skills (reading, writing, speaking, listening, and grammar) that are targeted, the instructional settings (such as blended, distance, and online), and the technological tools that are used (computer, Internet, chat, blog, wiki, gaming, video).

Contemplations on General Learning Resources

When contemplating general learning resources, various factors warrant careful consideration. Decision-makers, including department heads, school board members, and educators, must meticulously assess the content, format, instructional methodologies, evaluation mechanisms, assessment criteria, and treatment of societal issues inherent within these resources. Equally vital are the diverse needs of the intended audience, encompassing considerations such as age, linguistic background, and special educational requirements. Additionally, the purpose, distinctive characteristics, and effective utilization of the available media selections should be thoroughly evaluated. It is imperative to prioritize the strengths of resources over perceived weaknesses, ensuring alignment with educational goals and the diverse learning needs of students.

Content/Format/Design: Considerations for Learning Resources Content

- Ensure accuracy, relevance, and alignment with curriculum objectives.
- Incorporate up-to-date information and authentic materials to enhance learning outcomes.
- Provide a diverse range of content to cater to varying learning styles and preferences.
- Include clear learning objectives and instructional goals to guide learners effectively.

Format

- Optimize readability by using clear fonts, appropriate font sizes, and spacing.
- Organize content in a logical and coherent manner to facilitate comprehension.
- To increase engagement, use multimedia components like pictures, movies, and interactive elements.
- Ensure compatibility with different devices and platforms to promote accessibility for all learners.





Lohans Kumar Kalyani and Vandana Bhartiya

Design

- Create visually appealing layouts that attract and maintain learners' attention.
- Implement user-friendly navigation features to facilitate ease of use.
- Incorporate instructional scaffolding elements such as prompts, cues, and feedback to support learning progression.
- Maintain consistency in design elements throughout the learning resources to enhance coherence and usability.

METHODOLOGY

Educational materials should

- ✓ prioritize experiential learning over passive instruction
- √ facilitate collaborative and independent learning to accommodate individual development
- ✓ advocate for practical, hands-on learning experiences.
- cultivate critical-thinking and decision-making skills through questioning, reflection, and analysis.
- ✓ provide options and adaptability to address individual differences in aptitude, ability, learning preferences, multiple intelligences, and interests.

Appraisal/ Appraisement: Educational materials ought to

- 1. encourages ongoing learning by the learner
- 2. allow for both developmental and conclusive appraisal/evaluation as suitable
- 3. cater to the requirements of the learner

Advantages of Technology-Enhanced Language Learning (TELL)

- 1. **Enhanced Engagement:** TELL provides learners with engaging, multimedia-rich learning experiences that pique their interest and inspire them to participate more.
- 2. **Access to Real-World Language and Cultural Contexts:** TELL provides students with real-world language exposure by giving them access to real-world language resources including podcasts, articles, and videos.
- 3. **Personalized Learning:** To better meet the needs of each learner, TELL systems frequently offer adaptive learning capabilities that enable customized content selection, pacing, and feedback. These elements increase the efficacy of learning.
- 4. **Flexibility and Convenience:** Self-paced learning, asynchronous communication, and remote collaboration are made possible by TELL, which gives students access to language learning resources at any time and from any location.
- 5. **Enhanced Language Skills:**TELL facilitates the development of all language skills—speaking, listening, reading, and writing—through interactive activities, multimedia content, and communication tools, leading to holistic language proficiency.
- 6. **Cultural Awareness:** By exposing students to a range of cultural viewpoints and real-world language usage, TELL promotes intercultural competency and sensitivity.
- 7. **Opportunities for Collaborative Learning:** TELL platforms frequently include social aspects like discussion boards, group projects, and peer evaluation, which encourage meaningful engagement and cooperation among students.
- 8. **Instant Feedback:** TELL technologies frequently offer learners immediate feedback on language exercises and exams, enabling them to track their development, pinpoint areas for growth, and promptly modify their learning approaches.
- 9. **Cost-effectiveness**: TELL might possibly save institutions' and students' overall language learning expenses by eliminating the requirement for traditional classroom materials like textbooks and workbooks.





Lohans Kumar Kalyani and Vandana Bhartiya

10. The TELL program prepares students for success in today's digital workplace by providing them with the digital literacy skills and knowledge necessary to navigate the increasingly linked and digitally advanced world.

Disadvantages of Technology-Enhanced Language Learning (TELL)

- 1. **Technological Problems**: TELL systems may experience internet connectivity challenges, device compatibility problems, or technological hiccups that impede learning and aggravate teachers and students.
- 2. **Digital Divide:** Because TELL depends on having access to the internet and technology, it may widen already-existing gaps in students' access to resources and education, especially for those from low-income families or those who live in rural locations with inadequate infrastructure.
- Possibility for Distraction: When technology is used for language learning, learners may become distracted by non-educational websites, social media, or games, which takes their focus away from language learning goals and tasks
- 4. **Lack of Human Interaction**: The use of technology in education may limit possibilities for in-person meetings and interpersonal connection, which might hinder students' capacity to hone their speaking and listening abilities in authentic settings and build sociocultural competency.
- 5. **Over-reliance on Technology:** Teachers' creativity and adaptability in the classroom, as well as students' capacity to interact with a variety of learning materials and adapt to various learning environments, may be compromised by an over-reliance on TELL tools and resources.
- 6. **Content Quality:** Some TELL materials may not be of the highest caliber or pedagogically sound, which might result in poor learning outcomes, misunderstandings, or the reinforcement of grammatical faults.
- 7. **Limited Feedback and engagement:** Learners' opportunities for meaningful engagement, individualized coaching, and formative evaluation may be limited by automated feedback systems in TELL technologies, which may lack the depth and nuance of feedback given by human instructors.
- 8. **Cultural Insensitivity:** TELL materials may not adequately reflect learners' cultural backgrounds, values, or linguistic varieties, potentially perpetuating cultural stereotypes or excluding marginalized groups from the learning process.
- 9. **Pedagogical Challenges:** Integrating technology into language teaching requires training, professional development, and ongoing support for educators to effectively leverage TELL tools and methodologies, which may pose pedagogical challenges and barriers to implementation.
- 10. **Cultural Insensitivity:** TELL materials might not fairly represent the cultural backgrounds, values, or linguistic diversity of students, which could reinforce cultural stereotypes or discourage underrepresented groups from participating in the educational process.
- 11. Pedagogical obstacles: To effectively use TELL technologies and approaches, educators must get training, professional development, and continuing support. This can provide pedagogical obstacles as well as implementation barriers.

Main Types of Media Used in Technology-Enhanced Language Learning (TELL)

- Interactive Multimedia: Interactive multimedia tools use a range of media elements, such as text, graphics, audio, video, and animations, to provide students with dynamic, immersive language learning experiences. Multimedia language classes, online interactive exercises, and interactive language learning apps are a few examples.
- Digital Texts and E-books: These resources give students access to a variety of digital reading materials in the language of instruction, such as online articles, e-textbooks, and e-readers. Frequently, these tools provide functionalities like multimedia improvements, notes, and dictionary look-up to aid in language acquisition and understanding.
- 3. Audiovisual materials: Videos, podcasts, and audio recordings are examples of audiovisual materials that provide learners with real-world language exposure, exposing them to pronunciation, intonation, natural language use, and cultural nuances. Activities including speaking practice, listening comprehension, and cultural knowledge can all benefit from these tools.





Lohans Kumar Kalyani and Vandana Bhartiya

- 4. **Online Communication Tools:**With online communication tools like email, chat rooms, discussion forums, and video conferencing platforms, students may work together and communicate in real time with classmates, teachers, and native speakers of the language they are studying. These tools facilitate language practice, interaction, and cross-cultural communication in authentic communicative environments.
- 5. Virtual Reality (VR) and Augmented Reality (AR): These technologies put students in simulated settings where they can communicate with virtual characters, scenarios, and objects in the language of instruction. Applications for VR and AR provide chances for language use in context-rich environments, cultural inquiry, and experiential learning.
- 6. Gamified Learning Platforms: To encourage students and improve participation in language learning activities, gamified learning platforms make use of game-based components like challenges, rewards, and progress tracking. In order to reinforce language skills and concepts, these platforms frequently include role-playing games, quizzes, simulations, and language learning games.
- 7. **Social Media Platforms and Social Networking Sites:** Through online discussions, teamwork, and peer evaluation, language learners can connect with peers, exchange language-related resources, take part in language learning communities, and practice their language skills.
- 8. **Online Language Learning Platforms:** Via web-based interfaces, online language learning platforms provide thorough language courses, tutorials, exercises, and evaluations. To facilitate customized language learning routes, these systems frequently include adaptive learning algorithms, personalized feedback, and progress tracking tools.

CONCLUSION

The investigation of technology-enhanced language learning (TELL) in the context of teaching English reveals a changing environment with potential that are bright and obstacles that never go away. The incorporation of technology in language education has resulted in inventive teaching methods, improved involvement of learners, and refined language acquisition encounters. But issues like the digital divide, worries about the caliber of digital resources, and the requirement for support and training for teachers continue to be major obstacles to the successful implementation of TELL. Even with these difficulties, there are several of ways that TELL may support individualized education, develop intercultural competency, and get students ready for the digital age. Teachers may fully utilize TELL to improve English language learning outcomes and advance inclusive and equitable education for all students by tackling obstacles and seizing opportunities. To ensure that technology continues to be an effective tool for improving English education and enabling students to succeed in a worldwide society, stakeholders must work together, be creative, and adjust to the evolving TELL landscape.

REFERENCES

- 1. Warschauer, M. (1997a). Computer-mediated collaborative learning: Theory and practice. *The Modern Language Journal*. 81(4),470-481.
- 2. Bush, M. & Roberts, T. (Eds.) (1997). *Technology-enhanced language learning*. National Textbook Company: Illinois.
- 3. Singhal, M. (1997). The Internet and Foreign Language Education: Benefits and Challenges The Internet TESL Journal, 3(6).
- Hanson-Smith, E. (1997). Technology in the classroom: Practice and promise in the 21st century. TESOL Publications Inc





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

DIKSHA as a Catalyst for Technology Integration in Indian Classrooms

Lohans Kumar Kalyani*

Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Rammanohar Lohia Avadh University), Ayodhya, Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Lohans Kumar Kalyani

Assistant Professor, Department of B.Ed, Shri Lal Bahadur Shastri Degree College, (Affiliated to Dr. Rammanohar Lohia Avadh University), Ayodhya, Uttar Pradesh, India.

Email: lohanskalyanilbs@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

DIKSHA, the Digital Infrastructure for Knowledge Sharing, has emerged as a transformative force in Indian education, catalyzing the integration of technology in classrooms. Launched by the Government of India, DIKSHA aims to enhance the quality and accessibility of education across diverse settings. This abstract provides a concise overview of DIKSHA's impact on technology integration in Indian classrooms. DIKSHA plays a pivotal role in digitizing educational content, offering a comprehensive repository of textbooks, videos, simulations, and assessments aligned with national curriculum standards. It empowers teachers through professional development modules and collaborative platforms, fostering innovative pedagogical practices. The platform's emphasis on inclusivity is evident in its provision of content in multiple languages, addressing India's linguistic diversity. The impact of DIKSHA extends to the transformation of classroom dynamics, enabling teachers to adopt interactive and engaging teaching methods. Students benefit from personalized and self-paced learning experiences, cultivating a deeper understanding of subjects. However, challenges such as infrastructural disparities and digital literacy gaps persist, necessitating ongoing efforts to ensure effective implementation. DIKSHA stands as a catalyst for technology integration in Indian classrooms, revolutionizing teaching and learning practices. As the platform evolves, addressing challenges will be crucial to sustaining its positive impact on the nation's education landscape.

Keywords: DIKSHA. Digital Learning, ICT, Knowledge, etc.





Lohans Kumar Kalyani

INTRODUCTION

All pupils could benefit greatly from high-quality learning experiences thanks to ICT integration in the classroom. A wide range of technologically advanced tools and platforms are available to educators in India to help them work together, maximize resource sharing, and create dynamic learning environments. Students can now acquire knowledge and skills despite socioeconomic and geographic constraints because to the government's "Digital India" push and the widespread use of the internet. As a single digital platform for instructors, the Ministry of Human Resources and Development's DIKSHA program is a noteworthy contributor to this ecosystem of digital education. Through the use of scalable digital infrastructures, DIKSHA gives educators the ability to produce content, obtain resources, and interact with a larger learning community. Especially noteworthy is DIKSHA's contribution amid the interruptions caused by the COVID-19 epidemic, enabling remote learning through creative state programs and accelerating the adoption of technology in education. This platform continuously expands its content through digital methods and offers flexible, tailored learning at the learner's pace. This guide provides a thorough implementation of DIKSHA in schools by providing information on curriculum development, teacher preparation, infrastructure readiness, and leadership. It gives administrators the means to establish a nurturing atmosphere that guarantees educators and learners can make the most of DIKSHA's resources. These recommendations facilitate a collaborative effort towards an improved digital learning experience and are of great value to a wide range of stakeholders, including teachers, parents, students, school administrators, and teacher educators.

DIKSHA Platform

The Digital Knowledge Sharing Infrastructure, or DIKSHA, is a national platform that was started in 2017 by the Ministry of Education's National Council for Education Research and Training (NCERT). It acts as a central location for a wide range of curriculum-linked e-content that is designed to satisfy the requirements of teachers and students in every state and union territory. DIKSHA is available on multiple digital platforms, including laptops, smartphones, desktops, and tablets. It utilizes QR-coded Energized Textbooks to facilitate easy engagement. Its many features, which include news updates, instructor profiles, assessment tools, in-class resources, teacher training materials, and communication channels, all work together to create a dynamic teacher community. With support for more than thirty languages, DIKSHA conforms to the national curriculum standards for grades 1 through 12 as set forth by NCERT, CBSE, and SCERT. Additionally, the platform gives every state and UT the freedom to design programs specifically for their teachers and students, allowing them to personalize the DIKSHA experience to meet their specific requirements. The primary objective of DIKSHA is to function as a clearinghouse for Open Educational Resources (OERs), meeting the needs of the ever-changing educational environment and benefiting teachers and students in equal measure.







Lohans Kumar Kalyani

DIKSHA's (National Teachers Platform) significance

The National Teachers Platform, or DIKSHA, is significant because of its core design philosophy, which places teachers first in both use and development. In the field of teacher education, DIKSHA becomes a facilitator, accelerator, and amplifier of creative ideas as a result. Its versatility allows states, governmental agencies, and commercial organizations to effortlessly integrate DIKSHA into their own teacher-centric programs, adjusting its use according to their distinct aims, requirements, and capacities. Moreover, DIKSHA broadens its scope to meet the educational demands of students rather than concentrating just on the pedagogical components for educators. By giving children nationwide access to NCERT texts and curricula that are in line with the regular school curriculum, DIKSHA guarantees that their education is both uniform and enriching. This inclusive approach underlines DIKSHA's role in establishing a collaborative educational ecosystem, where many stakeholders can actively participate, contribute, and harness the potential of a unified platform to reach educational objectives on a national scale.

DIKSHA e-learning Portal Advantages

The DIKSHA e-learning platform has numerous benefits to offer. Touted as the National Digital Infrastructure for Teachers, DIKSHA serves as a complete instrument that equips educators around the country with state-of-the-art digital technology, covering every stage of the teacher's career. When it comes to student involvement, DIKSHA makes it easier for instructors and students to communicate directly, which promotes a more complex and interactive grasp of different concepts. In addition to improving learning, this interactive method gives students a chance to ask questions of their teachers one-on-one and get their questions answered. In addition to providing parents with access to personalized sessions with teachers after regular school hours, the portal also helps parents stay informed about what their children are doing in class. The diverse advantages of DIKSHA highlight its essential role in transforming the educational system and guaranteeing the engagement and holistic growth of educators, learners, and parents.

What The Platform Offers?

The NTP Offers are:

- Extensive courses for teacher training that address a variety of topics, including learning objectives, Continuous and Comprehensive Evaluation (CCE), and more.
- A wealth of instructional materials, such as carefully thought-out lesson plans, thought-provoking films, and deftly created worksheets, all in line with the recommended curriculum.
- Tailored exams for teachers, providing insights into their strengths and areas that demand improvement.

Teachers may access this educational content offline with ease thanks to our user-friendly site, using their cellphones, tablets, or other devices whenever it's convenient for them, no matter where they are. Moreover, the content is carefully matched to the curriculum and tailored to the needs of local languages, guaranteeing its applicability and efficacy in a variety of educational settings.

Objective

The platform's objective is to act as a storehouse for Open Educational Resources (OERs), giving teachers and students a place to collaborate. The aim is to establish the platform as a collaborative area for a wide spectrum of education stakeholders, such as academics, experts, governmental agencies, independent schools, non-governmental organizations, and commercial enterprises. These organizations are urged to actively participate, make contributions, and utilize the platform's combined capabilities in order to achieve the country's broad learning goals. The Indian government emphasizes its function as a comprehensive and inclusive hub of educational resources, and sees it as a uniting "One Nation One Platform" for school education.

Features

• The portal includes curriculum-aligned worksheets, concept videos, and lesson plans, among other educational resources. In order to foster a cooperative teacher community, it also has news updates, instructor profiles, assessment tools, teacher training materials, and communication features.





Lohans Kumar Kalyani

- The materials can be accessed through online and offline platforms, supporting smartphones, tablets, and other devices. They are customized to meet curricular requirements and are available in local languages.
- It provides users with access to an extensive range of curriculum-linked electronic content via many platforms, such as QR-coded Energized Textbooks (ETBs).
- Progress Monitoring Chart.
- The platform's user interactions provide extensive, anonymized data that helps administrators, teachers in the state of Utah, and content developers successfully plan programs and interventions.

Expected Outcomes

- Offering educators personalized and customized professional development opportunities at any time and place.
- Encouraging teachers to share resources effectively, maximizing their time and efforts.
- Provision of a tailored workplace for teachers, supporting in planning and monitoring their progress.
- Establishment of a complete platform, facilitating seamless contact among all parties.
- Standardized observation tools are being implemented on the platform, providing data to stakeholders to support ongoing training, teacher assistance, and analytical projects.

How will Teachers benefit?

DIKSHA, the National Digital Infrastructure created specifically for educators and easily accessible via a mobile application, has a lot to offer teachers. With so many lesson ideas, worksheets, and interesting exercises, it makes for a fun learning environment in the classroom. Teachers have a rare opportunity to fully comprehend their professional trajectory with the help of this portal. Teachers can map their career progression and skill improvement from their first days of school till retirement. Thus, this cutting-edge platform equips educators with resources for both short-term classroom impact and long-term career planning.

Advantages for Teachers

- Find engaging and interactive learning materials to improve student participation in the classroom.
- Examine and discuss with other teachers the most efficient ways to explain difficult subjects.
- Enroll in classes to further your career development, and when you finish, you can receive certifications and badges.
- Examine the lessons you have learned over your time working as a school teacher.
- Follow the State Department's official announcements to stay informed.
- Use digital tests to see how well your pupils understand the material that has been taught.

How will Students benefit?

Access to the DIKSHA app equips students with the ability to grasp concepts effortlessly and interactively. The app incorporates features that enable comprehensive lesson revisions, ensuring a thorough understanding. Furthermore, students can evaluate their learning independently through self-assessment practice exercises, enhancing their overall comprehension and mastery of the taught material.

How will Parents benefit?

Parents, with the DIKSHA app on their mobile devices, gain the ability to monitor classroom activities and address queries outside regular school hours. This platform serves as a comprehensive tool for seamless interaction among all stakeholders, ensuring a hassle-free experience for parents to stay informed and engage with their child's educational journey.

Benefits for Students and Parents.

- Easily access associated lessons on the platform by scanning QR codes in your textbook.
- Review and reinforce classroom-learned lessons.
- Explore supplementary materials on challenging topics for better understanding.
- Engage in problem-solving practice with instant feedback on the correctness of the answers.





Lohans Kumar Kalyani

Top of Form

•

· Usefulness of Diksha Portal in the current education system

The Diksha Portal stands as a cornerstone in the contemporary education system, offering multifaceted utility that significantly transforms the teaching and learning landscape. One of its primary strengths lies in serving as a centralized hub for educational resources, providing teachers with a wealth of digital tools and content to enhance their pedagogical practices. From comprehensive training modules on various educational methodologies to an extensive repository of teaching resources such as lesson plans, concept videos, and worksheets, the Diksha Portal empowers educators with a dynamic toolkit. Moreover, the platform plays a pivotal role in assessing teachers' proficiency, offering personalized insights into their strengths and areas for improvement. This feature contributes to ongoing professional development, fostering a culture of continuous improvement among educators. The Diksha Portal's user-friendly interface ensures accessibility for teachers, allowing them to engage with educational material offline on their smartphones, tablets, or other devices, promoting flexibility in learning. In the context of the broader education system, the Diksha Portal facilitates direct interaction between teachers and students, fostering a more interactive and engaging learning experience. It supports a blended learning approach, enabling students to access digital resources aligned with the curriculum, promoting a deeper understanding of concepts. Furthermore, the Diksha Portal enhances parental involvement by providing a window into classroom activities and opportunities for one-on-one sessions with teachers. This aspect bridges the communication gap between educators and parents, creating a collaborative educational environment. The Diksha Portal's usefulness in the current education system extends far beyond being a repository of digital resources. It serves as a catalyst for innovation, professional growth, and improved learning outcomes, contributing significantly to the evolution of a dynamic and responsive educational ecosystem.

CONCLUSION

The research sheds light on the transformative role of DIKSHA as a catalyst for technology integration in Indian classrooms. The platform stands as a pioneering force, driving a paradigm shift in the traditional educational landscape by seamlessly blending technology with pedagogy. Through its comprehensive offerings, ranging from teacher training modules to a rich repository of teaching resources, DIKSHA emerges as a dynamic tool empowering educators across the nation. DIKSHA's significance is not only confined to enriching the professional lives of teachers but extends to fostering interactive and engaging learning experiences for students. The platform's ability to facilitate direct teacher-student interaction, coupled with access to digital resources aligned with the curriculum, positions it at the forefront of the digital revolution in Indian education. The research underscores the crucial role of DIKSHA in addressing the diverse needs of the education system. Its adaptability to offline access ensures that teachers can seamlessly engage with educational material, overcoming infrastructural challenges. Moreover, the platform's emphasis on assessments for teachers contributes to their continuous professional development, creating a culture of ongoing improvement. Beyond the classroom, DIKSHA promotes parental involvement by providing insights into classroom activities and avenues for personalized interactions with teachers. This not only bridges the gap between educators and parents but also fosters a collaborative approach to a child's education. As technology continues to evolve, DIKSHA stands as a beacon, guiding the education sector toward a more inclusive, flexible, and innovative future. Its success as a catalyst for technology integration reflects a collective commitment to leveraging digital tools to enhance the quality of education in India. The research underscores the need for sustained efforts in harnessing technology to address educational challenges, with DIKSHA serving as an inspiring model for effective technology integration in Indian classrooms. Ultimately, DIKSHA emerges not merely as a platform but as a transformative force propelling Indian education into a digitally empowered era.





Lohans Kumar Kalyani

REFERENCES

- https://diksha.gov.in/about/
- 2. chromeextension://efaidnbmnnnibpcajpcglclefindmkaj/https://www.education.gov.in/sites/upload_files/mhrd/files/pragyata-guidelines_0.pdf
- 3. https://pmevidya.education.gov.in/diksha.html
- 4. https://www.india.gov.in/spotlight/diksha-national-digital-infrastructure-teachers
- 5. https://www.jagranjosh.com/general-knowledge/diksha-e-learning-platform-1611825987-1
- 6. https://ciet.ncert.gov.in/initiative/diksha
- 7. https://diksha.gov.in/
- 8. https://pib.gov.in/PressReleasePage.aspx?PRID=1849885
- 9. https://ciet.nic.in/dikshatraining.php
- 10. https://basiceducation.up.gov.in/en/page/diksha
- 11. https://testbook.com/articles/diksha-digital-infrastructure-knowledge-sharing





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Exploring the Interdisciplinary Nature of Natural Sciences Architecture Education and Profession

Gayatri Desai1* and Parag Narkhede2

¹Assistant Professor, Department of Architecture, B.K.P.S. College of Architecture, (Affiliated to Savitribai Phule Pune University), Pune, Maharashtra, India.

²HoD, Department of Architecture, B.K.P.S. College of Architecture, (Affiliated to Savitribai Phule Pune University), Pune, Maharashtra, India.

Revised: 28 Aug 2024 Received: 08 Aug 2024 Accepted: 17 Sep 2024

*Address for Correspondence Gayatri Desai

Assistant Professor, Department of Architecture, B.K.P.S. College of Architecture, (Affiliated to Savitribai Phule Pune University),

Pune, Maharashtra, India. Email: gayatri@bkps.edu



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Architecture is a highly interdisciplinary field that draws inspiration from a wide range of natural and social sciences. Architecture and natural science have a significant influence on the construction of structures and the surrounding environment. Natural science is a branch of study that combines the understanding of the physical world through applicable elements of physics, chemistry, biology, earth science, or astronomy, among other disciplines, according to Guo (2021). Architecture is a subject that includes a multitudinous sphere as its foundation and is associated with the processes of planning and designing as well as the construction of human-made structures. Now, there is a requirement to have a wide understanding of numerous factors, such as ornamental and utilitarian functions and disassemblability. The modern architectural profession is at the crossroads due to innumerable impacts that have been introduced to the profession by the natural and social sciences. The application of scientific perspective in architecture education and profession means that the aim is to promote the proper growth of a structure that is strong, durable, and good-looking. It allows architects to create more profound and satisfying shapes, as well as worth and lasting space. This research paper aims to look at the cross-cutting nature of natural sciences in architecture education and practice with special reference to the construction and design of sustainable, energy-efficient, safe, and stable structures

Keywords: -Architecture, Natural Science, Sustainability, Energy Efficiency, Safety, Stability





Gayatri Desai and Parag Narkhede

INTRODUCTION

The study of natural sciences entails observing, experimenting, and using theories to investigate nature. The laws that govern the universe and the Earth, where various disciplines are to be identified as counterparts or reflections. Natural science includes the following disciplines: These are the following disciplines of knowledge: physics, chemistry, biology, earth science, and astronomy. These fields are related to major components of architecture, as illustrated in figure 1 below. The potential and decision-making of the natural science, which architects need to comprehend, concerns its working. The procedure used in architectural design commences with site planning, in which earth science is taken into account. The type of land, its fertility, terrain and grading, climatic conditions, and the sky are all important considerations. After analyzing all these terms, design is arrived at, or defined, in other words. For such purposes as laying the foundation and beginning to construct, the characteristics of the land's geology are crucial.

Also, the design process entails planning of particular spaces that should have natural lighting and airflow as per the need and use of the space. To implement well-thought-out decisions, the direction of the sun, changes in seasons, and wind have to be researched. Further in the process of building, physics also plays a vital role in the determination of the structural integrity as well as the materials to be applied in the building process. This can be regarded as a concept that has sustainability at its core: biophilic design, which can be defined as designing with nature. As a result, biophilia helps to keep not only the indoor but also the external environment comfortable and effective.

PHYSICS

Physics can be defined as a branch of natural science that encompasses the qualities of matter and energy. Concepts of and behaviour under these circumstances are refereed. Thus, the building physics can be considered as having a significant impact on the design phase. Building Physics as a part of architecture focuses on the heat and moisture behaviour of the construction materials and structural components, indoor and material moisture, noise and hearing comfort, thermal and daylighting design, mechanical ventilation, energy aspects, and structures. However, practical complications are the principal issues that arise with the contemporary development of architecture in terms of spectacular structures with large cantilevers, various shapes, heights, and new parametric constructions. The concepts of such forms of creativity entail well-measured structuring of physics in such structures. Architecture and design of the columns, beams, and walls of the building are done with the help of principles of physics by structural engineers. There are concerns about providing weight bearing and support for inward and outward forces such as wind pressure, earthquakes, and snow load.

CHEMISTRY

Chemistry is a branch of sciences that deals with the nature, structure, and behaviour of substances, and being one of the fundamental sciences, it plays a major role in the advancement of architectural design because it influences the creation of construction materials and technology. It can thus be argued that the field of chemistry takes on a rather central function in determining the strength, durability, and sustainability of the structures being built. To ensure that correct materials are used in the construction of a given structure, architects are obliged to put into consideration information from chemistry, including location and climate of the area the building is to be constructed in, strength of the given material, ability of the material to combat corrosion, and durability. They also need to know what chemically is going on in the building to ensure that the structure of the building stays intact.

1. For example, concrete, which is the most commonly used building material all over the world, is created through a mixture of aggregates, water, and cement. Some of the technical factors, including setting time, strength of the cement, and workability, are some of the important chemical properties of cement related to the quality of the final product. In addition, the chemical composition of the particles in concrete has an effect on every aspect of the material, including its strength, durability, look, and texture.





Gayatri Desai and Parag Narkhede

- 2. Steel, a commonly used construction material, is made up of iron, carbon, and several alloying elements. The strength, ductility, and corrosion resistance of steel are determined by its chemical composition, resulting in a material that is both structurally versatile and durable.
- 3. Contemporary building significantly depends on glass, which is produced by subjecting silica and other components to extreme heat. A glass's chemical composition influences its thermal properties, strength, color, and transparency. Because its composition may be changed, several forms of glass with varying attributes have been developed.
- 4. Chemicals are utilized in almost every aspect of building construction and maintenance, including adhesive, waterproofing chemical and sealants used to keep basements dry and roof membranes that reflect light and keep roofs cool.
- 5. The building and design industry has been able to develop a diverse array of high-performance materials and products that can assist in the resolution of a variety of issues, including the mitigation of the effects of climate change, the enhancement of the health and wellness of building occupants, the enhancement of energy efficiency, and the resistance of buildings to natural disasters, as a result of advancements in chemistry and materials science.

In conclusion, the quality and sustainability of structures are significantly influenced by the chemical properties of building materials, rendering chemistry a critical element of architecture. The future of the field can be influenced by the collaborative development of new and improved construction materials and technologies through continuous research and innovation.

BIOLOGY

Biology is increasingly impacting design processes as a scientific discipline. This has led to a shift in design methodologies towards a novel hybrid framework in which architects have made an effort to mimic nature by grasping every opportunity to create more effective solutions. In recent years, there has been significant progress in the use of bio- mimicry, mostly because to the rapid development of technology. Architecture has an influence taken from the works of nature, and these architects have come up with buildings that resemble the existing surroundings. To rehearse non-summarised inspiration in the outline, one can notice the use of natural forms and materials, as well as the spaces that are part of the landscape.

- 1. Biomimetic is defined as the use of biological principles and structures inherent in living organisms for the purpose of designing, adapting, or deriving new technologies or products, according to the present study.
- 2. It is not the first time that architecture takes ideas from biology, and one can remember the ancient Greeks and Romans that used the motives of the leaves in the friezes of their constructions, the Art Nouveau, and Frank Lloyd Wright, who wanted to create a transformation between his constructions and nature.
- 3. Biology is applied by architects in the construction of structures that are considered efficient in terms of energy and features that are sustainable in the environment. They apply knowledge of the relationship between organisms and their surroundings in order to ensure that the building does not have a terrible effect of the physical environment it is built in.
- 4. They are found in ourselves, in other living organisms, in the natural formation, and basically in art worldwide; even in the proportions of the universe, big and small, the measures are in the golden rectangle. For the ancient philosophers, it was extremely significant in spite of the fact that their perception of proportions was quite different and limited; according to them, they were divine, and moreover, they simply look good. However, one must acknowledge the fact that architects incorporated the principles of the golden ratio in designing structures way before the discovery. You can see the impact of this isolated ratio in ancient temples, medieval cathedrals, and early 20th-century buildings.
- 5. Thus, the confrontation of biological and technological aspects into the architecture settles an actually unexampled idea and a mentality in architecture. Biodesign, or biofabrication, is a branch that uses biological processes to provide architectural solutions that are much more sustainable, adaptable, and innovative.





Gayatri Desai and Parag Narkhede

6. In regard to the sub-discipline of biology, ecological studies are a sub-discipline that carries immense importance in the field of architecture in contemporary society. Said to be defined by the Oxford English Dictionary as 'the branch of biology,' this focuses on the relationship of alive organisms with their environment.

EARTH SCIENCES

This article has also mentioned earth science as one of the scientific disciplines with the general responsibility of studying the earth and its attributes and activities. It encompasses geology, palaeontology, environmental studies, seismology, geography, and all is classified as earth science. When one studies the earthly sciences regarding territoriality, one gets the chance to see the scale of territoriality that modern architectural practice assumes. Such cases include the current half of the growing world populations living in only one percent of the geographical land, and in other cases, such as populations dwelling in flood plains, coastal planes, or up hillsides, contingencies that result in cyclic operations of the atmosphere and the surface being dangerous for several varieties of catastrophe. Natural materials have been commonly employed in architecture due to their abundant availability. Stone, lime, and clay are readily available in several regions around the globe, rendering them a rational selection for construction materials.

- 1. Numerous natural substances exhibit the qualities of being resilient and having a prolonged lifespan. Stone is a robust and long-lasting substance that can endure the effects of weathering and erosion, making it a very suitable option for constructing foundations and walls.
- 2. Geology plays a crucial role in construction as it occurs either on the surface or underground. The excavation process and foundation type are determined by the ground conditions. Therefore, the study of geology and earth sciences significantly impacts the majority of construction operations as it plays a crucial role in determining its characteristics, form & structure, and expenses of construction.
- 3. The use of contours and terrain is done in landscaping, basement designing and level differences in architecture.
- 4. The study of earth sciences also help the architects and engineers in designing and constructing the structure by providing different factors such as building, water resource development, and urban planning. It provides information on site conditions and material attributes.
- 5. Seismic zones are utilized to provide design guidelines for structures and infrastructure. The earthquake zoning map is important tool used by Architects and engineers to devise structures that are capable of withstanding earthquakes.
- 6. Understanding vernacular architecture highlights the influence of local climate, culture, and resources on design. For instance, the construction of igloos in Arctic climates used the stacking of snow blocks to form curved structures that provided insulation.
- 7. Laterite is a reddish stone that is created from the compaction of red soil located on the western coastline of India. Laterite stone is widely used in Konkan region of Maharashtra in India, as it is readily available and suitable for the climate.

ASTRONOMY

The fields of architecture and astronomy have had a profound and interconnected relationship since prehistoric times. Astronomy is an interesting branch of natural science that focuses on the study of celestial bodies such as the moon, planets, stars, and universes. It also involves the exploration and understanding of various phenomena that occur beyond Earth's atmosphere. Numerous ancient civilizations have made astronomy their primary scientific focus. Over the years, the study of buildings and their layout has become an integral part of the field of astronomy.

- 1. In ancient civilizations, the study of astronomy involved a thorough investigation of celestial objects and their paths in the sky, as well as the effects of these paths on living beings on Earth. That is the main source from which the majority of individuals have derived their religious traditions. Even now, our religious structures are constructed based on the astronomical arrangement of stars.
- 2. The connection between astronomy and building may be traced back to ancient times. Throughout history, global observatories have been established by monarchs and people. Architecture may be utilized as a means to understand astronomy. Examples such as the pyramids of Egypt, the Jantar Mantar, and Stonehenge, etc.





Gayatri Desai and Parag Narkhede

- 3. Astronomy stands to gain significant advantages from well-planned architectural environments. Every aspect of our daily existence, including rituals and calendars, is intricately connected to astrology. The government is currently seeking to develop advanced observatories.
- 4. Climatology is a discipline of astronomy that studies atmospheric science. The practice of orienting and positioning dwellings in accordance with the sun's path and calculating azimuth angles may be traced back to ancient astrology.
- 5. VastuShashtra is the study of astrology and climatology and it is commonly used in the area of design and construction. It is an old Indian architectural and design approach that prioritizes a harmonious relationship between a building and its occupants.

The structure can be balanced by aligning it with the natural elements and energy forces that surround it. Ancient Indians developed concepts of living in harmony with nature or the natural way of living with the environment.

CONCLUSION

As an interdisciplinary profession, architecture requires architects to have a comprehensive understanding of numerous subjects within the Arts and Sciences. Architects play an important role in the design process by integrating their knowledge of Biology, Chemistry, Physics, Earth sciences, and Astronomy into their designs. As a result, architects must have a good understanding of the natural sciences as a prerequisite for their profession. During the study of architecture, the curriculum primarily incorporates natural sciences into different architectural courses. A thorough understanding of the natural sciences significantly enhances building design solutions, making them more comprehensive, inclusive, and environmentally relevant. In order to make this knowledge of natural sciences applicable to the profession, the students require appropriate guidance on the best way to effectively employ the knowledge.

REFERENCES

- 1. Bera, Ar. Tania. (2019). An Overview of Vernacular Architecture in India.
- 2. Brown, R., & Maudlin, D. (n.d.). Concepts of Vernacular Architecture.
- 3. Dickinson, S. (n.d.-b). Architecture and Biological Systems. University of Arizona.
- 4. Hunt, A. (2015). Chemistry and the building process for architects. Continuing education, 1, 1.
- 5. Bell, F. (2009). Geology and Construction. In Encyclopedia of Life Support Systems (EOLSS). https://www.eolss.net/sample-chapters/C01/E6-15-09-08.pdf
- 6. Guo, R. (2021). Cross-border studies as an interdisciplinary science. In Elsevier eBooks (pp. 57–86). https://doi.org/10.1016/b978-0-323-91870-1.00003-3
- 7. Langenbach, R. (2016). What we learn from vernacular construction. In Nonconventional and Vernacular Construction Materials. Elsevier. http://dx.doi.org/10.1016/B978-0-08-100038-0.00001-9
- 8. Gayatri D, & Narkhede, P (2019, March 1). Formulation of Climate Responsive DesignGuidelines for Beach Resorts Using Vernacular Architecture. International Journal of Engineering Research, 8(1), 286.
- 9. Asvadiya, N. D., & Patel, C. (2022). Astronomy and Architecture a Study of the Cultural and Symbolic Dimension. International Journal of Innovative Research in Engineering & Management (IJIREM), 9(2), 257–262. https://doi.org/10.55524/ijirem.2022.9.2.37
- 10. Web page": Available from: Open Source Repositoryhttps://royalrussellsteapot.wordpress.com/2020/06/02/how-do-laws-of-physics-apply-to-architectural-designs/ (Accessed 20 June 2024)
- 11. Web page": Available from: Open Source Repositoryhttps://www.archisoup.com/studio-guide/physics-in-architecture#:~:text=Environmental%20Physics%3A%20Knowledge%20of%20light,building%20with%20optimal%20natural%20lighting. (Accessed 08 July 2024)
- 12. Web page": Available from: Open Source Repositoryhttps://www.re-thinkingthefuture.com/fresh-perspectives/a5162-what-role-does-physics-play-in-architecture/#google_vignette (Accessed 11 July 2024)





Gayatri Desai and Parag Narkhede

- 13. Web page": Available from: Open Source Repositoryhttps://www.re-thinkingthefuture.com/fresh-perspectives/a5162-what-role-does-physics-play-in-architecture/ (Accessed 12 July 2024)
- 14. Web page": Available from: Open Source Repositoryhttps://www.archisoup.com/does-architecture-require-chemistry#:~:text=In%20architecture%2C%20chemistry%20plays%20a,safety%2C%20and%20sustainability%20of%20structures.> (Accessed 12 July 2024)

Material Science (physics & chemistry) Environmental science (Earth science and biology)

Structural Engineering (Physics)

Biophilic design (biology)

Climatology (Astronomy and earth science)

Figure 1: Principle of Architecture and its connection with disciplines of Natural science





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

A Comparison of Two-Warehouse Inventory Model under Various Scenario

S. Anusuya Baggiyalakshmi^{1*} and M. Maragatham²

¹Research Scholar , PG and Research Dept. of Mathematics, Thanthai Periyar Government Arts and Science College (Autonomous), (Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

²Associate Professor of Mathematics, PG and Research Dept. of Mathematics, Thanthai Periyar Government Arts and Science College (Autonomous), (Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

S. Anusuya Baggiyalakshmi

Research Scholar, PG and Research Dept. of Mathematics,

Thanthai Periyar Government Arts and Science College (Autonomous),

(Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

Email: anusuya1997bhc@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study focuses on analysing a dual-warehouse inventory model characterized by distinct Holding cost and deterioration quantity. In the rented warehouse, the holding costs are notably high, while the deterioration rate remains relatively low. Conversely, the own warehouse features low holding costs juxtaposed with a high deterioration rate.

Keywords: Dual-warehouse, comparison model, Deterioration rate.

INTRODUCTION

In [1] he discusses about production model where the production is depended on the demand. In [2] the model is for deteriorating items, where all items are not good for all time which is more relate to the real life situation.[3] this is a two warehouse inventory model with a ramp type demand [4] is also a two warehouse inventory model but with production type [6] and [7] also a two warehouse model with exponential demand and first in and first out model respectively this FIFO means that the product are consumed first when they come first. In[9] and [10] the model is for increasing demand this is for newly launched products in the market where it will get a high demand [11] gives variable holding cost which helps for our model to bult. By this we are taking the real life situations as conditions and we bult this model.





Anusuya Baggiyalakshmi and Maragatham

Assumptions

- $D(t) = a + bt + ct^2$ this is demand which is quadratic.
- ❖ No repair is done in the cycle.
- Only one item is considered.
- Both Own warehouse and Rented warehouse has a limited capacity with N and Q units respectively
- Shortages are not allowed.
- Two type models are taken for consideration.

Notations:

- \bullet C_o : Ordering Cost
- \bullet C_d : Deterioration Cost
- h_r : Holding Cost for Rented Warehouse
- h_0 : Holding Cost for Own Warehouse
- \bullet θ_1 : Deterioration Rate of Rented Warehouse
- \bullet θ_2 : Deterioration Rate of Own Warehouse

Mathematical Model: Model: I

This is the normal traditional model. Items in the rented warehouse is consumed first due to the holding cost of Rented warehouse is higher than the holding cost of the Own warehouse ($h_r > h_o$).

As usual Ordering Cost, deterioration cost for both rented warehouse and own warehouse and Holding cost for both RW and OW are calculated.

Then the total cost can be written as

$$\begin{split} &TC_1 = \\ &c_o + h_r \left[\left(Qt - \frac{at_1^2}{2} - \frac{bt_1^3}{6} - \frac{ct_1^4}{12} \right) + a \left\{ \left(\frac{t_1^2 + t_2^2 - 2t_1 t_2}{2} \right) - \theta_1 \left(\frac{2t_1^3 - t_2^3 - 3t_1^2 t_2}{6} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^3 t_2}{12} \right) \right\} + \\ &f_1 \left\{ \left(\frac{t_1^3 + 2t_2^3 - 3t_1 t_2^2}{6} \right) - \theta_1 \left(\frac{t_1^4 + t_2^4 - 2t_1^2 t_2^2}{8} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_1^5 + 2t_2^5 - 5t_1^3 t_2}{30} \right) \right\} + \\ &f_2 \left\{ \left(\frac{t_1^4 + 3t_2^4 - 4t_1 t_2^3}{12} \right) - \theta_1 \left(\frac{2t_1^5 + 3t_2^5 - 5t_1^2 t_2^3}{30} \right) + \frac{\theta_1^2}{2} \left(\frac{t_1^6 + t_2^6 - 2t_1^3 t_2^3}{18} \right) \right\} + \\ &f_3 \left\{ \left(\frac{t_1^5 + 4t_2^5 - 5t_1 t_2^4}{20} \right) - \theta_1 \left(\frac{t_1^6 + 2t_2^6 - 3t_1^2 t_2^4}{24} \right) + \theta_1^2 \left(\frac{(3t_1^2 + 4t_2^2 - 7t_1^3 t_2^4)}{84} \right) \right\} + f_4 \left\{ \left(\frac{(t_1^6 + 5t_2^6 - 6t_1 t_2^5)}{30} \right) - \theta_1 \left(\frac{2t_1^7 + 5t_2^7 - 7t_1^2 t_2^5}{70} \right) + \frac{\theta_1^2}{2} \left(\frac{(3t_1^8 + 5t_2^8 - 8t_1^3 t_2^5)}{120} \right) \right] \right\} + \\ &h_o \left[\left\{ Nt_1 + \frac{N}{\theta_2} \left(1 - e^{\theta_2(t_2 - t_1)} \right) \right\} + a \left\{ \left(\frac{T^2 + t_2^2 - 27t_2}{2} \right) - \theta_2 \left(\frac{2t_2^3 + t^3 - 3t_2^2 T}{6} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_2^4 + T^4 - 4t_2^3 T}{12} \right) \right\} + \theta_2 \left(\frac{t_2^4 + 3T^4 - 4t_2^2 T^2}{2} \right) - \theta_2 \left(\frac{t_2^4 + 3T^5 - 5t_2^2 T^3}{30} \right) \right\} + g_2 \left\{ \left(\frac{t_2^4 + 3T^4 - 4t_2^2 T^3}{12} \right) \right\} + \theta_2 \left(\frac{t_2^4 + 2T^4 - 2t_2^2 T^2}{2} \right) - \theta_2 \left(\frac{2t_2^2 + 5T^5 - 5t_2^2 T^2}{30} \right) - \theta_2 \left(\frac{t_2^4 + 2T^4 - 4t_2^2 T^3}{12} \right) \right\} + \theta_2 \left\{ \left(\frac{t_2^4 + 3T^4 - 4t_2^2 T^3}{12} \right) \right\} + \theta_2 \left(\frac{t_2^4 + 4T^4 - 7t_2^2 T^4}{12} \right) \right\} + \theta_2 \left(\frac{t_2^4 + 4T^4 - 7t_2^2 T^4}{30} \right) \right\} + \theta_2 \left\{ \left(\frac{t_1^4 + t_2^4 - 2t_1^2 T^2}{2} \right) - \theta_1 \left(\frac{2t_1^4 + t_2^4 - 2t_1^2 T^2}{30} \right) \right\} + \frac{\theta_1^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^4 T^2}{12} \right) \right\} + f_1 \left\{ \left(\frac{t_1^4 + t_2^4 - 2t_1^2 T^2}{2} \right) - \theta_1 \left(\frac{2t_1^4 + t_2^4 - 2t_1^2 T^2}{30} \right) \right\} \right\} + \frac{\theta_1^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^4 T^2}{2} \right) \right\} + f_2 \left\{ \left(\frac{t_1^4 + t_2^4 - 2t_1^2 T^2}{2} \right) - \theta_1 \left(\frac{2t_1^4 + 3t_2^4 - 4t_1^4 T^2}{30} \right) \right\} + \frac{\theta_1^2}{2} \left(\frac{3t_1^4 + t_2^4 - 2t_1^2 T^2}{12} \right) \right\} + f_2 \left\{ \left(\frac{t_1^4 + t_2^4 - 2t_1^2 T^2}{2} \right) - \theta_1 \left(\frac{2t_1^4 + 3$$





ISSN: 0976 - 0997 *International Bimonthly (Print) – Open Access* Vol.15 / Issue 86 / Oct / 2024

Anusuya Baggiyalakshmi and Maragatham

$$\theta_{2} \left(\frac{2t_{2}^{3} + t^{3} - 3t_{2}^{2}T}{6} \right) + \frac{\theta_{2}^{2}}{2} \left(\frac{3t_{2}^{4} + T^{4} - 4t_{2}^{3}T}{12} \right) \right\} + \\ g_{1} \left\{ \left(\frac{t_{2}^{3} + 2T^{3} - 3t_{2}T^{2}}{6} \right) - \theta_{2} \left(\frac{t_{2}^{4} + T^{4} - 2t_{2}^{2}T^{2}}{8} \right) + \frac{\theta_{2}^{2}}{2} \left(\frac{3t_{2}^{5} + 2T^{5} - 5t_{2}^{3}T^{2}}{30} \right) \right\} + g_{2} \left\{ \left(\frac{t_{2}^{4} + 3T^{4} - 4t_{2}T^{3}}{12} \right) - \theta_{2} \left(\frac{2t_{2}^{5} + 3T^{5} - 5t_{2}^{2}T^{3}}{30} \right) + \frac{\theta_{2}^{2}}{2} \left(\frac{t_{2}^{6} + T^{6} - 2t_{2}^{3}T^{3}}{18} \right) \right\} + g_{3} \left\{ \left(\frac{t_{2}^{5} + 4T^{5} - 5t_{2}T^{4}}{20} \right) - \theta_{2} \left(\frac{t_{2}^{6} + 2T^{6} - 3t_{2}^{2}T^{4}}{24} \right) + \theta_{2}^{2} \left(\frac{(3t_{2}^{7} + 4T^{7} - 7t_{2}^{3}T^{4})}{84} \right) \right\} + g_{4} \left\{ \left(\frac{(t_{2}^{6} + 5T^{6} - 6t_{2}T^{5})}{30} \right) - \theta_{2} \left(\frac{2t_{2}^{7} + 5T^{7} - 7t_{2}^{2}T^{5}}{70} \right) + \frac{\theta_{2}^{2}}{2} \left(\frac{(3t_{2}^{8} + 5T^{8} - 8t_{2}^{3}T^{5})}{120} \right) \right\} \right]$$

Model: II

In this model all other assumptions are same as the model I. In traditional model deteriorating rate of Own warehouse is higher than the Rented warehouse but here the deterioration cost of own ware house is higher than the holding cost of the Rented warehouse. So items in the Own warehouse is consumed first and then Rented warehouse items will be consumed.

The boundary conditions we have

$$I_R(0) = N, I_0(t_2) = 0, I_0(0) = Q, I_R(t_1) = N, I_R(T) = 0$$

With this boundary condition the equation (1) will become

Where the inventory is continuous t_1 and t_2 , we have

$$Q = \left[at_1 + \frac{bt_1^2}{2} + \frac{ct_1^3}{3}\right] + \left[a(t_2 - t_1) + \left(\frac{f_1}{2}\right)(t_2^2 - t_1^2) + \left(\frac{f_2}{3}\right)(t_2^3 - t_1^3) + \left(\frac{f_3}{4}\right)(t_2^4 - t_1^4) + f_1^4 + f_2^4 + f_2^4 + f_3^4 + f$$

At
$$t_2$$

$$N = \left\{ a(T-t) + \left(\frac{g_1}{2}\right)(T^2 - t^2) + \left(\frac{g_2}{3}\right)(T^3 - t^3) + \left(\frac{g_3}{4}\right)(T^4 - t^4) + \left(\frac{g_4}{5}\right)(T^5 - t^5) \right\} e^{-\theta_1 t_1}$$
.....(12)





Anusuya Baggiyalakshmi and Maragatham

Where the maximum inventory isM=Q+N

Ordering Cost

Holding Cost for Own Warehouse

$$C_{10W} = h_o \left[\int_{0}^{t_1} I_o(t) dt + \int_{t_1}^{t_2} I_o(t) dt \right]$$

Holding cost for Rented Warehouse

$$C_{1RW} = h_r \left[\int_0^{t_1} I_R(t) dt + \int_{t_1}^{t_2} I_R(t) dt + \int_{t_2}^{T} I_R(t) dt \right]$$

Deterioration cost for Own Warehouse

$$C_{2OW} = C_d \left(\int_{t_1}^{t_2} \theta_2 I_o(t) dt \right)$$

$$\begin{split} C_{20W} &= C_d \theta_2 \left[a \left\{ \left(\frac{t_1^2 + t_2^2 - 2t_1 t_2}{2} \right) - \theta_2 \left(\frac{2t_1^3 - t_2^3 - 3t_1^2 t_2}{6} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^3 t_2}{12} \right) \right\} + f_1 \left\{ \left(\frac{t_1^3 + 2t_2^3 - 3t_1 t_2^2}{6} \right) - \theta_2 \left(\frac{t_1^4 + t_2^4 - 2t_1^2 t_2^2}{8} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^5 + 2t_2^5 - 5t_1^3 t_2}{30} \right) \right\} + f_2 \left\{ \left(\frac{t_1^4 + 3t_2^4 - 4t_1 t_2^3}{12} \right) - \theta_2 \left(\frac{2t_1^5 + 3t_2^5 - 5t_1^2 t_2^3}{30} \right) + \frac{\theta_2^2}{2} \left(\frac{t_1^6 + t_2^6 - 2t_1^3 t_2^3}{18} \right) \right\} + \end{split}$$





Anusuya Baggiyalakshmi and Maragatham

$$f_{3}\left\{\left(\frac{t_{1}^{5}+4t_{2}^{5}-5t_{1}t_{2}^{4}}{20}\right)-\theta_{2}\left(\frac{t_{1}^{6}+2t_{2}^{6}-3t_{1}^{2}t_{2}^{4}}{24}\right)+\theta_{2}^{2}\left(\frac{(3t_{1}^{7}+4t_{2}^{7}-7t_{1}^{3}t_{2}^{4})}{84}\right)\right\}+f_{4}\left\{\left(\frac{(t_{1}^{6}+5t_{2}^{6}-6t_{1}t_{2}^{5})}{30}\right)-\theta_{2}\left(\frac{2t_{1}^{7}+5t_{2}^{7}-7t_{1}^{2}t_{2}^{5}}{70}\right)+\frac{\theta_{2}^{2}}{2}\left(\frac{(3t_{1}^{8}+5t_{2}^{8}-8t_{1}^{3}t_{2}^{5})}{120}\right)\right\}\right]$$

$$(16)$$

Deterioration Cost for Rented Warehouse

TOTAL COST:

The total cost can be written a

$$\begin{split} &TC_2 = \\ &c_o + h_o \left[\left(Qt - \frac{at_1^2}{2} - \frac{bt_1^3}{6} - \frac{ct_1^4}{12} \right) + a \left\{ \left(\frac{t_1^2 + t_2^2 - 2t_1 t_2}{2} \right) - \theta_2 \left(\frac{2t_1^3 - t_2^3 - 3t_1^2 t_2}{6} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^3 t_2}{12} \right) \right\} + \\ &f_1 \left\{ \left(\frac{t_1^3 + 2t_2^3 - 3t_1 t_2^2}{6} \right) - \theta_2 \left(\frac{t_1^4 + t_2^4 - 2t_1^2 t_2^2}{8} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^5 + 2t_2^3 - 5t_1^3 t_2}{30} \right) \right\} + \\ &f_2 \left\{ \left(\frac{t_1^4 + 3t_2^4 - 4t_1 t_2^3}{12} \right) - \theta_2 \left(\frac{2t_1^5 + 3t_2^5 - 5t_1^2 t_2^3}{2} \right) + \frac{\theta_2^2}{2} \left(\frac{t_1^6 + t_2^6 - 2t_1^3 t_2^3}{84} \right) \right\} + \\ &f_3 \left\{ \left(\frac{t_1^5 + 4t_2^5 - 5t_1 t_2^4}{20} \right) - \theta_2 \left(\frac{t_1^6 + 2t_2^6 - 3t_1^2 t_2^4}{24} \right) + \theta_2^2 \left(\frac{(3t_1^3 + 4t_2^2 - 7t_1^3 t_2^4)}{84} \right) \right\} + f_4 \left\{ \left(\frac{(t_1^6 + 5t_2^6 - 6t_1 t_2^5)}{30} \right) - \theta_2 \left(\frac{2t_1^2 + 5t_2^2 - 7t_1^2 t_2^5}{70} \right) + \frac{\theta_2^2}{2} \left(\frac{(3t_1^8 + 5t_2^8 - 8t_1^3 t_2^5)}{120} \right) \right] \right\} + \\ &h_r \left[\left\{ Nt_1 + \frac{N}{\theta_1} \left(1 - e^{\theta_1(t_2 - t_1)} \right) \right\} + a \left\{ \left(\frac{T^2 + t_2^2 - 27t_2}{2} \right) - \theta_1 \left(\frac{2t_2^3 + t_3^3 - 3t_2^2 T}{6} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_2^4 + t_3^4 - 4t_2^2 T^3}{12} \right) \right) \right\} + \\ &g_1 \left\{ \left(\frac{t_2^5 + 27^3 - 3t_2 T^2}{6} \right) - \theta_1 \left(\frac{t_2^4 + t_3^4 - 2t_2^2 T^2}{8} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_2^5 + 27^5 - 5t_2^3 T^2}{30} \right) \right\} + g_2 \left\{ \left(\frac{t_2^4 + 37^4 - 4t_2^2 T^3}{12} \right) \right\} - \\ &\theta_1 \left(\frac{2t_2^5 + 37^5 - 5t_2^2 T^3}{30} \right) + \frac{\theta_1^2}{2} \left(\frac{t_2^5 + 7^6 - 2t_2^3 T^3}{18} \right) \right\} + g_3 \left\{ \left(\frac{t_2^5 + 47^5 - 5t_2^2 T^2}{20} \right) - \theta_1 \left(\frac{t_2^5 + 27^6 - 3t_2^2 T^4}{24} \right) + \\ &\theta_1^2 \left(\frac{3t_2^2 + 47^7 - 7t_2^3 T^4}{34} \right) \right\} + g_4 \left\{ \left(\frac{(t_2^6 + 57^6 - 6t_2 T^5)}{30} \right) - \theta_1 \left(\frac{2t_2^7 + 57^7 - 7t_2^2 T^5}{70} \right) + \frac{\theta_1^2}{2} \left(\frac{(3t_1^8 + 5t_2^8 - 8t_1^3 T^5)}{120} \right) \right\} \right\} \right\} \\ & + C_d \theta_2 \left[a \left\{ \left(\frac{t_1^4 + t_2^4 - 2t_1^2 t_2}{2} \right) - \theta_2 \left(\frac{2t_1^5 + 3t_2^5 - 5t_1^2 t_2^3}{30} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^4 + t_2^4 - 4t_1^3 t_2}{12} \right) \right\} + f_1 \left\{ \left(\frac{t_1^4 + 2t_2^3 - 3t_1 t_2^2}{20} \right) - \theta_2 \left(\frac{t_1^4 + t_2^5 - 5t_1^2 t_2^3}{30} \right) + \frac{\theta_2^2}{2} \left(\frac{3t_1^4 + t_2^5 - 2t_1^3 t_2^3}{18} \right) \right\} + f_4 \left\{ \left(\frac{(t_1^$$





Anusuya Baggiyalakshmi and Maragatham

$$\begin{aligned} &\theta_1 \left(\frac{2t_2^3 + t^3 - 3t_2^2 T}{6} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_2^4 + T^4 - 4t_2^3 T}{12} \right) \right\} + \\ &g_1 \left\{ \left(\frac{t_2^3 + 2T^3 - 3t_2 T^2}{6} \right) - \theta_1 \left(\frac{t_2^4 + T^4 - 2t_2^2 T^2}{8} \right) + \frac{\theta_1^2}{2} \left(\frac{3t_2^5 + 2T^5 - 5t_2^3 T^2}{30} \right) \right\} + g_2 \left\{ \left(\frac{t_2^4 + 3T^4 - 4t_2 T^3}{12} \right) - \theta_1 \left(\frac{2t_2^5 + 3T^5 - 5t_2^2 T^3}{30} \right) + \frac{\theta_1^2}{2} \left(\frac{t_2^6 + T^6 - 2t_2^3 T^3}{18} \right) \right\} + g_3 \left\{ \left(\frac{t_2^5 + 4T^5 - 5t_2 T^4}{20} \right) - \theta_1 \left(\frac{t_2^6 + 2T^6 - 3t_2^2 T^4}{24} \right) + \theta_1^2 \left(\frac{(3t_2^7 + 4T^7 - 7t_2^3 T^4)}{84} \right) \right\} + g_4 \left\{ \left(\frac{(t_2^6 + 5T^6 - 6t_2 T^5)}{30} \right) - \theta_1 \left(\frac{2t_2^7 + 5T^7 - 7t_2^2 T^5}{70} \right) + \frac{\theta_1^2}{2} \left(\frac{(3t_2^8 + 5T^8 - 8t_2^3 T^5)}{120} \right) \right\} \right] \end{aligned}$$

Our primary goal is to reduce the total cost, which requires us to determine the optimal values of t_2 and T. Through the utilization of the Hessian matrix, we identify the optimal solution if it meets our criteria. If it satisfies the condition then (t_2, T) is the optimal solution on TC_1 and in the similar way we have to find the optimal solution for TC_2 where $TC = \min(TC_1, TC_2)$

CONCLUSION

Retailers typically encounter various expenses related to product maintenance, procurement, and warehouse management. It's not always prudent to adhere to a single model; depending on the product and warehouse facility, switching models can effectively reduce the total cost. This paper could be expanded to consider scenarios involving shortages or the storage of multiple items in the warehouse.

REFERENCES

- 1. Gupta, C. B., Malik, A. K. and Singh, S. R. (2010). A Two Warehouse Inventory Model for Deteriorating Items with Demand Dependent Production, Ganita Sandesh, Vol. 24, No. 1, 55-62.
- H.L.Yang, Two-warehouse partial backlogging inventory models for deteriorating items under inflation, International Journal of Production Economics 103 (2006) 362–370.
- 3. Kumar Aadarsh, Singh Amardeep, Batansal Kapil Kumar (2016) Two warehouse inventory model with ramp type demand, shortages under inflationary environment, IOSR Journal of Mathematics (IOSR-JM), 12(3), 06-17.
- 4. Lee, C.C. and Hsu, S. L., (2009). A two-warehouse production model for deteriorating inventory items with time-dependent demands, European Journal of Operational Research, 194, 700-710.
- Malik, A. K., Dipak Chakraborty, Sathish Kumar (2017) Quadratic Demand based Inventory Model with Shortage and Two Storage Capacities System, Research J. Engineering and Tech.2017;8(3):213-218.
- 6. Malik, A. K., Singh, S. R. and Gupta, C. B. (2009). Two warehouse inventory model with exponential demand and time-dependent backlogging rate for deteriorating items, Ganita Sandesh Vol. 23, No. 2, 121-130.
- 7. Niu, B. and Xie, J. (2008). A note on two-warehouse inventory model with deterioration under FIFO dispatch policy, European J. Oper. Res., 190, 571–577.
- 8. Sana, S.S. (2010). Optimal selling price and lot size with time varying deterioration and partial backlogging, Appl. Math. Comput., 217, 185–194.
- 9. Sarkar, S., Sana, S.S. and Chaudhuri, K. (2010). A finite replenishment model with increasing demand under inflation, Int. J. Math. Oper. Res., 2(3), 347–385.
- 10. Seth, B. K., Sarkar, B., Goswami A., (2012). A two-warehouse inventory model with increasing demand and time varying deterioration. Scientia Iranica, E 19, 1969-1977.
- 11. Singh, S.R., Malik, A.K., (2010). Inventory system for decaying items with variable holding cost and two shops, International Journal of Mathematical Sciences, Vol. 9, No. 3-4, 489-511.
- 12. Singh, S.R., Malik, A.K., and Gupta, S. K. (2011). Two Warehouses Inventory Model for Non-Instantaneous Deteriorating Items With Stock-Dependent Demand, International Transactions in Applied Sciences, Vol. 3, No. 4, 749-760.





Anusuya Baggiyalakshmi and Maragatham

- 13. Singh, S.R., Pinky Saxena (2013) A Two- warehouse Production Inventory model with Variable Demand and Permissible Delay in Payment under Inflation, International Journal of Soft Computing and Engineering ISSN:2231-2307, vol-3.
- 14. Vashisth, V., Soni, R., Jakhar, R., Sihag, D., and Malik, A. K. (2016). A Two Warehouse Inventory Model with Quadratic Decreasing Demand and Time Dependent Holding Cost, AIP Conference Proceedings 1715, 020066; doi: 10.1063/1.4942748.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

A Pareto based Deterioration Rate Inventory Model with Bi-Quadratic **Demand and Shortages**

V.S.Akilandeswari*

Assistant Professor, Department of Mathematics, Saranathan College of Engineering, Tiruchirappalli, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Revised: 09 Jul 2024 Received: 20 Jun 2024 Accepted: 08 Aug 2024

*Address for Correspondence

V.S.Akilandeswari

Assistant Professor, Department of Mathematics, Saranathan College of Engineering, Tiruchirappalli, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Email: akilasubbu22@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this paper, an EOQ model for Bi-quadratic time-varying demand with deterioration is developed. Shortages are allowed and they are fully backlogged. It is assumed here that the deterioration rate follows Pareto distribution. Carrying cost, Shortage cost and Deterioration cost for the model are calculated. Optimal time to consume the physical stock, cycle time and total cost from inventory costs are determined. A numerical example is given to discuss the results. To understand the influence of changes in the parameters on the optimal policies a sensitivity analysis of the example is provided.

Keywords: Inventory, Pareto distribution, deterioration rate, shortages, cycle time.

INTRODUCTION

For an efficiency of an organization and its smooth conduct, physical resources are kept in stock. This is called the Inventory. Researchers consider the demand of the decaying resources as linearly time dependent or constant or exponential. Also at the end of the life period of the inventory deterioration takes place. The process that prevents an item from usage is deterioration. An item in stock can deteriorate over time as it cannot be used further or because of its demand gets decreasing. Covert et al. [1], Jalan A K et al. [2] and Chakrabarty et al. [3] first considered the deterioration rate as a Weibull distribution and trended demand for inventory models. In the year 2019, Singh N, Vaish B and Singh S R [4] framed an EOQ model with deterioration following Pareto distribution having a demand of trapezoidal type with fully backlogged. Sanni and Chukwu [5] extended the Weibull distribution deterioration





Akilandeswari

rate for three parameters with a ramp-type demand. An inventory model for three-parameter Weibull distributed deterioration rate under inflation was developed by Akaninyene Udo Udom et al. [6] in the year 2022.

Pareto Distribution

Multivariate Pareto Distributions was first introduced by Mardia [7] in the year 1962. If a random variable X follows Pareto Distribution, then its probability density function is given by $f(x) = \frac{\alpha \beta^{\alpha}}{x^{\alpha+1}}$ with $\alpha > 0$ being the shape parameter, scale parameter $\beta > 0$ and $x \ge \beta$ and its cumulative distribution function is given by $F(x) = 1 - \left(\frac{\beta}{x}\right)^{\alpha}$. Pareto distribution is a left-skewed distribution with high top tail. This is observed in the case of degraded food materials. In this paper, an inventory model for analysis of procurement of degraded food materials, is developed assuming that its deterioration rate follows Pareto distribution and Bi-Quadratic type time varying demand. This EOQ model allows shortages and they are fully backlogged. Optimal time to consume the resources, optimum cycle time, optimum total cost are evaluated. A numerical example is used to prove the result and the sensitivity analysis for the data is also studied. MATLAB software is used to find the optimum values.

THE MATHEMATICAL MODEL

NOTATIONS

The proposed model contains the following parameters and decision variables.

Parameters

A-Ordering cost

h-Holding cost

Q_d-number of deteriorated units

Q-Initial stock

Q*-Optimal Order Quantity

s-Shoratge cost

 θ -Deterioration rate

 $D(t)=a+bt+ct^2+dt^3+ft^4$ -Demand rate where a,b,c,d,f are constants

I(*t*)-Inventory level at time t

Z-Total cost per cycle

Z*-Optimum Total cost per cycle

q1-Maximum stock level

q2-Maximum stock shortage level

C-Deterioration cost

Decision variables

T-Cycle time

*T**-Optimum Cycle time

 T_1 -Time of positive stock

 T_1^* -Optimum Time of positive stock

ASSUMPTIONS

- 1. The rate of replenishment is infinite
- 2. The inventory model deals with single item
- 3. Demand rate $D(t)=a+bt+ct^2+dt^3+ft^4$ is assumed to be Bi-Quadratic, where a,b,c,d,f are constants
- 4. Shortages are allowed and they are backlogged
- 5. Lead time is zero
- 6. The deterioration rate follows Pareto distribution

Thus the deterioration rate is given by

$$\theta(t) = \frac{f(t)}{1 - F(t)} = \frac{\alpha}{t}$$





Akilandeswari

MODEL FORMULATION

The objective of the inventory model is to maintain a minimum total cost by determining the optimal order quantity and optimum cycle time. At time t = 0, it is found the inventory level is maximum of q1. At time T_1 , inventory level is 0, and at t = T, it reaches the maximum shortage level q2.

Thus the inventory level I(t) can expressed as the differential equations

$$\frac{dI(t)}{dt} + \theta(t)I(t) = -D(t) \quad 0 \le t \le T_1 \tag{1}$$

$$\frac{dt}{dt} + \delta(t) + \delta(t) = 0$$

$$\frac{dI(t)}{dt} = -D(t) \quad T_1 \le t \le T$$
(2)

The solution of the equation (1) with
$$I(T_1) = 0$$
 is given by
$$I(t) = \frac{a}{\alpha+1} (T_1 - t) + \frac{b}{\alpha+2} (T_1^2 - t^2) + \frac{c}{\alpha+3} (T_1^3 - t^3) + \frac{d}{\alpha+4} (T_1^4 - t^4) + \frac{f}{\alpha+5} (T_1^5 - t^5)$$
(3)

At time
$$t = 0$$
, $I(t) = q1$. Hence
$$q1 = \frac{a}{\alpha+1}T_1 + \frac{b}{\alpha+2}T_1^2 + \frac{c}{\alpha+3}T_1^3 + \frac{d}{\alpha+4}T_1^4 + \frac{f}{\alpha+5}T_1^5$$
The solution of the equation (2) with $I(T_1) = 0$ is given by
$$I(t) = a(T_1 - t) + \frac{b}{2}(T_1^2 - t^2) + \frac{c}{3}(T_1^3 - t^3) + \frac{d}{4}(T_1^4 - t^4) + \frac{f}{5}(T_1^5 - t^5)$$

$$I(t) = a(T_1 - t) + \frac{b}{2}(T_1^2 - t^2) + \frac{c}{3}(T_1^3 - t^3) + \frac{d}{4}(T_1^4 - t^4) + \frac{f}{5}(T_1^5 - t^5)$$

$$T_1 \le t \le T \tag{5}$$

At time
$$t = 0$$
, $I(t) = q2$. Hence, the maximum shortage quantity is $q2 = a(T - T_1) + \frac{b}{2}(T^2 - T_1^2) + \frac{c}{3}(T^3 - T_1^3) + \frac{d}{4}(T^4 - T_1^4) + \frac{f}{5}(T^5 - T_1^5)$ (6)

Thus the initial order quantity

Q=q1+q2

$$Q = -\alpha \left(\frac{a}{\alpha + 1} T_1 + \frac{b}{2(\alpha + 2)} T_1^2 + \frac{c}{3(\alpha + 3)} T_1^3 + \frac{d}{4(\alpha + 4)} T_1^4 + \frac{f}{5(\alpha + 5)} T_1^5 \right) + aT + \frac{b}{2} T^2 + \frac{c}{3} T^3 + \frac{d}{4} T^4 + \frac{f}{5} T^5$$
(7)

The Holding cost (HC) of the inventory model is

$$HC = h \int_0^{T_1} I(t) dt$$

$$HC = h \left[\frac{a}{\alpha + 1} \left(\frac{T_1^2}{2} \right) + \frac{b}{\alpha + 2} \left(\frac{2T_1^3}{3} \right) + \frac{c}{\alpha + 3} \left(\frac{3T_1^4}{4} \right) + \frac{d}{\alpha + 4} \left(\frac{4T_1^5}{5} \right) + \frac{f}{\alpha + 5} \left(\frac{5T_1^6}{6} \right) \right]$$
The Shortage cost (SC) in the interval $[T_1, T]$ is given by

$$SC = -s \int_{T_{c}}^{T} I(t) dt$$

$$SC = \frac{as}{2}(T^2 + T_1^2) + \frac{bs}{6}(T^3 + 2T_1^3) + \frac{cs}{12}(T^4 + 3T_1^4) + \frac{ds}{20}(T^5 + 4T_1^5) + \frac{fs}{30}(T^6 + 5T_1^6) - asT_1T - \frac{bs}{2}T_1^2T - \frac{cs}{3}T_1^3T - \frac{ds}{4}T_1^4T - \frac{fs}{5}T_1^5T$$
 (9)

The Deterioration cost (DC) of the model is calculated by

$$DC = C \left[Q - \int_0^{T_1} D(t) dt \right]$$

$$DC = C \left[\frac{\alpha+2}{\alpha+1} (aT_1) + \frac{\alpha+4}{2(\alpha+2)} (bT_1^2) + \frac{\alpha+6}{3(\alpha+3)} (cT_1^3) + \frac{\alpha+8}{4(\alpha+4)} (dT_1^4) + \frac{\alpha+10}{5(\alpha+5)} (fT_1^5) - a(T_1+T) - \frac{b}{2} (T_1^2+T^2) - \frac{c}{3} (T_1^3+T^3) - a(T_1+T) - \frac{b}{2} (T_1^2+T^2) - \frac{c}{3} (T_1^3+T^3) - a(T_1+T) - \frac{b}{2} (T_1^2+T^2) - \frac{c}{3} (T_1^3+T^3) - a(T_1+T) - \frac{b}{2} (T_1^2+T^2) - \frac{c}{3} (T_1^3+T^3) - a(T_1+T) - \frac{b}{2} (T_1^3+T^3) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1+T) - a(T_1$$

Total cost per unit time for the model is

$$Z(T_1, T) = \frac{1}{T}[A + HC + SC + DC]$$

Optimum values of T^* and T_1^* are obtained by solving the differential equations $\frac{\partial Z(T_1,T)}{\partial T}=0$ and $\frac{\partial Z(T_1,T)}{\partial T_1}=0$.

$$\frac{\partial Z(T_1,T)}{\partial T} = 0$$
 and $\frac{\partial Z(T_1,T)}{\partial T_1} = 0$.

The obtained values are minimum if

$$\frac{\partial^2 Z(T_1,T)}{\partial T^2} \frac{\partial^2 Z(T_1,T)}{\partial T_1^2} - \left(\frac{\partial^2 Z(T_1,T)}{\partial T \partial T_1}\right)^2 > 0$$





Akilandeswari

Using MATLAB, the optimum values of T^* , T_1^* and $Z^*(T, T_1)$ are calculated.

NUMERICAL EXAMPLE

Applying the following data for the inventory model, $a=10, b=4, c=4, d=3, f=1, h=\epsilon 4$ per unit, $s=\epsilon 15$ per unit, $A=\epsilon 1000$, $C=\epsilon 10$ per unit, $A=\epsilon 1000$, where $A=\epsilon 10$ per unit, $A=\epsilon 1000$, where $A=\epsilon 10$ per unit, $A=\epsilon 1000$, where $A=\epsilon 1000$ is a sum of the inventory model. The optimum values obtained using MATLAB software are $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ and $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 1000$ are $A=\epsilon 10000$ are $A=\epsilon 10000$ are $A=\epsilon 10000$ are $A=\epsilon 10000$ are $A=\epsilon 1000$

RESULTS AND DISCUSSIONS

SENSITIVITY ANALYSIS

Based on the changes of parameter values for the above data, the Table 4.1 gives the sensitivity analysis.

From Table 4.1, the variations of T^* , T_1^* are very slow when the parameter purchasing cost A is varied. But Q^* varies moderately and total cost varies rapidly. In the segment 2, the parameter ' a' is varied from 8 to 12. Because of this variation T^* , T_1^* , Q^* varies moderately while Z^* varies rapidly. It is seen that as 'a' varies from 8 to 12, Z^* varies from 337.8953 to 345.0636. Similar kind of changes are noticed for the change in parameter value 'b' and 'c'. The segments 5 and 6 of the table show the sensitivity analysis of the parameters a and a. For both these parameters it is found that there is gradual increase in the optimum values of a0 to 17 in the interval of 1 unit. Gradual decrease of the optimum values a1 to 17 in the interval of 1 unit. Gradual decrease of the optimum values a2 to 17 in the same type of changes is noted down for the parameter change of holding cost. That is, as a3 increases from 2 to 6, a4 decreases from 2.8761 to 2.7995, a5 varies from 1.1206 to 0.7733, optimum order quantity keeps decreasing from 160.4972 to 148.9056 but total cost increases from 314.7016 to 354.7950. By noticing the change in deterioration cost a5 increase in its value gives a gradual increase in a5 in the parameter a6. Due to the this change there is a gradual decrease in a7 the last segment of the table shows the changes in the parameter a6. Due to the this change there is a gradual decrease in a7 the last segment of the table shows the changes in the parameter a6. Due to the this change there is a gradual decrease in a7 and a gradual increase in a7 and a gradual increase in a7.

CONCLUSION

In this paper, a new inventory model with Pareto distribution deterioration rate and Bi-Quadratic demand is developed. The model allows shortages which are backlogged. The Holding cost, Shortage cost, Deterioration cost are found in this model. By using these costs, Total cost is evaluated. Using MATLAB, optimum cycle time, optimum time for positive cost, optimum order quantity and optimum total cost are evaluated for a data. The sensitivity analysis for varying the parameters is given and its changes are discussed.

REFERENCES

- 1. R.P. Covert, G.C. Philip, "An EOQ model for items with Weibull distribution deterioration", *AIIE Transactions*, pp. 323-326, 5(4),1973.
- 2. A. K. Jalan, R.R. Giri, K.S. Chaudhuri,"EOQ model for items with Weibull distribution deterioration, shortages and trended demand", *International Journal of System Science*, pp. 851-855, 27(9), 1996.
- 3. T. Chakrabarty, B.C. Giri and K.S. Chaudhri, "An EOQ model for items with Weibull Distribution deterioration, shortages and trended demand: an extention of Philip's model", *Computers and Operations Research*, pp. 649-657, 25 (7), 1998.
- 4. N. Singh, B. Vaish, S. R. Singh, "An EOQ model with Pareto distribution for deterioration, trapezoidal type demand and backlogging under trade credit policy", *The IUP Journal of Computational Mathematics*, pp. 30-53, 3 (4), 2011.





Akilandeswari

- 5. S.S. Sanni, W.I.E. Chukwu, "An economic order quantity model for items with three-parameter Weibull distribution deterioration, ramp-type demand and shortages", *Applied mathematical Modelling*, pp. 9698-9706, 37 (23), 2013.
- 6. Akaninyene udo udom et al., "An Inventory Model with three-parameter Weibull distribution for deteriorating items under Inflation", *Asian Journal of Probability and Statistics*, pp. 1-17, 18 (2),2022.
- 7. K.V. Mardia, "Multivariate Pareto Distributions", The Annals of Mathematical Statistics, pp. 1008-1015, 33 (3), 1962.

Table 1: Sensitivity Analysis

Parameters	Parameter values	T^*	T_1^*	Q^*	$Z^*(T,T_1)$
	980	2.8125	0.8868	150.4216	334.7536
	990	2.8168	0.8876	151.2128	338.3065
A	1000	2.8211	0.8884	152.0010	341.8539
	1010	2.8254	0.8892	152.7862	345.3958
	1020	2.8297	0.8901	153.5685	348.9324
	8	2.8528	0.8631	153.2681	337.8953
	9	2.8370	0.8764	152.6247	339.9738
а	10	2.8211	0.8884	152.0010	341.8539
	11	2.8054	0.8994	151.3976	343.5471
	12	2.7897	0.9094	150.8150	345.0636
	2	2.8742	0.8968	153.9574	333.3790
	3	2.8474	0.8927	152.9377	337.7186
b	4	2.8211	0.8884	152.0010	341.8539
	5	2.7954	0.8840	151.1445	345.7923
	6	2.7702	0.8794	150.3653	349.5410
	2	2.9007	0.9267	150.8067	336.5952
	3	2.8594	0.9069	151.2959	339.4848
С	4	2.8211	0.8884	152.0010	341.8539
	5	2.7857	0.8712	152.8905	343.7641
	6	2.7529	0.8550	153.9378	345.2683
	1	2.8956	0.9589	130.6074	354.0735
	2	2.8539	0.9199	141.3417	348.3461
d	3	2.8211	0.8884	152.0010	341.8539
	4	2.7945	0.8623	162.5821	334.8191
	5	2.7723	0.8402	173.0897	327.3814
	1	2.8211	0.8884	152.0010	341.8539
	2	2.8797	0.8682	202.8362	306.2476
f	3	2.9254	0.8510	258.1586	269.2730
	4	2.9610	0.8359	316.5277	231.3258
	5	2.9891	0.8224	376.9741	192.6735
	13	3.1385	0.8918	220.6451	263.9420
	14	2.9633	0.8892	180.0964	306.5110
s	15	2.8211	0.8884	152.0010	341.8539
	16	2.7036	0.8891	131.6517	371.9925
	17	2.6048	0.8907	116.3559	398.2306
1-	2	2.8761	1.1206	160.4972	314.7016
h	3	2.8406	0.9788	154.9156	331.4582





Akilandeswari

	4	2.8211	0.8884	152.0010	341.8539
	5	2.8085	0.8234	150.1746	349.2015
	6	2.7995	0.7733	148.9056	354.7950
	7	2.4484	0.8392	95.6469	477.0748
	8	2.5540	0.8523	109.3975	436.6659
С	9	2.6770	0.8686	127.5328	391.9993
	10	2.8211	0.8884	152.0010	341.8539
	11	2.9901	0.9124	185.6799	284.5394
	1	2.8211	0.8884	152.0010	341.8539
	2	2.8080	0.8503	148.0401	341.3230
r	3	2.7984	0.8124	145.7694	343.5018
	4	2.7910	0.7781	144.2996	346.3120
	5	2.7851	0.7477	143.2742	349.1651

Appendix

$$\begin{split} Z(T_1,T) &= \frac{1}{T} \left[A + h \left[\frac{a}{\alpha+1} \left(\frac{T_1^2}{2} \right) + \frac{b}{\alpha+2} \left(\frac{2T_1^3}{3} \right) + \frac{c}{\alpha+3} \left(\frac{3T_1^4}{4} \right) + \frac{d}{\alpha+4} \left(\frac{4T_1^5}{5} \right) + \frac{f}{\alpha+5} \left(\frac{5T_1^6}{6} \right) \right] + \\ &\frac{as}{2} (T^2 + T_1^2) + \frac{bs}{6} (T^3 + 2T_1^3) + \frac{cs}{12} (T^4 + 3T_1^4) + \frac{ds}{20} (T^5 + 4T_1^5) + \frac{fs}{30} (T^6 + 5T_1^6) - asT_1T - \frac{bs}{2} T_1^2T - \frac{cs}{3} T_1^3T - \frac{ds}{4} T_1^4T - \frac{fs}{5} T_1^5T + C \left[\frac{\alpha+2}{\alpha+1} (aT_1) + \frac{\alpha+4}{2(\alpha+2)} (bT_1^2) + \frac{\alpha+6}{3(\alpha+3)} (cT_1^3) + \frac{\alpha+8}{4(\alpha+4)} (dT_1^4) + \frac{\alpha+10}{5(\alpha+5)} (fT_1^5) - a(T_1 + T) - \\ &\frac{b}{2} (T_1^2 + T^2) - \frac{c}{3} (T_1^3 + T^3) - \frac{d}{4} (T_1^4 + T^4) - \frac{f}{5} (T_1^5 + T^5) \right] \end{split}$$





Akilandeswari

$$\begin{split} \frac{\partial Z}{\partial T} &= \frac{-1}{T^2} \Biggl(A + h \left(\frac{aT_1^2}{2(\alpha+1)} + \frac{2bT_1^3}{3(\alpha+2)} + \frac{3cT_1^4}{4(\alpha+3)} + \frac{4dT_1^5}{5(\alpha+4)} + \frac{5fT_1^6}{6(\alpha+5)} \right) \\ &+ \left(\frac{as}{2} (T^2 + T_1^2) + \frac{bs}{3} \left(\frac{T^3}{2} + T_1^3 \right) + \frac{cs}{4} \left(\frac{T^4}{3} + T_1^4 \right) + \frac{ds}{5} \left(\frac{T^5}{4} + T_1^5 \right) \right. \\ &+ \frac{fs}{6} \left(\frac{T^6}{5} + T_1^6 \right) - asT_1T - \frac{bs}{2} T_1^2T - \frac{cs}{3} T_1^3T - \frac{ds}{4} T_1^4T - \frac{fs}{5} T_1^5T \right) \\ &+ C \left(\frac{aT_1(\alpha+2)}{(\alpha+1)} + \frac{bT_1^2(\alpha+4)}{2(\alpha+2)} + \frac{cT_1^3(\alpha+6)}{3(\alpha+3)} + \frac{dT_1^4(\alpha+8)}{4(\alpha+4)} \right. \\ &+ \frac{fT_1^5(\alpha+10)}{5(\alpha+5)} - a(T_1+T) - \left(\frac{b}{2} \right) (T_1^2 + T^2) - \left(\frac{c}{3} \right) (T_1^3 + T^3) \\ &- \left(\frac{d}{4} \right) (T_1^4 + T^4) - \left(\frac{f}{5} \right) (T_1^5 + T^5) \Biggr) \\ &+ \frac{1}{T} \left(asT + \frac{bsT^2}{2} + \frac{csT^3}{3} + \frac{dsT^4}{4} + \frac{fsT^5}{5} - asT_1 - \frac{bs}{2} T_1^2 - \frac{cs}{3} T_1^3 \right. \\ &- \frac{ds}{4} T_1^4 - \frac{fs}{5} T_1^5 + C(-\alpha - bT - cT^2 - dT^3 - fT^4) \Biggr) \Biggr) \\ &\frac{\partial Z}{\partial T_1} = \frac{1}{T} \Biggl(h \left(\frac{aT_1}{\alpha+1} + \frac{2bT_1^2}{\alpha+2} + \frac{3cT_1^3}{\alpha+3} + \frac{4dT_1^4}{\alpha+4} + \frac{5fT_1^5}{\alpha+5} \right) \\ &+ (asT_1 + bsT_1^2 + csT_1^3 + dsT_1^4 + fsT_1^5 - asT - bsT_1T - csT_1^2T - dsT_1^3T - fsT_1^4T) \\ &+ C \left(\frac{a(\alpha+2)}{(\alpha+1)} + \frac{bT_1(\alpha+4)}{(\alpha+2)} + \frac{cT_1^2(\alpha+6)}{(\alpha+3)} + \frac{dT_1^3(\alpha+8)}{(\alpha+4)} \right. \\ &+ \frac{fT_1^4(\alpha+10)}{(\alpha+5)} - \alpha - bT_1 - cT_1^2 - dT_1^3 - fT_1^4 \Biggr) \Biggr)$$

$$\begin{split} \frac{\partial^2 Z}{\partial T \partial T_1} &= \frac{-1}{T^2} \Biggl(h \left(\frac{a T_1}{\alpha + 1} + \frac{2b T_1^2}{\alpha + 2} + \frac{3c T_1^3}{\alpha + 3} + \frac{4d T_1^4}{\alpha + 4} + \frac{5f T_1^5}{\alpha + 5} \right) \\ &+ (as T_1 + bs T_1^2 + cs T_1^3 + ds T_1^4 + fs T_1^5 - as T - bs T_1 T - cs T_1^2 T \\ &- ds T_1^3 T - fs T_1^4 T) \\ &+ C \left(\frac{a(\alpha + 2)}{(\alpha + 1)} + \frac{b T_1(\alpha + 4)}{(\alpha + 2)} + \frac{c T_1^2(\alpha + 6)}{(\alpha + 3)} + \frac{d T_1^3(\alpha + 8)}{(\alpha + 4)} \right) \\ &+ \frac{f T_1^4(\alpha + 10)}{(\alpha + 5)} - a - b T_1 - c T_1^2 - d T_1^3 - f T_1^4 \Biggr) \Biggr) \\ &+ \frac{1}{T} \left(-as - bs T_1 - cs T_1^2 - ds T_1^3 - fs T_1^4 \right) \\ &+ \frac{\partial^2 Z}{\partial T_1^2} = \frac{1}{T} \Biggl(h \left(\frac{\alpha}{\alpha + 1} + \frac{4b T_1}{\alpha + 2} + \frac{9c T_1^2}{\alpha + 3} + \frac{16d T_1^3}{\alpha + 4} + \frac{25f T_1^4}{\alpha + 5} \right) \\ &+ (as + 2bs T_1 + 3cs T_1^2 + 4ds T_1^3 + 5f s T_1^4 - bs T - 2cs T_1 T \\ &- 3ds T_1^2 T - 4f s T_1^3 T \right) \\ &+ C \left(\frac{b(\alpha + 4)}{(\alpha + 2)} + \frac{2c T_1(\alpha + 6)}{(\alpha + 3)} + \frac{3d T_1^2(\alpha + 8)}{(\alpha + 4)} + \frac{4f T_1^3(\alpha + 10)}{(\alpha + 5)} - b \right) \\ &- 2c T_1 - 3d T_1^2 - 4f T_1^3 \Biggr) \Biggr) \end{split}$$





Akilandeswari

$$\frac{\partial^2 Z}{\partial T^2} = \frac{2}{T^3} \left(A + h \left(\frac{aT_1^2}{2(\alpha + 1)} + \frac{2bT_1^3}{3(\alpha + 2)} + \frac{3cT_1^4}{4(\alpha + 3)} + \frac{4dT_1^5}{5(\alpha + 4)} + \frac{5fT_1^6}{6(\alpha + 5)} \right) \right.$$

$$+ \left(\frac{as}{2} \left(T^2 + T_1^2 \right) + \frac{bs}{3} \left(\frac{T^3}{2} + T_1^3 \right) + \frac{cs}{4} \left(\frac{T^4}{3} + T_1^4 \right) + \frac{ds}{5} \left(\frac{T^5}{4} + T_1^5 \right) \right.$$

$$+ \frac{fs}{6} \left(\frac{T^6}{5} + T_1^6 \right) - asT_1T - \frac{bs}{2} T_1^2T - \frac{cs}{3} T_1^3T - \frac{ds}{4} T_1^4T - \frac{fs}{5} T_1^5T \right)$$

$$+ C \left(\frac{aT_1(\alpha + 2)}{(\alpha + 1)} + \frac{bT_1^2(\alpha + 4)}{2(\alpha + 2)} + \frac{cT_1^3(\alpha + 6)}{3(\alpha + 3)} + \frac{dT_1^4(\alpha + 8)}{4(\alpha + 4)} \right.$$

$$+ \frac{fT_1^5(\alpha + 10)}{5(\alpha + 5)} - a(T_1 + T) - \left(\frac{b}{2} \right) \left(T_1^2 + T^2 \right) - \left(\frac{c}{3} \right) \left(T_1^3 + T^3 \right)$$

$$- \left(\frac{d}{4} \right) \left(T_1^4 + T^4 \right) - \left(\frac{f}{5} \right) \left(T_1^5 + T^5 \right) \right) \right)$$

$$- \frac{2}{T^2} \left(asT + \frac{bsT^2}{2} + \frac{csT^3}{3} + \frac{dsT^4}{4} + \frac{fsT^5}{5} - asT_1 - \frac{bs}{2} T_1^2 - \frac{cs}{3} T_1^3 \right.$$

$$- \frac{ds}{4} T_1^4 - \frac{fs}{5} T_1^5 + C(-a - bT - cT^2 - dT^3 - fT^4) \right)$$

$$+ \frac{1}{T} \left(as + bsT + csT^2 + dsT^3 + fsT^4 \right.$$

$$+ C(-b - 2cT - 3dT^2 - 4fT^3) \right)$$





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Enactment in Homogeneous-Heterogeneous **Blood-Based** Carreau Nanofluid Flow through a Non-Linear Stretching Cylinder: A **Fuzzy Volume Proportion Modeling**

P.Asaigeethan^{1*} and K.Vaithiyalingam²

¹Assistant Professor, Department of Mathematics, Government College of Technology, Thadagam Road, Coimbatore (Affiliated to Anna University, Chennai), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Sri Vasavi College, Erode, (Affiliated to Bharathiar University, Coimbatore), Tamil Nadu, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence P.Asaigeethan

Assistant Professor, Department of Mathematics, Government College of Technology, Thadagam Road, Coimbatore (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Email: asaigeethan14@gct.ac.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The primary goal of this analysis is to examine the effects of a spontaneously transferring nanofluid. The base fluid used is a Carreau fluid (Blood), and the nanoparticle used is Titanium dioxide (T_iO_2) . The fluid moves through a cylinder that has been stretched in a fuzzy ambiguous context. To operate an equivalent transformation, the governing equations for physical domains are transformed from a set of partial differential equations (PDE) to ordinary differential equations (ODE). The MATLAB software was used to solve the following set of equations using the bvp5c technique. The exact volume of nanoparticles (T_iO₂) is uncertain and is represented by TFNs (triangular fuzzy numbers) [0, 0.05, 0.1]. The triangular membership function (TMF) is employed to analyze the dissimilarities of ambiguity, when the α -cut is utilized to regulate the Fuzzy Numbers (TFNs). Comparison of crisp and fuzzy numbers for accurate numerical results. Tables and graphs are employed to present the results.

Keywords: Buongiorno, Power law index number, TFN, TMFs, FN, α -cut, bvp5c





Asaigeethan and Vaithiyalingam

INTRODUCTION

Scientists and engineers are becoming more fascination with through conduction flow in nature because of its extensive applications in several fields, including heat transfer devices, refrigeration, insulation, solar energy gathering, chemical catalysts power plants, nuclear material repositories, petrochemical ponds, and more. Many researchers have utilized natural convection to investigate the flow of Newtonian fluid or non-Newtonian fluidthrough different geometric regions. In recent decades, numerous efforts have been made in scientific literature to provide precise definitions for the thermo physical characteristics of viscous fluids. These efforts have included the exploration of concepts related to Fuzzification and the study of heat transfer on stretched surfaces. Malik et al [1] investigated the relationship between pressure and the viscosity of Carreau fluid as it passed through a porous media. Megahed et al [2]explored the numerical resolution for the movement of a Newtonian fluid across a nonporous stretched surface by a power law indexed momentum; slide boundary momentum, and changing substantial amounts. Ellahi et al [3]study focused on the theoretical analysis of the peristaltic motion of a Jeffrey fluid in a rectangular conduit that is not uniform, taking into account the effects of ion slip and hall. Mukhopadhyay et al [4] explored the slide flow behavior of a magnetohydrodynamic (MHD) viscous fluid across a cylinder under stretched. They hypothesized that the Slip and magnetic parameters cause a decrease in velocity. Hussain et al [5] investigated the consequences of viscous loss of energy and Joule heating on the flow of magneto hydrodynamic Sisko nanofluid across a stretched cylinder. They proposed that MHD is responsible for regulating the velocity boundary layer and is employed for fluid heating purposes. Shaw et al [6] explored the effect of uniform-diverseremarks in micropolar fluid flow over a sheet that is being stretched or shrunk. It is widely accepted that homogeneous-heterogeneous reactions lead to a decrease in the potency of the reacting substances. Hayat et al [7] the effect of homogeneous/heterogeneous responses on MHD motion of fluid over an extending cylinder were examined.

They discovered the concentrations at the region drops as heterogeneous reactions are strengthened; these have an inverse connection with mass diffusivity. Merkin [8] studied the consequences of insufficient reactants on the flow of the boundary layer, both in a uniform manner and with variations in composition. Due to the formation of a reduced reactant surface layer, the homogeneous reaction takes place. It has been found that the outside response is an excellent method for differentiating the edge. Eman [9] investigated a hybrid methodology that utilized fuzzy differential equations (FDE) and devised an intrinsic technique to solve nthorder FDE employing distinguishing characteristics concept. Hang et al [10] explained the basic principle of derivatives of fuzzy. Kaleva [11]introduced the concept of fuzzy differential equations (FDEs). Zadeh [12] The FST was first exhibited in 1965. FST, or Fuzzy Set Theory, is a highly efficient approach for representing scenarios that involve uncertain or imprecise data. Dubois et al [13] the utilization of fuzzy numbers to solve FDEs has gained significant importance in the field of fluid motion in recent times. The study aims to utilize the mathematical approach byp5c to analyze the flow of nanofluid described by modified Buongiorno simulation around a cylinder that extends linearly influenced byan indistinct external magnetic field. The Carreau fluid model is used to represent the base fluid, which in this case is blood. The nanoparticle being studied is Titanium dioxide (T_iO₂). An investigation is conducted on the influence of the, Prandtl, Schmidt numbers and Weissenberg, as well as the volume percentage of nanoparticles, on the velocity, temperature, and concentration field. A recent finding has revealed that the addition of nanofluid has a substantial impact on the momentum and energy efficiency of the base fluid. This analysis additionally examined the volume proportion of nanoparticle as an unspecified limiting factor, utilizing FN or TFN. The FDEs with the α -cut approach is utilized to address convection in a fuzzy atmosphere.

Basics of Fuzzy concept

This part offers a comprehensive explanation of fuzzy qualities that can be used for subsequent calculations.

Definition 1 A fuzzy set is an assortment of arranged pairs that satisfy a certain condition. $\overline{A} = \{(\eta, \mu_{\overline{A}}(\eta)): f \in X, \mu_{\overline{A}}(\eta) \in [0,1]\}$. A mapping function is defined as $\mu_{\overline{A}}(\eta): X \to [0,1]$, X is the all-encompassing set, and $\mu_{\overline{A}}(\eta)$ membership function of \overline{A} .





ISSN: 0976 - 0997 *International Bimonthly (Print) – Open Access* Vol.15 / Issue 86/ Oct / 2024

Asaigeethan and Vaithiyalingam

Definition 2 A crisp set A_{α} is specified as a subset of the α -Cut fuzzyset \overline{A} $A_{\alpha} = \{\eta/\mu_{\overline{A}}(\eta) \ge \alpha\}$, $0 \le \alpha \le 1$.

Definition 3 TFN, $\overline{A} = (q_1, q_2, q_3)$ with membership function $\mu_{\overline{A}}(\eta)$ is

$$\mu_{\overline{A}}(\eta) = \begin{cases} \frac{\eta - q_1}{q_2 - q_1}, & \text{for } q_1 \leq \eta \leq q_2 \\ \frac{q_3 - \eta}{q_3 - q_2}, & \text{for } q_2 \leq \eta \leq q_3 \end{cases}$$

$$0, \quad \text{otherwise}$$
The α -cut approach is used to transform a TFN with a maximum (or middle point) q_2 , right length $q_3 - q_2 > 0$, and the following the point $q_3 - q_2 > 0$ and $q_3 - q_3 > 0$.

left length $b_2 - b_1 > 0$ into interval numbers, which are then written as $\bar{A} = [f'_1(\eta; \alpha), f_2'(\eta; \alpha)]; [\theta_1(\eta; \alpha), \theta_2(\eta; \alpha)] =$ $[q_1+(q_2-q_1)\alpha, q_3-(q_3-q_2)\alpha]$, where α is a value between 0 and 1.An essential part of fuzzy set theory, the membership function defines the membership function. The α -cut membership function and triangular fuzzy numbers $\overline{A} = (q_1, q_2, q_3)$ are shown in Figure 1. An arbitrarily generated triangular fuzzy number satisfying the conditions given below

- $f'_1(\eta;\alpha)$ and $\theta_1(\eta;\alpha)$ are an developing function on [0, 1].
- $f'_{2}(\eta; \alpha)$ and $\theta_{2}(\eta; \alpha)$ are a diminishing function on [0, 1]. (ii)
- $f'_{1}(\eta;\alpha) \le f'_{2}(\eta;\alpha)$ and $\theta_{1}(\eta;\alpha) \le \theta_{2}(\eta;\alpha)$ on [0, 1].
- $f_1'(\eta;\alpha), f_2'(\eta;\alpha), \theta_1(\eta;\alpha)$ and $\theta_2(\eta;\alpha)$ are defined at [0, 1]. (iv)
- If $f_1(\eta; \alpha) = f_2(\eta; \alpha) = f(\eta)$ and $\theta_1(\eta; \alpha) = \theta_2(\eta; \alpha) = \theta(\eta)$, where $f'(\eta)$ and $\theta(\eta)$ are a crisp velocity and (v) crisp temperature respectively.

Mathematical formulation

An applied transverse magnetic (B₀) that is constant was used to submit a modified Buongiorno framework to the incompressible flow of an MHD Carreau nanofluid. An elongated cylinder exhibiting homogeneous-heterogeneous reactions It is done by applying a standard magnetic field (B₀) to the cylinder. In terms of thermal stability, the base fluid and nanoparticle are considered to be indistinguishable. The characteristics of the nanofluid's structure are summarized in Table 1. Based on the presumptions indicated earlier and Merkin [8] theoretical concepts, simple manipulation heterogeneous-homogeneous responses can be expressed in the following manner. Figure 2 illustrates the physical model of the flow system.

$$P + 2Q \rightarrow 3Q$$
, rate = $l_c pq^2$ (2)

On the catalyst surface, a first-order isothermal reaction is taking place.

$$P \to Q$$
, rate = $l_s p$ (3)

In this case, l_c and l_s are the constantsrate, and p and q are the quantities of the chemical kinds. It is believed that both reactions are isothermal. It was possible to generate the model regulating equation after using the common boundary layer perspective.

$$\frac{\partial}{\partial r}(ru) + \frac{\partial}{\partial r}(rv) = 0 \tag{4}$$

$$u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial r} = \frac{\mu_{nf}}{\rho_{nf}} \frac{1}{r} \frac{\partial}{\partial r} \left[\left(r \frac{\partial u}{\partial r} \right) \left(1 + \Gamma^2 \left(\frac{\partial u}{\partial r} \right)^2 \right) \right]^{\frac{n-1}{2}} + \frac{\mu_{nf}}{\rho_{nf}} (n-1) \Gamma^2 \frac{\partial^2 u}{\partial r^2} \left(\frac{\partial u}{\partial r} \right)^2 \left[1 + \Gamma^2 \left(\frac{\partial u}{\partial r} \right)^2 \right]^{\frac{n-3}{2}} - \frac{\sigma_{nf} B_0^2 u}{\rho_{nf}}, \quad (5)$$

$$u\frac{\partial T}{\partial x} + v\frac{\partial T}{\partial r} = \frac{k_{nf}}{r(\rho C_p)_{nf}} \frac{\partial}{\partial r} \left[r \frac{\partial T}{\partial r} \right] + \tau \left[D_B \frac{\partial b}{\partial r} \frac{\partial T}{\partial r} + \frac{D_T}{T_\infty} \left(\frac{\partial T}{\partial r} \right)^2 \right]$$

$$u\frac{\partial a}{\partial x} + v\frac{\partial a}{\partial r} = D_A \left[\frac{\partial^2 a}{\partial r^2} + \frac{\partial a}{\partial r} \right] + D_B \frac{\partial b}{\partial r} + \frac{D_T}{T_\infty} \frac{\partial T}{\partial r} - p_c a b^2$$

$$(7)$$

$$u\frac{\partial T}{\partial x} + v\frac{\partial T}{\partial r} = \frac{k_{nf}}{r(\rho C_n)_{nf}} \frac{\partial}{\partial r} \left[r \frac{\partial T}{\partial r} \right] + \tau \left[D_B \frac{\partial b}{\partial r} \frac{\partial T}{\partial r} + \frac{D_T}{T_n} \left(\frac{\partial T}{\partial r} \right)^2 \right] \tag{6}$$

$$u\frac{\partial a}{\partial r} + v\frac{\partial a}{\partial r} = D_A \left[\frac{\partial^2 a}{\partial r^2} + \frac{\partial a}{\partial r} \right] + D_B \frac{\partial b}{\partial r} + \frac{D_T}{T} \frac{\partial T}{\partial r} - p_c a b^2$$
 (7)

$$u\frac{\partial b}{\partial x} + v\frac{\partial b}{\partial r} = D_B \left[\frac{\partial^2 b}{\partial r^2} + \frac{\partial b}{\partial r} \right] + D_B \frac{\partial b}{\partial r} + \frac{D_T}{T_\infty} \frac{\partial T}{\partial r} + p_s a b^2$$
 (8)

For the Equations (4)– (8), The following is an explicit definition of the boundary conditions::

$$u = u(x), v = 0, D_A \frac{\partial a}{\partial r} = l_c a, D_B \frac{\partial b}{\partial r} = -l_s b, T = T_w,$$

$$D_B \frac{\partial b}{\partial r} + \frac{D_T}{T_\infty} \frac{\partial T}{\partial r} = 0 \text{at} r = R, u \to 0, T \to T_\infty, a \to a_\infty, b \to b_\infty as r \to \infty (9)$$

Where $u(x) = \frac{xu_0}{l}$ where u_0 velocity, l is the characteristic length, u(axial direction) and v(radial direction) are velocity elements.





Asaigeethan and Vaithiyalingam

 $D_B \frac{\partial b}{\partial r} + \frac{D_T}{T} \frac{\partial T}{\partial r} = 0$. It is asserted that the inclusion of thermophoresis results in a lack of movement of nanoparticles at

$$\rho_{nf} = (1 - \varphi)\rho_f + \varphi \rho_{nf} \tag{10}$$

$$\rho_{nf} = (1 - \varphi)\rho_f + \varphi \rho_{nf},$$

$$\mu_{nf} = \frac{\mu_f}{(1 - \varphi)^{2.5'}}$$
(10)

$$(\rho C_p)_{nf} = (1 - \varphi)(\rho C_p)_f + \varphi(\rho C_p)_n, \tag{12}$$

$$v_{nf} = \frac{\mu_{nf}}{\epsilon_{nf}}, \quad \alpha_{nf} = \frac{k_{nf}}{\epsilon_{nf}}, \quad (13)$$

$$\nu_{nf} = \frac{\mu_{nf}}{\rho_{nf}}, \quad \alpha_{nf} = \frac{k_{nf}}{(\rho C_p)_{nf}}, \\
\sigma_{nf} = \sigma_f \left(1 + \frac{3(\sigma - 1)\varphi}{(\sigma + 2) - (\sigma - 1)\varphi} \right), \quad \sigma = \frac{\sigma_p}{\sigma_f}, \\
\frac{k_{nf}}{k_f} = \frac{(2k_f + k_n) - 2\varphi(k_f - k_n)}{(2k_f + k_n) + \varphi(k_f - k_n)}, \quad (15)$$

$$\frac{k_{nf}}{k_f} = \frac{(2k_f + k_n) - 2\varphi(k_f - k_n)}{(2k_f + k_n) + \varphi(k_f - k_n)},\tag{15}$$

To provide consistency, the stream function $\psi(r,x)$ is added in order to fulfill the continuity equation (3).

$$u = \frac{1}{r} \frac{\partial \psi}{\partial r}, \quad v = -\frac{1}{r} \frac{\partial \psi}{\partial x}$$
Where $\psi = \sqrt{u(x)v_f x} \, Rf(\eta)$, is the function of the dimensionless stream. (16)

$$\eta = \frac{r^2 - R^2}{2R} \sqrt{\frac{u(x)}{\nu_f x}}, \quad \theta(\eta) = \frac{T - T_{\infty}}{T_p - T_{\infty}},\tag{17}$$

That which follows a depiction of the concentrations of the chemical spices P and Q:
$$m(\eta) = \frac{p}{p_0} \text{and } n(\eta) = \frac{q}{q_0}, \tag{18}$$

Upon incorporating the designated transformation, the governing equations manifest themselves in the subsequent

$$2D_{1}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-1}{2}}+D_{1}(1+2k\eta)f^{''}\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-1}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}+D_{1}(n-1)we^{2}(f^{''})^{3}k\left(1+we^{2}(f^{''})^{2}\right)^{\frac{n-3}{2}}$$

$$D_1(n-1)we^2(f'')^2(1+we^2(f'')^2)^{\frac{n-3}{2}}(1+2k\eta) - D_2D_3Bf' - (f')^2 + ff'' = 0$$
(19)

$$D_{5}(1+2k\eta)\theta^{''}+2kD_{4}\theta^{'}+D_{4}Prf\theta^{'}+(1+2k\eta)[N_{b}n^{'}(\eta).\theta^{'}(\eta)]+(1+2k\eta)[N_{t}(\theta^{'}(\eta))^{2}]=0,$$
(20)

$$\frac{1}{Sc}\left((1+2k\eta)m''+2km'\right)+m'f-Lmn^2=0,$$

$$\frac{\delta}{Sc}\left((1+2k\eta)n''+2kn'\right)+n'f+Lmn^2=0.$$
(21)

$$\frac{\delta}{c_0} \left((1 + 2k\eta) n'' + 2kn' \right) + n'f + Lmn^2 = 0.$$
 (22)

The compatible of the boundary conditions.

$$f(0) = 0, \quad \theta(0) = 1, \quad m'(0) = L_s m(0), \quad N_b m'(0) + N_t \theta'(0) = 0,$$

$$\delta n'(0) = \frac{-L_s n(0)}{v_f}, \quad f'(0) = 1, \quad f'(\infty) = 0, \quad \theta(\infty) = 0, \quad m(\infty) = 1, \quad n(\infty) = 1.$$
(23)

In most instances, we anticipate that the coefficients of diffusion of chemical kinds P and Q would be approximately equivalent in size. We assume that the coefficients of diffusion D_A and D_B are equal; meaning that $\delta = 1$.

$$m(\eta) + n(\eta) = 1,\tag{24}$$

Eqs. (21) and (22) are thus transformed into the following expressions.

$$\frac{1}{Sc}\left((1+2k\eta)m''+2km'\right)+m'f+\frac{N_t}{N_h}\left[(1+2k\eta)\theta''(\eta)+2k\theta'(\eta)\right]-Lm(1-m)^2=0,$$
(25)

Based on the specified boundary conditions

$$m'(0) = Ls m(0), m(\infty) \rightarrow 1.$$
 (26)

Fuzzification formulation

Small changes in volume fraction of nanoparticle affect momentum and energy. Some researchers utilize the volume fraction of nanoparticles in the vary on [0.01-0.05], presuming flow of fluid is defined under these principles. However, this produces ambiguity. The fuzzy setting, φ denotes the volume fraction of T_iO_2 . A fuzzy number should be used for volume fractions in complex scenarios. Fuzzy solutions were created by converting nonlinear ODEs (19), (20), and (25) into FDEs with boundary circumstances through α – cut method.

$$2D_1k\left(1+we^2\left(\frac{d^2}{d\eta^2}[f_1(\eta,\alpha),f_2(\eta,\alpha)]\right)^2\right)^{\frac{n-1}{2}}+$$

$$D_{1}(1+2k\eta)\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\left(1+we^{2}\left(\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2}\right)^{\frac{n-1}{2}}+D_{1}(n-1)we^{2}\left(\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{3}k\left(1+\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2}$$





Asaigeethan and Vaithiyalingam

$$we^{2} \left(\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2}\right)^{\frac{n-3}{2}} + D_{1}(n-1)we^{2} \left(\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2} \left(1 + we^{2} \left(\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2}\right)^{\frac{n-3}{2}} (1 + 2k\eta) - D_{2}D_{3}B\left(\frac{d}{d\eta}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right) - \left(\frac{d}{d\eta}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)]\right)^{2} + f\frac{d^{2}}{d\eta^{2}}[f_{1}(\eta,\alpha),f_{2}(\eta,\alpha)] = 0,$$

$$D_{5}(1 + 2k\eta)\frac{d^{2}}{d\eta^{2}}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)] + 2kD_{4}\frac{d}{d\eta}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)] + D_{4}Prf\frac{d}{d\eta}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)] + \left(1 + 2k\eta\right)\left[N_{b}n'(\eta)\frac{d}{d\eta}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)]\right] + \left(1 + 2k\eta\right)\left[N_{t}\left(\frac{d}{d\eta}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)]\right)^{2}\right] = 0,$$

$$\frac{1}{Sc}\left((1 + 2k\eta)\frac{d^{2}}{d\eta^{2}}[m_{1}(\eta,\alpha),m_{2}(\eta,\alpha)] + 2k\frac{d}{d\eta}[m_{1}(\eta,\alpha),m_{2}(\eta,\alpha)]\right) + \left(1 + 2k\eta\right)\frac{d^{2}}{d\eta^{2}}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)] + 2k\frac{d}{d\eta}[\theta_{1}(\eta,\alpha),\theta_{2}(\eta,\alpha)] - Lm(1 - m)^{2} = 0.$$

$$(29)$$

Boundary conditions are compatible with the following:

$$f(\eta,\alpha) = 0, \qquad \frac{d}{d\eta} [f_1(\eta,\alpha), f_2(\eta,\alpha)] = 1, \theta(\eta,\alpha) = 1,$$

$$\frac{d}{d\eta} [m_1(\eta,\alpha), m_2(\eta,\alpha)] = L_s m(\eta,\alpha), \qquad \frac{d}{d\eta} [m_1(\eta,\alpha), m_2(\eta,\alpha)] + N_t \frac{d}{d\eta} [\theta_1(\eta,\alpha), \theta_2(\eta,\alpha)] = 0,$$

$$\frac{d}{d\eta} [f_1(\eta,\alpha), f_2(\eta,\alpha)] = 0, \quad \eta \to \infty, \theta(\eta,\alpha) = 0, \quad \eta \to 0, m(\eta,\alpha) = 1, \quad \eta \to 1.$$
(30)

Similar to the velocity of a fuzzy field, here $f_1(\eta;\alpha)$ represents the lowest limit and $f_2(\eta;\alpha)$ represents the higher limit, the temperature profile $\theta(\eta;\alpha) = [\theta_1(\eta;\alpha),\theta_2(\eta;\alpha)]$ is also involved. The TFN and crisp values corresponding to these FNs are displayed in Table 2. The variance of FN at every α -cut was ascertained by the TFNs. The TMFs of the FNs are defined as TFNs, and they range from 0 to 1.

RESULTS AND DISCUSSIONS

In the Carreau fluid (Blood) model, T₁O₂ nanoparticles enhance velocity and temperature in a stretched cylinder with a constant power law index. In MATLAB, the numerical technique bvp5c solves nonlinear ODEs (19), (20), and (25) with boundary conditions. In Figure 3, we discover elements that significantly impact the triangular fuzzy number value of nanoparticle volume percentage $\varphi = [0,0.05,0.1]$. Those parameters include Weissenberg number (We), curvature (k), magnetic field (B), and the effect of constant power law index number n = 1.4 on velocity. Figure 3 (a) As the Weissenberg number (We) and nanoparticle volume percentage grow, the velocity profile decreases forthe constant power law index number. Weissenberg number, this is the ratio of the fluid's leisure time to the procedure's frequency time, thickens the fluid and slows velocity. Figure 3 (b) reciprocal relationships between curvature parameter and cylinder radius. As curvature increases, the cylinder's parameter reduces the surface and radius. Thus, fluid movement is less constrained, enhancing velocity. Figure 3 (c) shows how the magnetic (B) impacts Carreau nanofluid momentum for constant power law index number. The figure shows that Carreau nanofluid velocity lowers the magnetic projection. Higher nanoparticle volume proportions and magnetic field parameters indicate stronger Lorentz forces. Carreau nanofluid particles had trouble with resistive forces, which lowered velocity curves. Figure 4 (a) demonstrates the consequences of the Prandtl numeral (Pr) on the energy profile in the absence of boundary permeability. The temperature decreases as Pr increases. Increasing the Prandtl number reduces the thickness (n = 1.4) of the boundary circumstances of heat. Figure 4 (b) displays the energy (temperature) reductions for a consistent power law index number for various numerical values of the curvature factor (k). The temperature decreases as the curvature factor enhances due to a decrease in the kinetic energy and velocity of fluid flow. Figure. 5 shows how the volume fraction of nanoparticles has an impact on chemically reactive species concentration in constant power law index number, as Schmidt number (Sc) increases. Larger Schmidt number values improve concentration profiles due to reduced mass diffusivity. Now let's discuss the volume fraction of nanoparticle T_iO₂on Fuzzy ambient. Thenanoparticles volume fractions, as specified in Table 1, are classified as TFN while analyzed through the α -cut approach ($0 \le \alpha \le 1$). The volume fraction of TFNs (T_iO_2 nanoparticle) is shown in Figure 7 (refer to Table 2). The values of $f(\eta, \alpha)$ and $\theta(\eta, \alpha)$ are influenced by the α -cut values $(\alpha = 0, 0.3, 0.7, 1)$. The lower and upper bounds of the velocity fields in Figure 7(a) correspond to the constant power law index number (n = 1.4) for TFNs





Asaigeethan and Vaithiyalingam

(ϕ). The upper and lower limits of the nanofluid are $f_1(\eta;\alpha)$ and $f_2(\eta;\alpha)$ when α – cut values. As α – cut increases, the breadth between $f_1(\eta,\alpha)$ and $f_2(\eta,\alpha)$ diminishes, becoming constant at $\alpha=1$. Similar way Figure 7(b) shows that when α increases the fuzzy temperature $\theta(\eta,\alpha)$, the difference between $\theta_1(\eta,\alpha)$ and $\theta_2(\eta,\alpha)$ reduces, resulting in $\alpha=1$ consistency.

CONCLUSION

Sequential body velocity, temperature, and concentration profiles for an MHD Carreau nanofluid moving through a stretched cylinder in a fuzzy atmosphere are computed using a modified version of Buongiornoframe work. We discovered. Numerical convergence of the approach was confirmed using predefined parameters. Uncertainty variability is analyzed by the TMF, and TFNs are controlled by the α -cut. When constant power law index number(n = 1.4), nanofluid's velocity profile increases along with the Weissenberg numeral increasing behavior. For a constant power law index number(n = 1.4), increasing the curvature limiting factor (k) enhances the nanofluid velocity. The nanofluid velocity diminishes as the magnetic field parameter (k) grows with a constant power law index number(k) grows. The impact on fluid temperature decreases for constant power law index number for increasing curvature parameter(k). The concentration profiles increase for constant power law index number when various values of Schmidt number(k). Considering the results, the ideal value for k0 range from 0 to 1, with the assumed perception of the TFN being determined by the constant width of the fuzzy velocity and fuzzy temperature.

REFERENCES

- 1. Malik MY, Zehra, I, Nadeem, S: Flows of Carreau fluid with pressure dependent viscosity in a variable porous medium: application of polymer melt. Alex. Eng. J.2014; 53: 427-435.
- 2. Khader MM, Megahed AM: Numerical solution for boundary layer flow due to a nonlinearly stretching sheet with variable thickness and slip velocity. Eur. Phys. J. Plus. 2013; 100-128.
- 3. Ellahi, R, Bhatti, MM, Pop, I: Effect of Hall and Ion Slip on MHD Peristaltic of Jeffrey fluid in a no uniform rectangular duct. Inter. J. for Num. Met. Heat and Fluid Flow.2016; 26.
- 4. Mukhopadhyay S: MHD boundary layer slips flow along a stretching cylinder Department of Mathematics Ain Shams Eng J. 2013; 4:317-324.
- 5. Hussain A,Malik M.Y, Salahuddin T, Bilal S, Awais M: Combined effects of viscous dissipation and Joule heating on MHD Sisko nanofluid over a stretching cylinder J Mol Liq. 2017; 231:341-352.
- 6. Shaw S, Kameswaran P.K, Sibanda P: Homogeneous-heterogeneous reactions in micro polar fluid flow from a permeable stretching or shrinking sheet in a porous medium Boundary Value Problems.2013. 10.1186/1687-2770-2013-77.
- 7. Hayat T, Hussain Z, Alsaedi A, Farooq M: Magneto hydrodynamic flow by a stretching cylinder with Newtonian heating and homogeneous-heterogeneous reactions 2016.
- 8. http://dx.doi.org/10.1371/journal.pone.0156955
- 9. Merkin J.H: A model for isothermal homogeneous-heterogeneous reactions in Boundary-layer flow, Math. Comput. Model. 1996; 24.
- 10. Eman H: On Fuzzy differential equation, Journal of Al-Qadisiyah for computer science and mathematics.2019; 2.
- 11. Chang SS, Zadeh LA: On fuzzy mapping and control. In: Fuzzy sets, fuzzy logic, and fuzzy systems: selected papers by Lotfia Zadeh. World Scientific. 1996.
- 12. Kaleva O: Fuzzy differential equations. Fuzzy Sets Syst.1987; 24(3).
- 13. L. A. Zadeh: Fuzzy sets, Information and Control.1965; 8.
- 14. Dubois D, Prade H: Towards fuzzy differential calculus Part 3: differentiation. Fuzzy Sets Syst.1982; 8(3).





Asaigeethan and Vaithiyalingam

Table 1:The base fluid's and the nanoparticle's thermo physical properties

Thermal properties	$C_p(Jkg^{-1}K^{-1})$	$\rho(kgm^{-3})$	$k(Wm^{-1}K^{-1})$	$\sigma(\Omega^{-1} cm^{-1})$
Blood	3617	1050	0.52	0.667
T_iO_2	690	4250	8.953	2.4×10^{6}

Table 2: Nomenclature

a and b	Concentrations of nanoparticle	
D _A and D _B	Brownian diffusion coefficients	
D_{T}	Thermophoretic diffusion coefficient	
$(C_p)_{nf}$	Specific heat of nanofluid	
μ_{nf}	Dynamic viscosity of nanofluid	
$ ho_{ m nf}$	Density of nanofluid	
$(\rho C_p)_{nf}$	Heat capacity of nanofluid	
ν_{nf}	Kinematic viscosity nanofluid	
k_{nf}	Thermal conductivity of nanofluid	
$\sigma_{ m nf}$	Electrical conductivity of nanofluid	
α_{nf}	Thermal diffusivity of nanofluid	
$ ho_{ m f}$	Density of base fluid	
μ_{f}	Viscosity of base fluid	
\mathbf{k}_{f}	Thermal conductivity of the base fluid	
φ	Nanoparticle volume fraction	
n	Power law index	
$\tau = \frac{(\rho C)_{\rm f}}{(\rho C)_{\rm p}}$	Fluid heat capacity	

Table 3: The compatible of the boundary conditions.

	1
D_1	$(1-\phi)^{2.5} \left(1-\phi + \left(\frac{\phi \rho_n}{\rho_f}\right)\right)$
D_2	$3\left(\frac{\sigma_p}{\sigma_f}-1\right)\phi$
DZ	$1 + \frac{\left(\frac{\sigma_p}{\sigma_f} + 2\right) - \left(\frac{\sigma_p}{\sigma_f} - 1\right)\phi}{1}$
	1
D_3	$\left(1-\phi+\left(rac{\phi ho_{ m n}}{ ho_{ m f}} ight) ight)$
D_4	$\left(1 - \phi + \frac{\phi(\rho C_p)_n}{(\rho C_p)_f}\right)$
D-	$(2k_f + k_n) - 2\phi(k_f - k_n)$
D_5	$(2k_f + k_n) + \varphi(k_f - k_n)$

Table 4: Non-dimensional numerals

Curvature Parameter	k	$\frac{1}{R}\sqrt{\frac{\nu_f l}{u_0}}$
Weissenberg number	We	$\frac{\tau^2 r^2 u_0^3 x^2}{l^3 v_f}$



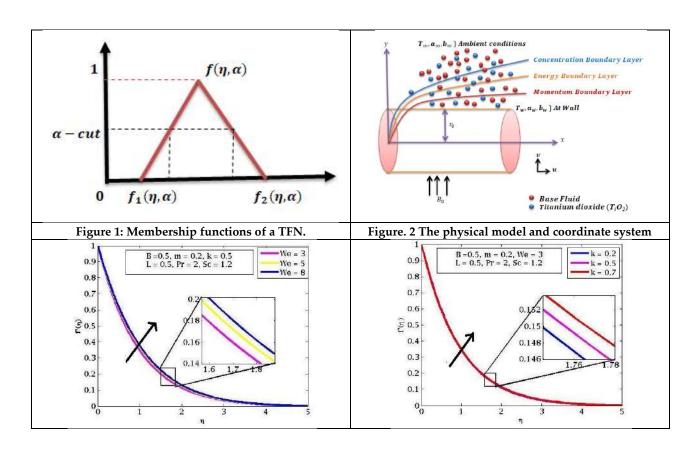


Asaigeethan and Vaithiyalingam

Magnetic field parameter	В	$\frac{\sigma B_0^2}{\rho_f a}$
Prandtl number	Pr	$\frac{\nu_{\rm f}}{\alpha}$
Schmidt number	Sc	$\frac{\nu_{\mathrm{f}}}{\mathrm{D_{A}}}$
Ratio diffusion coefficients	δ	$\frac{\mathrm{D_B}}{\mathrm{D_A}}$
Brownian motion limiting factor	N _b	$\frac{\tau D_B(b_w - b_\infty)}{\nu}$
Thermophoresis limiting factor	N _t	$\frac{\tau D_T (T_w - T_{\infty})}{\nu T_{\infty}}$
homogeneous-heterogeneous reactions limiting factor	Ls	$\frac{l_s}{D_A} \sqrt{\frac{v_f l}{u_0}}$

Table 5: TFN volume proportion of fuzzy nanoparticles

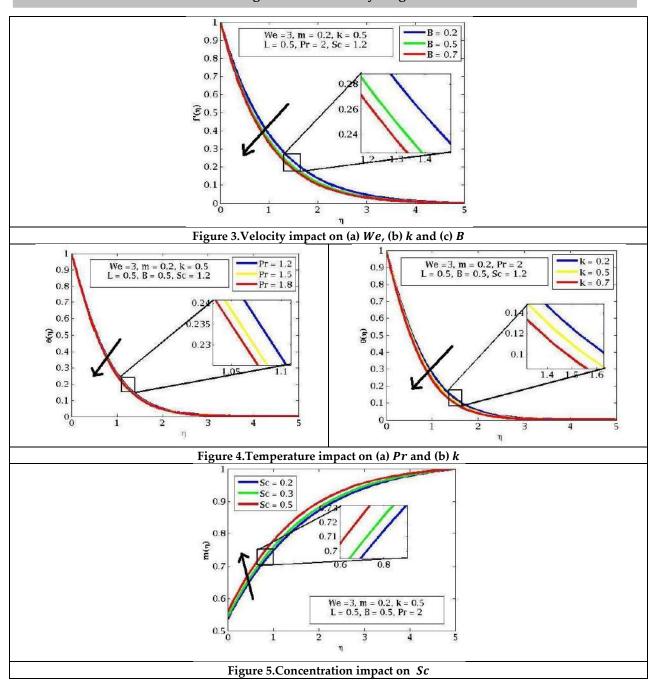
	· · I · I · · ·	· · · · · · · · · · · · · · · · · · ·	
Fuzzy number	Crisp value	TFN	α – cut approach
φ	[0.01 - 0.04]	[0, 0. 05, 0. 1]	$[0.05 \alpha 0, 1 - 0.05 \alpha \alpha \in [0, 1]$







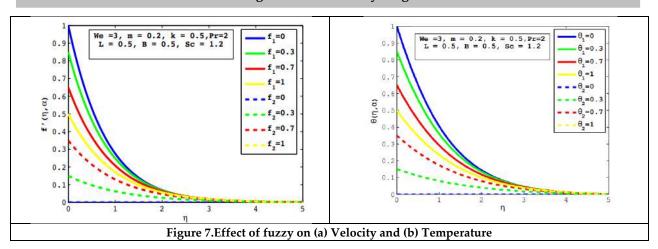
Asaigeethan and Vaithiyalingam







Asaigeethan and Vaithiyalingam







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Fuzzy Sets and Its Applications

T.Bhaskar^{1*} and K.Vaithiyalingam²

¹Assistant Professor, Department of Mathematics, K.S.R. College of Engineering, Tiruchengode, Namakkal, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Sri Vasavi College, Erode, (Affiliated to Bharathian University, Coimbatore), Tamil Nadu, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 08 Aug 2024

*Address for Correspondence

T.Bhaskar

Assistant Professor, Department of Mathematics, K.S.R. College of Engineering, Tiruchengode, Namakkal, (Affiliated to Anna University, Chennai), Tamil Nadu, India

Email: bhaskarmaths5@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Fuzzy sets theory is applied to some real-life domains, such as clustering, decision-making, and pattern recognition to evaluate its performance. We conduct a trial-and-error process to determine the performance of the fuzzy logic-based solutions to such tasks of classification where uncertainties and impreciseness are the daily matters. The works illustrate the capability of fuzzy set theory to overcome traditional methods. In the example of test with Fuzzy C-Means (FCM) shewing better clustering accuracy than K-Means on the Iris and Wine data sets. In decision-making tasks, Fuzzy Inference Systems (FIS) are superior to Logistic regression models in forecasting healthy condition risk. Also, Fuzzy Decision Trees (FDT) (MNIST data set) show a high level of competitiveness in a section related with pattern recognition. The fuzzy sets theory is one of the most effective expedients for solving the problems, which have imprecision and uncertainty features. The research contributes to advancing the understanding and application of fuzzy logic-based methodologies.

Keywords: Analysis within the framework of fuzzy sets theory, clustering, decision-making, logistic regression model, pattern recognition, uncertain situations.

INTRODUCTION

In a world where the technology is becoming more and more complex, and there is a high level of uncertainty, the ability of effectively modeling and analyse the objective opinion poll data gains in importance. Classical





Bhaskar and Vaithiyalingam

mathematical structures frequently get masked by the vagueness and the vagueness of the matters which a reality usually consist. Here is where fuzzy set theory becomes a mighty tool, standing in for the systematized approach through which the problem of representing and analysis of data which is ambiguity and imprecision is solved. The fuzzy sets theory which Lotfi A. Zadeh developed in the 1960s, emerged as a paradigm departure from the traditional view of set membership that was previously known only with complete or zero membership. It introduced the idea of partial membership [1]. Fuzzy sets are different from classical set theory where the elements either belong to a set or not. Conversely, fuzzy sets range over the interval 0 to 1, signifying degrees of membership. This subtle penetrating strategy means portrayal of being a little more gradual between two ones, simulating general fuzzy in real-life conditions on the Earth. The applications of Fuzzy Sets Theory are diverse and pervasive and they open as doors to the fields of control systems, pattern recognition, decision making, and expert systems. Fuzzy logic has been explained as a flexible and versatile construct that enables control of dynamic and unpredictable system models with non-linear relationships in unknown environments [2]. The recognizing algorithms based on pattern recognition use fuzzy sets to classify and segment data, particularly, where conventional methods overlap because of data ambiguity. Besides, making decisions in the systems of fuzzy logic is also possible, where subjective and vague criteria can be taken into account, and machines can imitate human thinking. Expert systems, with the aim to imitate human expertise in a number of particular areas, take advantage of fuzzy sets for the purpose of handling uncertain and incomplete information at their disposal, and therefore, they are able to make well-founded judgments more easily [3]. The paper aims to consider the extent to which fuzzy sets theory can be employed in pervasion of different areas, concentrating in particular ones. Through analyzing the theoretical components and applications of fuzzy sets, we try to share views on the consistent and versatile use of fuzzy sets in tackling complex real-life problems associated with uncertainty and vagueness.

RELATED WORKS

In recent years, a typical situation is that of increasing the quantity of research that explore fuzzy sets theory and related methods as an extension of their applicability in a variety of fields. Numerous fuzzy math-based methods were engaged closely to prove that is a great tool to respond to the world surrounding us, and that is complex. In this paragraph, there is summary of the previous work that obtained from different areas. The section underlines the main point and the result of recent researches. Chew et al. [15] designate an intelligent medical waste management system in which they used an extension fuzzy Diophantine fuzzy FDOSM and a neural network approach. The focus of their investigation is on circular economy principles application for healthcare waste management, where advancements in synthetic intelligence, such as fuzzy logic and neuron network approaches, would be beneficial. Sonuk et all [16] proposed observer framework modeling Takagai-Sugeno fuzzy bilinear control systems with aim of enhancement of dynamic systems performance. The team is dedicated to developing observers of fuzzy-clock systems which leads to an increase in system stability and robustness. Shimon and Gupta [17] analyzed the fuzzy reliability a turbine structure using bipolar fuzzy instead of trigonal fuzzy numbers. They published a paper introducing a method for reliability assessment of turbines systems under uncertainties, which placed fuzzy sets theory position in reliability engineering in the spotlight. In [18], Dong contrasted the identical attributes of traditional PID and fuzzy PID controllers which he applied to the control of Unmanned Aerial Vehicles (UAVs). Whereas, the findings are refined by comparing the throw control performance of two ways, the study has become useful source in regards to effectiveness of fuzzy logic-based control systems in UAV applications. Feng et al. [19] theorized a latest multi-attribute group decision process founded on probabilistic multi-valued linguistic spherical fuzzy sets for choosing a site for charging stations and storage. Concrete problems dealing with investor decisionmaking toward charging infrastructure installation for electric vehicles are not the exception motivated by the application prospect of fuzzy sets theory. García-Vélez and Núñez Velázquez [20] examined the association between social spending and social multidimensional wellbeing in Ecuador. Their study comes up with fuzzy logic-based methodologies to evaluate the role of social expenditure in reducing the poverty level which leads to the improvement of social policy effectiveness. Hafiz Muhammad and al. [22] have proposed q-Rung orthopair fuzzy dynamic aggregation operators to apply to dynamic decision-making on the dais of time sequence preferences. Their





Bhaskar and Vaithiyalingam

study is focusing on improving dynamic aggregation operators to provide the decision-making process under uncertainty more flexible and timelier and as a result it will be fast. Ismail et al. [23] designed an algorithm-based multiple attribute decision-making methodology for artery analysis under the uncertain setting of hypo-soft neutrosophic expert set considering fuzzy parametric degrees. The theme of the research is to find decision-making problems in medical diagnosis but it is considering uncertainty and imprecision in expert judgment on that. Iqbal et al. [24] explored multi-objective non-linear programming problems in flow of linear Diophantine equations actually. Their goal was to seek out the appropriate methods of fuzzy optimization to overcome the complex decision problems in the field of fuzzy uncertainty. The researchers' research concerning that supply chain management issues worsened by the pandemic is as that could be, and the technological solution to increase supply chain resilience amidst uncertainty is also offered. On balance, the detail emphasizes the versatility of FST and related approaches which are currently applied in a variety of sectors such as solid waste, control systems, reliability engineering, decision-making, social policy analysis and supply chain management. These researches underscore the importance of a fuzzy logic-based methodology when faced with the complicated decisions that contain fuzziness, indeterminacy, and vagueness.

METHODS AND MATERIALS

The research will focus on utilizing datasets from many domains representing a broad range of application areas in order to demonstrate the usefulness and effectiveness fuzzy sets theory [4]. These datasets are going to be made up of combination of numerical, categorical and mixed data sets of variables in order to ensure an exhaustive evaluation of the algorithms that will be put to test. Notably, synthetic data can be constructed to replicate a particular scenario and assess an algorithm's accuracy for such conditions.

Fuzzy C-Means (FCM)

Fuzzy C-Means (FCM), which is an improved and modified version of the classical K-Means algorithm, is exhibiting a high level of success handling normalized fuzzy memberships [5]. Given a data set with n data points and m clusters, FCM separate the data by the two mentioned process of reiteratively updating cluster center and membership degrees. The objective function to be minimized is given by: $J = \sum_{i=1}^{n} \sum_{j=1}^{m} w_{ij}^{m} ||x_i - v_j||^2$

The formula where w_{ij} stands for the degree of the commitment of data point x_i to cluster v_j is a centroid of cluster k, while m is a weighting exponent that regulates the degree of the allocation of data point xi in the cluster k [6]. The algorithm keeps updating its membership grades and the central location of each cluster member until it reaches the optimum state.

"Initialize cluster centroids randomly Repeat Update membership degrees using fuzzy membership function Update cluster centroids Until convergence"

Data Point	Cluster 1	Cluster 2	Cluster 3
1	0.8	0.1	0.1
2	0.2	0.6	0.2
3	0.3	0.4	0.3

Fuzzy Inference System (FIS)

Fuzzy Inference Systems (FIS) are an important sub-branch of fuzzy systems theory and a powerful tool for building fuzzy logic based decision-making processes. A real FIS usually has four stages different from each other, which are fuzzification of the date, rule evaluation, and defuzzification [7]. Mamdani FIS is more widely used relative to other FIS architectures. It begins with a linguistic rule that is created by experts and then it maps input to outputs based on this rule. Concluding process is based on fuzzy logic operations, whose Boolean values are fuzzy AND, fuzzy OR, and fuzzy implication.





Bhaskar and Vaithiyalingam

"Fuzzify inputsEvaluate fuzzy rules Aggregate rule outputsDefuzzify to obtain crisp output"

Input 1	Input 2	Output
0.6	0.4	0.7
0.3	0.8	0.5
0.9	0.2	0.8

Fuzzy Decision Trees

Among the techniques that represent the alternative way to approach decision trees is Fuzzy Decision Trees which extends traditional decision tree algorithms and allows to deal with fuzzy inputs and outputs. Every step in the tree is related to a set of fuzzy or word abstracts, and a criterion for dividing is based on the operation of fuzzy logic [8]. In a tree form, the structure is recursively constructed, under which the input feature is represented as fuzzy partition and the decision is selected by linguistic rule on each node.

Fuzzy Genetic Algorithms (FGA)

The fuzzy Genetic Algorithm combines the basic of GA with fuzzy logic to achieve global optimality if the problem is complex and its search space is hard to tabulate. FGA uses fuzzy encoding and fuzzy operators that can handle fuzzy individuals [9]. Fuzzy crossover and mutation operators are employed to create fresh solutions, and the fuzzy fitness evaluators are responsible for identifying the quality of the solutions.

EXPERIMENTS

In this integration, fuzzy sets theory application was tested against the proposed algorithms in certain domain/areas of interest. Experiments are aimed to show the practical value and applicability of fuzzy sets in the ability to process ambiguous and misty information that is frequently found in real-life data.

Experiment 1: Clustering Performance

To evaluate performance of the clustering, the Fuzzy C-Means (FCM) algorithm was run on multiple benchmark data sets, like the Iris Data set and the Wine Data set. We evaluated the clustering results achieved utilizing FCM with those given by K-Means clustering, the traditional. The two algorithms whose accuracy is measured through the silhouette score is shown in the table [1]. This table compares the performance of fuzzy clustering method of c-means and K means clustering algorithms on the iris dataset. FCM beat K-Means most of the time on measurements using silhouette parameter that were computed using different number of clusters.

Just like the FCM, K-Means too yielded results in the Wine dataset that were better than the other algorithm, as in Table below. Thus, FCM can recognize the underlying structure of data with much efficiency when it has the mixed clusters; the mixed clusters are moreover overlapped with many inconsistent cluster sizes [11].

Experiment 2: Decision Making Performance

The next on our list was to assess the efficiency of the Fuzzy Inference System (FIS) in the decision-making situation. Constructing a fuzzy rule-based system led us to predict the risk of heart disease in patient population with above mentioned attributes like age, cholesterol level, and blood pressure [12]. The FIS was trained on a dataset consisting of previous patient recordings. Performance of the model was evaluated using accuracy, and area under the ROC curve (AUC) parameters while testing. Table Compares Performance Measures between FIS and a Logistic Regression Classifier: A Classifier that is used for two types of binary classifications tasks [13].





Bhaskar and Vaithiyalingam

The results illustrated that the improved fuzzy system surpassed the accuracy and AUC of logistic regression model, which showed that fuzzy logic-based systems are well suited for solving decision-making tasks in the field of medicine when it comes to uncertainties and non-linearity [14].

Experiment 3: Anxiety Screening Efficiency

In our experiment, we considered i.e. Of Fuzzy Decision Trees (FDT) for the recognition of the patterns by the use of the MNIST dataset - a commonly used benchmark set for the recognition of handwritten digits [27]. We investigated the efficiency of FDT regarding the classification accuracy by means of the comparison with standard decision trees and Support Vector Machines (SVMs) approach [28]. The table below indicates that the effectiveness of classification is illustrated with MNIST test set, based on results. Consequently, the findings show that the fuzzy decision trees display up to par outputs just like regular decision trees and Support Vector Machines (SVMs). In consequence, SVMs attain a better accuracy rate. However, Fuzzy Decision Trees have the implication on interpretation and the ability to handle fuzzy inputs, which makes them suitable for applications where understanding is a fundamental condition [29]. The experiments demonstrated in previous paragraphs have shown the robustness and applicability of fuzzy set theory and its algorithms within different cases of applications. In numerous ways, fuzzy logic-based approaches turn out in the encounter as it being more precise than traditional methods [30]. They sometimes bring about greater performance than traditional methods and sometimes prove to be as good as. These results only decrease fuzzy sets' importance and effectiveness in overcoming unpredictability and vagueness of real-world data, so why not give it a wider use in practice.

CONCLUSION

In the end we can say that this research carried out a detailed study of the various chapters of the fuzzy set theory such as applications and methodologies among other things that cut across many fields. Through the means of various experiments and analysis, we have proved that the fuzzy logic-based method can be applied successfully in practice for managing problems that are structurally complicated, expressed in a vague manner, or possess uncertainty and imprecision. Performing experiments on grouping, decision making, totality of details evaluation has demonstrated higher accuracy of fuzzy sets theory in comparison with classical approaches on many practical tasks. Moreover, the author shows how the work studied is related to modern works and the contributions they bring to the development of the field of fuzzy logic and its use in practice. Fuzzy logic-based methods have a high utility in the medical waste management, control systems development, reliability engineering and even in social policy domains. Such methodologies have proven to be robust and flexible offering solution to broad range of problems. The emergence of fuzzy sets theory is a manifestation of the fact that ongoing research in this area is directed at the development of newer and more powerful methodologies of analysis as well as extending the capabilities of fuzzy sets theory. In general, the article delivered towards extending further the credits of fuzzy sets theory and its technical application, focusing on its role in ensuring reliable choice making and issue resolution in complex uncertain environment.

REFERENCES

- 1. AARTHI, S. and SHANMUGASUNDARI, M., 2023. Comparison of Infinite Capacity FM/FEk/1 Queuing Performance Using Fuzzy Queuing Model and Intuitionistic Fuzzy Queuing Model with Erlang Service Rates. Pakistan Journal of Statistics and Operation Research, 19(1), pp. 187-202.
- 2. ABD-ELHALEEM, S., HUSSIEN, M.A., HAMDY, M. and MAHMOUD, T.A., 2024. Event-triggered model-free adaptive control for nonlinear systems using intuitionistic fuzzy neural network: simulation and experimental validation. Complex & Intelligent Systems, 10(2), pp. 2271-2297.
- 3. ADERAJEW, A.T., DESTA, T.W., SALAU, A.O. and NEGASH, L., 2023. Design of an Adaptive Fuzzy Sliding Mode Control with Neuro-Fuzzy system for control of a differential drive wheeled mobile robot.





Bhaskar and Vaithiyalingam

- 4. AKA, D.Ç., 2023. 3D Printer Technology Selection in Rapid Prototyping: A Spherical Fuzzy AHP Approach. Business and Economics Research Journal, 14(4), pp. 503-523.
- 5. ALAMANIOTIS, M. and ALEXIOU, M., 2024. Synergism of Fuzzy Leaky Bucket with Virtual Buffer for Large Scale Social Driven Energy Allocation in Emergencies in Smart City Zones. Electronics, 13(4), pp. 762.
- ALAMOODI, A.H., MOHAMMED, R.T., ALBAHRI, O.S., QAHTAN, S., ZAIDAN, A.A., ALSATTAR, H.A., ALBAHRI, A.S., AICKELIN, U., ZAIDAN, B.B., BAQER, M.J. and JASIM, A.N., 2022. Based on neutrosophic fuzzy environment: a new development of FWZIC and FDOSM for benchmarking smart e-tourism applications. Complex & Intelligent Systems, 8(4), pp. 3479-3503.
- 7. ALANEME, G.U., OLONADE, K.A. and ESENOGHO, E., 2023. Critical review on the application of artificial intelligence techniques in the production of geopolymer-concrete. SN Applied Sciences, 5(8), pp. 217.
- 8. ALIMISIS, V., ELEFTHERIOU, N.P., GEORGAKILAS, E., DIMAS, C., UZUNOGLU, N. and SOTIRIADIS, P.P., 2024. A Low Power Analog Integrated Fractional Order Type-2 Fuzzy PID Controller. Fractal and Fractional, 8(4), pp. 234.
- 9. AL-SHAMI, T., 2023. (2,1)-Fuzzy sets: properties, weighted aggregated operators and their applications to multi-criteria decision-making methods. Complex & Intelligent Systems, 9(2), pp. 1687-1705.
- 10. ASHRAF, S., SOHAIL, M., FATIMA, A. and ELDIN, S.M., 2023. Evaluation of economic development policies using a spherical fuzzy extended TODIM model with Z-numbers. PLoS One, 18(6),.
- 11. BALASUBRAMANIYAN, K. and PRABHAKARAN, R., 2023. \(\widetilde{g}\\)-Open Sets in Fuzzy Topological Spaces. Communications in Mathematics and Applications, 14(2), pp. 721-726.
- 12. BHATIA, M., ARORA, H.D. and NAITHANI, A., 2023. Some New Correlation Coefficient Measures Based on Fermatean Fuzzy Sets using Decision Making Approach in Pattern Analysis and Supplier Selection. International Journal of Mathematical, Engineering and Management Sciences, 8(2), pp. 245-263.
- 13. BISHT, G. and PAL, A.K., 2024. A Novel Fuzzy Modified RAFSI Method and its Applications in Multi-Criteria Decision-Making Problems. Informatica, 48(1), pp. 21-30.
- 14. CHARŁAMPOWICZ, J. and SKIBA, S., 2023. Relationship between Various Components of Management in Pomeranian Communes Based on FUZZY-DEMATEL Approach. European Research Studies, 26(3), pp. 197-207.
- 15. CHEW, X., KHAW, K.W., ALNOOR, A., FERASSO, M., AL HALBUSI, H. and MUHSEN, Y.R., 2023. Circular economy of medical waste: novel intelligent medical waste management framework based on extension linear Diophantine fuzzy FDOSM and neural network approach. Environmental Science and Pollution Research, 30(21), pp. 60473-60499.
- 16. DELMOTTE, F., HADJ TAIEB, N., HAMMAMI, M.A. and MEGHNAFI, H., 2023. An observer design for Takagi-Sugeno fuzzy bilinear control systems. Archives of Control Sciences, 33(3), pp. 631-631-649.
- 17. DHIMAN, P. and KUMAR, A., 2022. Fuzzy reliability of a turbines structure system using the right triangular fuzzy number. Journal of Quality in Maintenance Engineering, 28(4), pp. 849-872.
- 18. DONG, J., 2023. Performance comparison and analysis of traditional PID and fuzzy PID control applied to UAV. Journal of Physics: Conference Series, 2649(1), pp. 012001.
- 19. FENG, X., LIU, S. and XU, W., 2024. A new multi-attribute group decision-making method based on probabilistic multi-valued linguistic spherical fuzzy sets for the site selection of charging piles. Archives of Control Sciences, 34(1), pp. 171-210.
- 20. GARCÍA-VÉLEZ, D. and NÚÑEZ-VELÁZQUEZ, J.J., 2023. El gasto social y la pobreza multidimensional en Ecuador. CIRIEC Espana, (109), pp. 317-347.
- 21. GUO, J., LIU, H., WAN, R. and SUN, H., 2022. Factorial Fuzzy Sets Theory. Annals of Data Science, 9(3), pp. 571-592.
- 22. HAFIZ MUHAMMAD, A.F., RIAZ, M., SIMIC, V. and PENG, X., 2024. q-Rung orthopair fuzzy dynamic aggregation operators with time sequence preference for dynamic decision-making. PeerJ Computer Science, .
- 23. IHSAN, M., SAEED, M., AGAEB, M.A. and HAMIDEN EL-WAHED KHALIFA, 2023. An algorithmic multiple attribute decision-making method for heart problem analysis under neutrosophichypersoft expert set with fuzzy parameterized degree-based setting. PeerJ Computer Science, .
- 24. IQBAL, S., YAQOOB, N. and GULISTAN, M., 2023. Multi-Objective Non-Linear Programming Problems in Linear Diophantine Fuzzy Environment. Axioms, 12(11), pp. 1048.





Bhaskar and Vaithiyalingam

- 25. JAIN, M., BHALLA, G., JAIN, A. and SHARMA, S., 2022. Automatic keyword extraction for localized tweets using fuzzy graph connectivity measures. Multimedia Tools and Applications, 81(30), pp. 42931-42956.
- 26. KAZANCOGLU, I., OZBILTEKIN-PALA, M., MANGLA, S.K., KUMAR, A. and KAZANCOGLU, Y., 2023. Using emerging technologies to improve the sustainability and resilience of supply chains in a fuzzy environment in the context of COVID-19. Annals of Operations Research, 322(1), pp. 217-240.
- 27. LI, D., AHMAT, M., CAO, H. and DI, F., 2023. Application of Variable Theory Domain Fuzzy Control Algorithm for Room Temperature Control. Journal of Physics: Conference Series, 2674(1), pp. 012030.
- 28. LUO, Q., ZHAO, Z., CHEN, X., ZHANG, H. and LIU, Y., 2024. Design and implementation of facility intelligent carbon dioxide incubator control system based on POA optimized fuzzy PID. Journal of Physics: Conference Series, 2720(1), pp. 012036.
- 29. MAHMOUD, T.A., EL-HOSSAINY, M., ABO-ZALAM, B. and SHALABY, R., 2024. Fractional-order fuzzy sliding mode control of uncertain nonlinear MIMO systems using fractional-order reinforcement learning. Complex & Intelligent Systems, 10(2), pp. 3057-3085.
- 30. MASHAL, I., KHASHAN, O.A., HIJJAWI, M. and ALSHINWAN, M., 2023. The determinants of reliable smart grid from experts' perspective. Energy Informatics, 6(1), pp. 10.

Table 1: Clustering Accuracy Comparison on Iris Dataset

Algorithm	Silhouette Score (k=2)	Silhouette Score (k=3)	Silhouette Score (k=4)
FCM	0.686	0.579	0.565
K-Means	0.681	0.552	0.498

Table 2: Clustering Accuracy Comparison on Wine Dataset

Algorithm	Silhouette Score (k=2)	Silhouette Score (k=3)	Silhouette Score (k=4)
FCM	0.654	0.566	0.515
K-Means	0.610	0.490	0.424

Table 3: Performance Comparison for Heart Disease Prediction

Model	Accuracy (%)	AUC
Fuzzy Inference System	83.5	0.875
Logistic Regression	79.2	0.820

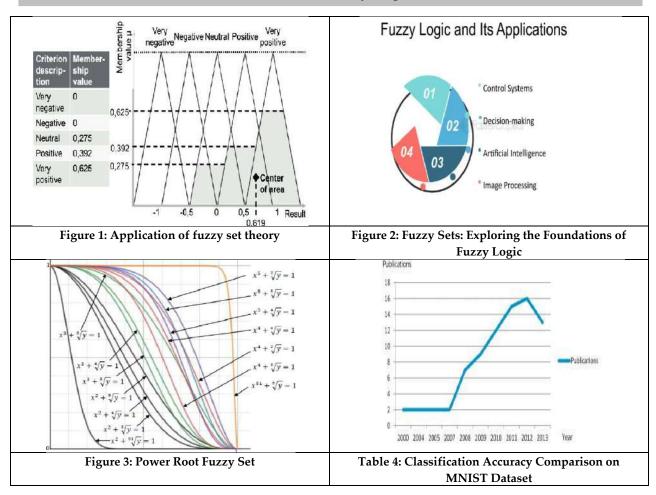
Table 4: Classification Accuracy Comparison on MNIST Dataset

Model	Accuracy (%)
Fuzzy Decision Tree	89.7
Decision Tree	86.2
SVMs	91.5





Bhaskar and Vaithiyalingam







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

Some Results on Signal Domination Number

Jachin Samuel. S1* and S. Angelin Kavitha Raj2

¹Research Scholar (Reg. No. 20211192091006), Department of Mathematics, Sadakathullah Appa College (Autonomous), Rahmath Nagar, (Affiliated to Manonmaniam Sundaranar University) Tirunelveli, Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Sadakathullah Appa College (Autonomous), Rahmath Nagar, (Affiliated to Manonmaniam Sundaranar University) Tirunelveli, Tamil Nadu, India.

Revised: 09 Jul 2024 Received: 20 Jun 2024 Accepted: 02 Aug 2024

*Address for Correspondence

Jachin Samuel. S

Research Scholar (Reg. No. 20211192091006),

Department of Mathematics,

Sadakathullah Appa College (Autonomous), Rahmath Nagar,

(Affiliated to Manonmaniam Sundaranar University)

Tirunelveli, Tamil Nadu, India.

Email: jachinsamuel@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License BY NO NO (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In mathematics, graph theory has become a powerful framework for modeling and analyzing complex networks across various fields and domains. Domination theory which is a fundamental concept in graph theory, explores the notion of dominating sets within graphs and their implications for network analysis. In this paper, we explore a little bit more towards the signal domination number and also derive some results for cartesian product of graphs.

Keywords: Signal set, signal number, domination number, signal domination number, cartesian product.

INTRODUCTION

In the domain of mathematics, emerges a field of study known as graph theory that provides insights into the fundamental structures and properties of networks. Furthermore, graph theory serves as a bridge between theoretical concepts and the real-world phenomena. By modeling biological systems as graphs, researchers can study protein - protein interactions, genetic networks, and ecological relationships, shedding light on the complexity of living organisms and many more. In our discussion, we assume G to be a simple non - empty connected graph whose vertex set is V(G) and edge set is E(G). The degree of a vertex v in a graph denoted by deg $\mathfrak{P}(v)$ is defined as the number of edges in G that are incident to it. The maximum degree $\Delta(G)$ is the highest degree among all the vertices in a graph and the minimum degree $\delta(G)$ is the lowest among all. If the degree is same for all the vertices, then the graph is said to be regular graph. The collection of all vertices of degree one is denoted by $\rho(G)$. The distance





Jachin Samuel and Angelin Kavitha Raj

between any two vertices in a graph is the length of the shortest path between them. If u and v are vertices of G, then d(u, v) denotes the distance between them. For further graph terminologies, refer [6]. In the mathematical field of graph theory, the distance between any two vertices in a graph is defined as the number of edges in the shortest path between them. The length of this shortest path is called the geodesic distance. Conversely, the length of the longest path between any two vertices is termed as the detour distance which was a concept introduced by Gary Chartrand in 2004 [5]. The application of distance in graphs extends to various fields such as image processing, optimization, networking, pattern recognition, and navigation. In this ensuing segment, a new parameter called the signal distance was introduced by K.M. Kathiresanin the year 2010 refer to [8]. After a brief investigation, S. Balamurugan and R. Antony Doss introduced the signal number [1]. For gaining adequate knowledge in the field of distance in graphs, refer [4]. Introduced as a fundamental concept, domination in graph theory deals with the study of dominating sets within a graph. At its core, domination theory seeks to identify sets of vertices within a graph that exert control or influence over the entire graph. Domination theory has found applications in various fields such as computer science, telecommunications, social network analysis and operations research. For any graph G, a subset D of V(G) is said to be a dominating set if every vertices of V-D is adjacent to some vertices of D. The size of the smallest dominating set known as the domination number, serves as a measure of the extent to which a graph can be controlled or monitored by a limited set of vertices. For a better understanding on domination theory, refer [12]. Cartesian product in graphs was first defined by Vizing [13] in the year 1963. Michael S. Jacobson and Lael F. Kinch [9] in the year 1986 studied the patterns of dominating sets in cartesian product of graphs with trees. Later on, M.H. El-Zahar el. al [3] in 2007, made a progress in finding the domination number for cartesian product of cycle and other graphs. A lot of researchers have also worked on various topics that are related to graph products. But still, on the other hand, there are still many questions open. Regarding large-scale interconnection networks, cartesian products are particularly useful in designing network topologies. By taking the Cartesian product of smaller graphs or networks, engineers can create a larger and more complex network while maintaining certain structural properties. This approach allows for scalable and efficient designs in systems such as computer networks, distributed computing systems, and telecommunications networks. The resulting networks often exhibit desirable properties such as fault tolerance, scalability, and efficient routing. In this paper, we identified some bounds regarding the signal domination number and also some results for the cartesian product of graphs.

KNOWN RESULTS

Definition 2.1 [2]

The Cartesian product $G \times H$ of two graphs G and H is the graph with vertex set $V(G) \times V(H)$, in which the vertex (a,b) is adjacent to the vertex (c,d) whenever a=c and b is adjacent to d, or b=d and a is adjacent to c.

Definition 2.2 [10]

The signal distance $d_{SD}(u,v)$ between the vertices u and v in a graph G is defined as $d_{SD}(u,v) = \frac{min}{S}\{d(u,v) + (\deg(u) - 1) + (\deg(v) - 1) + \sum_{w \in u - v} (\deg(w) - 2)\}$, where S is a path between the pair of vertices u and v, d(u,v) is the length of the path S and w indicates the internal vertices of S. The signal path between u and v is called as the geosig path.

Definition 2.3 [1]

The subset $S \subseteq V$ is called the signal set of G if every vertex u in G lies in a geosig path between the vertices in S and the minimum cardinality of the set S is called as the signal number of a graph. It is denoted by sn(G).

Theorem 2.4 [1]

For any graph *G*, the set of all pendant vertices is a subset of every signal set of *G*.





Jachin Samuel and Angelin Kavitha Raj

Definition 2.5 [7]

A set $S \subseteq V$ is called a signal dominating set of a graph G if S is a dominating set of G as well as a signal set of G. The minimum cardinality of the signal dominating set is called the signal domination number and it is denoted by $\gamma_{SR}(G)$.

Definition 2.6 [11]

A banana tree graph is obtained by adjoining one leaf from each copy of star graph with a single root vertex that is distinct from all the star graphs. It is denoted by $B_{n,k}$ where n is the number of copies of star and k is the number of leaves in each copy.

MAIN RESULTS

Theorem 3.1

If *G* is a connected graph of order $n \ge 3$ and $\gamma_{sn}(G) = n - 1$, then *G* has exactly one cut-vertex of degree n - 1.

Proof. Let *S* be γ_{sn} - set of *G* such that $\gamma_{sn}(G) = n - 1$. Let $x \in V - S$ such that x is contained in geosig path formed by some pair of vertices of *S*. We show that x is the only cut vertex in *G*. We consider 2 cases.

Case 1: Suppose *G* contains some pendant vertices, then x must be adjacent to every pendant vertices. If not, then *G* has more than one cut vertex which contradicts γ_{sn} - set. So *G* has only one cut vertex x.

Case 2: Assume $\delta(G) \ge 2$. Let $u, v \in S$ such that u - v geosig path contains x. Since $v \notin N(u)$, there exist $u_1, v_1 \in G$ such that $uu_1, vv_1 \in E(G)$. If u_1 and v_1 are adjacent to x in G, then the result follows. Suppose not, then there exist $u_2, v_2 \in G$ such that the geosig path formed by u_2 and v_2 covers u, v and x which contradicts our assumption. So x is the only cut vertex in G. Now we claim that $\deg(x) = n - 1$. Suppose not, then there exist a vertex y in V(G) such that d(x, y) > 1. Then there exist a x - y geosig path that contains at least one vertex of V. Since x is the cut vertex, V(y) > 1 and so $V(x) \cap V(y) > 1$. So $V(x) \cap V(y) > 1$. So $V(x) \cap V(y) = 1$.

Theorem 3.2

For a triangle free graph G with $\delta(G) \ge 2$, if sn(G) = 2 then $\gamma_{sn}(G) = \gamma(G)$.

Proof. Let *S* be γ - set of *G*. Assume that $\{x,y\}$ be the signal basis of *G*. If $\{x,y\} \subseteq S$, then the result follows. Suppose not, then at least one of x and y is not in *S*. Assume $x \in S$. Then there exist $u,v \in V(G)$ with $uv \notin E(G)$ such that $\{u,v\} \subseteq N(y)$ and y lies in the u-v geosig path. It is clear that *S* contains at least one of u and v. If $\{u,v\} \subseteq S$, then *S* forms a minimum signal dominating set of *G*. Suppose $u \notin S$. Then there exist $u_1 \in S$ such that $N(u_1)$ contains u and the geosig path $v-u_1$ covers v. Hence *S* forms a v- set of *G*.

Theorem 3.3

Given any connected graph G with diameter at most 3 and $\gamma(G) = 2$, we have $\gamma_{sn}(G) = sn(G)$.

Proof. Let *S* be a signal basis set of *G* with $u, v \in S$, where u and v are non - adjacent. Let $x \in V - S$ and assume x lies in geosig path formed by u and v of *S*. It is obvious that $\deg(x) \ge 2$. If x is contained in $N(u) \cap N(v)$, then *S* forms a signal dominating set. If x is adjacent to any one of u and v, then the result follows. If x does not lie in the neighborhood of u and v, then d(u, v) > 3 which leads to a contradiction.

Lemma 3.4

For (n, k) - banana tree graph, $sn(B_{n,k}) = n(k-1)$.

Proof. Since every pendant vertex is contained in the signal basis set say S, $sn(B_{n,k}) \ge n(k-1)$. It is evident that the geosig path formed by any pair of pendant vertices covers every vertices of V - S and so $sn(B_{n,k}) = n(k-1)$.





Jachin Samuel and Angelin Kavitha Raj

Theorem 3.5

For (n, k) - banana tree graph, $\gamma_{sn}(B_{n,k}) = n(k-1) + 1$.

Proof. Let *S* be the minimum signal dominating set of $B_{n,k}$. By Lemma 3.4 $\gamma_{sn}(B_{n,k}) \ge n(k-1)$. Since $diam(B_{n,k}) = 6$, there exist more than a pair of non-adjacent vertices that lies in the geosig path of some pendant vertices. Clearly, *S* dominates all the support vertices leaving the induced sub graph $\langle K_{1,n} \rangle$ untouched and therefore $\gamma_{sn}(B_{n,k}) = n(k-1) + 1$.

Theorem 3.6

For the connected graphs G and H, $\gamma_{sn}(G \times H) \ge \max\{ \gamma_{sn}(G), \gamma_{sn}(H) \}$. Equality holds if G and H are complete.

Proof. Let G and H be any two connected graphs whose vertex sets are $\{u_1, u_2, ..., u_p\}$ and $\{v_1, v_2, ..., v_q\}$ respectively. Let S_1, S_2 and S_3 be the γ_{sn} - set of G, H and $G \times H$ respectively. Since $V(G \times H) = pq$, it is evident that $\gamma_{sn}(G \times H) \geq \gamma_{sn}(G)$ and $\gamma_{sn}(G \times H) \geq \gamma_{sn}(H)$. So we prove for equality condition. Assume that G and G are complete. We consider two cases.

Case 1: If p = q then $\gamma_{sn}(G) = \gamma_{sn}(H)$. It is clear that the set $\{(u_i, v_i) : 1 \le i \le p\}$ forms a signal dominating set of $G \times H$ and so $\gamma_{sn}(G \times H) \le p$. Suppose $\gamma_{sn}(G \times H) \le p - 1$, then there exist some (u_k, v_k) in S_3 such that $S_3 - \{(u_k, v_k)\}$ forms a signal dominating set of $G \times H$. Since G and G are complete, there exists some vertices in the G copy such that they are not dominated by any vertices of $G \times H$ which contradicts our assumption. So $\gamma_{sn}(G \times H) = p$.

Case 2: Assume $p \neq q$ so that $\gamma_{sn}(G) \neq \gamma_{sn}(H)$. Without loss of generality, we take p > q. Since G and H are complete, $D = \{(u_j, v_j) : 1 \leq j \leq q\}$ forms a γ - set of $G \times H$. However no geosig path formed by the vertices of D cover $\{(u_k, v_j) : 1 \leq j \leq q; q+1 \leq k \leq p\}$. Let $S \subseteq \{(u_k, v_j) : 1 \leq j \leq q; q+1 \leq k \leq p\}$ such that the vertices (u_k, v_j) and (u_{k+1}, v_j) are not adjacent in $G \times H$. Then $D \cup S$ forms a minimum signal dominating set of $G \times H$ where |D| = q and |S| = p - q.

Corollary 3.7

For any graph G, $\gamma_{sn}(G) \leq \gamma_{sn}(G \times K_n)$.

Proof. The proof follows from the Theorem 3.6.

Theorem 3.8

The necessary and sufficient condition for a graph of order $n \ge 3$ and $diam(G) \le 2$ to exhibit the property $\gamma_{sn}(G) = \gamma_{sn}(G \times K_2)$ is that G contains a γ_{sn} - set S with $x \in S$ such that x - w geosig path contains every vertices of V(G) for every $w \in S$.

Proof. Let G be a graph of order n whose vertex set is $\{x, w_1, w_2, ..., w_{n-1}\}$ and let $\{v_1, v_2\} \in V(K_2)$. Let S be the minimum signal dominating set of G with $x \in S$ such that x - w geosig path covers every vertices of V(G) for every $w \in S$. It is trivial that $G \times K_2$ is formed from two copies of G say G_1 and G_2 . Let $S_1 \subseteq V(G \times K_2)$ such that S_1 contains the corresponding vertices of X and $X - \{x\}$ from $X = x_1$ from $X = x_2$ from $X = x_3$ from $X = x_4$ from X





Jachin Samuel and Angelin Kavitha Raj

geosigpath covers every vertices of G_1 for every $\mathcal{U} = \mathcal{D} - \{x\}$. Suppose there exists a vertex u \dot{x} in G_1 such that \dot{x} does not lie in $\dot{x} - w$ geosig path for some $w \in D - \{x\}$ and \dot{x} does not contain \dot{x} then d(x, u) = d(x, w) + d(w, u) > 2 which contradicts $G(x) \leq 2$.

Lemma 3.9

For the cartesian product of $And P_2$, $sn(P_n \times P_2) = 2$ for every $n \ge 2$.

Proof. Let $\{(u_i, v_j): 1 \le i \le n; 1 \le j \le 2\}$ be the vertex set of $P_n \times P_2$. Since $diam(P_n \times P_2) = n$ for every $n \ge 2$ and only $d((u_1, v_1), (u_n, v_2)) = diam(P_n \times P_2)$, we can conclude that the geosig path formed by (u_1, v_1) and (u_n, v_2) covers every vertex of $P_n \times P_2$ and hence $sn(P_n \times P_2) = 2$.

Theorem 3.10

For the cartesian product of P_n and P_2 , $\gamma_{sn}(P_n \times P_2) = \left\lceil \frac{n+1}{2} \right\rceil$ for every $n \ge 2$.

Proof. Let *S* be the γ - set of $P_n \times P_2$ such that $= \gamma(P_n \times P_2) = \left\lceil \frac{n+1}{2} \right\rceil$. We show that *S* forms a minimum signal dominating set. Suppose there exist a vertex $x = (x_1, x_2)$ in V - S such that no geosig path formed by any pair of vertices of *S* covers *x*, then *S* does not form a signal cover in $P_n \times P_2$. Since *x* is adjacent to at least one vertex of *S*, N(x) either contains a vertex of *S* or adjacent to some vertices of *S* which contradicts our assumption. So *S* forms a minimum signal dominating set of $P_n \times P_2$.

Lemma 3.11

For the cartesian product of C_n and P_2 with n > 5, $sn(C_n \times P_2) = \begin{cases} 3 & \text{if } n \text{ is even} \\ 4 & \text{otherwise} \end{cases}$

Proof. Let $u_1, u_2 \in V(P_2)$. Based on the length of the cycle, we consider 2 cases. Assume, n is even. For even cycle, it is evident that $sn(C_n) = 2$. Assume that $\{x,y\}$ be the signal set of C_n . Obviously, $(x,y) = diam(C_n) = \frac{n}{2}$. Let x and y corresponds to (x,u_1) and (y,u_1) in $V(C_n \times P_2)$ respectively. Clearly, (x,u_1) and (y,u_1) can produce a geosig path that can cover (x_i,u_1) for every $x_i \in V(C_n)$ while (x_i,u_2) is left uncovered. By choosing (x,u_2) along with (x,u_1) and (y,u_1) , a signal basis set is formed to cover $V(C_n \times P_2)$ by the geosig path formed by any pair of vertices of $\{(x,u_1),(y,u_1),(x,u_2)\}$. Therefore $sn(C_n \times P_2) = 3$. For the cycle of odd length, $sn(C_n) = 3$ say $\{x,y,z\}$ and the proof is similar.

Theorem 3.12

For
$$n > 5$$
, $\gamma_{sn}(C_n \times P_2) = \begin{cases} \left\lceil \frac{n+6}{3} \right\rceil & if \ n \neq 7.8 \\ 4 & otherwise \end{cases}$.

Proof. Let *S* be the γ_{sn} - set of $C_n \times P_2$. For $n \neq 7,8$, let x_1 be a vertex in *S*. Since it is 3 - regular graph, neglect $N(x_1)$ as well as the vertices that are adjacent to the vertices of $N(x_1)$. Now choose the vertex that is adjacent to more than one vertex in neighborhood of $N(x_1)$ say x_2 . By repeating this process until x_1 appears in the neighborhood of some $N(x_1)$ ($2 \leq i \leq n$) we obtain a minimum signal dominating set for $C_n \times P_2$. Therefore, $\gamma_{sn}(C_n \times P_2) = \left\lceil \frac{n+6}{3} \right\rceil$. For n = 7 and n = 8, the vertices that are at diameter distances in both cycles forms a γ_{sn} - set. So $\gamma_{sn}(C_n \times P_2) = 4$.

Corollary 3.13

For
$$n \leq 5$$
, $\gamma_{sn}(C_n \times P_2) = \gamma_{sn}(C_n)$.

Observation 3.14

For
$$n \ge 3$$
, $\gamma_{sn}(C_n \times C_3) = n$.





Jachin Samuel and Angelin Kavitha Raj

CONCLUSION

In this study, we explored and highlighted the signal domination parameter by some of its bounds and also obtained some exclusive results regarding the cartesian product of graphs. Their field of application can be extended to various domains such as robotics, monitoring and surveillance such as sensor networks in smart city and also in the placement of transmitters.

REFERENCES

- 1. Balamurugan. S, R. Antony Doss, On the Signal Number in graphs, Discrete Mathematics, Algorithms and Applications (under review).
- 2. Blaz Zmazek, J. Erovnik Behzad, Vizing Conjecture And Cartesian-Product Graphs, Applied Mathematics Letters 15 (2002) 781-784.
- 3. M.H. El-Zahar, S.M. Khamis, Kh.M. Nazzal, On the Domination number of the Cartesian product of the cycle of length n and any graph, Discrete Applied Mathematics 155 (2007) 515 522.
- 4. F Buckley, F Harary, Distance in graphs, Addison-Wesley, Longman, (1990).
- 5. Gary Chartrand, Henry Escuadro, P Zhang, Detour Distance in graphs, J. Combin. Math. Combin. Comput., 53 (2005), pp. 75-94.
- 6. Gary Chartrand, P. Zang, Introduction to Graph Theory, Tata McGraw-Hill, New Delhi, (2006).
- 7. Jachin Samuel. S, S. Angelin Kavitha Raj, On the Signal Domination Number of Graphs, Springer Proceedings, (Communicated).
- 8. Kathiresan. K. M, Sumathi, A Study on Signal Distance in graphs, Algebra, Graph Theory and their Application, Narosa Publishing House Pvt. Ltd, (2010), pp. 50-54.
- 9. Michael S. Jacobson, Lael F. Kinch, On the domination of the products of graphs II: Trees, Journal of Graph Theory, (1986).
- 10. Sethu Ramalingam. S and S. Balamurugan, On the signal distance in graphs, Ars Combinatoria. Accepted on (2018).
- 11. Sethuraman Guruswamy and Jesintha. J, All Banana Trees Are Graceful, Advances and Applications in Discrete Mathematics vol. 4, 53 64 (2009).
- 12. Haynes T W, Hedetniemi S T, Slater P J, Domination in Graphs: Advanced Topics, Marcel Dekker, New York, (1998).
- 13. Vizing, V.G., The Cartesian Product of Graphs, Vycisl. Sistemy, 9, (1963), 30-43.





RESEARCH ARTICLE

Closed interval Approximation of Hexagonal Fuzzy Numbers for **Interval Data based Transportation Problem**

R.Jaya¹ and S.Vimala^{2*}

¹Assistant Professor, Department of Mathematics, M.Kumarasamy College of Engineering, Karur (Affiliated to Anna University, Chennai), Tamil Nadu, India

²Assistant Professor, Department of Mathematics, Mother Teresa Women's University, Kodaikanal, Dindigul, Tamil Nadu, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 02 Aug 2024

*Address for Correspondence

S.Vimala

Assistant Professor, Department of Mathematics, Mother Teresa Women's University, Kodaikanal, Dindigul, Tamil Nadu, India. Email: tvimss@gmail.com.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Because of globalization in the current technological era as well as unpredictable variables, transportation factors can vary within a specific range of a particular time frame. It is difficult to accurately analyse supply, demand, and transportation costs in a transportation problem due to the inconsistency of economic and environmental factors (TP). It is challenging for an exact approach to determine the IDBTP's precise solution in a reasonable amount of time, especially for large-scale problems with enormous interval widths. The purpose of this work is to solve a transportation problem in which supply, demand, and transportation costs are all hexagonal fuzzy numbers (HFNs). The closed interval approximation of the hexagonal fuzzy number is one of the most effective interval approximations for resolving the IDBTP. In this suggested closed interval approximation method, the IDBTP is first transformed into a fuzzy transportation problem (FTP) using the hexagonal fuzzy transformation approach. Based on centroid and Robust ranking procedures, two innovative ranking techniques are then introduced to convert the Hexagonal fuzzier number into the suitable crispness (non-fuzzy). The minimum cost solution was then discovered by combining Unit cost penalty approach with the U-V method.

Keywords: Transportation problem, Hexagonal fuzzy number, Interval data based transportation problem, Robust rank, Centroid





Jaya and Vimala

INTRODUCTION

The growth of science relies heavily on the fields of operations research and management science, as companies consistently seek optimal strategies to effectively manage their operations. Although early studies were initially confined to specific domains, there has been a notable surge in the quantity of studies recently. As a result, operations research and management sciences have gained widespread acceptance worldwide, extending beyond developed nations. The transportation problem (TP) is a well-known challenge within operations research (OR), finding prominence due to its extensive application in real-world scenarios. The TP arises when goods are transported from multiple sources to various destinations, influenced by supply and demand considerations at both the source and destination. The objective is to minimize the total cost of transportation (TC). Scholars have extensively employed fuzzy numbers and ranking functions to address transportation issues, reflecting the prevalent use of these techniques in decision-making, data analysis, and artificial intelligence within operations research disciplines. In the context of transportation issues, Jain [1] originally proposed ranking fuzzy numbers as a crucial step in decision-making under ambiguous conditions, representing the uncertain quantity as a fuzzy set.

Furthermore, in [2], Jain introduced a systematic approach for multi-aspect decision-making using fuzzy sets. This underscores the significance of fuzzy numbers in decision-making processes within a fuzzy environment across various operations research disciplines. Discovering the initial, most viable solution for a balanced transport offers numerous avenues, as illustrated in [3-7]. The utilization of the transportation algorithm to ascertain the most costeffective transportation cost becomes applicable only upon identifying a station problem with a feasible or basic solution [8,9]. Due to the diverse goals of maximizing profit and minimizing expenses with optimal resource utilization, various transportation models coexist. Within the business sector, different types of transportation problems are encountered, with the overarching objective being the determination of the most economical means to move goods [10,11]. In addressing the conventional transportation problem, it is presumed that decision-makers possessing knowledge of the pertinent issues understand the significance of transportation costs, supply, and demand. Ambiguity is a common occurrence in real-world scenarios, and certain parameters of transportation problems may lack determinism in practical situations [12]. Consequently, while some data may be precise, others might manifest as intervals or fuzzy data. The term "interval-based transportation problem" is coined to describe this situation where supply, demand, and cost are expressed as interval numbers. When these parameters are further described as interval-valued fuzzy numbers, the problem is termed an interval-valued fuzzy transportation problem (FTP). The existing approaches pose significant challenges in directly addressing this specific type of problem (IDTP). Resolving such a transportation problem requires the conversion of intervals into precise numbers [9].

It is important to note that the IDTP is distinct from the traveling salesman problem (TSP), where the objective is to find the shortest path that visits each city exactly once before returning to the starting point. In contrast, interval databased transportation problems (IDTP) [13] refer to transportation problems characterized by variable demand, supply, and cost. The optimal shipping cost is determined through the mathematical framework of the IDTP. In a historical context of this subject, Zadeh [14] and Goguen [15] aimed to extend the conventional notions of a set and a proposition, introducing a slight acceptance of fuzziness in their inaugural publication on fuzzy set theory. This marked the initial and pivotal stride towards formalizing fuzziness within mathematics. The widespread application of fuzzy numbers in practical scenarios is evident today. Numerous innovative ideas and theories have been advanced to tackle the challenges posed by the FTP. The parameters used to formulate problems are dynamic and unknown rather than constant, rendering the application of mathematical programming challenging. Even with extensive decision-making experience, policymakers may encounter difficulty in precisely articulating their objectives. The decision-making method formulated in a fuzzy environment by Bellman and Zadeh [16] has proven to be enhanced and highly valuable in managing decision problems. Zimmermann [17] introduced fuzzy set theory and its applications, paving the way for a novel model of transportation issues termed FTP, which emerged as a result of integrating an uncertain environment. Panda and Pal [18] established the logical definition for constructing a PFN and the associated arithmetic operations. Mathur et al. [19] introduced a technique based on trapezoidal fuzzy





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

Jaya and Vimala

numbers to optimize transportation problems within a fuzzy environment. Maliniand and Anantha Narayanan [20] proposed a novel ranking strategy. The resolution of FTPs through Robust's ranking mechanism was initially introduced by Hunwisai and Kuman [21], who employed the allocation table method to identify an initial basic feasible solution (IBFS) for the FT. Purushothkumar and Anantha Narayanan [22] introduced a new approach for addressing multiple analogous problems. Mondal and Mandal [23] presented an adaptation of a PFN. To tackle unbalanced FTP, Samuel and Raja [24] proposed an innovative computational method. Rosline and Dison [25] developed the geometrical representation of quadratic and symmetric PFNs. Maheswari and Ganesan [26] presented an approach to solve the FTP. In the realm of fuzzy mathematics, a subfield of fuzzy set theory and fuzzy logic, Han et al. [27] introduced the concept of fuzzy mathematics forms. Researchers in this domain offer various techniques aiding the creation of diverse models for conventional linear programming problems, providing fuzzy solutions to the aforementioned issues. Addressing transportation problems with fuzzy cost coefficients, Ashour [28] devised two cost-minimization fuzzy transportation problems incorporating hexagonal fuzzy numbers that consider both supply and demand. Stankovic et al. [29] proposed road traffic fuzzy risk analysis. Helen and Uma [30] utilized an evolutionary approach to handle transportation-related problems involving fuzzy coefficients. Bisht and Srivastava [13] suggested a novel ranking method centered on the in-center concept. Rabinson and Chandrasekaran [31] put forth a method for resolving the TP problem with PFNs based on the ranking function. Uddin et al. (2021) dealt with unexpected situations in multi-objective transportation problems (MOTPs) and proposed a fuzzy membership function technique based on goal programming. Chen et al. [32] introduced a new approach for accurately forecasting short-term traffic flow from historical data. During the 2013 Dingxi earthquake in China, Zheng et al. [33] utilized a hyper-heuristic solution approach for emergency railway transportation.

PRELIMINARIES

Definition 2.1. A fuzzy set A_F defined on U' is a collection of ordered pairs, $A_F = \{(u, \mu_{A_F}(u))/u \in U'\}$ where $\mu_{A_{F}}(u): U' \to [0,1]$ is called the membership function.

Definition 2.2. A_s is a fuzzy subset defined on universe set $U'.A_s$ is normal iff $\sup_{u \in U'} \mu_{A_s}(u) = 1$.

Definition 2.3. A_s is a fuzzy subset defined on universe set $U'.A_s$ is convex iff $\mu_{A_s}(\lambda u + (1-\lambda)v) \ge (\mu_{A_s}(u) \wedge (1-\lambda)v)$ $\mu_{A_c}(v)$), $\forall u, v \in U^{'}$, $\forall \lambda \in [0,1]$ where \land denotes the minimum operator.

Definition 2.4. A_F is a fuzzy set defined on U'. A_F is a fuzzy number iff A_F is normal and convex on U'.

Definition 2.5. A_{Tr} is a triangular fuzzy number whose piecewise linear membership function $\mu_{A_{Tr}}$ defined by

Definition 2.5.
$$A_{Tr}$$
 is a triangular fuzzy number whose piecewise linear membersh
$$\mu_{A_{Tr}}(u) = \begin{cases} \frac{u-p_{11}}{p_{12}-p_{11}}, p_{11} \leq u \leq p_{12} \\ \frac{p_{13}-u}{p_{13}-p_{12}}, p_{12} \leq u \leq p_{13} \\ 0, otherwise \end{cases}$$
 which can be denoted as a triplet (p_{11}, p_{12}, p_{13}) .

Definition 2.6. A_{Trap} is trapezoidal fuzzy number whose membership function $\mu_{A_{Trap}}$ defined by

Definition 2.6.
$$A_{Trap}$$
 is trapezoidal fuzzy number whose membership function $\mu_{A_{Trap}}$ defined by $\mu_{A_{Trap}}(u) = \begin{cases} \frac{u-p_{11}}{p_{12}-p_{11}}, p_{11} \leq u \leq p_{12} \\ 1, p_{12} \leq u \leq p_{13} \\ \frac{p_{14}-x}{p_{14}-p_{13}}, p_{13} \leq u \leq p_{14} \\ 0, otherwise \end{cases}$ which can be denoted as a quadruplet $(p_{11}, p_{12}, p_{13}, p_{14})$.

Definition 2.7. A Fuzzy number A_{hx} is a hexagonal fuzzy number denoted by $A_{hx} = (p_{11}, p_{12}, p_{13}, p_{14}, p_{15}, p_{16})$ where p_{11} , p_{12} , p_{13} , p_{14} , p_{15} and p_{16} are real numbers and its membership function is defined by





Jaya and Vimala

$$\mu_{A_{hx}}(u) = \begin{cases} \frac{\omega}{2} \left(\frac{u-p_{11}}{p_{12}-p_{1}}\right), p_{11} \leq u \leq p_{12} \\ \frac{\omega}{2} + \frac{\omega}{2} \left(\frac{u-p_{12}}{p_{13}-p_{12}}\right), p_{12} \leq u \leq p_{13} \\ \omega, p_{13} \leq u \leq p_{14} \\ \omega - \frac{\omega}{2} \left(\frac{u-p_{14}}{p_{15}-p_{14}}\right), p_{14} \leq u \leq p_{15} \\ \frac{\omega}{2} \left(\frac{p_{16}-u}{p_{16}-p_{15}}\right), p_{15} \leq u \leq p_{16} \\ 0, otherwise \end{cases}$$

Basic operations of Hexagonal Fuzzy number

Addition, Subtraction and Multiplication of two hexagonal fuzzy numbers

$$P_{H_F} = (p_{11}, p_{12}, p_{13}, p_{14}, p_{15}, p_{16})$$
 and $Q_{H_F} = (q_{11}, q_{12}, q_{13}, q_{14}, q_{15}, q_{16})$ are given by,

Addition
$$P_{H_F} + Q_{H_F} = (p_{11} + q_{11}, p_{12} + q_{12}, p_{13} + q_{13}, p_{14} + q_{14}, p_{15} + q_{15}, p_{16} + q_{16})$$

Subtraction
$$P_{H_F} - Q_{H_F} = (p_{11} - q_{16}, p_{12} - q_{15}, p_{13} - q_{14}, p_{14} - q_{13}, p_{15} - q_{12}, p_{16} - q_{11})$$

$$\text{Multiplication } P_{H_F} * Q_{H_F} = (p_{11} * q_{11}, p_{12} * q_{12}, p_{13} * q_{13}, p_{14} * q_{14}, p_{15} * q_{15}, p_{16} * q_{16})$$

The Formulation of the IDBTP

Formally, given the interval supply and demand vectors $s \in R^m$, $d \in R^n$ and the transportation costs $c \in R^{m \times n}$, the interval data based transportation problem (IDBTP) can be represented by an interval linear programming model, which is understood as the set of all linear programs (transportation problems) with the costs, supply and demand vectors lying in the corresponding intervals c, c and c aparticular linear program in the interval transportation problem, which is determined by a cost $c \in C$, supply vector c and demand vector c a called a scenario of the IDBTP. In the recent literature, an interval transportation problem in the following form is usually considered:

Minimize
$$\sum_{\alpha=1}^{r} \sum_{\beta=1}^{t} c_{\alpha\beta} x_{\alpha\beta}$$

Subject to
$$\sum_{\beta=1}^t x_{\alpha\beta} \leq s_{\alpha}$$
; $\alpha=1,2,\ldots,r$ and $\sum_{\alpha=1}^r x_{\alpha\beta} \leq d_{\beta}$; $\beta=1,2,\ldots,t$

Then,
$$\sum_{\alpha=1}^{r} s_{\alpha} \geq \sum_{\beta=1}^{t} d_{\beta}$$

where
$$S_{\alpha} \leq S_{\alpha} \leq \bar{S}_{\alpha} \ \forall \alpha; \ D_{\beta} \leq d_{\beta} \leq \bar{D}_{\beta} \ \forall \beta; C_{\alpha\beta} \leq C_{\alpha\beta} \leq \bar{C}_{\alpha\beta}; x_{\alpha\beta} \geq 0 \ \forall \alpha, \beta$$

The formulation of fuzzy transportation problem (FFTP)

Mathematically, the FTP can be formulated as follows:

$$Min \ \bar{Z} = \sum_{\alpha=1}^{r} \sum_{\beta=1}^{t} c_{\alpha\beta} \ x_{\alpha\beta}$$

Subject to

$$\sum_{\beta=1}^{t} x_{\alpha\beta} = a_{\alpha}; \ \alpha = 1,2.....r, \ \sum_{\alpha=1}^{r} x_{\alpha\beta} = b_{\beta}; \ \beta = 1,2.....t$$

Where $c_{\alpha\beta}$, a_{α} and b_{β} are HFN. It is assumed that $a_{\alpha} \ge 0$, $b_{\beta} \ge 0$, $c_{\alpha\beta} \ge 0$ $\forall \alpha, \beta$ and $\sum_{\beta=1}^{t} b_{\beta} = \sum_{\alpha=1}^{r} a_{\alpha}$, $x_{\alpha\beta} \ge 0$ $\forall \alpha = 1, 2...r$; $\beta = 1, 2...t$

Hexagonal fuzzification methods (HFM)

The decision maker can only access the transportation cost, supply, and demand in interval form in the IDBTP due to the lack of accurate information. In order to use the fuzzy approach, the interval data from the relevant IDBTP is fuzzified to a fuzzy number using the following procedure: Let an interval data be P=(m,n), d=(n-m)/5.then P=(m,m+d,m+2d,m+2d,m+4d,n) is a HFN.

The proposed Ranking Technique





Jaya and Vimala

The centroid or geometric center is the arithmetic mean position of all the points in a surface diagram. Consider normal HFN k* = $(p_1, p_2, p_3, p_4, p_5, p_6)$ such that its centroid is (\bar{x}, \bar{y}) . When $\omega = 1$, the linear HFN is defined as follows

$$\mu_{k^*}(x) = \begin{cases} \frac{1}{2} \left(\frac{x - p_1}{p_2 - p_1}\right) &, p_1 \le x \le p_2 \\ \frac{1}{2} + \frac{1}{2} \left(\frac{x - p_2}{p_3 - p_2}\right), p_2 \le x \le p_3 \\ 1 &, p_3 \le x \le p_4 \\ 1 - \frac{1}{2} \left(\frac{x - p_4}{p_5 - p_4}\right), p_4 \le x \le p_5 \\ \frac{1}{2} \left(\frac{p_6 - x}{p_6 - p_5}\right) &, p_5 \le x \le p_6 \\ 0 &, otherwise \end{cases}$$

Then, using the membership function and according to the centroid formula,

$$\bar{x} = \frac{x \int_{p_1}^{p_2} \frac{x - p_1}{2(p_2 - p_1)} dx + x \int_{p_2}^{p_3} \frac{1}{2} + \frac{(x - p_2)}{2(p_3 - p_2)} dx + x \int_{p_3}^{p_4} dx + x \int_{p_4}^{p_5} (1 - \frac{(x - p_4)}{2(p_5 - p_4)}) dx + x \int_{p_5}^{p_6} \frac{p_6 - x}{2(p_6 - p_5)}}{\int_{p_1}^{p_2} \frac{x - p_1}{2(p_2 - p_1)} dx + \int_{p_2}^{p_3} \frac{1}{2} + \frac{(x - p_2)}{2(p_3 - p_2)} dx + \int_{p_3}^{p_4} dx + \int_{p_4}^{p_5} (1 - \frac{(x - p_4)}{2(p_5 - p_4)}) dx + \int_{p_5}^{p_6} \frac{p_6 - x}{2(p_6 - p_5)}}$$

$$\bar{x} = \frac{\frac{1}{12}(-p_1^2 - 2p_2^2 - p_2(p_1 + p_3) - p_2 + p_4^2 + 2p_5^2 + p_5(p_4 + p_6) + p_6^2}{\frac{1}{4}(-p_1 - 2p_2 - p_3 + p_4 + 2p_5 + p_6)}$$

$$\bar{x} = \frac{1}{3} \left(\frac{(-p_1^2 - 2p_2^2 - p_2(p_1 + p_3) - p_2 + p_4^2 + 2p_5^2 + p_5(p_4 + p_6) + p_6^2}{(-p_1 - 2p_2 - p_3 + p_4 + 2p_5 + p_6)} \right)$$

For a normal HFN k*= $(p_1, p_2, p_3, p_4, p_5, p_6)$, the proposed ranking technique R(H) is defined by $\bar{x} = R(H)$

$$R(H) = \frac{1}{3} \left(\frac{(-p_1^2 - 2p_2^2 - p_2(p_1 + p_3) - p_2 + p_4^2 + 2p_5^2 + p_5(p_4 + p_6) + p_6^2}{(-p_1 - 2p_2 - p_3 + p_4 + 2p_5 + p_6)} \right)$$

Robust Ranking Technique

If A is a fuzzy number, then the robust ranking defined by

$$R(A) = \int_0^1 \frac{1}{2} (a_{h\alpha}^L, a_{h\alpha}^U) d\alpha$$
where $(a_{h\alpha}^U, a_{h\alpha}^U) = \{(b-a)\alpha + a, d - (d-c)\alpha\} + \{(d-c)\alpha + c, f - (f-e)\alpha\}$

Proposed Ranking Method

Initially, our IDBTP is transformed to FTP utilizing the HFM shown in section 3. Then, using a Proposed ranking method to convert the HFN into a crisp value. Finally, the modified distribution method (MODI) and Vogel's Approximation Method (VAM) are used to attain the optimal solution. To solve an IDBTP, the following steps to be followed:

Step 1: Creating a tabular representation of the given problem

Step 2: Using a HFM in section 3, to fuzzifying a data

Step 3:To convert FTP into precision value, by using the Proposed ranking Method

Step 4: To formulate a linear programming problem and check whether it is balanced

Step 5: To calculate IBFS using VAM Method

Step 6: To obtain the optimal solution to the problem using MODI Method)

RESULTS AND DISCUSSION

Using proposed Ranking Method





Jaya and Vimala

The following example is solved by Proposed method in this paper

Example 1 A company has three sources or delivers A,B,C with supply (1–9);(4–10);(4–11) respectively and it has three receivers R11, R12, R13 with demand values (3–12);(4–10);(2–8) respectively. The transport cost is given in following table In this example the initial basic feasible solution using VAM is equal to 155 and the optimal solution using MODI method is equal to 154.5

	R 11	R 12	R 13
Α	[1,19]	[1,9]	[2,18]
В	[8,26]	[3,12]	[7,28]
С	[11,27]	[0,15]	[4,11]

Using Robust Ranking Method

Our Robust Ranking Method for this paper solves the example 1 as shown in Table 2

In this example the initial basic feasible solution using VAM is equal to and the optimal solution using MODI method is equal to

CONCLUSION

In this study, the unit cost of transportation, supply, and demand elements were taken to be considered as intervals to analyze the IDBTP with the lowest amount of transportation cost. The IDBTP is addressed in this study by combining two innovative ideas. First, the suggested Hexagonal fuzzification method (HFM) is used to convert IDBTP to FTP, and then newly proposed ranking algorithms based on the centroid and Robust ranking concepts are used to convert to crisp numbers. In addressing such IDBTP, where there is a significant disparity between the intervals of supply and demand, the proposed fuzzy approaches are proven to be more efficient. The applications for decision-making in industry and engineering suggested by this approach are significant. Despite the fact that our new ranking approach performs better than the current approach in locating the least cost of small-sized IDBTPs, it does not satisfy any instances of large-sized IDBTPs. Furthermore, because of the competitive market environment today, single-objective fuzzy or non-FTP models cannot handle real-world decision-making problems. As a result, we recommend further investigation to provide a more efficient approach for resolving large-scale interval-based, multi-objective transportation problems.

REFERENCES

- 1. Jain, R. (1976) Decision Making in the Presence of Fuzzy Variables. IIIE Transactions on Systems, Man and Cybernetics, 17, 698-703.
- 2. Jain, R. (1977). A procedure for multiple-aspect decision making using fuzzy sets. *International Journal of systems science*, 8(1), 1-7.
- 3. Juman, Z.A.M.S.; Nawarathne, N.G.S.A. An efficient alternative approach to solve a transportation problem. CeylonJ. Sci. 2019, 48, 19–29. [CrossRef]
- 4. Juman, Z.A.M.S.; Hoque, M.A. An efficient heuristic to obtain a better initial feasible solution to the transportation problem. Appl. Soft Comput. 2015, 34, 813–826. [CrossRef]
- Bezmaternykh, A.; Kulizhskaya, Z.; Fayzrakhmanova, E. A Balanced System of Indicators as a Condition for Improving the Effectiveness of Management Decisions in the Field of Transport. Transp. Res. Procedia 2022, 61, 176–179. [CrossRef]
- 6. Juman, Z.A.M.S.; Hoque, M.A.; Buhari, M.I.A. Sensitivity analysis and an implementation of the well-known Vogel's approximation method for solving unbalanced transportation problems. Malays. J. Sci. 2013, 32, 66–72. [CrossRef]
- 7. Chhibber, D.; Srivastava, P.K.; Bisht, D.C. From fuzzy transportation problem to non-linear intuitionistic fuzzy multi-objective transportation problem: A literature review. Int. J. Model. Simul. 2021, 41, 335–350. [CrossRef]





Jaya and Vimala

- 8. Juman, Z.A.M.S.; Mostafa, S.A.; Ghazali, R.; Karunamuni, K.S.M.; Kumari, H.M.N.S. A Generalized Assignment of Standard Minute Value Model to Minimize the Difference between the Planned and Actual Outputs of a Garment Production Line. In International Conference on Soft Computing and Data Mining; Springer: Cham, Switzerland, 2022; pp. 272–281.
- 9. Ahmed, J.S.; Mohammed, H.J.; Chaloob, I.Z. Application of a fuzzy multi-objective defuzzification method to solve a transportation problem. Mater. Today Proc. 2021. [CrossRef]
- 10. Srivastava, P.K.; Bisht, D.; Garg, H. Innovative Ranking and Conversion Approaches to Handle Impreciseness in Transportation. J. Mult. -Valued Log. Soft Comput. 2020, 35, 491–507.
- 11. Mostafa, S.A.; Juman, Z.A.M.S.; Nawi, N.M.; Mahdin, H.; Mohammed, M.A. Improving Genetic Algorithm to Attain Better Routing Solutions for Real-World Water Line System. In International Conference on Soft Computing and Data Mining; Springer: Cham, Switzerland, 2022; pp. 292–301.
- 12. Zhu, K.; Ji, K.; Shen, J. A fixed charge transportation problem with damageable items under uncertain environment. Phys. A: Stat. Mech. Its Appl. 2021, 581, 126234. [CrossRef]
- 13. Bisht, C.S.; Srivastava, P.K. Trisectional fuzzy trapezoidal approach to optimize interval data based transportation problem. J. King Saud Univ.-Sci. 2020, 32, 195–199. [CrossRef]
- 14. Zadeh, L.A. Fuzzy sets. Inf. Control 1965, 8, 338–353. [CrossRef]
- 15. Goguen, J.A. The logic of nexact concepts. Synthese 1969, 19, 325–373. [CrossRef]
- 16. Bellman, R.E.; Zadeh, L.A. Decision making in a fuzzy environment. Manag. Sci. 1970,17, 141–164. [CrossRef]
- 17. Zimmermann, H.J. Fuzzy programming and linear programming with several objective functions. Fuzzy Sets Syst. 1978, 1, 45–55. [CrossRef]
- 18. Panda, A.; Pal, P. A study on pentagonal fuzzy number and its corresponding matrices. Pac. Sci. Rev. B Humanit. Soc. Sci. 2016, 1, 131–139. [CrossRef]
- 19. Mathur, N.; Srivastava, P.K.; Paul, A. Trapezoidal fuzzy model to optimize transportation problem. Int. J. Model. Simul. 2016, 7, 1650028. [CrossRef]
- 20. Maliniand, P.; Ananthanarayanan, M. Solving fuzzy transportation problem using ranking of trapezoidal fuzzy numbers. Int. J. Math. Res. 2016, 8, 127–132.
- 21. Hunwisai, D.; Kuman, P. A method for solving a fuzzy transportation problem via Robust ranking technique and ATM. Cogent Math. 2017, 4, 1283730. [CrossRef]
- 22. Purushothkumar, M.K.; Ananathanarayan, M. Fuzzy transportation problem of trapezoidal fuzzy numbers with new ranking technique. IOSR J. Math. 2017, 13, 6–12. Sustainability 2022, 14, 7423 18 of 18
- 23. Mondal, S.P.; Mandal, M. Pentagonal fuzzy numbers, its properties and application in fuzzy equation. FutureComput. Inform. J. 2017, 2, 110–117. [CrossRef]
- 24. Samuel, A.; Raja, P.Algorithmic approachto unbalanced fuzzytransportation problem. Int. J.PureAppl. Math. 2017,113, 553–561.
- 25. Rosline, J.J.; Dison, E.M. Symmetric pentagonal fuzzy numbers. Int. J. Pure Appl. Math. 2018, 119, 245–253.
- 26. Maheswari, P.U.; Ganesan, K. Solving fully fuzzy transportation problem using pentagonal fuzzy numbers. J. Phys. 2018, 1000, 012014. [CrossRef]
- 27. Han, D.; Cluckie, I.D.; Karbassioun, D.; Lowry, J. A fuzzy logic approach to river flow modelling. In Stochastic Hydraulics 2000; CRC Press: Boca Raton, FL, USA, 2020; pp. 853–860.
- 28. Ashour, M.A.H. Optimum Cost of Transporting Problems with Hexagonal Fuzzy Numbers. J.Southwest JiaotongUniv. 2019, 54. [CrossRef]
- 29. Stankovic', M.; Stevic', Ž.; Das, D.K.; Subotic', M.; Pamuc'ar, D. A new fuzzy MARCOS method for road traffic risk analysis. Mathematics 2020, 8, 457. [CrossRef]
- 30. Helen, R.; Uma, G. A novel method to obtain initial basic solution and optimal solution of pentagonal fuzzy transportation problem. Malaya J. Mat. (MJM) 2019, 7, 676–680.
- 31. Rabinson, G.C.; Chandrasekaran, R. A method for solving a pentagonal fuzzy transportation problem via ranking technique and ATM. Int. J. Res. Eng. IT Soc. Sci. 2019, 9, 71–75.
- 32. Chen, X.; Wu, S.; Shi, C.; Huang, Y.; Yang, Y.; Ke, R.; Zhao, J.Sensing datasupportedtraffic flowpredictionviadenoising schemes and ANN: A Comparison. IEEE Sens. J. 2020, 20, 14317–14328. [CrossRef].





Jaya and Vimala

33. Zheng, Y.J.; Zhang, M.X.; Ling, H.F.; Chen, S.Y. Emergency railway transportation planning using a hyperheuristic approach. IEEE Trans. Intell. Transp. Syst. 2015, 16, 321–329. [CrossRef]

Table 1. Step 1: Tabular form of example 1

D/S	R11	R12	R12	S
A	[1,19]	[1,9]	[2,18]	[1,9]
В	[8,26]	[3,12]	[7,28]	[4,10]
С	[11,27]	[0,15]	[4,11]	[4,11]
D	[3,12]	[4,10]	[2,8]	

Step 2: Fuzzified interval data

_	(1.4.6.0.0.11.0.15.4.10)	(1.0.4.0.5.0.7.4.0)	(0.5.0.0.4.11.(.14.0.10)	(1.0 (1.0 5.0 7.10)
Α	(1,4.6,8.2,11.8,15.4,19)	(1,2.6,4.2,5.8,7.4,9)	(2,5.2,8.4,11.6,14.8,18)	(1,2.6,4.2,5.8,7.4,9)
В	(8,11.6,15.2,18.8,22.4,26)	(3,4.8,6.6,8.4,10.2,12)	(7,11.2,15.4,19.6,23.8,28)	(4,5.2,6.4,7.6,8.8,10)
С	(11,14.2,17.4,20.6,23.8,27)	(0,3,6,9,12,15)	(4,5.4,6.,8.2,9.6,11)	(4,5.4,6.8,8.2,9.6,11)
D	(3,4.8,6.6,8.4,10.2,12)	(4,5.2,6.4,7.6,8.8,10)	(2,3.2,4.4,5.6,6.8,8)	

Step 3: Defuzzified data

Α	10	5	10	5
В	17	7.5	17.5	7
С	7	19	7.5	7.5
D	7.5	7	5	

Step 4: IBFS for the problem using VAM

Α	10	5	10(5)	5
В	17	7.5(7)	17.5	7
С	7(7.5)	19	7.5	7.5
D	7.5	7	5	

Table 2. Defuzzified data

Α	20	10	20	10
В	34	15	35	14
С	14	38	15	15
D	15	14	10	

Table 3. IBFS for the problem using VAM

			I	
Α	20	10	20(10)	10
В	34	15(14)	35	14
С	14(15)	38	15	15
D	15	14	10	

Table 4: Comparison table

_	rubic ii compuison tubic			
Ī	Method	VAM	MODI	
Ī	Proposed Method	155	154.5	
Ī	Robust ranking Method	620	580	





RESEARCH ARTICLE

Optimal solution for fuzzy Assignment problem using fuzzy Quantifier in Real time Application

S.Jeevitha^{1*}, D.Vanisri ² and M.Shobana¹

¹Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous, Komarapalayam, Nammakal, (Affiliated to Anna University, Chennai), Tamil Nadu, India. ²Assistant Professor, Department of Mathematics, Bharathiar University PG Extension Centre, Erode, (Affiliated on Bharathiar University, Coimbotare), Tamil Nadu, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 02 Aug 2024

*Address for Correspondence S.Jeevitha

Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous, Komarapalayam, Nammakal, (Affiliated to Anna University, Chennai), Tamil Nadu, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The Fuzzy Assignment Problem (FAP) extends the classical assignment problem by incorporating uncertainty and imprecision, often encountered in real-world scenarios. Traditional assignment models assume exact numerical values for costs or profits, which is not always realistic. This research paper explores the use of fuzzy quantifiers in FAP to model linguistic terms such as "approximately," "almost," and "around." We employ a fuzzy logic-based approach to handle these uncertainties and propose a new algorithm to solve the FAP effectively. Our experimental results demonstrate that the fuzzy quantifier approach provides more flexible and realistic solutions compared to traditional methods. The findings suggest significant implications for decision-making processes in various fields, including logistics, human resource management, and project allocation.

Keywords: Fuzzy Assignment Problem, Linear Programming Problems, Stochastic Assignment Problems. Fuzzy cost Matrix, Triangular Fuzzy Numbers, Hungarian Methods,

INTRODUCTION

The assignment problem is a fundamental issue in combinatorial optimization, where the objective is to assign a set of tasks to a set of agents in a way that minimizes the total cost or maximizes the overall profit. Traditional models assume precise cost or benefit values, which may not be practical in many real-world applications where uncertainty and imprecision are inherent. To address this, the Fuzzy Assignment Problem (FAP) introduces fuzzy numbers to represent uncertain costs or benefits. Fuzzy logic, introduced by Zadeh in 1965, provides a mathematical framework





ISSN: 0976 - 0997

Jeevitha et al.,

for dealing with vagueness and imprecision. Fuzzy quantifiers, such as "approximately" or "almost," further enhance this framework by allowing more natural and human-like expressions of uncertainty. In this paper, we explore the application of fuzzy quantifiers in FAP, aiming to develop a more robust and realistic model.

LITERATURE REVIEW

The concept of the assignment problem dates back to the early 20th century, with the Hungarian algorithm by Kuhn (1955) being a seminal work in this area. Over the years, various extensions and modifications have been proposed to address different aspects of the problem, such as multi-objective assignment problems, dynamic assignment problems, and stochastic assignment problems. The integration of fuzzy logic into optimization problems began gaining traction in the 1970s. Dubois and Prade (1980) were among the pioneers who introduced fuzzy sets into decision-making processes. Since then, numerous studies have applied fuzzy logic to various optimization problems, including the assignment problem. Notable contributions include the work of Zimmermann (1996) on fuzzy mathematical programming and the research by Chen and Hwang (1992) on fuzzy multiple criteria decision-making. Fuzzy quantifiers, a critical aspect of fuzzy logic, were first introduced by Zadeh (1983). They allow for more nuanced expressions of uncertainty, making them particularly useful in modeling real-world scenarios where linguistic terms are often used. Research by Yager (1988) and Delgado et al. (1993) further developed the theory and applications of fuzzy quantifiers in decision-making processes. Fuzzy logic using fuzzy quantifiers provides a sophisticated approach for handling imprecise and vague data in research. Fuzzy quantifiers, such as "most," "few," and "several," extend classical logic by allowing for degrees of membership rather than strict binary categorizations. For example, in a study analyzing the impact of student attendance on performance, fuzzy quantifiers can be employed to capture linguistic terms like "most students" or "a few students" with varying degrees of membership. In this context, fuzzy quantifiers are defined with membership functions that quantify the extent to which data points belong to fuzzy sets. A proven application is in educational performance analysis, where attendance rates are fuzzified into categories like "low," "medium," and "high," and fuzzy rules such as "If most students have high attendance, then performance is excellent" are used. By applying these fuzzy rules, researchers can derive fuzzy outputs, which are then defuzzified to yield precise performance scores. This method allows for a more nuanced understanding of the relationship between attendance and performance, demonstrating how fuzzy logic and quantifiers can offer valuable insights in research scenarios involving imprecise and qualitative data. However, the application of fuzzy quantifiers in the assignment problem remains relatively unexplored. This paper aims to fill this gap by integrating fuzzy quantifiers into the FAP and proposing a new algorithm to solve it.

EXPERIMENTAL RESULTS

METHODOLOGY

To investigate the effectiveness of fuzzy quantifiers in the FAP, we developed a new algorithm based on fuzzy logic principles. The algorithm incorporates fuzzy quantifiers to represent uncertain costs or benefits and employs a fuzzy inference system to derive the optimal assignment.

Fuzzy Quantifier Representation

Costs or benefits are represented using fuzzy numbers and linguistic terms such as "low," "medium," and "high." Fuzzy quantifiers like "approximately" and "almost" are used to express the degree of uncertainty. Fuzzy quantifier representation is a concept in fuzzy logic and fuzzy set theory that extends the traditional notion of quantifiers used in classical logic. In classical logic, quantifiers such as "all," "some," and "none" are used to describe the extent to which a property applies to a set of elements. Fuzzy quantifiers, however, allow for a more nuanced and flexible representation of quantities that reflect real-world scenarios more accurately. Fuzzy quantifiers can be categorized into two main types: absolute quantifiers and relative quantifiers. Absolute quantifiers refer to fixed quantities, such as "about 10," while relative quantifiers express proportions or percentages, such as "most," "few," or "approximately





Jeevitha et al.,

half." These quantifiers are not strictly defined but instead have a degree of membership that can range between 0 and 1. This allows for the representation of vague and imprecise concepts. For example, the fuzzy quantifier "most" can be represented by a membership function that assigns a degree of membership to different proportions of elements in a set. If we consider the quantifier "most students are diligent," the membership function might assign higher degrees of membership to sets where a large proportion of students are diligent, but not necessarily all.

Inference System

A set of fuzzy rules is established to model the decision-making process. The fuzzy inference system evaluates these rules to determine the optimal assignment. A Fuzzy Inference System (FIS) is a framework used to map inputs to outputs using fuzzy logic principles. It is widely applied in areas requiring decision-making under uncertainty and imprecision. The FIS operates through three main steps: fuzzification, inference, and defuzzification. Fuzzification: Converts crisp input values into fuzzy sets using membership functions. Inference applies fuzzy rules, typically in the form of "if-then" statements, to the fuzzified inputs to derive fuzzy outputs. These rules reflect expert knowledge and capture the relationships between input and output variables. Defuzzification, converts the fuzzy outputs back into crisp values, providing a clear and actionable result. A proven example of FIS is in controlling household appliances like washing machines, where it adjusts washing cycles based on fuzzy rules considering load size, dirtiness, and fabric type. The FIS ensures optimal performance by mimicking human decision-making, enhancing efficiency and user satisfaction.

Defuzzification

The final step involves converting the fuzzy results into crisp values to identify the best assignment. The centroid method is used for defuzzification. Defuzzification in fuzzy logic, particularly in assignment problems, involves converting fuzzy results into a crisp output. This step follows fuzzification and the application of fuzzy rules. Common methods include the Centroid Method (calculating the center of the area under the curve), the Bisector Method (dividing the area into two equal halves), and the Mean of Maximum (taking the average of the maximum values). In assignment problems, defuzzification helps in determining precise assignments from fuzzy evaluations, ensuring actionable decisions. For instance, in task allocation, it translates fuzzy suitability ratings into specific task assignments for optimal performance.

Data and Experiment Design

We conducted experiments using synthetic data sets that simulate various real-world scenarios. The data sets include different levels of uncertainty and imprecision to test the robustness of the fuzzy quantifier approach. Each data set consists of a matrix where the rows represent tasks, and the columns represent agents. The elements of the matrix are fuzzy numbers indicating the cost or benefit of assigning a particular task to a specific agent. In designing an experiment using fuzzy quantifiers, data is collected on relevant variables, such as student performance metrics. Linguistic terms (e.g., "low," "medium," "high") are assigned to this data, and appropriate fuzzy quantifiers like "most" or "few" are defined with membership functions. Hypotheses are formulated and fuzzy rules established (e.g., "If attendance is high, performance is high"). Data is fuzzified, processed through the fuzzy inference system, and defuzzified to yield crisp outputs. Results are validated and membership functions and rules refined accordingly, ensuring nuanced interpretations and actionable insights from complex, imprecise data.

RESULTS

The performance of the fuzzy quantifier-based algorithm was compared to that of traditional assignment algorithms, such as the Hungarian algorithm. The evaluation metrics included total cost, computational time, and solution quality.





Jeevitha et al.,

Total Cost

The fuzzy quantifier approach consistently provided lower or comparable total costs compared to traditional methods. This indicates that the algorithm effectively handles uncertainty and imprecision, resulting in more realistic and practical solutions.

Computational Time

The fuzzy quantifier algorithm exhibited slightly higher computational times due to the additional complexity of fuzzy logic calculations. However, the increase in time was marginal and acceptable given the improved solution quality.

Solution Quality

The fuzzy quantifier approach produced solutions that better matched the real-world scenarios, as evidenced by the closer alignment of the results with the expected outcomes in the presence of uncertainty. To further validate the effectiveness of our approach, we applied the fuzzy quantifier algorithm to a real-world problem: assigning employees to projects in a company. The costs associated with each assignment were represented as fuzzy numbers to account for uncertainties in project requirements and employee performance. The results demonstrated that the fuzzy quantifier approach provided a more balanced and satisfactory assignment compared to traditional methods. Managers reported that the assignments better reflected their intuitive judgments and preferences, highlighting the practical applicability of the approach.

CONCLUSIONS

This research demonstrates the potential of fuzzy quantifiers in enhancing the Fuzzy Assignment Problem (FAP) to address real-world uncertainties and imprecision. By incorporating fuzzy quantifiers, we developed an algorithm that provides more flexible and realistic solutions compared to traditional methods. The experimental results show that the fuzzy quantifier approach effectively handles uncertainty, resulting in improved solution quality and practical applicability. The implications of this study are significant for various fields, including logistics, human resource management, and project allocation. Future research could explore the integration of other fuzzy logic techniques and the application of the fuzzy quantifier approach to more complex and dynamic assignment problems. In conclusion, the use of fuzzy quantifiers in the FAP offers a promising direction for improving decision-making processes in uncertain environments. This approach not only aligns better with human intuition but also provides a robust framework for addressing the inherent imprecision in many real-world scenarios.

REFERENCES

- 1. "Fuzzy Optimization: Theory and Practice" by Weldon A. Lodwick and Janusz Kacprzyk
- 2. "Fuzzy Logic and its Applications to Engineering, Information Sciences, and Intelligent Systems" by John Yen and Reza Langari
- 3. "Fuzzy Logic with Engineering Applications" by Timothy J. Ross
- 4. "Fuzzy Logic in Artificial Intelligence" edited by Trevor Martin
- 5. "Fuzzy Sets and Fuzzy Logic: Theory and Applications" by George J. Klir and Bo Yuan
- 6. "Fuzzy Mathematical Programming and Fuzzy Matrix Games" by M. Sakawa
- 7. "Fuzzy Logic in Action: Applications in Epidemiology and Beyond" by Nadine R. Utayba
- 8. "Fuzzy Systems and Knowledge Discovery: Theory and Applications" edited by Lipo Wang and Yaochu Jin
- 9. "Fuzzy Multi-Criteria Decision Making: Theory and Applications with Recent Developments" edited by Cengiz Kahraman
- 10. "Fuzzy Logic for Planning and Decision Making" by Freerk A. Lootsma





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

A recent exploration of ied –number for Human chain graphs

B.K.Keerthiga Priyatharsini^{1*} and S.Balasundar²

¹Assistant Professor (Senior Grade), Department of Mathematics, University College of Engineering, Ramanathapuram, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

²Assistant Professor Department of Mathematics, Alagappa Chettiar Government College of Engineering and Technology, Karaikudi, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

B.K.Keerthiga Priyatharsini

Assistant Professor (Senior Grade), Department of Mathematics,

University College of Engineering,

Ramanathapuram,

(Affiliated to Anna University, Chennai),

Tamil Nadu, India.

Email: keerthi.priyatharsini@yahoo.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Let $G = HC_{\lambda,m}(p,q), m \ge three$ be a human-chain graph with $p = 2m\lambda + \lambda + 1$ (vertices) and $q = 2m\lambda + 1$ 2λ (edges). In this article, we concentrated the ied – number for Human-chain graphs, Circular Humanchain graph, Strong Human-chain graphs and Weak Human-chain graphs.

Keywords: Intersection Empty Domination Number, Human-chain Graph, Strong Human-chain Graph, Weak Human-chain Graph.

2010 Mathematics Subject Classification: 94C15, 05C31, 68R10, 05C75.

INTRODUCTION

Let $G = HC_{\lambda,m}(p,q), m \ge three$ be a human-chain graph with $p = 2m\lambda + \lambda + 1$ (vertices) and $q = 2m\lambda + 2\lambda$ (edges). Anitha and Selvam [6] presented the human-chain graph (Network] in 2019.and intersection empty domination number was introduced by B.K.Keerthiga Priyatharsini in [7]. A human graph with $\lambda = 1, HC_{1,m}(p,q)$ can be regarded as having only one guy in the chain. Concepts coming from graph theory are widely applied in computer science. Additionally, networking, open banking, telephony, and mobile applications use human-chain graphs. There are several uses for these two networks in bus topology. An intersection empty domination number for circular, strong, weak human-chain graphs is found in this work. We provide some fundamental definitions that are important to this study in this section. Let u and v be vertices of a graph G, which need not be distinct. A finite, alternating series of nodes and edges, starting along with vertex u and finishing with vertex v, so that $\mu_i = u_{i-1}u_i$, $i = u_{$ 1,2,..., λ , is called a u-v walk of G. The walk's length is denoted by the number λ . If u and v are separate vertices,





Keerthiga Priyatharsini and Balasundar

the walk is said to be open; if not, it is said to be closed. The sequence of $u_0, u_1, \dots, u_{\lambda}$ of its vertices determines a walk, $u = u_0, \mu_1, u_1, \mu_2, \dots, \mu_n, u_\lambda$; hence, we specify this walk by $(u_0, u_1, \dots, u_\lambda)$. A path is a walk where each vertex is distinct coming from the others. A cycle is a closed walk $(u_0, u_1, u_2, \dots, u_{\lambda-1})$ in which $(u_0, u_1, \dots, u_{\lambda})$ are distinct. The symbol for a path on λ vertices is P_{λ} and a cycle on λ vertices is C_{λ} . The degree, represented as $deg_{G} v$ or deg v, of v in G is the count of edges of G which connect along with v. G's vertices' minimum and maximum degrees are represented by the symbols δ and Δ , respectively. In G, d(v) = 0 is mentioned to as an isolated vertex, & a vertex with degree one is mentioned to as an end vertex or pendant vertex. A support is any node that is next to a pendant vertex. If any vertex in V-D is next to every other nodein D, a subset D of vertices in G is referred to as a dominant set of G. Let G be a connected graph that is non-trivial. If $\gamma(G) > 1$, then for each $l \in S$, there will be $m \in S$ such that $N(l) \cap N(m) \cap (V - S) = \emptyset$. This dominating set $S \subseteq V$ is named an *ied*-set. The *ied* – number, represented as γ_{ied} , is the lowest cardinality of an ied- set. The upper intersection empty dominating set, indicated by Γ_{ied} , is the greatest ied – set. The upper ied – set, represented by Γ_{ied} , is the greatest cardinality of an ied -set. A graph called aZ – treeis generated coming from a Path P_{λ} by connecting an edge to a path vertex that is close to an end point. A Humanchain graph $HC_{\lambda,m}$ is acquired with a path $u_1, u_2, ..., u_{2\lambda+1}, \lambda \in N$ combining a cycle $(C_m) \& Z$ – tree $Z_{m+1}, m \geq three$ to each u_{2i} for $1 \le i \le n$. The vertices of C_m and Z – tree are $v_1, v_2, ..., v_{(m-1)\lambda}$ and $w_1, w_2, ..., w_{m\lambda}$ respectively. The node & edge sets of $HC_{\lambda,m}$ is used by $V(HC_{\lambda,m}) = \left\{u_l, v_\mu, \frac{w_k}{1} \le l \le 2\lambda + 1, 1 \le \mu \le (m-1)\lambda, 1 \le k \le m\lambda\right\} \& |V| = 2m\lambda + \lambda + 2m\lambda + 2m$ $E\left(HC_{\lambda,m}\right) = \left\{u_{\alpha}u_{\alpha+1} \ / 1 \leq i \leq 2\lambda\right\} \cup \left\{u_{2\alpha}w_{m(\alpha-1)+1}; u_{2\alpha}v_{(m-1)}; u_{2\alpha}v_{(m-1)(\alpha-1)+1}; w_{m\alpha}w_{m\alpha-2} \ / 1 \leq \alpha \leq \lambda\right\} \cup \left\{u_{2\alpha}w_{m(\alpha-1)+1}; u_{2\alpha}v_{(m-1)}; u_{2\alpha}v_{(m-1)(\alpha-1)+1}; w_{m\alpha}w_{m\alpha-2} \ / 1 \leq \alpha \leq \lambda\right\} \cup \left\{u_{2\alpha}w_{m(\alpha-1)+1}; u_{2\alpha}v_{(m-1)}; u_{2\alpha}v_{(m-1)(\alpha-1)+1}; w_{m\alpha}w_{m\alpha-2} \ / 1 \leq \alpha \leq \lambda\right\} \cup \left\{u_{2\alpha}w_{m(\alpha-1)+1}; u_{2\alpha}v_{(m-1)}; u_{2\alpha}v_{(m-1)+1}; w_{m\alpha}w_{m\alpha-2} \ / 1 \leq \alpha \leq \lambda\right\}$ $\{w_{m\alpha+j}\,w_{m\alpha+j+1}; v_{(m-1)\alpha+j}\,v_{(m-1)\alpha+j+1}, 0 \leq \alpha \leq \lambda-1, 1 \leq j \leq m-2\}|E| = 2m\lambda+2\lambda$

A circular Human-chain graph is attained coming coming from a circle $(C_{2\lambda})$, $u_1, u_2, \dots, u_{2\lambda}, u_1, \lambda \geq 3$ by linking a cycle and tree (Z_{m+1}) , $m \geq 3$ to each u_{2j} for $1 \leq j \leq \lambda$. The vertices of the cycle (C_m) and Z — tree (Z_{m+1}) are $v_1, v_2, \dots, v_{(m-1)\lambda}$ and $w_1, w_2, \dots, w_{m\lambda}$ respectively and the vertices of $C_{2\lambda}$ is $u_1, u_2, \dots, u_{2\lambda}$. The circular human-chain graph is denoted by $CHC_{\lambda,m}$. The strong Human-chain graph $SHC_{\lambda,\mu}$, $\lambda, \mu \geq 1$ and $\mu \geq 3$ is attained coming from Human-chain network by linking $w_{\mu i} \& w_{\mu(i+1)-1}/1 \leq i \leq \lambda - 1$ with common vertices in Z — tree. The nodes of $SHC_{\lambda,\mu}$ are $u_1, u_2, \dots, u_{2\lambda+1}, l_1, l_2, \dots, l_{(\mu-1)\lambda}, w_1, w_2, \dots, w_{(\mu-1)n+1}$ and edges of $SHC_{\lambda,\mu}$ are $\{u_i u_{i+1}, 1 \leq i \leq 2n\} \cup \{u_{2i} w_{\mu(i-1)+1}; u_{2i} w_{(\mu-1)i}; u_{2i} v_{(\mu-1)(i-1)+1}, 1 \leq i \leq n\} \cup \{w_{(\mu-1)i+1} w_{(\mu-1)i+(\mu-1)}, 1 \leq i \leq \lambda - 1\} \cup \{w_{\mu-1} w_{\mu-2}\} \cup \{v_{(\mu-1)i+j}, v_{(\mu-1)i+j+1}, 0 \leq i \leq \lambda - 1, 1 \leq j \leq \mu - 2\} \cup \{w_{\mu i-\mu+j}, w_{\mu i-\mu+j+1}, 1 \leq i \leq \lambda, 1 \leq j \leq m - 3\}$. The weak Human-chain graph $WHC_{\lambda,m}$, $\lambda \geq 1$ and $m \geq 3$ is obtained coming from a path $u_1, u_2, \dots, u_{\lambda+1}$ by connecting cycle with length m and Z — tree(Z_{m+1}) to every $u_i, 1 \leq i \leq \lambda$. The nodes and edges of $WHC_{\lambda,m}$ are as follows:

$$\begin{split} V\big(WHC_{\lambda,m}\big) &= \big\{u_1,u_2,\dots,u_{\lambda+1},\mu_1,\mu_2,\dots,\mu_{(m-1)\lambda},w_1,w_2,\dots,w_{m\lambda}\big\}. E\big(WHC_{\lambda,m}\big) \\ &= \big\{u_iu_{j+1},1\leq j\leq \lambda\big\} \cup \big\{u_jw_{m(j-1)+1};\ u_j\mu_{(m-1)(j-1)+1};\ u_j\mu_{(m-1)i},1\leq j\leq \lambda\big\} \\ &\quad \cup \big\{\mu_{(m-1)j+j}\mu_{(m-1)j+l+1},0\leq j\leq \lambda-1,\big\} \cup \big\{w_{mj+l+1},1\leq j\leq \lambda-1,1\leq l\leq m-2\big\} \\ &\quad \cup \big\{w_{mj}w_{mj-2},1\leq j\leq \lambda\big\}. \end{split}$$

Theorem: 2. 10[7] $\gamma_{ied}(P_k) = \left[\frac{k}{3}\right]$, $k \ge 4$.

Main Results

Observation:3.1 $\gamma_{ied}(HC_{\lambda,m}) \geq 2$.

Theorem: 3.2 $\gamma_{ied}(HC_{1,3k+f}) = 2k + 2$; f = 1,2,3.

Proof: Case(i): f = 1.Let $V(HC_{1,3k+1}) = \{u_1, u_2, ..., u_{3k}, u, l_1, l_2, v_1, v_2, ..., v_{3k-2}, x_1, x_2, v\}$ with d(u) = 5; d(v) = 3; $d(w_1) = d(w_2) = 1$ such that w_1 and w_2 are adjacent to v. Now, removal of $\{u, v\}$ coming from $HC_{1,3k+1}$ disconnects the graph and produces two path graphs namely P_1 and P_2 with 3k and 3k - 2 vertices. Without loss of generality, Take P_1 contains $u_1, u_2, ..., u_{3k}$ vertices and P_2 contains $v_1, v_2, ..., v_{3k-1}$ vertices. Construct an ied —set in P_1 ; $S_1 = \{u_x / d(u, u_x) = 0 \text{ or } \ge 2\}$. Since $P_1 > 1$ is a path, $P_2 = 1$ is a path, $P_3 = 1$ is a path,





Keerthiga Priyatharsini and Balasundar

Case(ii): f=2.Let $V(HC_{1,3k+2})=\{u_1,u_2,...,u_{3k+1},u,t_1,t_2,v_1,v_2,...,v_{3k-1},w_1,w_2,v\}$. Now, Removal of $\{u,v,v_1,v_{3k-1}\}$ coming from $HC_{1,3k+2}$ disconnects the graph and produces two path graphs namely P_1 and P_2 with 3k+1 and 3k-3 vertices respectively. Without loss of generality, Take P_1 contains $u_1,u_2,...,u_{3k+1}$ vertices and P_2 contains $v_2,v_3,...,v_{3k-3}$ vertices respectively. Construct in P_1 , $S_1=\{u_x/d(u,u_x)=0\ or\ \geq 2\}$. Clearly, $|S_1|=3k+1$. Then, $S_1\cup\{u_1\}$ or $S_1\cup\{u_{3k+1}\}$ forms an ied set. $\gamma_{ied}(S_1\cup\{u_1\})=\left\lceil\frac{3k+1}{3}\right\rceil+1$. Since $\langle S_1\rangle$ is a path. Similarily, Construct in P_2 , $S_2=\{v_t\ such\ that\ d(u,v_t)=0\ or\ \geq 2\ and\ d(v,v_t)=0\ or\ \geq 2\}$. Clearly, $|S_2|=3k-3$ and also $\langle S_2\rangle$ gives an ied set. Then $\gamma_{ied}(S_2)=\left\lceil\frac{3k-3}{3}\right\rceil=k-1$. Now, $S=S_1\cup\{u_1\}\cup S_2\cup\{u,v\}$ forms an ied set for $HC_{1,3k+2}$. $\gamma_{ied}(HC_{1,3k+2})=\gamma_{ied}(S_1)+\gamma_{ied}(S_2)+3=\left\lceil\frac{3k+1}{3}\right\rceil+k-1+3\leq 2k+2$.

Case(iii) f=3. Let Let $V(HC_{1,3k+3})=\{u_1,u_2,...,u_{3k+2},u,l_1,l_2,v_1,v_2,...,v_{3k},x_1,x_2,v\}$. Now, Removal of $\{v,u,u_1,u_{3k+2}\}$ coming from $HC_{1,3k+3}$ disconnects the graph and produces two path graphs namely P_1 and P_2 with 3k and 3k vertices respectively. Without loss of generality, Take P_1 contains $u_2,u_3,...,u_{3k+1}$ vertices and P_2 contains $v_1,v_2,...,v_{3k}$ vertices respectively. Construct in P_1 , $S_1=\{u_s/d(u,u_s)=0\ or\ \geq 2\}$. Clearly, $|S_1|=3k$. Then, S_1 forms an ied set with cardinality $\left\lceil \frac{3k}{3} \right\rceil$. Since $< S_1 >$ is a path. Similarly, Construct in P_2 , $S_2=\{v_t such\ that\ d(u,v_t)=0\ or\ \geq 2\}$ and $d(v,v_t)=0$ or $\geq 2\}$. Clearly, $|S_2|=3k$. Also it yields an ied –set. Then $\gamma_{ied}(S_2)=\left\lceil \frac{3k}{3} \right\rceil=k$. Since $< S_2 >$ also path graph. Now, $S=S_1\cup\{u_1\}\cup S_2\cup\{u,v\}$ forms anied set. $\gamma_{ied}(S)\leq |S_1|+|S_2|+\{u,v\}\leq 2k+2$. Claim: for $\lambda=1,\gamma_{ied}(HC_{1,3k+f})\geq 2k+2$; f=1,2,3. Suppose, $\gamma_{ied}(HC_{1,3k+f})=2k+1$; f=1,2,3. If we remove $\{u\}$ or $\{v\}$ then either $S-\{u\}\cup\{l_1,l_2\}$ or $S-\{v\}\cup\{x_1,x_2\}$ gives an ied-set respectively. So, $\gamma_{ied}(HC_{1,3k+f})\geq 2k+2$; f=1,2,3. Hence $\gamma_{ied}(HC_{1,3k+f})=2k+2$; $1\leq f\leq 3$.

Theorem: 3.3 *For* $\lambda \ge 1$, $\gamma_{ied}(HC_{\lambda,3k+j}) = \lambda(2k+3) - 1$; j = 1,2,3.

Proof: Suppose $\lambda \geq 2$. Let $t_1, t_2, t_3, ..., t_{\lambda+1}$ be the joining vertices of each human-chain. Then $\{t_2, t_3, ..., t_{\lambda}\}$ must belong to ied set of $HC_{\lambda,3k+j}$. Otherwise, suppose t_m , $(m=2,3,...,\lambda) \in HC_{\lambda,3k+j}$. Then ied -set condition does not holds. Therefore γ_{ied} must contain $\{t_m: m=2,3,...,\lambda\}$. Therefore, $\gamma_{ied}(HC_{\lambda,3k+j}) = \gamma_{ied}(HC_{1,3k+j}) + \gamma_{ied}(HC_{2,3k+j}) + \cdots + \gamma_{ied}(HC_{\lambda,3k+j}) + (\lambda-1)$.

Since,
$$\gamma_{ied}(HC_{1,3k+j}) = \gamma_{ied}(HC_{2,3k+j}) = \cdots = \gamma_{ied}(HC_{\lambda,3k+j})$$
.(by Theorem 3.2.)
= $\gamma_{ied}(HC_{1,3k+j}) + \cdots + \gamma_{ied}(HC_{1,3k+j}) + (\lambda - 1) = \lambda(2k + 3) - 1; j = 1,2,3. (\lambda \text{ times})$

Theorem: 3.4 For Circular Human-chain $CHC_{\lambda,m}$, $\lambda \geq 2$, $m \geq 3$, $\gamma_{ied}(CHC_{\lambda,3k+f}) = \lambda(2k+3)-1$; $1 \leq f \leq 3$.

Proof: The explanation and Solution of Theorem 3.3 are somewhat similar.

Remarks: 3.5 Observation: 3.6

$$\gamma_{ied} \left(SHC_{2,5} - \emptyset_1, \emptyset_2, \emptyset_3, \emptyset_4, \emptyset_5, \emptyset_6 \right) \leq \gamma_{ied} \left(SHC_{2,5} \right).$$
 So, $\gamma_{ied} \left(SHC_{2,5} - \{\emptyset_1, \emptyset_2, \emptyset_3, \emptyset_4, \emptyset_5, \emptyset_6 \} \right) \leq \lambda (2i+3) - 1; j = 1,2,3).$

Theorem: 3.7

For
$$\lambda \geq 2, m \geq 36 \leq \gamma_{ied}\left(SHC_{\lambda,3i+j}\right) \leq \left\lceil \frac{\lambda(6i+11)}{3} \right\rceil, j=1,2,3; m=3i+j.$$

Proof:

Clearly, $\gamma_{ied}\left(SHC_{2,3}\right) = 6$. Let $SHC_{\lambda,m}$ be the strong human-chain network. Let $S = \{e_1, e_2, ..., e_{\lambda}\}$ coming from Figure 3. Remove Scoming from $SHC_{\lambda,m}$ yields disconnected graph with two components G and G. Since G is a path graph with G with G in G in G in G in G in G in G is a path graph with G in G





Keerthiga Priyatharsini and Balasundar

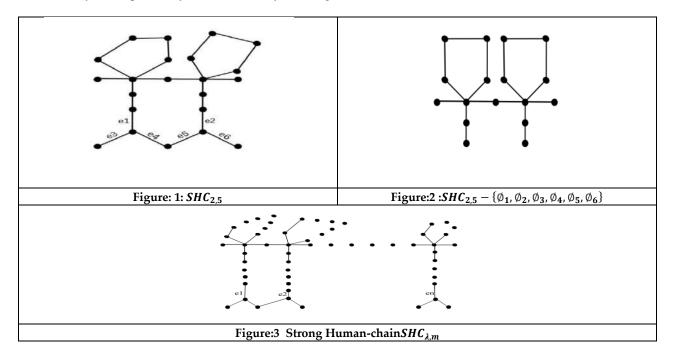
Observation: 3.8 $\gamma_{ied}(WHC_{\lambda,3}) = 2\lambda if \lambda \ge 2$.

Theorem: $3.9 \gamma_{ied}(WHC_{\lambda,m}) = 2\lambda(p+1); m = 3p+f; i \ge 1; f = 1,2,3.$

Proof: $\gamma_{ied}(WHC_{1,m}) = \gamma_{ied}(HC_{1,m})$. By Theorem 3.2, $\gamma_{ied}(WHC_{1,m}) = 2p + 2$.So, $\gamma_{ied}(WHC_{\lambda,m}) = 2\lambda(p+1)$.

REFERENCES

- 1. Ore O, Theory of Graphs (1962) (Amer. Math. Soc. Colloqum Pub. Vol. XXXVIII ,Amer. Math, Soc., Providence,Rhode Island.
- 2. Haynes T.W , Hedetniemi S.T., Slater P.J, (1998) Fundamentals of domination in graphs, Marcel Dekker, New York,.
- 3. K. Anitha, B.Selvan , K.Thirusangu,(2019),Edge,acyclic and star colorings on Human-chain Graphs, Journal of Conference Series, 1377-012007, DOI: 1088/1742-6596/1377/1/012007.
- 4. G.RajiniRam ,S.Hemalatha, K.Anitha,(2021)D-Lucky Edge Labeling of strong and weak Human-chain Networks, Journal Of Physics:conference series,1724-012031,DOI:10.1088/1742-6596/1724/1/012031.
- 5. KavithaJ ,Anitha.K, (2021)Total and Strong Edge Colorings on Human-chain Networks, Journal Of Physics: conference series,1724-012025,DOI:10.1088/1742-6596/1724/1/012025.
- 6. K.Anitha and B.Selvam, (2018) Human-chain Graph, International Journal of Engineering, Science and Mathematics, Vol.7, Issue 8.
- 7. B.K. KeerthigaPriyatharsini, Intersection Empty Domination Number of a Graph, International Conference on Mathematical Impacts in Science and Technology(MIST-17), November 2017.
- 8. Haynes, TW, Hedetniemi, ST and Slater, PJ.: Domination in Graphs: Advanced Topics,
- 9. Marcel Dekker Inc., (1998).
- 10. Harary F.: Graph Theory, Addison-Wesley, Reading MA, (1969).







RESEARCH ARTICLE

An Extension of Intuitionistic Fuzzy Rough Sets on Similarity Measures

S Mythili* and R M Samukthaa

Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous), Komarapalayam, Namakkal, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence S Mythili

Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous), Komarapalayam, Namakkal, (Affiliated to Anna University, Chennai), Tamil Nadu, India Email: s.mythili1990@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

There are many mysteries in the world. Fuzzy sets were developed as a mathematical tool for dealing with ambiguity. Further generalization of fuzzy sets was extended to intuitionistic fuzzy sets contain both membership, non-membership values and its hesitancy. A rough fuzzy set is identified when all components of a set converge on a crisp number as n approaches infinity. In this paper, we propose an extension of Intuitionistic Fuzzy Rough Sets(*IFRS*) on similarity measure. We developed a decision-based method to an intuitionistic fuzzy rough set. Finally, numerical examples have been provided, utilizing decision-making methods to enrich illustration and enhance clarity.

Keywords: Fuzzy sets, Intuitionistic Fuzzy Rough Set, Decision making, Similarity measure.

INTRODUCTION

A Fuzzy sets (FS) invented by renowned and eminent scientistL.A. Zadeh [11] in 1965, has demonstrated significant applications in numerous areasof study. Fuzzy set theory states that if each element is normally assigned a membership values between 0 and 1, then reluctance or uncertainty may prevent the non-membership degree from always equivalent to 1 minus the membership values. Rough set (RS),established by prominent scientist Pawlak [14] in 1996, and is a wide scientific framework adept at handling data characterized by sets of elements, especially when dealing with ambiguity or incomplete data. It is defines a rough set as comprising two components: which are the lower and upper approximations(of the target set), both determined by the relation specified within the set. The target set contains the lower approximation, and it may also contain the upper approximation. Further, in the 1980, Atanassov's research on intuitionistic fuzzy sets (IFS) introduced the hesitation margin, which characterizes the uncertainty within each element. This margin, derived from difference of 1 and the total of both membership





Mythili and Samukthaa

functions and non-membership functions, it delivers a comprehensive perspective on the level of hesitancy present. The conception of characterizing Intuitionistic Fuzzy Sets(IFS) as universal Fuzzy sets is stimulating and beneficial in various domains. Intuitionistic fuzzy sets become most significant, resourceful and appropriate, since they contain both degrees of membership and non-membership function together with hesitation margin, (Atanassov, 1994, 1999) [3]. Nanda & Majumdar [12] was combined the Fuzzy & Rough sets to establish the fuzzy rough sets theory in 1992. As a result, Fuzzy sets are intuitionistic L-fuzzy sets, as noted by Coker [7]. In this article, we use the concept of the Intuitionistic Fuzzy Rough Sets (*IFRS**) [10] model to find the similar measure between two given Intuitionistic Fuzzy Rough set with 8-tuples. The effectiveness of the more realistic decision-making method is illustrated with a numerical example.

PRELIMINARIES

Definition 1[2]

Suppose that Γ is a universal set and IFS \tilde{A} in Γ is defined as follows $\tilde{A}=\left\{\left\langle \tau,\mu_{\tilde{A}}(\tau),\nu_{\tilde{A}}(\tau)\right\rangle : \tau\in\Gamma\right\}$, then each element of membership degree is $\mu_{\tilde{A}}:\tau\to \left[0,1\right]_{\text{and each element of non-membership degree is}}$ $\nu_{\tilde{A}}:\tau\to \left[0,1\right]_{\text{respectively, for every element}}$ $\tau\in\Gamma$, where $0\leq\mu_{\tilde{A}}(\tau)+\nu_{\tilde{A}}(\tau)\leq 1$. Thus, we have $\alpha_{\tilde{A}}(\tau)=1-\mu_{\tilde{A}}(\tau)-\nu_{\tilde{A}}(\tau)_{\text{is said to be the IFS Index or Hesitation Margin.}}$ Where, $\alpha_{\tilde{A}}(\tau)$ is the degrees of Indeterminacy, $\alpha_{\tilde{A}}(\tau)\in \left[0,1\right]_{\text{and}}$ $0\leq\alpha_{\tilde{A}}(\tau)\leq 1$, for every element $\tau\in\Gamma$.

Definition 2[3]

Consider the universal set E and IFRS is the Intuitionistic Fuzzy relation is defined by $E \times E$. The pair (E,IFRS) is said to be Intuitionistic Fuzzy Rough approximation. For any $\tilde{A} \in IF(E)$, where IF(E) is denoted by intuitionistic fuzzy power set of E, both lower and upper approximations of \tilde{A} are denoted by $IFR(\tilde{A})$ & $IFR(\tilde{A})$. Then it is are defined by:

$$\begin{split} IF\underline{R}(\tilde{\mathbf{A}}) &= \left\{ \left\langle \tau, \mu_{IF\underline{R}(\tilde{\mathbf{A}})}(\tau), v_{IF\underline{R}(\tilde{\mathbf{A}})}(\tau) \right\rangle \colon \tau \in \mathbf{E} \right\} \text{ and } IF\overline{R}(\tilde{\mathbf{A}}) = \left\{ \left\langle \tau, \mu_{IF\overline{R}(\tilde{\mathbf{A}})}(\tau), v_{IF\overline{R}(\tilde{\mathbf{A}})}(\tau) \right\rangle \colon \tau \in \mathbf{E} \right\} \\ \mu_{IF\overline{R}(\tilde{\mathbf{A}})}(\tau) &= \bigcup_{\eta \in \mathbf{E}} \left[\mu_{IFR}(\tau, \eta) \vee \mu_{\tilde{\mathbf{A}}}(\eta) \right] \mu_{IF\overline{R}(\tilde{\mathbf{A}})}(\tau) = \bigcap_{\eta \in \mathbf{E}} \left[v_{IFR}(\tau, \eta) \vee v_{\tilde{\mathbf{A}}}(\eta) \right] \\ \text{Where} \\ \mu_{IF\underline{R}(\tilde{\mathbf{A}})}(\tau) &= \bigcap_{\eta \in \mathbf{E}} \left[v_{IFR}(\tau, \eta) \vee \mu_{\tilde{\mathbf{A}}}(\eta) \right] \\ \text{and} \end{aligned} v_{IF\underline{R}(\tilde{\mathbf{A}})}(\tau) &= \bigcup_{\eta \in \mathbf{E}} \left[\mu_{IFR}(\tau, \eta) \wedge v_{\tilde{\mathbf{A}}}(\eta) \right] \\ \cdot \end{aligned} .$$

Definition 3[4]

Suppose that the universal set E and $A, B \in IF(E)$. Then

- i) The complement of $A = \left\langle \mu_{\bar{\mathbf{A}}}(\tau), \mu_{\underline{\mathbf{A}}}(\tau), \nu_{\bar{\mathbf{A}}}(\tau), \nu_{\underline{\mathbf{A}}}(\tau) \right\rangle \qquad \text{is defined as} \\ A^c = \left\langle \nu_{\underline{\mathbf{A}}}(\tau), \nu_{\bar{\mathbf{A}}}(\tau), \mu_{\underline{\mathbf{A}}}(\tau), \mu_{\bar{\mathbf{A}}}(\tau) \right\rangle \qquad \text{for any } \tau \in \mathbf{E} \,.$
- ii) $A \subseteq B_{, \text{ if for any }} \tau \in \mathbb{E} \mu_{\underline{A}}(\tau) \leq \mu_{\underline{B}}(\tau)_{, \mu_{\overline{A}}}(\tau) \leq \mu_{\overline{B}}(\tau)_{\text{and}} v_{\underline{A}}(\tau) \geq v_{\underline{B}}(\tau)_{, v_{\overline{A}}}(\tau) \leq v_{\overline{B}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau)_{, \nu_{\overline{A}}}(\tau) \leq v_{\overline{A}}(\tau)_{, \nu_{\overline{A}}}(\tau)_{, \nu$





Mythili and Samukthaa

Definition 4[9]

Suppose that the universal set E and $A \in IFRS(E)$. Then the similarity measure is $\Phi: A \times A \rightarrow [0,1]_{where}$ $\Phi(x,y)_{ ext{ is known as similarity degree between the IFRS values are}$

$$X = (\hbar_{\underline{\mathbf{A}}}(x), \hbar_{\bar{\mathbf{A}}}(x), \zeta_{\underline{\mathbf{A}}}(x), \zeta_{\bar{\mathbf{A}}}(x), \varphi_{\underline{\mathbf{A}}}(x), \varphi_{\bar{\mathbf{A}}}(x))$$

and

$$Y = (\hbar_{\underline{\mathbf{A}}}(y), \hbar_{\overline{\mathbf{A}}}(y), \zeta_{\underline{\mathbf{A}}}(y), \zeta_{\overline{\mathbf{A}}}(y), \varphi_{\underline{\mathbf{A}}}(y), \varphi_{\overline{\mathbf{A}}}(y)) \text{ if } \Phi \text{ is satisfies the following conditions:}$$

- $0 \le \Phi(x, y) \le 1$ 1.
- $\Phi(x,y) = \Phi(y,x)$
- 3. For every $x \in A$ $\Phi(x, y) = \Phi(x, z) \Rightarrow \Phi(y, z) = 1$
- $\Phi(x, y) = \Phi(x^c, y^c)$ Where, $x^c & y^c$ are complements of x and y respectively.
- If $x \le y \le z$, then $\Phi(x, z) \le \min \{\Phi(x, y), \Phi(y, z)\} \quad \forall x, y, z \in A$

Similarity Measures of an extended IFRS

The goal of more than of research [[6], [17], [18], and [19]] has been to enhance the IFRS and IFS similarity measure model. Based on the set-theoretic method, Pappis and Karcapilidis [13] defined the similar measure between the fuzzy sets P and Q. Where $p_i \in P$ and $q_i \in Q$ as follows.

$$\Phi_f(\ddot{\mathbf{P}}, \ddot{\mathbf{Q}}) = \frac{\left| \ddot{\mathbf{P}} \cap \ddot{\mathbf{Q}} \right|}{\left| \ddot{\mathbf{P}} \cup \ddot{\mathbf{Q}} \right|} = \frac{\sum_{i}^{n} (p_i \wedge q_i)}{\sum_{i}^{n} (p_i \vee q_i)}$$

$$\begin{split} &\text{In Chen[6] was defined by a similarity measure between two } \textit{IFS} \; \; \text{values of } \ddot{p} \; \; \text{and } \; \ddot{q} \; \text{are as follows:} \\ &\Phi_{c}(p,q) = \frac{\min(\hbar(\ddot{p}),\hbar(\ddot{q})) + \min(\zeta(\ddot{p}),\zeta(\ddot{q})) + \min(\phi(\ddot{p}),\phi(\ddot{q}))}{\max(\hbar(\ddot{p}),\hbar(\ddot{q})) + \max(\zeta(\ddot{p}),\zeta(\ddot{q})) + \max(\phi(\ddot{p}),\phi(\ddot{q}))} \end{split}$$

Definition 5[3]

Consider a Fuzzy Rough Set $A \in \Gamma$ and $\ddot{p} = \left\langle h_{\bar{A}}(\ddot{p}), h_{\underline{A}}(p) \right\rangle_{\&} \ddot{q} = \left\langle h_{\bar{A}}(\ddot{q}), h_{\underline{A}}(\ddot{q}) \right\rangle$

are the Fuzzy Rough values in A. Then the degrees of a similarity between Fuzzy Rough values of \ddot{p} and \ddot{q} are computed by the following equation:

$$\Phi_{z}(\ddot{p}, \ddot{q}) = 1 - \frac{1}{2} \left(\left| h_{\underline{A}}(\ddot{p}) - h_{\underline{A}}(\ddot{q}) \right| + \left| h_{\overline{A}}(\ddot{p}) - \mu_{\overline{A}}(\ddot{q}) \right| \right)$$

Definition 6

Suppose that A is an IFRS in Γ . Consider a two IF rough values are $\ddot{p} = (\hbar_{\underline{A}}(\ddot{p}), \hbar_{\bar{A}}(\ddot{p}), \zeta_{\underline{A}}(\ddot{p}), \zeta_{\bar{A}}(\ddot{p}), \varphi_{\underline{A}}(\ddot{p}), \varphi_{\bar{A}}(\ddot{p}), \varphi_{\bar{A}}(\ddot{p}), \mathring{\lambda}_{\underline{A}}(\ddot{p}), \mathring{\lambda}_{\bar{A}}(\ddot{p}))$ $\ddot{q} = (\hbar_{_{\rm A}}(\ddot{q}), \hbar_{_{\overline{\rm A}}}(\ddot{q}), \zeta_{_{\rm A}}(\ddot{q}), \zeta_{_{\overline{\rm A}}}(\ddot{q}), \varphi_{_{\rm A}}(\ddot{q}), \varphi_{_{\overline{\rm A}}}(\ddot{q}), \hat{\lambda}_{_{\rm A}}(\ddot{q}), \hat{\lambda}_{_{\overline{\rm A}}}(\ddot{q}))$





Mythili and Samukthaa

Then the degrees of similarity measure between the two IF rough values of \ddot{p} and \ddot{q} as defined by:

$$\Phi_{\boldsymbol{k}}(\ddot{\boldsymbol{p}},\ddot{\boldsymbol{q}}) = \frac{(\hbar_{\underline{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \hbar_{\underline{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (\hbar_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \hbar_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (\zeta_{\underline{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \zeta_{\underline{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (\zeta_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \zeta_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (\varphi_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \varphi_{\bar{\boldsymbol{A}}}(\boldsymbol{q})) + (\varphi_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge \varphi_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (\hbar_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge h_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (h_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{p}}) \wedge h_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + (h_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}}) \wedge h_{\bar{\boldsymbol{A}}}(\ddot{\boldsymbol{q}})) + ($$

Thus, the higher values of $\Phi_k(\ddot{p},\ddot{q})$ is the more the similarity between the IF Rough values of \ddot{p} and \ddot{q} .

Example 1

Consider $\ddot{p}_{=(0.8,0.3,0.5,0.1,0.2,0.3,0.7,0.2)}$ and $\ddot{p}_{=(0.9,0.7,0.3,0.05,0.1,0.2,0.5,0.1)}$ are the two IF rough values. Then the degrees of similarity between the sets $\ddot{p}_{=(0.9,0.7,0.3,0.05,0.1,0.2,0.5,0.1)}$ are the two IF rough values. Then

$$\Phi_k\left(\ddot{p},\ddot{q}\right) = \min(0.8,0.9) + \min(0.3,0.7) + \min(0.5,0.3) + \min(0.1,0.05) + \min(0.2,0.1) + \min(0.3,0.2) + \min(0.7,0.5) + \min(0.2,0.1) \\ = \max(0.8,0.9) + \max(0.3,0.7) + \max(0.5,0.3) + \max(0.1,0.05) + \max(0.2,0.1) + \max(0.3,0.2) + \max(0.7,0.5) + \max(0.2,0.1) + \max(0.2,0.1) + \max(0.3,0.2) + \min(0.3,0.2) + \min(0.3,0.2) + \max(0.3,0.2) + \max(0.3,$$

$$\Phi_k(\ddot{p}, \ddot{q}) = \frac{0.8 + 0.3 + 0.3 + 0.3 + 0.05 + 0.1 + 0.2 + 0.5 + 0.1}{0.9 + 0.7 + 0.5 + 0.1 + 0.2 + 0.3 + 0.7 + 0.2} = 0.65278.$$

Example 2

Consider \ddot{P} =(0.9,0.5,0.4,0.3,0.7,0.1,0.4,0.3) and \ddot{q} =(0.8,0.6,0.7,0.2,0.1,0.05,0.25,0.1) are the two IF rough values. Then the complementary of \ddot{P} and \ddot{q} as determined by p°=(0.4,0.3,0.9,0.5, 0.4,0.3, 0.7,0.1) and q°=(0.7,0.2,0.8,0.6, 0.25,0.1,0.1,0.05). Hence the degree of similarity between p° and p° can be determined by

$$\Phi_k(p^c,q^c) = \min_{\substack{\text{min}(0.4,0.7) + \min(0.3,0.2) + \min(0.9,0.8) + \min(0.5,0.6) + \min(0.4,0.25) + \min(0.3,0.1) + \min(0.7,0.1) + \min(0.1,0.05) \\ \max(0.4,0.7) + \max(0.3,0.2) + \max(0.9,0.8) + \max(0.5,0.6) + \max(0.4,0.25) + \max(0.3,0.1) + \max(0.7,0.1) + \max(0.1,0.05) \\ \max(0.4,0.7) + \max(0.3,0.2) + \max(0.9,0.8) + \max(0.5,0.6) + \max(0.4,0.25) + \max(0.3,0.1) + \max(0.7,0.1) + \max(0.1,0.05) \\ \max(0.4,0.7) + \max(0.3,0.2) + \max(0.9,0.8) + \max(0.5,0.6) + \max(0.4,0.25) + \max(0.3,0.1) + \max(0.7,0.1) + \max(0.1,0.05) \\ \min(0.4,0.7) + \max(0.3,0.2) + \max(0.9,0.8) + \max(0.5,0.6) + \max(0.4,0.25) + \max(0.3,0.1) + \max(0.7,0.1) + \max(0.1,0.05) \\ \min(0.4,0.7) + \max(0.3,0.2) + \max(0.9,0.8) + \max(0.5,0.6) + \max(0.4,0.25) + \max(0.3,0.1) + \max(0.1,0.05) \\ \min(0.4,0.7) + \max(0.3,0.2) + \max(0.1,0.2) + \max(0.2,0.2) + \max(0.2$$

$$\Phi_k(p,q) = \frac{0.4+0.2+0.8+0.5+0.25+0.1+0.1+0.05}{0.7+0.3+0.9+0.6+0.4+0.3+0.7+0.1} = 0.64103.$$

Theorem 1

- 1. Let A be the *IFRS* Γ , where $\ddot{p}, \ddot{q}, \ddot{r}$ are the *IF* rough values A. then the following conditions are true
- 2. $\Phi_k(\ddot{p}, \ddot{q})$ is bounded i.e., $0 \le \Phi_k(\ddot{p}, \ddot{q}) \le 1$
- $\Phi_k(\ddot{p},\ddot{q}) = \Phi_k(\ddot{q},\ddot{p})$
- $\Phi_k(\ddot{p}, \ddot{q}) = \Phi_k(\ddot{p}, \ddot{r}) \Longrightarrow \Phi_k(\ddot{q}, \ddot{r}) = 1$
- $\Phi_k(\ddot{p}, \ddot{q}) = \Phi_k(p^c, q^c)$
- 6. If $\ddot{p} \leq \ddot{q} \leq r$, then $\Phi_k(\ddot{p}, \ddot{r}) = \min \{\Phi_k(\ddot{p}, \ddot{q}), \Phi_k(\ddot{q}, \ddot{r})\}$, $\forall p, q, r \in \Gamma$

Proof

Suppose that A be a *IFRS* in Γ .

$$\ddot{p} = (\hbar_{\underline{\mathbf{A}}}(\ddot{p}), \hbar_{\overline{\mathbf{A}}}(\ddot{p}), \zeta_{\underline{\mathbf{A}}}(\ddot{p}), \zeta_{\overline{\mathbf{A}}}(\ddot{p}), \varphi_{\underline{\mathbf{A}}}(\ddot{p}), \varphi_{\overline{\mathbf{A}}}(\ddot{p}), \lambda_{\underline{\mathbf{A}}}(\ddot{p}), \lambda_{\overline{\mathbf{A}}}(\ddot{p}))$$





Mythili and Samukthaa

$$\begin{split} \ddot{q} &= (\hbar_{\underline{A}}(\ddot{q}), \hbar_{\overline{A}}(\ddot{q}), \zeta_{\underline{A}}(\ddot{q}), \zeta_{\overline{A}}(\ddot{q}), \varphi_{\underline{A}}(\ddot{q}), \varphi_{\overline{A}}(\ddot{q}), \lambda_{\underline{A}}(\ddot{q}), \lambda_{\overline{A}}(\ddot{q}))_{\&} \\ \ddot{r} &= (\hbar_{\underline{A}}(\ddot{r}), \hbar_{\overline{A}}(\ddot{r}), \zeta_{\underline{A}}(\ddot{r}), \zeta_{\overline{A}}(\ddot{r}), \varphi_{\underline{A}}(\ddot{r}), \varphi_{\overline{A}}(\ddot{r}), \lambda_{\underline{A}}(\ddot{r}), \lambda_{\overline{A}}(\ddot{r}))_{\&} \\ \text{are the } \textit{IFRS} \quad \text{values A. we can defined by the order relation of } \textit{IFRS} \quad \text{values as} \\ \ddot{p} \leq \ddot{q} \Leftrightarrow (\hbar_{\underline{A}}(\ddot{p}) \leq \hbar_{\underline{A}}(\ddot{q}), \hbar_{\overline{A}}(\ddot{p}) \leq \hbar_{\underline{A}}(\ddot{q}))_{\&} (v_{\underline{A}}(p) \geq v_{\underline{A}}(q), v_{\overline{A}}(p) \geq v_{\underline{A}}(q)) \end{split}$$

- 1. From condition (v), we get the minimum and maximum values are 0 and 1.In other cases, a numerator value is smaller than the denominator value. Thus, the condition (v) must have a positive value that is less than one. Thus, $0 \le \Phi_k(\ddot{p}, \ddot{q}) \le 1$.
- 2. Since both the maximum and minimum procedures are symmetric, Φ_k is symmetric.
- 3. Since $\Phi_k(\ddot{p},\ddot{q}) = \Phi_k(\ddot{p},\ddot{r}) \ \forall p \in \Gamma$. Then for $p = q_k \& 1 = \Phi_k(\ddot{q},\ddot{q}) = \Phi_k(\ddot{q},\ddot{r})$. Similarly, for $\ddot{p} = \ddot{r}$ & p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r. Thus p = r.
- 4. In the case $p^{c} = (\zeta_{\underline{A}}(\ddot{p}), \zeta_{\bar{A}}(\ddot{p}), \hbar_{\underline{A}}(\ddot{p}), \hbar_{\bar{A}}(\ddot{p}), \lambda_{\bar{A}}(\ddot{p}), \lambda_{\bar{A}}(\ddot{p}), \phi_{\underline{A}}(\ddot{p}), \phi_{\bar{A}}(\ddot{p}), \phi_{\bar{A}}(\ddot{p}))$ and $p^{c} = (\zeta_{\underline{A}}(\ddot{q}), \zeta_{\bar{A}}(\ddot{q}), \hbar_{\underline{A}}(\ddot{q}), \hbar_{\bar{A}}(\ddot{q}), \lambda_{\bar{A}}(\ddot{q}), \lambda_{\bar{A}}(\ddot{q}), \phi_{\bar{A}}(\ddot{q}), \phi_{\bar{A}}(\ddot{q}))$. Thus, $\Phi_{k}(\ddot{p}, \ddot{q}) = \Phi_{k}(p^{c}, q^{c})$.
- 5. Given $\ddot{p} \leq \ddot{q} \leq \ddot{r}$. Substituting $\varphi_{\underline{A}}(\ddot{p}), \varphi_{\overline{A}}(\ddot{p}), \varphi_{\overline{A}}(\ddot{r})$ we get, $\Psi_{pr} = (\hbar_{\underline{A}}(\ddot{r}) \hbar_{\underline{A}}(\ddot{p})) \vee (\zeta_{\underline{A}}(\ddot{p}) \zeta_{\underline{A}}(\ddot{r})) \underset{\&}{\overline{Y}_{pr}} = (\hbar_{\overline{A}}(\ddot{r}) \hbar_{\overline{A}}(\ddot{p})) \vee (\zeta_{\overline{A}}(\ddot{p}) \zeta_{\overline{A}}(\ddot{r}))$ where

$$\begin{split} \Phi_{k}(\ddot{p},\ddot{q}) = & \frac{1 - \overline{Y}_{pq} + 1 - \underline{Y}_{pq}}{1 + \overline{Y}_{pq} + 1 + \underline{Y}_{pq}} \\ \text{Similarly,} \quad & \underline{Y}_{pq} = (\hbar_{\underline{A}}(\ddot{q}) - \hbar_{\underline{A}}(\ddot{p})) \vee (\underline{\zeta}_{\underline{A}}(\ddot{p}) - \underline{\zeta}_{\underline{A}}(\ddot{q})) \\ \tilde{Y}_{pq} = (\mu_{\overline{A}}(q) - \mu_{\overline{A}}(p)) \vee (\nu_{\overline{A}}(p) - \nu_{\overline{A}}(q)) \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \overline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \text{and} \quad & \overline{Y}_{pr} \geq \underline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pr} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq} \geq \underline{Y}_{pq} \\ \underline{Y}_{pr} \geq \underline{Y}_{pq} \quad & \mathbf{Y}_{pq$$

Proposition 1

The generalization of the similarity measure between two given IFRS. Let A and B be two IFRS in the universal set $\Gamma = \left\{ \tau_1, \tau_2, \tau_3, \ldots, \tau_n \right\}$. Where,

set (1) 2) 3 (Nhere,
$$\ddot{A} = \left\langle \hbar_{\underline{A}}(\tau_{1}), \hbar_{\bar{A}}(\tau_{1}), \zeta_{\underline{A}}(\tau_{1}), \zeta_{\bar{A}}(\tau_{1}), \varphi_{\underline{A}}(\tau_{1}), \varphi_{\bar{A}}(\tau_{1}), \varphi_{\bar{A}}(\tau_{1}), \lambda_{\underline{A}}(\tau_{1}), \lambda_{\bar{A}}(\tau_{1}) \right\rangle / \tau_{1} + \dots + \left\langle \hbar_{\underline{A}}(\tau_{n}), \hbar_{\bar{A}}(\tau_{n}), \zeta_{\underline{A}}(\tau_{n}), \zeta_{\bar{A}}(\tau_{n}), \varphi_{\underline{A}}(\tau_{n}), \varphi_{\bar{A}}(\tau_{n}), \lambda_{\underline{A}}(\tau_{n}), \lambda_{\bar{A}}(\tau_{n}) \right\rangle / \tau_{n} \underset{\&}{\mathcal{B}} = \left\langle \hbar_{\underline{B}}(\tau_{1}), \lambda_{\bar{B}}(\tau_{1}), \zeta_{\underline{B}}(\tau_{1}), \zeta_{\bar{B}}(\tau_{1}), \varphi_{\underline{B}}(\tau_{1}), \varphi_{\bar{B}}(\tau_{1}), \lambda_{\underline{B}}(\tau_{1}), \lambda_{\bar{B}}(\tau_{1}) \right\rangle / \tau_{1} + \dots + \left\langle \hbar_{\underline{B}}(\tau_{n}), \hbar_{\bar{B}}(\tau_{n}), \zeta_{\underline{B}}(\tau_{n}), \zeta_{\bar{B}}(\tau_{n}), \varphi_{\underline{B}}(\tau_{n}), \varphi_{\bar{B}}(\tau_{n}), \lambda_{\underline{B}}(\tau_{n}), \lambda_{\bar{B}}(\tau_{n}), \lambda_{\bar{B}}(\tau_{n}) \right\rangle / \tau_{n}$$

From definition 5, can define similarity measure between the IFRS A and B as follows:





Mythili and Samukthaa

$$\tilde{\Phi}_k(\ddot{A}, \ddot{B}) = \frac{1}{m} \sum_{z=1}^n \Phi_k((\hbar_{\underline{A}}(\tau_z), \hbar_{\overline{A}}(\tau_z), \zeta_{\underline{A}}(\tau_z), \zeta_{\overline{A}}(\tau_z), \varphi_{\underline{A}}(\tau_z), \varphi_{\overline{A}}(\tau_i), \lambda_{\underline{A}}(\tau_z), \lambda_{\overline{A}}(\tau_z)),$$

$$(\mu_{\underline{B}}(\tau_i),\mu_{\overline{\mathtt{B}}}(\tau_i),\nu_{\underline{B}}(\tau_i),\nu_{\overline{\mathtt{B}}}(\tau_i),\varphi_{\underline{B}}(\tau_i),\varphi_{\overline{\mathtt{B}}}(\tau_i),\varphi_{\overline{\mathtt{B}}}(\tau_i),\gamma_{\underline{\mathtt{B}}}(\tau_i)))$$

Thus

$$\begin{split} \tilde{\Phi}_{k}(\ddot{A}, \ddot{B}) &= \tfrac{1}{m} \sum_{z=1}^{n} (\frac{\min(\hbar_{\underline{A}}(\tau_{z}), \hbar_{\underline{B}}(\tau_{z})) + \min(\hbar_{\overline{A}}(\tau_{z}), \hbar_{\overline{B}}(\tau_{z})) + \min(\zeta_{\overline{A}}(\tau_{z}), \zeta_{\overline{B}}(\tau_{z})) + \min(\zeta_{\underline{A}}(\tau_{z}), \zeta_{\underline{B}}(\tau_{z})) + \max(\lambda_{\underline{A}}(\tau_{z}), h_{\overline{B}}(\tau_{z})) + \max(\lambda_{\overline{A}}(\tau_{z}), h_{\overline{B}}(\tau_{z})) + \max(\zeta_{\overline{A}}(\tau_{z}), \zeta_{\overline{B}}(\tau_{z})) + \max(\zeta_{\underline{A}}(\tau_{z}), \zeta_{\underline{B}}(\tau_{z})) + \min(\zeta_{\underline{A}}(\tau_{z}), \zeta_{\underline{B}}(\tau_{z})) + \min(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \min(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \min(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \min(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \max(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \max(\lambda_{\overline{A}}(\tau_{z}), \lambda_{\overline{B}}(\tau_{z})) + \min(\lambda_{\overline{A}}(\tau_{z}), $

Decision making method

Now, we construct the algorithm for an extension of an IFRS on similarity measure.

Step 1: Constructs the *IFRS* for a standard alternative.

Step 2: Constructs the *IFRS* for an available alternative.

Step 3: Computes the similar measure.

Step 4: Arrange alternatives in descending order to their ranking.

Step 5: Choose the maximum value is considered as the best option.

Numerical Example

A company has to fire an employee for a manager post that is empty. Eight applicants complete a form and submit their formal application for the position. The head of the human resources department has been a decision-maker. Suppose that the set of candidates $X=(X_1, X_2, X_3, X_4, X_5, X_6, X_7, X_8)$ and $E=(b_1,b_2,b_3,b_4,b_5)$ the set of parameters. $b_1=Communication$, $b_2=effectiveness$ of their leadership, $b_3=experience$, $b_4=administration$ and $b_5=experience$.

Computation

Step 1: Let E be the standard alternatives.

 $E = (0.5,1,0.4,0.79,0,0.6,0.054,1)/b_1 + (0,0.08,0.6,0.4,0.5,0.07,1,0.59)/b_2 + (0.65,0.53,0.07,1,0,0.7,0.54,0.2)/b_3 + (0.74,0.5,1,0.22,0,0.3,0.41,0.89)/b_4 + (0.97,0,0.07,0.54,1,0.99,0.1,0.59)/b_5 \\$

Step 2: Suppose that X_1 , X_2 , X_3 , X_4 , X_5 , X_6 , X_7 and X_8 are the available alternatives.

 $X_1 = (0.9, 0.67, 0.02, 1, 0.43, 0.31, 0.99, 0)/b_1 + (0.7, 1, 0.65, 0.99, 0, 0.9, 0.73, 0.16)/b_2 + (0.09, 0.1, 0.06, 0.03, 1, 0.75, 0.2, 0)/b_3 + (1, 0.01, 0.27, 0.39, 0.5, 0.2, 0.36, 0)/b_4 + (0, 0.94, 0.7, 0.33, 0.5, 0.74, 0.41, 0.93)/b_5$

 $X_2 = (0.2, 0.01, 0.9, 0.99, 0.5, 0.8, 0.5, 1)/b_1 + (1,1,0.31,0.66,0.6,0.5,0.45,0.63)/b_2 + (0.51,0.5,0.6,0.1,0.7,0.77,0.28,0.7)/b_3 + (0.19,0.9,0.07,0.1,0.29,0.2,0,0)/b_4 + (1,0.06,0.22,0,0.25,0.74,0.09,0.04)/b_5$

 $X_3 = (0.4, 0.49, 0.07, 1, 0, 0.8, 0.7, 0.32)/b_1 + (0.29, 0.3, 0.01, 0.2, 1, 0.5, 0.55, 0)/b_2 + (0.04, 0.47, 0.73, 0.1, 0, 0.7, 0.2, 1)/b_3 + (0.47, 0.68, 0.7, 0.9, 0.7, 0.76, 0.8, 0.1)/b_4 + (0.3, 0.1, 1, 0.4, 0.46, 0.5, 0.5, 0)/b_5$

 $X_4 = (0.43, 0.1, 0.25, 1, 0.5, 0.27, 0.3, 0.88)/b_1 + (0.1, 0.01, 0.57, 0.03, 0.95, 0.99, 0.02, 0.3)/b_2 + (0.04, 0.23, 0.2, 0.56, 1, 0.79, 0.9, 0)/b_3 + (1, 0.34, 0.5, 0.23, 0, 0.59, 0.88, 0.8)/b_4 + (0.59, 0.95, 0.14, 0.33, 0.06, 0.73, 0.7, 0.5)/b_5$

 $X_5 = (0.05, 0.54, 0.7, 0.1, 0.1, 0.74, 0.65, 0.16)/b_1 + (1,0.06, 0.44, 0.04, 0.5, 0.75, 0,0.99)/b_2 + (0.54, 0.76, 0.5, 0.92, 0.92, 0.002, 1,0.7)/b_3 + (0.9, 0.24, 0.4, 0.31, 0.07, 0,0.3, 0.1)/b_4 + (1,0.2, 0.008, 0.33, 0.53, 0.34, 0.54, 0.06)/b_5$





Mythili and Samukthaa

 $X_6 = (0,0.1,0.43,0.87,0.4,0.07,1,0.74)/b_1 + (0.5,0.01,0.7,0.8,1,0,0.24,0.09)/b_2 + (1,0,0.07,0.55,0.22,0.75,0.2,0.7)/e_3 + (0.06,0.1,0.2,0.1,0.57,0.66)/b_4 + (0.1,0.35,0,1,0.63,0,0,0.5)/b_5$

 $X_7 = (0.08, 0.67, 0.01, 0.05, 0.5, 1, 0.53, 0.77)/b_1 + (0.13, 0.55, 0, 0, 0.43, 0.37, 0.94, 0.5)/b_2 + (0, 0.04, 0.99, 0.4, 0.41, 0.1, 0.5, 0.73)/e_3 + (0.11, 0.42, 0.23, 0.76, 0.33, 0.76, 0.05)/b_4 + (1, 0.85, 0.9, 0.086, 0.029, 0.07, 0.96)/b_5$

 $X_8 = (0,0.9,0.04,0.1,0.73,1,1,0.3)/b_1 + (0.79,0.85,0.6,0.7,0,0.02,0.3,0.5)/b_2 + (0,1,0.24,0.7,0.54,0.98,0.2,0.24)/b_3 + (0.74,0.07,0.27,0,0.8,0,0.68,0)/b_4 + (0,0.74,0.4,0.33,0.5,1,0.74,0.72)/b_5$

Step 3: compute similarity measure by using Equation (1)

$$\tilde{\Phi}_k(E, X_1) = \frac{1}{4} \left[\frac{2.344}{6.32} + \frac{2.04}{6.33} + \frac{1.18}{4.74} + \frac{1.8}{4.99} + \frac{2.33}{6.48} \right] = 0.4156$$

Similarly, we get

$$\tilde{\Phi}_{k}(E, X_{2}) = 0.5068 \quad \tilde{\Phi}_{k}(E, X_{3}) = 0.4726 \quad \tilde{\Phi}_{k}(E, X_{4}) = 0.5757 \quad \tilde{\Phi}_{k}(E, X_{5}) = 0.5119 \quad \tilde{\Phi}_{k}(E, X_{6}) = 0.4933 \quad \tilde{\Phi}_{k}(E, X_{7}) = 0.4213 \quad \tilde{\Phi}_{k}(E, X_{8}) = 0.4646 \quad \tilde{\Phi}$$

Step 4: If we sort the alternatives in descending order by value $\tilde{\Phi}_k(E,X_i)$. The ranking values are shown below,

Table 1: The assessment score of each alternative

Candidates	Score	Ranking order
X ₁	0.4156	8
X ₂	0.5068	3
X ₃	0.4726	5
X ₄	0.5757	1
X ₅	0.5119	2
X ₆	0.4933	4
X ₇	0.4213	7
X8	0.4646	6

Step 5: Thus, we get, $X_4 > X_5 > X_2 > X_6 > X_3 > X_5 > X_1$. Hence, X_4 is the best candidate of the manager position.

CONCLUSIONS

In the current article, we propose a model or method for determining the similarity measure in extensions of IFRS that is based on fuzzy sets. This model's primary characteristic is that the hesitation margin has been taken into consideration and computed. We also provide some metrics for comparing the similarity of IFRS and elements. Ultimately, we used the suggested Decision Making process to solve the problem in real life and arrive at the best possible conclusion.





Mythili and Samukthaa

REFERENCES

- 1. Atanassov KT. (1983). Intuitionistic fuzzy sets. VII ITKRs Session, Sofia, 20-23 June 1983 (Deposed inCentr. Sci. Techn. Library of the Bulg. Acad. of Sci., 1697/84) (in Bulgarian). Reprinted: International Journal of Bioautomation, 2016, 20(S1), S1-S6.
- 2. Atanassov KT. Intuitionistic fuzzy sets. Fuzzy Sets and Systems. 1986; 20(1): 87-96. DOI:https://doi.org/10.1016/S0165-0114(86)80034-3.
- 3. Atanassov KT. Intuitionistic fuzzy sets. In: Theory and Applications, Physica-Verlag, Heidelberg; 1999.p.1-137. DOI:https://doi.org/10.1007/978-3-7908-1870-3-1
- 4. Atanasova L. On intuitionistic fuzzy versions of L. Zadeh's extension principle. Notes on IntuitionisticFuzzy Sets. 2007; 13(3): 33-36.http://ifigenia.org/wiki/issue:nifs/13/3/33-36
- 5. Chengyi Z, Pingan D, Haiyan F. On measures of similarity between fuzzy rough sets. International Journal of Pure and Applied Mathematics. 2004; 10(4), 451-458.
- 6. Chen SM, Yeh MS, Hsiao PY. A comparison of similarity measures of fuzzy values. Fuzzy Sets and Systems. 1995; 72(1): 79-89. DOI: https://doi.org/10.1016/0165-0114(94)00284-E.
- 7. Coker D. Fuzzy rough sets are intuitionistic L-fuzzy sets. Fuzzy Sets and System. 1998; 96(3): 381-383.DOI:https://doi.org/10.1016/S0165-0114(97)00249-2.
- 8. Dubois D, Prade H. Rough fuzzy sets and fuzzy rough sets. International Journal of General System.1990; 17(2-3): 191-209. DOI: https://doi.org/10.1080/03081079008935107
- 9. Gangwal C, Bhaumik RN. Functional dependences in intuitionistic fuzzy rough relational databases, In:S.Bhattacharya Halder (ed.), Rough sets, Fuzzy sets and Soft Computing. 2015; 111-120.
- 10. Jaydip Bhattacharya, Similarity Measure: An Intuitionistic Fuzzy Rough Set Approach, ISSN: 2821-0131, Vol. 2, No. 2, (2023), 219-228. DOI: http://doi.org/10.30495/tfss.2023.1980759.1066.
- 11. L.A.Zadeh. Fuzzy sets. Information and Control. 1965; 8(3): 338-353. DOI:https://doi.org/10.1016/S0019-9958(65)90241-X.
- 12. Nanda S, Majumdar S. Fuzzy rough sets. Fuzzy sets and System. 1992; 45(2): 157-160. DOI:https://doi.org/10.1016/0165-0114(92)90114-J.
- 13. Pappis CP, Karacapilidis NI. A comparative assessment of measures of similarity of fuzzy values. FuzzySets and Systems. 1993; 56(2): 171-174. DOI: https://doi.org/10.1016/0165-0114(93)90141-4
- 14. Pawlak Z. Rough sets. International Journal of Computer and Information Sciences. 1982; 11: 341-356.DOI: https://doi.org/10.1007/BF01001956.
- 15. Pawlak Z. Rough Sets: Theoretical Aspects of Reasoning about Data. Netherlands: Kluwer Academic Publishers, Dordrecht; 1991. DOI: https://doi.org/10.1007/978-94-011-3534-4.
- 16. Yun SM, Lee SJ. New approach to intuitionistic fuzzy rough sets. International Journal of Fuzzy Logic and Intelligent Systems. 2020; 20(2): 129-137. DOI: https://doi.org/10.5391/IJFIS.2020.20.2.129.
- 17. Zhou L, Wu WZ. On generalized intuitionistic fuzzy rough approximation operators. Information Sci-ences. 2008; 178(11): 2448-2465. DOI: https://doi.org/10.1080/03081070802187723.
- 18. Zwick R, Carlstein E, Budescu DV. Measures of similarity among fuzzy concepts: A com-parative analysis. International Journal of Approximate Reasoning. 1987; 1(2): 221-242. DOI:https://doi.org/10.1016/0888-613X(87)90015-6.
- 19. Zafar.M, M. Akram, A novel decision-making method based on rough fuzzy information, International Journal of Fuzzy Systems, 20(3) (2018)1000-1014.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

The Subdivision of k Heronian Mean Labeling of Some Planar Graphs

M Lalitha1 and R M Samutkhaa2*

¹Assistant Professor, Department of Mathematics, Kongu Arts And Science College, Nanjanapuram, Erode, (affiliated to Bharathiar University, Coimbatore), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous), Komarapalayam, Namakkal (Affiliated to Anna University), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence R M Samutkhaa

Assistant Professor, Department of Mathematics, Excel Engineering College (Autonomous), Komarapalayam, Namakkal, (Affiliated to Anna University), Tamil Nadu, India. Email:samukthaatn@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this paper, we extend to the subdivision of k Heronian mean labeling (k - HML) of graphs. We demonstrate that k-heroine mean graphs (k - HMG) are made up of subdivisions. In this section, we go over a few common planar graphs that allow for the subdivision of k heronian mean labeling (k – HML).

Keywords: Mean labeling Graph, Heronian Mean Labeling Graph, k - Heronian Mean Labeling Graph, subdivision of Heronian Mean Labeling Graph.

MSC: 05C78

INTRODUCTION

Throughout the study, each graph is regarded as a single, simple, undirected, finite planar graph, with I and m representing G's vertex and edge sets respectively. Labeling a graph involves assigning integer values to a vertex set, an edge set, or both. Many writers presented various labeling modules. A thorough analysis of the labeling graph assignment is shown in [1]. Somasundaram et al. (2013) provided a description of the ML of graphs. The HML of graphs concept was introduced in 2017 by S. Sandhya et al. [7], deriving inspiration from the work of S. Somasundaram. 2017 [4] saw the presentation and discussion of k - HMLG by M Tamilselvi and K Akilandeswari. $P_n\Theta k_1$, Ln, and Tn's the subdivision of k – HML is examined in this paper.





Lalitha and Samutkhaa

Definition: 1.1

A graph that contains 1 vertex and m edge is represented by G = (V, E). Now create a function that says, $\ell: V \to \{1, 2, 3, 4, ..., m+1\}$ is known as HML for the given graph G if we can label the vertices $l \in V$ with different rudiments from similar 1, 2, 3, 4, ..., m+1 in order that the induced edge labeling provides $\ell^*: E \to \{1, 2, 3, 4, ..., m\}$

$$\ell(e) = \left| \frac{\ell(a) + \sqrt{\ell(a)\ell(b) + \ell(b)}}{3} \right|$$

given by

Is varied for every edge $e=ab\in E$. It implies that the graph's distinct vertex labeling results in a different edge labeling. A HMLG is a graph that possesses HML.

Definition: 1.2

A graph which contain 1 vertex and m edge is represented by G=(V,E). Now, build a function say, $\ell:V\to\{k+0,k+1,k+2,k+3,...,k+m\}$ is known as k - HML for the given graph G if we can label the vertices $l\in V$ with different rudiments from similar 1,2,3,4,...m+1 in order that it provides an edge labeling

vertices with different rudiments from similar
$$\ell(e) = \begin{bmatrix} \ell(a) + \sqrt{\ell(a)\ell(b) + \ell(b)} \\ \ell^* \colon E \to \{k+1, k+2, k+3, k+4, \dots, k+m\} \text{ given as} \end{bmatrix}$$
 Is varied for every edges $e = ab \in E$. It implies that the graph's distinct vertex labeling result

Is varied for every edges $e^{-ab \in E}$. It implies that the graph's distinct vertex labeling results in a different edge labeling. A k - HML is a graph that possesses k - HML.

Definition: 1.3

A graph is said to be a comb which is obtained by adding a complete graph K_1 to each vertex of an open walk with distinct points and 2n-1 edges. In general, the comb is given by $P_n \Theta K_1$.

Definition: 1.4

A graph is said to be a Ladder, which is defined and denoted by $L_n = P_n \times K_2$, where P_n represents an n- vertices path, \times represents the Cartesian product and K_2 represents a two – vertices full network with 2n vertices and 3n-2 edges.

Definition: 1.5

A graph is said to a Triangular Snake, which is defines the limits by connecting each pair of vertices of a distinct open walk to new vertex. A cyclic graph C_3 with 2n+1 vertices and 3n edges may be used to reestablish each edge of an open walk with distinct vertices. In general, it is denoted by T_n .

Definition: 1.6

When the edges ac and cb substitute for e, it is said to be subdivided if e=ab of G and it as no vertex c. the subdivision is formed by reducing each edge of the graph by S(G).





Lalitha and Samutkhaa

MAIN RESULT

Theorem 2.1

Each comb's $(P_n \Theta k_1)$ subdivision is a k – HM.

Proof

Comb may exist by linking each vertex of an open walk with different vertices and 2n-1 edges with a complete graph K_1 .

A graph $G=S(P_n \Theta K_1)$ obtain by subdividing each and every edges of $P_n \Theta K_1$

A mapping or function $\ell: V \to \{k+0, k+1, k+2, k+3, ..., k+m\}$ is defined and consider for the subsequent scenarios

Case (1)

A subdivision of a Comb $P_n \Theta K_1$ is obtained by subdividing each and every edge $a_j a_{j+1}$ of $P_n \Theta K_1$. On subdividing each and every edge a_j and a_{j+1} we obtain a new vertex f_j , $j \in [1, n-1]$.

By defined function $\,^{\ell}\,$ the vertices are labeled as

$$\ell(a_{j}) = 3j - 2 + k / jin [1, n]$$

$$\ell(b_{j}) = 3j - 3 + k / jin [1, n]$$

$$\ell(f_{j}) = 3j - 1 + k / jin [1, n - 1]$$

The edges are labeled as

$$\ell(a_{j}b_{j}) = 3j - 3 + k / jin [1, n]$$

$$\ell(a_{j}f_{j}) = 3j - 2 + k / jin [1, n]$$

$$\ell(f_{j}a_{j+1}) = 3j - 1 + k / jin [1, n]$$

Therefore, $\,\ell\,$ is obviously satisfied k - HML.

Case (2)

A subdivision of a Comb $P_n \Theta K_1$ is obtained by subdividing each and every edges $a_j b_j$ of $P_n \Theta K_1$

On subdividing each and every edges a_j and b_j we obtain new vertex g_j , $j \in [1, n]$. By defined function ℓ the vertices are labeled as





Lalitha and Samutkhaa

$$\ell(a_j) = 3j - 3 + k / jin [1, n]$$

$$\ell(b_j) = 3j - 1 + k / jin [1, n]$$

$$\ell(g_j) = 3j - 2 + k / jin [1, n]$$

The edges are labeled as

$$\ell(a_{j}a_{j+1}) = 3j - 3 + k / jin [1, n-1]$$

$$\ell(a_{j}g_{j}) = 3j - 2 + k / jin [1, n]$$

$$\ell(g_{j}b_{j}) = 3j - 1 + k / jin [1, n]$$

Therefore, ℓ is obviously satisfied k - HML.

Case (3)

A subdivision of a Comb $P_n \Theta K_1$ is obtained by subdividing each and every edges of $P_n \Theta K_1$. On subdividing each and every edges a_j and a_{i+1} we obtain a new vertex f_j , $j \in [1, n-1]$.

On subdividing each and every edges a_j and b_j we obtain a new vertex g_j , $j \in [1, n]$

By defined function ℓ the vertices are labeled as

$$\ell(a_{j}) = 4j - 4 + k / jin [1, n]$$

$$\ell(b_{j}) = 4j - 2 + k / jin [1, n]$$

$$\ell(f_{j}) = 4j - 1 + k / jin [1, n - 1]$$

$$\ell(g_{j}) = 4j - 3 + k / jin [1, n]$$

The edges are labeled as

$$\begin{split} &\ell \big(a_{j} f_{j} \big) = 4 \, j - 2 + k \, / \, j \, in \, \big[1, n - 1 \big] \\ &\ell \big(f_{j} a_{i+1} \big) = 4 \, j - 1 + k \, / \, j \, in \, \big[1, n \big] \\ &\ell \big(a_{j} g_{j} \big) = 4 \, j - 4 + k \, / \, j \, in \, \big[1, n \big] \\ &\ell \big(g_{j} b_{j} \big) = 4 \, j - 3 + k \, / \, j \, in \, \big[1, n \big] \end{split}$$

Therefore, ℓ is obviously satisfied k - HML.

Hence, we can conclude that the graph $G = S(P_n \Theta K_1)$ is a k - HMLG by the beyond cases.

Example 2.1: The subdivision of k – HML of the Comb $P_5 \Theta K_1$ is given in the Fig:2.1,2.2 & 2.3





Lalitha and Samutkhaa

Theorem 2.2

Every Ladder (L_n) subdivision is k – HMG Proof:

Ladder $L_n = P_n \times K_2$, where P_n represents an n- vertices path, \times represents the Cartesian product and K_2 represents a two – vertices full network with 2n vertices and 3n-2 edges.

A graph $G = S(L_n = P_n \times K_2)$ obtain by subdividing each and every edges of $L_n = P_n \times K_2$

A mapping or function $\ell: V \to \{k+0, k+1, k+2, k+3, ..., k+m\}$ is defined and consider for the subsequent scenarios

Case (1):

A subdivision of a Ladder $L_n = P_n \times K_2$ is achieved by splitting each and every edge $a_j a_{j+1}$ and $b_j b_{j+1}$

On subdividing each and every edge $a_j a_{j+1}$ and $b_j b_{j+1}$ we obtain a new vertices $f_j, g_j; j \in [1, n-1]$

By defined function $\,^\ell\,$ the vertices are labeled as

$$\ell(a_j) = 5j - 5 + k / j in [1, n]$$

$$\ell(b_j) = 5j - 4 + k / j in [1, n]$$

$$\ell(f_j) = 5j - 3 + k / j in [1, n]$$

$$\ell(g_j) = 5j - 2 + k / j in [1, n]$$

The edges are labeled as

$$\begin{split} &\ell \big(a_{j} b_{j} \big) \! = \! 5 \, j - 5 \! + \! k \, / \, j \, in \, \big[1, n \big] \\ &\ell \big(a_{j} f_{j} \big) \! = \! 5 \, j - 4 \! + \! k \, / \, j \, in \, \big[1, n \! - \! 1 \big] \\ &\ell \big(f_{j} a_{j+1} \big) \! = \! 5 \, j - 2 \! + \! k \, / \, j \, in \, \big[1, n \! - \! 1 \big] \\ &\ell \big(b_{j} g_{j} \big) \! = \! 5 \, j - 3 \! + \! k \, / \, j \, in \, \big[1, n \! - \! 1 \big] \\ &\ell \big(g_{j} b_{j+1} \big) \! = \! 5 \, j - 1 \! + \! k \, / \, j \, in \, \big[1, n \big] \end{split}$$

Therefore, ℓ is obviously satisfied k - HML.

Case (2):

A subdivision of a Ladder $L_n = P_n \times K_2$ is obtained by subdividing each and every edge $a_j b_j$ of $L_n = P_n \times K_2$. On subdividing each and every edge $a_j b_j$ we obtain a new vertex h_j ; $j \in [1, n]$ By defined function ℓ the vertices are labeled as





Lalitha and Samutkhaa

$$\ell(a_j) = 4j - 4 + k / jin [1, n]$$

$$\ell(b_j) = 4j - 2 + k / jin [1, n]$$

$$\ell(h_i) = 4j - 3 + k / jin [1, n]$$

The edges are labeled as

$$\begin{split} &\ell \left(a_{j} a_{j+1} \right) = 4 \, j - 2 + k \, / \, \, j \, in \, \left[1, n - 1 \right] \\ &\ell \left(b_{j} b_{j+1} \right) = 4 \, j - 1 + k \, / \, j \, in \, \left[1, n - 1 \right] \\ &\ell \left(a_{j} h_{j} \right) = 4 \, j - 4 + k \, / \, \, j \, in \, \left[1, n \right] \\ &\ell \left(h_{j} b_{j} \right) = 4 \, j - 3 + k \, / \, j \, in \, \left[1, n \right] \end{split}$$

Therefore, ℓ is obviously satisfied k - HML.

Case (3):

A subdivision of a Ladder $L_n = P_n \times K_2$ is obtained by subdividing each and every edges of $L_n = P_n \times K_2$. On subdividing each and every edges $a_j a_{j+1}$ and $b_j b_{j+1}$ we obtain a new vertices $f_j, g_j; j \in [1, n-1]$

On subdividing each and every edges a_jb_j we obtain a new vertex $h_j; j \in [1,n]$ By defined function the vertices are labeled as

$$\ell(a_{j}) = 6j - 6 + k / j in [1, n]$$

$$\ell(b_{j}) = 6j - 4 + k / j in [1, n]$$

$$\ell(f_{j}) = 6j - 3 + k / j in [1, n - 1]$$

$$\ell(g_{j}) = 6j - 2 + k / j in [1, n - 1]$$

$$\ell(h_{j}) = 6j - 5 + k / j in [1, n]$$

The edges are labeled as

$$\ell(a_{j}h_{j}) = 6j - 6 + k / jin [1,n]$$

$$\ell(h_{j}a_{j}) = 6j - 5 + k / jin [1,n]$$

$$\ell(a_{j}f_{j}) = 6j - 4 + k / jin [1,n-1]$$

$$\ell(f_{j}a_{j+1}) = 6j - 2 + k / jin [1,n-1]$$

$$\ell(b_{j}g_{j}) = 6j - 3 + k / jin [1,n-1]$$

$$\ell(g_{j}b_{j+1}) = 6j - 1 + k / jin [1,n-1]$$

Therefore, ℓ is obviously satisfied k - HML.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 - 0997

Lalitha and Samutkhaa

Hence, we can conclude that the graph $G=S(L_n=P_n\times K_2)$ is a k - HMLG by the beyond cases.

Example 2.2: The subdivision of k - HML of the Ladder $L_4 = P_4 \times K_2$ is given in the Fig:2.4,2.5 & 2.6

Theorem 2.3:

Each Triangular Snake's (T_n) subdivision is k - HMG

Triangular Snake T_n is establishes the boundaries by linking each pair of vertices of a distinct open walk to a new vertex. To reconstruct each edge of an open walk with separate vertices, a cyclic graph $\binom{C_3}{2}$ with 2n + 1 vertices and 3n

A graph $G=S(T_n)$ obtain by subdividing each and every edges of T_n

A mapping or function $\ell:V \to \{k+0,k+1,k+2,k+3,...,k+m\}_{is defined and consider for the subsequent}$

Case (1):

A subdivision of a Triangular Snake T_n is obtained by subdividing each and every edge $a_j a_{j+1}$ of T_n

On subdividing each and every edge $a_j a_{j+1}$ we obtain new vertices f_j ; $j \in [1, n-1]$

By defined function ℓ the vertices are labeled as

$$\ell(a_j) = 4j - 4 + k / j \text{ in } [1, n]$$

 $\ell(b_j) = 4j - 3 + k / j \text{ in } [1, n-1]$

$$\ell(f_j) = 4j - 1 + k / jin [1, n - 1]$$

The edges are labeled as
$$\ell \left(a_j b_j\right) = 4j - 4 + k / j in \left[1, n - 1\right]$$

$$\ell \left(a_{j+1} b_j\right) = 4j - 2 + k / j in \left[1, n - 1\right]$$

$$\ell(a_j f_j) = 4j - 3 + k / jin [1, n-1]$$

$$\ell(f_i a_{i+1}) = 4j - 1 + k / j in [1, n-1]$$

Therefore, ℓ is obviously satisfied k - HML.

Case (2):

A subdivision of a Triangular Snake T_n is obtained by subdividing each and every edges $a_j b_j$ of T_n On subdividing each and every edges $a_j b_j$ and $a_{j+1} b_j$ we obtain a new vertex $g_j, h_j; j \in [1, n-1]$ By defined function ℓ the vertices are labeled as





ISSN: 0976 – 0997

Lalitha and Samutkhaa

$$\ell(a_{j}) = 5j - 5 + k / jin [1, n]$$

$$\ell(b_{j}) = 5j - 3 + k / jin [1, n - 1]$$

$$\ell(g_{j}) = 5j - 4 + k / jin [1, n - 1]$$

$$\ell(h_{j}) = 5j - 2 + k / jin [1, n - 1]$$

The edges are labeled as

$$\begin{split} &\ell \left(a_{j} a_{j+1} \right) \! = \! 5 \, j - 3 \! + \! k \, / \, j in \left[1, n \! - \! 1 \right] \\ &\ell \left(a_{j+1} g_{j} \right) \! = \! 5 \, j - 5 \! + \! k \, / \, j in \left[1, n \! - \! 1 \right] \\ &\ell \left(g_{j} b_{j} \right) \! = \! 5 \, j - 4 \! + \! k \, / \, j in \left[1, n \! - \! 1 \right] \\ &\ell \left(a_{j+1} h_{j} \right) \! = \! 5 \, j - 1 \! + \! k \, / \, j in \left[1, n \! - \! 1 \right] \\ &\ell \left(h_{j} b_{j} \right) \! = \! 5 \, j - 2 \! + \! k \, / \, j in \left[1, n \! - \! 1 \right] \end{split}$$

Therefore, $\,\ell\,$ is obviously satisfied k - HML.

Case (3):

A subdivision of a Triangular Snake T_n is obtained by subdividing each and every edges of T_n

On subdividing each and every edges a_jb_j and $a_{j+1}b_j$ we obtain a new vertices a_j, b_j ; $j \in [1, n-1]$

On subdividing each and every edges $a_j a_{j+1}$ we obtain a new vertex f_j ; $j \in [1, n-1]$ By defined function ℓ the vertices are labeled as

$$\ell(a_j) = 6j - 6 + k / jin [1, n]$$

$$\ell(b_j) = 6j - 4 + k / jin [1, n - 1]$$

$$\ell(f_j) = 6j - 1 + k / jin[1, n - 1]$$

$$\ell(g_j) = 6j - 5 + k / jin [1, n-1]$$

$$\ell(h_j) = 6j - 3 + k / jin[1, n-1]$$

The edges bear labels

$$\ell(a_{j}f_{j}) = 6j - 4 + k / jin [1, n-1]$$

$$\ell(f_{j}a_{j+1}) = 6j - 1 + k; / jin [1, n-1]$$

$$\ell(a_{j}g_{j}) = 6j - 6 + k / jin [1, n]$$

$$\ell(g_{j}b_{j}) = 6j - 5 + k / jin [1, n-1]$$

$$\ell(a_{j+1}h_{j}) = 6j - 2 + k / jin [1, n]$$

$$\ell(h_{j}b_{j}) = 6j - 3 + k / jin [1, n-1]$$

Therefore, ℓ is obviously satisfied k - HML.





Lalitha and Samutkhaa

Based on the preceding situations, we may deduce that the graph $G=S(T_n)$ is a k Heronian Mean Labeling Graph.

Example 2.3: The splitting of k - HML of the Triangular Snake T_4 is given in the Fig:2.7,2.8 & 2.9

CONCLUSION

In this article, we have shown the subdivision of the k - HML and demonstrated its acceptance on a few graphs. This article's future scope can be expanded by using other graph families.

REFERENCE

- 1. J A Gallian,"A Dynamic Survey of Graph Labeling", The Electronic Journal of Combinatories, (2013).
- 2. F Harary," Graph Theory", Narosa Publishing House, New Delhi,1988.
- 3. S S Sandhya, E Ebin Raja Merly, S D Deepa," Subdivision of Heronian Mean Labeling Graphs", International Journal of Computational and Applied Mathematics, Vol.11, No.2, 2016, 1819-4966.
- 4. M Tamilselvi , K Akilandeswari , "On K Heronian Mean Labeling Graphs", International Journal of Innovative Research in Science, Engineering and Technology, Vol.6, Issue 9, September 2017, 18019 18023.
- 5. S Somasundaram and R Ponraj, "Mean Labelings of graphs", National Academy science Letters vol. 26, (2003), 210-213.
- 6. S Somasundaram, R Ponraj and S S Sandhya, "Harmonic Mean Labelings of graphs", Journal of Combinatorial Mathematics and Combinatorial Computing.
- 7. S S Sandhya, E Ebin Raja Merly, S D Deepa," Heronian Mean Labeling Graphs", International Journal of Mathematical Forum, Vol.12, No.15, 2017, 705-713.
- 8. S S Sandhya, E Ebin Raja Merly, S D Deepa," More Results on Subdivision Heronian Mean Labeling Graphs", International Journal of Mathematical Trends and Technology, Vol.36, No.4, 2016, 233-238.
- 9. S S Sandhya, E Ebin Raja Merly, S D Deepa," Some Results on Heronian Mean Labeling Graphs", Journal of Discrete Mathematical Sciences and Cryptography, Vol.36, No.4, 2017, 233-238.
- 10. S S Sandhya, E Ebin Raja Merly, S D Deepa," Heronian Mean Labeling of Some Disconnected Graphs", International Journal of Mathematics Research, Vol.8, No.3, 2016, 155-165.

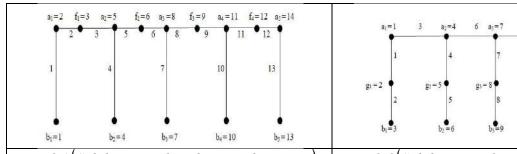


Fig: 2.1 (Subdiving each and every edge $a_i a_{i+1}$)

Fig: 2.2 (Subdiving each and every edge $a_i b_i$

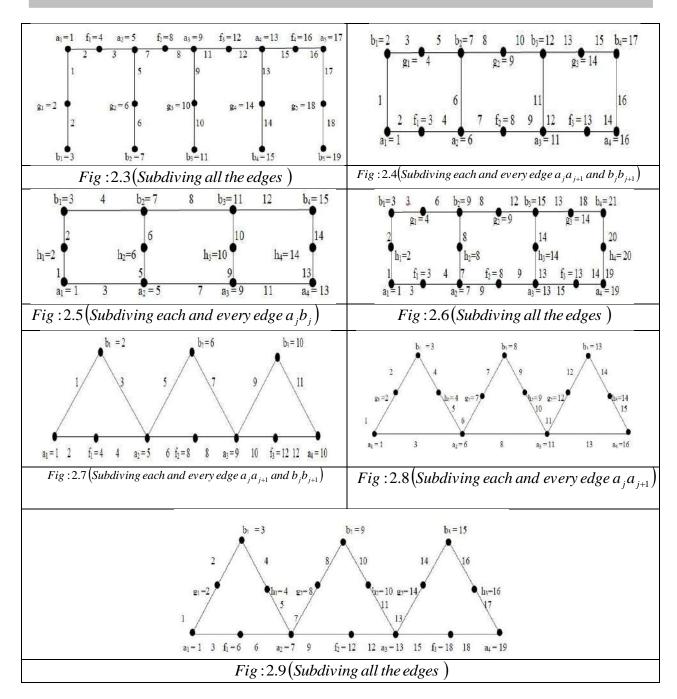




International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 - 0997

Lalitha and Samutkhaa







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Bio-Convective Hybrid Stagnation-Point Flow Due to Induced Magnetic **Field**

K.Prasannadevi1* and A.Gurumoorthy2

¹Assistant Professor, Department of Mathematics, M.R.Govt Arts College, Mannargudi, Thiruvarur, (Affiliated to Bharathidasan University, tiruchirappalli), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics A.V.V.M Sri Pushpam College (Autonomous), Poondi, Thanjavur, (Affiliated to Bharathidasan University, tiruchirappalli), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 02 Aug 2024

*Address for Correspondence

K.Prasannadevi

Assistant Professor, Department of Mathematics, M.R.Govt Arts College, Mannargudi, Thiruvarur, (Affiliated to Bharathidasan University, tiruchirappalli), Tamil Nadu, India.

Email: prasannadevi01@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Magnetite nanoparticles with varying surface coverages are highly sought after for several applications due to their inherent magnetic characteristics, nanometer-scale dimensions, and well-defined surface shape. Magnetite nanoparticles are extensively utilized in various medicinal and biological applications; however, their utilization in optics is less prevalent. The study investigates the movement of water carrying magnetite Nano-particles, and single-walled carbon nanotube (SWCNT) in a flow with a stagnation position along stretching sheets. The effects of viscous dissipation, induced magnetic fields, stratification, and chemical reaction are considered. The numerical analysis is performed with the Runge-Kutta-Fehlberg technique in correlation with the firing methodology.

Keywords: Nanofluids, Magnetite Nanoparticles, Bioconvective, Magnetic field, Runge-Kutta-Fehlberg, SWCNT.

INTRODUCTION

The study of Nano fluids that involve microorganisms is a rapidly emerging field of research that has attracted scientists due to the significance of this field in the creation of biofuels, the elimination of toxins, the precise delivery of medicines, and the digesting of food. In order to highlight the impact that effective parameters have on flow profiles and physical quantities, graphs are utilized. This paper key objectives are to accomplish the following:





Prasannadevi and Gurumoorthy

- Develop a bio-convective model to study the behavior of magnetite nanoparticles and SWCNTs in water.
 Consider the effects of temperature stratification, induced magnetic fields, viscosity dissipation, solutal stratification, motile density stratification, and chemical reaction.
- The purpose of this paper is to provide theoretical understanding regarding the effective parameters and their consequences on flow profiles.
- Investigate the significance of relevant factors with regard to surface drag, mass transfer rate, heat transfer rate, and the quantity of microorganisms per unit area.

RELATED WORK

A nanofluid with a magnetic field is a stable colloidal suspension that consists of a base solution, single-domain magnetic particles, and a surfactant. The stability of the magnetic fluid is determined by the repulsion caused by the surfactant and the motion of Brownian particles. Additionally, the resistance of magnetic particles to gravity sedimentation also contributes to the stability. Heat transfer and thermal management and have gained significant interest due to its use in electronics devices, industrial applications, and thermal systems. Shi et al. evaluated the viscosity, thermal conductivity, and specific heat capacity of the magnetic Fe3O4@CNT nanocomposites. The experimental demonstration showcased the recyclability, magnetic responsiveness, and adjustability of heat transfer. The magnetic Nano fluid was generated using the hydrothermal process and the thermophysical features of the Nano fluid, which relied on temperature. These findings were detailed in detail. The study considered the effects of viscous dissipation, heat generation/absorption, and thermal radiation. Khan14 provides an explanation of the combined influence of electric field and magnetohydrodynamics on the unsteady flow of Maxwell's Nano fluid over a stretching surface, taking into account the effects of thermal radiation and changing heat. The heat exchange efficiencies of a suspension of magnetic nanoparticles, known as magnetic nanofluid, under original convection can be modified by altering the direction, shape, and intensity of the magnetic field. This is possible since magnetic nanofluids possess exceptional magnetic characteristics. Nevertheless, the thermal conductivities of magnetic nanoparticles is lower compared to nano-particles such as CuO and Al2O3. This limitation restricts their extensive use, especially in the energy industry and heat exchangers. Therefore, nano-composites that possess both magnetic properties and increased thermal conductivity are an ideal option for significantly enhancing the efficiency of heat exchange by natural convection, surpassing other materials in this regard. Provides a comprehensive analysis of the convective heat transfer properties of Nano fluid in a straight tube, with a focus on its magneto controllable behavior.

METHODOLOGY: MATHEMATICAL FRAME

An incompressible fluid's flow across a linearly extending sheet is the subject of the investigation. The flow is steady and occurs in two dimensions. These assumptions are illustrated in Figure 1.

- The x-axis is tangential to expanding sheet, and the area where the y-value is greater than zero is filled with a water based Fe_3O_4 nanofluid derived from SWCNT.
- $U_w(x) = cx$ and $U_e(x) = ax$ These numbers stand for the free stream velocity and the thickness of the expanding sheet.
- The induced magnetic field vector, denoted as H = (H1, H2), is defined so that H1 represents the magnetic component along the x-direction and H2 represents the magnetic component along the y-direction.
- The consequences of viscosity dissipation and chemical reaction are considered into the design.
- Solutal slide, motile density, and thermal impacts are taken into account. with respect to the boundary conditions (Z. Iqbal et al., 2017, Alsaedi et al., 2017;):





Prasannadevi and Gurumoorthy

$$u = U_W(x) = cx$$
, $v = 0$, $\frac{\partial H_1}{\partial y} = H_2 = 0$, $T = T_W = T_0 + \delta_1 x$,
 $C = C_W = C_0 + \epsilon_1 x$, $N = N_W = N_0 + \xi_1 x$ at $y = 0$

$$u \to U_e(x) = ax$$
, $H_1 \to H_e(x) = H_0x$, $T \to T_\infty = T_0 + \delta_2 x$,
 $C \to C_\infty = C_0 + \epsilon_2 x$, $N \to N_\infty = N_0 + \xi_2 x$ as $y \to \infty$

Where

$$\alpha_m = \frac{1}{4\pi\mu_e \sigma_{nf}}$$

stands for the magnetic diffusivity

Take into consideration the following transformations of similarity: (Z. Iqbal et al., 2017; Alsaedi et al., 2017):

$$u = cxf'(\zeta), v = -\sqrt{c\vartheta_f}f(\zeta), H_1 = H_0xg'(\zeta), \zeta = y\sqrt{\frac{c}{\vartheta_f}}, H_2 = -H_0\sqrt{\frac{\vartheta_f}{c}}g(\zeta),$$
$$\theta(\zeta) = \frac{T - T_\infty}{T_W - T_0}, \psi(\zeta) = \frac{C - C_\infty}{C_W - C_0}, \chi(\zeta) = \frac{N - N_\infty}{N_W - N_0}$$

Employing the similarity transformations into Equations , we get:

$$f''' - A_1 A_2 \left\{ (f')^2 - f f'' - \frac{\beta}{A_2} \left\{ (g')^2 - g g'' - 1 \right\} - A^2 \right\} = 0$$

$$g''' - \frac{A_5}{\lambda} \{ g f'' - f g'' \} = 0$$

$$\theta'' + \frac{A_3 Pr}{A_4} f \theta' + \frac{Ec Pr}{A_1 A_4} (f'')^2 = 0$$

$$\psi$$
 " + Le f ψ ' - Kr Le ψ = 0

$$\chi'' + Lb f \chi' - Pe \{ (\chi + \Omega) \psi'' + \chi' \psi' \} = 0$$

subject to the boundary conditions

$$f(\zeta) = 0$$
, $f'(\zeta) = 1$, $g(\zeta) = 0$, $g''(\zeta) = 0$, $\theta(\zeta) = 1 - S_1$,
 $\psi(\zeta) = 1 - S_2$, $\chi(\zeta) = 1 - S_3$ when $\zeta = 0$
 $f'(\zeta) \to A$, $g'(\zeta) \to 1$, $\theta(\zeta) \to 0$,
 $\psi(\zeta) \to 0$, $\chi(\zeta) \to 0$ as $\zeta \to \infty$





Prasannadevi and Gurumoorthy

The hybrid nanofluid models incorporated are (Mabood, Ashwinkumar, & Sandeep, 2022; Sreedevi & Reddy, 2019):

$$\begin{split} \frac{\mu_{hnf}}{\mu_f} &= \frac{1}{\left(1 - \phi_{Fe_3O_4}\right)^{2.5} \left(1 - \phi_{SWCNT}\right)^{2.5}} = \frac{1}{A_1} \\ \frac{\rho_{hnf}}{\rho_f} &= \left(1 - \phi_{SWCNT}\right) \left\{ \left(1 - \phi_{Fe_3O_4}\right) + \frac{\rho_{Fe_3O_4}}{\rho_f} \phi_{Fe_3O_4} \right\} + \frac{\rho_{SWCNT}}{\rho_f} \phi_{SWCNT} = A_2 \\ \frac{(\rho C_p)_{hnf}}{(\rho C_p)_f} &= \left(1 - \phi_{SWCNT}\right) \left\{ \left(1 - \phi_{Fe_3O_4}\right) + \frac{(\rho C_p)_{Fe_3O_4}}{(\rho C_p)_f} \phi_{Fe_3O_4} \right\} \\ &+ \frac{(\rho C_p)_{SWCNT}}{(\rho C_p)_f} \phi_{SWCNT} = A_3 \end{split}$$

$$\frac{k_{hnf}}{k_{bf}} = \frac{1 - \phi_{SWCNT} + 2\phi_{SWCNT} \left(\frac{k_{SWCNT}}{k_{SWCNT} - k_{bf}}\right) ln \left(\frac{k_{SWCNT} + k_{bf}}{2k_{bf}}\right)}{1 - \phi_{SWCNT} + 2\phi_{SWCNT} \left(\frac{k_{bf}}{k_{SWCNT} - k_{bf}}\right) ln \left(\frac{k_{SWCNT} + k_{bf}}{2k_{bf}}\right)}$$

$$\frac{k_{bf}}{k_{f}} = \frac{k_{Fe_{3}O_{4}} + 2k_{f} - 2\phi_{Fe_{3}O_{4}} \left(k_{f} - k_{Fe_{3}O_{4}}\right)}{k_{Fe_{3}O_{4}} + 2k_{f} + \phi_{Fe_{3}O_{4}} \left(k_{f} - k_{Fe_{3}O_{4}}\right)}$$

$$\frac{\sigma_{hnf}}{\sigma_f} = 1 + \frac{3\left\{\frac{\phi_{Fe_3O_4}\sigma_{Fe_3O_4} + \phi_{SWCNT}\sigma_{SWCNT}}{\sigma_f} - \left(\phi_{Fe_3O_4} + \phi_{SWCNT}\right)\right\}}{2 + \left\{\frac{\phi_{Fe_3O_4}\sigma_{Fe_3O_4} + \phi_{SWCNT}\sigma_{SWCNT}}{\left(\phi_{Fe_3O_4} + \phi_{SWCNT}\right)\sigma_f}\right\} - \left\{\frac{\phi_{Fe_3O_4}\sigma_{Fe_3O_4} + \phi_{SWCNT}}{\sigma_f} - \left(\phi_{Fe_3O_4} + \phi_{SWCNT}\right)\right\}}$$

$$A_4 = \frac{k_{hnf}}{k_f} = \frac{k_{hnf}}{k_{hf}} \times \frac{k_{bf}}{k_f}$$
, and $A_5 = \frac{\sigma_{hnf}}{\sigma_f}$

The nanofluid models incorporated are (Sreedevi & Reddy, 2019; Iqbal et al., 2017): Drag Coefficient:

$$Cf_x = \frac{\tau_\omega}{\rho_f (U_w)^2} = \frac{\mu_{hnf} \left. \frac{\partial u}{\partial y} \right|_{y=0}}{\rho_f (U_w)^2}$$

$$\Rightarrow Cf_x Re_x^{1/2} = \frac{f''(0)}{A_1}$$

Nusselt number:





Prasannadevi and Gurumoorthy

$$Nu_x = \frac{x \ q_\omega}{k_f \ (T_w - T_0)} = \frac{-x \ k_{hnf} \ \frac{\partial T}{\partial y}\Big|_{y=0}}{k_f \ (T_w - T_0)}$$

$$\Rightarrow Nu_x Re_x^{-1/2} = -A_4 \theta'(0)$$

Sherwood number:

$$Sh_x = \frac{x \ q_m}{D_B \ (C_w - C_0)} = \frac{-x \ D_B \ \frac{\partial C}{\partial y}\Big|_{y=0}}{D_B \ (C_w - C_0)}$$

$$\Rightarrow$$
 $Sh_x Re_x^{-1/2} = -\psi'(0)$

Motile density quantity:

$$Nn_x = \frac{x \ q_n}{D_m \ (N_w - N_0)} = \frac{-x \ D_m \ \frac{\partial N}{\partial y}|_{y=0}}{D_m \ (N_w - N_0)}$$

$$\Rightarrow Nn_x Re_x^{-1/2} = -\chi'(0)$$

Where

$$Re_x = rac{xU_w}{artheta_f}$$
 is the Reynold's quantity.

RESULTS: NUMERICAL FRAME & VALIDATION

Given the nonlinear nature of the equations in conjunction with the boundary conditions, it is challenging to obtain a exact solution or closed-form to the challenge at hand. This is why numerical approaches, namely the Runge-Kutta-Fehlberg technique in combination with the shoot-ing methodology, are used to get the approximations. This can be accomplished by first assuming the following assumption:

$$\Gamma_1 = f, \quad \Gamma_2 = f', \quad \Gamma_3 = f'', \quad \Gamma_3 ^{'} = f''', \quad \Gamma_4 = g, \quad \Gamma_5 = g',$$
 $\Gamma_6 = g'', \quad \Gamma_6 ^{'} = g''', \quad \Gamma_7 = \theta , \quad \Gamma_8 = \theta', \quad \Gamma_8 ^{'} = \theta'', \quad \Gamma_9 = \psi,$
 $\Gamma_{10} = \psi', \quad \Gamma_{10} ^{'} = \psi'', \quad \Gamma_{11} = \chi , \quad \Gamma_{12} = \chi', \quad \Gamma_{12} ^{'} = \chi''$

Presented below is the reduced version of the first-order conventional differential equation:





Prasannadevi and Gurumoorthy

$$\begin{split} &\Upsilon_{1}{'}=\Upsilon_{2}\,,\,\Upsilon_{2}{'}=\Upsilon_{3}\,,\\ &\Upsilon_{3}{'}=A_{1}\,A_{2}\,\left\{\,(\Upsilon_{2})^{2}-\Upsilon_{1}\,\Upsilon_{3}-\frac{\beta}{A_{2}}\,\left\{(\Upsilon_{5})^{2}-\Upsilon_{4}\,\Upsilon_{6}-1\right\}-A^{2}\,\right\},\\ &\Upsilon_{4}{'}=\Upsilon_{5}\,,\,\Upsilon_{5}{'}=\Upsilon_{6}\,,\\ &\Upsilon_{6}{'}=\frac{A_{5}}{\lambda}\,\left\{\,\Upsilon_{4}\,\Upsilon_{3}-\Upsilon_{1}\,\Upsilon_{6}\,\right\}\,,\\ &\Upsilon_{7}{'}=\Upsilon_{8}\,,\,\Upsilon_{8}{'}=-\left\{\frac{A_{3}\,Pr}{A_{4}}\,\Upsilon_{1}\,\Upsilon_{8}+\frac{Ec\,Pr}{A_{1}\,A_{4}}\,(\Upsilon_{3})^{2}\right\}\,,\\ &\Upsilon_{9}{'}=\Upsilon_{10}\,,\,\Upsilon_{10}{'}=Kr\,Le\,\Upsilon_{9}-Le\,\Upsilon_{1}\,\Upsilon_{10}\,,\\ &\Upsilon_{11}{'}=\Upsilon_{12}\,\,,\,\Upsilon_{12}{'}=Pe\,\left\{\,\left(\Upsilon_{11}+\Omega\right)\,\Upsilon_{10}{'}+\Upsilon_{12}\,\Upsilon_{10}\,\right\}-Lb\,\Upsilon_{1}\,\Upsilon_{12}\,.\\ &\text{Having}\\ &\Upsilon_{1}\left(0\right)=0,\quad\Upsilon_{2}\left(0\right)=1,\quad\Upsilon_{3}\left(0\right)=\Gamma_{1},\quad\Upsilon_{4}\left(0\right)=0,\\ &\Upsilon_{5}\left(0\right)=\Gamma_{2},\quad\Upsilon_{6}\left(0\right)=0,\quad\Upsilon_{7}\left(0\right)=1-S_{1},\quad\Upsilon_{8}\left(0\right)=\Gamma_{3},\\ &\Upsilon_{9}\left(0\right)=1-S_{2},\quad\Upsilon_{10}\left(0\right)=\Gamma_{4},\quad\Upsilon_{11}\left(0\right)=1-S_{3},\quad\Upsilon_{12}\left(0\right)=\Gamma_{5} \end{split}$$

The values of Λ 1, Λ 2, Λ 3, and Λ 4 are calculated using the Newton Raphson technique, starting with an appropriate initial assumption. The validity of the current problem and accuracy of have been ensured by doing a thorough comparison between the work that is currently being done and studies that have been published in the past. By comparing this study closely to previous publications by Hayat et al. (2015), Iqbal et al. (2016), and Azhar et al. (2017), we were able to determine that the code is genuine and that our research is well-grounded (Table 1). The effects of relevant parameters on the profiles of microbiological concentration (χ (ζ)), induced magnetic field ($g'(\zeta)$), concentrations (ψ (ζ)), temperatures (θ (ζ)), and velocity ($f'(\zeta)$), are seen. Experiments have been conducted on a hybrid nano-fluid consisting of water-based Fe3O4 and SWCNT, as well as a nano-fluid containing only Fe3O4. The Prandtl number (Pr) for the hybrid nano-fluid was fixed at 6.3, while the Prandtl number for the Fe3O4 nano-fluid was fixed at 10.1. The thermo-physical characteristics of water, SWCNT (nano-particle 2), and Fe3O4 (nano-particle 1) are presented in Table 2. The study investigates the movement of water carrying magnetite nano-particles, and SWCNT in a flow with a stagnation point along a stretching sheet. The effects of viscous dissipation, induced magnetic field, stratification, and chemical reactionare considered. The numerical analysis is performed with the Runge-Kutta-Fehlberg technique in conjunction with firing methodology. The primary findings of the study can be summarized below:

 The increase in the proportion of nano-particles, and SWCNT results in a rise in the temperature of the nanofluid. Moreover, increasing the Eckert quantity enhances the temperature of the nanofluid. The rise in nanofluid temperature resulting from the relevant factors has a positive effect on the destruction of tumors and cancer cells.





Prasannadevi and Gurumoorthy

- The velocity profile exhibits a direct proportionality to the magnetic parameter when A is less than 1, and an inverse proportionality when A is more than 1.
- The highest drag coefficient occurs when the magnetic parameter is at higher levels and the volume fraction of nano-particles, and SWCNT is smaller, with A = 0.8. Nevertheless, the highest drag coefficient (at A = 1.2) occurs when the magnetic parameter is smaller and the volume fraction of nano-particles, and SWCNT is larger. From a biological standpoint, a higher drag coefficient indicates a greater level of interaction between the surface and the fluid. This interaction is advantageous in the context of biomedical imaging and targeted medication delivery.
- The rate at which mass are transferred decreases as the solutal stratification parameter increases, and increases as the reaction of chemical parameter increases.
- Increasing the reaction of chemical parameter has a detrimental impact on the concentration profile, which in turn improves biomedical imaging, and medication.
- When the nanoparticle volume percentage, Eckert number, and thermal stratification parameter of a SWCNT are all reduced, the highest heat transfer rate is seen.

CONCLUSION

The augmentation of the ratio of nano-particles, specifically single-walled carbon nanotubes (SWCNT), leads to an elevation in the temperature of the nanofluid and it can be seen that the profile of velocity is directly in proportion to the magnetic parameters when A is less than 1, and inversely proportional when A is more than 1. From the research, it can be seen that a higher drag coefficient is present and it signifies a heightened degree of interaction between the surface and the fluid. This relationship is beneficial in the context of biomedical imaging and targeted medicine delivery.

REFERENCES

- Mohamed, R. A. & Abo-Dahab, S. M. Infuence of chemical reaction and thermal radiation on the heat and mass transfer in MHD micropolar fow over a vertical moving porous plate in a porous medium with heat generation. Int. J. Term. Sci. 48, 1800–1813 (2009).
- 2. Mohamed, R. A., Abo-Dahab, S. M. & Nofal, T. A. Termal radiation and MHD efects on free convective fow of a polar fuid through a porous medium in the presence of internal heat generation and chemical reaction. Math. Prob. Eng. 2010, 1–27 (2010).
- 3. Osman, A. A., Abo-Dahab, S. M. & Mohamed, R. A. Analytical solution of thermal radiation and chemical reaction efects on unsteady MHD convection through porous media with heat source/sink. Math. Prob. Eng. 2011, 1–21 (2011).
- 4. Mohamed, R. A., Osman, A.-N.A. & Abo-Dahab, S. M. Unsteady MHD double-difusive convection boundary-layer fow past a radiate hot vertical surface in porous media in the presence of chemical reaction and heat sink. Meccanica 48, 931–942 (2013).
- 5. Mohamed, R. A., Abo-Dahab, S. M. & Mahdy, A. Efects of thermophoresis, heat source/sink, variable viscosity and chemical reaction on non-Darcian mixed convective heat and mass transfer fow over a semi-infinite sorous inclined pate in the presence of thermal radiation. J. Comput. Teoret. Nanosci. 10(6), 1366–1375 (2013).
- Azama, M., Khana, M. & Alshomranib, A. S. Efects of magnetic feld and partial slip on unsteady axisymmetric fow of Carreau nanofuid over a radially stretching surface. Results Phys. 7, 2671–2682 (2017).
- 7. Khashi'ie, N. S. et al. Mixed convective fow and heat transfer of a dual stratifed micropolar fuid induced by a permeable stretching/shrinking sheet. Entropy 21(12), 1162 (2019).
- 8. Kumar, B. & Srinivas, S. Unsteady hydromagnetic fow of Eyring–Powell nanofuid over an inclined permeable stretching sheet with Joule heating and thermal radiation. J. Appl. Comput. Mech. 6(2), 259–270 (2020).





Prasannadevi and Gurumoorthy

- 9. Puga, J. B., Bordalo, B. D., Silva, D. J., Dias, M. M. & Belo, J. H. Novel thermal switch based on magnetic nanofuids with remote activation. Nano Energy 31, 278–285 (2017).
- 10. Shi, L., He, Y., Hu, Y. & Wang, X. Termophysical properties of Fe3O4@CNT nanofuid and controllable heat transfer performance under magnetic feld. Energy Convers. Manag. 177, 249–257. https://doi.org/10.1016/j.enconman.2018.09.046 (2018).
- 11. Shi, L., Hu, Y. & He, Y. Magneto-responsive thermal switch for remote-controlled locomotion and heat transfer based on magnetic nanofuid. Nano Energy 71, 104582. https://doi.org/10.1016/j.nanoen.2020.104582 (2020).
- 12. Farooq, U., Afridi, M. I., Qasim, M. & Lu, D. C. Transpiration and viscous dissipation efects on entropy generation in hybrid nanofuid fow over a nonlinear radially stretching disk. Entropy 20, 668. https://doi.org/10.3390/e20090668 (2018).

Table:1 Comparison of drag coefficient for different A values of Iqbal, Azhar, et al., 2017 and Hayat et al., 2015, 2016 when $\lambda = \beta = 0$

A	$Cf_xRe_x^{1/2}$						
	Iqbal et al., 2017	Hayat et al., 2015	Hayat et al., 2016				
0.1	-0.969386	-0.96939	-0.96937				
0.2	-0.918107	-0.91811	-0.91813				
0.5	-0.667263	-0.66726	-0.66723				
0.7	-0.433475	-0.43346	-0.43345				
0.8	-0.299388	-0.29929	-0.29921				
0.9	-0.154716	-0.15458	-0.1545471				
1	0	0	0				

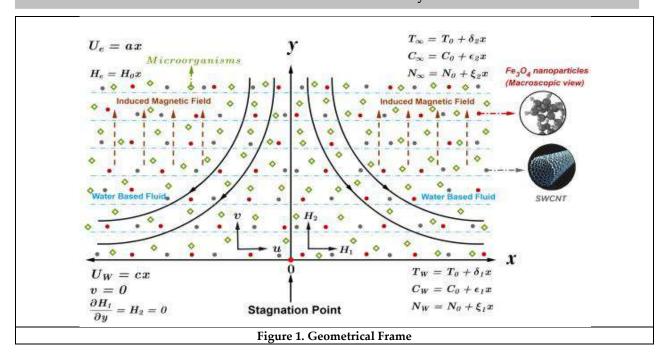
Table:2 Thermo-physical properties of SWCNT, Fe₃ O₄ and water

Property	Water	Fe_3O_4	SWCNT
	$(conventional\ fluid)$	(nanoparticle 1)	(nanoparticle 2)
ρ	997	5180	2600
C_p	4179	670	425
\boldsymbol{k}	0.613	9.7	6600
σ	0.05	25000	10^{6}





Prasannadevi and Gurumoorthy







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

A Decision Making Problem for Interval Valued Neutrosophic Soft **Multisets Using TOPSIS Method**

J. Jayasudha¹ and C. Kowsalyaharishanthi^{2*}

¹Associate Professor, Department of Mathematics, Nallamuthu Gounder Mahalingam College, Pollachi, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India.

²Research Scholar, Department of Mathematics, Nallamuthu Gounder Mahalingam College, Pollachi, (Affiliated to Bharathiar University) Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Accepted: 13 Aug 2024 Revised: 03 Jul 2024

*Address for Correspondence

C. Kowsalyaharishanthi

Research Scholar, Department of Mathematics,

Nallamuthu Gounder Mahalingam College, Pollachi,

(Affiliated to Bharathiar University)

Coimbatore, Tamil Nadu, India.

Email: kowsalyachinnaraj176@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this paper, we introduce certain distance and similarity measures for Interval valued neutrosophic soft multisets with their fundamental properties and examples. Furthermore, we construct a TOPSIS algorithm using one of the proposed distance measures for Interval valued neutrosophic soft multisets. To demonstrate the significance and sufficiency of the proposed TOPSIS algorithm, we apply it for a decision making problem and determined an optimal solution.

Keywords: Distance measure, Similarity measure, Interval valued neutrosophic soft multisets (IVNSMS), TOPSIS.

INTRODUCTION

Lotfi A. Zadeh proposed fuzzy sets (FS)[1] to administ objects which has fuzziness as their property and not belong to crisp sets. Meanwhile, fuzzy sets only have membership function to manage objects. To overcome this intuitionistic fuzzy sets (IFS)[2]were introduced with membership and non-membership functions. Later it was extended to the interval valued intuitionistic fuzzy sets[3] by using intervals as membership functions. F. Smarandache[4] introduced neutrosophic sets with indeterminacy membership function as a generalization of intuitionistic fuzzy set theory to deal inaccurate information. Wang et al. [5]introduced interval valued neutrosophic sets as an extension of neutrosophic sets which is more practical and flexible than neutrosophic sets. In 1999, Molodtsov[6] proposed thoroughly a new mathematical approach called soft sets, apart from fuzzy sets, for





Jayasudha and Kowsalyaharishanthi

modelling objects with uncertainty character. Soft matrices and operations on soft matrices were presented by Çağman-Enginoğlu[7]. Hybrid structures of soft sets like fuzzy soft sets[8], intuitionistic fuzzy soft sets[9] and interval valued intuitionistic fuzzy soft sets[10] were introduced. P.K. Maji [11]established neutrosophic soft sets by incorporating neutrosophic sets with soft sets and made them widely useful in indeterminate and imprecise environment. Later, I. Deli[12] extended neutrosophic soft sets to interval valued neutrosophic soft sets by combining interval valued neutrosophic sets and soft sets. Alkhazaleh et al.[13] proposed soft multisets as an expansion of soft sets in multiple dimensions. Some operations of soft multisets were dealt by several researchers ([14], [15], [16], [17]). Later, Alkhazaleh and Salleh[18] defined fuzzy soft multisets and presented a decision making algorithm to solve an application of fuzzy soft multisets. Further, I. Deli et al.[19] introduced neutrosophic soft multisets by putting together the concepts of neutrosophic sets and soft multisets. A. Al-Quran & N. Hassan[20] and C. Granados et al.[21]defined other hybrid structures like neutrosophic vague soft multisets and weighted neutrosophic soft multisets respectively. In the study of problem solving, there is a necessity to compare two sets. Several researchers have studied the distance and similarity between fuzzy sets, interval valued fuzzy sets, neutrosophic sets, interval valued neutrosophic sets, soft sets, intuitionistic fuzzy soft sets and neutrosophic soft sets. S. Broumi et al.[22] introduced distance and similarity measures of interval valued neutrosophic soft sets with their necessary properties. A. Mukherjee et al.[23] defined some similarity measures of interval valued neutrosophic soft sets and employed it in the application of pattern recognition problems. TOPSIS (Technique for Order Performance by Similarity to Ideal Solution) is one of the most primary methods in decision making which is defined by Hwang and Yoon[24]. The TOPSIS method provides the most appropriate solutions based on its main idea that, the best result should have the briefest distance from PIS (Positive Ideal Solution) and the utmost distance from NIS (Negative Ideal Solution). The TOPSIS method for the group decision making problem was extended by Chen[25], in which the weights of criteria are represented by linguistic variables. S. Saghafian and S.R. Hejazi[26] introduced a TOPSIS method which employs distance measure to find an optimal decision. Ashtiani et al.[27] considered interval numbers as linguistic variables and proposed a new method called interval valued fuzzy TOPSIS method. Jin et al.[28] expanded TOPSIS method to MADM by considering intuitionistic fuzzy sets as attribute values. Verma et al. [29]presented an interval valued intuitionistic fuzzy TOPSIS method and applied it to a facility location problem. Pingping Chi and Peide Liu[30] extended TOPSIS to interval neutrosophic sets by utilizing distance measures of Interval neutrosophic sets. The Interval valued neutrosophic soft multisets (IVNSMS)[31] can effortlessly characterize the incomplete, inconsistent and indeterminate information about objects in multiple universes. In this paper, we introduce the distance and similarity measures between IVNSMS and discuss some properties of distance and similarities. In addition, we establish the TOPSIS algorithm by using one of the proposed distance measures and apply it to a personal selection problem and determine the results.

PRELIMINARIES

Definition 2.1.[4]Let U be a space of points (objects), with a generic element in U denoted by u. A neutrosophic set A in U is characterized by a truth-membership function T_A , an indeterminacy-membership function I_A and a falsity-membership function F_A . $T_A(u)$; $I_A(u)$ and $F_A(u)$ are real standard or nonstandard subsets of [0, 1]. There is no restriction on the sum of $T_A(u)$; $T_A(u)$ and $T_A(u)$, so $T_A(u)$ and $T_A(u)$ are real standard or nonstandard subsets of [0, 1].

Definition 2.2.[5] Let U be a space of points (objects), with a generic element in U denoted by u. An interval valued neutrosophic set (IVN-set) A in U is characterized by truth-membership function T_A , an indeterminacy-membership function I_A and a falsity-membership function F_A . For each point $u \in U$; T_A , I_A and $F_A \subseteq [0,1]$. Thus, an IVN-set over U can be represented by the set

 $A = \{\langle T_A(u), I_A(u), F_A(u) \rangle / u : u \in U\}.$

Here, $(T_A(u), I_A(u), F_A(u))$ is called interval valued neutrosophic number for all $u \in U$ and all interval valued neutrosophic numbers over U will be denoted by IVN(U).





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

Jayasudha and Kowsalyaharishanthi

Definition 2.3.[12] Let U be an initial universe set, IVN(U) denotes the set of all interval valued neutrosophic sets of Uand E be a set of parameters that describe the elements of U. Aninterval valued neutrosophic soft sets over U is a set defined by a set valued function Y_K representing a mapping $v_K: E \to IVN(U)$.

It can be written as a set of ordered pairs

$$Y_K = \{ (x, v_K(x)) : x \in E \}.$$

Here, an interval valued neutrosophic set v_K is called approximate function of the interval valued neutrosophic (ivn)softsets Y_K . And $v_K(x)$ is called x-approximate value of $x \in E$.

Definition 2.4.[12] Let $Y_K \in IVNS(U)$. Based on Y_K , we can define an Interval valued neutrosophic set $\begin{array}{ll} \langle \alpha, \beta, \gamma \rangle_{(Y_K)}^{avg} : A & \to IVN(U) \text{by} \\ \langle \alpha, \beta, \gamma \rangle_{(Y_K)}^{avg} : (x_i) &= \sum_{u \in U} v_{K(x_i)}(u) / |U| & \text{for all } x \in E. \end{array}$

$$\langle \alpha, \beta, \gamma \rangle_{(Y_k)}^{avg}(x_i) = \sum_{u \in U} v_{K(x_i)}(u) / |U| \quad \text{for all } x \in E$$

The interval valued neutrosophic set $(\alpha, \beta, \gamma)^{avg}_{(\gamma_K)}$ is called the avg-threshold of the ivn-soft set γ_K

Definition 2.5.[32] Let $x = ([T^L, T^U], [I^L, I^U], [F^L, F^U])$ be an Interval neutrosophic number and the score function S(x)of an Interval neutrosophic number can be defined as follows:

$$S(x) = \frac{T^{L} + T^{U}}{2} + 1 - \frac{I^{L} + I^{U}}{2} + 1 - \frac{F^{L} + F^{U}}{2}.$$

Definition 2.6.[13] Let $\{U_i: i \in U\}$ be a collection of universes such that $\bigcap_{i \in I} U_i = \emptyset$ and let $\{E_{U_i}: i \in I\}$ be a collection of sets of parameters, $U = \prod_{i \in I} P(U_i)$ where $P(U_i)$ denotes the powerset of U_i , $E = \prod_{i \in I} E_{U_i}$ and $A \subseteq E$. A pair (I, A) is called a soft multiset over U given by the mapping $I: A \to U$.

Definition 2.7.[31] Let $\{U_i: i \in U\}$ be a collection of universes such that $\bigcap_{i \in I} U_i = \emptyset$ and let $\{E_{U_i}: i \in I\}$ be a collection of sets of parameters, $U = \prod_{i \in I} IVN(U_i)$ where $IVN(U_i)$ denotes the set of all Interval valued neutrosophic sets of U_{i} . $E = \prod_{i \in I} E_{U_i}$ and $A \subseteq E$. An Interval valued neutrosophic soft multiset (IVNSMS) over U is the pair (I, A) given by the mapping $I: A \rightarrow U$. It can be represented by,

$$(I,A) = \{(a_k, \langle [\inf T_I(u), \sup T_I(u)], [\inf I_I(u), \sup I_I(u)], [\inf F_I(u), \sup F_I(u)] \rangle) : a_k \in A \subseteq E, \qquad u \in U\}.$$

DISTANCE AND SIMILARITY MEASURES BETWEEN INTERVAL VALUED NEUTROSOPHIC SOFT **MULTISETS(IVNSMS)**

In this section, we define distance measures for IVNSMS, like Hamming distance, Normalized hamming distance, Euclidean distance and Normalized euclidean distance along with their axioms and example. Also, Similarity measures for IVNSMS corresponding to the proposed distance measures are constructed. S. Broumi et al.[22] proposed several distance measures, generalized weighted distance measures and similarity measures for Interval neutrosophic soft sets, which can be used in real life applications. We extend it to IVNSMS.

Throughout this paper, $\{U_i: i \in I\}$ be a collection of universes such that $\bigcap_{i \in I} U_i = \emptyset$, $\{E_{U_i}: i \in I\}$ be a collection of sets of parameters, $\mathbf{U} = \prod_{i \in I} \mathbf{IVN}(\mathbf{U}_i)$ and $\mathbf{A} \subseteq \mathbf{E} = \prod_{i \in I} \mathbf{E}_{\mathbf{U}_i}$. Let X = (I, A) and Y = (J, A) be two IVNSMS

$$(I,A) = \{(a_k, \langle [infT_I(u), supT_I(u)], [infI_I(u), supI_I(u)], [infF_I(u), supF_I(u)] \rangle) : a_k \in A \subseteq E, u \in U\}.$$

$$(J,A) = \{ \left(a_k, \left\langle \left[infT_J(u), supT_J(u)\right], \left[infI_J(u), supI_J(u)\right], \left[infF_J(u), supF_J(u)\right] \right\rangle \right) : a_k \in A \subseteq E, u \in U \}.$$

Definition 3.1.

Hamming Distance

$$\begin{aligned} d_H(X,Y) &= \sum_{i=1}^m \left[\sum_{j=1}^{|U_i|} \sum_{k=1}^n \frac{1}{6} \left| inf T_I(a_k) (u_{(i,f)}) - inf T_J(a_k) (u_{(i,f)}) \right| + \right. \\ \left. \left. \left| sup T_I(a_k) (u_{(i,f)}) - sup I_I(a_k) (u_{(i,f)}) - sup I_J(a_k) (u_{(i,f)}) \right| + \left| sup I_I(a_k) (u_{(i,f)}) - sup I_J(a_k) (u_{(i,f)}) \right| + \left| sup I_I(a_k) (u_{(i,f)}) - sup I_J(a_k) (u_{(i,f)}) \right| + \left| sup I_I(a_k) (u_{(i,f)}) - sup I_J(a_k) (u_{(i,f)}) \right| \right], \end{aligned}$$

where $|U_i|$ is no. of elements in the universe U_i , i = 1, 2, ..., m and $(u_{(i,j)})$ is j-th element of the universe U_i .





Jayasudha and Kowsalyaharishanthi

Normalized Hamming Distance

$$d_{nH}(X,Y) = \sum_{i=1}^{m} \left[\sum_{j=1}^{|\tilde{U}_{i}|} \sum_{k=1}^{n} \frac{1}{6n|U_{i}|} | infT_{I}(a_{k})(u_{(i,j)}) - infT_{J}(a_{k})(u_{(i,j)})| \right. \\ + \left. | supT_{I}(a_{k})(u_{(i,j)}) - supT_{J}(a_{k})(u_{(i,j)})| \right. \\ + \left. | infI_{I}(a_{k})(u_{(i,j)}) - infI_{J}(a_{k})(u_{(i,j)})| + | supI_{I}(a_{k})(u_{(i,j)}) - supI_{J}(a_{k})(u_{(i,j)})| \right. \\ + \left. | infF_{I}(a_{k})(u_{(i,j)}) - infF_{J}(a_{k})(u_{(i,j)})| \right. \\ + \left. | supF_{I}(a_{k})(u_{(i,j)}) - supF_{J}(a_{k})(u_{(i,j)})| \right].$$

Euclidean Distance

$$d_{E}(X,Y) = \left(\sum_{i=1}^{m} \left[\sum_{j=1}^{|U_{i}|} \sum_{k=1}^{n} \frac{1}{6} \left| infT_{I}(a_{k}) (u_{(i,j)}) - infT_{J}(a_{k}) (u_{(i,j)}) \right|^{2} + \left| supT_{I}(a_{k}) (u_{(i,j)}) - supT_{J}(a_{k}) (u_{(i,j)}) \right|^{2} + \left| infI_{I}(a_{k}) (u_{(i,j)}) - infI_{J}(a_{k}) (u_{(i,j)}) \right|^{2} + \left| supI_{I}(a_{k}) (u_{(i,j)}) - supI_{J}(a_{k}) (u_{(i,j)}) \right|^{2} + \left| infF_{I}(a_{k}) (u_{(i,j)}) - infF_{J}(a_{k}) (u_{(i,j)}) \right|^{2} + \left| supF_{I}(a_{k}) (u_{(i,j)}) - supF_{J}(a_{k}) (u_{(i,j)}) \right|^{2} \right]^{\frac{1}{2}}.$$

Normalized Euclidean Distance

Normalized Euclidean Distance
$$d_{nE}(X,Y) = \left(\sum_{i=1}^{m} \sum_{j=1}^{|U_i|} \sum_{k=1}^{n} \frac{1}{6n|U_i|} |infT_I(a_k)(u_{(i,j)}) - infT_J(a_k)(u_{(i,j)})|^2 + |supT_I(a_k)(u_{(i,j)}) - supT_J(a_k)(u_{(i,j)})|^2 + |supI_I(a_k)(u_{(i,j)}) - supI_J(a_k)(u_{(i,j)})|^2 + |supI_I(a_k)(u_{(i,j)}) - supI_J(a_k)(u_{(i,j)})|^2 + |supI_I(a_k)(u_{(i,j)}) - infF_J(a_k)(u_{(i,j)})|^2 + |supF_I(a_k)(u_{(i,j)}) - infF_J(a_k)(u_{(i,j)})|^2 + |supF_I(a_k)(u_{(i,j)}) - supF_J(a_k)(u_{(i,j)})|^2$$

Proposition 3.2. Let d(X, Y) be distance measure between two IVNSMS X=(I,A) and Y=(J,A). Then

- 1. $d(X,Y) \ge 0$.
- 2. d(X,Y) = d(Y,X).
- 3. d(X,Y) = 0 if and only if X=Y.
- $4. \quad d(X,Y) + d(Y,Z) \ge d(X,Z).$

Proof: The proof is straightforward from Definition 3.1.

Definition 3.3. A generalized weighted distance between X and Y is defined as, for $\lambda \geq 0$, $d_{\lambda}(X,Y) = \sum_{l=1}^{m} \left[\frac{1}{6}\sum_{j=1}^{|U_{l}|}\sum_{k=1}^{n}w_{(i,j)}\Big|infT_{l}(a_{k})\big(u_{(i,j)}\big) - infT_{j}(a_{k})\big(u_{(i,j)}\big)\Big|^{\lambda} + |supT_{l}(a_{k})\big(u_{(i,j)}\big) - supT_{j}akui, j, \lambda + inf||akui, j - sup||akui, infFlakui,j-infFJakui,j\+supFlakui,j-1)

and the Normalized generalized weighted distance is defined as





Jayasudha and Kowsalyaharishanthi

$$d_{\lambda}^{n}(X,Y) = \sum_{l=1}^{m} \left[\frac{1}{6|U_{l}|} \sum_{j=1}^{|U_{l}|} \sum_{k=1}^{n} w_{(i,j)} \left| infT_{I}(a_{k}) \left(u_{(i,j)}\right) - infT_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} + \left| supT_{I}(a_{k}) \left(u_{(i,j)}\right) - supT_{J}(a_{k}) \left(u_{(i,j)}\right) - supI_{J}(a_{k}) \left(u_{(i,j)}\right) - supI_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} + \left| infF_{I}(a_{k}) \left(u_{(i,j)}\right) - infF_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} + \left| supF_{I}(a_{k}) \left(u_{(i,j)}\right) - supI_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} + \left| infF_{I}(a_{k}) \left(u_{(i,j)}\right) - infF_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} + \left| supF_{I}(a_{k}) \left(u_{(i,j)}\right) - supF_{J}(a_{k}) \left(u_{(i,j)}\right) \right|^{\lambda} \right]^{\frac{1}{\lambda}}.$$

$$(2)$$
Note 3.1. If the weight vector of $\left(u_{(i,j)}\right)$ is $w_{(i,j)} = \left\{ \left(\frac{1}{n}, \frac{1}{n}, \frac{1}{n}, \dots, \frac{1}{n}\right) \right\}$ and $\lambda = 1$ then equation (1) &(2) is reduced to Hamming distance and Normalized Hamming distance. And if $\lambda = 2$ then equation (1) &(2) is reduced to Euclidean

Hamming distance and Normalized Hamming distance. And if $\lambda = 2$ then equation (1) &(2) is reduced to Euclidean distance and Normalized Euclidean distance.

Definition 3.4. Similarity measures corresponding to the distance measures in Definition 3.1 are defined as follows:

- $S_H(X,Y) = \frac{1}{1+d_H(X,Y)}$ and $S_E(X,Y) = \frac{1}{1+d_E(X,Y)}$. $S_{nH}(X,Y) = \frac{1}{1+d_{nH}(X,Y)}$ and $S_{nE}(X,Y) = \frac{1}{1+d_{nE}(X,Y)}$.

Proposition 3.5.Let $S_M(X,Y)$ be similarity measure between two IVNSMSX=(I,A) and Y=(J,A). Then

- 1. $0 \leq S_M(X, Y) \leq 1$.
- 2. $S_M(X,Y) = S_M(Y,X)$.
- 3. $S_M(X, Y) = 1$ if and only if X = Y.

Proof: The proof is clear from Definition 3.4.

```
Example 3.6. Assume that (I,A) and (J,A) are two IVNSMS defined as follow
 (I,A) = \{(a_1, (\{(0.4, 0.6), [0.5, 0.6], [0.3, 0.4])/u_{(1,1)}\}, \{([0.7, 0.8], [0.3, 0.5], [0.2, 0.3])/u_{(1,2)}\}, \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.2, 0.3])/u_{(1,2)}), \{([0.7, 0.8], [0.2, 0.3], [0.
\{\langle [0.8,0.9], [0.2,0.3], [0.2,0.3] \rangle / u_{(1.3)} \}\}, (\{\langle [0.5,0.7], [0.5,0.6], [0.3,0.4] \rangle / u_{(2.1)} \}\}
\{\langle [0.4, 0.7], [0.5, 0.5], [0.6, 0.7] \rangle / u_{(2.2)} \}\}, (\{\langle [0.8, 0.9], [0.2, 0.3], [0.4, 0.6] \rangle / u_{(3.1)} \}\}
\{\langle [0.6,0.7], [0.5,0.8], [0.2,0.4] \rangle / u_{(3,2)} \} \rangle, (a_2, (\{\langle [0.5,0.7], [0.3,0.5], [0.6,0.7] \rangle / u_{(1,1)} \}, (a_3,0.5), (a_4,0.5), (a_5,0.7), (a_5,0.7), (a_7,0.5), (a_7,0.7), (a_7,0
\{\langle [0.5,0.8], [0.6,0.7], [0.2,0.3] \rangle / u_{(1,2)} \}, \{\langle [0.6,0.8], [0.2,0.3], [0.4,0.5] \rangle / u_{(1,3)} \}),
 (\{\langle [0.8,0.9], [0.2,0.3], [0.1,0.2] \rangle / u_{(2,1)}\}, \{\langle [0.2,0.4], [0.1,0.2], [0.7,0.9] \rangle / u_{(2,2)}\}), (\{\langle [0.1,0.3], [0.1,0.3], [0.7,0.9] \rangle / u_{(3,1)}\}, \{\langle [0.2,0.4], [0.2,0.4], [0.2,0.2], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2,0.4], [0.2
\{\langle [0.7,0.8], [0.2,0.3], [0.4,0.5] \rangle / u_{(3.2)} \})\}.
 (J,A) = \{(a_1, (\{(0.5, 0.6), [0.2, 0.4], [0.4, 0.5])/u_{(1,1)}\}, \{([0.4, 0.5], [0.1, 0.3], [0.4, 0.6])/u_{(1,2)}\}, \{([0.4, 0.5], [0.4, 0.6], [0.4, 0.6])/u_{(1,2)}\}, \{([0.4, 0.5], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4, 0.6], [0.4,
 \{\langle [0.6,0.8], [0.4,0.5], [0.2,0.4] \rangle / u_{(1,3)} \}), (\{\langle [0.5,0.7], [0.6,0.7], [0.4,0.6] \rangle / u_{(2.1)} \},
 \{\langle [0.7,0.8], [0.2,0.3], [0.4,0.5] \rangle / u_{(2,2)} \}), (\{\langle [0.1,0.2], [0.5,0.6], [0.8,0.9] \rangle / u_{(3,1)} \},
 \{\langle [0.5,0.8], [0.2,0.5], [0.1,0.2] \rangle / u_{(3,2)} \} \}, (a_2, (\{\langle [0.6,0.7], [0.5,0.7], [0.2,0.3] \rangle / u_{(1,1)} \}, (a_3, (\{\langle [0.5,0.8], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.7], [0.5,0.
 \{\langle [0.7, 0.8], [0.1, 0.3], [0.4, 0.5] \rangle / u_{(1,2)} \}, \{\langle [0.3, 0.4], [0.5, 0.6], [0.4, 0.5] \rangle / u_{(1,3)} \}\}
 (\{([0.5,0.6],[0.4,0.5],[0.2,0.3])/u_{(2.1)}\},\{([0.7,0.8],[0.1,0.4],[0.5,0.8])/u_{(2.2)}\}),(\{([0.5,0.6],[0.4,0.6],[0.2,0.4])/u_{(3.1)}\},([0.5,0.6],[0.4,0.6],[0.4,0.6],[0.2,0.4])/u_{(3.1)}\})
\{\langle [0.8,1], [0.2,0.3], [0.1,0.2] \rangle / u_{(3.2)} \})\}.
```

Then their distances are:

- Hamming Distance: $d_H(X, Y) = 2.933$ 1.
- 2. Normalized hamming distance: $d_{nH}(X,Y) = 0.639$
- Euclidean distance: $d_E(X,Y) = 0.836$ 3.
- Normalized euclidean distance: $d_{nE}(X,Y) = 0.267$.

Their corresponding similarity measures are:

- 1. $S_H(X,Y) = 0.254$
- 2. $S_{nH}(X,Y) = 0.610$
- 3. $S_E(X,Y) = 0.545$
- $S_{nE}(X,Y) = 0.789.$

TOPSIS FOR MCDM WITH IVNSMS INFORMATION





Jayasudha and Kowsalyaharishanthi

Let $U_{t \in I}U_t$ be the collection of universes which contains collection of possible alternatives, let $A = \{a_1, a_2, ..., a_k\}$ be the set of parameters under consideration and $D = (D_1, D_2, ..., D_r)$ be the set of \mathbf{r} decision makers who establish the weight vector of the attributes $\mathbf{w} = (w_1, w_2, ..., w_n)^T$ with $\sum_{k=1}^n w_k = \mathbf{1}$ and $\mathbf{0} \le w_k \le \mathbf{1}$. The set (I, A) represents the performance of alternatives according to the parameters. Therefore, we proposed the following methodology by using Hamming distance to solve IVNSMS problems based on TOPSIS method.

Step 1: Framing the Decision matrix with IVNSM-sets.

Alternatives rating according to the choice parameter is represented by **IVNSMS**(**I**), **A**) and they can be represented in matrix form as follows:

$$D = \begin{bmatrix} d_{(1,1)1} & (d_{(1,1)2}) & \cdots & (d_{(1,1)k}) \\ \vdots & \vdots & \cdots & \vdots \\ (d_{(1,m_1)1}) & (d_{(1,m_1)2}) & \cdots & (d_{(1,m_1)k}) \\ (d_{(2,1)1}) & (d_{(2,1)2}) & \cdots & (d_{(2,1)k}) \\ \vdots & \vdots & \cdots & \vdots \\ (d_{(2,m_2)1}) & (d_{(2,m_2)2}) & \cdots & (d_{(2,m_2)k}) \\ \vdots & \vdots & \cdots & \vdots \\ (d_{(i,1)1}) & (d_{(i,1)2}) & \cdots & (d_{(i,1)k}) \\ \vdots & \vdots & \cdots & \vdots \\ (d_{(i,m_l)1}) & (d_{(i,m_l)2}) & \cdots & (d_{(i,m_l)k}) \end{bmatrix}_{m \times n}$$

Here

$$d_{(i,j)k} = \langle [\inf T_I(u_{(i,j)}), \sup T_I(u_{(i,j)})], [\inf I_I(u_{(i,j)}), \sup I_I(u_{(i,j)})], [\inf F_I(u_{(i,j)}), \sup F_I(u_{(i,j)})] \rangle, \ k = 1, 2, \dots, n, (i,j) = \sum_{i \in I} |U_i| = m \text{ and } |U_i| = m_i.$$

Step 2: Determine the weights of the parameters.

In this process, we have to determine the weights of attributes which is unknown and not same. Decision makers select the Linguistic weighting variable for each attribute which is expressed as an Interval valued neutrosophic set. Then we have to find the threshold interval-valued neutrosophic set for each attribute and use the score function to find the score value. Now, calculate the weightage by the following equation:

$$w_k = \frac{S_F(a_k)}{\sum_k S_F(a_k)}$$
 and $\sum_{k=1}^n w_k = 1\#(3)$

Step 3: Formation of Weighted decision matrix.

Let us construct weighted decision matrix by

$$X = w_k \cdot D = [w_k \cdot d_{(i,j)k}]_{m \times n} = [x_{(i,j)k}]_{m \times n}$$

where

$$w_k \cdot d_{(i,j)k} = w_k \cdot \langle [\inf T_I(u_{(i,j)}), \sup T_I(u_{(i,j)})], [\inf I_I(u_{(i,j)}), \sup I_I(u_{(i,j)})], [\inf F_I(u_{(i,j)}), \sup F_I(u_{(i,j)})] \rangle$$

and
$$m = \sum_{i \in I} |U_i|$$
, $n = 1, 2, \dots, k$.

Step 4: Positive ideal Solution and Negative ideal solution.

In general, parameters are classified as positive ideal solution and negative ideal solution according to benefit type (α_1) and cost type (α_2) . Let $d_k^{w^+}$ and $d_k^{w^-}$ be interval valued neutrosophic soft multi positive ideal solution (IVNSMPIS) and Interval valued neutrosophic soft multi negative ideal solution (IVNSMNIS). It can be defined as: (i). $d_k^{w^+} = (d_{U_1,k}^{w^+}, d_{U_2,k}^{w^+}, \cdots, d_{U_l,k}^{w^+})$ where





Jayasudha and Kowsalyaharishanthi

 $\begin{aligned} & d_{U_{t}k}^{w^{+}} = \langle \left[\max_{i,j} \{ \inf T_{I_{k}} x_{(i,j)} \}, \max_{i,j} \{ \sup T_{I_{k}} x_{(i,j)} \} \right], \left[\min_{i,j} \{ \inf I_{I_{k}} x_{(i,j)} \}, \min_{i,j} \{ \sup I_{I_{k}} x_{(i,j)} \} \right], \\ \left[\min_{i,j} \{ \inf F_{I_{k}} x_{(i,j)} \}, \min_{i,j} \{ \sup F_{I_{k}} x_{(i,j)} \} \right] \rangle. \text{ Here } k \in \alpha_{1} \text{ and } k = 1, 2, \cdots, n. \end{aligned}$

 $\begin{aligned} & d_{U_i,k}^{w^+} = \big\langle \big[\min_{i,j} \{ \inf T_{I_k} x_{(i,j)} \}, \min_{i,j} \{ \sup T_{I_k} x_{(i,j)} \} \big], \big[\max_{i,j} \{ \inf I_{I_k} x_{(i,j)} \}, \max_{i,j} \{ \sup I_{I_k} x_{(i,j)} \} \big], \\ & \big[\max_{i,j} \{ \inf F_{I_k} x_{(i,j)} \}, \max_{i,j} \{ \sup F_{I_k} x_{(i,j)} \} \big] \big\rangle. \text{ Here } k \in \alpha_2 \text{ and } k = 1, 2, \cdots, n. \end{aligned}$

(ii).
$$m{d}_k^{w^-} = \left(m{d}_{U_1,k}^{w^-}, m{d}_{U_2,k}^{w^-}, \cdots, m{d}_{U_l,k}^{w^-}\right)$$
 where

 $\begin{aligned} & d_{U_{l},k}^{w^{-}} = \big\langle \big[\min_{i,j} \{ \inf T_{I_{k}} x_{(i,j)} \}, \min_{i,j} \{ \sup T_{I_{k}} x_{(i,j)} \} \big], \big[\max_{i,j} \{ \inf I_{I_{k}} x_{(i,j)} \}, \max_{i,j} \{ \sup I_{I_{k}} x_{(i,j)} \} \big], \\ \big[\max_{i,j} \{ \inf F_{I_{k}} x_{(i,j)} \}, \max_{i,j} \{ \sup F_{I_{k}} x_{(i,j)} \} \big] \big\rangle. \text{ Here } k \in \alpha_{1} \text{ and } k = 1, 2, \cdots, n. \end{aligned}$

 $\begin{aligned} & d_{U_{t}k}^{w^{-}} = \langle \left[\max_{i,j} \{ \inf T_{I_{k}} x_{(i,j)} \}, \max_{i,j} \{ \sup T_{I_{k}} x_{(i,j)} \} \right], \left[\min_{i,j} \{ \inf I_{I_{k}} x_{(i,j)} \}, \min_{i,j} \{ \sup I_{I_{k}} x_{(i,j)} \} \right], \\ \left[\min_{i,j} \{ \inf F_{I_{k}} x_{(i,j)} \}, \min_{i,j} \{ \sup F_{I_{k}} x_{(i,j)} \} \right] \rangle. \text{ Here } k \in \alpha_{2} \text{ and } k = 1, 2, \cdots, n. \end{aligned}$

Step 5: Calculate the distance between *X* and IVNSMPIS, *X* and IVNSMNIS.

Now, we have to find the hamming distance between alternatives $(x_{(i,j)})$ in X and IVNSMPIS with $d_H(X, d_k^{w^+})$.

$$d_{H}\left(\left(x_{(i,j)}\right), d_{k}^{w^{+}}\right) = \sum_{i=1}^{m} \left[\sum_{j=1}^{|U_{i}|} \sum_{k=1}^{n} \frac{1}{6} \left| infT_{X}(a_{k})(x_{(i,j)}) - infT_{d_{k}^{w^{+}}}(a_{k})(x_{(i,j)}) \right| + \left| supT_{X}(a_{k})(u_{(i,j)}) - supT_{d_{k}^{w^{+}}}(a_{k})(u_{(i,j)}) \right| + \left| infI_{X}(a_{k})(u_{(i,j)}) - infT_{d_{k}^{w^{+}}}(a_{k})(u_{(i,j)}) \right| + \left| supI_{X}(a_{k})(u_{(i,j)}) - supI_{d_{k}^{w^{+}}}(a_{k})(u_{(i,j)}) \right| + \left| supF_{X}(a_{k})(u_{(i,j)}) - supI_{d_{k}^{w^{+}}}(a_{k})(u_{(i,j)}) \right| + \left| supF_{X}(a_{k})(u_{(i,j)}) - supF_{d_{k}^{w^{+}}}(a_{k})(u_{(i,j)}) \right|,$$

$$(4)$$

Similarly,

$$d_{H}\left(\left(x_{(i,j)}\right), d_{k}^{w^{-}}\right) = \sum_{i=1}^{m} \left[\sum_{j=1}^{|U_{i}|} \sum_{k=1}^{n} \frac{1}{6} \left| infT_{X}(a_{k})\left(x_{(i,j)}\right) - infT_{d_{k}^{w^{-}}}(a_{k})\left(x_{(i,j)}\right) \right| \right. \\ + \left. \left| supT_{X}(a_{k})\left(u_{(i,j)}\right) - supT_{d_{k}^{w^{-}}}(a_{k})\left(u_{(i,j)}\right) \right| \\ + \left| infI_{X}(a_{k})\left(u_{(i,j)}\right) - infI_{d_{k}^{w^{-}}}(a_{k})\left(u_{(i,j)}\right) \right| \\ + \left| supI_{X}(a_{k})\left(u_{(i,j)}\right) - supI_{d_{k}^{w^{-}}}(a_{k})\left(u_{(i,j)}\right) \right| \\ + \left| infF_{X}(a_{k})\left(u_{(i,j)}\right) - infF_{d_{k}^{w^{-}}}(a_{k})\left(u_{(i,j)}\right) \right| \\ + \left| supF_{X}(a_{k})\left(u_{(i,j)}\right) - supF_{d_{k}^{w^{-}}}(a_{k})\left(u_{(i,j)}\right) \right| \right], \tag{5}$$

Step 6: Calculate the Relative closeness coefficient:

The relative closeness coefficient of combination of each alternative $(x_{(i,j)})$ in X is calculated with

$$RCC_{(x_{(i,j)})} = \frac{d_H((x_{(i,j)}), d_k^{w^+})}{d_H((x_{(i,j)}), d_k^{w^+}) + d_H((x_{(i,j)}), d_k^{w^-})} \#(6)$$

Step 7: Rank of Alternatives

Use the $RCC_{(x_{(t,j)})}$ to rank the alternatives in ascending order and find the best alternatives from the given universes.

A NUMERICAL APPLICATION

In this section, we solve a real-life situation to show the efficaciousness of the proposed TOPSIS approach.





Jayasudha and Kowsalyaharishanthi

Example 5.1. Suppose that a student **X** wants to choose an educational institution, an affordable accommodation to stay peacefully and a part-time job to pursue his higher education with his own revenue. Let (I,A) be an IVNSMS which describes "educational institutions", "accommodations" and "part-time jobs" respectively that Student **X** is considering to choose a good educational institution, an affordable accommodation to stay, and a part-time job that does not affect his studies. Let $U_1 = \{u_{(1,1)} = \text{University}, u_{(1,2)} = \text{Government institution}, u_{(1,3)} = \text{Aided institution}, u_{(1,4)} = \text{Private self finance institution} \}$ be the universe for educational institutions, $U_2 = \{u_{(2,1)} = \text{College hostel}, u_{(2,2)} = \text{Private hostel}, u_{(2,3)} = PG\}$ be the universe for accommodation and $U_3 = \{u_{(3,1)} = \text{Tutor}, u_{(3,2)} = \text{Library assistant}, u_{(3,3)} = \text{Shop assistant}, u_{(3,4)} = \text{Bank teller} / \text{Cashier} \}$ be the universe for part-time jobs. Let $\{E_{U_1}, E_{U_2}, E_{U_3}\}$ be a collection of parameters which describes above universes, where

 $E_{U_1} = \{e_{U_1,1} = \text{nice campus atmosphere}, e_{U_1,2} = \text{affordable fee structure}, e_{U_1,3} = \text{best career opportunity}, e_{U_1,4} = \text{good research environment}\}$

 $E_{U_2} = \{e_{U_2,1} = \text{reasonable fee}, e_{U_2,2} = \text{hygienic atmosphere}, e_{U_2,3} = \text{taste & nutritious food}\}$

 $E_{U_3} = \{e_{U_3,1} = 60 \text{ hour working, } e_{U_3,2} = \text{good salary } \}.$

Let
$$U = \prod_{i=1}^{3} IVN(U_i)$$
, $E = \prod_{i=1}^{3} E_{U_i}$ and $A \subseteq E$ such that $A = \{a_1 = (e_{U_1,1}, e_{U_2,2}, e_{U_3,1}), a_2 = (e_{U_1,2}, e_{U_2,1}, e_{U_3,2}), a_3 = (e_{U_1,4}, e_{U_2,3}, e_{U_3,1}), a_4 = (e_{U_1,3}, e_{U_2,2}, e_{U_3,2}), a_5 = eV1,4,eV2,1,eV3,1,a6 = eV1,3,eV3,2,eV3,2$.

Let E_1 , E_2 be two well wishers (experts) of Student X, who gives some advice to Student X to lead his career. Now Student X wants to make a choice of combination for his future, from the set of choice parameters. The final assessment of Student X in the form of interval valued neutrosophic numbers is represented by the **IVNSMS**(I, A) in Table 1.

Step 1: Framing the Decision matrix.

We present the IVNSMS (I, A) in the tabular form:

Step 2: Determine the weights of the parameters.

To evaluate the importance of the attributes, the well wishers E_1 and E_2 gave interval valued neutrosophic numbers to the attributes as shown in the table.

Now, we have to find a threshold interval-valued neutrosophic set $\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}$ to the attributes in A by using avglevel decision rule;

```
\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_1) = \langle [0.45, 0.55], [0.25, 0.35], [0.5, 0.6] \rangle
```

$$\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_2) = \langle [0.8, 0.95], [0.2, 0.3], [0.1, 0.2] \rangle$$

$$\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_3) = \langle [0.65, 0.8], [0.25, 0.4], [0.25, 0.35] \rangle$$

$$\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_4) = \langle [0.45, 0.55], [0.5, 0.6], [0.5, 0.6] \rangle$$

$$\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_5) = \langle [0.25, 0.35], [0.65, 0.8], [0.4, 0.45] \rangle$$

$$\langle \alpha, \beta, \gamma \rangle_{(A)}^{avg}(a_6) = \langle [0.75, 0.9], [0.2, 0.35], [0.25, 0.4] \rangle$$

Now, we shall use the score function in Definition 2.5 to get the score of each alternatives. $S_F(a_1) = 1.65, S_F(a_2) = 2.4750, S_F(a_3) = 2.1000, S_F(a_4) = 1.4000, S_F(a_5) = 1.1500$, $S_F(a_6) = 2.2250$ Calculate weight alternatives by using equation (3).

 $w_1 = 0.1500, w_2 = 0.2250, w_3 = 0.1909, w_4 = 0.1273, w_5 = 0.1045, w_6 = 0.2023, \text{ where } \sum_{k=1}^6 = 1.$

Step 3: Formation of weighted decision matrix.

Step 4: Positive ideal Solution and Negative ideal solution.

The IVNSMPIS $(d_k^{w^+})$ and IVNSMNIS $(d_k^{w^-})$ can be attained from the weighted decision matrix (see Table 3.) given in Table 4 and Table 5:





Jayasudha and Kowsalyaharishanthi

Step 5: Calculate the distance between *X* and IVNSMPIS, *X* and IVNSMNIS.

The hamming distance measure of each alternative $(x_{(i,j)})$ in X from IVNSMPIS are calculated by employing Eq.(4) as shown in Table 6:

The hamming distance measures of each alternative $(x_{(i,j)})$ in X from the IVNSMNIS are calculated by using Eq.(5) as given in Table: 7.

Step 6: Calculate the Relative closeness coefficient:

We compute the relative closeness co-efficient $RCC_{((i,j))}$ by employing Eq. (6) as shown in Table: 8.

Step 7: Rank of Alternatives

When we rank the combination of alternatives by relative closeness co-efficient, we get $(u_{(1,1)},u_{(2,2)},u_{(3,4)})<(u_{(1,1)},u_{(2,2)},u_{(3,2)})<(u_{(1,1)},u_{(2,3)},u_{(3,4)})<(u_{(1,1)},u_{(2,3)},u_{(3,2)})<(u_{(1,1)},u_{(2,2)},u_{(3,1)}).$ Consequently, Student X will choose University for his higher studies, stay in a private hostel and work as a Bank teller/Cashier for his financial support.

CONCLUSION

Interval valued neutrosophic soft multiset is a more effective tool for describing objects in inconsistent and indeterminate situations, which is a generalization of interval valued neutrosophic soft sets. In this paper, we define distance and similarity measures between IVNSMS with their properties and examples. Further, by employing the proposed Hamming distance we extend the TOPSIS method to IVNSMS. Finally, we resolved a real life problem with the help of the proposed TOPSIS algorithm, and an optimal decision was obtained.

REFERENCES

- 1. L. Zadeh, "Fuzzy sets," Information and Control, 8, pp. 338-353, (1965).
- 2. K. Atanassov, "Intuitionistic fuzzy sets," Fuzzy Sets and Systems, vol. 20, pp. 87-96, 1986.
- 3. K. Atanassov, "Interval Valued Intuitionistic Fuzzy Sets," Intuitionistic fuzzy sets: Theory and Applications, pp. 139-177, 1999.
- 4. F. Smarandache, "Neutrosophic set A Generalization of the Intuitionistic Fuzzy Set," International Journal of Pure and Applied Mathematics, vol. 24 (3), pp. 287-297, 2005.
- 5. H. Wang, F. Smarandache, Y. Zhang, and R. Sunder-raman, Interval Neutrosophic Sets and Logic: Theory and Applications in Computing, Hexis, Phoenix, AZ, 2005.
- 6. D. Molodtsov, "Soft set theory-first results," Computers and Mathematics with Applications, vol. 37 (4/5), pp. 19-31, 1999.
- 7. N. Çağman and S. Enginoğlu, "Soft matrix theory and its decision making," Computer and Mathematics with Application, vol. 59, pp. 3308-3314, 2010.
- P.K. Maji, R. Biswas and A.R.Roy, "Fuzzy Soft sets," Journal of Fuzzy Mathematics, vol. 9, no. 3, pp. 589-602, 2001.
- 9. P.K. Maji, R. Biswas and A.R.Roy, , "Intuitionistic Fuzzy Soft sets," Journal of Fuzzy Mathematics, vol. 9, pp. 677-692, 2001.
- 10. Y. Jiang, Y. Tang, Q. Chen, H. Liu and J. Tang, "Interval Valued Intuitionistic Fuzzy Soft Sets And Their Properties," Computers & Mathematics with Applications, vol. 60, no. 3, pp. 906-918, 2010.
- 11. P. Maji, "Neutrosophic soft set," Annals of Fuzzy Mathematics and Informatics, vol. 5, no. 1, pp. 157-168, 2013.
- 12. I. Deli, "Interval-valued neutrosophic soft sets and its decision making .," 2017. [Online]. Available: http://arxiv.org/abs/1402.3130.
- 13. S. Alkhazaleh, A. R. Salleh and N. Hassan, , "Soft Multisets Theory," Applied Mathematical Sciences, vol. 5 (72), pp. 3561-3573, 2011.





Jayasudha and Kowsalyaharishanthi

- 14. K.V. Babitha and S.J. John, , "On soft multi sets," Annals of Fuzzy Mathematics and Informatics, vol. 5 (1), pp. 35-44, 2013.
- 15. H.M. Balami and A.M. Ibrahim, "Soft Multiset and its Application in Information System," International Journal of scientific research and management, vol. 1 (9), pp. 471-482, 2013.
- 16. P. Majumdar, "Soft multisets," J. Math. Comput. Sci., vol. 2 (6), pp. 1700-1711, 2012.
- 17. T.J. Neog and D.K. Sut, "On Soft Multisets Theory," International Journal of Advanced Computer and Mathematical Sciences, vol. 3, pp. 295-304, 2012.
- 18. S. Alkhazaleh and A. R. Salleh, "Fuzzy soft Multiset theory," Abstract and Applied Analysis, 2012. [Online]. Available: Doi:10.1155/2012/350603.
- 19. I. Deli, S. Broumi and M. Ali, "Neutrosophic Soft Multiset Theory and Its Decision Making," Neutrosophic sets and system, vol. 5, pp. 65-76, 2014.
- 20. A. Al-Quran and N. Hassan, "Neutrosophic Vague Soft Multiset for Decision Under Uncertainty," Songklanakarin J. Sci. Technol., vol. 40 (2), pp. 290-305, 2018.
- 21. C. Granados, A.K. Das and B.O. Osu, "Weighted Neutrosophic Soft Multiset and Its Application to Decision Making," Yugoslav Journal of Operations Research, [Online]. Available: https://doi.org/10.2298/YJOR220915034G.
- 22. S. Broumi, I. Deli and F. Smarandache, "Distance and Similarity Measures of Interval Neutrosophic Soft Sets," in Proceedings of the 17th International Confrence on Information Fusion, Salamance, Spain, 2014.
- 23. A. Mukherjee and S. Sarkar, "Several Similarity Measures of Interval Valued Neutrosophic Soft Sets And Their Application in Pattern Recognition Problems," Neutrosophic Sets and System, vol. 6, pp. 55-61, 2014.
- 24. Hwang, C.L., and Yoon, "K., Multiple Attribute Decision Making Method and application," Heidelberg Verlag Berlin: Springer, 1981.
- 25. C. Chen, "Extension of the TOPSIS for Group Decision Making Under Fuzzy Environment," Fuzzy Sets and System, vol. 114, pp. 1-9, 2000.
- 26. S. Saghafian and Hejazi S.R., "Multi-criteria Group Decision Making Using a Modified TOPSIS Procedure," IEEE, vol. 2, pp. 215-221, 2005.
- 27. Ashtiani B., Haghighirad F. and Makui A., "Extension of Fuzzy TOPSIS Method Based On Interval-valued Fuzzy Sets," Applied Soft Computing, vol. 9, pp. 457-461, 2009.
- 28. F. Jin, P.D. Liu and X. Zhang, "Evaluation Study of Human Resource Based On Intuitionistic Fuzzy Set And TOPSIS Method," Journal of Information and Computational Science, vol. 4, pp. 1023-1028, 2007.
- 29. A.K. Verma, R. Verma and N.C. Mahanti, "Facility Location Selection: An Interval Valued Intuitionistic Fuzzy TOPSIS Approach," Journal of Modern Mathematics and Statistics, vol. 4, pp. 68-72, 2010.
- 30. Pingping Chi and Peide Liu, "An Extended TOPSIS Method For The Multiple Attributes Decision Making Problems Based On Interval Neutrosophic Set," Neutrosophic Sets and Systems, vol. 1, 2009.
- 31. J. Jayasudha and C. Kowsalyaharishanthi, "Interval-valued Neutrosophic Soft Multisets," Submitted.
- 32. P. Liu and Xinli You, "Interval neutrosophic Muirhead mean operators and their application in multiple attribute group decision-making," International Journal for Uncertainty Quantification, vol. 7 (4), 2017.

Table 1: Tabular representation of Decision matrix

Ui	a ₁	a ₂	a_3
$u_{(1,1)}$	[0.7,0.9],[0.2,0.3],[0.1,0.2]	[0.8,0.9],[0.2,0.3],[0.1,0.2]	[0.8,0.9],[0.3,0.3],[0.1,0.3]
$u_{(1,2)}$	[0.8,0.9],[0.3,0.3],[0.5,0.6]	[0.7,0.8],[0.3,0.3],[0.5,0.6]	[0.4,0.6],[0.3,0.3],[0.5,0.6]
$u_{(1,3)}$	[0.5,0.7],[0.4,0.5],[0.2,0.4]	[0.6,0.7],[0.4,0.5],[0.2,0.4]	[0.6,0.7],[0.4,0.5],[0.2,0.4]
$u_{(1,4)}$	[0.7,0.8],[0.4,0.5],[0.3,0.5]	[0.5,0.6],[0.2,0.5],[0.3,0.5]	[0.7,0.8],[0.4,0.5],[0.3,0.6]
$u_{(2,1)}$	[0.5,0.6],[0.2,0.4],[0.1,0.3]	[0.4,0.6],[0.5,0.6],[0.1,0.3]	[0.4,0.6],[0.5,0.6],[0.1,0.3]
$u_{(2,2)}$	[0.6,0.7],[0.5,0.6],[0.4,0.5]	[0.7,0.9],[0.2,0.4],[0.1,0.2]	[0.8,0.9],[0.2,0.4],[0.3,0.5]
$u_{(2,3)}$	[0.8,0.9],[0.2,0.4],[0.3,0.5]	[0.4,0.6],[0.4,0.5],[0.4,0.5]	[0.5,0.7],[0.5,0.5],[0.3,0.5]
$u_{(3,1)}$	[0.8,0.9],[0.2,0.4],,[0.1,0.3]	[0.7,0.9],[0.2,0.4],[0.1,0.3]	[0.8,0.9],[0.2,0.4],[0.1,0.3]
$u_{(3,2)}$	[0.7,0.8],[0.5,0.6],[0.4,0.5]	[0.4,0.5],[0.5,0.6],[0.4,0.5]	[0.7,0.8],[0.5,0.6],[0.4,0.5]





Jayasudha and Kowsalyaharishanthi

$u_{(3,3)}$	[0.7,0.8],[0.3,0.5],[0.2,0.3]	[0.8,0.9],[0.2,0.3],[0.1,0.2]	[0.7,0.8],[0.3,0.5],[0.2,0.3]
$u_{(3,4)}$	[0.6,0.8],[0.5,0.6],[0.4,0.5]	[0.6,0.7],[0.3,0.5],[0.4,0.6]	[0.6,0.8],[0.5,0.6],[0.4,0.5]
U_i	a_4	a_5	a_6
$u_{(1,1)}$	[0.5,0.8],[0.2,0.4],[0.3,0.5]	[0.8,0.9],[0.3,0.3],[0.1,0.3]	[0.5,0.8],[0.2,0.4],[0.3,0.5]
$u_{(1,2)}$	[0.8,0.9],[0.3,0.3],[0.5,0.6]	[0.4,0.6],[0.3,0.3],[0.5,0.6]	[0.8,0.9],[0.3,0.3],[0.5,0.6]
$u_{(1,3)}$	[0.6,0.7],[0.4,0.5],[0.2,0.4]	[0.6,0.7],[0.4,0.5],[0.2,0.4]	[0.6,0.7],[0.4,0.5],[0.2,0.4]
$u_{(1,4)}$	[0.4,0.7],[0.4,0.5],[0.2,0.5]	[0.7,0.8],[0.4,0.5],[0.3,0.6]	[0.4,0.7],[0.4,0.5],[0.2,0.5]
$u_{(2,1)}$	[0.5,0.6],[0.2,0.4],[0.1,0.3]	[0.3,0.4],[0.6,0.7],[0.1,0.3]	[0.4,0.6],[0.5,0.6],[0.1,0.3]
$u_{(2,2)}$	[0.6,0.7],[0.5,0.6],[0.4,0.5]	[0.7,0.9],[0.2,0.4],[0.1,0.2]	[0.8,0.9],[0.2,0.4],[0.3,0.5]
$u_{(2,3)}$	[0.8,0.9],[0.2,0.4],[0.3,0.5]	[0.4,0.6],[0.4,0.5],[0.4,0.5]	[0.6,0.7],[0.4,0.4],[0.3,0.5]
$u_{(3,1)}$	[0.7,0.9],[0.2,0.4],,[0.1,0.3]	[0.8,0.9],[0.2,0.4],,[0.1,0.3]	[0.7,0.9],[0.2,0.4],,[0.1,0.3]
$u_{(3,2)}$	[0.4,0.5],[0.5,0.6],[0.4,0.5]	[0.7,0.8],[0.5,0.6],[0.4,0.5]	[0.4,0.5],[0.5,0.6],[0.4,0.5]
$u_{(3,3)}$	[0.8,0.9],[0.2,0.3],[0.1,0.2]	[0.7,0.8],[0.3,0.5],[0.2,0.3]	[0.8,0.9],[0.2,0.3],[0.1,0.2]
$u_{(3,4)}$	[0.6,0.7],[0.3,0.5],[0.4,0.6]	[0.6,0.8],[0.5,0.6],[0.4,0.5]	[0.6,0.7],[0.3,0.5],[0.4,0.6]

Table 1: Tabular representation of linguistic variable

Parameters	$\mathbf{E_1}$	E_2
a_1	[0.5,0.6],[0.3,0.4],[0.4,0.5]	[0.4,0.5],[0.2,0.3],[0.6,0.7]
a_2	[0.9,1.0],[0.1,0.2],[0,0.1]	[0.7,0.9],[0.3,0.4],[0.2,0.3]
a_3	[0.6,0.8],[0.3,0.4],[0.3,0.4]	[0.7,0.8],[0.2,0.4],[0.2,0.3]
a_4	[0.5,0.6],[0.4,0.5],[0.5,0.6]	[0.4,0.5],[0.6,0.7],[0.5,0.6]
a_5	[0.3,0.4],[0.5,0.7],[0.3,0.4]	[0.2,0.3],[0.8,0.9],[0.5,0.5]
a_6	[0.7,0.8],[0.1,0.2],[0.1,0.3]	[0.8,1.0],[0.3,0.5],[0.4,0.5]

Table 3. represents the tabular form of the weighted decision matrix.

U_i	a_1	a_2	a_3
114	[0.105,0.135],[0.03,0.045],[0.015,0.03	[0.18,0.2025],[0.045,0.0675],[0.0225,	[0.1527,0.1718],[0.0573,0.0573],[0.01
$u_{(1,1)}$]	0.045]	91,0.0573]
21.	[0.12,0.135],[0.045,0.045],[0.075,0.09	[0.1575,0.18],[0.0675,0.0675],[0.1125	[0.0764,0.1145],[0.0573,0.0573],[0.09
$u_{(1,2)}$]	,0.135]	55,0.1145]
21	[0.075.0.105] [0.06.0.075] [0.02.0.06]	[0.135,0.1575],[0.09,0.1125],[0.045,0.	[0.1145,0.1336],[0.0764,0.0955],[0.03
$u_{(1,3)}$	[0.075,0.105],[0.06,0.075],[0.03,0.06]	09]	82,0.0764]
116	[0.105,0.12],[0.06,0.075],[0.045,0.075	[0.1125,0.135],[0.045,0.1125],[0.0675	[0.1336,0.1527],[0.0764,0.0955],[0.05
$u_{(1,4)}$]	,0.1125]	73,0.1145]
21	[0.075,0.09],[0.03,0.06],[0.015,0.045]	[0.0675,0.09],[0.135,0.1575],[0.0225,	[0.0764,0.1145],[0.0955,0.1145],[0.01
$u_{(2,1)}$		0.0675]	91,0.0573]
11 (2. 2)	[0.09,0.105],[0.075,0.09],[0.06,0.075]	[0.1575,0.2025],[0.045,0.09],[0.0225,	[0.1527,0.1718],[0.0382,0.0764],[0.05
$u_{(2,2)}$		0.045]	73,0.0955]
11 (2. 2)	10 12 0 1251 [0 02 0 06] [0 045 0 075]	[0.09,0.135],[0.09,0.1125],[0.09,0.112	[0.1145,0.1336],[0.0764,0.0764],[0.05
$u_{(2,3)}$	[0.12,0.135],[0.03,0.06],[0.045,0.075]	5]	73,0.0955]
11(0.4)	[0 12 0 125] [0 02 0 06] [0 015 0 045]	[0.1575,0.2025],[0.045,0.09],[0.0225,	[0.1527,0.1718],[0.0382,0.0764],[0.01
$u_{(3,1)}$	[0.12,0.135],[0.03,0.06],[0.015,0.045]	0.0675]	91,0.0573]
11(0.0)	[0.105.0.10] [0.075.0.00] [0.07.0.075]	[0.09,0.1125],[0.1125,0.135],[0.09,0.1	[0.1336,0.1527],[0.0955,0.1145],[0.07
$u_{(3,2)}$	[0.105,0.12],[0.075,0.09],[0.06,0.075]	125]	64,0.0955]
11(0.0)	[0.105,0.12],[0.045,0.075],[0.03,0.045	[0.18,0.2025],[0.045,0.0675],[0.0225,	[0.1336,0.1527],[0.0573,0.0955],[0.03
$u_{(3,3)}$]	0.045]	82,0.0573]
11(2.4)	[0.09,0.12],[0.075,0.09],[0.06,0.075]	[0.135,0.1575],[0.0675,0.1125],[0.09,	[0.1145,0.1527],[0.0955,0.1145],[0.07
$u_{(3,4)}$	[0.07,0.12],[0.073,0.07],[0.00,0.073]	0.135]	64,0.0955]





Vol.15 / Issue 86/ Oct / 2024

International Bimonthly (Print) – Open Access

ISSN: 0976 – 0997

Jayasudha and Kowsalyaharishanthi

U_i	a_4	a_5	a_6
24.	[0.0636,0.1018],[0.0255,0.0509],[0.03	[0.0836,0.0941],[0.0314,0.0314],[0.01	[0.1011,0.1618],[0.0405,0.0809],[0.06
$u_{(1,1)}$	82,0.0636]	05,0.0314]	07,0.1011]
114>	[0.1018,0.1145],[0.0382,0.0382],[0.06	[0.0418,0.0627],[0.0314,0.0314],[0.05	[0.1618,0.1820],[0.0607,0.0607],[0.10
$u_{(1,2)}$	36,0.0764]	23,0.0627]	11,0.1214]
11(1.0)	[0.0764,0.0891],[0.0509,0.0636],[0.02	[0.0627,0.0732],[0.0418,0.0523],[0.02	[0.1214,0.1416],[0.0809,0.1011],[0.04
$u_{(1,3)}$	55,6	09,0.	05,0.0809]
110.0	[0.0509,0.0891],[0.0509,0.0636],[0.02	[0.0732,0.0836],[0.0418,0.0523],[0.03	[0.0809,0.1416],[0.0809,0.1011],[0.04
$u_{(1,4)}$	55,0.0636]	14,0.0627]	05,0.1011]
11(0.4)	[0.0636,0.0764],[0.0255,0.0509],[0.01	[0.0314,0.0418],[0.0627,0.0732],[0.01	[0.0809,0.1214],[0.1011,0.1214],[0.02
$u_{(2,1)}$	27,0.0382]	05,0.0314]	02,0.0607]
11(0.0)	[0.0764,0.0891],[0.0636,0.0764],[0.05	[0.0732,0.0941],[0.0209,0.0418],[0.01	[0.1618,0.1820],[0.0405,0.0809],[0.06
$u_{(2,2)}$	09,0.0636]	05,0.0209]	07,0.1011]
11(0.0)	[0.1018,0.1145],[0.0255,0.0509],[0.03	[0.0418,0.0627],[0.0418,0.0523],[0.04	[0.1214,0.1416],[0.0809,0.0809],[0.06
$u_{(2,3)}$	82,0.0636]	18,0.0523]	07,0.1011]
11(0.4)	[0.0891,0.1145],[0.0255,0.0509],[0.01	[0.0836,0.0941],[0.0209,0.0418],[0.01	[0.1416,0.1820],[0.0405,0.0809],[0.02
$u_{(3,1)}$	27,0.0382]	05,0.0314]	02,0.0607]
11 ()	[0.0509,0.0636],[0.0636,0.0764],[0.05	[0.0732,0.0836],[0.0523,0.0627],[0.04	[0.0809,0.1011],[0.1011,0.1214],[0.08
$u_{(3,2)}$	09,0.0636]	18,0.0523]	09,0.1011]
11 ()	[0.1018,0.1145],[0.0255,0.0382],[0.01	[0.0732,0.0836],[0.0314,0.0523],[0.02	[0.1618,0.1820],[0.0405,0.0607],[0.02
$u_{(3,3)}$	27,0.0255]	09,0.0314]	02,0.0405]
1100 0	[0.0764,0.0891],[0.0382,0.0636],[0.05	[0.0627,0.0836],[0.0523,0.0627],[0.04	[0.1214,0.1416],[0.0607,0.1011],[0.08
$u_{(3,4)}$	09,0.0764]	18,0.0523]	09,0.1214]

Table 2: Tabular representation of $(d_k^{w^+})$

	The 2: Tabular representation of (a_k)		
U_i	a_1	a_2	a_3
U_1	[0.12.0.125] [0.02.0.045] [0.015.0.02]	[0.18,0.2025],[0.045,0.0675],[0.0225,0.	[0.1527,0.1718],[0.0573,0.0573],[0.019
σ_1	[0.12,0.135],[0.03,0.045],[0.015,0.03]	0450]	1,0.0573]
U_2	[0.12,0.135],[0.03,0.06],[0.015,0.045]	[0.1575,0.2025],[0.045,0.09],[0.0225,0.	[0.1527,0.1718],[0.0382,0.0764],[0.019
02	[0.12,0.133],[0.03,0.00],[0.013,0.043]	045]	1,0.0573]
U_3	[0.12,0.135],[0.075,0.09],[0.06,0.075]	[0.18,0.2025],[0.1125,0.135],[0.09,0.13	[0.1527,0.1718],[0.0955,0.1145],[0.076
03		5]	4,0.0955]
U_i	a_4	a_5	a_6
U_1	[0.1018,0.1145],[0.0255,0.0382],[0.025	[0.0836,0.0941],[0.0314,0.0314],[0.010	[0.1618,0.1820],[0.0405,0.0607],[0.040
σ_1	5,0.0509]	5,0.0314]	5,0.0809]
U_2	[0.1018,0.1145],[0.0255,0.0509],[0.012	[0.0732,0.0941],[0.0209,0.0418],[0.010	[0.1618,0.1820],[0.0405,0.0809],[0.020
σ_2	7,0.0382]	5,0.0209]	2,0.0607]
11	[0.1018,0.1145],[0.0636,0.0764],[0.050	[0.0836,0.0941],[0.0523,0.0627],[0.041	[0.1618,0.1820],[0.1011,0.1214],[0.080
U_3	9,0.0764]	8,0.0523]	9,0.1214]

Table 3: Tabular representation of $(d_k^{w^-})$

U_i	a_1	a_2	a_3
U_1	[0.0750,0.1050],[0.0600,0.0750],[0.07	[0.1125,0.1350],[0.0900,0.1125],[0.11	[0.0764,0.1145],[0.0764,0.0955],[0.09
	50,0.0900]	25,0.1350]	55,0.1145]
U_2	[0.0750,0.0900],[0.0750,0.0900],[0.06	[0.0675,0.0900],[0.1350,0.1575],[0.09	[0.0764,0.1145],[0.0955,0.1145],[0.05
	00,0.0750]	00,0.1125]	73,0.0955]
11	[0.0900,0.1200],[0.0300,0.0600],[0.01	[0.0900,0.1125],[0.0450,0.0675],[0.02	[0.1145,0.1527],[0.0382,0.0764],[0.01
U_3	50,0.0450]	25,0.0450]	91,0.0573]
U_i	a_4	a_5	a_6





Jayasudha and Kowsalyaharishanthi

U_1	[0.0509,0.0891],[0.0509,0.0636],[0.06	[0.0418,0.0627],[0.0418,0.0523],[0.05	[0.0809,0.1416],[0.0809,0.1011],[0.10
	36,0.0764]	23,0.0627]	11,0.1214]
11	[0.0636,0.0764],[0.0636,0.0764],[0.05	[0.0314,0.0418],[0.0627,0.0732],[0.04	[0.0809,0.1214],[0.1011,0.1214],[0.06
U_2	09,0.0636]	18,0.0523]	07,0.1011]
11	[0.0509,0.0636],[0.0255,0.0382],[0.01	[0.0627,0.0836],[0.0209,0.0418],[0.01	[0.0809,0.1011],[0.0405,0.0607],[0.02
U_3	27,0.0255]	05,0.0314]	02,0.0405]

Table 4: Hamming distance between alternatives and IVNSMPIS

Alternatives	d_H^+	Alternatives	d_H^+	Alternatives	d_H^+
$(u_{(1,1)}, u_{(2,1)}, u_{(3,1)})$	0.4258	$(u_{(1,2)}, u_{(2,2)}, u_{(3,1)})$	0.4417	$(u_{(1,3)}, u_{(2,3)}, u_{(3,1)})$	0.5111
$(u_{(1,1)}, u_{(2,1)}, u_{(3,2)})$	0.3387	$(u_{(1,2)}, u_{(2,2)}, u_{(3,2)})$	0.3546	$(u_{(1,3)}, u_{(2,3)}, u_{(3,2)})$	0.4239
$(u_{(1,1)}, u_{(2,1)}, u_{(3,3)})$	0.4277	$(u_{(1,2)}, u_{(2,2)}, u_{(3,3)})$	0.4435	$(u_{(1,3)}, u_{(2,3)}, u_{(3,3)})$	0.5129
$(u_{(1,1)}, u_{(2,1)}, u_{(3,4)})$	0.3277	$(u_{(1,2)}, u_{(2,2)}, u_{(3,4)})$	0.3435	$(u_{(1,3)}, u_{(2,3)}, u_{(3,4)})$	0.4129
$(u_{(1,1)}, u_{(2,2)}, u_{(3,1)})$	0.3170	$(u_{(1,2)}, u_{(2,3)}, u_{(3,1)})$	0.5172	$(u_{(1,4)}, u_{(2,1)}, u_{(3,1)})$	0.5708
$(u_{(1,1)}, u_{(2,2)}, u_{(3,2)})$	0.2299	$(u_{(1,2)}, u_{(2,3)}, u_{(3,2)})$	0.4301	$(u_{(1,4)}, u_{(2,1)}, u_{(3,2)})$	0.4837
$(u_{(1,1)}, u_{(2,2)}, u_{(3,3)})$	0.3188	$(u_{(1,2)}, u_{(2,3)}, u_{(3,3)})$	0.5190	$(u_{(1,4)}, u_{(2,1)}, u_{(3,3)})$	0.5726
$(u_{(1,1)}, u_{(2,2)}, u_{(3,4)})$	0.2188	$(u_{(1,2)}, u_{(2,3)}, u_{(3,4)})$	0.4190	$(u_{(1,4)}, u_{(2,1)}, u_{(3,4)})$	0.4726
$(u_{(1,1)}, u_{(2,3)}, u_{(3,1)})$	0.3925	$(u_{(1,3)}, u_{(2,1)}, u_{(3,1)})$	0.5444	$(u_{(1,4)}, u_{(2,2)}, u_{(3,1)})$	0.4620
$(u_{(1,1)}, u_{(2,3)}, u_{(3,2)})$	0.3054	$(u_{(1,3)}, u_{(2,1)}, u_{(3,2)})$	0.4573	$(u_{(1,4)}, u_{(2,2)}, u_{(3,2)})$	0.3748
$(u_{(1,1)}, u_{(2,3)}, u_{(3,3)})$	0.3943	$(u_{(1,3)}, u_{(2,1)}, u_{(3,3)})$	0.5462	$(u_{(1,4)}, u_{(2,2)}, u_{(3,3)})$	0.4638
$(u_{(1,1)}, u_{(2,3)}, u_{(3,4)})$	0.2943	$(u_{(1,3)}, u_{(2,1)}, u_{(3,4)})$	0.4462	$(u_{(1,4)}, u_{(2,2)}, u_{(3,4)})$	0.3638
$(u_{(1,2)}, u_{(2,1)}, u_{(3,1)})$	0.5505	$(u_{(1,3)}, u_{(2,2)}, u_{(3,1)})$	0.4356	$(u_{(1,4)}, u_{(2,3)}, u_{(3,1)})$	0.5375
$(u_{(1,2)}, u_{(2,1)}, u_{(3,2)})$	0.4634	$(u_{(1,3)},u_{(2,2)},u_{(3,2)})$	0.3484	$(u_{(1,4)}, u_{(2,3)}, u_{(3,2)})$	0.4503
$(u_{(1,2)}, u_{(2,1)}, u_{(3,3)})$	0.5523	$(u_{(1,3)}, u_{(2,2)}, u_{(3,3)})$	0.4374	$(u_{(1,4)}, u_{(2,3)}, u_{(3,3)})$	0.5393
$(u_{(1,2)}, u_{(2,1)}, u_{(3,4)})$	0.4523	$(u_{(1,3)}, u_{(2,2)}, u_{(3,4)})$	0.3374	$(u_{(1,4)}, u_{(2,3)}, u_{(3,4)})$	0.4393

Table 5: Hamming distance between alternatives and IVNSMNIS

Alternatives	d_H^-	Alternatives	d_H^-	Alternatives	d_H^-
$(u_{(1,1)}, u_{(2,1)}, u_{(3,1)})$	0.4405	$(u_{(1,2)}, u_{(2,2)}, u_{(3,1)})$	0.4246	$(u_{(1,3)}, u_{(2,3)}, u_{(3,1)})$	0.3553
$(u_{(1,1)}, u_{(2,1)}, u_{(3,2)})$	0.5276	$(u_{(1,2)}, u_{(2,2)}, u_{(3,2)})$	0.5117	$(u_{(1,3)}, u_{(2,3)}, u_{(3,2)})$	0.4424
$(u_{(1,1)}, u_{(2,1)}, u_{(3,3)})$	0.4387	$(u_{(1,2)}, u_{(2,2)}, u_{(3,3)})$	0.4228	$(u_{(1,3)}, u_{(2,3)}, u_{(3,3)})$	0.3534
$(u_{(1,1)}, u_{(2,1)}, u_{(3,4)})$	0.5387	$(u_{(1,2)}, u_{(2,2)}, u_{(3,4)})$	0.5228	$(u_{(1,3)}, u_{(2,3)}, u_{(3,4)})$	0.4534
$(u_{(1,1)}, u_{(2,2)}, u_{(3,1)})$	0.5493	$(u_{(1,2)}, u_{(2,3)}, u_{(3,1)})$	0.3491	$(u_{(1,4)}, u_{(2,1)}, u_{(3,1)})$	0.2955
$(u_{(1,1)}, u_{(2,2)}, u_{(3,2)})$	0.6364	$(u_{(1,2)}, u_{(2,3)}, u_{(3,2)})$	0.4363	$(u_{(1,4)}, u_{(2,1)}, u_{(3,2)})$	0.3827
$(u_{(1,1)}, u_{(2,2)}, u_{(3,3)})$	0.5475	$(u_{(1,2)}, u_{(2,3)}, u_{(3,3)})$	0.3473	$(u_{(1,4)}, u_{(2,1)}, u_{(3,3)})$	0.2937
$(u_{(1,1)}, u_{(2,2)}, u_{(3,4)})$	0.6475	$(u_{(1,2)}, u_{(2,3)}, u_{(3,4)})$	0.4473	$(u_{(1,4)}, u_{(2,1)}, u_{(3,4)})$	0.3937
$(u_{(1,1)}, u_{(2,3)}, u_{(3,1)})$	0.4738	$(u_{(1,3)}, u_{(2,1)}, u_{(3,1)})$	0.3219	$(u_{(1,4)}, u_{(2,2)}, u_{(3,1)})$	0.4044
$(u_{(1,1)}, u_{(2,3)}, u_{(3,2)})$	0.5609	$(u_{(1,3)}, u_{(2,1)}, u_{(3,2)})$	0.4091	$(u_{(1,4)}, u_{(2,2)}, u_{(3,2)})$	0.4915
$(u_{(1,1)}, u_{(2,3)}, u_{(3,3)})$	0.4720	$(u_{(1,3)}, u_{(2,1)}, u_{(3,3)})$	0.3201	$(u_{(1,4)}, u_{(2,2)}, u_{(3,3)})$	0.4025
$(u_{(1,1)}, u_{(2,3)}, u_{(3,4)})$	0.5720	$(u_{(1,3)}, u_{(2,1)}, u_{(3,4)})$	0.4201	$(u_{(1,4)}, u_{(2,2)}, u_{(3,4)})$	0.5025
$(u_{(1,2)}, u_{(2,1)}, u_{(3,1)})$	0.3158	$(u_{(1,3)}, u_{(2,2)}, u_{(3,1)})$	0.4308	$(u_{(1,4)}, u_{(2,3)}, u_{(3,1)})$	0.3289
$(u_{(1,2)}, u_{(2,1)}, u_{(3,2)})$	0.4029	$(u_{(1,3)}, u_{(2,2)}, u_{(3,2)})$	0.5179	$(u_{(1,4)}, u_{(2,3)}, u_{(3,2)})$	0.4160
$(u_{(1,2)}, u_{(2,1)}, u_{(3,3)})$	0.3140	$(u_{(1,3)}, u_{(2,2)}, u_{(3,3)})$	0.4289	$(u_{(1,4)}, u_{(2,3)}, u_{(3,3)})$	0.3270
$(u_{(1,2)}, u_{(2,1)}, u_{(3,4)})$	0.4140	$(u_{(1,3)}, u_{(2,2)}, u_{(3,4)})$	0.5289	$(u_{(1,4)}, u_{(2,3)}, u_{(3,4)})$	0.4270





 $Vol.15 \ / \ Issue \ 86 / \ Oct \ / \ 2024 \qquad International \ Bimonthly \ (Print) - Open \ Access \qquad ISSN: \ 0976 - 0997$

Jayasudha and Kowsalyaharishanthi

Table 6: Relative closeness coefficient

RCC	Score	RCC	Score	RCC	Score
$((u_{(1,1)},u_{(2,1)},u_{(3,1)}))$	0.4915	$((u_{(1,2)},u_{(2,2)},u_{(3,1)}))$	0.5099	$((u_{(1,3)},u_{(2,3)},u_{(3,1)}))$	0.5899
$((u_{(1,1)},u_{(2,1)},u_{(3,2)}))$	0.3910	$((u_{(1,2)},u_{(2,2)},u_{(3,2)}))$	0.4093	$((u_{(1,3)},u_{(2,3)},u_{(3,2)}))$	0.4894
$((u_{(1,1)},u_{(2,1)},u_{(3,3)}))$	0.4936	$((u_{(1,2)},u_{(2,2)},u_{(3,3)}))$	0.5120	$((u_{(1,3)},u_{(2,3)},u_{(3,3)}))$	0.5920
$((u_{(1,1)},u_{(2,1)},u_{(3,4)}))$	0.3782	$((u_{(1,2)},u_{(2,2)},u_{(3,4)}))$	0.3965	$((u_{(1,3)},u_{(2,3)},u_{(3,4)}))$	0.4766
$((u_{(1,1)},u_{(2,2)},u_{(3,1)}))$	0.3659	$((u_{(1,2)},u_{(2,3)},u_{(3,1)}))$	0.5970	$((u_{(1,4)},u_{(2,1)},u_{(3,1)}))$	0.6589
$((u_{(1,1)},u_{(2,2)},u_{(3,2)}))$	0.2654	$((u_{(1,2)},u_{(2,3)},u_{(3,2)}))$	0.4964	$((u_{(1,4)},u_{(2,1)},u_{(3,2)}))$	0.5583
$((u_{(1,1)},u_{(2,2)},u_{(3,3)}))$	0.3680	$((u_{(1,2)},u_{(2,3)},u_{(3,3)}))$	0.5991	$((u_{(1,4)},u_{(2,1)},u_{(3,3)}))$	0.6610
$((u_{(1,1)},u_{(2,2)},u_{(3,4)}))$	0.2526	$((u_{(1,2)},u_{(2,3)},u_{(3,4)}))$	0.4837	$((u_{(1,4)},u_{(2,1)},u_{(3,4)}))$	0.5455
$((u_{(1,1)},u_{(2,3)},u_{(3,1)}))$	0.4531	$((u_{(1,3)},u_{(2,1)},u_{(3,1)}))$	0.6284	$((u_{(1,4)},u_{(2,2)},u_{(3,1)}))$	0.5333
$((u_{(1,1)},u_{(2,3)},u_{(3,2)}))$	0.3525	$((u_{(1,3)},u_{(2,1)},u_{(3,2)}))$	0.5278	$((u_{(1,4)},u_{(2,2)},u_{(3,2)}))$	0.4327
$((u_{(1,1)},u_{(2,3)},u_{(3,3)}))$	0.4552	$((u_{(1,3)},u_{(2,1)},u_{(3,3)}))$	0.6305	$((u_{(1,4)},u_{(2,2)},u_{(3,3)}))$	0.5354
$((u_{(1,1)},u_{(2,3)},u_{(3,4)}))$	0.3397	$((u_{(1,3)},u_{(2,1)},u_{(3,4)}))$	0.5151	$((u_{(1,4)},u_{(2,2)},u_{(3,4)}))$	0.4199
$((u_{(1,2)},u_{(2,1)},u_{(3,1)}))$	0.6355	$((u_{(1,3)},u_{(2,2)},u_{(3,1)}))$	0.5028	$((u_{(1,4)},u_{(2,3)},u_{(3,1)}))$	0.6204
$((u_{(1,2)},u_{(2,1)},u_{(3,2)}))$	0.5349	$((u_{(1,3)},u_{(2,2)},u_{(3,2)}))$	0.4022	$((u_{(1,4)},u_{(2,3)},u_{(3,2)}))$	0.5198
$((u_{(1,2)},u_{(2,1)},u_{(3,3)}))$	0.6376	$((u_{(1,3)},u_{(2,2)},u_{(3,3)}))$	0.5049	$((u_{(1,4)},u_{(2,3)},u_{(3,3)}))$	0.6225
$((u_{(1,2)},u_{(2,1)},u_{(3,4)}))$	0.5221	$((u_{(1,3)},u_{(2,2)},u_{(3,4)}))$	0.3894	$((u_{(1,4)}, u_{(2,3)}, u_{(3,4)}))$	0.5071





ISSN: 0976 – 0997

RESEARCH ARTICLE

The Impact of Persian and Central Asian Influence on Kashmiri Art and Architecture: A Study of the Sultanate Period

Sarfaraz Ahmad Rather^{1*} and R. Rajeshwari²

¹Research Scholar, Department of History, Annamalai University, Annamalai Nagar, Tamil Nadu, India. ²Assistant Professor, Department of History, Thanthai Periyar Government Arts and Science College (Autonomous), (Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Sep 2024

*Address for Correspondence Sarfaraz Ahmad Rather

Research Scholar, Department of History, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

Email: sarfarazahmad4030@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License BY NO NO (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The art and architecture of Kashmir reflect a blend of influences from various civilizations and cultures that have left their mark on the region over the centuries. During the Sultanate period in Kashmir, there was a significant transformation in both art and architecture, marked by a profound fusion of Persian and Central Asian influences. This era saw the migration of skilled artisans and craftsmen to Kashmir under the patronage of the Sultans, leading to the construction of magnificent palaces, mosques, and tombs. Architectural styles evolved, with a departure from traditional stone constructions in favor of wood and brick, reflecting the influence of Islamic architectural traditions. Alongside architecture, artistic endeavors enriching the cultural fabric of the region. The legacy of the Sultanate era continues to captivate observers, reflecting the creativity, innovation, and cultural diversity of Kashmir's artistic and architectural heritage. This period also underscores the adaptability of architectural styles to local conditions, as evidenced by the widespread use of wood due to its abundance and suitability to the climate. An endeavor has been undertaken to illuminate the profound impact of Persian and Central Asian aesthetics on the artistic and architectural landscape of Kashmir during the Sultanate period.

Keywords: Kashmir, Sultanate Period, Art and Architecture, Transformation, Wooden Style, Brickwork, Uniqueness, Remarkable.





Sarfaraz Ahmad Rather and Rajeshwari

INTRODUCTION

Kashmir often referred to as "Paradise on Earth," is renowned for its stunning natural beauty as well as its rich cultural heritage. The valley of Kashmir has been a land of artistic skill from ancient times. Art and architecture during the reign of the Sultan in Kashmir underwent a profound transformation, guided by a vision to emulate the splendor of Samarqand and Bukhara. To achieve this, the Sultans actively encouraged the immigration of skilled artisans, architects, and craftsmen from Persian and Central Asian regions. This influx of talent led to the emergence of new architectural styles, blending indigenous Kashmiri influences with the sophisticated techniques brought by the migrants. During the Sultanate rule, there was a big construction boom, and many new buildings were built, like palaces, mosques, khanaqahs, and tombs. These buildings showed different styles of architecture. Three main types of architecture from this time still exist today: pure wooden architecture, brick and wood architecture, and pure brick architecture. One notable example of the wooden architecture is the shrine of Shah-e-Hamdan, also known as Khanqah-e-Moula, which stands as one of the oldest Muslim shrines in Kashmir. Similarly, Jamia Masjid, the largest mosque in Kashmir, represents a remarkable fusion of Islamic architectural elements with local craftsmanship, boasting impressive brickwork and towering wooden pillars. The mosque and tomb of Madin Sahib offer further insights into the artistic endeavors of the era, with their colored tile work and exquisite architectural details. The Budshah Tomb, a masterpiece of pure brick architecture, showcases the influence of Persian and Central Asian craftsmanship, reflecting the close diplomatic ties between Sultan Zain-ul-Abidin and the Timurid dynasty. Beyond architecture, the Sultanate era also witnessed flourishing artistic endeavors in painting, calligraphy, bookbinding, and lattice work. Skilled craftsmen from Central Asia imparted their expertise, leading to the development of unique artistic styles that adorned mosques, palaces, and manuscripts. During the Sultanate period, the art and architecture of Kashmir blended different cultural influences to create stunning buildings and artworks that still impress people today. This fusion of styles and ideas resulted in a diverse range of architectural marvels and artistic achievements. The creations of this era continue to fascinate and inspire observers, showcasing the creativity and innovation of Kashmiri craftsmen and artists.

METHODOLOGY

This study employs a multidisciplinary approach, drawing upon historical analysis, architectural documentation, and art historical methodologies to examine the Persian and Central Asian influence on Kashmiri art and architecture during the Sultanate Period. Primary sources including historical texts, inscriptions, and architectural remains are critically analyzed to identify the stylistic features and motifs characteristic of Persian and Central Asian influence. Additionally, comparative analysis with contemporary architectural styles from Persia and Central Asia is conducted to discern the extent of cross-cultural exchange. Furthermore, field surveys and site visits are conducted to document and analyze the architectural elements and decorative motifs present in extant structures in Kashmir. Finally, scholarly literature and secondary sources are consulted to contextualize the findings within the broader historical and cultural framework of the Sultanate Period in Kashmir.

Objectives of the Study

- To analyze the architectural transformations in Kashmir during the Sultanate era
- * To identify key architectural monuments reflecting Persian and Central Asian influence:
- ❖ To examine artistic endeavors during the Sultanate period
- To assess the cultural significance of Persian and Central Asian influence on Kashmiri art and architecture

Shrine of Shah-E- Hamdan

The shrine of Shah-e-Hamdan, also known as Khanqah-e-Moula, holds significant historical importance as one of Kashmir's oldest Muslim shrines, situated along the banks of the river Jehlum. Its historical roots trace back to Sultan Sikander's era (1389-1413 AD), who commissioned its construction in 1395 A.D in honor of Mir Syed Ali Hamdani, a





Sarfaraz Ahmad Rather and Rajeshwari

revered Muslim preacher credited with propagating Islam in Kashmir. This architectural marvel, the Khanaqah-i-Mualla, erected under Sultan Sikander's patronage, epitomizes the distinctive wooden craftsmanship of the region. Featuring a two-story structure crowned by a majestic pyramidal roof in three tiers, it boasts intricate woodwork that showcases the pinnacle of the Valley's architectural finesse. Standing tall at 125 feet, its steeple, adorned with a graceful finial, overlooks an open pavilion where the muazzin calls to prayer. The design echoes similarities with the wooden edifices found in neighboring lands, particularly China, renowned for its rich tradition of woodworking. This architectural style likely traversed through the vast mountainous terrain from Tibet to Swat, with Muslim preachers from Kashmir adapting and introducing it to the Valley, influenced by their travels and interactions with rulers of the North West Frontier. This adaptation was not only aesthetically pleasing but also well-suited to the climatic conditions of Kashmir, becoming an integral part of its religious architectural landscape.

Jamia Masjid

Jamia Masjid, situated in Nowhatta, Kashmir, is renowned as the largest mosque in the region and holds significant religious importance for Muslims. Its architectural style, massive size, intricate design, and flawless execution exemplify the remarkable achievements of early Islamic art in the valley. The mosque showcases typical Kashmiri wooden architecture, adding to its uniqueness and cultural significance. The Jamia Masjid, constructed under the reign of Sultan Sikandar, stands as a testament to the fervent religious dedication that drove the establishment of Islamic institutions in Kashmir during that era. Its impressive scale, intricate design, and meticulous craftsmanship mark it as one of the foremost achievements of early Islamic art in the region. Following a traditional mosque layout, it features a central courtyard surrounded by arched arcades, adorned with beautiful naves, pyramidal roofs and steeples, jail decorations and minors. Particularly noteworthy is the exceptional quality of the brickwork, showcasing the skill of its builders. However, the true magnificence of the mosque lies in its towering pillars, crafted from single logs reaching heights ranging from 7.6 to 15.2 meters. These pillars, a marvel of construction, lend an air of grandeur and solemnity to the space. Notably, the mosque's construction was overseen by two skilled artisans from Central Asia, Khawaja Sadar-al-Din Khurasani and Sayyid Muhammad Loristani, who accompanied Sayyid Muhammad Hamadani to Kashmir. According to historical accounts Baharistan-i Shahi, the architectural style of the mosque draws influence from regions such as Syria and Egypt, which was later transmitted to Kashmir via Persia and Central Asia through the movement of people. In essence, the Jamia Masjid stands as a testament to the cultural exchange and architectural brilliance that characterized the Islamic civilization's spread across diverse regions, leaving an indelible mark on the landscape of Kashmir.

Mosque and Tomb of Madin Sahib

The Madani Mosque in Srinagar was built in 1444 for Sayyid Muhammad Madani. The Pir of Sultan Zain-ul-Abidin. It has two notable characteristics. The first distinguishing feature is its colourful tile-work, which originated from Persia and differed from the Mughal tile work. The mosque of Madin Sahib in Zadibal is one of the most well-known pre-Mughal Muslim structures in Kashmir. The base is square and constructed solely from materials originating from a plinth of a mediaeval temple. The superstructure comprises four walls decorated externally with trefoiled brick niches. The corner pilasters and niches' pilasters have bases and capitals that exhibit a distinctly Hindu style. The spandrels of the arches that make up the niches are embellished with exquisite tracery work. The cornice above the walls consists of six layers of wood. The cornices below the eaves are constructed with wooden courses, some of which include delicate designs. The chamber has a pyramidal roof made of clay and birchbark. The roof has a pyramidal shape with a spiral apex, now remaining as a single upright pole and few timber parts. The prayer rooms can be reached through a beautifully carved wooden doorway supported by two curved stone columns. Inside the prayer chamber, there is a Khatamband ceiling made of thin pieces of soft wood woven into a geometrical pattern, supported by four multi-sided wooden columns.

The tomb of Madin Sahib, built in the 15th century, is considered one of the most interesting tombs in Srinagar. The structure was built in honour of saint Madin Sahib and is located to the north of the Madin Sahib mosque in Zadibal. Several inscriptions and architectural styles emphasise the historical significance of the era. The walls were formerly adorned with glazed tiles, showcasing a charming 15th-century Kashmir architectural style, but most of them are





Sarfaraz Ahmad Rather and Rajeshwari

now absent. Some have been preserved in the Srinagar museum. The mosque and tomb had been built during the reign of Zain-ul-Abidin. The most notable aspect of the structure was the depiction of a beast that had the body of a leopard and the trunk of a human being. It appeared to be shooting with a bow and arrow at its own tail, which ended in a head that resembled that of a dragon. In the vicinity, a fox was quietly watching from among the flowers and cloud forms. According to reports, the utilisation of cloud formations and dragon's head shows influences from Chinese and Persian cultures.

The Budshah Tomb

The tomb build by Sultan Zain-ul-Abidin for his mother is considered the outstanding example of pure brick architecture. It is constructed with a unique kind of brick that is mainly Persian and Central Asian in origin. It is noteworthy that the technique of double-dome architecture was originally brought to Central Asia by Timur (1336-1405). Sultan Zain-ul-Abidin maintained close diplomatic ties with the Timurid dynasty, leading to an exchange of gifts between the two rulers. Initially, Sultan Zain-ul-Abidin sought not only material gifts but also desired to acquire knowledge of advanced sciences and technologies from his Central Asian counterpart. This exchange fostered intimate contacts and resulted in the migration of a considerable number of architects, engineers, and masons from Central Asia to the valley of Kashmir. Consequently, the technology of double-domed architecture was introduced to the region much earlier than it appeared in other parts of India, representing a significant contribution to the evolution of building construction techniques in the area. The Budshah Tomb, located in the Zainakadal area along the right bank of the Jehlum River, serves as the final resting place for Sultan Zain-ul-Abidin's mother. Renowned for its architectural splendor, this tomb stands as a remarkable representation of Shahmiri craftsmanship, unique in its use of brick construction - a departure from the prevalent wooden architectural style in Kashmir during that era. The tomb is constructed on a raised stone plinth with a ground plan featuring 16 sides made of Ashlar stone masonry. The tomb consists of four meticulously arranged courses of stone, complemented by a torus cornice that adds to its architectural grandeur. The main construction of the tomb comprises plain horizontal brickwork, which is reinforced with lime and surkhi to enhance durability. However, the faces of the tomb are adorned with pointed arches, providing a distinctive visual appeal. These arches, with the exception of those facing west, exhibit shallow blind detailing. While most of these arches maintain a simplistic design. The incorporation of glazed tiles into the construction of the tomb enhanced its visual appeal, elevating the aesthetic charm of the edifice. Colorful panels of glazed tiles adorned both the exterior and interior surfaces of the building, breaking the monotony of plain brickwork. The earliest examples of glazed tiles from this period are attributed to the reign of Sultan Zain-ul-Abidin. These tiles, consisting of square units in a variety of vibrant hues such as blue, red, brown, green, and yellow on a single piece, added a touch of brilliance to the structure. Additionally, the tiles featured exquisite paintings, further enriching their artistic value. The introduction of glazed tile work is believed to have originated from Persia and Central Asia, where the craft had undergone significant advancement, before being incorporated into the architectural landscape of the tomb. In the whole of Central Asia all the tombs, mosques and palaces built during the medieval period are made of this type of brick.

Rajdan Palace

Sultan Zain-ul-Abidin built a building in the town of Zainanagari, which in the dialect of Kashmir is called Rajdan. This palace, characterized by its brick and wood construction, stood as a testament to the Sultan's vision of architectural magnificence. Surmounted by a lotus-shaped golden dome of singular beauty, the palace boasted an audience hall adorned with extensive walls lined with glass, showcasing the Sultan's penchant for luxury and refinement. Mirza Haidar Dughlat, in his work, vividly describes the grandeur of the palace, noting its towering twelve stories and numerous rooms, halls, and corridors. While the palace has since vanished, its legacy endures through historical accounts, serving as a reminder of Zain-ul-Abidin's reign and his dedication to creating enduring monuments of architectural brilliance. Surrounding the palace, lands were allotted to top army generals and distinguished men of letters, creating a vibrant community that enriched the Sultan's court with their wisdom and expertise. Though the physical remnants of Zainanagari have faded into history, its memory lives on as a testament to the majesty and sophistication of medieval Kashmiri architecture and culture.





Sarfaraz Ahmad Rather and Rajeshwari

Artistic Endeavors

Art has long been a vital expression of human creativity and cultural identity, transcending boundaries and enriching societies. The Sultan's profound interest in the advancement of arts and crafts heralded a transformative era in Kashmir's cultural landscape. Through strategic initiatives, they invited skilled artisans from Central Asia, particularly from Tibet, to impart their expertise to their subjects.

Painting

Painting was a favorite art in Persia and Central Asia during the medieval times and it received full state patronage despite the fact that Islam does not sanction it. During the time when Persian and Central Asian cultures were highly esteemed among Muslims, Kashmir also embraced this trend, aspiring to be recognized as part of this sophisticated cultural circle. Despite the absence of surviving paintings, historical records indicate that Kashmir had a rich artistic heritage and distinctive style. Mulla Jamil, a renowned painter, served under Zain-ul-Abidin, showcasing the region's artistic talent. During the Sultan period in Kashmir, patronage of paintings flourished as an integral aspect of cultural expression and royal prestige. Under the benevolent rule of the Sultans, Kashmir became a vibrant center for artistic endeavors, where painters found generous support and encouragement. The Sultans themselves often commissioned exquisite artworks, fostering a culture of artistic patronage that permeated throughout the society. Paintings during this era depicted a rich tapestry of themes, from scenes of courtly life to depictions of the natural beauty of Kashmir's landscapes. Royal palaces and religious institutions became focal points for these artistic endeavors, adorned with intricate frescoes and murals that reflected the artistic prowess of the period. Skilled artisans were revered and attracted to the region, contributing to the refinement and diversification of artistic techniques. The patronage of paintings by the Sultans not only embellished the physical spaces of Kashmir but also served as a means of legitimizing their rule and projecting cultural sophistication. This period witnessed a renaissance of artistic expression, where painters were celebrated as custodians of tradition and innovation a like.

Calligraphy

It's noteworthy that the palaces and grand residences of both Sultans and nobles were adorned with elaborate wall paintings. Describing the mansions of a noble, their living quarters and gathering spaces were enriched with wall paintings displaying exceptional elegance and craftsmanship. The patronage extended by the Sultans to such artworks not only enhanced the physical allure of Kashmir's spaces but also served to legitimize their authority and showcase cultural refinement. This era marked a revival of artistic expression, with painters revered as guardians of both tradition and innovation. During the Sultan period in Kashmir, the art of calligraphy was highly esteemed and practiced extensively. Calligraphy, the decorative handwriting or lettering, was an integral part of Islamic culture, and it flourished under the patronage of the Sultans in Kashmir. Skilled calligraphers produced beautiful manuscripts, inscriptions, and decorative elements for architecture, often using Arabic script.

Timurids, with whom the Sultans of Kashmir had friendly relations, were great patrons of painting, calligraphy and the art of the books. It is during this period that the great painter Mir Ali of Tabriz invented the feminine and dancing scrip known as Nastaliq. As expert calligraphists were required not only for writing books but also for decorating the interior of khanaqahs, mosques, tombs as well as for writing inscriptions, the Sultans, after their Persian and Central Asian counterparts, patronized the art, attracting a number of master calligraphists from different parts of Timurid empire. The art flourished to such an extant that Kashmiri calligraphists were crowned with laurels by the Mughals. Emperor Akbar was so much impressed by the grace, beauty and symmetry of one of the calligraphists of Kashmir, Muhammad Husain that he conferred upon him the title of Zarrin-qalam (Gold-pen). While lauding the extraordinary genius of Muhammad Husain Kashmiri who rivaled Mir Ali, the classical master of calligraphy, Abul Fazl says, "The artist who in the shadow of the throne of His Majesty, has become a master of calligraphy, is Muhammad Husain of Kashmir. He has been honoured with the title Zarin Qalam, the golden pen. He surpassed his master, Maulana Abdal-Aziz; his maddat and dawa'ir show everywhere a proper proportion to each other, and the art critics consider him equal to Mulana Mir Ali. Some mosques, tombs and epitaphs of the period bear Persian and Arabic inscriptions in elegant naskh and nasta'aliq, which further substantiate that the art had reached to a high perfection.





Sarfaraz Ahmad Rather and Rajeshwari

Book Binding

During the Sultan period in Kashmir, the art of book binding flourished as skilled craftsmen learned techniques from experts in Central Asia. The Timurids were known to be strong supporters of the art of the book; in fact, Balsunqur Mirza, the son of Shah Rukh and a wazir at his father's court in Herat, brought together artists from all across the Timurid empire at the academy and library he established at Herat. The papermaker, scribe, illuminator, margincutter, color-grinder, painter, and binder collaborated to create some of the finest books ever produced. Calligraphy, illumination, and painting were just parts of the entire book. During the Timurid era, bookbinders demonstrated their proficiency alongside other artisans. Bindings typically consisted of leather wrapped around pasteboard, with intricate decorations adorning both covers and the flap that shielded the pages' front edge. The embellishments applied to these covers employed elaborate techniques, showcasing the binders skill and attention to detail. Impressed by the art of book, especially the art of book binding of the Timurids, Sultan Zain al- Abidin deputed a few intelligent people of Kashmir to Central Asia to learn it at the feet of its experts. Consequently the art got diffused in Kashmir and there came into existence a colony of book binders which has survived to us in the name of *Jilad gar mohalla* (the quarter of book binders) located in old Srinagar.

Lattice work

Pinjari, the intricate lattice work on wood, holds a special place in the rich tradition of Kashmiri craftsmanship. Found adorning fences, doors, railings, ventilators, room partitions, screens, and windows, this art form adds an exquisite touch to the region's architectural landscape. The geometric designs seen in most wooden structures showcase the mastery and attention to detail of Kashmiri artisans. However, the carved lattice scrolls at the Madin Sahib Tomb in Srinagar offer a unique variation, hinting at the evolution of this craft over time. Dating back to the 15th century during the reign of Zain-ul-Abidin, this tomb serves as a testament to the early existence of lattice craftsmanship in the region, paving the way for its widespread adoption in wooden structures. The introduction of this art form may have been spearheaded by Sultan Zain-ul-Abidin himself, known for his patronage of skilled craftsmen from Central Asia. The legacy of Pinjari continues to enchant visitors, showcasing Kashmir's rich cultural heritage and the timeless beauty of its architectural marvels.

CONCLUSION

In conclusion, the influence of Persian and Central Asian cultures on Kashmiri art and architecture during the Sultanate period was profound and far-reaching. This period witnessed a fusion of artistic styles, techniques, and motifs, resulting in the creation of unique and aesthetically rich monuments and artworks. The architectural marvels such as mosques, tombs, and palaces, with their intricate carvings, domes, and minarets, reflect a synthesis of Persian, Central Asian, and indigenous Kashmiri architectural traditions. Similarly, in the realm of art, Persianate elements such as calligraphy, miniature painting, and intricate designs were incorporated into Kashmiri artistic expressions. This cultural exchange not only enriched the artistic landscape of Kashmir but also contributed to the region's socio-cultural and religious identity. Through this manuscript, we can appreciate the enduring legacy of Persian and Central Asian influences on Kashmiri art and architecture, which continue to inspire and fascinate us to this day.

REFERENCES

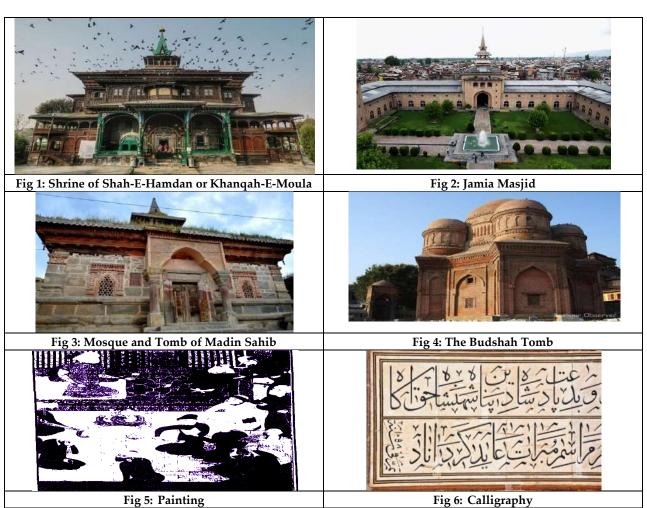
- Sufi G.M.D, Islamic Culture in Kashmir, Light & Life Publishers, New Delhi, 1979.
- 2. Zutshi N.K, Sultan Zain-ul-Abidin of Kashmir, An Age of Enlightenment, Nupur Prakashan, Lucknow, 1976.
- 3. Shali S.L, Kashmir: History and Archaeology through the Ages, Indus Publishing House, New Delhi, 1993.
- 4. Mohan Krishna, *Early Medieval History of Kashmir*, Mehar-Chand Lachhman Das Publications, New Delhi, 1981.





Sarfaraz Ahmad Rather and Rajeshwari

- 5. Nicholls W.H, *Muhammadan Architecture in Kashmir*, Annual Report of Archeological Survey of India, 1906-7, Calcutta, 1909.
- 6. Ahmad Rameez, Wooden Architecture of Kashmir under Sultan Zain-ul-Abidin, *Ancient Pakistan*, Vol. XXVII, 2016
- 7. Ali Sayyid, Tarikh-i-Kashmir, (Eng. Tr. Rafiqi. A.Q), Oriental Research Department, Srinagar, 2008.
- 8. Martin, F.R, The Miniature Paintings and Painters of Persia, India and Turkey, London. 1912.
- 9. Mehrdin Nadia, Toheeda Begum, and Sania Siraj, "The Muslim Architecture: During Sultanate Period in Kashmir." PalArch's Journal of Archaeology of Egypt/Egyptology, 2023.
- 10. Lawrence W.R, The Valley of Kashmir, Oxford University Press. Srinagar, 1893.
- 11. M. Kaul, Kashmir: Hindu, Buddhist and Muslim Architecture, Sagar Publications, New Delhi, 1971.
- 12. Azam Diddamari Muhammad, Waqiat-i-Kashmir, (trans. Shams-al-Din), Islamic Research Center, Srinagar, 2005.
- 13. Fazl Abul, Aini Akbari, Asiatic Society of Bengal, Calcutta, 189.
- 14. Akhter Mahjabeen. The Importance of Kashmiri art and craft, *International Journal of Academic Research and Development*, Vol. 2, Issue-3, 2017.
- 15. Rasool Shaista, Muslim Architecture In Kashmir: A Study Of Some Important Structures And Their Styles, *North Asian International Humanities*, Vol. 3, Issue-11, 2017.







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Delineating Salinity Tolerance Traits in Rice (Oryza sativa L.) Germination Stage: Multivariate Analysis for Trait Prioritization and **Genotype Selection**

S.R.Sruthi¹ and Y.Anbuselvam^{2*}

¹Ph.D.Scholar, Department of Genetics and Plant Breeding, Faculty of Agriculture, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

²Professor, Department of Genetics and Plant Breeding, Faculty of Agriculture, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

Accepted: 13 Sep 2024 Received: 21 Jun 2024 Revised: 03 Jul 2024

*Address for Correspondence

Y.Anbuselvam

Professor, Department of Genetics and Plant Breeding, Faculty of Agriculture, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

Email: yanbuselvam@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The current study evaluated 34 rice genotypes for germination traits under varying levels of salt stress (0 mM, 40 mM, 80 mM, and 120 mM NaCl concentrations). Correlation and principal component analyses were employed to identify promising genotypes and unfold trait associations conferring salinity tolerance. The genotypes G1, G2, G9, G10, G11, G15, G21, G24, G25, G28, G30, G31, and G34 outperformed the check variety for multiple traits. Germination percentage, root length, shoot length, and seedling vigor indices exhibited strong positive correlations, suggesting their potential as selection criteria. Principal component analysis (PCA) revealed traits total seedling length, root length, germination percentage, dry weight, and seedling vigor index II as major contributors to genetic diversity. Biplots consistently highlighted dry weight and seedling vigor index II as primary sources of variation under various saline stress conditions. This study provides insights into trait prioritization and genotype selection during germination for developing salt-tolerant rice varieties.

Keywords: Rice, germination, salinity, correlation, PCA, dry weight





Sruthi and Anbuselvam

INTRODUCTION

Rice (Oryza sativa L.), a momentous cereal crop worldwide, is adaptable to an extensive spectrum of climatic conditions, yet, its yield characteristics are sternly curbed by several abiotic and biotic stresses [1]. Since salinity constitutes a compelling threat to crop yield, hence, attempts to develop salt-tolerant cultivars have become vital in providing food for the millions of mankind residing in such challenging environments [2]. According to [3], rice is considered sensitive to salt, especially in its early stages. The three predominant stages of rice development where salt injury is most evident are germination [4], vegetative and reproductive [5]. The key stage in a plant's life cycle is seed germination, which determines the eventual growth and development levels making it an important stage for screening and selecting stress-tolerant genotypes in breeding programs. Salinity affects seed germination through osmotic stress, ion-specific effects, and oxidative stress, leading to reduced germination rates and prolonged germination time. Excessive sodium and chloride ions can impede embryo viability, enzyme structure, as well as respiration, photosynthesis, and protein synthesis [6]. While several studies [7] [8] claimed that rice was largely saline tolerant during the germination phase, NERICA rice varieties including the 'Pokkali' varieties exhibited salt sensitive responsiveness to germination energy, speed, and percentage [9] [10] [11] reported that in Pakistani, Egyptian, and Iraqi varieties, salt content had a detrimental effect on germination percentage, germination rate, seedling length, and fresh and dry weight. Utilizing salt-affected lands for sustainable rice farming necessitates the identification of salt-tolerant varieties and the introgression of their salt-tolerant trait into high-yielding cultivars [12]. In this regard, the current study was carried out to evaluate the germination stage performance of 34 rice genotypes under four different concentrations of salt solution.

MATERIALS AND METHODS

The current study employing 34 rice genotypes (Table 1) was conducted at the Department of Genetics and Plant Breeding, Faculty of Agriculture, Annamalai University. The check variety used in the study was CSR 37. The experiment was laid out using a Completely Randomized Design (CRD) with three replications. The treatments consisted of four different concentrations of NaCl: 0 mM (control), 40 mM, 80 mM, and 120 mM in which each genotype was subjected to each NaCl treatment in three replications. After five minutes of surface sterilization with a 0.1% mercuric chloride solution, the seeds of all the genotypes were carefully rinsed with distilled water. Ten seeds were set up in Petri dishes that had two layers of germination paper on them that had been poured with the appropriate NaCl solutions. The Petri plates were held under cold white fluorescent light with a 12-hour light/dark cycle in a growth chamber at 25°C ± 2°C. The following traits were recorded, after seven days of germination: Germination percentage (%), Root length (cm), Shoot length (SL, cm), Total seedling length (Root length + Shoot length) (cm), Fresh weight (g), Dry weight (g) obtained after oven-drying the seedlings at 65°C for 72 hours, water content (%) = [(FW - DW) / FW] × 100] Number of roots, Sodium (Na+) content (g/g dry weight), Potassium (K+) content (g/g dry weight), Sodium-potassium ratio (Na+/K+), Seedling vigor index I (SVI-I) = Germination percentage (%) × Total seedling length and Seedling vigor index II (SVI-II) = Germination percentage (%) × Dry Weight. Sodium and potassium contents of the entire plant sample (root and shoot combined) were estimated using the tri-acid digestion method (Nitric acid (HNO3): Sulfuric acid (H2SO4): Perchloric acid (HClO4) in the ratio of 9:1:4) followed by flame photometry analysis. The estimated concentration of Na⁺ and K⁺ were calculated based on the standard curve. Microsoft Excel was used to perform basic mathematical analyses, such as calculating the significance of the mean genotype performance for all traits at the 5% level (p<0.05) using the critical difference. Pearson correlation was calculated at both 5% (p<0.05) and 1% level (p<0.01) among the germination parameters to estimate the association among different traits using Grapesagri1 software [13]. Principal components were computed using STAR 2.0.1 software (International Rice Research Institute [IRRI], Los Baños, Laguna, Philippines) and XLstat software.





Sruthi and Anbuselvam

RESULTS AND DISCUSSION

Mean performance

The mean performance of genotypes for all the traits under different treatments are listed in the Table 2a to 2d. To ensure the best possible stand establishment under salinity stress, higher germination percentage is the most important indicator of seed viability and vigor. At 40 mM and 80 mM NaCl concentrations, the genotypes G28 and G29 demonstrated significantly higher germination percentages than the check variety CSR 37, while at 120 mM NaCl, the genotype G15 outperformed the check variety. When exposed to 80 mM and 120 mM NaCl stress, the genotype G1 developed significantly greater root lengths than the check variety while at 40 mM and 120 mM NaCl concentrations, the root lengths of genotypes G28 and G31 were superior which could contribute to better anchorage, nutrient and water uptake under saline conditions.. The genotypes G9, G23, and G29 revealed more significant shoot lengths than the check variety at 40 mM NaCl concentration. The genotype G11 disclosed a significantly greater shoot length against the check variety at 80 mM NaCl. Greater photosynthetic potential and early seedling growth under salinity stress could be indicated by longer shoots. In all the salinity levels, the genotype G28 explained greater total seedling length than CSR 37. Under 80 mM NaCl treatment, the genotype G11 indicated a higher fresh weight than the check variety while at 40 mM and 80 mM NaCl, the genotype G34 established greater fresh weight, while at 120 mM NaCl, the genotype G15 performed better than the check variety.

The genotypes G1, G2, G4, G8, G9, G10, G15, G19, G21, G24, G25, G30, G31, G33, and G34 described higher dry weight than the check under all the NaCl concentrations. In rice breeding initiatives, dry weight is important for evaluating seedling performance and salt tolerance as it is a valuable predictor of overall seedling vigor and stress tolerance mechanisms by offering an integrated assessment of the seedling's capacity to allocate resources towards growth and biomass accumulation under saline stress conditions. Traits such as shoot length, total seedling length, fresh weight and dry weight were also influenced by salinity stress, with genotypes such as G9, G11, G15, G23, G28, G29, and G34 performing better than the check variety. These traits are crucial indicators of early growth, biomass accumulation, and the ability to maintain growth under stress. When compared to the check variety, the genotype G16 confirmed an increased water content. The genotypes G3, G10, G19, and G24 performed better than CSR 37 at all NaCl concentrations for the trait number of roots. In order for a seedling to survive and flourish under salt stress, possession of more roots could be critical to improve its ability to absorb water and nutrients, anchor itself and explore the resources in the soil. For the trait sodium, the genotype G2 performed better than the check variety at all NaCl levels . At 40 mM and 120 mM NaCl, the genotype G28 recorded a larger potassium concentration, while G18, G16 and G29 performed better than the control at 80 mM and 120 mM NaCl, respectively.

At all NaCl levels, the genotype G31 showed a greater Na/K ratio than the check. Rice seedlings could more effectively govern their water relations and ionic homeostasis by sustaining lower Na+ and higher K+ level which consequently enhances their capacity to tolerate and the negative consequences of salinity stress. Genotypes with higher K+ content, lower Na+ content, and a favorable Na+/K+ ratio in saline environments should be given priority in rice breeding programs for additional analysis and possible use as donors to increase salinity tolerance in rice cultivars. Under 80 mM and 120 mM NaCl treatment, the genotype G34 outperformed the check for the trait Seedling Vigor Index I. The genotypes G1, G2, G8, G9, G10, G11, G21, G24, G25, G28, G30, G31 and G34 surpassed the check variety for the trait seedling Vigor Index II at all saline treatments. Similar results and the trend of reduction in the mean performance of the genotyopes with increase in the saline concentration were observed by [14], [15], [16] [4], [17] and [18]. Therefore, in rice saline stress breeding initiatives, the genotypes G1, G2, G9, G10, G11, G15, G21, G24, G25, G28, G30, G31 and G34 that outperformed the check variety for various germination traits could be further analyzed and utilized to generate salt-tolerant rice varieties that will thrive in saline-affected locations.





Sruthi and Anbuselvam

Correlation analysis

Correlation coefficient aids in finding out the degree and direction of association between traits. The correlogram obtained for various saline treatments are given in Figures 1 to 4. The trait germination percentage at 0mM and 80mM saline treatment exhibited a high significant positive correlation (p<0.01) with total seedling length (r = 0.698 and 0.970, respectively). Similarly, at 40mM and 120mM, a highly significant positive relationship (p<0.01) was observed with the trait seedling vigor index I. Additionally, at all saline treatments, the trait exhibited a significant positive association (p<0.05) with root length and shoot length. The results indicate that under non-stress conditions, seedling growth is the key associated trait, but, as the salt stress spikes, seedling vigor turn into an increasingly important operator of successful germination. During the germination and early seedling stages of plant growth, this understanding may contribute in determining of prospective selection criteria for saline tolerance. This suggests that selecting for high germination percentage could indirectly improve seedling growth and vigor under salt stress. The trait root length at 0 mM and 120 mM exhibited a high significant positive correlation (p<0.01) with total seedling length (r = 0.955 and 0.800, respectively) indicating that longer root length contributes to increased overall seedling length under both non-saline and high salinity conditions. Similarly, at 40mM and 80mM, a highly significant positive association (p<0.01) was observed with the trait seedling vigor index I (r = 0.864 and 0.849, respectively).

Additionally, at all saline treatments, the trait exhibited a significant positive relationship (p<0.05) with germination per cent. The trait shoot length at 0 mM and 120 mM exhibited a high significant positive alliance (p<0.01) with total seedling length (r = 0.955 and 0.800, respectively). Similarly, at 40mM and 80mM, a highly significant positive correlation (p<0.01) was observed with the trait seedling vigor index I (r = 0.864 and 0.849, respectively). Additionally, at all saline treatments, the trait exhibited a significant positive association (p<0.05) with germination per cent. At 0mM, total seedling length had the highest relationship (p<0.01) with root length (r = 0.955) while at 40mM, 80mM, and 120mM, total seedling length explained the highest correlation with Seedling Vigour Index I (r = 0.996, 0.996 and 0.971, respectively) and exhibited significant positive association (p<0.05) with germination percentage and shoot length across all saline treatment. The trait fresh weight at 0 mM and 80 mM exhibited a high significant positive alliance (p<0.01) with water content (r = 0.525 and 0.541, respectively). Similarly, at 40mM and 120 mM, a highly significant positive correlation (p<0.01) was observed with the traits number of roots (r = 0.621) and germination per cent (r = 0.460), respectively. Additionally, at all saline treatments, the trait exhibited a significant positive relationship (p<0.05) with seedling vigour index II suggesting that maintaining high fresh weight under saline conditions could be associated with better water uptake, root development, germination success, and overall seedling vigor. At all the saline treatments, the trait dry weight revealed high significant positive correlation (p<0.01) with seedling vigour index II (r = 0.995, 0.951, 0.952 and 0.933) highlighting its importance as an indicator of seedling vigor under salt stress w hile a significant positive association (p<0.05) with water content suggests the need for water uptake for dry matter accumulation. The trait water content at 0 mM, 40 mM and 80 mM established a high significant positive relationship with fresh weight (r = 0.525, 0.597 and 0.541, respectively) while a high significant negative correlation (p<0.01) with dry weight (r = -0.676) at 120 mM. At 0 mM and 40 mM saline treatment, the trait number of roots unveiled a high significant positive association (p<0.01) with fresh weight (r = 0.393 and 0.621, respectively) while at 80 mM, it was with germination percentage (r = 0.535) and at 120 mM, a high significant negative correlation was observed with dry weight (r = -0.676).

By taking into account physiological and biochemical traits instead of basically morphological ones for assessment, it could be possible to comprehend the correlations among traits in rice cultivars associated salinity stress tolerance [19]. The trait sodium described a high significant positive correlation with potassium at 0 mM, 80 mM and 120 mM (r = 0.779, 1.000 and 0.955 , respectively) while at 40 mM (r = 0.563) it was with water content. At all the saline treatments, the trait potassium explained a high significant positive relationship (p<0.01) with sodium (r = 0.779, 0.531, 1.000 and 0.955 , respectively). The trait Na/K ratio demonstrated a high significant negative association (p<0.01) with potassium and seedling vigour index II at 40 mM and 80 mM (r = -0.479 and -0.545, respectively), respectively and significant positive association (p<0.05) with dry weight. The positive correlation between sodium and potassium suggests the involvement of similar transport mechanisms and the need for ion homeostasis under saline conditions. The negative alliance between Na/K ratio and potassium, and SVI-II indicates that maintaining a





ISSN: 0976 - 0997

Sruthi and Anbuselvam

lower Na/K ratio (higher potassium accumulation relative to sodium) could contribute to better seedling vigor under salt stress.At 40 mM, 80 mM and 120 mM saline treatment, the trait seedling vigour index I elucidated high significant positive correlation (p<0.01) with total seedling length (r = 0.996, 0.996 and 0.971, respectively) while a significant positive correlation (p<0.05) was explained with germination percentage, root length, shoot length and number of roots, making it a potentially useful composite trait for selecting salt-tolerant genotypes during the early growth stages. The trait seedling vigour index II exhibited a high significant positive relationship (p<0.01) with dry weight at all the saline treatments (r = 0.995, 0.951, 0.952 and 0.933, respectively) and a significant positive correlation (p<0.05) was recorded with fresh weight, highlighting its importance as an indicator of overall seedling vigor and biomass accumulation under saline conditions. Parallel outcomes were obtained by [20] [21] in germination stage of rice. However, the results obtained results align with the findings of [22], [23], [19] and [24] in different growing stages of rice. Under saline stress conditions, there were strong positive correlations observed between Seedling vigor index II and Dry weight, Seedling vigor index I and Total seedling length, Sodium and Potassium, Root length and Total seedling length, and Shoot length and Total seedling length. This indicates that these traits are closely related to each other. Selecting one trait in these pairs is likely to indirectly improve the other correlated trait during the critical germination and early seedling growth stages and could potentially pave way for developing salt-tolerant rice varieties.

Principal Component Analysis

A key method in rice breeding is principal component analysis (PCA), is used to rank genotypes, determine minimal components, identify maximal variability, and evaluate genetic diversity. Contribution, eigenvalues, and proportion of variance of yield and its contributing traits to different principal components for all the treatments are provided in Table 3. An eigenvalue greater than one was observed in the first three principal components at 0 mM while in all other treatments, the first four principal components exhibited eigen values greater than one. This implies that a considerable amount of the total genetic variation among the rice genotypes, across all treatments, was represented by these principal components. These principal components accounted for 68.80% of the cumulative percentage of variance explained in 0 mM, 84.40% in 40 mM, 84.9% in 80 mM, and 79.10% in 120 mM, suggesting that they constituted a significant proportion of the total genetic diversity across the genotypes. Comparable findings were reported by [25]. The contribution of thirteen germination stage traits to the principal components in 0 mM, 40 mM, 80 mM and 120 mM is presented in Table 3. Principal component analysis conducted across various saline treatments identified variations in the contribution of different germination stage parameters to the genetic diversity among the rice genotypes under study. The cut-off limit for the coefficients of the proper vectors was determined using the [26] criterion, wherein traits with coefficient values less than 0.3 were found not to have significant effects on the total variation, and traits with coefficient values greater than 0.3 were treated as having large effects. The traits with high positive loadings on PC1 in 0 mM saline treatment included germination percentage, root length, shoot length, total seedling length, fresh weight, dry weight and seedling vigour index II indicating that under non-saline conditions, most growth traits were positively associated with PC1, explaining better performance. These traits turned out to be positively correlated with the principal component, and as the principal component's value increased, so did the values of the traits with positive loadings and hence such traits on the same PC could be improved simultaneously, as they are positively associated. Conversely, in 40 mM, 80 mM and 120 mM saline treatments, the traits germination percentage, root length and total seedling length exhibited negative loadings on PC 1 indicating that these traits negatively correlated with the principal component. As salinity levels increased, germination percentage, root length, and total seedling length became negatively correlated with PC1, suggesting a detrimental effect of salinity on these traits. The trait dry weight disclosed negative loadings on PC 2 in the saline treatments of 0 mM, 40 mM, and 80 mM, confirming a negative correlation with the principal component. However, the same trait in the saline treatment of 120 mM disclosed positive loading on PC 2, demonstrating a positive correlation with the principal component by exhibiting a complex pattern. The intricate pattern of dry weight revealed the complex linkages and its contributions to genetic diversity under various salt stress levels. It emphasizes how crucial it is to have an extensive understanding of how traits interact to predict genotypic performance in different stress levels. Scree plot elucidated the variation percentage between Eigenvalues and the Principal components (Fig 5 to Fig 8.). In 0 mM saline treatment, PC1 showed a maximum variation of 28.30% with an eigenvalue of 3.675, compared to other PCs. In 40





Sruthi and Anbuselvam

mM, 80 mM and 120 mM, PC1 exhibited 41.5%, 39.7% and 32.1% variability with an eigenvalue of 5.393, 5.162 and 4.171, respectively. The present findings accord with previous work by [17]. The greatest variation across the various treatments proved to be most reliably attributed to the first principal component (PC1), emphasizing the importance of PC1 in addressing the entirety of genetic variability. Major contributors included traits such as total seedling length, root length, and germination %. By focusing on genotypes with high scores on PC1, which captured a sizable fraction of the total variability, breeders could identify desirable genotypes with favorable combinations of these traits. These genotypes could be prioritized during selection and used as potential donors in breeding programs to improve rice yield and related components under saline stress.

The PCA biplots for the four distinct saline treatments demonstrating consistent and divergent patterns in the traits that most contribute to genotypic variance are presented in the Figure 9 to 12. Dry weight and seedling vigour index II consistently possessed the longest vectors across all four treatments, implying that these traits were the main cause of genotype divergence. Variation in these traits was also a significant factor in differentiating genotype performance. Based on the angle between the vectors in the biplot, the traits seedling vigour index II and fresh weight were positively correlated with the trait dry weight across all the treatment. By prioritizing dry weight as a selection criterion in saline breeding programs, breeders could identify genotypes with superior biomass accumulation and overall vigor under salt stress conditions. In this context, there was a positive correlation found between the genotype G9 with the characteristic dry weight in 0 mM, 40 mM, and 120 mM, as the genotype G9 showed a greater value for dry weight , whereas the genotype G21 showed a higher value for dry weight in 0 mM, 80 mM, and 120 mM. Concordant findings were documented by [1] in seedling stage of rice. Thus the PCA results provide insights into the relationships between traits, their contributions to genetic diversity, and the performance of genotypes under different salinity levels. This information can guide breeders in selecting promising genotypes and traits for developing salinity-tolerant rice varieties.

CONCLUSION

The evaluation of mean performance revealed several promising genotypes such as G1, G2, G9, G10, G11, G15, G21, G24, G25, G28, G30, G31 and G34 outperforming the check variety CSR 37 for various traits under different salinity levels. The correlation analysis provided insights into the strong relationships among various traits such as germination percentage, root length, shoot length, and seedling vigor indices suggesting their potential as selection criteria for salt tolerance during early growth stages. The principal component analysis revealed that traits such as total seedling length, root length, germination percentage, dry weight, and seedling vigor index II were major contributors to genetic diversity among genotypes across salinity levels. The PCA biplots consistently showed dry weight and seedling vigor index II as the primary sources of genotypic variation, indicating their significance in differentiating genotype performance under saline stress. Hence, this study has illuminated the importance of prioritizing the selection of high-potential genotypes and traits during germination stage for the development of salt-tolerant rice varieties.

ACKNOWLEDGEMENT

The authors express their sincere gratitude to the University Grants Commission (UGC), for providing financial support to the first author in the form of Senior Research Fellowship under the Savitribai Jyotirao Phule Single Girl Child Fellowship (SJSGC). The financial assistance received from the UGC was crucial in carrying out this research work.





Sruthi and Anbuselvam

REFERENCES

- 1. Shafeeqa, T., Ravichandran, V., Senthil, A., Arul, L., and Radhamani, S. (2022). Assessment of seedling traits of rice landraces under different saline conditions. *Journal of Applied and Natural Science*, 14(4), 1252-1263.
- 2. Mondal, S., and Borromeo, T. H. (2016). Screening of salinity tolerance of rice at early seedling stage. *Journal of Bioscience and Agriculture Research*, 10(01), 843-847.
- 3. Lutts, S., Kinet, J. M., Bouharmont, J. (1995). Changes in plant response to NaCl during development of rice (*Oryza sativa* L.) varieties differing in salinity resistance. *Journal of Experimental Botany*, 46(12),1843–1852.
- 4. Pradheeban, L., Nissanka, N., and Suriyagoda, L. D. B. (2014). Clustering of rice (*Oryza sativa* L.) varieties cultivated in Jaffna District of Sri Lanka based on salt tolerance during germination and seedling stages. *TropicalAgricultural Research*, 25(3), 358-375. doi:10.4038/tar.v25i3.8045
- 5. Anshori, M. F., Purwoko, B. S., Dewi, I. S., Ardie, S. W., Suwarno, W. B., and Safitri, H. (2018). Determination of selection criteria for screening of rice genotypes for salinity tolerance. *SABRAO Journal of Breeding and Genetics* 50 (3), 279-294.
- 6. Uçarlı, C. (2020). Effects of salinity on seed germination and early seedling stage. *Abiotic stress in plants*, 211, 211-231
- 7. Heenan, D. P., Lewin, L. G., McCaffery, D. W. (1988). Salinity tolerance in rice varieties at different growth stages. *Aust. J. Exp. Agric*. 28,343-349.
- 8. Islam, R., Mukherjee, A., Hossin, M. (2012). Effect of osmopriming on rice seed germination and seedling growth. *J. Bangl. Agril. Univ.* 10, 15-20.
- 9. Ologundudu, F., Adelusi, A., Akinwale, R. (2014). Effect of Salt Stress on Germination and Growth Parameters of Rice (*Oryza sativa* L.) Not. Sci. Biol. 6,237-243.
- 10. Abbas, M. H., Hasan, R., Ghal., R and Alhasan, A. (2013). Salt Tolerance Study of Six Cultivars of Rice (*Oryza sativa* L.) During Germination and Early Seedling Growth. J. Agr. Sci. 5,250-259.
- 11. Ghoneim, A., Ahmad, A., Afzal, M., Ebid, A. (2015). Effect of NaCl Induced Stress on Germination and Seedling Growth of Various *Oryza sativa* L. Genotypes. *Adv. in Res.* 5,1-8.
- 12. Senanayake, R.M.N.H., Herath, H.M.V.G., Wickramesinghe, I.P., Udawela, U.A.K.S. and Sirisena, D.N. (2017). Phenotypic screening of rice varieties for tolerant to salt stress at seed germination, seedling and maturity stages.
- 13. Gopinath, (2021). grapesAgri1: Collection of Shiny Apps for Data Analysis in Agriculture. Journal of Open Source Software, 6(63), 3437, https://doi.org/10.21105/joss.03437
- 14. Rahman, M. S., Miyake, H., and Taheoka, Y. (2001). Effect of sodium chloride salinity on seed germination and early seedling growth of rice (Oryza sativa L.). *Pak. J. Biol. Sci*, 4(3), 351-355.
- 15. Dissanayake, P. K., and Wijeratne, A. W. (2006). Development of a varietial screening procedure for salt tolerance of Rice (*Oryza Sativa* L.) varieties at germination stage. *Journal of Agricultural Sciences–Sri Lanka*, 2(1).
- 16. Hakim, M. A., Juraimi, A. S., Begum, M., Hanafi, M. M., Ismail, M. R., and Selamat, A. (2010). Effect of salt stress on germination and early seedling growth of rice (*Oryza sativa L.*). *African journal of biotechnology*, 9(13), 1911-1918.
- 17. Farid, M., Anshori, M. F., Musa, Y., Iswoyo, H., and Sakinah, A. I. (2021). Interaction of rice salinity screening in germination and seedling phase through selection index based on principal components. *Chilean journal of agricultural research*, 81(3), 368-377.
- 18. Manis, B. D., Mambu, S. M., and Nio, S. A. (2023). Evaluation of Growth Response of Superwin Rice Variety to Salinity at The Germination Phase. *JurnallImiah Sains*, 31-39.
- 19. Alshiekheid, M. A., Dwiningsih, Y., and Alkahtani, J. (2023). Analysis of morphological, physiological, and biochemical traits of salt stress tolerance in Asian rice cultivars at different stages.
- 20. Wang, Z.F., Wang, J.F., Bao, Y.M., Wu, Y.Y., Xuan, S.U. and Zhang, H.S. (2010). Inheritance of rice seed germination ability under salt stress. *Rice Science*, *17*(2),105-110.





Sruthi and Anbuselvam

- 21. Islam, M. R., Naveed, S. A., Zhang, Y., Li, Z., Zhao, X., Fiaz, S. and Wang, W. (2022). Identification of candidate genes for salinity and anaerobic tolerance at the germination stage in rice by genome-wide association analyses. *Frontiers in Genetics*, 13, 822516.
- 22. Nasaruddin, N., Anshori, M. F., Muh Farid, B. D. R., Iswoyo, H., Musa, Y., Arifuddin, M., and Sakinah, A. I. (2021). Hydroponic Salinity Screening by Deep Flow Technique on All Paddy Growing Phases. *Agrotech Journal*, 6(2), 53-63.
- 23. Alkahtani, J., and Dwiningsih, Y. (2023). Analysis of Morphological, Physiological, and Biochemical Traits of Salt Stress Tolerance in Asian Rice Cultivars at Seedling and Early Vegetative Stages. *Stresses*, 3(4), 717-735.
- 24. Yah, F.N.C., Shamsudin, N.A.A., Ab Razak, M.S.F., Yusop, M.R., Bhuiyan, M.A.R., Nordin, M.S. and Salleh, M.S. (2023). Morphological, biochemical and genetic variation of rice (*Oryza sativa* L.) genotypes to vegetative stage salinity stress. *Plant Science Today*, 10(sp1), 11-21..
- 25. Zhang, R., Hussain, S., Wang, Y., Liu, Y., Li, Q., Chen, Y., and Dai, Q. (2021). Comprehensive evaluation of salt tolerance in rice (*Oryza sativa* L.) germplasm at the germination stage. *Agronomy*, *11*(8), 1569.
- 26. Raji, A. A. (2002). Assessment of genetic diversity and heterotic relationships in African improved and local cassava (*Manihot esculenta* Crantz) germplasm. Unpublished doctoral dissertation). University of Ibadan, Ibadan, Nigeria.

Table 1. List of genotypes used in the study

Table 1 . List of genotypes used in the study						
CODE	NAME OF THE GENOTYPE	CODE	NAME OF THE GENOTYPE			
G1	ADT 36	G18	SEERAGA SAMBA			
G2	ADT 37	G19	KALA NAMAK			
G3	ADT 39	G20	MILAGU SAMBA			
G4	ADT 45	G21	MANI SAMBA			
G5	ADT 53	G22	MYSORE MALLI			
G6	ASD 16	G23	ARCOT KICHILI SAMBA			
G7	CO 51	G24	BAVANI			
G8	PMK 1	G25	POONKAR			
G9	PMK 3	G26	DRR DHAN 58			
G10	ANNA R 4	G27	KURUVA			
G11	TPS 5	G28	KUTHIRAIVALI SAMBA			
G12	AMMAN GOLD	G29	RATHASALI S4			
G13	ATHUR KICHILI SAMBA	G30	NAATU BASMATHI			
G14	VASANAI SEERAGA SAMBA	G31	SWARNA			
G15	THANGA SAMBA	G32	CSR 37			
G16	BASMATHI	G33	TRY 3			
G17	SWARNA MASURI	G34	CR1009			





Sruthi and Anbuselvam

Table 2 a . Mean performance of thirty four rice genotypes for the germination traits

GENO					(cm)				(cm)			TSL	(cm)			
TYPE	0m	40	80	120	0m	40	80	120	0m	40	80	120	0m	40	80	120
G1	100	88.0	84.0	68.0	3.9	3.00	3.00	2.50	5.0	4.40	4.00	1.30	8.9	7.40	7.00	3.80
G2	96.	72.0	62.0	60.0	7.8	1.70	0.40	0.10	4.2	2.40	1.90	0.90	12.	4.10	2.30	1.00
G3	96.	84.0	72.0	62.0	6.2	2.90	1.30	0.40	6.8	4.70	2.80	2.00	13.	7.60	4.10	2.40
G4	100	72.0	68.0	64.0	9.8	0.90	0.40	0.40	5.3	4.00	2.80	2.00	15.	4.90	3.20	2.40
G5	100	72.0	62.0	62.0	8.9	0.80	0.10	0.10	5.9	3.20	2.20	2.00	14.	4.00	2.30	2.10
G6	92.	84.0	64.0	62.0	6.0	5.10	1.00	0.70	4.5	3.20	1.50	1.70	10.	8.30	2.50	2.40
G7	96.	80.0	68.0	60.0	6.4	2.20	0.30	0.30	5.7	4.50	2.80	1.50	12.	6.70	3.10	1.80
G8	100	88.0	72.0	64.0	12.	3.80	1.00	0.80	7.5	5.00	3.30	2.00	20.	8.80	4.30	2.80
G9	96.	84.0	68.0	62.0	5.1	1.30	0.70	0.30	8.3	6.50	2.50	2.00	13.	7.80	3.20	2.30
G10	100	84.0	68.0	64.0	10.	2.30	1.00	0.50	6.5	5.00	2.50	2.50	17.	7.30	3.50	3.00
G11	100	84.0	80.0	68.0	9.5	2.60	2.00	1.20	7.5	4.70	4.50	2.50	17.	7.30	6.50	3.70
G12	100	96.0	64.0	62.0	3.1	2.40	1.00	0.70	4.9	5.10	1.50	1.70	8.0	7.50	2.50	2.40
G13	92.	72.0	68.0	60.0	5.4	1.20	0.60	0.30	5.0	3.00	2.80	1.60	10.	4.20	3.40	1.90
G14	100	84.0	72.0	62.0	9.5	2.90	1.10	0.40	5.2	5.00	3.60	1.60	14.	7.90	4.70	2.00
G15	100	72.0	60.0	76.0	9.2	2.20	0.40	0.10	4.7	2.30	1.30	0.70	13.	4.50	1.70	0.80
G16	100	68.0	72.0	60.0	13.	0.80	1.40	0.10	6.2	3.00	3.00	1.60	20.	3.80	4.40	1.70
G17	100	72.0	80.0	68.0	12.	1.40	2.50	1.40	5.5	3.90	3.40	1.90	17.	5.30	5.90	3.30
G18	88.	84.0	72.0	62.0	3.2	2.30	1.40	0.40	4.2	4.70	2.90	1.80	7.4	7.00	4.30	2.20
G19	100	88.0	72.0	64.0	11.	3.40	1.30	0.60	6.5	5.40	3.20	2.10	18.	8.80	4.50	2.70
G20	92.	80.0	68.0	62.0	4.0	2.50	1.30	0.50	5.6	3.90	2.40	1.90	9.6	6.40	3.70	2.40
G21	96.	88.0	72.0	62.0	5.0	4.50	3.00	0.30	8.0	3.50	1.30	2.10	13.	8.00	4.30	2.40
G22	100	76.0	68.0	62.0	10.	2.30	0.30	0.40	6.2	3.40	2.90	1.90	16.	5.70	3.20	2.30
G23	100	92.0	72.0	64.0	12.	3.40	1.70	0.80	5.8	6.00	3.60	1.80	18.	9.40	5.30	2.60
G24	96.	84.0	68.0	62.0	5.4	3.00	0.50	0.60	7.0	4.50	2.90	1.70	12.	7.50	3.40	2.30
G25	96.	80.0	80.0	60.0	4.5	1.80	1.90	0.10	5.4	3.80	4.10	1.60	9.9	5.60	6.00	1.70
G26	92.	88.0	68.0	60.0	3.6	5.40	1.90	0.40	2.2	2.70	2.00	1.50	5.8	8.10	3.90	1.90
G27	100	88.0	62.0	60.0	9.9	5.90	0.50	0.40	5.1	2.50	1.60	0.80	15.	8.40	2.10	1.20
G28	100	96.0	92.0	60.0	13.	8.00	4.90	0.70	5.4	4.00	4.20	0.90	19.	12.0	11.1	1.60
G29	96.	96.0	80.0	72.0	7.4	6.00	2.30	1.70	6.0	6.10	3.50	2.20	13.	12.1	5.80	4.40
G30	96.	88.0	80.0	62.0	4.9	3.60	2.30	1.00	5.7	5.20	3.50	1.10	10.	8.80	5.80	2.10
G31	100	92.0	80.0	62.0	12.	6.70	4.70	1.30	5.4	2.60	1.30	0.90	17.	9.30	6.00	2.20
G34	96.	96.0	72.0	68.0	9.7	9.30	1.80	0.80	5.7	2.80	2.50	2.40	15.	12.1	4.30	3.20
G33	100	72.0	68.0	40.0	15.	1.50	0.80	0.30	6.9	3.50	2.80	1.20	22.	5.00	3.60	1.50
CSR 37	100	88	76	72	7.2	2.7	1.8	1.90	6.7	5.3	3.9	3.3	13.	8	5.7	5.2
MEAN	97.	83.2	71.5	62.9	8.2	3.23	1.49	0.70	5.7	4.11	2.79	1.7	13.	7.34	4.34	2.4
CD	1.6	4.12	3.59	2.82	1.7	1.04	0.58	0.27	0.6	0.56	0.44	0.27	1.9	1.12	0.91	0.45

Table 2b. Mean performance of thirty four rice genotypes for the germination traits

GENO	FW (g)					DV	I (g)			WC	C (%)			N	IR	
TYPE	0m	40	80	120	0m	40m	80m	120	0m	40	80	120	0m	40	80	120
G1	0.0	0.05	0.0	0.04	0.02	0.01	0.01	0.01	77.	67.	69.	64.2	10.	9.0	8.0	3.00





Sruthi and Anbuselvam

G2 0	0.0	0.04	0.0	0.02	0.01	0.01	0.01	0.01	78.	56.	57.	54.0	13.	5.0	3.0	1.00
G3 0	0.0	0.07	0.0	0.03	0.01	0.01	0.01	0.01	81.	82.	67.	63.7	14.	13.	5.0	300
G4 0	0.0	0.03	0.0	0.03	0.01	0.01	0.01	0.01	74.	53.	61.	69.7	10.	8.0	2.0	2.00
G5 0	0.0	0.03	0.0	0.02	0.01	0.01	0.00	0.00	77.	64.	66.	62.8	10.	4.0	1.0	1.00
G6 0	0.1	0.06	0.0	0.03	0.02	0.01	0.01	0.01	81.	76.	65.	65.5	16.	8.0	4.0	1.00
G7 0	0.0	0.04	0.0	0.03	0.01	0.01	0.01	0.00	81.	71.	72.	70.6	11.	9.0	1.0	1.00
G8 0	0.1	0.09	0.0	0.06	0.02	0.01	0.01	0.01	86.	79.	75.	79.7	12.	7.0	1.0	1.00
G9 0	0.0	0.07	0.0	0.06	0.02	0.02	0.01	0.01	69.	73.	69.	77.1	11.	8.0	1.0	1.00
G10 0	0.1	0.09	0.0	0.06	0.02	0.02	0.01	0.01	87.	77.	77.	76.7	18.	15.	5.0	3.00
G11 0	0.1	0.09	0.0	0.05	0.01	0.01	0.01	0.01	89.	85.	86.	77.2	15.	11.	10.	1.00
G12 0	0.0	0.05	0.0	0.03	0.01	0.01	0.01	0.01	66.	80.	65.	65.5	11.	9.0	4.0	1.00
G13 0	0.0	0.04	0.0	0.02	0.01	0.01	0.01	0.01	83.	68.	64.	47.0	19.	6.0	4.0	2.00
G14 0	0.0	0.06	0.0	0.02	0.00	0.00	0.00	0.00	87.	87.	83.	67.5	15.	12.	5.0	3.0*
G15 0	0.0	0.05	0.0	0.10	0.01	0.01	0.01	0.01	76.	71.	56.	88.2	7.0	4.0	1.0	1.00
G16 0	0.1	0.05	0.0	0.03	0.01	0.01	0.00	0.00	87.	74.	90.	98.6	11.	3.0	6.0	1.00
G17 0	0.0	0.05	0.0	0.03	0.01	0.00	0.00	0.00	85.	83.	80.	96.3	13.	6.0	7.0	3.00
G18 0	0.0	0.05	0.0	0.02	0.00	0.00	0.00	0.00	90.	86.	80.	77.0	10.	8.0	4.0	3.00
G19 0	0.1	0.09	0.0	0.04	0.01	0.01	0.01	0.01	85.	85.	74.	74.5	13.	15.	6.0	5.00
G20 0	0.0	0.05	0.0	0.03	0.01	0.01	0.01	0.00	86.	77.	73.	70.0	7.0	8.0	3.0	3.00
G21 0	0.1	0.10	0.0	0.04	0.02	0.02	0.02	0.01	79.	77.	62.	54.5	12.	12.	3.0	2.00
G22 0	0.0	0.05	0.0	0.02	0.00	0.00	0.00	0.00	89.	84.	76.	70.5	13.	8.0	5.0	2.00
G23 0	0.1	0.07	0.0	0.02	0.01	0.01	0.00	0.00	86.	82.	77.	87.0	8.0	8.0	4.0	2.00
G24 0	0.1	0.07	0.0	0.04	0.01	0.01	0.01	0.01	82.	75.	57.	63.5	12.	16.	6.0	3.00
G25 0	0.1	0.08	0.0	0.03	0.02	0.02	0.02	0.01	79.	72.	74.	41.0	10.	8.0	3.0	1.00
G26 0	0.0	0.06	0.0	0.02	0.00	0.00	0.00	0.00	80.	85.	78.	59.5	3.0	10.	3.0	2.00
G27 0	0.0	0.05	0.0	0.02	0.01	0.01	0.01	0.01	83.	75.	61.	47.0	8.0	5.0	2.0	1.00
G28 0	0.1	0.08	0.0	0.02	0.01	0.01	0.01	0.00	82.	78.	69.	94.2	12.	8.0	5.0	1.00
G29 0	0.1	0.06	0.0	0.04	0.01	0.01	0.00	0.00	85.	82.	80.	78.2	14.	11.	5.0	4.0*
G30 0	0.0	0.05	0.0	0.03	0.01	0.01	0.01	0.01	70.	65.	58.	59.0	13.	12.	4.0	2.00
G31 0	0.1	0.07	0.0	0.03	0.01	0.01	0.01	0.01	83.	76.	71.	57.0	10.	7.0	6.0	2.00
G34 0	0.0	0.15	0.0	0.04	0.01	0.01	0.01	0.01	81.	90.	87.	72.7	19.	16.	1.0	1.00
G33 0	0.0	0.04	0.0	0.04	0.02	0.01	0.01	0.01	71.	55.	63.	56.0	12.	3.0	2.0	1.00
CSR 37 0).1	0.12	0.0	0.06	0.01	0.01	0.01	0.00	90.	91.	86.	89.5	15.	11.	2.0	2.00
MEAN 0	0.0	0.07	0.0	0.03	0.02	0.01	0.01	0.01	81.	76.	71.	69.9	11.	8.9	3.8	1.9
CD 0	0.0	0.01	0.0	0.00	0.00	0.00	0.00	0.00	3.0	4.6	4.5	7.13	1.7	1.7	1.0	0.52

Table 2c. Mean performance of thirty four rice genotypes for the germination traits

GENO		Na	(g/g)	•	g)	K (g/g)			Na	a/K	
TYPE	0mM	40m M	80m M	120m M	0mM	40m M	80m M	120m M	0mM	40m M	80m M	120m M
G1	0.003	0.0076	0.0081	0.0063	0.0067	0.0033	0.0032	0.0020	0.557	2.2821	2.4768	3.0868
	770*	92	523*	835	640*	706*	914	68	392*	577	612*	545
G2	0.005	0.0039	0.0039	0.0026	0.0037	0.0018	0.0014	0.0004	1.352	2.1531	2.7172	5.5813
	070*	59*	000*	374*	478	391	353	725	668*	25	131	953
G3	0.004	0.0109	0.0060	0.0057	0.0056	0.0029	0.0015	0.0013	0.768	3.7088	3.9767	4.3173
	353*	20	211*	222	638	444	141	254	645*	949	442	653





ISSN: 0976 – 0997

Sruthi and Anbuselvam

	1	ı	ı	ı	ı	1	ı	ı	1	ı	1	1
G4	0.005 388*	0.0048 99	0.0044 637*	0.0058 394	0.0030 68	0.0025 542	0.0015 363	0.0015 255	1.756 329*	1.9174 528	2.9054 545	3.8277 512
								0.0013				4.3910
G5	0.005 323*	0.0052 15	0.0053 730*	0.0057 563	0.0036 344	0.0024 409	0.0016 429	109	1.464 497*	2.1365 639	3.2705 314	256
	0.005	0.0083	0.0035	0.0076	0.0033	0.0034	0.0012	0.0010	1.558	2.3953	2.9711	7.2191
G6	277*	74	920*	377	851	959*	09	58	882*	488	934	781
C7	0.008	0.0060	0.0062	0.0078	0.0033	0.0017	0.0016	0.0009	2.528	3.5131	3.8899	8.3469
G7	447	68	538*	654	409	273	077	423	345	579	522	388
G8	0.005	0.0046	0.0045	0.0062	0.0077	0.0022	0.0019	0.0004	0.643	2.0758	2.3806	15.146
Go	007*	04	773*	727	778*	178	227	141	810*	929	971*	3415
G9	0.003	0.0053	0.0086	0.0037	0.0045	0.0015	0.0023	0.0009	0.816	3.5552	3.7594	3.9191
<u> </u>	686*	40	715*	854	128	02	066	659	761*	561	937	919
G10	0.006	0.0079	0.0039	0.0047	0.0055	0.0035	0.0015	0.0014	1.144	2.2032	2.5142	3.3706
GIU	375*	06	835*	488	694	882*	844	089	638*	787	857*	294
G11	0.006	0.0091	0.0119	0.0064	0.0036	0.0036	0.0044	0.0015	1.648	2.52	2.6944	4.2623
GII	057*	304	123*	057	748	232*	211	029	230*	2.32	444	574
G12	0.003	0.0062	0.0035	0.0076	0.0020	0.0018	0.0012	0.0010	1.852	3.3990	2.9711	7.2191
G1Z	860*	162	920*	377	841	288	09	58	018*	148	934	781
G13	0.005	0.0064	0.0076	0.0064	0.0058	0.0026	0.0029	0.0010	0.902	2.3941	2.5781	5.9154
GIS	264*	094	389*	122	302*	772	63	84	913*	176	25	93
G14	0.011	0.0101	0.0095	0.0101	0.0079	0.0033	0.0024	0.0017	1.416	3.0090	3.9076	5.8092
G14	309	846	867*	494	853*	846*	533	471	206*	909	087	105
C15	0.007	0.0062	0.0050	0.0049	0.0031	0.0017	0.0010	0.0006	2.247	3.6534	4.8740	7.6190
G15	155*	542	229*	08	831	119	305	442	788	653	741	476
G16	0.009	0.0048	0.0009	0.0690	0.0124	0.0016	0.0002	0.0177	0.740	3.0135	3.5697	3.8873
GIO	217	971	398*	00	500*	25	633	500*	295*	747	329	239
G17	0.022	0.0078	0.0040	0.0127	0.0128	0.0028	0.0011	0.0030	1.737	2.7827	3.4318	4.2107
G17	273	35	811*	375	182*	155	892	25	589*	586	182	438
G18	0.009	0.0132	1.4500	0.0097	0.0084	0.0029	0.3083	0.0017	1.079	4.4508	4.7027	5.5193
GIO	109	759	000	534	348*	828	333*	671	897*	671	027	798
G19	0.000	0.0110	0.0074	0.0076	0.0000	0.0058	0.0026	0.0023	0.206	1.8735	2.7935	3.25
G19	020*	392	567*	575	97	922*	693	562	186*	441	103	3.23
G20	0.004	0.0081	0.0086	0.0134	0.0044	0.0024	0.0024	0.0026	1.106	3.3333	3.5390	5.0184
G20	944*	25	286*	098	667	375	381	721	965*	333	625	049
G21	0.001	0.0087	0.0034	0.0066	0.0033	0.0062	0.0018	0.0022	0.553	1.3900	1.8353	2.9309
G21	842*	143	598*	637	289	692*	851	735	360*	088*	659*	665
G22	0.009	0.0072	0.0085	0.0149	0.0078	0.0032	0.0029	0.0023	1.222	2.2473	2.8840	6.4134
GZZ	593	069	286*	091	475*	069*	571	247	462*	118	58	078
G23	0.006	0.0065	0.0122	0.0110	0.0058	0.0030	0.0028	0.0018	1.158	2.14	4.2923	5.9866
G23	731*	344	637*	41	077*	534*	571	443	940*	2.14	077	667
G24	0.000	0.0010	0.0046	0.0160	0.0004	0.0005	0.0017	0.0018	1.764	1.9042	2.5952	8.6093
G24	822*	229*	383*	174	658	371	872	605	706*	553	381	75
G25	0.002	0.0043	0.0070	0.0076	0.0030	0.0022	0.0031	0.0022	0.688	1.9511	2.2356	3.3768
G23	119*	348*	226*	553	78	217	412	67	525*	202	115*	737
G26	0.002	0.0107	0.0088	0.0146	0.0009	0.0015	0.0011	0.0014	2.116	6.7050	7.6666	10 414
G20	012*	126	646*	292	506	977	563	045	883	36	667	10.416
G27	0.005	0.0046	0.0064	0.0083	0.0040	0.0022	0.0017	0.0021	1.368	2.0505	3.6181	3.8968
G2/	575*	489	194*	932	755	672	742	538	056*	051	818	254





Sruthi and Anbuselvam

	0.004	0.0089	0.0096	0.0113	0.0046	0.0030	0.0033	0.0043	0.948	2.9390		2.6335
G28	431*	259	225*	41	724	370*	113	064*	339*	244	2.906	570*
C20	0.004	0.0065	0.0123	0.0145	0.0036	0.0017	0.0053	0.0045	1.355	3.7540	2.3032	2.17
G29	943*	563	535*	747	476	465	636	977*	091*	323	015*	3.17
G30	0.002	0.0051	0.0077	0.0089	0.0027	0.0046	0.0040	0.0030	0.997	1.1134	1.9340	2.9197
G30	744*	87	471*	341	5	585*	057	599	980*	380*	029*	652
G31	0.007	0.0076	0.0053	0.0049	0.0055	0.0053	0.0030	0.0025	1.421	1.4344	1.7632	1.9129
GS1	890	564	000*	38	517	374*	059	814	118*	828*	094*	129*
G34	0.005	0.006	0.0154	0.0084	0.0033	0.0019	0.0048	0.0023	1.750	3.1074	3.1760	3.5521
G34	908*	0.006	194*	404	75	308	548	761	487*	919	797	236
G33	0.001	0.0031	0.0046	0.0052	0.0004	0.001	0.0010	0.0012	2.977	3.17	4.3133	4.3220
GSS	481*	700*	800*	577	972	0.001	85	165	778	3.17	641	339
CSR 37	0.009	0.0057	0.0081	0.0087	0.0043	0.0024	0.0026	0.0021	2.183	2.3413	3.0823	4.1637
CSK 37	543	5	88	94	71	56	56	12	007	17	53	17
MEAN	0.005 8	0.0069	0.0494	0.0102	0.0046 7	0.0028	0.0113	0.0023	1.35	2.72	3.25	5.2
CD (5%)	0.001 9	0.0012 8	0.1258	0.0055	0.0014	0.0006	0.0266	0.0014	0.302 7	0.5309	0.5631	1.3125

Table 2d. Mean performance of thirty four rice genotypes for the germination traits

Table 2d. Mean p		sv				SV	/II	
GENOTYPE	0mM	40mM	80mM	120mM	0mM	40mM	80mM	120mM
G1	890.00	651.20	588.00*	258.40	2.06*	1.43*	1.27*	0.972*
G2	1152.00	295.20	142.60	60.00	1.75*	1.25*	1.05*	0.69*
G3	1248.00	638.40	295.20	148.80	1.36	1.058	0.91	0.719*
G4	1510.00	352.80	217.60	240.00	1.79*	1.20*	0.93	1.03*
G5	1480.00	288.00	142.60	130.20	1.26	0.86	0.58	0.58
G6	966.00	697.20	160.00	148.80	1.85*	1.24*	0.88	0.76*
G7	1161.60	536.00	210.80	108.00	1.27	1.04	0.71	0.53
G8	2000.00	774.40	309.60	179.20	2.02*	1.74*	1.40*	0.86*
G9	1286.40	655.20	217.60	142.60	2.37*	1.72*	1.06*	0.85*
G10	1700.00	613.20	238.00	192.00	2.43*	1.71*	1.16*	0.92*
G11	1700.00	613.20	520.00	251.60	1.75*	1.16*	0.98*	0.78*
G12	800.00	720.00	160.00	148.80	1.39	1.07	0.88	0.76*
G13	956.80	302.40	231.20	114.00	1.21	0.91	0.73	0.64*
G14	1470.00	663.60	338.40	124.00	0.87	0.63	0.49	0.40
G15	9670.00*	324.00	102.00	60.80	1.63*	1.02	0.79	0.89*
G16	2010.00	258.40	316.80	102.00	1.36	0.87	0.43	0.02
G17	1750.00	381.60	472.00	224.40	1.03	0.61	0.64	0.07
G18	651.20	588.00	309.60	136.40	0.64	0.55	0.42	0.29
G19	1800.00	774.40	324.00	172.80	1.46	1.18*	0.91	0.65*
G20	883.20	512.00	251.60	148.80	1.12	0.90	0.71	0.56
G21	1248.00	704.00	309.60	148.80	2.51*	2.01*	1.61*	1.13*
G22	1640.00	433.2.00	217.60	142.60	0.87	0.59	0.48	0.37
G23	1800.00	864.80*	381.60	166.40	1.31	1.12	0.66	0.17
G24	1190.40	630.00	231.20	142.60	1.80*	1.47*	1.17*	0.91*
G25	950.40	448.00	480.00	102.00	2.12*	1.74*	1.65*	1.06*





ISSN: 0976 – 0997

Sruthi and Anbuselvam

G26	533.60	712.8.00	265.20	114.00	0.88	0.78	0.59	0.49
G27	1500.00	739.2.00	130.20	72.00	1.31	1.09	0.73	0.64*
G28	1910.00	1152.00*	1021.20*	96.00	1.89*	1.66*	1.39*	0.07
G29	1286.40	1161.60*	464.00	316.80	1.36	1.01	0.79	0.63
G30	1017.60	774.40	464.00	130.20	1.73*	1.53*	1.34*	0.76*
G31	1740.00	855.60	480.00	136.40	1.70*	1.50*	1.16*	0.80*
G34	2210.00	360.00	244.80	60.00	2.00*	1.40*	1.23*	0.70*
G33	1478.40	1161.60*	309.60	217.60	1.53*	1.46*	0.90	0.74*
CSR 37 (Check)	1390.00	704.00	433.20	374.40	1.28	0.94	0.79	0.49
MEAN	1617.06	627.66	322.94	156.2	1.56	1.19	0.92	0.6
CD (5%)	753.49307	122.7531	88.62336	35.3893	0.23460	0.190061	0.16567	0.1427

*Significant at 5% level; G% - Germination percentage, RL - Root length, SL - Shoot length, TSL - total seedling length, FW- fresh weight, DW- dry weight, WC - Water content, NR - number of roots, Na - Sodium, K - Potassium, Na/K - Sodium Potassium ratio, SV I - Seedling vigour index I and SV II - Seedling vigour index II.

Table 3. Contribution, Eigen values and proportion of variance of yield and its contributing traits to different

principal components

Treatme nt	•	0mM			40r	nM			80r	nM			120	mM	
Traits	PC1	PC2	PC3	PC1	PC2	PC3	PC4	PC1	PC2	PC3	PC4	PC1	PC2	PC3	PC4
G%	0.33	0.15 1	- 0.33 5	- 0.40 0	0.00	0.11 5	- 0.01 3	- 0.42 6	0.07 9	- 0.04 7	0.09 5	- 0.34 1	0.07	0.26 2	0.04 5
RL	0.34 7	0.28 9	- 0.25 3	- 0.34 1	- 0.01 4	0.27 3	0.45	- 0.36 3	0.01	- 0.13 9	0.22	- 0.36 6	0.10	- 0.18 9	0.02 6
SL	0.39	- 0.07 9	0.11 6	- 0.17 5	0.02	0.17 0	- 0.74 2	- 0.30 6	0.16 7	0.16	0.13 0	- 0.35 0	0.09 7	0.01 9	- 0.04 1
TSL	0.42 4	0.22 9	0.18 6	0.40 8	0.00 2	0.16 7	0.03 7	- 0.42 1	0.09	- 0.03 1	0.12 8	- 0.45 4	0.12	- 0.11 6	- 0.01 6
FW	0.36 9	- 0.01 6	0.33 4	- 0.32 5	- 0.11 6	- 0.00 2	- 0.07 4	0.24 0	- 0.10 9	0.16 0	- 0.69 3	- 0.14 6	0.23 6	0.57 4	- 0.26 8
DW	0.32	- 0.39 9	0.02 5	- 0.03 2	- 0.54 8	0.02	- 0.01 7	- 0.09 8	- 0.48 8	- 0.29 0	- 0.18 0	0.15 9	0.45	- 0.01 4	- 0.36 3
WC	0.06	0.36 2	0.36 6	- 0.28 7	0.33 4	- 0.08 4	- 0.07 8	- 0.16 6	0.35 5	0.33 7	- 0.43 5	0.30 0	- 0.27 8	0.37	0.08 6
NR	0.21	0.04	0.30 9	- 0.30 9	- 0.01 4	- 0.18 8	- 0.29 1	- 0.24 6	0.14 6	0.10	0.31	- 0.23 0	0.02 4	- 0.38 3	0.14
Na	- 0.01	0.47	0.02 8	- 0.15	0.37 1	0.39	0.19 6	0.04	0.38	- 0.55	- 0.17	- 0.05	0.44	0.08 6	0.41





Sruthi and Anbuselvam

	7			9		3				7	8	0	5		0
К	0.05	0.40	0.23	- 0.16 5	- 0.07 1	0.68	0.32	0.03	0.38	- 0.55 9	0.17	0.07	0.42	0.00	- 0.52 8
Na/K	- 0.11 4	0.07	0.43 8	- 0.00 1	0.40 6	0.39 5	- 0.06 2	0.19 2	0.28	0.10	0.04	0.08 7	0.03	0.50	0.42 7
SVI	0.11	0.08	- 0.43 0	- 0.40 7	- 0.00 4	0.18	0.04 7	- 0.41 6	0.07 5	- 0.04 8	0.16 1	- 0.45 8	0.12 6	- 0.08 5	- 0.02 7
SV II	0.34 6	- 0.37 7	- 0.00 7	- 0.15 5	- 0.51 6	0.03 4	- 0.00 2	- 0.21 8	- 0.42 9	- 0.28 6	- 0.13 2	0.07 7	0.47	0.05 1	- 0.36 7
Eigen	3.67	3.22	2.04	5.39	2.92	1.44	1.20	5.16	3.09	1.59	1.18	4.17	3.40	1.54	1.16
value	5	0	8	3	2	4	8	2	3	8	2	1	5	4	5
% of	0.28	0.24	0.15	0.41	0.22	0.11	0.09	0.39	0.23	0.12	0.09	0.32	0.26	0.11	0.09
variance	3	8	8	5	5	1	3	7	8	3	1	1	2	9	0
Cum % of variance	0.28	0.53	0.68	0.41 5	0.64	0.75 1	0.84 4	0.39 7	0.63 5	0.75 8	0.84 9	0.32	0.58	0.70	0.79 1

G% - Germination percentage, RL – Root length, SL – Shoot length, TSL – total seedling length, FW- fresh weight, DW- dry weight, WC – Water content, NR – number of roots, Na – Sodium, K – Potassium, Na/K – Sodium Potassium ratio, SV I – Seedling vigour index I and SV II – Seedling vigour index II.

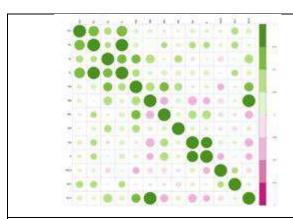


Fig.1. Correlogram depicting correlation among the germination traits at 0mM saline treatment

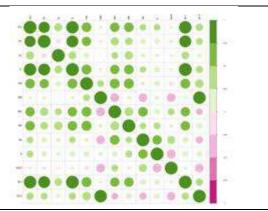
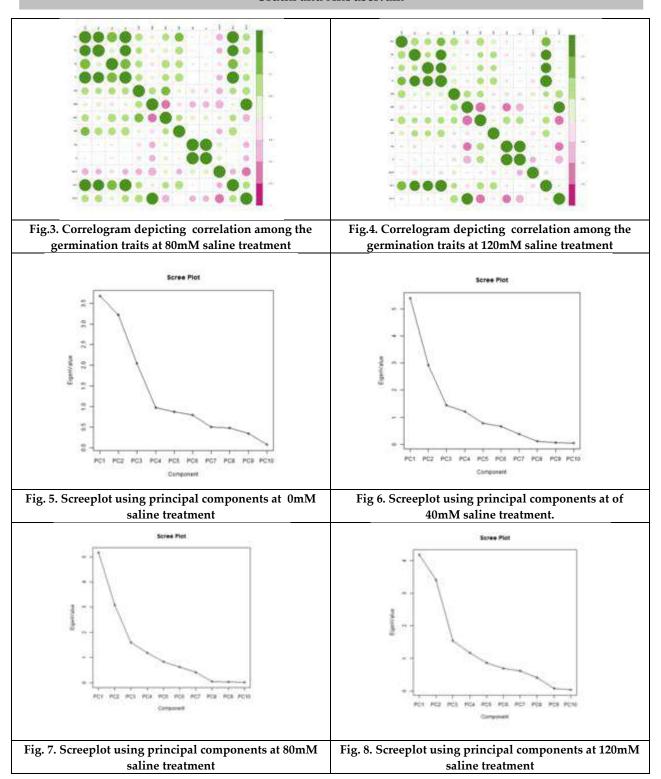


Fig.2. Correlogram depicting correlation among the germination traits at 40mM saline treatment





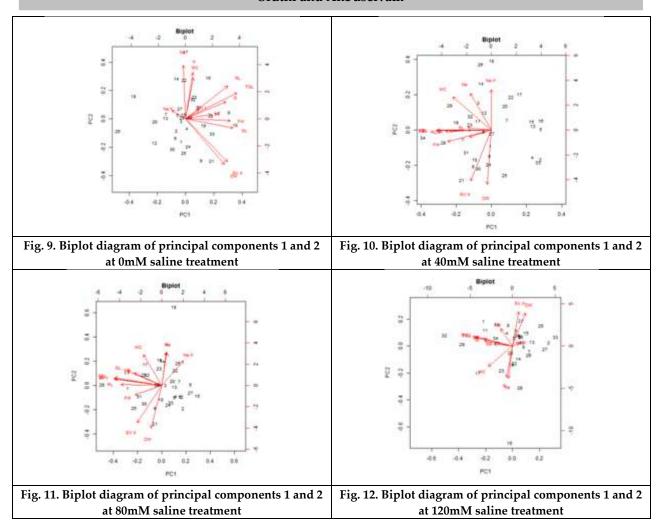
Sruthi and Anbuselvam







Sruthi and Anbuselvam







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

Knowledge and Practice of Breast Feeding among Primi Mothers in a View to Develop Information Booklet in a Selected Hospital, Morigaon District, Assam

Bakor Kharbudon¹, Nongmeikapam Monika² and Ruth Lalhmingthang³

¹Lecturer, Department of Nursing, Royal School of Nursing, The Assam Royal Global University, Guwahati, Assam, India.

²Professor cum Associate Dean, Faculty of Nursing, Assam down town University, Guwahati, Assam,

³Professor and Principal, BN College of Nursing, Mizoram, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence **Bakor Kharbudon**

Lecturer, Department of Nursing, Royal School of Nursing, The Assam Royal Global University, Guwahati, Assam, India.

Email: bakorapril16@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The first year of life of the baby is crucial in laying the foundation of good health. Breastfeeding is the ideal method suited for the psychological and physiological needs of the infant. Breast feeding provides numerous health benefits to both the mother and infant. Breast milk remains as ideal nutritional source for the infant, it is also an integral part of the reproductive process with important implications for the health of mothers. The main purpose of the study isto assess the knowledge and practice of breast feeding among primi mothers in a selected hospital Assam.A nonexperimental descriptive research approach was adopted for the study, the study was conducted in postnatal wards of Morigaon civil hospital, district, Assam. the knowledge of fifty-four primi mothers were assessed using structured knowledge questionnaire regarding breast feeding, and the practice regarding breastfeeding were assessed by using observational checklist. The major finding of the study revealed that (75.93%) primi mothers had moderate knowledge (24.07%) had an adequate knowledge, and (74.07%) had a good practice whereas (25.93%) had a poor practice regarding breast feeding. The present study concluded that the level of knowledge of primi mothers regarding breastfeeding was moderate on the basis of findings. it is recommended that a similar study can be replicated in another setting. it is also recommending that effectiveness of self-instructional module can be assessed.

Keywords: Knowledge, practice, breast feeding, primi mothers, information booklet





Bakor Kharbudon et al.,

INTRODUCTION

Breastfeeding is the "Gold Standard" for infant feeding. There are several areas of biological superiority of breastfeeding and breast milk over artificial (formula) milk. Obstetricians and midwives should educate the mother during prenatal and postnatal care for the usefulness of breast feeding[1]. World Health Organization (WHO) recognizes breast milk as the best nutritional food source for infants, which should be available to babies deprived of their mother's milk. WHO and UNICEF recommend that breastfeeding should commence within one hour of the child's birth, it should be administered during the first six months of the child's life and should ideally continue till the age of two to ensure the healthy growth and development of the child apart from reducing the rate of child mortality[2]. Although breastfeeding is the "gold standard," not all women breastfeed their infants. According to UNICEF's State of the World's Children Report 2011, of the 136.7 million babies born worldwide each year, only 32.6% are breastfeed exclusively for the first 6 months. Some mothers make the choice not to breastfeed, but others might be unable to breastfeed because of medical conditions, such as HIV, or other reasons, such as problems producing milk[3].

OBJECTIVE OF THE STUDY

- 1. To assess the knowledge of breast feeding among primi mothers
- 2. To assess the practice of breast feeding among primi mothers
- 3. To determine the association between the knowledge of breast feeding among primi mothers with selected demographic variables
- 4. To determine the association between the practice of breast feeding among primi mothers with selected demographic variables
- 5. To determine the correlation between the knowledge and practice of breast feeding among primi mothers

MATERIALS AND METHOD

A non-experimental descriptive research approach was adopted for the study. the study was conducted in postnatal wards of Morigaon civil hospital, district, Assam among 54 primi mothers. Ethical clearance certificate and formal permission was taken from the concerned authorities and participants to conduct the research study. The tools used for the study was demographic variable, structured knowledge questionnaire and observational checklist. Non-probability Purposive sampling technique was used for selecting the primi mothers who had normal vaginal delivery. The knowledge of fifty-four primi mothers were assessed using structured knowledge questionnaire, and the practice regarding breastfeeding were assessed by using observational checklist. The data obtained were analysed in terms of objective of the study by using descriptive and inferential statistics.

Inclusion criteria

The study includes those Primi mothers

- who were available at the time of data collection.
- who are willing to participate in the study.
- who can understand and write Assamese.

Exclusion criteria

The study excludes those primi mothers

- With Postpartum complications
- who had a baby with any complication after birth.
- who undergone Caesarean delivery.





Bakor Kharbudon et al.,

RESULT

With reference to the sample characteristics presented in Table 1, most of the primi mothers, 44.4% (24) belongs to the age group between 21-26 years, 38.9% (21) had a primary education, 75.9% (41) were unemployed, 40.7% (22) had a family income of 10,002 – 29,972 per month, 61.1% (33) belongs to rural area and majority of the primi mothers 63.0% (34) had received an information regarding breast feeding through health personnel. With reference to the knowledge presented in fig1, majority 75.93% (41) of the primi mothers had moderate knowledge, 24.07% (12) had an adequate knowledge regarding breast feeding and none of the participant falls under inadequate knowledge. With reference to the practice presented in fig 2, it replicates that 74.07% (40) of the primi mothers had a good practice whereas 25.93% (14) had poor practice regarding breast feeding. With reference to the association on level of knowledge regarding breast feeding among primi mothers with the selected demographic variables, the analysis presented in Table 2 showed that there was a significant association found between knowledge of primi mothers with selected demographic variables viz. education of the participant. Hence the research hypotheses were accepted and null hypotheses were rejected With reference to the association on level of practice regarding breast feeding among primi mothers with the selected demographic variables, the analysis presented in Table 3 showed that there was a significant association found between practice of primi mothers with education of the participant regarding breast feeding. Hence the null hypotheses were rejected, and research hypotheses was accepted in terms of education of participant. With reference to the correlation between level of knowledge and practice regarding breast feeding among primi mothers. The analysis presented in Table 4 showed a significant correlation (r = 0.462 atp<0.001) So, the null hypotheses is rejected, and research hypotheses is accepted which implies that there is a moderate positive correlation between level of knowledge and practice regarding breast feeding

DISCUSSION

The findings of the study have been discussed with reference to the objective, hypotheses, and findings from other studies.

To assess the knowledge of breast feeding among primi mothers in a selected hospitals

In the present study, the knowledge of the primi mothers regarding breast feeding was assessed by administering structured knowledge questionnaire. The result reveals that 75.93% primi mothers had a moderate knowledge and 24.07% had adequate knowledge regarding breast feeding. The study is supported by a cross-sectional study conducted by Mohite RV, et.al., (2012) at Krishna Hospital and Medical Research Center, Karad district Satara. The objective of the study is to assess the knowledge of breast feeding among primi- gravida mothers and to determine the association between socio-demographic variables with their knowledge. Hospital based cross sectional study was conducted in Krishna Hospital and Medical Research Center, Karad district Satara among 590 married primi gravid mothers attending anti-natal clinic during study period by utilizing personal interview method pre-tested structured proforma to collect information. Out of 590 primi gravida mothers,59.66% showed fair quality of knowledge about breast feeding. knowledge about rooming in, family support for breast feeding & burping after breast feeding was 97.7%, 95.4%, 93.5% however weaning, colostrums feed, hazards of bottle feeding and prelactal food was 84%, 82.7%,75.5% and 54% respectively. Statistical association was existed between age, education, religion, socio-economic status & occupation of respondents with their knowledge about breast feeding. The knowledge of breast feeding among primi gravida mothers attending ANC clinic was of fair in quality 4

To assess the practice of breast feeding among primi mothers in a selected hospitals

In the present study, the practice of the primi mothers regarding breast feeding was observed by observational checklist. The result reveals that 74.07% had a good practice and 25.93% had a poor practice regarding breast feeding. It is supported by a descriptive study conducted by Rani U and Bhattacharjee T (2018) A study to assess the knowledge and practice regarding techniques of breast feeding among primipara mothers in selected hospital of Delhi with a view to develop self-instructional module. The purpose of this study is to assess the knowledge and





Bakor Kharbudon et al.,

practice of primipara mothers regarding breast feeding technique with a view to develop self-instructional module. According to the Objectives of the study the data collected by from sample who met sampling criteria by using non-probability convenient sampling. The knowledge and practice were assessed by using breastfeeding knowledge questionnaire, infant feeding and breast-feeding practice checklist respectively the present pilot study was carried out among 20 primipara mothers. The study revealed that the knowledge of primipara mothers regarding breastfeeding was not adequate and that was reflected on their practice of breastfeeding. Primary care givers need to implement strategies to educate primi mothers about breastfeeding to enhance good breastfeeding practice thereby reducing infant mortality and morbidity[5].

To find out the association between knowledge of breast feeding among primi mothers with the selected demographic variables

The present study reveals that there is significant association between knowledge of breast feeding among primi mothers with demographic variables for education of the participant χ^2 =8.609 at significant level of p < 0.05

The present study is supported by a cross- sectional study conducted by **Habibi M**, **et.al.**, **(2018)**To examine the association between the knowledge of breastfeeding and maternal socioeconomic and demographic characteristics, and to determine any impact on child nutritional. A cross-sectional study using both qualitative and quantitative methods was conducted with mothers of infants aged six- to twenty-four months. Data was collected by a semi-structured questionnaire and face-to-face, in-depth interviews with mothers to get an insight into their breastfeeding perceptions and experiences. Educational achievement and occupational class were used as indicators of socio-demographic status. Nutritional status was assessed by anthropometric measurements. The study reveals a significant relationship between exclusive breastfeeding and the mother's education (P < .001) and socio-economic status (P < .001) has been highlighted. A strong association was found between maternal employment and exclusive breastfeeding (P < .001). the findings of the study shed some light on challenges faced by mothers, as well as an association between socio-demographic characteristics and practices for facilitating exclusive breastfeeding to guide the mothers in breastfeeding management[6].

To find out the association between practice of breast feeding among primi mothers with the selected demographic variables

The present study reveals that there is significant association between practice of breast feeding among primi mothers with demographic variables for education of the participant χ^2 =9.136 at significant level of p < 0.05. The study is supported by a prospective cross-sectional study conducted by Dr. Haricharan K R, et.al (2017) To assess the knowledge, attitude and practice of breast feeding among admitted postnatal mothers and to find out their relationship with socio demographic factors. A cross sectional study was carried out in PES Institute of Medical Sciences and research, KUPPAM. The study population included 240 post-natal mothers admitted in the hospital. A face-to-face interview was conducted after delivery during second post-natal day using pretested questionnaire. The study reveals that majority (n=201, %=87.5) of mothers belong to age group of 18 to 26 with mean of 23.4 and standard deviation of 3.14. Maximum (87.5%) mothers belonged to Hindu religion. More than half of them were housewives (61%) living in nuclear families and up to 40% of study population were employed. Majority of mothers from study group were primi - para (54.1%). Prelacteal feeds were given by 16% and colostrum was discarded by 8% of mothers. About 80% of mothers were knowledgeable and likely to exclusive breast fed their babies. Antenatal counselling was received by 93.3% of mothers and majority of them by doctor 45.91%. Significant association is seen with antenatal counselling (pvalue<0.03) and good breastfeeding practises in post-natal mothers. The study concludes that antenatal counselling promotes good breast-feeding practises hence existing antenatal counselling on breastfeeding needs to be strengthened by informing all pregnant women about the benefits of breastfeeding and motivating them by curtailing their ill beliefs regarding breastfeeding and educating them that breast Feeding is the healthiest and safest way to feed babies[7].

To determine the correlation between knowledge and practice of breast feeding among primi mothers in selected hospitals

The present study reveals that there is a moderate positive correlation between knowledge and practice of breastfeeding regarding breast feeding r = 0.462 a significant level of p<0.001





Bakor Kharbudon et al.,

The present study is supported by a comparative cross sectional study conducted by **Chanda Mog (2021)** onknowledge, attitude and practices regarding Breast Feeding Among Primiparous and Multiparous Mothers in an Urban Slum, West Tripura. The objective of the study was to assess the knowledge, attitude and practices (KAP) regarding breastfeeding among primiparous and multiparous mothers. The study was conducted among 200 mothers (100 primiparous and 100 multipara) in an urban slum and eligible mothers were selected using simple random sample technique. Out of 200 mothers, 118 (59%) had adequate knowledge and 86 (43%) mothers were correctly done breast feeding practices. However, 52% multiparous were found more correctly practicing breastfeeding than primiparous (34%) and it was statistically significant (P=0.01). The level of knowledge among multiparous (71%) were found more adequate than primiparous (47%) and significant difference was observed (P=0.001). Most of mothers (83%) had positive attitude towards breastfeeding (82% primiparous and 84% multiparous) and mothers did not have any negative attitude towards breastfeeding. The study concludes that the level of Knowledge is still needed to be improved in primipara mothers and however, the correct practice of breastfeeding was also found low in primipara mothers than multipara mothers [8].

CONCLUSION

The present study was conducted to assess the knowledge and practice of breast feeding among primi mothers in a view to develop information booklet in a selected hospital Morigaon District, Assam. A total of 54 primi mothers were participated in the study. Out of 54 respondents it was found that 75.93% primi mothers had moderate knowledge, 24.07% (12) had an adequate knowledge, and 74.07% (40), had a good practice whereas 25.93% (14) had a poor practice regarding breast feeding.

Based on the findings, the researcher concluded that the mother had moderate knowledge and good practice level regarding breast feeding. The knowledge and practice go hand in hand. So, if their knowledge increases their practice level also increased.

REFERENCES

- 1. Dutta's DC textbook of obstetrics 9th Edition Jaypee Brothers Medical Publishers (P) Ltd. New Delhi 110002, India, P.421
- 2. World Breastfeeding Week: Sustaining breastfeeding together! 01 AUGUST 2017 Last Updated at 12:16 PM | SOURCE ANI,New Delhi [internet] [India] [cited 2021 June 22] available from https://www.outlookindia.com/newsscroll/world-breastfeeding-week-sustaining-breastfeeding-together/1114103
- 3. Research on Breastfeeding & Breast Milk at the NICHD Monday, August 6, 2012 [internet][cited2021June]https://www.nichd.nih.gov/newsroom/resources/spotlight/080612-world-breastfeeding-week
- 4. Mohite RV, Mohite VR, Kakade SV Knowledge of breast feeding among primigravida mothers, Bangladesh Journal of Medical Science Vol. 11 No. 04 Oct'12 [cited2021June25] Available from https://pdfs.semanticscholar.org/ff88/4fc2ee688cbdd50232e4d9be1f99f857e0ae.pdf? ga=2.168288548.400249464.1624638844-1568102778.1624461004#page=2
- 5. Usha Rani, T Bhattacharjee. A study to assess the knowledge and practice regarding techniques of breast feeding among primipara mothers in selected hospital of Delhi with a view to develop self-instructional module. Pharma Innovation 2018;7(12):113-117 [cited 2021 June 25] Available from https://www.thepharmajournal.com/archives/?year=2018&vol=7&issue=12&ArticleId=2803
- Habibi M, Laamiri FZ, Aguenaou H, Doukkali L, Mrabet M, Barkat A. The impact of maternal sociodemographic characteristics on breastfeeding knowledge and practices: An experience from Casablanca, Morocco. Int J PediatrAdolesc Med. 2018 Jun;5(2):39-48 [cited 2021 June 25] doi: 10.1016/j.ijpam.2018.01.003. Epub 2018 May 1. PMID: 30805532; PMCID: PMC6363246.





Bakor Kharbudon et al.,

- 7. Dr. Haricharan K R, Dr. Keerthi Vardhan, & Dr. Rajendra Naidu. (2017). Knowledge, attitude and practise of breast feeding among postnatal mothers at rural tertiary hospital. Pediatric Review: International Journal of Pediatric Research, 4(2), 113-119. [cited 2021 June 25] https://doi.org/10.17511/ijpr.2017.i02.04.Availablefrom https://pediatrics.medresearch.in/index.php/ijpr/article/view/239
- 8. Mog C. Knowledge, Attitude and Practices Regarding Breast Feeding Among Primiparous and Multiparous Mothers in an Urban Slum, West Tripura: A Comparative Cross Sectional Study, Biomed Pharmacol J 2021; 14(1) [cited 2021 June 25] DOI: https://dx.doi.org/10.13005/bpj/2140. Available from https://biomedpharmajournal.org/vol14no1/

Table 1: frequency and percentage distribution of demographic variables n = 54

Demographic Variables	Frequency (f)	
Age		
a. Below 20 years	16	29.6
b. 21 – 25 years	24	44.4
c. 26-30 years	14	26
Education of the participant		
a. No formal education	18	33.3
b. Primary	21	38.9
c. Middle school	10	18.5
d. Higher secondary and above	5	9.3
Occupation of the participant		
a. Unemployment	41	75.9
b. Government	5	9.3
c. Private	5	9.3
d. Self-employment	3	5.6
Family income per month		
a. 49,962-74,755	5	9.3
b. 29,973-49,972	8	14.8
c. 10,002-29,972	22	40.7
d. ≤10,001	19	35.2
Place of residence		
a. Urban	21	38.9
b. Rural	33	61.1
Source of information regarding breast feeding		
a. Family member	2	3.7
b. Social media	3	5.6
c. Peer group	15	27.8
d. Health personnel	34	63.0





Bakor Kharbudon et al.,

Table 2: Association between knowledge of breast feeding among primi mothers with the selected demographic variables n=54

Demographic variables	Knowled	dge score	Chi square (χ^2)	df	P value	Inference
	Moderate	Adequate				
1.Age	14	2				
a. Below 20 years	17	7				
b. 21-25 years	10	4	1.668	2	0.434	NS
c. 26-30 years						
2.Education of the participant-						
a. No formal education	10	8				
b. Primary	20	1	8.609	3	0.035	S
c. Middle school	7	3	0.007		0.033	3
d. Higher secondary and above	4	1				
3.Occupation of the participant- a. Unemployment b. Government c. Private d. Self-employment	31 4 5 1	10 1 0 2	4.610	3	0.203	NS
4.Family income per month-						
a. 49,962-74,755	4	1				
b. 29,973-49,972	6	2				
c. 10,002-29,972	17	5	0.123	3	0.989	NS
d. ≤10,001	14	5	0.120			110
5.Place of residence						
a. Urban	18	3				
b. Rural	23	10	1.801	1	0.180	NS
6.Source of information regarding breast						
feeding-	2	0				
a. Family member	2	1	1.704			NS
b. Social media	10	5		3	0.636	
c. Peer group	27	7				
d. Health personnel						

^{*}p<0.05, S – Significant, N.S – Not Significant

Table 3: Association between practice of breast feeding among primi mothers With the selected demographic variables.

Demographic variables	Knowledge score		Chi square (χ^2)	df	P value	Inference
	Poor	Good				
1. Age a. Below 20years b. 21-25 years c. 26-30 years	6 6 2	10 18 12	2.115	2	0.347	NS
Education of the participant- a. No formal education	2	16	9.136	3	0.028	S





Bakor Kharbudon et al.,

b. Primary	10	11				
c. Middle school	2	8				
d. Higher secondary and above	0	5				
3. Occupation of the participant-						
a. Unemployment	11	30				
b. Government	0	5	2.369	3	0.499	NS
c. Private	2	3	2.309	3	0.477	
d. Self-employment	1	2				
4. Family income per month-	0	5				
a. 49,962-74,755	3	5	2.741	3	0.433	NS
b. 29,973-49,972	5	17				
c. 10,002-29,972	6	13				
d. ≤10,001		10				
5.Place of residence	5	16				NS
a. Urban	9	24	0.080	1	0.735	
b. rural						
6.Source of information regarding breast						
feeding-	0	2				
a. Family member	1	2	1.275			
b. Social media	3	12	1,2/5	3 0.735	NS	
c. Peer group	10	24				
d. Health personnel						

^{*}p<0.05, S – Significant, N.S – Not Significant

Table 4: Correlation between knowledge and practice scores of breasts feeding among primi mothers n=54

Category	Mean	SD	r-value	p-value	Inference
Knowledge	9.74	±1.71	0.462	· - 0 0001	C***
Practice	8.26	±1.91	0.462	p = 0.0001	3

***p<0.001, S – Significant



Fig 1: bar diagram showing percentage distribution of knowledge score.

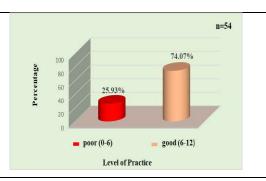


Fig 2: bar diagram showing percentage distribution of practice score.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

The Sustainable Fuzzy Eoq Model under Tax Emissions

V.Vinola Elisabeth^{1*} and S.Rexlin Jeyakumari²

¹Research Scholar, Department of Mathematics, Holy Cross College (Autonomous), Affiliated to Bharathidasan University, Tiruchirappalli, Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Holy Cross College (Autonomous), Affiliated to Bharathidasan University, Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence V.Vinola Elisabeth

Research Scholar, Department of Mathematics, Holy Cross College (Autonomous), Affiliated to Bharathidasan University, Tiruchirappalli, Tamil Nadu, India. Email: vinoofficial98@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Emissions of greenhouse gases contributes enormously to global warming, which accelerates temperature rise, disrupts ecosystems, and threatens human health and wildlife. In the industrial sector, increased CO2 emissions can result in tighter regulations, higher operational costs, and serious reputational damage. Incorporating pollution considerations into inventory management can encourage entities to improve their environmental practices. The main objective of this model is to propose Sustainable EOQ model with tax emissions and its considerations under uncertain environment. All the cost parameters are considered as hexagonal fuzzy numbers. Mathematical formulations are discussed in both Crisp and fuzzy sense. Extension of Lagrangian principle is used to obtain optimal solution. Finally the model illustrated with the Numerical example.

Keywords: Carbon Tax, sustainable, Inventory, Emission.

INTRODUCTION

Erupting co2 emissions have been detrimental to the ecological health in the developing world. With the increasing of industries and urbanization, greater quantities of carbon ends up released into earth's atmosphere. A corresponding rise in greenhouse gases intensifies the rate of warming and environmental degradation. Environmental sustainability has grown into a top priority among many companies around all over the globe. In responding to elevated benchmarks from governing bodies, prospects, and stakeholders. Business entitites are launching steps intended to minimize their adverse social and environmental consequences, while still being profitable overtime. Based on the vital relevance of global environmental issues and the intricate connection between





Vinola Elisabeth and Rexlin Jeyakumari

expansion of industries and handling environmental issues, sustainable development and a sense of being sustainable are beginning to gain popularity. The persistent increase in temperatures around the world has driven both consumers and industry to put greater emphasis on emission reductions and safeguarding the environment.[1,2] Inventory remains vital to a company's long-term survival. The majority of supply chain sectors strive to fulfill their consumer demand, enhance client satisfaction, boost receptivity, and optimize productiveness[3]. Alongside with contending on price and assistance, the supply chains are progressively concentrating on ecological sustainability[2]. Inventory costs frequently fall into these categories, ordering costs, which are the costs incurred for ordering things; holding costs, that encompassing the price of maintaining the commodities in facilities, and procurement expenses which related to purchasing specific quantities of goods. These inventory systems have an immense effect on a supply chains emission levels. Stock levels might affect pollutants, especially specific inventory levels either raising or lowering the adverse ecological effects depending on the way the items are carried and operated.[3]. One of the keys for any company's flourishing operations remains in the stock it holds. Conservation issues have emerged as an ongoing emphasize for governments as well as industry. In order to remain fiercely competitive in the business world, companies must focus on environmental complications, like carbon emission, into their strategic initiatives[4,5]. In recent decades, there has been an upsurge of curiosity in the developing inventory structures that adhere to various carbon pricing guidelines. In order to reduce emissions from the industrial processes, regulatory bodies across various advanced economies have enacted a variety of restrictions, But carbon pricing constitutes a notable approach in the industrial aspects.

This tax imposes a fee for the carbon emissions produced by the manufacturers, consequently, with the carbon emission regulations, businesses can try to enhance their management of inventory operations to reduce the carbon emissions amount. In recent times, the emergence of inventory models under various carbon price standards has emerged as a major trend, sparking extensive studies[6]. Arslan and Turkay (2013)[7] extended the traditional EOQ framework through integrating sustainability into carbon pricing systems. Bonney and Jaber (2011)[8] pointed out the necessity of including the effects of environmental factors in inventory models, compared them with conventional strategies. Chen, Benjaafar, and Elomri (2013)[9] displayed that the substantial reduction in emissions can be achieved at minimal expenditure with operational adjustments merely. Battini, Persona, and Sgarbossa (2014)[10] proposed a model that includes environmentally related considerations into a single-product replenishment situation via direct accounting principles. Hovelaque and Bironneau (2015)[11] established a model that investigates sustainability with regard to prices and emission rates, indicating that carbon tax adoption helps both the ecosystem (via lower pollutants) and customers (by more high demand). Dou, Guo, Zhang, and Li (2019)[12] proposed a model for carbon taxation that includes fluctuating rates of taxes over two distinct time frames. Datta (2017) [13]explored a production-inventory model approach that takes into account carbon emissions into implementing taxes concepts. Taleizadeh, Soleymanfar, and Govindan (2018)[14] provided an inventory model which includes sustainability considerations across different shortage policies, highlighting that the approach with partial backordering seemed more comprehensive and effective than the others. Hua, Cheng, and Wang (2011)[15], Kazemi et al. (2018)[16], Wahab, Mamun, and Ongkunaruk (2011)[17] all contributed major advances to this field.

The marketplace is filled with goods and commodities, in which price swings and slides often induce major uncertainties. Fuzzy set theory, with an emphasis on characterizing ambiguity and inaccuracy, has emerged as a vital instrument for utilizing inventory management. Since its inception, the fuzzy set theory's primary task has been marked to address uncertainty-related concerns. Plenty of market factors contributes to this unpredictability, rendering fuzzy set theory essential for fostering inventory studies through the establishment of novel approaches. The adoption of fuzzy models in inventory theory is a major experimental methodology, and many researchers have used fuzzy set theory to come up with far better inventory models[18]. Sharma, Tiwari, Yadavalli and Jaggi(2020) [19] and Mallick and others(2021) [20] proposed an inventory model that accounts for uncertain lead times. Khatua et al,(2021)[21] proposed a production management model in an environment of uncertainty, whereas Govindan (2015)[22] addressed sustainable supply chain management under similar fuzzy situations. Adak and Mahapatra(2020)[23] developed an inventory model that Two-echelon imperfect production supply chain with probabilistic deterioration rework and reliability under fuzziness. Moreover, Pal(2015)[24] made an inventory model





Vinola Elisabeth and Rexlin Jeyakumari

featuring various fuzzy numbers. and Govindan and others(2020)[25]developed a closed-loop supply network in an imprecise uncertain environment. Ghasemkhani et al (2019)[26] examined the EOQ model for perishable products with fuzzy demand rate. Padiyar and others (2022)[27] developed a joint replenishment method for a multi-item, multi-echelon supply chain model with diminishing products in an inaccurate and inflationary scenario. Karthick and Uthayakumar (2021)[28] developed a sustainable supply chain model that takes into consideration of carbon emissions in the context of fuzzy demand. Recently, Utama and others(2019)[29].examined the problems of determining lot sizes while considering environmental sustainability and capital restrictions into account while purchasing raw materials and paying taxes. The proposed research addresses an inventory model with cost attributes such as ordering, purchasing, and holding costs, along with the corresponding emission considerations and their emission tax. In addition, several cost parameters are represented as hexagonal fuzzy numbers. The solution process is based on an extension of the Lagrangian method, with the Graded Mean Integration representation method used to defuzzify the parameters and achieve optimal results. The values are compared in both crisp and fuzzy forms using a numerical example.

Definitions

An outline of the relevant fuzzy definitions is presented as follows.

Fuzzy Set

A fuzzy set \tilde{C} in a universe of discourse X is defined as the following set of pairs $\tilde{C} = \{(x, \mu_{\tilde{c}}(x) : x \in X)\}$. Here $\mu_{\tilde{C}}: X \to [0,1]$ is a mapping called the membership value of $x \in X$ in a fuzzy set \tilde{C} .

Graded Mean Integration Representation Method

If $\tilde{B} = (b_1, b_2, b_3, b_4, b_5, b_6)$ is a Hexagonal fuzzy number then the graded mean representation method of \tilde{B} is a defined as $P(\tilde{B}) = \frac{1}{12}(b_1 + 3b_2 + 2b_3 + 2b_4 + 3b_5 + b_6)$

Arithmetic Operations under Function Principle

The arithmetic operations between Hexagonal fuzzy numbers proposed are given below.

Let us consider $\tilde{A} = (a_1, a_2, a_3, a_4, a_5, a_6)$ and $\tilde{B} = (b_1, b_2, b_3, b_4, b_5, b_6)$ be two Hexagonal fuzzy numbers.

$$\tilde{A} \oplus \tilde{B} = (a_1 + b_1, a_2 + b_2, a_3 + b_3, a_4 + b_4, a_5 + b_5, a_6 + b_6)$$

$$\tilde{A} \ominus \tilde{B} = (a_1 - b_6, a_2 - b_5, a_3 - b_4, a_4 - b_3, a_5 - b_2, a_6 - b_1)$$

$$\tilde{A} \otimes \tilde{B} = (a_1b_1, a_2b_2, a_3b_3, a_4b_4, a_5b_5, a_6b_6)$$

$$\tilde{A} \oslash \tilde{B} = \left(\frac{a_1}{b_6}, \frac{a_2}{b_5}, \frac{a_3}{b_4}, \frac{a_4}{b_2}, \frac{a_5}{b_5}, \frac{a_6}{b_4}\right)$$

Extension of the Lagrangean Method

Taha discussed how to solve the optimum solution of nonlinear programming problem with equality constraints by using Lagrangean Method, and showed how the Lagrangean method may be extended to solve inequality constraints. The general idea of extending the Lagrangean procedure is that if the unconstrained optimum the problem does not satisfy all constraints, the constrained optimum must occur at a boundary point of the solution space. Suppose that the problem is given by Minimize y = f(x) sub to $gi(x) \ge 0$, i = 1, 2, 3...m The non-





Vinola Elisabeth and Rexlin Jeyakumari

negativity constraints $x \ge 0$ if any are included in them constraints. Then the procedure of the Extension of the Lagrangean method involves the following steps.

Step 1: Solve the unconstrained problem Min y = f(x) If the resulting optimum satisfies all the constraints, stop because all constraints are redundant. Otherwise, set k = 1 and go to step 2.

Step 2: Activate any k constraints (i.e., convert them into equality) and optimize f(x) subject to the k active constraints by the Lagrangean method. If the resulting solution is feasible with respect to the remaining constraints and repeat the step. If all sets of active constraints taken k at a time are considered without encountering a feasible solution, go to step 3.

Step 3: If k = m, stop; no feasible solution exists. Otherwise, set k = k + 1 and go to step 2.

Notations

This research study utilized the following notations that were mostly derived from Maulana's (29) model, to construct the proposed sustainable inventory system.

 k_d - Demand

 C^p - cost per order

 p^u - purchasing cost per unit

 g^h - holding cost per unit

 \boldsymbol{e}_{o} - Total emission from ordering

 $oldsymbol{e}_{p_e}$ - Total emission from purchasing

 e_h - Total emission from holding

 $\mathcal{X}_{e_{\star}}$ - emission tax cost

 \overline{K}_d -Fuzzy Demand

 C^p -Fuzzy cost per order

 p^u -Fuzzy purchasing cost per unit

 g^h -Fuzzy holding cost per unit

 $e_{_{\alpha\alpha}}$ -Total emission from ordering

 e_{pe}^{\parallel} -Total emission from purchasing

 e_{h_a} -Total emission from holding

 X_{ot} -emission tax cost

 R_{SD} - optimal number of orders

 \overline{R} - Fuzzy optimal number of orders

 $TIC(R_{sr})$ - optimal total inventory cost





Vinola Elisabeth and Rexlin Jeyakumari

 $TIC(R_{sp})$ - Fuzzy optimal total inventory cost

Assumptions

Assumptions of the SEOQ problems consist of (1) demands, order cost, purchasing cost, holding cost, tax fees, total emissions are considered under uncertain environment (2) components of the assumption are taken as hexagonal fuzzy numbers

Mathematical model

In this model, the study accounted for the costs included with sustainable inventory, considering environmental impact cost, as well as ordering, purchasing, and holding costs. The total inventory cost is formulated as follows:

Mathematical model in crisp sense

$$TIC(R_{sp}) = \frac{(c^{p} + x_{et}e_{oe})K_{d}}{R} + K_{d}(p^{u} + x_{et}e_{pe}) + \frac{(g^{h} + x_{et}e_{he})}{2}R \qquad(1)$$

Differentiating (1) with respect
$$R$$
 ,and equate to 0, we get, $R = \sqrt{\frac{2(c^p + x_{et}e_{oe})k_d}{(g + x_{et}e_{he})}}$ (2)

Mathematical model in Fuzzy sense: -

$$TIC(R_{sp}) = \left\{ \frac{\left(C^p + X_{et} e_{oe}\right) \overline{k}_d}{R} + \overline{k}_d \left(\overline{p}^u + X_{et} e_{pe}\right) + \frac{\left(\overline{g}^h + X_{et} e_{he}\right)}{2} R \right\} \qquad \dots (3)$$

Now.





Vinola Elisabeth and Rexlin Jeyakumari

$$\begin{split} & \frac{\left(c^{p}_{1} + x_{et_{1}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{1}} \left(p^{u}_{1} + x_{et_{1}} e_{pe_{1}}\right) + \frac{\left(g^{h} + x_{et_{1}} e_{he_{1}}\right)}{2} R, \\ & \frac{\left(c^{p}_{2} + x_{et_{2}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p^{u}_{2} + x_{et_{2}} e_{pe_{2}}\right) + \frac{\left(g^{h} + x_{et_{2}} e_{he_{2}}\right)}{2} R, \\ & \frac{\left(c^{p}_{3} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{3} + x_{et_{3}} e_{pe_{3}}\right) + \frac{\left(g^{h} + x_{et_{3}} e_{he_{3}}\right)}{2} R, \\ & \frac{\left(c^{p}_{4} + x_{et_{4}} e_{oe_{4}}\right) K_{d_{4}}}{R} + K_{d_{4}} \left(p^{u}_{4} + x_{et_{4}} e_{pe_{4}}\right) + \frac{\left(g^{h} + x_{et_{3}} e_{he_{3}}\right)}{2} R, \\ & \frac{\left(c^{p}_{5} + x_{et_{5}} e_{oe_{5}}\right) K_{d_{5}}}{R} + K_{d_{5}} \left(p^{u}_{5} + x_{et_{5}} e_{pe_{5}}\right) + \frac{\left(g^{h} + x_{et_{5}} e_{he_{5}}\right)}{2} R, \end{split}$$

Now we are going to defuzzify the total cost

$$TIC(R_{sp})$$

$$\frac{\left(c^{p}_{1} + x_{et_{1}}e_{oe_{1}}\right)K_{d_{1}}}{R} + K_{d_{1}}\left(p^{u}_{1} + x_{et_{1}}e_{pe_{1}}\right) + \frac{\left(g^{h} + x_{et_{1}}e_{he_{1}}\right)}{2}R \right) + \frac{\left(g^{h} + x_{et_{1}}e_{he_{1}}\right)}{2}R$$

$$+ 3\frac{\left(c^{p}_{2} + x_{et_{2}}e_{oe_{2}}\right)K_{d_{2}}}{R} + K_{d_{2}}\left(p^{u}_{2} + x_{et_{2}}e_{pe_{2}}\right) + \frac{\left(g^{h} + x_{et_{2}}e_{he_{2}}\right)}{2}R$$

$$+ 2\frac{\left(c^{p}_{3} + x_{et_{3}}e_{oe_{3}}\right)K_{d_{3}}}{R} + K_{d_{3}}\left(p^{u}_{3} + x_{et_{3}}e_{pe_{3}}\right) + \frac{\left(g^{h} + x_{et_{3}}e_{he_{3}}\right)}{2}R$$

$$+ 2\frac{\left(c^{p}_{4} + x_{et_{4}}e_{oe_{4}}\right)K_{d_{4}}}{R} + K_{d_{4}}\left(p^{u}_{4} + x_{et_{4}}e_{pe_{4}}\right) + \frac{\left(g^{h} + x_{et_{4}}e_{he_{4}}\right)}{2}R$$

$$+ 3\frac{\left(c^{p}_{5} + x_{et_{5}}e_{oe_{5}}\right)K_{d_{5}}}{R} + K_{d_{5}}\left(p^{u}_{5} + x_{et_{5}}e_{pe_{5}}\right) + \frac{\left(g^{h} + x_{et_{5}}e_{he_{5}}\right)}{2}R$$

$$+ \frac{\left(c^{p}_{6} + x_{et_{6}}e_{oe_{6}}\right)K_{d_{6}}}{R} + K_{d_{6}}\left(p^{u}_{6} + x_{et_{6}}e_{pe_{6}}\right) + \frac{\left(g^{h} + x_{et_{5}}e_{he_{5}}\right)}{2}R$$

In the following steps, we use extension the lagrangean method to find the solutions of

$$R_1,R_2,R_3,R_4,R_5$$
 & R_6 to minimize $TTC(R_{sp})$

Step 1: -





Vinola Elisabeth and Rexlin Jeyakumari

$$\frac{\left(c^{p}_{1} + x_{et_{1}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{1}} \left(p^{u}_{1} + x_{et_{1}} e_{pe_{1}}\right) + \frac{\left(g^{h}_{1} + x_{et_{1}} e_{he_{1}}\right)}{2} R$$

$$+ 3 \frac{\left(c^{p}_{2} + x_{et_{2}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p^{u}_{2} + x_{et_{2}} e_{pe_{2}}\right) + \frac{\left(g^{h}_{2} + x_{et_{2}} e_{he_{2}}\right)}{2} R$$

$$+ 2 \frac{\left(c^{p}_{3} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{3} + x_{et_{3}} e_{pe_{3}}\right) + \frac{\left(g^{h}_{3} + x_{et_{3}} e_{he_{3}}\right)}{2} R$$

$$+ 2 \frac{\left(c^{p}_{4} + x_{et_{4}} e_{oe_{4}}\right) K_{d_{4}}}{R} + K_{d_{4}} \left(p^{u}_{4} + x_{et_{4}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{4} + x_{et_{4}} e_{he_{4}}\right)}{2} R$$

$$+ 3 \frac{\left(c^{p}_{5} + x_{et_{5}} e_{oe_{5}}\right) K_{d_{5}}}{R} + K_{d_{5}} \left(p^{u}_{5} + x_{et_{5}} e_{pe_{5}}\right) + \frac{\left(g^{h}_{5} + x_{et_{5}} e_{he_{5}}\right)}{2} R$$

$$+ \frac{\left(c^{p}_{6} + x_{et_{6}} e_{oe_{6}}\right) K_{d_{6}}}{R} + K_{d_{6}} \left(p^{u}_{6} + x_{et_{6}} e_{pe_{6}}\right) + \frac{\left(g^{h}_{6} + x_{et_{6}} e_{he_{6}}\right)}{2} R$$

With $0 < R_1 \le R_2 \le R_3 \le R_4 \le R_5 \le R_6$; $R_2 - R_1 \ge 0$; $R_3 - R_2 \ge 0$ and $R_4 - R_3 \ge 0$ where $R_1 \ge 0$. Now let all the above partial derivatives equal to zero, and solve $R_1, R_2, R_3, R_4, R_5 & R_6$, we get

$$\begin{split} R_{1} &= \sqrt{\frac{2\Big(c^{p}_{6} + x_{et_{6}}e_{oe_{6}}\Big)K_{d_{6}}}{\Big(g^{h}_{1} + x_{et_{1}}e_{he_{1}}\Big)}} \text{ similarly, } R_{2} = \sqrt{\frac{2 \times 3\Big(c^{p}_{5} + x_{et_{5}}e_{oe_{5}}\Big)K_{d_{5}}}{3\Big(g^{h}_{2} + x_{et_{2}}e_{he_{2}}\Big)}}, \\ R_{3} &= \sqrt{\frac{2 \times 2\Big(c^{p}_{4} + x_{et_{4}}e_{oe_{4}}\Big)K_{d_{4}}}{2\Big(g^{h}_{3} + x_{et_{3}}e_{he_{3}}\Big)}}, R_{4} = \sqrt{\frac{2 \times 2\Big(c^{p}_{3} + x_{et_{3}}e_{oe_{3}}\Big)K_{d_{3}}}{2\Big(g^{h}_{4} + x_{et_{4}}e_{he_{4}}\Big)}}, \\ R_{5} &= \sqrt{\frac{2 \times 3\Big(c^{p}_{2} + x_{et_{2}}e_{oe_{2}}\Big)K_{d_{2}}}{3\Big(g^{h}_{5} + x_{et_{5}}e_{he_{5}}\Big)}}, R_{6} = \sqrt{\frac{2\Big(c^{p}_{1} + x_{et_{1}}e_{oe_{1}}\Big)K_{d_{1}}}{\Big(g^{h}_{6} + x_{et_{6}}e_{he_{6}}\Big)}} \end{split}$$

Step 2: -

Convert the inequality $R_2 - R_1 \ge 0$ into equality constrain $R_2 - R_1 = 0$ and optimize $TIC(R_{sp})$ subject to $R_2 - R_1 = 0$ by the lagrangean method.

Fix the constraints as $L(R_1,R_2,R_3,R_4,R_5,R_6,\lambda) = \rho \left\lceil TIC(R_{sp}) \right\rceil - \lambda(R_2 - R_1)$





Vinola Elisabeth and Rexlin Jeyakumari

$$\begin{cases} \frac{\left(c^{p}_{1} + x_{et_{1}}e_{oe_{1}}\right)K_{d_{1}}}{R} + K_{d_{1}}\left(p^{u}_{1} + x_{et_{1}}e_{pe_{1}}\right) + \frac{\left(g^{h}_{1} + x_{et_{1}}e_{he_{1}}\right)}{2}R \\ + 3\frac{\left(c^{p}_{2} + x_{et_{2}}e_{oe_{2}}\right)K_{d_{2}}}{R} + K_{d_{2}}\left(p^{u}_{2} + x_{et_{2}}e_{pe_{2}}\right) + \frac{\left(g^{h}_{2} + x_{et_{2}}e_{he_{2}}\right)}{2}R \\ + 2\frac{\left(c^{p}_{3} + x_{et_{3}}e_{oe_{3}}\right)K_{d_{3}}}{R} + K_{d_{3}}\left(p^{u}_{3} + x_{et_{3}}e_{pe_{3}}\right) + \frac{\left(g^{h}_{3} + x_{et_{3}}e_{he_{3}}\right)}{2}R \\ + 2\frac{\left(c^{p}_{4} + x_{et_{4}}e_{oe_{4}}\right)K_{d_{4}}}{R} + K_{d_{4}}\left(p^{u}_{4} + x_{et_{4}}e_{pe_{4}}\right) + \frac{\left(g^{h}_{4} + x_{et_{4}}e_{he_{4}}\right)}{2}R \\ + 3\frac{\left(c^{p}_{5} + x_{et_{5}}e_{oe_{5}}\right)K_{d_{5}}}{R} + K_{d_{5}}\left(p^{u}_{5} + x_{et_{5}}e_{pe_{5}}\right) + \frac{\left(g^{h}_{5} + x_{et_{5}}e_{he_{5}}\right)}{2}R \\ + \frac{\left(c^{p}_{6} + x_{et_{6}}e_{oe_{5}}\right)K_{d_{6}}}{R} + K_{d_{6}}\left(p^{u}_{6} + x_{et_{6}}e_{pe_{6}}\right) + \frac{\left(g^{h}_{6} + x_{et_{6}}e_{he_{6}}\right)}{2}R \end{cases}$$

Differentiating above equation with respect to $R_1,R_2,R_3,R_4,R_5,R_6,\lambda$ and equate tozero, we get

$$\begin{split} R_{1} &= R_{2} = \sqrt{\frac{2 \left[\left(c^{p}_{6} + x_{et_{6}} e_{oe_{6}}\right) K_{d_{6}} + 3 \left(c^{p}_{5} + x_{et_{5}} e_{oe_{5}}\right) K_{d_{5}}\right]}{\left(g^{h}_{1} + x_{et_{1}} e_{he_{1}}\right) + 3 \left(g^{h}_{2} + x_{et_{2}} e_{he_{2}}\right)}}, \\ R_{3} &= \sqrt{\frac{2 \times 2 \left(c^{p}_{4} + x_{et_{4}} e_{oe_{4}}\right) K_{d_{4}}}{2 \left(g^{h}_{3} + x_{et_{3}} e_{he_{3}}\right)}}, R_{4} = \sqrt{\frac{2 \times 2 \left(c^{p}_{3} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}}}{2 \left(g^{h}_{4} + x_{et_{4}} e_{he_{4}}\right)}}, \\ R_{5} &= \sqrt{\frac{2 \times 3 \left(c^{p}_{2} + x_{et_{2}} e_{oe_{2}}\right) K_{d_{2}}}{3 \left(g^{h}_{5} + x_{et_{5}} e_{he_{5}}\right)}}, R_{6} = \sqrt{\frac{2 \left(c^{p}_{1} + x_{et_{1}} e_{oe_{1}}\right) K_{d_{1}}}{\left(g^{h}_{6} + x_{et_{6}} e_{he_{6}}\right)}} \end{split}$$

Here, $R_3 \geq R_4$, $R_4 \geq R_5$, $R_5 \geq R_6$. It does not satisfy the local optimum.





Vinola Elisabeth and Rexlin Jeyakumari

$$\frac{\left(c^{p}_{1} + x_{el_{1}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{1}} \left(p^{u}_{1} + x_{el_{1}} e_{pe_{1}}\right) + \frac{\left(g^{h}_{1} + x_{el_{1}} e_{he_{1}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{2} + x_{el_{2}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p^{u}_{2} + x_{el_{2}} e_{pe_{2}}\right) + \frac{\left(g^{h}_{2} + x_{el_{2}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{3} + x_{el_{2}} e_{oe_{2}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{3} + x_{el_{3}} e_{pe_{3}}\right) + \frac{\left(g^{h}_{3} + x_{el_{2}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{4} + x_{el_{2}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{4}} \left(p^{u}_{4} + x_{el_{4}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{3} + x_{el_{2}} e_{he_{3}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{5} + x_{el_{3}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{5} + x_{el_{4}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{5} + x_{el_{4}} e_{he_{4}}\right)}{2} R$$

$$+\frac{\left(c^{p}_{6} + x_{el_{3}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{4}} \left(p^{u}_{6} + x_{el_{4}} e_{pe_{5}}\right) + \frac{\left(g^{h}_{5} + x_{el_{4}} e_{he_{5}}\right)}{2} R$$

$$-\lambda \left(R_{3} - R_{1}\right) - \lambda \left(R_{3} - R_{2}\right) \dots \dots (6)$$

Now differentiating above equation with respect to $R_1, R_2, R_3, R_4, R_5, R_6, \lambda_1, \lambda_2$ and equate tozero, we get

$$\begin{split} R_{1} &= R_{2} = R_{3} = \sqrt{\frac{2 \left[\left(c^{p}_{6} + x_{et_{6}} e_{oe_{6}}\right) K_{d_{6}} + 3 \left(c^{p}_{5} + x_{et_{5}} e_{oe_{5}}\right) K_{d_{5}} + 2 \left(c^{p}_{4} + x_{et_{4}} e_{oe_{4}}\right) K_{d_{4}} \right]}{\left(g^{h}_{1} + x_{et_{1}} e_{he_{1}}\right) + 3 \left(g^{h}_{2} + x_{et_{2}} e_{he_{2}}\right) + 2 \left(g^{h}_{3} + x_{et_{3}} e_{he_{3}}\right)} \\ R_{4} &= \sqrt{\frac{2 \times 2 \left(c^{p}_{3} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}}}{2 \left(g^{h}_{4} + x_{et_{4}} e_{he_{4}}\right)}}, R_{5} &= \sqrt{\frac{2 \times 3 \left(c^{p}_{2} + x_{et_{2}} e_{oe_{2}}\right) K_{d_{2}}}{3 \left(g^{h}_{5} + x_{et_{5}} e_{he_{5}}\right)}}, \\ R_{6} &= \sqrt{\frac{2 \left(c^{p}_{1} + x_{et_{1}} e_{oe_{1}}\right) K_{d_{1}}}{\left(g^{h}_{6} + x_{et_{6}} e_{he_{6}}\right)}} \end{split}$$

Here, $R_4 \geq R_{\rm 5}$, $R_{\rm 5} \geq R_{\rm 6}$. It does not satisfy the local optimum.

Step 4: -

Now fix the constraints as $L(R_1, R_2, R_3, R_4, R_5, R_6, \lambda_1, \lambda_2, \lambda_3)$ =

$$\rho \left[TIC(R_{sp}) \right] - \lambda_1(R_2 - R_1) - \lambda_2(R_3 - R_2) - \lambda_3(R_4 - R_3)$$





Vinola Elisabeth and Rexlin Jeyakumari

$$\frac{\left(c^{p}_{1} + x_{el_{1}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{1}} \left(p^{u}_{1} + x_{el_{1}} e_{pe_{1}}\right) + \frac{\left(g^{h}_{1} + x_{el_{1}} e_{he_{1}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{2} + x_{el_{2}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p^{u}_{2} + x_{el_{2}} e_{pe_{2}}\right) + \frac{\left(g^{h}_{2} + x_{el_{2}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{3} + x_{el_{2}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{3} + x_{el_{2}} e_{pe_{3}}\right) + \frac{\left(g^{h}_{3} + x_{el_{2}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{4} + x_{el_{2}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{4}} \left(p^{u}_{4} + x_{el_{2}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{3} + x_{el_{2}} e_{he_{3}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{5} + x_{el_{2}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p^{u}_{5} + x_{el_{2}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{5} + x_{el_{2}} e_{he_{4}}\right)}{2} R$$

$$+\frac{\left(c^{p}_{6} + x_{el_{2}} e_{oe_{3}}\right) K_{d_{3}}}{R} + K_{d_{4}} \left(p^{u}_{6} + x_{el_{2}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{5} + x_{el_{2}} e_{he_{4}}\right)}{2} R$$

$$-\lambda_{1} \left(R_{2} - R_{1}\right) - \lambda_{2} \left(R_{3} - R_{2}\right) - \lambda_{3} \left(R_{4} - R_{3}\right) \dots \dots (7)$$

Now differentiating above equation with respect to $R_1, R_2, R_3, R_4, R_5, R_6, \lambda_1, \lambda_2, \lambda_3$ and equate tozero, we get

$$R_{1} = R_{2} = R_{3} = R_{4} = \sqrt{\frac{2\left[\left(c^{p}_{6} + x_{et_{6}}e_{oe_{6}}\right)K_{d_{5}} + 3\left(c^{p}_{5} + x_{et_{6}}e_{oe_{5}}\right)K_{d_{5}} + 2\left(c^{p}_{4} + x_{et_{4}}e_{oe_{5}}\right)K_{d_{4}} + 2\left(c^{p}_{3} + x_{et_{6}}e_{oe_{5}}\right)K_{d_{5}}}{\left(g^{h}_{1} + x_{et_{6}}e_{he_{1}}\right) + 3\left(g^{h}_{2} + x_{et_{6}}e_{he_{2}}\right) + 2\left(g^{h}_{3} + x_{et_{6}}e_{he_{3}}\right) + 2\left(g^{h}_{4} + x_{et_{4}}e_{he_{4}}\right)}$$

$$R_{5} = \sqrt{\frac{2 \times 3(c^{p}_{2} + x_{et_{2}}e_{oe_{2}})K_{d_{2}}}{3(g^{h}_{5} + x_{et_{5}}e_{he_{5}})}}, R_{6} = \sqrt{\frac{2(c^{p}_{1} + x_{et_{1}}e_{oe_{1}})K_{d_{1}}}{(g^{h}_{6} + x_{et_{6}}e_{he_{6}})}}$$

Here, $R_5 \ge R_6$. It does not satisfy the local optimum.

Step 5: -

Now fix the constraints as $L(R_1,R_2,R_3,R_4,R_5,R_6,\lambda_1,\lambda_2,\lambda_3,\lambda_4)$ =

$$\rho \left[TIC(R_{sp}) \right] - \lambda_1(R_2 - R_1) - \lambda_2(R_3 - R_2) - \lambda_3(R_4 - R_3) - \lambda_4(R_5 - R_4)$$





Vinola Elisabeth and Rexlin Jeyakumari

$$\frac{\left(c^{p}_{1} + x_{et_{i}} e_{oe_{i}}\right) K_{d_{i}}}{R} + K_{d_{i}} \left(p^{u}_{1} + x_{et_{i}} e_{pe_{i}}\right) + \frac{\left(g^{h}_{1} + x_{et_{i}} e_{he_{i}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{2} + x_{et_{i}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p^{u}_{2} + x_{et_{i}} e_{pe_{2}}\right) + \frac{\left(g^{h}_{2} + x_{et_{i}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{3} + x_{et_{i}} e_{oe_{2}}\right) K_{d_{1}}}{R} + K_{d_{2}} \left(p^{u}_{3} + x_{et_{i}} e_{pe_{2}}\right) + \frac{\left(g^{h}_{3} + x_{et_{i}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c^{p}_{4} + x_{et_{i}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{2}} \left(p^{u}_{4} + x_{et_{i}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{4} + x_{et_{i}} e_{he_{2}}\right)}{2} R$$

$$+3 \frac{\left(c^{p}_{5} + x_{et_{i}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{2}} \left(p^{u}_{5} + x_{et_{i}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{5} + x_{et_{i}} e_{he_{4}}\right)}{2} R$$

$$+ \frac{\left(c^{p}_{5} + x_{et_{i}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{2}} \left(p^{u}_{5} + x_{et_{i}} e_{pe_{4}}\right) + \frac{\left(g^{h}_{5} + x_{et_{i}} e_{he_{4}}\right)}{2} R$$

$$-\lambda_{1} \left(R_{2} - R_{1}\right) - \lambda_{2} \left(R_{3} - R_{2}\right) - \lambda_{3} \left(R_{4} - R_{3}\right) - \lambda_{4} \left(R_{5} - R_{4}\right) \dots (8)$$

Now differentiating above equation with respect to $R_1, R_2, R_3, R_4, R_5, R_6, \lambda_1, \lambda_2, \lambda_3, \lambda_4$ and equate tozero, we get

$$R_{1} = R_{2} = R_{3} = R_{4} = R_{5} = \sqrt{\frac{2 \left[\left(c_{6}^{p} + x_{et_{a}} e_{oe_{a}}\right) K_{d_{a}} + 3\left(c_{5}^{p} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}} + 2\left(c_{3}^{p} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}} + 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} + 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} + 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{2}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2}^{p} + x_{et_{3}} e_{oe_{3}}\right) K_{d_{3}} - 3\left(c_{2$$

$$R_6 = \sqrt{\frac{2(c_1^p + x_{et_1} e_{oe_1})K_{d_1}}{(g_6^h + x_{et_6} e_{he_6})}}$$

Here, $R_5 \ge R_6$. It does not satisfy the local optimum.

Step 6: -

Now fix the constraints as $L(R_1,R_2,R_3,R_4,R_5,R_6,\lambda_1,\lambda_2,\lambda_3,\lambda_4,\lambda_5)$ =





Vinola Elisabeth and Rexlin Jeyakumari

$$\rho \left[TIC(R_{sp}) \right] - \lambda_1(R_2 - R_1) - \lambda_2(R_3 - R_2) - \lambda_3(R_4 - R_3) - \lambda_4(R_5 - R_4) - \lambda_5(R_6 - R_5)$$

$$\frac{\left(c_{1}^{p} + x_{e_{1}} e_{oe_{1}}\right) K_{d_{1}}}{R} + K_{d_{1}} \left(p_{1}^{u} + x_{e_{1}} e_{pe_{1}}\right) + \frac{\left(g_{1}^{h} + x_{e_{1}} e_{he_{1}}\right)}{2} R$$

$$+3 \frac{\left(c_{2}^{p} + x_{e_{1}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p_{2}^{u} + x_{e_{1}} e_{pe_{2}}\right) + \frac{\left(g_{3}^{h} + x_{e_{1}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c_{3}^{p} + x_{e_{1}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p_{3}^{u} + x_{e_{1}} e_{pe_{2}}\right) + \frac{\left(g_{3}^{h} + x_{e_{1}} e_{he_{2}}\right)}{2} R$$

$$+2 \frac{\left(c_{4}^{p} + x_{e_{1}} e_{oe_{2}}\right) K_{d_{2}}}{R} + K_{d_{2}} \left(p_{4}^{u} + x_{e_{1}} e_{pe_{2}}\right) + \frac{\left(g_{4}^{h} + x_{e_{1}} e_{he_{2}}\right)}{2} R$$

$$+3 \frac{\left(c_{5}^{p} + x_{e_{1}} e_{oe_{2}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p_{5}^{u} + x_{e_{1}} e_{pe_{3}}\right) + \frac{\left(g_{5}^{h} + x_{e_{1}} e_{he_{3}}\right)}{2} R$$

$$+ \frac{\left(c_{6}^{p} + x_{e_{1}} e_{oe_{2}}\right) K_{d_{3}}}{R} + K_{d_{3}} \left(p_{5}^{u} + x_{e_{1}} e_{pe_{3}}\right) + \frac{\left(g_{5}^{h} + x_{e_{1}} e_{he_{3}}\right)}{2} R$$

$$-\lambda_{1}(R_{2}-R_{1})-\lambda_{2}(R_{3}-R_{2})-\lambda_{3}(R_{4}-R_{3})-\lambda_{4}(R_{5}-R_{4})-\lambda_{5}(R_{6}-R_{5})......(9)$$

Now differentiating above equation with respect to $R_1, R_2, R_3, R_4, R_5, R_6, \lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$ and equate tozero, we get

$$R_{1} = R_{2} = R_{3} = R_{4} = R_{5} = R_{6} = R^{*} = \begin{bmatrix} \left(c^{p}_{6} + x_{el_{4}} e_{oe_{4}}\right) K_{d_{4}} + 3\left(c^{p}_{5} + x_{el_{5}} e_{oe_{5}}\right) K_{d_{5}} \\ + 2\left(c^{p}_{4} + x_{el_{4}} e_{oe_{4}}\right) K_{d_{5}} + 2\left(c^{p}_{3} + x_{el_{5}} e_{oe_{5}}\right) K_{d_{5}} \\ + 3\left(c^{p}_{2} + x_{el_{5}} e_{oe_{5}}\right) K_{d_{5}} + 2\left(c^{p}_{1} + x_{el_{6}} e_{oe_{5}}\right) K_{d_{5}} \end{bmatrix} \\ = \begin{bmatrix} \left(g^{h}_{1} + x_{el_{1}} e_{he_{1}}\right) + 3\left(g^{h}_{2} + x_{el_{2}} e_{he_{2}}\right) \\ + 2\left(g^{h}_{3} + x_{el_{3}} e_{he_{3}}\right) + 2\left(g^{h}_{4} + x_{el_{4}} e_{he_{4}}\right) \\ + 3\left(g^{h}_{5} + x_{el_{5}} e_{he_{5}}\right) + \left(g^{h}_{6} + x_{el_{6}} e_{he_{6}}\right) \end{bmatrix}$$





Vinola Elisabeth and Rexlin Jeyakumari

$$R^* = \begin{bmatrix} \left[\left(c^p_{6} + x_{et_{4}} e_{oe_{4}} \right) K_{d_{4}} + 3 \left(c^p_{5} + x_{et_{3}} e_{oe_{5}} \right) K_{d_{3}} \\ + 2 \left(c^p_{4} + x_{et_{4}} e_{oe_{4}} \right) K_{d_{4}} + 2 \left(c^p_{3} + x_{et_{3}} e_{oe_{5}} \right) K_{d_{3}} \\ + 3 \left(c^p_{2} + x_{et_{3}} e_{oe_{5}} \right) K_{d_{5}} + 2 \left(c^p_{1} + x_{et_{4}} e_{oe_{5}} \right) K_{d_{3}} \\ \left[\left(g^h_{1} + x_{et_{1}} e_{he_{1}} \right) + 3 \left(g^h_{2} + x_{et_{2}} e_{he_{2}} \right) \\ + 2 \left(g^h_{3} + x_{et_{3}} e_{he_{3}} \right) + 2 \left(g^h_{4} + x_{et_{4}} e_{he_{4}} \right) \\ + 3 \left(g^h_{5} + x_{et_{5}} e_{he_{5}} \right) + \left(g^h_{6} + x_{et_{6}} e_{he_{6}} \right) \end{bmatrix}$$
Hence the equation (10) is the required equation of fuzzy optimal of

Hence the equation (10) is the required equation of fuzzy optimal order quantity and equation (4) is the required fuzzy total cost

Numerical Example

Consider the values in the below data to represent the proposed sustainable fuzzy inventory model.

$c^p = 20$	$p^{\prime\prime}=6$	$K_{d}=25$	$g^h = 1$
$e_{oe} = 30$	$e_{p_e} = 2.5$	$e_{_{he}} = 0.5$	$x_{et} = 1$

Solution in crisp model

By using the given values in the data, we obtain the optimal order quantity in the crisp sense as R=40.82 and by using the equation (1) in this model, we obtain the Total cost in crisp sense as $TIC(R_{sp})=273.73$

Solution in Fuzzy model

By using the given values in the data, we obtain the optimal order quantity in the fuzzy sense R=40.82 and by using the equation (1) in this model, we obtain the Total cost in crisp sense as $TIC(R_{_{sp}})=273.73$

CONCLUSION

The purpose of this research paper is to develop a method for determining lot size that addresses environmental impacts. It includes an inventory model with considering various cost parameters along with their emission rate and respective carbon tax price. This research work can be extended by future researchers and scholars, by considering some strategies and techniques to lower the emission rates. Because, the growing popularity of today's industry sectors has been severely hindered by environmental challenges. Carbon tax policy charges companies by imposing an extra fee for emitted Co2. This financial stress propels businesses to embrace greener technologies, boost energy conservation, and explore alternate forms of energy with the goal to lower their tax burdens. Main research motive of this proposed research work is to encourage other researchers to enhance this study to promote into better sustainability implications that impacts society and wellbeing of humankind and environment





Vinola Elisabeth and Rexlin Jeyakumari

REFERENCES

- Kelvin O.Yoro, Michael O. Daramola (2020)"CO2 emission sources, greenhouse gases, and the global warming effect"Advances in Carbon Capture Publisher: Chapter: 1, Woodhead publishing., ,DOI: 10.1016/B978-0-12-819657-1.00001-32
- 2. Bouchery, Y., Asma Ghaffari, Zied Jemai, Yves Dallery (2012) "Including Sustainability Criteria into Inventory Models," European Journal of Operation research, DOI: 10.1016/j.ejor.2012.05.004
- 3. Ata Allah Taleizadeh, Vahid Reza Soleymanfar, Kannan Govindan,(2017), "Sustainable economic production quantity models for inventory systems with shortage," Journal of Cleaner Production, DOI: https://doi.org/10.1016/j.jclepro.2017.10.222
- 4. Tiffanie Marie Toles, "An investigation of the economic order quantity model with quantity discounts under an environmental objective," (2018), . Masters Theses. 7949.
- 5. https://scholarsmine.mst.edu/cgi/viewcontent.cgi?article=8952&context=masters_theses
- Ajeet Baraskar, Arun Thakare, Yogesh Deshmukh, (2024) "Computational Analysis of the Framework Evaluation for Sustainable EOQ Considering Emission Tax with Capital Constraints," Communications on Applied Nonlinear Analysis, Vol 31 No. 2s, https://internationalpublis.com/index.php/cana/article/download/650/483/1266
- 7. Subhendu Ruidas, Mijanur Rahaman Seikh, Prasun Kumar Nayak, (2021) "A production inventory model with interval-valued carbon emission parameters under price-sensitive demand," Computers & Industrial Engineering, https://doi.org/10.1016/j.cie.2021.107154
- 8. Can ARSLAN, M., Metin TURKAY, (2013) "eoq revisited with sustainability considerations" Foundations of Computing and Decision Sciences, DOI: https://doi.org/10.2478/fcds-2013-0011
- 9. Bonney, M., & Jaber, M. Y. (2011). Environmentally responsible inventory models: Nonclassical models for a non-classical era. International Journal of Production Economics, 133(1), 43–53.https://doi.org/10.1016/j.ijpe.2009.10.033
- 10. Chen, X., Benjaafar, S., & Elomri, A. (2013). The carbon-constrained EOQ. Operations Research Letters, 41(2), 172–179.March 2013, https://doi.org/10.1016/j.orl.2012.12.003
- 11. Battini, D., Persona, A., & Sgarbossa, F. (2014). A sustainable EOQ model: Theoretical formulation and applications. International Journal of Production Economics, 149, 145–153.March 2014, https://doi.org/10.1016/j.ijpe.2013.06.026
- 12. Hovelaque, V., & Bironneau, L. (2015). The carbon-constrained EOQ model with carbon emission dependent demand. International Journal of Production Economics, 164, 285–291. June 2015, https://doi.org/10.1016/j.ijpe.2014.11.022
- 13. Dou, G., Guo, H., Zhang, Q., & Li, X. (2019). A two-period carbon tax regulation for manufacturing and remanufacturing production planning. Computers & Industrial Engineering, 128, 502–513. February 2019, https://doi.org/10.1016/j.cie.2018.12.064.
- 14. Datta, T. K. (2017). Effect of green technology investment on a production-inventory system with carbon Tax. Advances in Operations Research. https://doi.org/10.1155/2017/4834839
- 15. Taleizadeh, A. A., Soleymanfar, V. R., & Govindan, K. (2018). Sustainable economic production quantity models for inventory systems with shortage. Journal of Cleaner Production, 174, 1011–1020. February 2018, https://doi.org/10.1016/j.jclepro.2017.10.222
- 16. Hua, G., Cheng, T. C. E., & Wang, S. (2011). "Managing carbon footprints in inventory management." International Journal of Production Economics, 132(2), 178–185, August 2011. https://doi.org/10.1016/j.ijpe.2011.03.024
- 17. Kazemi, N., Abdul-Rashid, S. H., Ariffin, R., Ghazilla, R., Shekarian, E., & Zanoni, S. (2018). "Economic order quantity models for items with imperfect quality and emission considerations," International Journal of Systems Science: Operations & Logistics, 5(2), 99–115. Oct 2016, https://doi.org/10.1080/23302674.2016.1240254





Vinola Elisabeth and Rexlin Jeyakumari

- 18. Wahab, M. I. M., Mamun, S. M. H., & Ongkunaruk, P. (2011). "EOQ models for a coordinated two-level international supply chain considering imperfect items and environmental impact." International Journal of Production Economics, 134(1), 151–158, https://doi.org/10.1016/j.ijpe.2011.06.008
- 19. Durga Bhavani.G, Ieva Meidute-Kavaliauskiene, Ghanshaym, Mahapatra.S, Renata Cincikait, (2022)"A Sustainable Green Inventory System with Novel Eco-Friendly Demand Incorporating Partial Backlogging under Fuzziness," July 2022, https://doi.org/10.3390/su14159155
- 20. Sharma, A.K.; Tiwari, S.; Yadavalli, V.S.S.; Jaggi, C.K.(2020), "Optimal trade credit and replenishment policies for non-instantaneous deteriorating items," RAIRO-Oper. Res. 54, 1793–1826. September 2020, https://doi.org/10.1051/ro/2019104
- 21. Mallick, R.K.; Manna, A.K.; Shaikh, A.A.; Mondal, S.K.(2021), "Two-level supply chain inventory model for perishable goods with fuzzy lead-time and shortages." Int. J. Appl. Comput. Math. 7, 190.
- 22. https://link.springer.com/article/10.1007/s40819-021-01117-z
- 23. Khatua, D.; Maity, K.; Kar, S. (2021), "A fuzzy production inventory control model using granular differentiability approach." Soft Comput. 25, 2687–2701.
- 25. Govindan, K.; Khodaverdi, R.; Vafadarnikjoo, A. (2015) "Intuitionistic fuzzy based DEMATEL method for developing green practices and performances in a green supply chain." Expert Syst. Appl., 42, 7207–7220. https://doi.org/10.1016/j.eswa.2015.04.030
- 26. Adak, S.; Mahapatra, G.S.(2020) "Two-echelon imperfect production supply chain with probabilistic deterioration rework and reliability under fuzziness." J. Manag. Anal., 9, 287–311.https://doi.org/10.1080/23270012.2021.1882347
- 27. Pal, S.; Mahapatra, G.S.; Samanta, G.P.(2015)"A production inventory model for deteriorating item with ramp type demand allowing inflation and shortages under fuzziness." Econ. Model., 46, 334–345.
- 28. Govindan, K.,Mina, H.; Esmaeili, A.,Gholami-Zanjani, S.M.(2020), "An integrated hybrid approach for circular supplier selection and closed loop supply chain network design under uncertainty." J. Clean. Prod. 242, 118317. https://doi.org/10.1016/j.jclepro.2019.118317
- 29. Ghasemkhani, A., Moghaddam, R. T., Bushehri, S. S., Mohan, S. & Moghaddam, H. T. (2019). "An integrated production inventory routing problem for multi perishable products with fuzzy demand and time windows. International Federation of Automatic Control,"52(13), 523-528.https://doi.org/10.1016/j.ifacol.2019.11.123
- 30. Padiyar, S. V. S., Bhagat, N., Singh, S. R., Gupta, V., & Sarkar, B.(2022). "Joint replenishment strategy for deteriorating multi-item through multi-echelon supply chain model with imperfect production under imprecise and inflationary environment," RAIRO. https://doi.org/10.1051/ro/2022071
- 31. Karthick, B., Uthayakumar, R., (2021). "A sustainable supply chain model with two inspection errors and carbon emissions under uncertain demand." Cleaner Engineering and Technology November, 100307. Volume 5, PP:1-16, https://doi.org/10.1016/j.clet.2021.100307
- 32. Maulana, S. K. D. B., Utama, D. M., Asrofi, M. S., Ningrum, I. S., Alba, N., Ahfa, H. A., & Zein, T. A. (2019), "The Capacitated Sustainable EOQ Models: Models Considering Tax Emissions" Jurnal Teknik Industri ,vol21(1), P:12–21.https://doi.org/10.22219/JTIUMM.Vol21.No1.12-21





RESEARCH ARTICLE

Reinventing Green Marketing for Sustainable and Innovative Practices in a Changing Business Environment; A Case Study of Sunbird and Phool

Sayant Vijay^{1*}, Anagha Sivasankaran² and Anandhu S³

¹Faculty Associate, Department of English, Amrita Vishwa Vidyapeetham, Bengaluru, Karnataka, India. ²Assistant Professor, Department of English, Jain (Deemed to be University), Bengaluru, Karnataka, India.

³Research scholar, Department of English, CHRIST (Deemed to be university), Bangalore, Karnataka,

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 29 Aug 2024

*Address for Correspondence Sayant Vijay

Faculty Associate, Department of English, Amrita Vishwa Vidyapeetham, Bengaluru, Karnataka, India Email: s_vijay@blr.amrita.edu



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Sustainability and ecological sensibility have become a new discourse through which companies have marketed their products since the last decade. While companies have been using advertisements and scientific green washing techniques to position themselves effectively in the market, there has always been a gap between their environmental strategies and corporate strategies. This gap stemmed from an ignorance of the component of 'people' out of the 7 P's of marketing. At a time when the world is shifting to a future that is sustainable, it is important that the business world also follows suit, bridging this gap. Hence, there is a need to reinvent existing green marketing strategies holistically that integrate both sustainability and profitability. This research paper aims to analyse the case studies of two startups - Sunbird and Phool - to look at their green marketing strategies, which focus largely on humanities. Both these startups came into their respective industries, identifying relevant ecological concerns and working towards a sustainable world while delivering high-quality products and making profits. Using the Theory of Disruptive Innovation, the paper explores how, as new entrants, they have reinvented green marketing strategies that have given them a place of their own in the dynamic business environment. Their usage of the trope of storytelling and pitching in the nuances of the circular economy that they created have been pivotal in their successful business journey. The paper looks at how they differentiate themselves from the rest of the industry by identifying the similarities in their strategies. Furthermore, the paper will also enquire about the potential of these marketing strategies to become the pioneer in initiating a larger ecologically sensitive marketing paradigm in India.

Keywords: Green marketing, Storytelling, Circular economy, Sustainability, Woman empowerment, Social impact.





Sayant Vijay et al.,

INTRODUCTION

In a dynamic business environment that envisages an integrative approach wherein sustainability and profitability go hand in hand, startups must consistently re-imagine their marketing strategies. As companies and consumers are becoming more aware of the environmental concerns that are looming large, there is an acknowledgement of the need to pursue sustainable business practices (White 20). Green Marketing has become a popular alternative with a focus on the environmental imperative and self-interests of businesses, marketers and customers. The Indian economy is going through a transition, which is very well seen in the country's GDP and PPI. The International Monetary Fund (IMF) has predicted that India's GDP will grow by 6.8 per cent in FY 2022/23 and by 6.1 per cent in FY 2023/24. India's increasing production and exports have raised expectations about its potential to reshape the global order and emerge as one of the largest global markets for a wide range of products and services. These economic reforms and liberalisation have made many robust changes in the country's business environment, with more and more private players coming into the market and providing significant contributions to the world market. This has also resulted in a modification of the existing business environment. Changes in India's business environment can be traced back to economic liberalisation, digital transformation, and the present-day shift towards renewable energy, eco-friendly products, and biodegradable products. Out of these changes, the government ban on identified single-use plastic items has drastically impacted the country's business environment. India is the fifthhighest generator of plastic waste in the world. The government indeed recognised the adverse effect of littered single-use plastic items on terrestrial and aquatic ecosystems, and they took the measure to ban many of the singleuse plastic items to tackle the environmental challenges faced by the country and the world.

In 2019, the Indian government banned six single-use plastic items: plastic bags, cups, plates, small bottles, straws, and certain types of sachets. The ban was initially introduced in 129 cities and towns and was later extended to the entire country. This has resulted in more companies coming up with environment-friendly alternatives and a change in the overall business environment, which was limited to plastic products. Consumer behaviour towards the ban on single-use plastic in India has been positive, as there is a growing trend towards more sustainable practices. One of the primary reasons for this change in consumer behaviour is the increasing awareness of the negative impact of single-use plastic on the environment. This information is disseminated mainly by the government through television, social media, and other platforms; consumers are becoming more conscious of the need to reduce their consumption of single-use plastic products through Green Marketing. Green marketing is an encompassing marketing approach that synergistically amalgamates various strategic activities with the overarching goal of expanding market share, while concurrently offering environmentally conscious products and services. Apart from traditional marketing, green marketing can help with several issues like wastage of water, recycling of wastes and air pollution due to toxic substances. Due to the enlightenment of all these issues nowadays, even producers and consumers prefer more eco-friendly products for the betterment of the ecosystem and their health.

The success of green marketing is that consumers view these green products as the most appealing and safe. So, green marketing demands the application of sound marketing principles to be a trustworthy product. Consumer value positioning, calibrating consumer knowledge, and credibility of product claims are effective marketing and producing tools for green products in India's present scenario of green marketing. Even though India has made a lot of attempts in the area of green marketing, it is still in its infancy. Green Marketing and Traditional Marketing have varying philosophies. The parties involved in exchange for traditional marketing are the firm and the customer, whereas green marketing includes adding to the environment. In terms of objectives, Green Marketing has to minimise ecological impact. A certain amount of social responsibility is involved in green marketing and designing beyond legal frameworks to sustain the environment. The concept of Circular Economy (CE) originated in the 1970s with the goal of decreasing the use of resources in industrial production. However, it has the potential to be applied to any kind of resource. By utilizing the natural cycle model, CE aims to make human activities more sustainable, proposing a shift from the current "extraction-production-disposal" model of the linear economy (LE) that is widely used in the industrial sector. This concept has gained acceptance to address the issue of sustainability in public





Sayant Vijay et al.,

policies in governments such as those of the European Union (House of Commons, 2014) and has been implemented as a national development strategy in China (Arruda, et al, 2021). In terms of marketing strategies, two models remain at the forefront. The first marketing mix comprises the 7Ps (product, price, promotion, place, process, physical evidence, and people) put forward by Gordon in 2012. Then there are also the 4Ps developed by Booms and Bitner (1981), a framework developed from McCarthy's 1960 framework. The 4Ps framework includes product, price, place and promotion (Eniezan et al. 2016). Notwithstanding the high dissatisfaction with the 4Ps, their framework is considered the most significant for introductory and consumer marketing (Eniezan et al. 2016). There are certain disadvantages to the 4Ps where it lacks people, participants and participants and processes. There is also a lack of connection/integration between the variables (Goi, 2009).

The paper will look at two startups - Sunbird and Phool - that have adopted environmentally sensible practices to develop and market their products. Phool is an Indian startup founded in 2017 that makes incense sticks and vermicompost out of used flowers from the temple. They have made an impactful intervention from an ecological perspective by identifying the menace caused by dumping pesticide-laden flowers into water bodies. The dumped flowers are collected and then made into charcoal-free incense sticks that are organic and biodegradable. Their 'flower cycling' technology is managed by a group of women called 'flower cyclers' who have now assured a healthy livelihood. Today, they employ 73 women who have worked towards recycling more than 11,060 tons of flowers since its inception. Phool has both B2C and B2B businesses, wherein the former happens through their e-commerce website. They continue to focus on R&D to develop nuanced methods to produce biodegradable products like bio leather, organic vermicompost and soaps. Sunbird Straws is a multi-layered biodegradable straw made of fallen, dry coconut leaves. This first-of-its-kind endeavour has also developed machinery to cut and process the straws from dry coconut leaves collected and cleaned off its midrib. These machines are now capable of making a straw in 1.5 seconds. These straws have the edge over paper straws that get soggy and are not eco-friendly since they are made from cut trees and processed using chemicals. These biodegradable straws, in that sense, decompose themselves like a natural dry coconut leaf. While focussing on the ecological and social impact they impart, Sunbird has also not derailed from its commercial journey either. They aim to produce 15 lakh straws monthly within the first half of 2023. The startup employs 86 women from rural areas who take care of most of the processes, including sourcing, sorting, processing and packaging. These companies have gone beyond the narrow categories of eco-friendly consumption to go beyond product development and include ecologically sensitive marketing strategies and production processes. Phool and Sunbird have played a seminal role in synthesising a circular economy model addressing a previously overlooked ecological concern through a holistic approach founded upon environmental sustainability.

Through an extensive study of the functionality of the two startups, the paper aims to curate the green marketing strategies of Sunbird and Phool in a dynamic industry 4.0 scenario wherein ecological sensibility, commercial interests and immersive customer experience should go hand in hand. The case study of Sunbird and Phool provides an excellent example of how green marketing can be reinvented for sustainable and innovative management practices in a country like India, where the transition to sustainable living practices remains a luxury. Both these companies have successfully differentiated themselves in their respective markets by emphasising their commitment to sustainability and innovative management practices. By contextualising green marketing within the discourse of sustainable marketing practices through the functionalities of Sunbird and Phool, the paper will look at how they have re-imagined their marketing strategies in a sustainable manner and how other companies can adopt and adapt to similar practices. The 7Ps of marketing have the disadvantage of being too long, making it more complicated. Also, the 4Ps have limited but better reliability as they can adapt quickly to various problems. 7P has an essential inclusion that the 4Ps neglect - People. People have become a significant USP in the emergence of Sunbird and Phool. The storytelling involving the people and the product features has reinvented the process of Green Marketing. The case study will help locate People's roles in sustainable and innovative green marketing and how improving the circular economy can benefit our society in the long run. The paper will explore these companies' key strategies to reinvent green marketing for a sustainable future. If the reinvention of the methods is innovative, how are Subird and Phool contributing to the Circular Economy? This paper will also investigate the approaches employed by Phool and Sunbird towards green marketing and the way in which they contribute to the circular economy. Disruptive





Sayant Vijay et al.,

Innovation Theory curated by Clayton Christensen in the 1990s discusses the ways in which new entrants in a market can disrupt its ecosystem by starting from the bottom and then moving upwards (Christensen 1997). In this context of the research, disruptive innovations are considered as a process and not as a product or service. Disruptive innovations are focussed on the ways in which firms create, deliver and appropriate value. The theory explains the patterns of entrants in a dynamic business environment. It looks at the ways in which new entrants in the market focus on creating new market segments by operating in value networks that are contextual to customer needs. Thus an innovation that is an improvement along the value system that has been historically valued by the customers will be more sustainable and successful. However, disruptive innovations do not cater to the large customer base of the established companies which creates an asymmetry between the new entrants and incumbents. It is in this space that startups like Sunbird and Phool pitch themselves. In that way, Christensen argues that new entrants will improve their performance over time superseding the incumbents and slowly capturing the whole market segment (Christensen and Rosenbloom 1995). The paper, by employing the nuances of disruptive innovation theory, attempts to understand how Phool and Sunbird contribute to the circular economy through their strategies of green marketing. The processes adapted by the new entrants are never perceived as an immediate threat to established companies but have the potential to climb up the market and create disruptive changes. By looking at how Sunbird and Phool reinvent the existing marketing strategies and slowly create an impact amongst the customers, the disruptive innovation theory will throw light on the ways in which they can tackle their competitors and bring their marketing practices to the forefront in their respective domains.

Reinventing Marketing Strategies - A Move Away from Negative Marketing

Negative marketing has been one of the key marketing strategies used by companies all over the world to promote their brand. Negative marketing, also known as attack advertising or competitive advertising, involves directly criticising or attacking a product or company to make your own brand appear superior. This strategy has been employed by both start-ups as well as established companies through various means such as advertising, social media campaigns and public relations. There are two subtypes of negative marketing, attack and contrast. The attack focuses on the negative sides of the competitor's offerings, and the contrast focuses on the positive sides of your offering and establishes the gap. This way is believed to be a more subtle way which would still highlight what the competitors lack. Wilkie defines comparison advertising as a form of advertising that compares two or more brands that sells the same kind of product or service class. They make comparisons based on their service attributes and product lines (Wilkie 1975). The strategy of using Negative Marketing or comparative advertising to promote a product is something that has been around for a very long time. Especially now, with the influence of social media and other advertising platforms, negative marketing has become one of the easiest ways to push a product by comparing it with the cons of a competitor's product. While this can be effective in generating attention and creating a buzz, it can also backfire and damage a brand's reputation. Typically, larger brands find it easier to use negative marketing against their competition since they are already well-known. Therefore, the potential reward outweighs the risk of highlighting value propositions. It is argued that the comparison ad has the ability to ease the consumer's role of evaluating and deciding on one brand against the other based on their performance; better places products or "superior" products are usually benefitted from the early use of comparison advertising which inadvertently stimulate improvements in the product by its competitors which are however considered "below par" (Wilkie 1975). Phool and Sunbird are two companies that have employed different ways of marketing and never used negative marketing to push their products. Without comparing their product to that of the competitors or by attacking advertising, Phool and Sunbird have successfully made their entry into sustainable and innovative market practices. They have employed different green marketing techniques, which focus largely on the idea behind the startup, goal and vision. One of the most unique and effective green marketing strategies used by these companies is the storytelling method.

Nascent Approaches in Green Marketing Strategies - A Reinvention Through Storytelling

Green marketing has become an integral part of business strategies ever since the growing concerns on environmental sustainability and climate change at a global level. It was aimed at fostering cleaner production and encouraging sustainable consumption (Nidumolu et al 2009). Over the past three decades, companies have been





Sayant Vijay et al.,

trying to develop products that are environmentally sustainable and market them by creating awareness about the ecological impact that their products can have. Considering the long history of green marketing as a whole and sustainable product in particular, Sunbird and Phool are new entrants in the market. However, their green marketing strategies were not only unique but were also offering a sustainable future which qualifies these strategies to be capable of being the future leaders in the industry. While green marketing is generally regarded as the "integration of environmental sustainability into marketing", it can be seen that these two startups have gone beyond these definitions to reinvent existing practices (Dandelico and Vocalelli 2017). Green marketing strategies for a long time have been an unending struggle to find a balance between environmental sensitivity and corporate strategies. Companies have been trying to integrate environmental strategies that are capable of bringing in competitive merit and profitability into their midst (Leonidou.et al 2013). Due to the increasing tendency to greenwash the companies' marketing strategies, they have developed superficial marketing strategies. The traditional green marketing strategies have followed the 4Ps format. They are product, price, promotion and place. However, in the 7Ps of marketing, People are an important element. Hence, there has always been a gap between environmental strategies and corporate strategies. It is in this gap that companies like Sunbird and Phool make a difference through their integrated and reinvented marketing strategies. Both companies have identified an untapped segment of the existing market so as to pitch in their unique selling proposition. Unlike the regular companies in their industry, they have reinvented the existing green marketing strategies to fit within their Unique Selling Propositions. Sunbird and Phool's marketing strategy thrives on two things - storytelling and circular economy. They have been able to create a unique story with respect to their product, production and consumption. They have weaved their startup story into their marketing strategy to pitch themselves to their customers. The storytelling aims to incorporate the notion of People from the 7Ps to establish a new model that reinvents the current aspects of green marketing.

Sunbird is the world's first premium multi-layered drinking straw, which is made from dried coconuts. Unlike the paper, steel and bamboo counterparts, Sunbird Straws has antifungal and hydrophobic outer and inner walls, which makes them unique and truly eco-friendly. Their straws can be used in cold beverages for 3 hours and have a shelf life of 9 months. With a production rate of 2 lakh straws every month, they have already replaced 0.5 million plastic straws already and prevented 52 kg of Carbon Monoxide from entering the environment. Sunbird aims to produce 15 lakh straws per month in 2023. They have nine production units in total that span the rural areas of Kerala, Karnataka, and Tamilnadu, which are run predominantly by native women. These women are mostly from abusive households (from the interview with the founder). Currently, they have employed 86 women at various stages of their production. The entire process, from the collection of raw materials to cleaning and processing, is sustainably done with machines made by the company itself. They have been able to retain all their employees so far. Earning a revenue of Rs 18 crore, Sunbird aims to further increase its production to 10 lakh straws per day and employ 1000 women. Table 1 shows the social impact made by Sunbird so far. The company used the social impact that they have created over the years to pitch in their product along with their quality.

Despite being new entrants in their respective markets they were able to make an impact by using the storytelling trope to put forth the larger social impact that they are making by employing women, using eco-friendly raw materials and sustaining families in the rural areas. As far as Phool is concerned, they were able to identify a severe menace that was unrecognised for a long time. Floral offerings are a part of the majority of Indian culture. The environmental concerns invited by the dumping of pesticide-laden flowers into water bodies have been going unnoticed until the founders identified them during their visit to various pilgrimage sites. Since its inception, Phool has been able to make a significant impact both environmentally and commercially. They have become the world's first profitable and lean solutions company that has solved the problem of temple waste. Through their flower cycling technology, they make handcrafted charcoal-free incense sticks and organic and biodegradable packing materials. With the profit made, they have also educated 19 students so far. Their entire business production employs 73 women on a full-time basis which has improved the livelihood of 73 families by at least sixfold. Their relentless efforts have resulted in the upcycling of over 2500 metric tons of floral waste that has removed over 275 kilograms of pesticide residue from river Ganga. Table 2 summarises the social impact made by Phool so far. They were creating a circular economy wherein they not only created a livelihood for a group of people but also pioneered a culture that





Sayant Vijay et al.,

encourages the reusing of materials that were hitherto discarded as waste. They take care to minimise unnecessary wastage and cut the use of plastic. While marketing their products, Phool and Sunbird put forth the social impact that they have been creating by empowering women in their businesses through their storytelling strategies. They carefully tailor their stories to align with the value systems that have been appreciated by society at large. In the light of the Theory of Innovative Disruption, these sustainable innovations are the strategies that not only got these companies their entry into the market but also will help them climb upward slowly. By pitching in their innocuousness with the livelihood that they provide to their employees, their startup story in itself becomes their marketing strategy. Through the interviews conducted with the CEOs of respective startups, it was inferred that a lot of people buy their products because of the social impact that their story creates. At the same time, they also are careful about maintaining high quality for their products which will ensure profitability that goes beyond the social impact that they are creating through storytelling. With this, the notion of People from the 7Ps gets incorporated with the 4Ps to form a new model that reinvents green marketing strategies. The disruptive innovation theory looks for strategies that are capable of changing existing competitive patterns. The patterns that Sunbird and Phool put forth are capable of this disruption in the future is what their success story is trying to say. In a dynamic business environment that is focusing relentlessly on sustainability, the marketing strategies of Phool and Sunbird have the capability of being mainstream strategies.

Green Marketing and Circular Economy aiding a country's development

The reinvention of Green Marketing strategies has increased the consumption of more sustainable and eco-friendly products. Circular Economy (CE), as a fundamental idea and practice looks forward to minimising waste and increasing the utility and lifespan of materials along side preserving its value (Lehmann et al. 2022). The Circular Economy is also a pivotal tool in overcoming the menace of climate change and pollution. Jansson (2016) posits that by mending and remanufacturing, companies can bring down the necessity of new primary raw materials, improve the lifespan of products and reduce the emission of CO2 and other toxins. This is where Sunbird and Phool prioritise the sustainability and prosperous ecological life that stems from the circular economy. On the other hand, the reinvention of Green Marketing strategies not only dwells on the impact it can have on decreasing waste and CO2 emissions and sustaining a prosperous ecological life. It has focused on the impact and lives of People contributing to the sustenance of our environment. One of the most neglected aspects of the 4Ps is the People, an element in the 7Ps framework. Phool and Sunbird have utilised the importance of the people. They aim to uplift people from backward societies through their ecologically beneficial products. Their business enables the companies to support the workforce, people from backward areas of society, to move forward economically and in other spheres of life.

One particular way they have contributed to the betterment of the people is through an added emphasis on storytelling. The marketing aims to convince the consumers to deviate from the usual products, focusing on ecologically safe products and their impact on the workforce behind nature-friendly products. The workforce for these products are women from lower class and abusive households and the companies try to uplift them to succeed in all spheres of life. The impact brought towards the lives of these women, their families and sustainable products indicates a heartwarming but impactful story that can benefit the country in their ecological and economic concerns. When people become the USP, and the product can solve many ecological problems, consumers have shown no reluctance to shift towards a more sustainable option. The social media engagements and the story behind Sunbird and Phool shed light on their social impact on our country. Employing women and uplifting them from being dependent on their husbands is a small start to uplifting a backward community or region. The support the backward class people can benefit from if consumers shift from harmful products to sustainable products that benefit our environment. The model of creating a story worth telling around a product that creates jobs, reduces pollution, and is recyclable could shift the direction of any country. The United Nations Industrial Development Organisation, for over 50 years, has worked towards enabling countries for a circular economy for sustainable development. According to the Ellen MacArthur Foundation, by 2025, circular business models could generate about \$1 trillion per year of materials cost savings. This can certainly be an effective model for a country like India. The invaluable impact of Circular Economy and Green Marketing is that in the possible ways to move towards a resource-efficient production model and create environmentally friendly products, it also provided a pathway to improve the social





Sayant Vijay et al.,

conditions of life. Effective utilisation of Circular Economy and Green Marketing can lead to shared prosperity. Poverty eradication and creating employment opportunities for lower-class women can drive the development of a country like India immensely. Phool and Subird have shown that it is working. They employ women from backward communities, providing them with regular incomes to advance in all spheres of life. It is not just safeguarding our environment but also looking after its inhabitants. The storytelling involved with Sunbird and Phool and their impact on social life has paved the way for the reinvention of green marketing to move towards a sustainable and effective circular economy.

CONCLUSION

Sunbird and Phool have demonstrated an innovative and holistic approach to business that balances both sustainability and profitability. By creating environmentally-friendly products, they have addressed the urgent need for sustainable solutions and pioneered a circular economy model to combat the ecological and economic problems that persist. Their marketing strategy is also noteworthy, as they prioritise the workforce and emphasise the social impact of their products. Providing their workforce that comprises women from lower classes, and abusive homes with substantial income primarily helps the people get ahead in life. The companies also handle the education of their kids and other needs. Using the impact brought in through the business of ecologically friendly products becomes the reinvention of green marketing strategy to move towards a more sustainable business environment. Sunbird and Phool have not used 'negative marketing' to market their product. Altogether, they have completely reinvented the green marketing strategies, where neglect towards People from the 4Ps has been utilised in the new model. This approach benefits the environment and supports women from disadvantaged backgrounds, which is a commendable social initiative. In addition, their circular economy model has the potential to generate significant revenue for India and promote sustainable development. Ellen MacArthur Foundation states that a productive Circular Economy can generate trillions of revenue for a country. Sunbird and Phool serve as inspiring examples of how businesses can thrive while prioritising sustainability and social responsibility. Their success is not only a model to protect the environment but more beneficial to produce a sustainable model of the economy for our country.

REFERENCES

- Arruda, E. H., Melatto, R. A. P. B., Neto, W. L. B. da S., & Conti, D. de M. (2021). CIRCULAR ECONOMY: A BRIEF LITERATURE REVIEW (2015-2020). Sustainable Operations and Computers, 2. https://doi.org/10.1016/j.susoc.2021.05.001
- 2. Bameta, N. (2022, December 15). *How Sunbird Straws makes biodegradable straws from dried coconut leaves*. YourStory.com. https://yourstory.com/smbstory/Sunbird-straws-biodegradable-dried-coconut-leaves-sustainable
- 3. Ban on identified Single Use Plastic Items from 1st July 2022. (2022, February 28). Pib.gov.in. https://pib.gov.in/PressReleasePage.aspx?PRID=1837518
- 4. Christensen, C. M. (1997). The Innovator's Dilemma: When New Technologies Cause Great Firms to Fail. Hbs.edu. https://www.hbs.edu/faculty/Pages/item.aspx?num=46
- 5. Christensen, C. M., & Rosenbloom, R. S. (1995, March). Explaining the Attacker's Advantage: Technological Paradigms, Organizational Dynamics, and the Value Network Article Faculty & Research Harvard Business School. Www.hbs.edu. https://www.hbs.edu/faculty/Pages/item.aspx?num=6049
- 6. Dangelico, R. M., & Vocalelli, D. (2017). "Green Marketing": an Analysis of definitions, Strategy steps, and Tools through a Systematic Review of the Literature. *Journal of Cleaner Production*, 165, 1263–1279. Sciencedirect. https://doi.org/10.1016/j.jclepro.2017.07.184
- 7. Deshpande, A. (2021). Sustainable Initiative of Worshiped Flower Waste and a Diverse Social Enterprise; "Phool.com." Palarch's Journal Of Archaeology Of Egypt/Egyptology, 18(7)





Sayant Vijay et al.,

- 8. Ellen MacArthur Foundation. (2019). What Is a Circular Economy? Ellen MacArthur Foundation.https://ellenmacarthurfoundation.org/topics/circular-economy-introduction/overview
- 9. Eneizan, B. M., Abd. Wahab, K., & S., Z. M. (2016). Prior Research on Green Marketing and Green Marketing Strategy: Critical Analysis. *Singaporean Journal of Business Economics and Management Studies*, 5(5), 1–19. https://doi.org/10.12816/0033265
- 10. Goi, C. L. (2009). A Review of Marketing Mix: 4Ps or More. International Journal of Marketing Studies, 1, 2-15. https://doi.org/10.5539/ijms.v1n1p2
- 11. Kallingal, A., Gulati, A., Brubaker, K., & McBurney, M. (n.d.). *Sustainable Straws: An insight to a Plastic-Free Future*. AIM2Flourish. https://aim2flourish.com/innovations/sustainable-straws-an-insight-to-a-plastic-free-future
- 12. Lehmann, C., Cruz-Jesus, F., Oliveira, T., & Damásio, B. (2022). Leveraging the circular economy: Investment and innovation as drivers. *Journal of Cleaner Production*, 360, 132146. https://doi.org/10.1016/j.jclepro.2022.132146
- 13. Nidumolu, R., Prahalad, C. K., & Rangaswami, M. R. (2015). Why sustainability is now the key driver of innovation. *IEEE Engineering Management Review*, 43(2), 85–91. https://doi.org/10.1109/emr.2015.7123233
- 14. *Phool Crunchbase Company Profile & Funding*. (2018). Crunchbase. https://www.crun chbase.com/organ ization/Phool-co
- 15. Phool | Charcoal-free Incense Made From Temple Flowers. (n.d.). Phool. https://Phool.co/
- 16. *Sunbird Straws Biodegradable Straws*. (n.d.). Sunbird Straws. Retrieved March 3, 2023, from https://Sunbirdstraws.com/
- 17. Wainwright, C. (2021, January 15). Why You Might Want to Be More Negative in Your Marketing. Blog.hubspot.com/https://blog.hubspot.com/marketing/be-more-negative-in-your-marketing#:~:text=The re%20are%20tw o%20type s%20of
- 18. White, K., Habib, R., & Hardisty, D. J. (2019). How to SHIFT Consumer Behaviors to Be More Sustainable: a Literature Review and Guiding Framework. *Journal of Marketing*, 83(3), 22–49. Sagepub.
- 19. Wilkie, W. L., & Farris, P. W. (1975). Comparison Advertising: Problems and Potential. *Journal of Marketing*, 39(4), 7. https://doi.org/10.2307/1250590





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Quantification of Arm Strength Performance in Response to High Intensity Interval Training and Moderate Intensity Continuous Training among College Women Athletes

V. Lakshmi Prabha¹ and I. John Parthiban^{2*}

¹Ph.D Research Scholar (Part Time), Department of Physical Education, H. H. The Rajah's College (Autonomous), Pudukkottai, (Affiliated to Bharathidasan University, Tiruchirappalli), Tamil Nadu, India.

²Director of Physical Education, H. H. The Rajah's College (Autonomous), Pudukkottai, (Affiliated to Bharathidasan University, Tiruchirappalli), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Sep 2024

*Address for Correspondence

I. John Parthiban

Director of Physical Education,

H. H. The Rajah's College (Autonomous),

Pudukkottai, (Affiliated to Bharathidasan University, Tiruchirappalli),

Tamil Nadu, India.

Email: drjohnparthiban@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Increased muscular strength has been shown to improve performance in general sport skills like jumping, sprinting, and direction-changing, according to a large body of studies. According to more studies, athletes who are stronger perform better when performing tasks unique to their sport. Ones performance in a variety of sports, including basketball, volleyball, and swimming, will increase if your biceps are strong. Arm curls are a great exercise to add to one's fitness regimen if ones want to succeed in sports that need upper body strength and power. The purpose of the study was to quantification of arm strength performance in response to high-intensity interval training and moderate-intensity continuous training among college women athletes. Forty-five(N=45) women athletes were selected as subjects. They were divided randomly into three groups of fifteen each i.e., (n=15) Group-I underwent high-intensity interval training (HIIT), Group-II underwent moderate-intensity continuous training (MICT) and Group-III acted as control group (CG). The training period was limited to three days per week for twelve weeks. Arm strength was selected as dependent variable and it was measured through push-ups test. All the subjects were tested prior to and immediately after the experimental period on the selected dependent variable. The data obtained from the experimental groups and control group before and after the experimental period were statistically analyzed with Analysis of covariance (ANCOVA). Whenever the 'F' ratio for adjusted posttest means was found to be significant, the Scheffe's Post hoc test was applied to determine the paired mean differences. The level of confidence was fixed at 0.05 level for all the cases. High-intensity interval training(HIIT) was found to be better than the Moderate-intensity continuous training (MICT) and Control group in developing arm strength.

Keywords: Interval Training, Continuous Training, High-Intensity, Moderate-Intensity, Arm Strength, Athletes





Lakshmi Prabha and John Parthiban

INTRODUCTION

A form of exercise known as interval training involves a sequence of high-intensity sessions separated by rest or relaxation periods. While the recovery intervals entail lower-intensity activity, the high-intensity periods are often at or near anaerobic exercise[1]. Interval training is simply a type of exercise where a rest period or recovery time is allowed in between two repetitions of a low to high intensity activity. In general, interval training entails working out at progressively higher intensities (from low to high), separated by rest intervals[2]. The average race pace is multiplied by four seconds to estimate the exercise intensity. This is the maximum amount of exercise time that can be manipulated, and the rest period has an adequate impact on the strength of the training stimulus[3]. Six intensity zones, including Super maximum (>100), maximum (90-100), Heavy (80-90), Medium (70-80), Low (50-70), and Very Low (50), can be used to categorize the intensity[4]. Short bursts of intensive activity are interspersed with less strenuous recovery intervals in a form of exercise known as high-intensity interval training (HIIT). It is also sometimes referred to as sprint interval training (SIT), high-intensity intermittent exercise (HIIE), or Tabata (after the professor who investigated this form of training in Olympic speed skaters)[5]. To improve motor fitness components, moderate-intensity continuous training techniques and high-intensity interval training are frequently advised. Continuous or long, slow distance training entails steady-paced, extended exercise at either low or high aerobic intensity, typically between 60 and 80% of one's maximum oxygen uptake (VO2max). Continuous exercise has been shown to enhance plasma volume, capillary density, oxidative enzyme activity, and VO2max in untrained people[6].

METHODS

Participants

Forty-five (N=45) women athletes studying various arts and science colleges in Coimbatore District, Tamilnadu, India were selected randomly as subjects. The age, body mass and standing body height of the subjects was ranged between 17 to 20 years, 50 to 55 kilograms, 1.46 to 1.62 meters respectively.

Study Design

The subjects were divided randomly into three groups of fifteen each i.e., (n=15) Group-I trained high-intensity interval training (HIIT), Group-II trained moderate-intensity continuous training (MICT) and Group-III acted as Control (CG). The subjects performed their training interventions for three days per week for twelve weeks. The control group did not entertain any specific type of activity except the college curriculum.

Data Collection

Arm strength was selected as dependent variables and it was measured through push-ups test⁸. The data on Arm strength was collected from the participants before and after the training interventions.

Statistics

The data collected from the experimental groups and control group on prior and after training interventions on arm strength was statistically examined by analysis of covariance (ANCOVA) was used to determine differences, if any among the adjusted post test means on selected criterion variables separately. Whenever they obtained f-ratio value was significant the Scheffe's test was applied as post hoc test to determine the paired mean differences, if any. In all the cases 0.05 level of significance was fixed.

RESULTS

Arm Strength

The analysis of covariance on arm strength of the pre, post, and adjusted test scores of HIIT group, MICT group and Control group have been analyzed and presented in table – 1. The table-1 shows that the pre-test means values of arm strength for HIIT, MICT and CG are 4.63, 5.00 and 5.27 respectively. The obtained 'F' ratio of 0.45 for the pretest





Lakshmi Prabha and John Parthiban

mean is lesser than the table value of 3.22 for degrees of freedom 2 and 42 required for significance at 0.05 level of confidence on arm strength. The posttest means values of arm strength for HIIT, MICT and CG are 7.47, 6.67 and 5.33 respectively. The obtained 'F' ratio of 9.84 for the posttest mean is greater than the table value of 3.22 for degrees of freedom 2 and 42 required for significance at 0.05 level of confidence on arm strength. The adjusted posttest means values of arm strength for HIIT, MICT and CG are 7.60, 6.74 and 5.13 respectively. The obtained 'F' ratio of 34.01 for the adjusted posttest mean is greater than the table value of 3.23 for degrees of freedom 2 and 41 required for significance at 0.05 level of confidence on arm strength. The analysis of the study indicated that there was a significant difference between the adjusted post-test means of HIIT, MICT and CG on arm strength..The pre and posttest mean value of experimental groups on arm strength his graphically represented in the Figure -1. Pair wise comparisons of Scheffe's Post Hoc test results are presented in table – 2. Table-2 shows that the mean difference values of HIIT and MICT, HIIT and CG, MICT and CG are 0.87, 2.48 and 1.61 respectively, which are greater than the confidence interval value of 0.77 on Arm Strength at 0.05 level of confidence. The results of the study showed that there was a significant difference between HIIT and MICT, HIIT and CG, MICT and CG. The above data also reveal that HIIT is better than MICT and CG. The adjusted posttest mean value of experimental groups on arm strength is graphically represented in the Figure -2.

DISCUSSION ON FINDINGS

The results of the study indicate that all the experimental groups namely High-Intensity Interval Training and Moderate-Intensity Continuous Training have significantly improved in arm strength. Further the results of the study showed control group showed there is no significant improvement. It is also found that the improvement effected arm strength by HIIT is greater when compared to the effects of MICT and CG.

CONCLUSIONS

Significant differences were found between High-Intensity Interval training, Moderate-Intensity Continuous Training and Control group in arm strength. The Experimental groups namely, High-Intensity Interval training (HIIT) group, and Moderate-Intensity Continuous Training (MICT) group had significantly improved in arm strength. The High-Intensity Interval training (HIIT) group was found to be better than the Moderate-Intensity Continuous Training (MICT) group and Control group in the performance of arm strength.

REFERENCES

- Edward L. Fox and Mathew, interval Training Conditioning for Sports and General. Fitness, Philadelphia: W.B. Saunders Company, 1974, P.21.
- 2. MacInnis MJ, Gibala MJ. Physiological adaptations to interval training and the role of exercise intensity. The Journal of Physiology, 2017; 595(9):2915-2930.
- 3. Bruce C. Nobble, Physiology of Exercise and Sports, (Saint Louis: Times mirror, Mosby College Publishing, 1986, P.269.
- 4. Bompa T, Haff GG. Periodization-5th Edition: Theory and Methodology of Training (5 edition). Champaign, IL: Human Kinetics. 2009b.
- 5. Talanian, JL, Galloway, SD, Heigenhauser, GJF, Bonen, A, and Spriet, LL, Two weeks of high-intensity aerobic interval training increase the capacity for fat oxidation during exercise in women, *J Appl Physiol*, 2007, 102: 1439–1447.
- Mazoochi M, Fateminezhad SE, Mazoochi T. Effects of Continuous and Interval Training on Different Fitness Parameters in Athletes. World applied sciences journal. 2013; 28(3):312-5.





Lakshmi Prabha and John Parthiban

Table-1: Showing the analysis of co-variance on the parameter of Arm Strength(Measures in Counts)

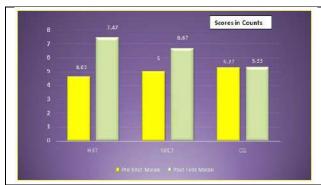
Test	нит	MICT	CG	Source of Variance	Sum of Squares	df	Mean Squares	F- ratio
Pre-Test	4.63	5.00	5.27	Between groups	0.93	2	0.47	0.45
Mean				Within groups	43.87	42	1.04	0.43
Post-Test	7.47	6.67	5.33	Between groups	34.84	2	17.42	9.84*
Mean				Within groups	74.40	42	1.77	9.84"
Adjusted Post-Test Mean	7.60	6.74	5.13	Between sets	46.40	2	23.2	34.01*
				Within Sets	27.96	41	0.68	

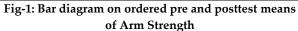
HIIT- High-Intensity Interval Training, MICT- Moderate-Intensity Continuous Training, CG- Control group, df-degree of freedom, * Significant at 0.05 level of confidence, Table value for df (2, 42) at 0.05 level = 3.22, Table value for df (2, 41) at 0.05 level = 3.23

Table-2: Scheffe's test for the Difference between Paired Means on Arm Strength (Measures in Counts)

	HIIT	MICT	CG	Mean Difference	Confident Interval Value
Ī	7.60	6.74		0.87*	
	7.60		5.13	2.48*	0.77
ſ		6.74	5.13	1.61*	

^{*}Significant at 0.05 level of confidence.





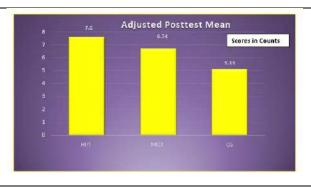


Fig-2: Bar diagram on ordered adjusted posttest means of Arm Strength





International Bimonthly (Print) – Open Access Vol.15 | Issue 86 | Oct | 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

Nano-Carrier based Intranasal Drug Delivery System

Galanki Vasantha1*, Lahari Pydi2 and Divya Putcha2

¹Associate Professor, Department of Pharmacology, Vignan Institute of Pharmaceutical Technology, Vishakapatnam, (Affiliated to JNTUGV), Andhra Pradesh, India.

²II Year, M.Pharmacy, Department of Pharmacology, Vignan Institute of Pharmaceutical Technology, Vishakapatnam, (Affiliated to JNTUGV), Andhra Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence Galanki Vasantha

Associate Professor, Department of Pharmacology, Vignan Institute of Pharmaceutical Technology, Vishakapatnam, (Affiliated to JNTUGV), Andhra Pradesh, India.

E.Mail: drvasanthaniper@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The article explores the intranasal route of drug administration as an emerging and promising method, garnering increasing attention in recent years. Focusing on the advantages of direct nose-to-brain targeting, the paper discusses how intranasal delivery offers improved bioavailability, circumvents invasive techniques, and provides a non-invasive approach to crossing the blood-brain barrier (BBB). Addressing the challenges in treating major brain diseases, including Alzheimer's, schizophrenia, and Parkinson's, the article emphasizes the potential of intranasal administration to enhance central nervous system (CNS) bioavailability. The anatomical aspects of the nasal cavity, comprising vestibular, respiratory, and olfactory regions, are detailed to underscore the unique features supporting effective drug transport. Mechanisms of nose-to-brain transport, including the paracellular and transcellular pathways, are explored, emphasizing the suitability of lipophilic medications for effective absorption. The article delves into the realm of nasal nanotechnology, highlighting nanocarriers such as solid lipid nanoparticles, liposomes, polymeric nanoparticles, and nanoemulsions. These carriers are discussed in the context of increasing residence time, mucoadhesive strength, and viscosity of nasal mucosa, as well as their interaction with olfactory nerve fibers and BBB endothelial cells. Novel nanotechnologies, especially lipid-based nanoparticles like solid lipid nanoparticles (SLN) and nanostructured lipid carriers (NLC), are presented as effective solutions to overcome limitations in drug delivery systems. Recent advances in drug delivery, including antibodies-mediated delivery, gene vectors, and stem cell therapies, are also discussed. The article concludes by highlighting the benefits of intranasal administration, including enhanced therapeutic efficacy, improved targeting capabilities, and increased bioavailability. Despite the potential drawbacks, the growing investment by pharmaceutical companies underscores the significance of intranasal medication in the global pharmaceutical market. The study suggests that SLN and NLC





Galanki Vasantha et al.,

represent more efficient options for intranasal drug administration, presenting a promising future for the treatment of neurodegenerative diseases.

Keywords: Intranasal administration, non - invasive, nanoparticles, Blood - brain - barrier, neurodegenerative disorders.

INTRODUCTION

Drug administration by parenteral and oral routes has been investigated in recent years. Here, we discuss the intranasal route of administration, which has drawn increasing attention lately. New technology and nasal formulations are introduced to reduce negative effects [1]. It is the simplest method—nose to brain targeting, which has a better bioavailability and is direct brain targeting without intrusive techniques or blood stream clearance. As a result, we may conclude that intranasal administration is non-invasive and BBB-passing. It might perhaps make medications more CNS bioavailable. Tight connections between its endothelial cells when it passes the BBB can stop chemicals from leaking from the blood into the brain[2]. Major brain diseases like Alzheimer's, schizophrenia, and Parkinson's disease lack a specific medication that can be taken in severe situations, which can result in death. Not even brain illnesses have been fully elucidated yet [3]. The brain illnesses have the symptoms such as oxidative stress, mental toxicity, blood pressure etc. The primary cause of the oxidative stress in this case is the overproduction of reactive oxygen species. Thus, it is revealed that antioxidant therapy is not permitted in the management of neurodegenerative diseases (NDD)[4]. Studies conducted in vitro as well as in vivo have surrounded it. When compared to other carrier particles, lipid nanoparticles can enhance nose-to-brain drug transport even more quickly due to their biodegradability [5], bioacceptability, and quick brain absorption. The intranasal delivery of medications may be increased by the nanosized carrier particles in comparison to the drug solutions [6].

ANATOMY

Anatomically speaking, the nasal cavity is located between the roof of the mouth and the base of the skull. The structure is made up of two identical cavities that are separated by the septum, which is located along the mid-saggital plane [7]. The mucosa-lined cavities have a combined area of approximately 150 centimeters. It is further separated into three regions:

- 1. Vestibular area
- 2. The breathing area
- 3. Area of smell

VESTIBULAR REGION

Also referred to as the nasal vestibule, this is the initial region. It is 0.6 centimeters in area. The vestibular area is shielded by stratified squamous and keratinized epithelial cells with sebaceous glands. The nasal hairs in this area, sometimes referred to as vibrissae⁸, filter the particles that are inhaled.

RESPIRATORY REGION

Conchae is another name for it. It is vascular and covers the greatest area, around 130 cm 2. It is the cavity, which is separated into inferior, middle, and superior cavities. The lateral wall is where the cavities are projected or originated from[9]. The pseudostratified epithelium in this respiratory area is dominated by four cell types. They are non-ciliated columnar cells, basal cells, ciliated columnar cells, and goblet cells[10]. Sebaceous and mucous glands are also included. The goblet cells, which are primarily in charge of mucus secretion, are part of the respiratory region's major physiology. Basal cells are found on the basal membrane. By retaining moisture and promoting active transport, these cells aid in preventing mucosal drying. It is made up of cilia, which are in charge of increasing the respiratory surface area. A complex mixture, comprising 95% water, 2% mucin, 1% salts, and other proteins such albumin, lysozymes, immunoglobulins, 1% lactoferrin, and <1% lipids, make up the nasal secretion[11].





Galanki Vasantha et al.,

OLFACTORY REGION

The olfactory region, which includes the neuroepithelium, is the only area of the central nervous system that is in direct contact with the outside world. Through the BBB, the medication is absorbed and makes its way to the brain. Similar to the respiratory region, it is made up of several olfactory cells and pseudostratified epithelium[12].

MECHANISM OF NOSE - TO - BRAIN TRANSPORT

Molecules travel from the nasal cavity to the cerebral cortex via two channels as part of the transport process. The chemicals spread throughout the brain after being given to the pons and cerebrum[13].

- Paracellular pathway
- Transcellular pathway

PARACELLULAR PATHWAY

The paracellular route, which passes through the nasal epithelium's tight connections, carries hydrophilic medications. This mechanism is the first one[14]. For several medications, a molecular weight larger than 1000Da was associated with low bioavailability. For effective absorption, lipophilic medications such as fentanyl, progesterone, pentazocine, and propanolol are utilized[15].

TRANSCELLULAR PATHWAY

That is the other mechanism. Nasal administration uses the transcellular pathway, which involves active transport by peptides and glycoproteins, to transfer nasal epithelium. The lipophilic medications will be transported here. Moreover, for the medications to enter the brain, they must pass through the blood-brain barrier [16].

NANOPARTICULATE CNS DELIVERY THROUGH NASAL ROUTE

Much effort has been paid to nasal nanotechnology over the last few decades. Solid lipid nanoparticles, liposomes, polymeric nanoparticles, and nanoemulsions are examples of nanocarriers used in nasal nanotechnology that are effective in delivering drug delivery systems[17]. When opposed to intranasal delivery, new nanotechnology often offers a higher flow rate, meaning that it can more easily cross the blood-brain barrier and get to the brain. The poorly distributed medication will be introduced into the nanocarrier-based particles and come into touch with three distinct regions[18].

- 1) The residence time, mucoadhesive strength, and viscosity of the nasal mucosa all increase.
- 2) Olfactory nerve fibers that facilitate drug transfer from the nasal cavity to the central nervous system.
- 3) The medication will pass the blood-brain barrier by interacting with endothelial cells in the BBB and demonstrating its therapeutic benefits for brain disorders. The tiny size of the nanocarrier molecules allows them to quickly traverse the blood-brain barrier and enter brain-to-nose pathways. Different targeting ligands found in nanocarrier-based systems bind to particular receptors to exhibit therapeutic benefits as well as an improvement in brain specificity and affinity[19]. Nasal nanotechnology is significantly more effective and safe to use[20].

NOVEL NANOTECHNOLOGY NANOPARTICLES

Nowadays, researchers are investigating the use of nanoparticulate systems for intranasal administration as a more effective drug or vaccine delivery method[21]. Nanoparticles are within the nanosize range in terms of size. Similar to microparticles, nanoparticles also enhance medication solubility and metabolism. When compared to conventional drug delivery, instead of nanoparticulate drug delivery, the bioavailability of the former is superior due to its reduced size range of particles. Polymers and lipids, or occasionally both, are the building blocks of nanoparticles because they form controlled nanostructures and enable prolonged and regulated drug release[23].

LIPID BASED NANOPARTICLES:

Solid matrix structures known as lipid nanoparticles were created as an alternative to polymeric, nanogel, and nanoemulsion nanoparticles[24]. Similar to nanoparticles, the size range of SLN is likewise extremely small, ranging





Galanki Vasantha et al.,

from 1 to 1000 nm[25]. Since the solid lipid nanoparticles' surface is made up of physiological lipids and surfactants, they are usually regarded as safe for the body to consume. Triglycerides, monoglycerides, diglycerides, fatty acids, and waxes are the commonly used lipids[26]. These advanced delivery systems are solid lipid nanoparticles. These can lessen or circumvent the polymeric nanoparticulate systems' limitations. There are two generations involved here. SLN is part of the first generation, whereas nanostructured lipid carriers (NLC)[27]are part of the second generation. NLC are recognized for their ability to get over first generation's drawbacks. The lipid matrix, which can prevent proteolytic breakdown of proteins after delivery and enhance protein stability[28].

RECENT ADVANCES

ANTIBODIES MEDIATED DRUG DELIVERY

The last 10 years have seen a surge in interest in antibodies, but their big size limits their capacity to traverse the blood-brain barrier and their permeability, which further limits their potential for antibody-mediated therapy of neurodegenerative diseases[29].

GENE VECTORS TUMORS

Gene vectors are administered into the intranasal cavity to limit blocking of the blood-brain barrier, allowing the vectors to easily cross the barrier and exhibit therapeutic effects in the brain[30].

STEM CELL THERAPIES

Because stem cells can regenerate dead cells in injured places, they are the most forbidden choice for treating a wide range of disorders. These days, pump sprays are used to deliver nasal treatments in various markets. They are liable and the easiest for the patients to use[31].

CONCLUSION

The subjects we covered in this article are linked to brain targeting via intranasal injection of nanoparticles, which is utilized to treat neurodegenerative illnesses. The outlines of the nasal cavity will become clear to readers, and many of the approaches that are described here are still fundamental. Intranasal administration has many non-invasive benefits. The medication is delivered straight into the central nervous system (CNS) by avoiding the blood-brain barrier. The enhanced therapeutic efficacy, improved targeting capabilities, and increased bioavailability of these nanoparticulate carriers are promising and cutting edge characteristics. Furthermore, pharmaceutical businesses are investing a significant sum of money due to the increased demand for nasal medication items in the worldwide pharmaceutical market. It was also mentioned, although, that the intranasal route has a number of drawbacks that need to be addressed in order to create an effective nasal medication. In the end, the SLN and NLC for the intranasal route of medication administration seem to be a more effective choice for illness treatment delivery.

REFERENCES

- Cunha S, AmaralM, Sousa Lobo, J & Silvaa A "Therapeutic Drug Carrier Systems", 2017; 34(3): 257–282.
- 2. Kammona O, Kiparissides C "Recent advances in nanocarrier-based mucosal delivery of biomolecules", JCR, Elsevier, 2012; 161(3): 781-794.
- 3. Tyler P, Heather West Greenlee M, Anumantha G. Kanthasamy, Walter H. "Mechanism of intranasal drug delivery directly to the brain". Elsevier, 2018; 195: 44–52.
- 4. Fabio SonvicoF , Adryana C, Francesca B, Gaia Colombo, Silvia P, Silvia Stanisçuaski G, Adriana Raffin Pohlmann ID and Sara N. "Surface-Modified Nanocarriers for Nose-to-Brain Delivery: From Bioadhesion to Targeting Pharmaceutics", EPMC, 2018; 10: 34.
- 5. Shadab Md, Subrat K, Bhattmisra, Zeeshan F, Naiyar Shahzad, Mujtaba Ali, Venkata Srikanth Meka, Ammu Radhakrishnan, Prashant Kesharwani, Sanjula Baboota, Javed Ali. "Nano-carrier enabled drug delivery systems for nose to brain targeting for the treatment of neurodegenerative disorders" JDDST, Elsevier, 2017; 43: 295-310.





Galanki Vasantha et al.,

- 6. Luigi Battaglia, Pier Paolo Panciani, Elisabetta Muntoni, Maria Teresa Capucchio, Elena Biasibetti, Pasquale De Bonis, Silvia Mioletti, Marco Fontanella & Shankar Swaminathan. "Lipid nanoparticles for intranasal administration: application to nose-to-brain delivery". Expert Opin Drug Deliv, 2018; (4): 369-378.
- 7. Mygind N, Dahl R, "Anatomy, physiology and function of the nasal cavities in health and disease", Adv. Drug Deliv, 1998; 29 (1-2): 3–12.
- 8. Watelet J, Van Cauwenberge P, "Applied anatomy and physiology of the nose and paranasal sinuses", EAACI, 1999; 54 (57): 14–25.
- 9. Nirmala P, Pranati S and Bhargava S "Recent Advances in Nasal Drug Delivery Using Natural Polymers" Current Drug Therapy, 2012; 7: 170-178.
- 10. Sveinbjörn GizurarsonC. "Anatomical and Histological Factors Affecting Intranasal Drug and Vaccine Delivery", Curr Drug Deliv, 2012; 9: 566-582.
- 11. VyasT, Shahiwala K, Marathe S, and Misra, A."Intranasal drug delivery for central nervous system". Curr Drug Deliv 2005; 2: 165- 175.
- 12. Illum I. "Nanoparticulate systems for nasal delivery of drugs: a real improvement over simple systems?" J Pharm Sci, 2007; 96: 473–483.
- 13. Shivam U, Ankit P,Joshi P, Upadhyay M and N P Chotai, "Intranasal drug delivery system- A glimpse to become maestro", JAPS, 2011; 01 (03): 34-44.
- 14. Michael I. Ugwoke, Remigius U. Agu, Norbert V, Renaat K, "Nasal mucoadhesive drug delivery: Background, applications, trends and future perspectives," Elsevier, 2005; 57: 1640 1665.
- 15. Charlton S., Jones N.S., Davis S.S., Illum L. "Distribution and clearance of bioadhesive formulations from the olfactory region in man: Effect of polymer type and nasal delivery device". Eur J Pharm Sci, 2007; 30: 295-302.
- 16. Mitchel J. R. Ruigrok1 and Elizabeth C. M. de Lange. "Emerging Insights for Translational Pharmacokinetic and 17Pharmacokinetic-Pharmacodynamic Studies: Towards Prediction of Nose-to-Brain Transport in Humans". The AAPS Journal, 2015; 17(3) 493 505.
- 17. Dhuria SV, Hanson LR, Frey WH. "Intranasal delivery to the central nervous system: mechanisms and experimental consider-ations". J Pharm Sci, 2010; 99(4): 1654–73.
- 18. Swatantra K.S. Kushwaha, Ravi Kumar K and A.K. Rai "Advances in nasal trans-mucosal drug delivery". JPS, 2016; 01 (07): 21-28.
- 19. Aurora J. "Development of Nasal Delivery Systems: A Review". IJRPS, 2002; 2: 1-8.
- 20. Rahman H, Krishnamoorthy B, Tamilselvan N, Karthik Siram, Karthik S and Hariprasad R " Nanomaterials in drug delivery: existing scenario and potential scope anobiomaterials in Drug Delivery". Elsevier, 2016; 9: 197-228.
- 21. Cunha S, Amaral M, Lobo S, Silvaa A "Therapeutic Drug Carrier Systems",2017; 34(3): 257–282.
- 22. Ying F, Min C, Zhang J, Maincent P, Xuefeng Xia, & Wen Wua, "Updated Progress of Nanocarrier-Based Intranasal Drug Delivery Systems for Treatment of Brain Diseases Therapeutic Drug Carrier Systems", 2018, 35(5):433–467.
- 23. Zarna P, Brijesh P, Sagar P, Chandrakantsing P "Nose to Brain Targeted Drug Delivery bypassing the Blood-Brain Barrier: An overview" Drug Deliv , 2012; 4(12): 610-615.
- 24. Seju, U, KumarA, SawantK.K. "Development and evaluation of olanzapine-loaded PLGA nanoparticles for nose-to-brain delivery: In vitro and in vivo studies". Elsevier, 2011; 7: 4169-4176.
- 25. Amrish Kumar, Aditya Nath P & Sunil Kumar J "Nasal-nanotechnology: revolution for efficient therapeutics delivery" Drug Deliv, 2016; 23(3): 671–683.
- 26. Almeida AJ, Alpar HO. "Nasal delivery of vaccines". J Drug Target, 1996; 3:455-67.
- 27. Jana P, Aiman H, H. Müller R "Lipid nanoparticles (SLN, NLC) in cosmetic and pharmaceutical dermal products" IJPharm, 2008; 366:170–184.
- 28. Abhijit A, Medha Joshi D, Vandana B. Patravale "Parasitic diseases: Liposomes and polymeric nanoparticles versus lipid nanoparticle" Elsevier, 2007; 59: 505–521.
- 29. Arshad Ali Khan Jahanzeb Mudassir Noratiqah Mohtar "Advanced drug delivery to the lymphatic system: lipid-based nanoformulations" IJN, 2013; 8: 2733–2744.





Galanki Vasantha et al.,

- 30. Abdur Rauf K, Mengrui L, Muhammad Wasim K, Guangxi Z "Progress in brain targeting drug delivery system by nasal route" J. Control. Release, 2017; 268: 364–389.
- 31. Rahman H, Krishnamoorthy B, Tamilselvan, N Karthik Siram, Karthik S and Hariprasad R " Nanomaterials in drug delivery: existing scenario and potential scope anobiomaterials in Drug Delivery". Elsevier, 2016; 9: 197-228.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Environment Management through the Lens of Ancient, Medieval and **Modern Indian Literature**

Jyoti Pathak¹ and Thakur Dev Pandey^{2*}

¹Ph.D. Scholar, Department of English, Kumaun University, Nainital, Uttarakhand, India. ²Assistant Professor, Department of Economics, HNB Garhwal University (A Central University) Srinagar, Uttrakhand, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Thakur Dev Pandey

Assistant Professor, Department of Economics, HNB Garhwal University (A Central University), Srinagar, Uttrakhand, India. Email:thakurdev.pandey@hnbgu.ac.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The article explores the intricate field of environmental management through the lens of ancient and medieval Indian teachings. In ancient India, revered texts like the Vedas and Upanishads valued nature as Prakriti, stressing its sacredness and the imperative to protect it. These foundational writings laid the groundwork for a comprehensive approach to caring for the environment, seamlessly blending spiritual reverence with practical sustainability principles. As we move into the medieval period, Mughal rulers implemented strict regulations to safeguard the environment, recognising its crucial role in societal wellbeing. Their governance prioritised ecological balance, imposing penalties for environmental violations. By comparing ancient philosophical ideas with historical governance practices, this study illuminates the lasting relevance of traditional wisdom in today's environmental discourse. It offers insights that transcend time and culture, fostering a renewed understanding of living in harmony with the natural world. Modern Indian writers, philosophers and academicians have also expressed their concerns about the Environment and its relationship with human life. The paper also focuses on the values of the Environment and its balance with Human lives and the various schemes that are laid down for Environmental Management.

Keywords: Environment, India, Management, Literature.





Jyoti Pathak and Thakur Dev Pandey

INTRODUCTION

The environment is the natural world surrounding human life and is closely associated with the atmosphere, hydrosphere, lithosphere and biosphere. In general, Environment includes plants, animals, rocks and the entire landscape. But it is to be matter of concern that the environment is in wilderness and it is also necessary to the management of the environment then environmental management is more concerned with the management of human activities as by M.E Colby in his book Environmental Management in Development: The Evolution of paradigms (1991) says"All human activities take place in the context of certain types of relationships between society and the biophysical world the rest of nature" (p 193) [1]. Environment management encompasses a systematic approach to overseeing the delicate balance of our surroundings, focusing on mitigating pollution, averting environmental catastrophes, and curbing degradation. At its core, it entails the strategic deployment of policies, practices, and technologies to safeguard ecosystems, biodiversity, and human health. This multifaceted discipline not only addresses immediate threats like pollution but also anticipates and prevents potential disasters through proactive measures. Moreover, it promotes sustainable resource utilization, fostering resilience against environmental shocks while minimizing ecological footprint. By integrating scientific research, regulatory frameworks, and community engagement, environment management strives to harmonize human activities with the natural environment, ensuring its longevity for future generations. Embracing a holistic perspective, it underscores the interconnectedness of environmental, social, and economic systems, advocating for responsible stewardship and fostering a culture of environmental consciousness and accountability. However, environmental management is the understanding of the environment and how humans relate to this environment [2]. More broadly, environmental management includes identifying the environmental problem, finding its solution, no exploitation, utilization of natural resources, control environmental pollution, reduce the impacts of extreme natural disaster, make optimum utilization of natural resources and making them eco-friendly as nature is both destroyer and creatorJim Clyburn writes, "Environment protection doesn't happen in a vacuum. You can't separate the impact on the environment from the impact on our families and communities (Jim)" [3].

RESEARCH METHODOLOGY

This paper aims to discover the environmental management during ancient and medieval period and its impact in modern time. This research shows light on the importance of environment, environment problems since ancient time and actions taken in the ancient, medieval and modern period by the people. The paper discusses how to provide the insight of managing the environment and awareness in society. The research approach is descriptive in nature. The paper is based on secondary data which has been taken from various books, articles and journals.

Ancient Literature and Environment Management

In ancient times, the environment was intricately intertwined with the essence of life itself, embodying principles of wisdom, tranquility, and abundance. Former President of India, Dr. Abdul Kalam, in his seminal work "Ignited Minds: Unleashing The Power Within India" (2010), posited that ancient India epitomized a society rich in knowledge and adorned with a flourishing civilization (p. 21)[4]. This profound insight underscores the profound reverence ancient societies held for their natural surroundings, recognizing it as a source of sustenance, enlightenment, and prosperity. Rekindling this legacy of knowledge empowerment entails integrating such wisdom into modern education systems, thereby illuminating paths towards peace, prosperity, and contentment for India and beyond. By embracing the harmonious coexistence with nature advocated by our forebears, we pave the way for a future where the synergy between knowledge, education, and environmental consciousness becomes the cornerstone of societal progress and well-being. पुष्पिता:फलवन्तश्चतर्यन्तीहमानवान्। वृक्षदंपुत्रवत्वृक्षास्तारयन्तिपत्रच।। (Mahabharat, Anusasan Parv, Adhyaya, 58, Shloka 30)





Jyoti Pathak and Thakur Dev Pandey

Humans who plant trees that produce fruits and flowers for welfare of human kind are assisted by those trees in after life, as son assists his father and saves him from the pains of Other World.

The ancient Indian philosophy exemplifies a profound admiration for the environment, reflecting a holistic worldview that intertwines ecological harmony with spiritual enlightenment. Across revered texts like the Vedas, Puranas, Upanishads, Mahabharata, Bhagavad-Gita, and Ramayana, the ethos of environmental stewardship resonates, encapsulated within the concept of Prakriti [5]. These scriptures extol the virtues of knowledge, peace, bliss, and prosperity, all of which are inherently linked to a harmonious relationship with nature. In ancient culture, the environment, encompassing forests, trees, and animals, was revered, with teachings emphasizing the interconnectedness of all life forms and elements of nature – be it space, air, fire, water, or earth. Central to this ethos was the cultivation of a deep-seated love and respect for nature, instilling in individuals a profound sense of responsibility to coexist in symbiotic equilibrium with the natural world. This holistic perspective not only nurtured ecological balance but also fostered spiritual growth and societal well-being, serving as a timeless beacon of wisdom for humanity [6]. In the Bhagavad Gita' Lord Krishna says,

पत्रंपुष्पंफलंतोयंयोमेभक्त्याप्रयच्छति।

तदहंभक्त्युपहृतमश्नामिप्रयतात्मनः॥

(Bhagwat Gita, Chapter 3, Shloka 13)

If one offers me with love and devotion a leaf, a flower, fruit or water, I will accept it.

We get a clearer statement that a tree supports an ecosystem and by its cutting/burning the system is destroyed.

महान्वृक्षोजायतेवर्धतेचतंचैवभूतानिसमाश्रयन्ति।

यदावृक्षश्छिद्यतेदहयते च तदाश्रयाअनिकेताभवन्ति।।

(Mahabharat, Shanti Parv, Adhyaya 69, Sloka 73)

The ancient Hindu text of The Manusmriti stands as a testament to the profound reverence for life and the environment inherent in Hindu philosophy. Within its verses, a resounding condemnation is voiced against cruelty towards animals, illustrating the deep-seated belief in the interconnectedness of all living beings. The Manusmriti's admonishment against the killing of cattle, symbolizing the sanctity of all life forms, is particularly poignant. It declares that the perpetrator of such an act is fated to endure a karmic reckoning, destined to suffer as many deaths as there are hairs on the skin of the slain cattle. This striking injunction serves not only as a moral imperative but also as a poignant reminder of the intrinsic value of every living creature. It underscores the ancient wisdom that reverberates through Hindu teachings, emphasizing compassion, empathy, and the imperative of living in harmony with the natural world. In its essence, this profound insight transcends time and culture, offering timeless guidance on the path towards ethical conduct and ecological stewardship(Bithin1075) [7]. The Vedas also asserted that the plants and trees are the cosmic being and valuable for descendents. Besides Vedas, Upanishads, Puranan, Sutras and other sacred texts of Hinduism Mahabharata, Bhagavad-Gita and Ramayana contain a number of references of the love and worship of the Environment [8]. Many plants and flowers were used for worship, the Lotus(Nelumbo nucifera) was considered a sacred flower and the Indian Basil(Ocimumbasilicum), PeepalTree(Ficus religiosa) and Banyan Tree(Ficus benghaleensis)is still worshipped. Similarly, the Earth, water, air, animals and forest were given significant values in the ancient period so as to protect the environment [9].

Medieval Literature and Environment Management

During the medieval period, the perception of nature underwent a significant shift, with people viewing it not just as a backdrop for life but as a source of delight and aesthetic appreciation. This era witnessed the construction of monuments, parks, and gardens along riverbanks, meticulously designed to capture and amplify the beauty of the natural world [10]. Babur's "Babernama" (1589) and Jahangir's "Tuzuk-I Jahangiri" (1609) offer vivid descriptions of Indian geography, embellished with intricate details of flora, fauna, ponds, and the scenic splendor of nature during that epoch. Historical records and architectural marvels bear testimony to the profound affinity medieval rulers held for nature. Whether it was the Rajput Maharajas or conquerors from the West, leisure time was often spent amidst the serene embrace of nature, their architectural endeavors serving as tributes to the preservation and celebration of





Jyoti Pathak and Thakur Dev Pandey

natural beauty. Through their unique architectural styles, these rulers sought to harmonize human creations with the organic elegance of the environment, manifesting a collective desire to cultivate and cherish the inherent splendor of nature. This symbiotic relationship between humanity and the natural world during the medieval period reflects a nuanced appreciation for the interconnectedness of life and underscores the enduring allure of nature's charm across diverse cultural landscapes. **Source:** District Srinagar, Govt of J & K Available at https://srinagar.nic.in/gallery/shalimar/ Once the Jahangir visited Kashmir, he said about the India's beautiful nature[11] which *Stephen P Blake* in his book *shahjahanabad: the sovereign city in mughalIndia 1639-1739* and writes,

Agar firdaus bar ru-ye zaminast

Hamin ast-o haminast-o haminast

If there is a paradise on the face of the earth.

It is this, it is this, it is this.

Amir Khusro— Amir Khusrau, The Writings Of Amir Khusrau:700 years after the prophet: a 13th-14th century legend of Indian-sub-continent.[12]

During the medieval period, environmental management strategies were characterized by a multifaceted approach aimed at enhancing the natural landscape while also preserving its integrity for future generations. Forestation efforts were actively promoted, recognizing the vital role of forests in maintaining ecological balance and providing essential resources. Additionally, considerable emphasis was placed on the protection and construction of water reservoirs to ensure reliable water supply for agricultural, domestic, and industrial purposes. Emperors and rulers played a pivotal role in shaping the environmental ethos of the time, commissioning artists to depict breathtaking landscapes and architects to design ornate gardens and monuments that celebrated the splendor of nature. Iconic historical gardens like the Mughal Garden in New Delhi, Shalimar Bagh in Srinagar, Jammu & Kashmir, and Verinag Garden in Anantnag, Jammu & Kashmir, stand as enduring testaments to this reverence for nature's beauty [13]. However, alongside these efforts to promote and enjoy nature, strict punitive measures were also implemented to deter environmental degradation. Harsh penalties were imposed on those found guilty of harming the environment, reflecting a recognition of the intrinsic value of ecological balance and the need for its preservation. As a result of these concerted efforts, the condition of the environment during the medieval period was relatively wellmaintained, with a balance struck between human activities and the preservation of natural ecosystems. This holistic approach to environmental management serves as a historical precedent for sustainable practices that continue to resonate in contemporary environmental discourse.

Modern methods of Environment Management

In modern times, the study of the environment falls under the purview of Earth Science, encompassing the natural world comprising earth, trees, water, animals, birds, and landscapes. Renowned poet Rabindranath Tagore's collection of poetry, "Gitanjali" (1913), eloquently weaves themes of earth, trees, water, animals, and birds, setting an example of the profound relationship between nature and humanity. Tagore's teachings advocate for a life lived in harmony with nature, yet, regrettably, contemporary environmental phenomena are endangered due to the neglect of environmental values, leading society towards widespread environmental degradation [14]. India's environmental challenges stem from rapid economic development and population growth, evident in the degradation of environmental quality and beauty, underscoring the growing detachment of humans from nature and the declining sentiment towards environmental conservation. Despite these challenges, various governmental and private initiatives have been undertaken to improve environmental values. Since 1972, numerous private agencies have worked towards environmental protection, addressing issues such as pollution, climate change, and solid waste management [15]. India's legislative framework reflects a commitment to environmental preservation, with significant acts like the Water Prevention and Control Act (1974), Environment Protection Act (1986), and Air Pollution Control Act (1981), along with international agreements like the Convention on Biological Diversity (1992) [16]. Additionally, the government of India, under Prime Minister Narendra Modi's leadership, has launched several ambitious schemes aimed at environmental conservation. Initiatives such as the Swachh Bharat Abhiyan (2014), Bal Swachhta Abhiyan (2014), and the Namami Ganga Yojna (2014) exemplify concerted efforts to tackle environmental challenges comprehensively [17]. These endeavors underscore India's commitment to nurturing its natural





Jyoti Pathak and Thakur Dev Pandey

surroundings and safeguarding its environmental heritage for future generations. By integrating traditional wisdom with modern environmental governance and fostering a culture of environmental stewardship, India strives to overcome the pressing challenges posed by environmental degradation, ensuring a sustainable and prosperous future for all.

DISCUSSION

The environment serves as a guiding force, offering sustenance, balance, and inspiration to humanity. Yet, in the relentless pursuit of economic gain, mankind has often disregarded this guidance, exploiting the environment for short-term gains and inflicting irreparable damage upon delicate ecosystems. Modern environmental management systems have emerged as a response to mitigate these impacts, aiming to regulate and improve the interaction between human activities and the environment. These systems prioritize the preservation of human health and wellbeing while striving to minimize negative environmental consequences. However, amidst the clamour for modern solutions, traditional knowledge systems have often been overlooked and undervalued. Across diverse cultures, traditional knowledge encompasses a vast repository of wisdom spanning various domains, including environmental stewardship. Unfortunately, with the passage of time, this invaluable knowledge has been eroded, as younger generations increasingly prioritize formal education over learning from their elders. As elderly members of communities pass away, much of this practical wisdom vanishes with them, leaving behind only fragmented remnants or sporadic records. Nevertheless, there exists immense potential in preserving and revitalizing traditional knowledge for environmental management. This ancient wisdom, passed down through generations, holds invaluable insights into sustainable resource management, ecosystem conservation, and harmonious coexistence with nature. By safeguarding and revitalizing traditional knowledge, we can bridge the gap between past wisdom and modern scientific understanding, forging a holistic approach to environmental management that integrates the best of both worlds. Efforts to document and preserve traditional knowledge systems are crucial in this endeavor. Through collaborative partnerships between indigenous communities, researchers, and policymakers, traditional ecological knowledge can be systematically recorded, documented, and revitalized. By doing so, we not only honor the rich cultural heritage of indigenous peoples but also unlock a treasure trove of practical wisdom that can inform contemporary environmental management practices. Integrating traditional knowledge into modern environmental management frameworks offers a pathway towards more holistic and sustainable approaches. By leveraging indigenous wisdom, communities can develop locally appropriate solutions to pressing environmental challenges, rooted in centuries-old traditions of ecological stewardship and resilience. In essence, saving and revitalizing traditional knowledge for environmental conservation is not merely an exercise in preserving the past; it is an investment in our collective future. By harnessing the wisdom of our ancestors and integrating it with modern scientific understanding, we can forge a path towards sustainable development that honours both the needs of present generations and the integrity of the natural world.

CONCLUSION

The environment encompasses various elements that bring joy and tranquillity to human beings, from its vibrant colours to its diverse landscapes. Individuals need to prioritize environmental conservation and strive to maintain ecological balance. Today, improving environmental values necessitates fostering a harmonious relationship with nature and adhering to government schemes aimed at environmental protection. Despite the efforts of the Ministry of Environment and other organizations to safeguard the Earth, human greed often takes precedence, leading to the degradation of the environment and disrupting the planet's peace. Mahatma Gandhi's timeless words, "Earth provides enough to satisfy every man's needs, but not every man's greed," resonate profoundly in the modern era [18]. These words underscore the importance of restraining human desires and embracing sustainable practices to ensure the well-being of both present and future generations. In this context, it becomes imperative to revive ancient knowledge systems that espouse principles of environmental harmony and apply them to contemporary environmental management practices. By integrating traditional wisdom with modern scientific understanding,





Jyoti Pathak and Thakur Dev Pandey

society can develop innovative solutions to address pressing environmental challenges. This includes leveraging indigenous knowledge of ecosystem management, sustainable agriculture, and natural resource conservation. Furthermore, educational initiatives can play a crucial role in raising awareness about the importance of environmental stewardship and encouraging individuals to adopt eco-friendly lifestyles. In essence, caring for the environment is not only a moral imperative but also a practical necessity for ensuring the continued prosperity of humanity and the planet. By heeding the lessons of the past and embracing a holistic approach to environmental management, mankind can forge a path towards a more sustainable and harmonious coexistence with nature.

REFERENCES

- 1. Colby, M.E. Environmental management in development: the evolution of paradigms. Ecological Economics. 1991. P. 193
- 2. Singh, Dr. Y. K. Environmental science. New Age International (p) Limited, publishers. 2006.
- 3. Clyburn, Jim. theysaidso.com, 2024. May 15, 2024. https://theysaidso.com/quote/jim-clyburn-environmental-protection-doesnt-happen-in-a-vacuum-you-cant-separate
- 4. Kalam, APJ Abdul. Ignited Minds: Unleashing The Power Within India. Pearson Education India, 2010.
- 5. Roy, Ashim, and Alpana Roy. "Environmental conservation in ancient India." *International Journal of Sanskrit Research* 3.4 (2017): 139-142.
- 6. Tanwar, Dr. Renu. "Environment Conservation in Ancient India". IOSR Journal of Humanities and Social Science (IOSR-IHSS). Vol. 21, Issue 9, Ver. 11, PP 01-04. E-ISSN: 2279-0837, P-ISSN: 2279-0845. www.iosrjournals.org.
- 7. Bithin, Dr. Thakur. "History of Environmental Conservation (Ancient and Medieval Periods)". RESEARCH REVIEW International Journal of Multidisciplinary. Vol.04, Issue-05, 2019, ISSN: 2455-3085, pp.1072-1077. www.rrjournals.com.
- 8. Sarmah, Rajib. "Environmental awareness in the Vedic literature: An assessment". *International Journal of Sanskrit Research*. Vol. 1, no. 4, 2015, ISSN: 2394-7519, pp. 05-08. www.sanskritjournal.com.
- 9. Krishna, Om. "Environmental Discourses in Vedic Period". *International Journal of Social Science and Humanities Research*. Vol. 4, Issue 1, 2016, pp. 683-687, ISSN 2348-3164. www.researchpublish.com Page.
- 10. M. Amirthalingam. "Perspective of environment studies during the mughal period". *Journal of Indian history and culture*. Issues 22, 2016, pp. 178-185.
- 11. Blake, Stephen P. Shahjahanabad: the sovereign city in mughal India 1639-1739. CambridgeUniversity Press. 1991. P.44.
- 12. Khusrau, Amir. "The writings Of Amir Khusrau: 700 years after the prophet: a 13th-14th century legend of Indian-sub-continent". *Goodreads*.2020. Accessed 26 February. 2018.https://www.goodreads.com/work/quotes/11029742-the-writings-of-amir-k husrau-700-years-after-the-prophet-a-13th-14th.
- 13. Permanent Delegation of India to UNESCO."Mughal gardens in Kashmir". *UNESCO world heritage centre*. 13 December 2010. Accessed 11 February 2020. https://whc.unesco.org/en/tentativelists/5580.
- 14. Tagore, Rabindranath. Gitanjali (song Offerings). Macmillan, 1914.
- 15. Chauhan, Kirti Singh and Chauhan, Surender Singh. "Ecological Destruction vis-à-vis Environmental Jurisprudence in India: A Survey". *Journal of Human Ecology*. Vol. 27, Issue 3, 2009, pp. 207-216. https://doi.org/10.1080/09709274.2009.11906212.
- 16. Prabhu, D. V. "Indian Initiatives for Environment Conservation". *Green Chemistry & Technology Letters*.Vol. 4, No. 1, 2018, PP 01-05, E ISSN: 2455-3611.https://doi.org/10.18510/gctl.2018.411.
- 17. Sonawane, Jagdish Shankar and Nikam, Dr. Rahul Yeshwantrao. "Modi Model for Community Organization in Indian Society of Urban Rural and Tribal Development". *GJRA Global Journal for Research Analysis*. Vol-5, Issue-8, 2016, ISSNNo2277–8160.PP.187-194.www.worldwidejournals.com> August_2016_1470922819__61





Jyoti Pathak and Thakur Dev Pandey

18. Sachs, Jeffery D. "The earth provides enough to meet everyone's need". *The national*. 2 March 2011. Accessed 23 Feb. 2020. https://www.thenational.ae/opinion/comment/the-earth-provides- enough-to-meet-everyone-s-needs-1.426562.



Figure 1: Terraces of Shalimar Bagh Srinagar, Srinagar, Jammu & Kashmir, India





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Fixed Point Theorems in Orthogonal Space for Contractive Self Mapping

Rajesh Vyas¹, Divita Sharma^{2*} and Preeti Sengar³

¹Formar Director, Kasturbagram Rural Institute, Devi Ahilya Vishwavidyalaya, Indore, Madhya Pradesh, India.

²Assistant Professor, Department of Mathematics, Medi-caps University, Indore, Madhya Pradesh, India. ³Assistant Professor, Department of Applied Mathematics and Computational Science, Shri. G.S. Institute of Technology and Science, Indore, Madhya Pradesh, India.

Revised: 03 Jul 2024 Received: 21 Jun 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Divita Sharma

Assistant Professor, Department of Mathematics, Medi-caps University, Indore, Madhya Pradesh, India. Email: divita17sharma@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

We establish a shared common fixed point in an Orthogonal Hausdorff topological space with a τ distance in this paper. Next, for two or more classes of contractive self-mappings in complete orthogonal bounded metric space, we derive two common fixed-point theorems. Moreover, we illustrate some examples to support our result.

Keywords: Fixed point, contractive self-mapping, orthogonal complete metric space, Housdorff topological space, weakly compatible mapping.

INTRODUCTION

Nemytzki [13] initiate the Study of contractive or shrinking self-mappings on a metric space (X, d) (i.e., d(T x, T y) < 0 $d(x,y), \forall x \neq y \in X$). Moreover, as M. Edelstein mentioned in [7], the prerequisite for achieving a fixed contractive self-mapping point, is to either presume that the space is compact or that a point $x \in X$ exists for a sequence $\{T^n x\}$ such that it contains a convergent subsequence. B. E. Rhoades shown in [1], that each weakly contractive mapping on a complete metric space (X, d) has a unique fixed point, or we can also say that every self mapping $T: X \to X$ satisfy the condition $d(T x, T y) \leq d(x, y) - \varphi(d(x, y))$, for all $x, y \in X$, where $\varphi: [0, +\infty) \to [0, +\infty)$ is a non-decreasing continuous function such that $\varphi(0) = 0$. Since then, a number of findings about this class of mappings have been published in the literature [2, 5, 12, 14].M. Aamri and D. E. Moutawakil developed the idea of τ-distances in [6] for general topological spaces (X, τ) , and it was then extended to numerous known spaces in the literature. They also demonstrated the well-known Banach's fixed point for this general setting. In this work, we extend several ideas





Rajesh Vyas et al.,

introduced by D. E. Moutawakil and Y. Touaila [15] to orthogonal sets and prove a few fixed point theorems. Our findings complement and generalize the established findings. Additionally, we provide some examples that support our findings.

PRELIMINARIES

This section aims to help you remember some terminology and findings that are necessary for the article. Let (X, τ) be a topological space and $p: X \times X \to [0, \infty)$ be a function. For any $\varepsilon > 0$ and $\forall x \in X$, let $B_p(x, \varepsilon) = \{y \in X : p(x, y) < \varepsilon\}$

Definition 2.1.([6]) The function p is said to be τ – distance if for each $x \in X$ and any neighborhood V of x, there exists $\varepsilon > 0$ such that $B_p(x, \varepsilon) \subset V$.

Definition 2.2.A sequence $\{S_n\}$ is said to be Cauchy sequence if $\forall \varepsilon > 0$, $\exists n \in \mathbb{N}$ such that $|S_{m+p} - S_n| < \varepsilon$, $\forall m > n, p > 0$

Definition 2.3.([15])A sequence in a Hausdorff topological space X is a p-Cauchy if it satisfies the usual metric condition with respect to p.

Definition 2.4. A space is said to be complete if every Cauchy sequence in it is a convergent sequence.

Definition 2.5.([6])Let (X, \bot) be an orthogonal set (O-set). Any two elements $x, y \in X$ are said to be orthogonally related if $x \bot y$.

Definition 2.6.([6]) Let (X, τ) be a topological space with a τ -distance p.

- 1. X is S-complete if for every p-Cauchy sequence (x_n) , there exists x in X with $\lim p(x,x_n) = 0$.
- 2. X is p-Cauchy complete if for every p-Cauchy sequence (x_n) , there exists x in X with $\lim x_n = x$ with respect to τ .
- 3. *X* is said to be *p*-bounded if $\sup\{p(x,y)|x,y\in X\}<\infty$.

Lemma 2.7.Let (X, \bot, τ) be an Orthogonal Hausdorff topological space with a τ – *distance* p, then

- 1. p(x, y) = 0 implies $x = y, \forall x, y \in X, x \perp y$ or $y \perp x$
- 2. Let (x_n) be anorthogonal sequence in X such that $\lim_{n\to\infty} p(x,x_n)=0$ and $\lim_{n\to\infty} p(y,x_n)=0$, then $x=y, \forall x,y\in X,x\perp y \text{ or }y\perp x$

Definition 2.8. ([15]) Two self-mappings f and g of a set X are said to be weakly compatible if they commute at there coincidence points; i.e., if fu = gu for some $u \in X$, then

$$(fg)u = (gf)u$$
.

Definition 2.9. ([2]) θ is the class of all functions $\theta: [0, +\infty) \to [0, +\infty)$ satisfying:

- i) θ is a monotone increasing function,
- ii) $\theta(t) = 0$ if and only if t = 0.

Definition 2.10. ([2]) Ψ is the class of all functions ψ : $[0, +\infty) \to [0, +\infty)$ satisfying:





Rajesh Vyas et al.,

- i) ψ is nondecreasing,
- ii) $\lim_{n\to\infty} \psi^n(t) = 0, \text{ for all } t \in [0,\infty).$

Definition 2.11.([2]) Φ is the class of all functions $\varphi: [1, +\infty) \to [0, +\infty)$ satisfying:

- i) $\varphi(t) = 0$ if and only if t = 1,
- ii) inf $t > 1 \varphi(t) > 0$.

Main result

Theorem

Let (X, \bot, τ) be a p- bounded Orthogonal Hausdroff topological space with a τ – distance p. Let q, r, s and t be two \bot – continuous and \bot – preserving weakly compatible self mapping of X. Satisfying the following condition For every $x, y \in X$, $q(x) \bot q(y)$ or $q(y) \bot q(x)$,

 $r(x) \perp r(y)$ or $(y) \perp r(x)$,

 $s(x) \perp s(y)$ or $s(y) \perp s(x)$

and $t(x) \perp t(y)$ or $t(y) \perp t(x)$

- 1. $t(X) \subseteq s(X) \subseteq r(X) \subseteq q(X)$
- 2. $P(rx, ry) \le \psi(p(qx, qy))$, where $\psi \in \Psi$
- 3. $P(sx, sy) \le \psi(p(rx, ry))$, where $\psi \in \Psi$
- 4. $P(tx, ty) \le \psi(p(sx, sy))$, where $\psi \in \Psi$

If the range of q, r, s and t are S- Complete subspace of X then q, r, s and t have a unique common fixed point.

```
Proof: Since X is an orthogonal set \exists x_0 \in X such that \forall y \in X, y \perp x_0 \text{ or } \forall y \in X, x_0 \perp y
\Rightarrow q(x_3) \perp r(x_2) \perp s(x_1) \perp t(x_0) [ by using condition1.]
                                                                                                                                         ...(i)
Now similarly, we can choose iterated \{x_n\} sequence \forall n \in \mathbb{N} such that
q(x_{n+2}) = r(x_{n+1}) = s(x_n) = t(x_{n-1}) \ \forall n \in \mathbb{N}
                                                                                                                                        ...(ii)
Since \forall x_n \in X, \forall n \in \mathbb{N} \exists q(x_{n+2}) \in X
So, similarly consider a sequence \{q(x_{n+2})\} and subsequence \{r(x_{n+1})\}, \{s(x_n)\} such that
q(x_{n+2}) \perp q(x_{n+1}) or q(x_{n+1}) \perp q(x_n) \forall n \in \mathbb{N} which implies that \{q(x_{n+2})\} is orthogonal sequence.
                                                                                                                                         ...(iii)
Then, its subsequences{r(x_{n+1})}, {s(x_n)} are also orthogonal sequence.
                                                                                                                                          ...(iv)
Now let m, n \in \mathbb{N}
We obtain q(x_0) \perp q(x_{n+2}) or q(x_{n+2}) \perp q(x_0),
r(x_0) \perp r(x_{n+1}) \text{ or } r(x_{n+1}) \perp r(x_0),
s(x_0) \perp s(x_n) or s(x_n) \perp s(x_0), \forall x_n \in X \forall n \in \mathbb{N} and fixed x_0 \in X.
By using (ii) equation
We have,
p(qx_{n+2}, qx_{n+m+2}) = p(rx_{n+1}, rx_{n+m+1}) = p(sx_n, sx_{n+m}) = p(tx_{n-1}, tx_{n+m-1})
By using condition (2.)
\leq \psi(p(sx_{n-1}, sx_{n+m-1}))
\leq \psi'(p(sx_{n-2},sx_{n+m-2}))
\leq \psi^n(p(sx_0,sx_m))
\leq \psi^n(M)
Where M = Sup\{p(x, y)|x, y \in X \}
Since \lim_{n\to\infty} \psi^n(M) = 0
Then \forall n, m \in \mathbb{N}, \forall x_n, x_{n+m} \in X such that s(x_n) \perp s(x_{n+m}) or s(x_{n+m}) \perp s(x_n)
We get p(sx_n, sx_{n+m}) < 0
\Rightarrow {s(x_n)} is p – Cauchy orthogonal sequence ...(v)
```





Rajesh Vyas et al.,

```
Then by using [(iii), (iv), (v)], \{q(x_{n+2}), \{r(x_{n+1})\} are p — Cauchy orthogonal sequence
...(vi)
Suppose that q(x), r(x), s(x) are S – complete
\Rightarrow \exists u \in X, u \perp x_n \text{ or } u \in X, x_n \perp u, \forall n \in \mathbb{N}then
u \in X, qu \perp qx_{n+2} \text{ or } qx_{n+2} \perp qu \text{ such that } \lim_{n \to \infty} p(qu, qx_{n+2}) = 0,
u \in X, ru \perp rx_{n+1} \text{ or } rx_{n+1} \perp ru \text{ such that } \lim_{n \to \infty} p(ru, rx_{n+1}) = 0,
u \in X, su \perp sx_n \text{ or } sx_n \perp su such that \lim_{n \to \infty} p(su, sx_n) = 0,
u \in X, tu \perp tx_{n-1} \text{ or } tx_{n-1} \perp tu such that \lim_{n \to \infty} p(tu, tx_{n-1}) = 0,
Therefore by (v),(vi) qu = ru = su = tu
By using lemma 2.7
we get qu = ru = su = tu
                                                                                                                      ...(vii)
Now the assumption that q, r, s and t are weakly compatible which implies
q.ru = r.qu
                                                                                                                     ...(viii)
r.su = s.ru
s.tu = t.su
                                                                                     [by (vii) and (viii)]
q.tu = q.ru = r.qu = r.ru = r.tu = r.su = s.ru = s.tu = t.su
Suppose that p(r.ru,ru) \neq 0
From inequality 2.
It follows p(r.ru, ru) \le \psi(p(q.ru, qu))
< p(r.ru,ru)
This led to the contradiction
Thus, p(r.ru,ru) = 0
\Rightarrow r.ru = ru
\Rightarrow q.tu = q.ru = r.qu = r.ru = r.tu = r.su = s.ru = s.tu = t.su = ru = tu
\Rightarrow tu is common fixed point of q,r,s and t.
Now if range of t is S – complete of X
Then \exists v \in X, v \perp x_n \text{ or } v \in X, x_n \perp v \text{ such that } \lim_{n \to \infty} p(tv, tx_n) = 0
From condition 1. We have \exists w \in X, v \perp w \text{ or } w \perp v \text{ such that } tv = qw = rw = sw
And the proof of that tw is a common fixed point of q, r, s and t is same as that given when q(x), r(x) and s(x) is S –
complete.
```

For uniqueness

```
Suppose \exists u, v \in X, v \perp u or u \perp v is such that qu = ru = su = tu = u, qv = rv = sv = tv = v with u \neq v.

Since \bot - preserving qu \perp qv or qv \perp qu and u \neq v implies that p(u,v) \neq 0.

Then by condition 2. of the theorem and lemma 2.7, follows p(u,v) = p(tu,tv)

\le \psi(p(su,sv)

\le \psi(p(ru,rv)

\le \psi(p(qu,qv))

= \psi p(u,v)

Which is a contradiction

Therefore, p(u,v) = 0

This implies u = v
```

Theorem

Let (X, \bot, τ) be a p- bounded Orthogonal Hausdroff topological space with a τ – distance p. Let φ and δ be two \bot – continuous and \bot – preserving weakly compatible self mapping of X. Satisfying the following condition For every $x, y \in X$, $\varphi(x) \bot \varphi(y)$ or $\varphi(y) \bot \varphi(x)$ and $\delta(x) \bot \delta(y)$ or $\delta(y) \bot \delta(x)$







Rajesh Vyas et al.,

2. $P(\delta x, \delta y) \le \psi(p(\varphi x, \varphi y))$, where $\psi \in \Psi$

If the range of φ and δ are S- Complete subspace of X then φ and δ have a unique common fixed point.

```
Proof: Since X is an orthogonal set \exists x_0 \in X such that \forall y \in X \ y \perp x_0 or \forall y \in X \ x_0 \perp y
\Rightarrow \varphi(x_1) \perp \delta(x_0) or \delta(x_0) \perp \varphi(x_1) [ by using condition 1.]
                                                                                                                                     ...(i)
Now similarly, we can choose iterated \{x_n\} sequence \forall n \in \mathbb{N} such that
\varphi(x_n) = \delta(x_{n-1}) \ \forall \ n \in \mathbb{N} \ \dots (ii)
Since \forall x_n \in X, \ \forall n \in \mathbb{N} \exists \ \varphi(x_n) \in X
So, similarly consider a sequence \{\varphi(x_n)\} such that
\varphi(x_n) \perp \varphi(x_{n+1}) \text{ or } \varphi(x_{n+1}) \perp \varphi(x_n) \forall n \in \mathbb{N}
\Rightarrow \{\varphi(x_n)\}\ is orthogonal sequence.
Now let m, n \in \mathbb{N}
We obtain \varphi(x_0) \perp \varphi(x_n) or \varphi(x_n) \perp \varphi(x_0), \forall x_n \in X \forall n \in \mathbb{N} and fixed x_0 \in X.
By using (ii) equation
We have p(\varphi x_n, \varphi x_{n+m}) = p(\delta x_{n-1}, \delta x_{n+m-1})
By using inequality (2.)
\leq \psi(p(\varphi x_{n-1}, \varphi x_{n+m-1}))
\leq \psi'(p(\varphi x_{n-2}, \varphi x_{n+m-2}))
\leq \psi^n(p(\varphi x_0, \varphi x_m))
\leq \psi^n(M)
Where M = Sup\{p(x, y)|x, y \in X \}
Since \lim_{n\to\infty} \psi^n(M) = 0
Then \forall n, m \in \mathbb{N}, \forall x_n, x_{n+m} \in X such that \varphi(x_n) \perp \varphi(x_{n+m}) or \varphi(x_{n+m}) \perp \varphi(x_n)
We get p(\varphi x_n, \varphi x_{n+m}) < 0
\Rightarrow {\varphi(x_n)} is p – Cauchy orthogonal sequence
Suppose that \varphi(x) is S – complete
\Rightarrow \exists u \in X, u \perp x_n \text{ or } u \in X, x_n \perp u \text{ then } \varphi u \in X, \varphi u \perp \varphi x_n \text{ or } \varphi u \in X, \varphi x_n \perp \varphi u
such that \lim_{n\to\infty} p(\varphi u, \varphi x_n) = 0
Therefore, \lim_{n\to\infty} p(\delta u, \delta x_n) = \lim_{n\to\infty} p(\delta u, \varphi x_{n+1}) = 0
By using lemma 2.7
we get \varphi u = \delta u
                                                                                                                                                 ...(iii)
Now the assumption that \varphi and \delta are weakly compatible which implies \varphi. \delta u = \delta. \varphi u
\Rightarrow \varphi . \delta u = \varphi . \varphi u = \delta . \varphi u = \delta . \delta u
                                                           [by (iii)] ...(iv)
Suppose that p(\delta. \delta u, \delta u) \neq 0
From inequality 2.
It follows p(\delta, \delta u, \delta u) \leq \psi(p(\varphi, \delta u, \varphi u))
< p(\delta. \delta u, \delta u)
This led to the contradiction
Thus, p(\delta, \delta u, \delta u) = 0
\Rightarrow \delta . \delta u = \delta u
Which implies that \varphi. \delta u = \delta. \varphi u = \delta. \delta u = \varphi. \varphi u = \delta u
                                                                                                         [by(iv)]
\Rightarrow \delta u is common fixed point of \varphi and \delta.
Now if range of \delta is S – complete of X
Then \exists v \in X, v \perp x_n \text{ or } v \in X, x_n \perp v \text{ such that } \lim_{n \to \infty} p(\delta v, g\delta) = 0
From condition 1.
We have \exists w \in X, v \perp w or w \perp v such that \delta v = \varphi w
```

For uniqueness

Suppose $\exists u, v \in X, v \perp u$ or $u \perp v$ is such that $\delta u = u = \varphi u$, $\delta v = v = \varphi v$ with $u \neq v$.



And the proof of that δw is a common fixed point of φ and δ is same as that given when $\varphi(x)$ is S – complete.



Rajesh Vyas et al.,

Since $\bot -$ preserving $\varphi u \bot \varphi v$ or $\varphi v \bot \varphi u$ and $u \ne v$ implies that $p(u,v) \ne 0$. Then by inequality 2. of the theorem and lemma 2.7, follows $p(u,v) = p(\delta u, \delta v)$ $\le \psi(p(\varphi u, \varphi v))$ $= \psi p(u,v)$ < p(u,v) Which is a contradiction Therefore, p(u,v) = 0. This implies u = v

Lemma

Let (X, \bot, d) be orthogonal metric space and $p: X \times X \to \mathbb{R}^+$ be a function defined by $p(x, y) = e^{\theta(d(x, y))} - 1 \forall x, y \in X \mid x \bot y \text{ or } y \bot x \text{ such that } \theta \in \Theta$. Then p is a τ_d -distance on X where τ_d is the orthogonal metric topology.

Proof: Let (X, \bot, τ_d) be the orthogonal topological space with the orthogonal metric topology τ_d and V an arbitrary neighbourhood of an arbitrary $x \in X$.

Let $B_d(x,\varepsilon) = \{y \in X, d(x,y) < \varepsilon, \forall x,y \in X \text{ st. } x \perp y \text{ or } y \perp x \}$ is the open ball then $\exists \varepsilon > 0$ such that $B_d(x,\varepsilon) \subset V$. Since $e^{\theta}(\varepsilon) - 1 < \varepsilon$ which implies that $B_p(x,e^{\theta}(\varepsilon) - 1) \subset B_d(x,\varepsilon)$,

Let $y \in B_p(x, e^{\theta}(\varepsilon) - 1)$, $\forall x \in X$ such that $x \perp y$ or $y \perp x$, then $p(x, y) < e^{\theta}(\varepsilon) - 1$, which implies that $e^{\theta}(d(x, y)) < e^{\theta}(\varepsilon)$. Since θ supposed increasing, we get $d(x, y) < \varepsilon$. Using Theorem 3.2 and Lemma 3.3, we now prove the following Theorem

3.4. Theorem

Let (X, \bot, d) be a bounded O-complete metric space. Let φ and δ be two weakly compatible self mapping of X. Then $\exists x_0 \in X$, such that $\forall y \in X$, $y \bot x_0$ or $y \in X$, $x_0 \bot y$ implies $\varphi(y) \bot \varphi(x_0)$ or $\varphi(x_0) \bot \varphi(y)$ and $\delta(y) \bot \delta(x_0)$ or $\delta(x_0) \bot \delta(y)$ satisfying the following conditions:

$$\delta(X) \le \varphi(X)$$

$$\inf_{x \ne y} \{\theta(d(\varphi x, \varphi y)) - \theta(d(\delta x, \delta y))\} > 0$$

where $\theta \in \Theta$, then φ and δ have a unique fixed point.

```
Proof: We put \alpha = \inf\{\theta(d(\varphi x, \varphi y)) - \theta(d(\delta x, \delta y))\} with x, y \in X, y \perp x or x \perp y

Implies \varphi(y) \perp \varphi(x) or \varphi(x) \perp \varphi(y) and \delta(y) \perp \delta(x) or \delta(x) \perp \delta(y)

\Rightarrow \theta(d(\delta x, \delta y)) \leq \theta(d(\varphi x, \varphi y)) - \alpha \forall x, y \in X

\Rightarrow \varphi(y) \perp \varphi(x) or \varphi(x) \perp \varphi(y) and \delta(y) \perp \delta(x) or \delta(x) \perp \delta(y)

Hence e^{\theta(d(\delta x, \delta y))} \leq e^{\theta(d(\varphi x, \varphi y)) - \alpha}

= e^{\theta(d(\varphi x, \varphi y))} e^{-\alpha}

= K \cdot e^{\theta(d(\varphi x, \varphi y))} [K = e^{-\alpha}] Let the function p: X \times X \rightarrow [0, \infty) defined by p(x, y) = e^{\theta(d(x, y))} - 1, \forall x, y \in X
```

X such that $y \perp x$ or $x \perp y$ which is τ_d — distance on *X* as proved in lemma 3.2 where τ_d is the orthogonal metric topology.

By taking $\psi(t) = Kt$ in theorem $3.1 \forall t \in [0, \infty)$

We get $p(\delta x, \delta y) \le K(p(\varphi x, \varphi y)), x \perp y \Rightarrow \varphi x \perp \varphi y$

Finally, we conclude that φ and δ have a unique fixed point.

Example to support our result.

Example

Let X = [0, 1], we define $x \perp y$ if $xy \leq y$ or $xy \leq y$ with d(x, y) = |x - y|.

Define $f,g:X\to X$ by f(x)=x(x-1) and g(x)=0, for all $x\in X$ and $\theta:[0,\infty)\to[0,\infty)$, such that $\theta(t)=t^2$, for all $t\in[0,\infty)$. It is easy to see that $g(X)\subset f(X)$ and f,g are weakly compatible. On the other hand, we have for all $y\in X$ and $y\in X$ and $y\in X$ and $y\in X$ are weakly compatible. On the other hand, we have for all $y\in X$ and $y\in X$ are weakly compatible.

Then f and g satisfy all assumptions of Theorem 3.4 and have the unique fixed point which equal to 0.





Rajesh Vyas et al.,

CONCLUSION

In this paper, we achieved to prove a common fixed point in an Orthogonal Hausdorff topological space with a τ -distance. Further, we generalized the result for two or more classes of contractive self-mappings in complete orthogonal bounded metric spaces. Then we deduced two fixed point theorems for contractive self mapping in bounded orthogonal complete metric space by proving some lemma. Moreover, to support the proved results we illustrate some example.

REFERENCES

- 1. Rhoades. B. E. Rhoades. (2001). Some theorems on weakly contractive maps. Nonlinear Anal. 47: 2683–2693
- 2. Djori'c. D. (2009). Common fixed point for generalized (ψ, φ)-weak contractions. Appl. Math. Lett. 22:1896–1900.
- 3. JungckG. and RhoadesB. E.. (1998). Fixed point for set valued functions without continuity. Indian J. Pure Appl. Math. 29(3): 227—238.
- 4. BaghaniH., M. GordjiRamezani. (2016). Orthogonal sets, the axiom of choice and proof of a fixed point theorem. J. Fixed Point Theory Appl. 18: 465–477.
- 5. Ciri'c, L. (2003). Some recent results in metrical fixed point theory. University of Belgrade, Serbia.
- 6. AamriM. and Moutawakil D. El. (2003). τ -distance in general topological spaces with application to fixed point theory. Southwest J. Pure Appl. Math. 2: 1–5.
- 7. Edelstein. M. (1962). On fixed and periodic points under contractive mappings. J. London Math. Soc., 37: 74--79.
- 8. GordjiM. E. and HabibiH.. (2017). Fixed point theory in generalized orthogonal metric space. J. Linear Top. Alg. 6(3): 251–260.
- 9. GordjiM. E., RameaniM., SenM. De La and ChoY. Je. (2017). On orthogonal sets and Banach fixed point theorem. Fixed Point Theory, 18(2): 569–578.
- 10. RamezaniM. and BaghaniH.. (2017). Contractive gauge functions in strongly orthogonal metric spaces. Int. J. Nonlinear Anal. Appl. 8(2): 23–28.
- 11. KhalehoghliS., Rahimi H. and Gordji. M. Eshaghi (2020). Fixed point theorems in R-metric spaces with applications. AIMS Mathematics, 5(4): 3125–3137.
- 12. Radenovi'cS., KadelburgZ., Jandrli'cD. and Jandrli'cA.. (2012). Some results on weak contraction maps. Bull. Iran. Math. Soc. 38: 625–645.
- 13. NemytzkiV. V., (1936). The fixed point method in analysis. (Russian), Usp. Mat. Nauk, 1: 141–174.
- 14. KirkW. and ShahzadN.. (2014). Fixed point theory in distance spaces. Springer International Publishing Switzerland.
- 15. TouailaY., Moutawakil D. E.. (2021). New common fixed point theorems for contractive self mappings and an application to nonlinear differential equations. Int. J. Nonlinear Anal. Appl. 12 No. 1: 903-911 ISSN: 2008-6822 (electronic)





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

St.Sec Dom Number and St.Co-Sec Dom Number of Two Different Networks

P. Thangam^{1*} and S. Angelin Kavitha Raj²

¹Research Scholar (Reg.No.21111192092004), Department of Mathematics, Sadakathullah Appa College (Autonomous), (Affiliated to Manonmaniam Sundaranar University, Abishekapatti, Tirunelveli), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Sadakathullah Appa College (Autonomous), (Affiliated to Manonmaniam Sundaranar University, Abishekapatti, Tirunelveli), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Oct 2024

*Address for Correspondence

P. Thangam

Research Scholar (Reg.No.21111192092004),

Department of Mathematics,

Sadakathullah Appa College (Autonomous),

(Affiliated to Manonmaniam Sundaranar University, Abishekapatti, Tirunelveli),

Tamil Nadu, India.

Email: thangamp1993@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Security issues play in the role of protection of a network from natural or artificial calamities. Designing a network carries both security system and standard connections. Strong secure domination and secure domination varies with degrees. Selection of strong degree nodes as defenders reduces the chance of attackers to attack the network in severe work. Despite having weak degree neighbors, the process of st.sec domination and st.co-sec domination leads to the perfect output for the given data without any fault. The defenders replace the attacked node and the attacked defenders get replaced by its neighbors to ensure the network's process. This article determines a bound for the domination number, strong domination number, st.sec domination number of Aztec Diamond Network and st.co-sec domination number on Icosahedral Triangular Network. Domination number, Strong domination number, st.sec domination number of various graphs is investigated in recent years. But, st.sec dominating sets and st.co-sec dominating sets of dimensional Networks are difficult. In this paper, the vertices are highly examined based on its degrees and its strength of minimum number of guards for protection is included. In this paper, domination is sometimes mentioned as dom.

Keywords: St.sec and strong co- secure domination number, Aztec Diamond Network, Icosahedral triangular Network.





Thangam and Angelin Kavitha Raj

INTRODUCTION

Aztec Diamond Network

Dominoes can also be referred to as tiles, bones, cards, men, or pieces. Let's pick a 3 × n board and learn about the tiling dominoes. There are now three options to fill using 2 × 1 dominoes. An Aztec diamond domino is a group of dominoes with a discontinuous interior whose union is the entire Aztec diamond. In other words, they cover the entire Aztec diamond and the dominoes did not overlap. Every domino is a rectangular tile that often has a line between the two square ends on its face. Alexander Von Humboldt is credited with the well-known Arctic Circle Theorem, which states that a random tiling of a huge Aztec diamond tends to remain frozen. He coined the term "Aztec" in 1810 to refer to all those connected to the Mexican state and others by trade, customs, religion, and language. An Aztec diamond of centre (x, y) satisfies, $|x| + |y| \le n$ in combinatorial mathematics, where n is a fixed integer. in order for x and y to both be half integers. According to [5], there are $2^{n(n+1)/2}$ domino tilings in the Aztec diamond of order n. The Aztec diamond indices were calculated in [7] using leap Zagreb Indices, which are second degree vertices. The Aztec Diamond graph's structure is based on a square lattice, with a vertex in the centre that is regarded as the origin on the x, y plane and half integers taken for x and y. The symbol AD (n) stands for the Aztec Diamond Network. In this research, we calculated the number of dots in x, y plane. V (G) of AD (n) is $2(n + 1)^2 +$ 2(n+1)-3, where $n \in \mathbb{N} > 0$. The high level of Aztec diamond can be build from the lower one. Consider 2 x 2 boards. The extension of second one is by moving the tiles one step in the direction of the arrows upward, downward, left and right. Hence two 2 x 2 regions appear. Now three 2 x 2 appear. In particular, dominoes will never end up overlapping after the sliding phase and at the end of the sliding phase, the region left to be filled is a collection of two by twos and so on. To expand toAD (4), we cannot slide straight away because there are some arrows pointing towards each other. Removing those clashing tiles and moving along the arrows randomly leads to more two by twos. In 1979, theformula for the number of tilings of Aztec Diamond was first conjectured by the physicists Grenzing, Carlon and Supp. In 1991, the mathematicians Noam Elkies, Greg Kuperberg, Michael Larsen and James popp published a number of proofs of this wonderful formula [6]. In 2005, Richard and Steve [8] determined a sign – nonsingular matrix of order n (n + 1) whose determinant gives $\prod n$.

Icosahedral Triangular Network

Interconnection networks from the base of Topological indices perform in various applications like sensors, surveillance camera, arrangement of a design to data structure etc. The regular Icosahedrons shape is discovered by Athenian Mathematician Theatetus (417 – 369 BC). Other platonic solids were discovered by Plato. Real life application of Icosahedrons are Many viruses, for example Herpes virus have icosahedral shells, Dungeons and dragons die, Scattergories die, Magic 8 Ball answer, Fuller projection map, TDK logo, Grundy Television logo, Sol de la Floor light shade. It is used in demining success or failure of an action. In [9] the domination number of Icosahedral Triangular network is investigated by Miroslava Mihajlov Carevic. It was proved that domination number for R2, 3 is equal to 2. The upper level of Rhomboidal triangular network is bisection of edges by new edges. The domination number of Icosahedral triangular network for $n = 2^k$ ik $\in N/\{1\}$ is $\gamma(R_{n+1,2n+1}) = 2^{2k-1}[9]$. The number of divisions of the triangular edges in the network R2, 3 by n.

St.sec dominating set and St.co-sec dominating set

The graph G considered here are simple and undirected without isolated vertices. The concept of secure domination and co-secure domination was introduced by [1]. In [3], the author characterized Honeycomb Networks with SDN. In [4], st.co-secdominating set of certain graphs are obtained. Let $X \subseteq V(G)$ be a dominating set and for each $v \in V(G) - X$, there exists a vertex $u \in V(G)$ such that u is adjacent to v and $deg(u) \ge deg(v)$ and $deg(u) \ge deg(v)$ is a dominating set. The minimum cardinality of this st.secdomination number is the st.secdominating set of G. It is denoted by $v_{ssd}(G)$. Let $u \in V(G)$ be a dominating set and for each $u \in V(G)$, there exists a vertex $u \in V(G) - X$ such that u is adjacent to u and $deg(u) \ge deg(v)$ and $deg(u) \ge deg(v)$ is a dominating set. The minimum cardinality of this st.secdomination number is the st.co-secdomination number of G. It is denoted by u is denoted by u is denoted by u is a dominating set.





Thangam and Angelin Kavitha Raj

Dominating sets and strong dominating sets of Aztec Diamond Network

Lemma 1.1: The domination number and the strong domination number of AD (1) are 3.

Proof: Consider the graph in an x, y plane and its centre origin is noted as (0,0) with maximum degree 4.

In AD (1), V(G) = 9. Number of vertices having degree (4) is 1. Thus remaining vertices are dominated by at least two vertices of degree 3. These 4 vertices are independent. The dominating set is denoted as X. The possible dominating sets are

 $X = \{(0,0), (0,1), (0,-1)\}; \{(0,0), (1,0), (-1,0)\}$ and V - X vertices are of degree 3 and 2.

The minimum cardinality of the domination number of AD (1) is 3. i.e.) $\gamma(AD(1)) = 3$. these dominating vertices also satisfy $deg(u) \ge deg(v)$. Therefore $\gamma_{sd}(AD(1)) = 3$.

Lemma 1.2: The domination number of AD (n) = 4n - 2 where n = 2, 3, 4 and the strong domination number is 4n.

Proof: In AD (2), V (G) = 21. One of the possible dominating sets is $X = \{(0,2),(0,1),(-2,0),(2,0),(0,-1),(0,-2)\}$.

Therefore $\gamma(AD(2)) = 6$. But the neighborhoods of $u \in X$ has higher degree vertices in $v \in V - X$. Thus $\gamma_{sd}(AD(2)) \neq \gamma(AD(2))$. Let vertices of degree 4 arem, vertices of degree 3 arem, and vertices of degree 2 arem. m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9, m = 4, m = 9

Theorem 1.3:The domination number and the strong domination number of AD (n) where n > 4, $n \in N$ is 7n - 13.

Proof: Label the AD vertices of n > 4 consecutively from the center vertex (0, 0) such that $|x| + |y| \le n$.

Consider AD (5). Now Upper side dominating set is

 $D' = \{(-1, 0), (1, 0), (-4, 0), (4, 0), (-4, 1), (-3, 1), (4, 1), (3, 1), (0, 2), (-2, 3), (2, 3), (0, 4), (0, 5)\}.$

Lower side dominating set is

 $D'' = \{(-4, -1)(4, -1)(-2, -2)(2, -2)(0, -2)(-2, -3)(2, -3)(0, -4)(0, -5)\}$

Thus |D'| + |D''| = |D| = 22.

i.e.) $\gamma(AD(5)) = \gamma_{sd}(AD(5)) = 22$. For AD (6), add outer vertices and edges on the border of AD (5) with conditions mentioned in the demonstration of its extension. Addition of 4n + 8 vertices to AD (n - 1) is the number of vertices in AD (n). Two cases are constructed to find the strong dominating sets.

Case (i): Obtaining strong dominating set of AD (n) from strong dominating set of AD (n - 1).

Observe that vertices of degree 4 are obviously in the strong dominating set.

The vertices (0, i), (0, -i), (i, 0), (-i, 0) are some dominating vertices in AD(n) and these vertices are the strong dominating vertices in AD(n - 1) as (0, i - 1), (0, -(i - 1)), (i - 1, 0), (-(i - 1), 0).

Hence (4n + 8) - 4 vertices are to be dominated by maximum degree vertices. Add n + 1 vertices with degree 4 vertices. For AD (6), $\gamma_{sd}(AD(6)) = \gamma_{sd}(AD(5)) + 7 = 22 + 7 = 29 = 7(6) - 13$ In general, the strong dominating vertices of n are 7 times n with removal of 13 vertices. I.e.) $\gamma_{sd}(AD(n)) = 7n - 13$ is the minimum strong domination number of AD (n).

Case (ii): Obtaining strong domination number of AD (n) from its center vertex (0, 0).

Center vertex is of degree 4. Now divide the graph into 4 parts with x, y plane as border of any 2 set. Number of strong dominating vertices in first phase = Number of strong dominating vertices in second phase. From this, even





Thangam and Angelin Kavitha Raj

number of vertices in first set of strong dominating set is obtained. Remaining vertices in x plane is 2n + 1. For these vertices, $n = \left[\frac{n}{2}\right]$ are required which satisfy deg (u) \geq deg (v).

Hence 7n - 13 is the minimum cardinality strong domination number with various possible dominating set in both connected and isolated nodes.

I.e.) $\gamma_{sd}(AD(n)) = 7n - 13$, for n > 4 where $n \in \mathbb{N}$.

St.sec dominating set (SSDS) of AD network

Majority of the network designs are failed or unused due to its insecure properties on its connections. Mild leakage or damage or attack on any node stops the whole output. The design of AD network is well known and it is simple to secure the whole nodes with small number of nodes.

Lemma 2.1: The SSDN of AD (1) is 4.

Proof: consider the strong dominating set of this AD as X, where $X = \{(0, 0), (0, 1), (0, -1)\}$.

Now $X \setminus \{0, 1\} \cup \{1, 1\}$ is not a dominating set, $X \setminus \{0, 0\} \cup \{1, 0\}$ is a dominating set,

 $X \setminus \{0, 0\} \cup \{-1, 0\}$ is a dominating set, $X \setminus \{0, -1\} \cup \{1, -1\}$ is not a dominating set,

 $X \setminus \{0, -1\} \cup \{-1, -1\}$ is not a dominating set.

Thus $\gamma_{sd}(AD(1)) \neq \gamma_{ssd}(AD(1))$. Adding (1, 0) to the strong dominating set satisfies the st.sec dominating set. Therefore $\gamma_{ssd}(AD(1)) = 4$.

Lemma 2.2: The SSDN of AD (2) is 9.

Proof: The minimum st.sec dominating set obtained from this graph is independent.

Remark 2.4:In general, $\gamma_{sd}(G) \leq \gamma_{ssd}(G)$ [1] Here $\gamma_{sd}(G) < \gamma_{ssd}(G)$ for AD (n), $n \in \mathbb{N} > 1$.

Theorem 2.5: The st.sec domination number of AD (n) where $n \in N > 1$ is $\gamma_{ssd}(AD(n)) = 9 + 8(n-2)$

Proof: The strong dominating set itself is not the st.sec dominating set and $\gamma_{sd}(G) < \gamma_{ssd}(G)$. Let the dominating set be X. let $u \in X$ and $v \in V - X$. We have to prove each vertex in V - X when defected should be replaced by a vertex in X and the deg $(u) \ge \deg(v)$. Some neighbors of X belongs to V- X will have deg $(u) < \deg(v)$. It is determined that those nodes are on the exact x and y plane are special. I.e.) some nodes on X = (0, i), (0, -i), (i, 0), (-i, 0) where $i, -i \in N$ and i = n = -i. $N(X) = \{(0, i - 1), (0, -i - 1), (i - 1, 0), (-i - 1, 0)\}$ whose degrees are higher than X. Therefore it is impossible to replace these by X. But these Nodes are also neighbors of $\{(0, i - 2), (0, -i - 2), (i - 2, 0), (-i - 2, 0)\}$. So these nodes are mandatory for N(X) and these nodes are also in X is important. This is the initial condition on choosing nodes as strong securities on x, y plane. Fixing AD (2) st.sec dominating sets for all AD (n). Remaining nodes are subdivided as 4 portions. Hence minimalism results in 8(n - 2). Therefore $\gamma_{ssd}(AD(n)) = 9 + 8(n - 2)$. On the contrary, let the minimum cardinality of the st.secdomination number of AD (n) be 9 + 8(n - 3).

Let n = 3; V(AD(3)) = 37.

$$\Rightarrow \gamma_{ssd}(AD(3)) = 9 + 8(3 - 3) = 9.$$

In AD (3) has no. of. Vertices with degree 4 is 21; no. of. Vertices of degree 3 is 4; no. of. Vertices with degree 2 are 12.Now 9 vertices with degree 4 can dominate 36 vertices.

V (AD (3)) = 37 > 36.

For the secure process, the first condition is that all the vertices in V – X should be dominated by vertices in X. Hence our assumption is wrong and therefore $\gamma_{ssd}(AD(n)) = 9 + 8(n-2)$ is the minimum cardinality of St.secdomination number of Aztec Diamond Network.

St.co-secdomination number of Icosahedral Triangular Network:

Here we denote the Icosahedral Triangular Network of n by IT (n) where we denote the terms as $(R_{n+1,2n+1})$ for $n \ge 1$. **Lemma 3.1:** For n = 1, the st.co-secdomination number of IT network is 2 where IT (1) is $R_{2,3}$.





Thangam and Angelin Kavitha Raj

Proof: We know that the minimum st.secdomination and st.co-secdomination number for any graph is 2.

The minimum strong dominating sets of $R_{2,3}$ are $D = \{R_{12}, R_{13}\}$ or $\{R_{21}, R_{22}\}$. These are the vertices with higher degree. Let $u \in D$ and $v \in V$ - D. we have to prove that these strong dominating sets satisfy $D \setminus \{u\} \cup \{v\}$ is a dominating set.

Case (i): $D = \{R_{12}, R_{13}\}$

N (R_{12}) = { R_{11} , R_{13} , R_{21} , R_{22} }; N (R_{13}) = { R_{12} , R_{22} , R_{23} } where N denotes the neighborhoods. If the node R_{12} or R_{13} get attacked, then to rescue the remaining nodes, at least one of its neighbor node is considered without any change in domination process.

Now D \ $\{R_{12}\} \cup \{R_{22}\}$ is not a dominating set, D \ $\{R_{12}\} \cup \{R_{11}\}$ and D \ $\{R_{12}\} \cup \{R_{21}\}$ are dominating sets. Similarly, D \ $\{R_{13}\} \cup \{R_{23}\}$ is a dominating set.

It is not possible for R_{22} to replace R_{13} since deg (u) <deg (v).

Case (ii): $D = \{R_{21}, R_{22}\}$

 $N(R_{21}) = \{R_{11}, R_{12}, R_{22}\}; N(R_{22}) = \{R_{12}, R_{13}, R_{21}, R_{23}\}$ where N denotes the neighborhoods. If the node R_{21} or R_{22} attacked, then to rescue the remaining nodes, at least one of its neighbor nodes is considered without any change in domination process.

Now D \ $\{R_{21}\} \cup \{R_{11}\}$ is a dominating set, D \ $\{R_{22}\} \cup \{R_{13}\}$ is a dominating set.

It is not possible to replace R_{21} by R_{22} sincedeg (u) <deg (v).

Hence from above cases, the minimum st.co-secdomination number of IT (1) is 2. i.e.) $\gamma_{scsd}(IT(1)) = 2$.

Lemma 3.2: For R₃, 5, the st.co-secdomination number of IT network is 5.

Proof: The domination number of R_{3,5} is 3.

i.e) D = $\{R_{21}, R_{23}, R_{25}\}$ is one of the possible dominating set.

Now the nodes in the centre path are R_{21} , R_{22} , R_{23} , R_{24} , R_{25} . These nodes are of degree higher than or equal to 4. Let the 3 nodes in D are to be st.co-sec dominating set. Suppose R_{21} is defected, then its neighbor R_{22} is not possible to replace as it has degree greater than R_{21} . Replacing R_{21} by R_{12} or R_{21} by R_{31} or R_{21} by R_{11} is not a dominating set. Hence the dominating set itself is notst.co-sec dominating set.

Let $D_1 = \{R_{21}, R_{22}, R_{23}, R_{24}, R_{25}\}$. Now to find neighbor nodes to these attacked nodes.

 $D_1 \setminus \{R_{21}\} \cup \{R_{11}\}, D_1 \setminus \{R_{22}\} \cup \{R_{31}\}, D_1 \setminus \{R_{23}\} \cup \{R_{33}\}, D_1 \setminus \{R_{24}\} \cup \{R_{15}\}, D_1 \setminus \{R_{25}\} \cup \{R_{35}\} \text{ are dominating sets. Thus } D_1 \text{ is the st.co-sec dominating set.}$

To prove the minimum cardinality, Let $D_2 = \{R_{21}, R_{23}, R_{24}, R_{25}\}$

Here R_{21} neighbors R_{11} , R_{22} , R_{12} , R_{31} fail to replace and satisfy the dominating set when R_{21} get attacked. Also any of these sets of D's with 4 nodes do not satisfy the dominating set.

Hence $\gamma_{scsd}(R_{3,5}) = 5$.

Remark 3.3: $\gamma_{sd}(IT(n)) < \gamma_{scsd}(IT(n))$ for n > 1 where IT(n) is $(R_{n+1, 2n+1})$

In the next theorem, the extension of the summation of (2^{2k-i}) always ends at 2^5 with addition to remaining number of strong dominating vertices.

Theorem 3.4:If $n = 2^k$, then

$$\sum_{i=1,3,5} 2^{2k-i} + k - 2 + \gamma_s(R_{5,9}) = \gamma_{scsd}(R_{n+1,2n+1})$$

Proof

The structure of Icosahedral Triangular Network is the development of splitting of origin $R_{2,3}$ network. From lemma of $n = 2^2$ and $n = 2^3$, we can derive the st.secdomination number of $n = 2^k$. In $n = 2^k$, where k = 2, the strong dominating set is $D = \{R_{14}, R_{21}, R_{26}, R_{28}, R_{42}, R_{44}, R_{49}, R_{56}\}$ The vertex $\{R_{21}\}$ is dominating its neighbours. But when attacked, none of its neighbours will replace to continue the process.





Thangam and Angelin Kavitha Raj

Ie) D \ $\{R_{21}\}\cup$ any one of its neighbours is not a dominating set, D \ $\{R_{14}\}\cup$ any one of its neighbours is not a dominating set. Therefore $\gamma_s(R_{5,9}) \neq \gamma_{scsd}(R_{5,9})$

To this strong dominating set, add 8 more vertices whose degrees are higher than or equal to its neighbours. Let the st.co-sec dominating set be D´.

The nodes of D' are $\{R_{14}, R_{21}, R_{23}, R_{26}, R_{28}, R_{34}, R_{38}, R_{41}, R_{42}, R_{44}, R_{46}, R_{49}, R_{56}, R_{58}\}$

Therefore $|D'| = 14 = \gamma_{scsd}(R_{5,9})$

Now vary with this numbers with respect to power of 2,

 $\Rightarrow 2^4 - 2 = 14.$

Similarly, $\gamma_{scsd}(R_{9,17}) = 47 = 2^5 + 2^4 - 1$

 $\gamma_{scsd}(R_{17,33}) = 176 = 2^7 + 2^5 + 2^4$

 $\gamma_{scsd}(R_{33,65}) = 689 = 2^9 + 2^7 + 2^5 + 2^4 + 1$

Continuing in this procedure, we get

$$\gamma_{scsd}(R_{n+1, 2n+1}) = \sum_{i=1,3,5} 2^{2k-i} + k - 2 + \gamma_s(R_{5,9})$$

Example 3.5: The st.co-secdomination number of $R_{3.5}$ is 5.

The oval dots arest.co-secdomination numbers of this network.

CONCLUSION

The aim of this research is to optimize active movable guards in securing valuable networks in the form of Aztec Diamond and Icosahedral triangular Networks. The major application is to replacing the defected systems with suitable relative systems without stopping the whole process. Immediate replacement option to continue crucial position values more in both time and output. This can be extended to other suitable networks related to chemical graphs, computational graphs and networks.

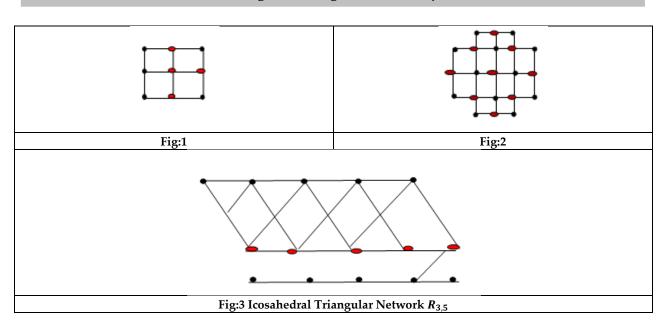
REFERENCES

- 1. Arumugam S, Karan Embadi, Martin Manrique, Co-secure and Secure Domination in Graphs, *Utilitas Mathematica*, 94, (2014), PP.167 182.
- 2. Aleena Joseph, Sangeetha V, Bounds on Co-secure domination in graphs, *International Journal on Mathematics Trends and Technology (IJMTT)*, vol 5, (2018), PP. 158 164, DOI: 10.14445/22315373/IJMTT-V55P520.
- 3. Chithra M.R, Manju K Menon, Secure domination of honeycomb networks, *Journal of Combinatorial Optimization*, volume 40,(2020), PP. 98 109, https://doi.org/10.1007/s10878-020-00570-8.
- 4. Thara P, Uma Devi B, Ambika S.M, Strong Co-secure Domination in Graphs, *Journal of Algebraic Statistics*, vol 13, No.3 (2022), PP. 2614 2621, https://publishoa.com
- 5. Chartrand G and Lesniak L, Graphs and digraphs, CRC Press, (2004).
- 6. Noam Elakies, Greg Kuperberg, James Propp, Alternating sign Matrices and Domino tilings (part I)*, *Journal of Algebraic Combinatorics I*, (1992), PP. 112 132.
- 7. Zahir Raza, Leap Zagreb Connection numbers for some network models, *Indonesian Journal of Chemistry*, (2020) vol 6, PP. 1407 1413, https://doi.org/10.22146/ijc.53393
- 8. Richard A. Brualdi, Steve Kirkland, Aztec Diamonds and Digraphs and Hankel Determinants of Schroder numbers, *Journal of Combinatorial theory series B*, (2005), vol 94(2) PP. 334 351. DOI: 10.1016/j.jctb.2002.02.001.
- 9. Miroslava Mihajlov Carevic, Domination number of Icosahedral Hexagonal Network, *Mathematical problems in Engineering*, (2021), https://doi.org/10.1155/2021/6663389





Thangam and Angelin Kavitha Raj







RESEARCH ARTICLE

Simultaneous Estimation of Dolutegravir and Lamivudine in Bulk and Tablet Formulation by Validated New UV Spectroscopic Methods

Ramreddy Godela^{1*}, Vinyas Mayasa¹, Sravya Maddukuri¹ and Shivam Alpeshkumar Parmar²

¹Assistant Professor, Department of Pharmacy, GITAM School of Pharmacy, GITAM (Deemed to be University), Hyderabad, Telangana, India.

²Undergraduate Student, Department of Pharmacy, GITAM School of Pharmacy, GITAM (Deemed to be University), Hyderabad, Telangana, India.

Received: 18 Mar 2024 Revised: 13 Jul 2024 Accepted: 05 Aug 2024

*Address for Correspondence Ramreddy Godela

Assistant Professor, Department of Pharmacy, GITAM School of Pharmacy, GITAM (Deemed to be University), Hyderabad, Telangana, India.

Email: ramreddy.godela@gmail.com, rgodela@gitam.edu



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A Simple, sensitive and specific UV methods were developed for simultaneous estimation of Dolutegravir and Lamivudine in bulk and tablet dosage form. Effective analysis was done by simultaneous equation, isobestic point and Q-absorbance ratio method by using acetonitrile and water (1:1) solvent system. The 258nm and 271nm were adopted in simultaneous equation method. The 260nm wavelength used in isobestic point and Q-absorbance ratio method. The developed methods were validated as per ICH Q2(R1) provisions. The developed methodswere linear in the concentration range of 5-30µg/mLfor Dolutegravir and Lamivudine. The given concentration series was obeying the Beer's-Lambert's law limits. Accuracy studies for Dolutegravir and Lamivudine were done and the % recovery for Dolutegravir and Lamivudine was obtained in the range of 98.35-100.51% by all the methods assuring the accuracy of the stated UV method. All the methods have shown good precision and robustness with %RSD less than 2. The data have been statistically validated, and the results demonstrate that the methods that have been proposed can be implemented effectively for the regular evaluation of drugs in commercialized tablets.

Keywords: Lamivudine, Dolutegravir, Simultaneous equation, Isobestic Point, Q- Absorbance, Specific Absorptivity.





Ramreddy Godela et al.,

INTRODUCTION

Analytical methods are essential in maintaining the quality and quantity of drugs in drug products, as well as in the formulation development process because they help to maintain the quality and efficiency of the drug product throughout the product development process until its final [1,2]. The breakthrough in HIV medicine has allowed people to live for longer periods of time and to live more productive lives. To effectively treat HIV, multi drug therapy (which involves taking three or more drugs alone or in combination daily) is used [2]. However, thorough studies on multiple drug therapy have demonstrated that a two-drug treatment consisting of Lamivudine (LMV) and Dolutegravir (DLG) effectively controls the HIV disease[3,4]. DLG, a second-generation antiretroviral agent, gained approval from US FDA in 2013 for treating HIV infections in all populations [4,5]. DLG is an effective secondgeneration integrase strand transfer inhibitor (INSTI) used in HIV-1 patients to prolong the survival period. It acts by inhibiting the integration of viral of viral DNA into the DNA material of host (T-cells)[4-6]. Chemically DLG is methyl]-3,4,6,8,12,12a-hexahydro-7-hydroxy-4-methyl-6,8-dioxo-2H-pyrido (4R,12aS)-N-[(2,4-Difluorophenyl) [1',2':4,5] pyrazino[2,1-b][1,3]oxazine-9-carboxamide [6]. Lamivudine is a classical antiviral agent used in HIV-1 patients alone or in co-formulation with other antiviral agents [7]. LMV triphosphate (3TCTP) is the active moiety of LMV competitively inhibits the reverse transcriptase enzyme leads to termination of viral genome replication [8]. Chemically LMV is 4-amino-1-[(2R, 5S)-2-(hydroxyl methyl)-1, 3-oxathiolan-5-yl]-1, 2-dihydropyrimidin-2-one [8]. DLG co-formulated with LMV combination is a potent regimen showing high therapeutics index [9]. (Figure 1: Chemical structures of Dolutegravir and Lamivudine)

A detailed literature review found that two UV methods have been described for estimating LMV and DLG in pharmaceutical dosage forms [10,11]. Few UV-Visible spectroscopic methods were reported for individual estimation of DLG and LMV [12-15]. Simultaneous estimation of intended analyte with other antiviral agents by UV method were available in literature [16-20]. Few RP-HPLC methods for estimating LMV, DLG, Tinofivirdisproxilfumarate, Butcaver sulfate, or Abacavir in the triple combination [21-24]. Few Liquid chromatographic methods were reported for analysis of LMV and DLG simultaneously in both bulk mixture and combined tablets [25,26]. To date, no UV method approach has been published in the literature for the simultaneous measurement of LMV and DLGin bulk and tablet dosage forms by simultaneous equation, isobestic point and Q-absorbance method. As a result, we are researching to create an effective, sensitive, and economically feasible UV approach for estimating the % purity of DLG and LMV in bulk and tablet dosage forms at the same time by simultaneous equation, isobestic point and Q - Absorbance ratio methods [27]. The Q2 specification of the ICH guidelines validated the created approach.

MATERIALS AND METHODS

API of LMV and DLG were provided by fortune pharma, Telangana as gift sample. AR grade acetonitrile, methanol, Milli-Q and remaining chemicals were obtained from Merck India, Mumbai, India. Absorbance measurements were made using Shimadzu (U-1900) UV/Visible spectrophotometer coupled with UV- probe data acquisition software.

Method Development

Selection of Solvent

The study investigated the solubility of DLG and LMV in different solvents, including water, methanol, and acetonitrile. The results showed that the DLG was highly soluble in acetonitrile and methanol, while it exhibited poor solubility in water. Similarly, the solubility of LMV was assessed in the same solvents and was found to have good solubility in water, methanol and acetonitrile. Based on its cost-effectiveness and safety profile, acetonitrile and water in 1:1 ratio was chosen as the preferred solvent for dissolving the drug.





Ramreddy Godela et al.,

Preparation of Stock Solution (1000µg/ml)

Accurately weighed quantity of pure DLG (100mg) and pure LMV (100mg) were transferred into two separate 100 ml volumetric flasks, dissolved with the solvent system of acetonitrile and water (1:1) and made up to 100 ml with same solvent to give solution containing $1000\mu g/ml$. The solution was sonicated for 5mins.

Preparation of Working Standard (100µg/ml)

10ml of the stock each stock solution was taken and transferred to another separate 100ml volumetric flask and the same solvent system was added up to 100ml for additional dilution to give a solution containing 100µg/ml.

Determination of Absorption Maxima (\lambda max) and Isobestic Point of DLG and LMV

 $10\mu g/ml$ of DLG and LMV solution were prepared by diluting 1ml of working standard solution again diluted to 10 ml with the same solvent system. The produced solutions of DLG and LMV were scanned in the UV spectrophotometer from 400-800nm to determine the λ max of given compounds. The λ max of DLG and LMV were observed to be 258nm and 271nm, correspondingly (Figure-2). The isobestic point of DLG and LMV confirmed at 260nm from the attained spectrum (Figure-2).

(Figure 2: Absorption Maxima and Isobestic Point Dolutegravir and Lamivudine)

Simultaneous Equation Method

Standard solutions of DLG and LMV in the concentration range of $5-40\mu g/mL$ and $5-40\mu g/mL$ respectively was prepared and the absorbance of these solutions was measured at 258 nm and 271 nm. Calibration curves were plotted to verify the Beer's law and the absorptivity values (calculated at the respective wavelengths for both the drugs. Two simultaneous equations as below were formed using these absorptivity values.

$$A1 = 410Cx + 170Cy$$

$$A2 = 310Cx + 230Cy$$

Where, Cx and Cy are the concentrations of DLG and LMV measured in gm/100mL in sample solutions. A1 and A2 are the absorbances of mixture at selected wavelengths 258 nm and 271 nm respectively.

Absorbance Ratio Method/ Q-Analysis

The absorbance ratio method is a modified version of the simultaneous equation procedure based on the principle that for a substance that follows Beer's law at all wavelengths, the absorbance ratio at any two wavelengths remains constant and independent of concentration or path length. This ratio is referred to as the Q value in the USP. In the quantitative assay of two components in admixture, the absorbance's are measured at two wavelengths - one at the λ max of one of the components (λ 2) and the other at an iso-absorptive or isobestic point (λ 1) where the absorptivity of both components is equal. To validate Beer's law, a series of standard solutions of DLG and LMV in the concentration range of 5-30µg/mL and 5-30µg/mL, respectively, were prepared in phosphate buffer, and their absorbance was measured at 258nm and 260 nm (λ 100ml) were calculated for both drugs at their respective wavelengths. The resulting absorptivity values were reported.

The concentration of two drugs in mixture was calculated by using the following equations:

$$Cx = \frac{Qm - Qy}{Qx - Qy} \times \frac{A1}{aX1}$$

$$Cy = \frac{Qm - Qx}{Qy - Qx} \times \frac{A1}{aY1}$$

Where, A1 and A2 are the absorbance's of dug mixture at260nm and 271nm,ax1 (440), ax2 (310) and ay1(480), ay2 (230) are A (1%, 1 cm) of DLG and LMV at 260nm and 271nm respectively,

Qm = A2/A1, Qx = ax2/ax1 and Qy = ay2/ay1





Ramreddy Godela et al.,

Method validation

Linearity

The method's linearity means concentration immediately affects test findings. The linearity of the current approach was tested by measuring absorbance values at 258nm and 271nm for DLG and LMV concentrations from 5 to 30 μ g/mL. Finally, concentration-absorbance linearity graph was plotted and regression coefficient (R^2) calculated.

Precision

Precision studies were conducted to evaluate intraday and interday variations of FEX and MKT formulations at three distinct concentrations. Each concentration was prepared thrice and subjected to analysis. The assay was computed for each preparation and the corresponding %RSD) is presented.

Accuracy

The recommended method's accuracy was verified through the standard addition method at 75%, 100%, and 125% concentrations. This involved adding different concentrations of pure drug solutions to a predetermined amount of the DLG and LMV tablet sample ($10\mu g/mL$) and measuring the absorbance at the respective wavelengths. The analysis of the percentage recovery at each level was conducted through the utilization of both methods.

Specificity

Specificity refers to the capability of the method to accurately detect the analyte in the presence of other potentially interfering components. The specificity of the developed method for determining DLG and LMV in tablet dosage form was assessed by comparing the spectral characteristics of the tablet solution to those of the standard solution. The sample spectrum was thoroughly examined to identify any potential interferences arising from the presence of excipients.

Robustness

The maximum absorption wave length were purposely changed to test the method's resilience. After changing the wavelength maximum (± 2nm), % RSD can be evaluated for obtained absorbance values.

Sensitivity

Standard deviation equations were utilized to calculate LOD and LOQ.

 $LOD=3\times\sigma/S$ $LOQ=10\times\sigma/S$

Where, $\boldsymbol{\sigma}$ is the standard (SD) of the intercept

S -slope of the linear plot

Sandell's sensitivity

Sandell's index or sensitivity is the lowest concentration in ppm ($\mu g/cm3$) with absorbance of 0.001 in a 1 cm path length. Calculated as:

Sandell's index = $(0.001 \times 1 \text{cm}) / \text{slope (cm} 3/ \mu g)$

Assay of tablets

20 tablets (DOVATO) of DLG and LMV were processed and powder equivalent to 10 mg of DLG and 30 mg of LMV was measured and then transferred to a 100 ml volumetric flask, mixed with an adequate solvent (ACN: Water, 1:1), sonicated for 5 min, and made up to the mark with same solvent. From this 1 ml diluted to 10 mL with identical solvent, the sample solution absorbance was measured at 258 nm and 271nm.





Ramreddy Godela et al.,

RESULTS AND DISCUSSION

The optimized method conditions such as ACN: water in equal portions, λ max of 258nm (DLG), 271nm (LMV), and isobestic point of 260nm were used to validate the stated method as of ICH. The DLG and LMV solutions were shown linearity with a concentration range from 5 to $30\mu g/mL$. The given series of solutions were acceptable R^2 values at 258nm, 271nm and 260nm (Table-1, Figure-3). To the stated concentration series (5 to $30\mu g/mL$) intraday and intermediate precisions were validated by computing the %RSD values, which assured the precision of the method (Table-2). The % recoveries of DLG and LMV in spiked solutions were observed to be $100\pm2\%$ (Table-3). Potential changes were not happened in the UV spectrum of pure drug in comparison with tablet sample solution. Hence, the method was specific towards DLG and LMV. Slight modification in the wavelengths of optimized method could not affect the %RSD values of absorbance's (Table-4). The LOD, LOQ and Sandell's sensitivity values of DLG and LMV were shown in Table-5. Those results were demonstrating the good sensitivity of the given approach. The % purities of the DLG and LMV in given tablets (DOVATO) were found to be 99.21 \pm 1.2% and 98.63 \pm 0.98% by simultaneous equation approach and 99.78 \pm 1.31% and 100.81 \pm 1.65% by Q- absorbance ratio method respectively.

As per literature only one UV methods was reported in literature for analysis of DLG and LMV. Rathod et al., was proposed first order derivative UV method for assessment of DLG and LMV[10]. The present method was designed in such a way to analyze DLG and LMV simultaneously by various predominated methods like simultaneous equation, isobestic point and Q-absorbance methods. The stated methods are obeying the Beer's- Lambert's law with given concentration. The possessed methods have superior sensitivity than the reported method. Simple solvent system made the method economical. Hence, the develop UV methods have good adoptability in pharmaceutical sector in regular analysis of DLG and LMV combined tablets.

CONCLUSION

Two new UV spectrophotometric techniques such as simultaneous equation, isobestic point and Q-absorbance analysis that are easy to use, sensitive, and cost-effective have been developed for the simultaneous measurement of DLG and LMV in bulk as well as in tablet formulations. Following successful validation of the methods that were created, it was determined from the statistical data that the approaches were linear, accurate, and exact, and that they are capable of being effectively employed for the study of table formulations without the interference of excipients.

REFERENCES

- 1. Corado KC, Caplan MR, Daar ES, 2018. Two-drug regimens for treatment of naive HIV-1 infection and as maintenance therapy. Drug design, development and therapy, Pages -3731-40.
- 2. Scott LJ, 2020. Dolutegravir / Lamivudine Single-Tablet Regimen: A Review in HIV-1 Infection. Drugs, 80(1), Pages 61-72. doi: 10.1007/s40265-019-01247-1
- 3. Commissioner of FDA .The FDA .http://www.fda.gov. 2019. Accessed 17 April 2023.
- 4. ViiV Healthcare, Dovato (dolutegravir and lamivudine). 2019. https://www.centerwatch.com . Accessed 17 April 2023.
- 5. Maggiolo F, Gulminetti R, Pagnucco L et al, 2017. Lamivudine/dolutegravir dual therapy in HIV-infected, virologically suppressed patients, BMC infectious diseases. 17(1)Pages 1-7.
- 6. Kandel CE, Walmsley SL,2015. Dolutegravir–a review of the pharmacology, efficacy, and safety in the treatment of HIV. Drug design, development and therapy, 9, Pages-3547.
- 7. Anderson PL, Rower JE, 2010. Zidovudine and lamivudine for HIV infection. Clinical medicine reviews in therapeutics, 2, Pages-2004.
- 8. Perry CM, Faulds D, 1997. Lamivudine. A review of its antiviral activity, pharmacokinetic properties and therapeutic efficacy in the management of HIV infection. Drugs, 53(4), Pages- 657-80. doi: 10.2165/00003495-199753040-00008.





Ramreddy Godela et al.,

- 9. Santevecchi BA, Miller S, Childs-Kean LM, 2020. Doing More With Less: Review of Dolutegravir-Lamivudine, a Novel Single-Tablet Regimen for Antiretroviral-Naïve Adults With HIV-1 Infection. Ann Pharmacother, 54(12): Pages-1252-1259. doi: 10.1177/1060028020933772.
- 10. Rathod SM, Patel PU, Patel NC, 2022. Simultaneous Analysis of Lamivudine and Dolutegravir Sodium in Formulation Using First Order Derivative Method. Journal of Young Pharmacists, 15(1), Pages-98-102.
- 11. Sriveni T, Naveen V, Rupa VS, et al, 2021. Development and Validation of Dolutegravir in Bulk and Formulation: An Anti-Retroviral Drug Using UV-Spectroscopy. International Journal of Pharmaceutical Quality Assurance, 12(1), Pages 57-60.
- 12. Krishnamoorthy G, Gayathri N, Gnanaraja M, et al, 2012. Assay of Lamivudine in Pharmaceutical Preparations by Spectrophotometric Method. Asian Journal of Pharmaceutical Analysis, 2(3), Pages-77-8.
- 13. Lakshmi Aswini G., Dhachinamoorthi D, Prasada Rao CH, 2010. Visible Spectrophotometric Determination of Lamivudine in Tablet Dosage Form. Asian J. Research Chem, 3(4), Pages- 862-864.
- 14. Salunke PA, Barhate SD, Wagh RS, 2021. Environment safe Method development and Validation of Dolutegravir in Bulk and Tablet dosage form by UV-Visible spectroscopy. Asian Journal of Pharmaceutical Analysis, 11(2), Pages-139-44.
- 15. Vaishnavi Dulange, Gajeli GB, 2021. Development and Validation of UV Spectroscopy Method for the Estimation of Dolutegravir in Bulk and Pharmaceutical Dosage Form. Asian Journal of Pharmaceutical Analysis,11(3) Pages-188-90.
- 16. Vidyadhara S, Sasidhar RL, Rao BV, et al 2016. Simultaneous UV spectrophotometric method for the determination of tenofovir, efavirenz and lamivudine in bulk and combined dosage form. Asian Journal of Pharmaceutical Analysis, 6(4), Pages- 253-8.
- 17. Thoke ST, Jadhao UT, Dhembre GN, 2022. Development and validation of UV spectrophotometric methods for simultaneous estimation of dolutegravir sodium and rilpivirine hydrochloride in pure bulk form. Asian Journal of Pharmaceutical Analysis, 12(3), Pages-181-86.
- 18. Shahed M, Palaskar SP, Dehghan MH, et al, 2009. Simultaneous Spectrophotometric Estimation of Abacavir sulfate and Lamivudine in Tablet Dosage Form. Asian Journal of Research in Chemistry, 2(4), Pages- 461-63.
- 19. Devmurari VP, 2010. Simultaneous spectrophotometric determination of lamivudine and abacavir in the mixture. Asian Journal of Research in Chemistry, 3(3), Pages-707-9.
- 20. Jadhav S.D., Bhatia M.S., Thamake S.L, 2010. Development and Validation of Method for Simultaneous Estimation of Lamivudine, Zidovudine and Nevirapine. Asian Journal Research Chem, 3(4), Page 995-997.
- 21. Kalpana T, Rajeswari DT, Ganji RR, 2017. Development and validation of analytical method for determination of Dolutegravir sodium, Lamivudine and tenofovir disoproxil fumarate using reverse phase high performance liquid chromatography. Der Pharma Chemica, 9(8), Pages-117-27.
- 22. Rao NM, Sankar DG,2015. Development and validation of stability-indicating HPLC method for simultaneous determination of Lamivudine, Tenofovir, and Dolutegravir in bulk and their tablet dosage form. Future Journal of Pharmaceutical Sciences,1(2), Pages 73-77.
- 23. Nekkala K, Kumar VS, Ramachandran D, 2017. Development and validation for the simultaneous estimation of lamivudine, tenofovir disproxil and dolutegravir in drug product by RP-HPLC. Journal of Pharmaceutical Sciences and Research, 9(9), Pages- 1505.
- 24. Pal N, Rao AS, Ravikumar P, 2016. Simultaneous HPLC method development and validation for estimation of Lamivudine, Abacavir and Dolutegravir in combined dosage form with their stability studies. Asian journal of chemistry, 28(2), Pages- 273-76.
- 25. Godela R, 2020. An effective stability indicating RP-HPLC method for simultaneous estimation of Dolutegravir and Lamivudine in bulk and their tablet dosage form. Future Journal of Pharmaceutical Sciences, 6, Pages 1-9.
- 26. Noorbasha K, Nurbhasha S, 2020. A new validated stability-indicating RP-HPLC method for simultaneous quantification of dolutegravir and lamivudine in bulk and pharmaceutical dosage form. Future Journal of Pharmaceutical Sciences, 6, Pages- 1-0.
- 27. Vyas AJ, Jha SA, Patel AB, 2022. Review on simultaneous equation method (Vierodt's method). Asian Journal of Pharmaceutical Analysis, 12(2), Pages-149-56.





Ramreddy Godela et al.,

Table-1: Linearity results of DLG and LMV with a concentration range from 5 to $30\mu g/mL$

Concentration	DLG	LMV	DLG	LMV		
(µg/ml)	258nm	271nm	258nm	271nm	260nm	260nm
5	0.25	0.15	0.11	0.14	0.23	0.26
10	0.41	0.31	0.17	0.23	0.44	0.48
15	0.54	0.47	0.23	0.31	0.51	0.56
20	0.72	0.63	0.3	0.41	0.75	0.71
30	0.99	0.92	0.41	0.59	0.98	0.98
Slope	0.029	0.030	0.012	0.018	0.027	0.028
Intercept	0.106	0.002	0.050	0.047	0.183	0.131
R ²	0.998	0.999	0.998	0.999	0.998	0.998

Table-2: Precision results of DLG and LMV

CONC		Dolut	egravir		Lamivudine				
	258nm		258nm 271nm		258nm		271nm		
(µg/ml)	*Mean±SD	%RSD	Mean±SD	%RSD	Mean±SD	%RSD	Mean±SD	%RSD	
5	0.21±0.001	0.47	0.15±0.0032	1.33	0.12±0.002	1.6	0.13±0.002	1.53	
10	0.39±0.006	1.53	0.31±0.006	1.93	0.18±0.003	1.6	0.24±0.004	1.66	
15	0.52±0.004	0.76	0.47±0.009	1.91	0.24±0.001	0.41	0.32±0.003	0.93	
20	0.73±0.005	0.68	0.63±0.004	0.63	0.32±0.004	1.25	0.44±0.005	1.13	
30	0.98±0.009	0.91	0.92±0.01	1.08	0.42±0.006	1.42	0.61±0.007	1.14	

^{*}Absorbance of six replicates

Table-3: % Recovery of DLG and LMV at 258nm,271nm and 260nm

		50% Level		100%	Level	150% Level		
Drug	Drug	(10 μg/mL)		(20µg	/mL)	(30 µg/mL)		
Name	λmax	Recovered	%	Recovered	%	Recovered	%	
		(µg/mL)	Recovery	(µg/mL)	Recovery	(µg/mL)	Recovery	
DLG	258nm	9.92	99.2	19.67	98.35	30.1	100.33	
DLG	271nm	10.03	100.3	20.05	100.25	30.12	100.4	
LMV	258nm	10.01	100.1	19.92	99.6	29.78	99.26	
LIVI V	271nm	9.87	98.7	19.85	99.25	29.74	99.13	
DLG	260nm	9.89	98.9	19.93	99.7	30.16	100.51	
LMV	260nm	9.91	99.1	20.09	100.31	29.81	99.31	

Table-4: Robustness of DLG and LMV at 258nm,271nm and 260nm

Damamastan		DLG				LMV			
Parameter	260nm	256nm	273nm	269nm	260nm	256nm	273nm	269nm	
Mean	0.44	0.43	0.33	0.28	0.18	0.19	0.23	0.21	
SD	0.005	0.004	0.003	0.001	0.002	0.003	0.004	0.001	
%RSD	1.13	0.93	0.90	0.35	1.11	1.57	1.73	0.47	





Ramreddy Godela et al.,

Table-5: Sensitivity of DLG and LMV at 258nm,271nm and 260nm

Davamatan	DI	LG	LN	1 V	DLG	LMV
Parameter	258nm	271nm	258nm	271nm	260nm	260nm
LOD(µg/ml)	0.28	0.27	1.58	0.94	0.21	1.12
LOD(µg/ml)	0.94	0.91	5.0	3.10	0.694	3.69
Sandell's sensitivity	0.03	0.03	0.08	0.05	0.03	0.04

Table-6: % assay of DLG and LMV by different methods

	Simult equation	aneous method	Q-Absorbance method		Isobestic point method		Label claim (mg)	
%Assay	DLG	LMV	DLG	LMV	DLG	LMV	DLG	LMV
(Mean=6)	99.21	98.63	99.78	100.81	100.91	99.56		
SD	1.2	0.98	1.31	1.65	0.92	1.41	50	300
%RSD	1.20	0.99	1.31	1.63	0.91	1.42		

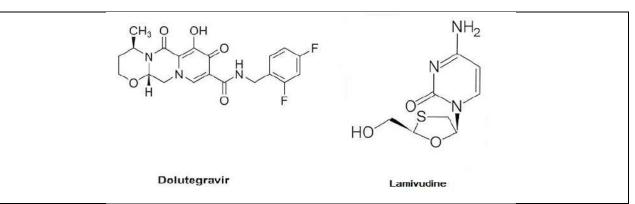


Figure 1: Chemical structures of Dolutegravir and Lamivudine

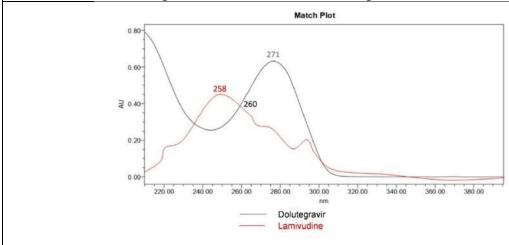
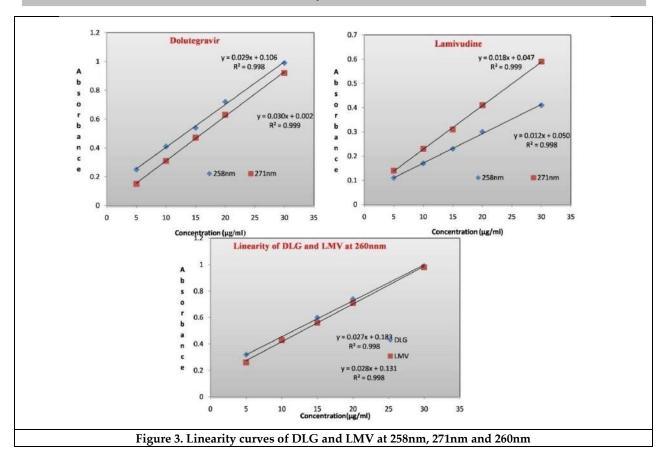


Figure 2: Absorption Maxima and Isobestic Point Dolutegravir and Lamivudine





Ramreddy Godela et al.,







RESEARCH ARTICLE

Navigating Man-in-the-Middle **Vulnerabilities:** Understanding, **Defenses and Forward Strategies**

Imran Qureshi* and S. G. M. Shadab

Lecturer, Department of IT, College of Computing and Information Sciences, University of Technology and Applied Science, AlMusanna, Sultanate of Oman.

Revised: 03 Jul 2024 Received: 21 Jun 2024 Accepted: 13 Aug 2024

*Address for Correspondence Imran Qureshi

Lecturer, Department of IT, College of Computing and Information Sciences, University of Technology and Applied Science, AlMusanna, Sultanate of Oman. E.Mail: 3sxciqac1923@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The constant evolution of PC structures and applications facilitates continuous data processing, leading to the emergence of new cyberattacks, such as the eaves dropping MiTM attack. In this scenario, an external assailant clandestinely eavesdrops on the exchange of data between two online individuals, facilitating unauthorized entry into and alteration of confidential data without the individuals' knowledge or consent. This paper aims to delve into the intricacies of MITM attacks, including their various forms, mitigation strategies, and future research directions, to address this critical issue effectively.

Keywords: Data Security, Digital Assaults, MITM Assaults, Organization Security.

INTRODUCTION

The internet has integrated itself into our daily lives, becoming indispensable in today's modern world, shaping our routines and interactions. From mobile connectivity to social media dominance, online banking, and shopping, our dependence on the web is undeniable. However, with the advancement of web technology comes an increased risk of cyber threats, with hackers targeting businesses to retrieve confidential sensitive information, leading to potential financial losses. Among these threats, the MiTM attack poses a significant danger to security of networks. In this type of attack, the hacker intercepts communication between two parties, often without their knowledge, exploiting various channels such as GSM, Wi-Fi, UMTS, and Bluetooth. The goal of the attacker extends beyond mere eavesdropping; they seek to manipulate data integrity, spread misinformation, and disrupt communication channels. MITM attacks can compromise both the confidentiality and integrity of data, leading to serious consequences for unsuspecting users. Various terms such as MiM, MitM, MTIM are used interchangeably to refer to this pervasive





Imran Qureshi and Shadab

threat. A prevalent example of such incidents is commonly referred to as strong snooping, wherein adversaries establish unauthorized connections with the parties involved and insert themselves in the middle of the communication, effectively intercepting messages between them. To tamper with the messages, the attacker can intercept them as they pass between the two parties. Many cryptographic systems utilize endpoint authentication to address MITM attacks. These incidents can be categorized into four primary groups. The primary categorization includes Man-in-the-Middle (MITM) attacks based on spoofing, wherein the assailant disrupts communication between two entities by employing spoofing techniques, manipulating the communication without leaving any trace to deceive the unsuspecting parties. In some cases, attackers employ various methods such as DNS spoofing to impersonate endpoints or devices. Additionally, TLS/SSL attacks involve the attacker becoming part of the communication between two endpoints, intercepting, and altering the communication undetected. Another example is the BGP MITM attack, where the attacker redirects traffic to their intended target, known as IP hijacking, rerouting traffic through a controlled intermediary. Lastly, there are deceptive base station attacks, where attackers set up fake base stations to control the traffic of unsuspecting victims. MITM resembles a game of catch between two individuals, with a third party attempting to intercept the ball. This paper addresses various forms of spoofing attacks and explores future prospects in the field.

SPOOFING BASED MTIM ATTACKS

Caricaturing comes from "snoop," a phrase for European spies who made up identities to listen in on private conversations. Attackers introduce themselves into communication between two parties to manipulate data flow without the victims' knowledge in modern spoofing. Upon encountering an encrypted network that presents as an unfamiliar MAC address, the server initiates an Address Resolution Protocol (ARP) request to all connected clients. Active web display clients usually respond with their Mac address. ARP caching without authentication might generate phony ARP messages, giving attackers gateways. Man-in-the-Middle (MITM) attacks jeopardize sensitive information and interrupt communication by altering message content. Manufacturers regularly upgrade security to prevent MITM attacks. Under optimal circumstances, the attacker "Z" (IP=10.0.y.y.3, MAC address=FF:FF:FF:Y3) manipulates the ARP table of the victim "M" by transmitting a falsified ARP response. Consequently, communications intended for "M" from victim "D" (IP=10.0.y.y.1, MAC address=CC:CC:CY1) are rerouted to "Z," disrupting communication. Despite genuine address updates, "M" adheres to protocol. Refer to the figure below for a visual representation of the described scenario.

ARP SPOOFING

These activities facilitate the mapping of network conversations to specific Mac addresses. ARP plays a crucial role as a foundational and indispensable method for LAN configurations. Attackers take advantage of neighboring ARP cache tables, replacing the host's MAC address with the target IP address, aiming to gain unauthorized access to the client's sensitive data. ARP spoofing attacks typically fall into two main categories: host impersonation and gateway impersonation within the internal network. When a user endeavors to establish a connection with another user possessing an unknown Mac address within the same network, a data transmission is initiated. Such transmissions occur when ARP functions are active within the network. Due to the absence of authentication mechanisms, manipulating cache entries becomes straightforward. The source device can optimize data transmission efficiency during connection periods by retaining IP-to-Mac mappings in the cache. Despite its importance at the network layer, ARP lacks robust security measures within its masking scheme.

Identifying ARP spoofing

Below are outlined several techniques for detecting ARP spoofing

Cryptographic solutions

A significant advancement in combating ARP spoofing is S-ARP [8], which employs metropolitan key cryptography to authenticate ARP responses. These cryptographic methods validate a client's authenticity, thereby thwarting ARP spoofing attacks effectively. P-ARP represents a notable variation of this method with a strong emphasis on security. To authenticate the information, both the magic number and HMAC hash function must be incorporated.





Imran Qureshi and Shadab

Voting-based solutions

A robust framework against VB impersonation is provided by MR-ARP. When MR-ARP detects the presence of ARP response or request messages, it verifies whether the device is using the new IP address.

Solutions based on server-side implementations.

A non-cryptographic solution, referred to as 'fix,' has been proposed to address ARP spoofing. This method mandates that the legitimate owner of the IP address intervenes in the event of a MAC address conflict, thereby thwarting ARP spoofing attempt.

Host-based solutions

Host-based solutions, such as middleware, offer a method for handling asynchronous requests and mitigating ARP spoofing attacks, as proposed in [13].

Hardware solution

Enthusiastic ARP assessment was applied in unambiguous changes to get additional security and confirmation of the relationship from ARP satirizing assaults. Its affirmations advancing of just supported ARP answers and requests. Ethernet change sees the cogency of the spread-out ARP bundles.

Manipulation of DNS

The DNS server employs a referenced ID scheme for URL resolution, which is integral to the client-server architecture. The DNS space and server names are dynamically organized into subordinate level domains. One of the most detrimental attacks, aimed at enhancing performance through cache poisoning, encompasses three main types: data packet sniffing during transmission, cache poisoning during celebratory events, and unauthorized DNS querying. By manipulating and controlling the local DNS, attackers coerce the target user into utilizing a fraudulent server to execute DNS spoofing. DNS manages the Time-to-Live (TTL) of domains and retrieves data from its cache. DNS spoofing attacks are executed through a denial-of-service approach. The attack involves two stages: first, the attacker injects a malicious DNS into the network, generating false data, and second, the attacker sends a fake DNS response before a legitimate one, thus intercepting the resolution process.

Detection of DNS spoofing

A straightforward method is provided for identifying such assaults:

MITM IN VANETS

MITM attacks, also known as Message Injection attacks, involve attackers attempting to modify the communication between legitimate entities, and their outcomes are particularly critical as such messages often contain sensitive information. These attacks are executed in two modes: an active mode and a passive mode, as depicted in Figure 3a.

Simulation Findings and Analysis

In this piece of the paper, the consequences of two varieties of man-in-the-middle attacks in VANET — message upset and message change — are showed up through framing.

Message Delay Attacks

After the man-in-the-middle attack, the nodes experience a 2-second delay, as depicted in the figure above. An appreciable increase in delay occurs when multiple malicious nodes are introduced into such networks. This is because relays ensure that messages reach their endpoints without delay, leading to a significant impact on network performance by such attackers. The interaction is directly proportional to the presence of malicious nodes; even a tenth of the nodes can introduce delays of up to 47%. As the percentage of malicious nodes reaches 60%, latency in these networks can rise to 80%. Figure 4b illustrates that communication may still occur despite attacks, albeit with a temporal delay. The measurement in the image below confirms that the message reached the endpoint, albeit with a particular delay. Continuing from the previous point, an increase in the number of malicious nodes leads to a





Imran Qureshi and Shadab

noticeable rise in packet loss and delay. For example, if a network is infiltrated with approximately 40% malicious nodes, it results in approximately 20% packet loss.

Message Tamper Attacks

Taking into account the points discussed thus far, it becomes apparent that the existence of any compromised node within the network can impact not only the content or destination of the message but also various aspects of the data. In such scenarios, this paper specifically focuses on preserving the integrity of the message data. As depicted in Figure 5a, disruptions within the network, exemplified by a malicious node, have the potential to alter the overall message content. Additionally, the end-to-end delay in the network generally escalates in correlation with the inclusion of compromised nodes. Naturally, there is a decrease in CDR as the number of malicious nodes increases, as shown in Figure 5b. Additionally, the attack's path will influence the CDR. As depicted in Fig 5b, when a lesser portion of the network is malicious, the end user can choose to offload genuine messages to the recipient. This leads to a potential increase in CDR. The final segment of the study outlines the quantity of altered messages, sharing a similar nature with the preceding part of the research. Owing to the widespread presence of malicious nodes throughout the network, a considerable number of messages and their contents are compromised. Furthermore, malicious hubs are overwhelmed due to the high frequency of fabricated message contents. Figure 6 illustrates the severity of the compromised messages.

CONCLUSIONS

The aim of this paper is to examine various MITM attacks and provide a comprehensive overview of these assaults, along with defensive mechanisms based on PC impersonation practices. In addition to presenting different MITM defense strategies and their descriptions, the paper focuses on ensuring data integrity and security, as well as the smooth transmission of genuine data between endpoints, which are the primary objectives of the adversary. The latter part of the paper reviews various types of person-in-the-middle attacks and their corresponding defensive strategies. Currently, one of the most significant challenges lies in the fact that Man-in-the-Middle attacks are still facilitated by streaming data through a proxy. However, the evolution of the MITM technique has not been fully elucidated, thus laying the groundwork for subsequent research. Key distribution and elliptic curve cryptography are two examples of cryptographic techniques that can complement man-in-the-middle attacks. In future studies, it is imperative to expand our investigation to assess the impact of such attacks on various VANET infrastructures, particularly concerning node scalability.

REFERENCES

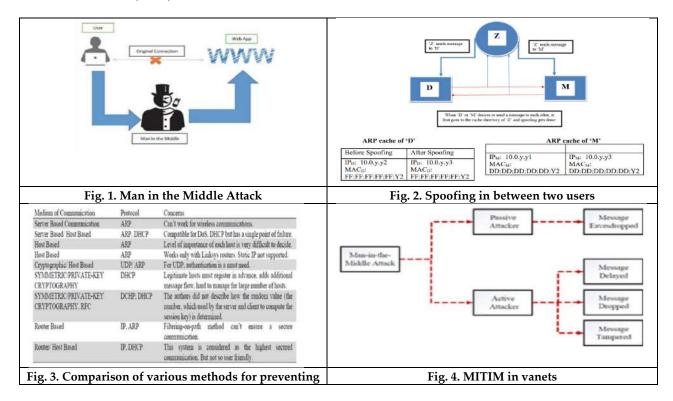
- 1. C. L. Abad, R. I. Bonilla, "An analysis on the schemes for detecting and preventing ARP cache poisoning attacks", Proc. 27th Int. Conf. Distrib. Computer. Syst. Workshops (ICDCSW'07), pp. 60, 2007.
- 2. S. Shukla, I. Yadav, "An innovative method for detection and prevention against ARP spoofing in MANET", Int. J. Compute. Sci. Inf. Technol. Secur., vol. 5, 2015.
- 3. M. Oh, Y.-G. Kim, S. Hong, S. Cha, "ASA: Agent-based secure ARP cache management", IET Commun., vol. 6, no. 7, pp. 685-693, May 2012.
- 4. ARPwatch the Ethernet Monitor Program; For Keeping Track of Ethernet/IP Address Pairings.
- 5. S. Y. Nam, D. Kim, J. Kim, "Enhanced ARP: Preventing ARP poisoning-based man-in-the middle attacks", IEEE Commun. Lett., vol. 14, no. 2, pp. 187-189, Feb. 2010.
- 6. A. Herzberg, H. Shulman, "Antidotes for DNS poisoning by off-path adversaries", Proc. 7th Int. Conf. Availability Rel. Secur. (ARES), pp. 262-267, 2012.
- 7. Javeed, Danish, et al. "An Efficient Approach of Threat Hunting Using Memory Forensics." International Journal of Computer Networks and Communications Security 8.5 (2020): 37-45.
- 8. H.-M. Sun, W.-H. Chang, S.-Y. Chang, Y.-H. Lin, "DepenDNS: Dependable mechanism against DNS cache poisoning" in Cryptology and Network Security, New York, NY, USA: Springer, pp. 174-188, 2009.





Imran Qureshi and Shadab

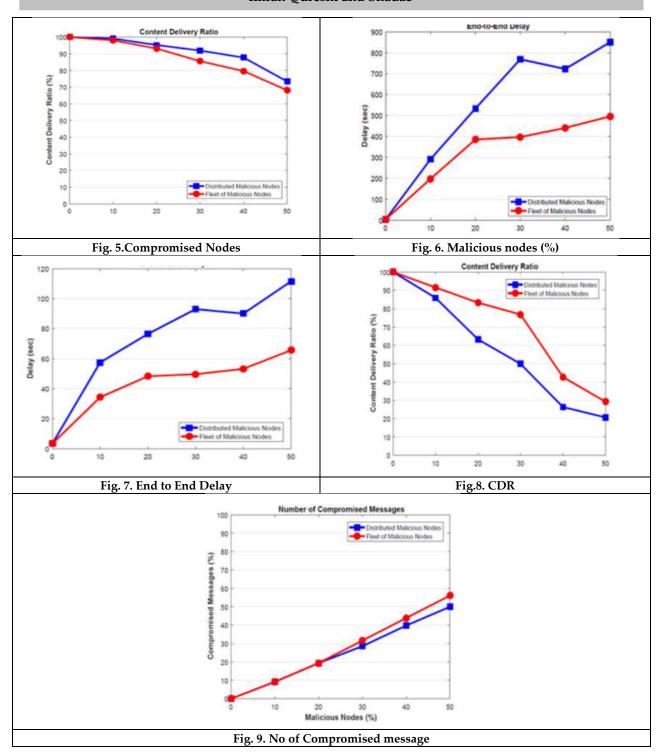
- 9. Conti, M., Dragoni, N., &Lesyk, V. (2016). A survey of man in the middle attacks. IEEE Communications Surveys & Tutorials, 18(3), 2027-2051.
- 10. 10.S. Y. Nam, D. Kim, J. Kim, "Enhanced ARP: Preventing ARP poisoning-based man-in-the middle attacks", IEEE Commun. Lett., vol. 14, no. 2, pp. 187-189, Feb. 2010..
- 11. 11.M. Oh, Y.-G. Kim, S. Hong, S. Cha, "ASA: Agent-based secure ARP cache management", IET Commun., vol. 6, no. 7, pp. 685-693, May 2012.
- M. Antonakakis, D. Dagon, X. Luo, R. Perdisci, W. Lee, J. Bellmor, "A centralized monitoring infrastructure for improving DNS security" in Recent Advances in Intrusion Detection, New York, NY, USA: Springer, pp. 18-37, 2010.
- 13. X. Bai, L. Hu, Z. Song, F. Chen, K. Zhao, "Defense against DNS man in- the-middle spoofing" in Web Information Systems and Mining, New York, NY, USA: Springer, pp. 312-319, 2011.
- 14. X. Liu, A. Li, X. Yang, D. Wetherall, "Passport: Secure and adoptable source authentication", Proc. Netw. Syst. Des. Implement. (NSDI), vol. 8, pp. 365-378, 2008.
- 15. Khan, Tahir Ullah. "Internet of Things (IOT) Systems and its Security Challenges." International Journal of Advanced Research in Computer Engineering & Technology (IJARCET) 8.12 (2019).
- 16. Fatima, A. (E-Banking Security Issues-Is There A Solution in Biometrics?. Journal of Internet Banking and Commerce, 16 (, 2011).







Imran Qureshi and Shadab







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

Concept of Man, Health and Disease in Homoeopathy

Mital Joshi* and Honey Patel

Department of Homoeopathic Materia Medica, Shree B. G. Garaiya Homoeopathic Medical College and Hospital, Kalipat, (Affiliated toSaurashtra University), Rajkot, Gujarat, India

Revised: 03 Jul 2024 Received: 21 Jun 2024 Accepted: 14 Aug 2024

*Address for Correspondence

Mital Joshi

Department of Homoeopathic Materia Medica,

Shree B. G. Garaiya Homoeopathic Medical College and Hospital,

Kalipat, (Affiliated toSaurashtra University),

Rajkot, Gujarat, India

Email: drmitaljoshi@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Man has been since time immemorial baffled by disease and suffering. From God's Curses to blatant superstition, we have been searching for the cause of disease. With the advancement of science, we have the advantage of identifying pathogens and reactive agents. But these pathogens and agents have existed with us for a long time. After a dinner out, why do I suffer from gastritis and not my friend, even though we ate the same thing, is the eternal question. "Why me." Here we have been trying to answer this through homoeopathy.

Keywords: we have been searching for the cause of disease. With the advancement of science, we have the advantage of identifying pathogens and reactive agents.

INTRODUCTION

Nature is dynamic. Every part of it vibrates like a never-ending rhythm of the tuning fork. But it always follows a set pattern. It follows the regularity and periodicity of the higher order. What is reality? Albert Einstein says, 'Reality is merely an illusion.' It changes according to the person experiencing it. It is the exact reflection of our deep inner pattern - our personality. What is reality for one person may be an imagination for the other. "Absolute reality" has no basis in individual experiences. Each person experiences reality in his/her unique way. Werner Heisenberg says, 'What we observe is not the nature itself, but the nature exposed to our methods of questioning.' Therefore, what people narrate is not reality but their own experience of reality. Our personalities perceive and react to everything including different situations and diseases, in a unique way and with varying degrees of intensity as compared to other personalities. B K sarkar in his organon says, we do not observe life but only living beings. We can study living organisms' properties and differentiate them from other non-living entities. Dr. Hahnemann says as practical physicians we are more concerned with the scientific aspect of life and this confusion of categories is the cause of





Mital Joshi and Honey Patel

failure. The chief source of complexity in observing life phenomena is that life though dynamic expresses itself through the material body. The result is that physio-chemical phenomena and vital phenomena exist together. Hahnemann observed one fundamental difference between a living and a non-living body. The living body is endowed with sensations, functions, and the power of self-preservation. In health, man is in a state of ease of comfort with regards to sensations felt by him and functions of his body. He feels neither the existence of his organs nor the tasks carried out by them. As soon as he feels the existence of his internal organ or its workings in any way, he becomes diseased. That brings us to the question, who is man? Einstein says, "A human being is a part of the whole, called by us the universe, a part limited by time and space. He experiences himself, his thoughts, and feelings, as something separate from the rest, a kind of optical delusion."

Dr. Vishpala Parthasarathy emphasises that our body is a whole unit. We may give different names to diseases affecting various organs or parts of the body. It is just the different phases of the disease moving from periphery to centre. Every expression of disease is a chain of cause and effect with a beginning, growth, and end. When we understand the disease in relation to the human body's immune response, we will be able to perceive and realise the benefits of homoeopathy. Homoeopathy since the beginning advocates the concept of unity of mind and body. This unity can only keep the man healthy and functional. Homoeopathy is firmly rooted in the idea that no two individuals are ever likely to come up with exactly similar descriptions of their symptoms. S M Gunavante says the vital force has its sway over the whole body, all organs, and tissues of the patient. It is involved in resistance to diseases wherever they may occur. Therefore, homoeopathic medicines aim to restore the deranged vital force to its full power. No organ can become diseased without a preceding disturbance of the vital force. And, therefore it is a mistake to treat a part as if it stood alone.

This dynamization cannot exist without a governing principle which can be termed as a Vital force. Dr. Herbert Roberts the stalwart of Homoeopathy writes, "When two parent cells are united, the vital energy, is already present. It has the power to develop the cells. This vital energy is the cause, the living organism is capable of physical action and mental capacity." The influence of this vital force is so delicately adjusted and intimately connected with every part, that seemingly distant organs or unrelated symptoms show the effects of disturbance. Susceptibility is the inherent capacity of matter of life to receive impressions. It also has the power of a living organism to react to stimuli. In living beings, susceptibility varies in response to their perception of reality. Any change in the normal susceptibility will interfere with the capacity of the predetermined response. This interference will be reflected in a chain response. This in turn leads to the loss of balance of health. Health is a balanced condition of the living organism in which the (integral, harmonious performance of) vital force tends to preserve the organism and help in the normal development of the individual. Whereas, disease is an abnormal process, a changed condition of life, which is inimical to the true development of the individual and leads to organic dissolution.

Agents, material or immaterial, which modify health or cause disease, act solely by their substantial existence and the co-existence of the vital substance which reacts in the living organism to every impression made within or without. Power resides at the centre. The phenomena of life, as manifested in growth, nutrition, repair, secretion, excretion, self-recognition, self-preservation, and reproduction all take their direction from the centre. Resistance to morbific agents is from the centre where life reigns. Vital resistance is the defensive action of the living to the obnoxious elements. Metaphorically speaking, disease is resistance. It is unveiled through symptoms. It is a battle, a struggle, a costly and painful resistance to the invader. We must differentiate between the cause and the effect. We must not let the phenomena which we perceive with our organs of sensation bind us to the existence of the invisible poser which produces them. Functional or dynamic change always precedes tissue changes. Internal changes take place before the external signs.





Mital Joshi and Honey Patel

CONCLUSION

The mere removal of the tangible products of disease does not always lead to a cure. The cure is often obtained by internal administration of the remedy, with due regard to proper auxiliary, psychical, hygienic, and mechanical treatment.

REFERENCES

- 1. The Organon of Medicine by Dr. B K Sarkar
- 2. The Principles and Art of Cure by Homoeopathy by Dr. Herbert Roberts
- 3. Homoeopathy the Humane Medicine by Dr. Sarla Sonawala and Dr. Vishpala Parthasarthy
- 4. Vital Force is Oxygen by Dr. Amarsingh Nikam
- 5. Introduction to Homoeopathic Prescribing by S M Gunvante
- 6. The Genius of Homoeopathy by Stuart Close
- 7. Exploring Human-Animal Connection by Joshis





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Hybrid Machine Learning Models to Improve Road Traffic Accident Prediction Accuracy: A Whole-System Approach

Girija M1* and Divya V2

¹Research Scholar, Department of Computer Science, School of Computing Science, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

²Assistant Professor, Department of Information Technology, School of Computing Science, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Girija M

Research Scholar, Department of Computer Science, School of Computing Science, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Email: girija.muniyappan@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License original work is properly cited. All rights reserved.

ABSTRACT

In the realm of road safety, accurately predicting traffic accidents is pivotal for implementing proactive measures and reducing accident rates. This study presents a comprehensive approach to enhancing prediction accuracy by employing a hybrid machine learning model, combining Random Forest (RF) and Support Vector Machine (SVM) techniques. The dataset, collected from Kaggle, encompasses road traffic accidents from 2017 to 2020, consisting of 32 features and 12,316 accident occurrences. Following data preprocessing, including Min-Max normalization, the hybrid RF-SVM model is implemented to leverage the strengths of both ensemble learning and margin-based classifiers. The Random Forest component is utilized for feature selection and dimensionality reduction, efficiently handling large datasets and identifying the most significant features contributing to accidents. This step reduces the model's complexity and enhances its interpretability. Subsequently, the SVM component performs the classification task, optimizing decision boundaries with a focused set of features. The SVM's ability to handle both linear and nonlinear relationships, coupled with kernel tricks, ensures robust separation of accident-prone and non-accident-prone instances. Comparative analysis with other hybrid models, such as Conv-LSTM, CNN-LSTM, and CNN-GRU, demonstrates the superior performance of the RF-SVM model. The proposed model is implemented in Python software that achieves an impressive accuracy of 99.13%. These metrics underscore the model's capability to provide reliable predictions and effectively balance precision and recall. The insights gained from the feature selection process inform policymakers about critical factors contributing to accidents, guiding targeted interventions for road safety improvements. This study's findings highlight the potential of hybrid machine learning models in advancing the predictive analytics of road traffic accidents, paving the way for smarter, data-driven road safety strategies.





Girija and Divya

Keywords: Support Vector Machine, Random Forest, road traffic, accident severity prediction, Machine Learning

INTRODUCTION

Across the Indian subcontinent, there is a general concern with car crashes. In the US, car crashes claimed the lives of almost 151,000 individuals in 2019. About three and five percentage points of GDP was lost each year as a result of traffic accidents. India is home to only 1% of the world's autos, yet in 2013 it accounted for around 6% of all traffic accidents. Nearly 70% of the incidents affected younger Indians[1][2]. Traffic research predict that there will be a rise in the amount of car accident-related deaths and injuries. Modern methods are currently being used for traffic administration and preparation due to its significance. Vehicle crashes will decrease as a result of laws and actions that are predicated on the idea that there are traffic hazards[3]. The investigators presented a computer vision-based approach for predicting car crashes[4]. By monitoring roadside camera recordings, they were enabled to understand certain circumstances with an accuracy of 85%. Researchers who find value in the underlying causes of crashes on roads have performed more study in recent years[5]. Researchers studied driver behavior and strategy throughout the whole variable lane structure in order to pinpoint potentially hazardous driving behaviors. A high roadway slope puts both vehicles and pedestrians at serious risk, based to research looking into the relationship among pavement conditions and auto accidents. Furthermore, the threats presented by climate change and the changing demography of visitors have never been the subject of any previous investigation. But most of these studies have just examined one aspect of the environment whether it is motorists, tourists, highways, or the surroundings overall in connection to injuries[6]. In order to guarantee the security of participants at the database mining equipment event, a range of data mining strategies are employed by college pupils[7]. The investigation of the causative elements that lead to visitor damage frequently involves the use of organizational data mining.

Strict communication standards are used to expose the hidden networks throughout the risk data[8]. Constructing the foundations in such a way that the users can live up to the value and standards of the underpinnings with a dual standard of trust and encouragement can draw them in[9]. The alarmingly high daily toll of people died in traffic accidents more than 3,000means that "road security represents an enormous public health issue in today's society." Road accidents also cause damage to the world economy. The expenses incurred by emerging nations due to this [10][11]. The road communication unit is vital for conducting study on the recognition of essential elements for an improved comprehension of the order of events and the usage of connected data in important target positions. There are several regionally accessible mining strategies available for a small amount expenditure when it involves transmitting Brobdingnagian data. Only one Asian nation's officials had documentation of the collision. The method used to aggregate, compile, and record data about accidents has an extensive list of enhancements that the channel, which covers incidents of accidents exclusively, would like to see created. At present, analysts rely solely on the simplest details and report failure when attempting to draw inferences from it; data mining tools are widely available. Scholars utilise an extensive range of information mining methodologies. Data mining has been used to extract predictable details from large knowledge sets, and the results of the data summary are often displayed in an intuitive manner. Techniques for analysing data help identify the most significant or enduring patterns. The key contributions of the article is,

- This study introduces a novel hybrid ML model that combines RF and SVM techniques, effectively leveraging the strengths of both ensemble learning and margin-based classifiers for improved road traffic accident prediction accuracy.
- By utilizing the RF component for feature selection and dimensionality reduction, the study efficiently handles
 large datasets and identifies the most significant features contributing to accidents, reducing model complexity and
 enhancing interpretability.
- The insights gained from the model's feature selection process provide valuable information for policymakers about critical factors contributing to road traffic accidents, guiding targeted interventions and proactive measures to improve road safety and reduce accident rates.





Girija and Divya

• The organization of the paper is, section II and III gives the related works and methodology respectively. Section IV gives the results and the article is concluded in section V.

RELATED WORKS

In everyday circumstances, traffic accidents typically result in significant loss of life and significant financial damages[12]. Predicting crashes in an efficient and precise way can significantly improve safety for everyone and lower financial costs. Due to the complicated causation of crashes, which involves a number of variables such as time dynamic connections, spatial correlations, and external impacts in road-relevant diverse information, it is difficult to anticipate crashes while driving. This research suggests a unique Deep Spatio-Temporal Graph Convolutional Network, or DSTGCN, to anticipate traffic accidents in order to address the aforementioned problems. The suggested model consists of three parts: the spatial learning layer, that learns spatial correlations by performing graph convolutional calculations on spatial data. The following part is the spatio-temporal training layer, that captures the dynamic alterations across the spatial and temporal viewpoint by using conventional and graph convolutions. The incorporation layer, that makes up the third part, seeks to provide coherent and semantic illustrations for outside data. We gather extensive actual-world information, such as accident reports, citywide automobile speeds, roadways, temperatures, and Point-of-Interest payments, in order to assess the suggested model. Experiments on actual data show that DSTGCN works better than modern facilities and traditional approaches. In order to increase protection in smart communities, rear-end collision prevention is receiving more and more attention[13]. As one of the primary causes of crashes, rear-end crashes require the development of effective warning techniques immediately. The goal of the current study is to forecast collisions. It is suggested to use learning-based approaches to tackle this challenging problem, which goes beyond the scope of conventional approaches. Back-propagation learning techniques, nevertheless, are having difficulties because of certain restrictions on finding features and prediction accuracy. In this study, we introduced a novel DL-based RCPM that establishes a CNN model.

To address the issue of disparities in class, RCPM expands and smoothest the dataset using evolutionary theory. We utilize the prepared dataset as the source of data for the model, splitting it into training and testing sets. The results of the study demonstrate that RCPM significantly enhances rear-end collision prediction accuracy To lessen its negative impacts, crash detection is crucial for giving the public and roadway management centers current data[14]. For the purpose of preventing secondary collisions and protecting road traffic, crash risk forecasting is essential. In an effort to support traffic management of incidents, academics have spent many years investigating various methods for the accurate and timely identification of collisions. Real-time traffic data is widely available for usage because to recent developments in data gathering systems. The information may be used by big data architecture and ML to deliver suitable answers for the highway traffic safety systems. This research investigates whether DL models can be used to forecast crash risk and identify crash incidence. For this investigation, data on volume, speed, and sensor saturation were gathered from wayside radar detectors along Highway 235 in Des Moines, Iowa. The findings demonstrate that, in comparison to state-of-the-art shallow models, a deep model performs similarly well in accident predictions and better in crash detection. Furthermore, an analysis of sensitivity was carried out to estimate the accident danger based on data collected one, five, and ten minutes before the collision happened. Ten minutes before an accident, it was found that it was difficult to anticipate the possibility of a traffic circumstance. In order to create models that forecast the extent of accident damages in the event of a motorbike incident, this research employs categorization techniques[15]. By contrasting their outcomes, the researchers assessed the predictive capacity of the MLP, rule induction (PART), and classification and regression trees (SimpleCart) models for determining the seriousness of motorbike crashes. The Building and Road Research Institute in Ghana's National Road Traffic Collision Dataset provided the motorbike collision data set used to achieve this goal. Four classifications of harm severity were applied to the data established: deterioration, hospitalized, wounded, and death. In addition to enabling the direct comparison and ranking of the data mining models, the information gathered from this collection of data will make it possible to identify the factors that have a substantial impact on the severity of motorbike crashes.Utilizing a 10-fold cross-validation strategy, the Simple Cart model beat the PART models (73.45%) and the





Girija and Divya

model developed by MLP (72.16%) out of the investigated classification methods, according to the findings. The Simple Cart model had a mean accuracy of 73.81%. The findings showgoer that the site type, settlements type, accident time, accident type, and collision companion were the most important variables linked to the degree of injuries sustained in a motorbike incident.

Proposed RF-SVM Framework

The methodology of the article includes min-max normalization for preprocessing and hybrid RF-SVM for accident prediction. It is depicted in Fig 1.

Data Collection

The road traffic accident dataset is collected from Kaggle [16]. The written accounts of traffic accidents from 2017 to 20 are the source of this data collection. After all sensitive data was removed throughout data encoding, the final product comprises 32 features and 12316 accident occurrences. After that, it is preprocessed and analyzed utilizing various ML approaches to classification in order to determine the primary reasons of the event. RTA Information Base. The dataset in csv format is unprocessed and unclean. The preprocessed dataset is called csv.

Preprocessing using Min-Max Normalization

Preprocessing the road site visitors twist of fate dataset is a important step to make sure the effectiveness of the subsequent system learning models. One not unusual and effective preprocessing approach is Min-Max Normalization, which scales the functions of the dataset to a particular range, usually [0, 1]. This technique transforms each function with the aid of subtracting the minimal value of that characteristic and then dividing via the range of the characteristic values (most fee minus minimum fee). Min-Max Normalization ensures that large-scale features do now not dominate the studying system, thereby enhancing the performance and convergence speed of many gadget learning algorithms.

$$Y_{norm} = \frac{y - y_{minimum}}{y_{maximum} - z}$$

In the context of our avenue site visitors accident dataset, Min-Max Normalization helps in dealing with the various variety of values across exclusive functions. For example, numerical features like car velocity and time of day will have hugely one-of-a-kind scales, which would possibly skew the model if left unnormalized. After normalization, the dataset is ready for in addition evaluation and version schooling, making sure that each characteristic contributes similarly to the predictive modeling technique.

Employing RF-SVM for Road Traffic Accident Prediction

Employing a hybrid version like RF-SVM for road site visitors' accident prediction combines the strengths of both ensemble getting to know and margin-based totally classifiers, offering a strong approach to predictive modeling. The first section of this hybrid version involves using RF for function choice and dimensionality reduction. Random Forest, an ensemble learning method, operates by means of constructing multiple selection trees at some stage in schooling and outputting the mode of the training (class) of the individual timber. Random Forest's capability to handle lacking facts and keep accuracy without tremendous preprocessing makes it super preference for the preliminary segment of the hybrid version. By utilizing Random Forest for characteristic choice, we can slim down the most influential factors contributing to street traffic accidents from the significant list of features. This no longer only improves the efficiency of the version but additionally facilitates in expertise the important thing factors that want interest for twist of fate prevention. Moreover, the ensemble nature of Random Forest offers robustness against overfitting, making sure that the selected features generalize well on unseen facts. The second phase of the RF-SVM model entails employing SVM for the real type or prediction mission. SVM is renowned for its effectiveness in excessive-dimensional areas and is mainly suitable for class tasks. After the dimensionality discount through Random Forest, SVM takes over with a targeted set of functions, optimizing the selection barriers between classes of street visitors injuries. The middle concept of SVM is to locate the hyperplane that pleasant separates the specific classes in the feature area. This characteristic of SVM makes it incredibly effective in distinguishing between coincidence-susceptible and non-twist of fate-prone times, thereby improving predictive accuracy. In practice, the





Girija and Divya

RF-SVM hybrid model is skilled in distinct stages. Initially, the Random Forest model is educated on the dataset to determine the importance rankings for every function. Features with the very best significance scores are then selected for the second one stage, in which the SVM model is trained the use of this refined characteristic set. This staged approach no longer simplest streamlines the computational process but also enhances the interpretability of the version by means of focusing on the most impactful features. During the training segment, the model parameters for both Random Forest and SVM are tuned the use of move-validation strategies to avoid overfitting and make certain top of the line overall performance on the validation statistics. The utility of RF-SVM in street traffic coincidence prediction can notably beautify the accuracy and performance of predictive methods. As a result, it presents a powerful tool for predicting road traffic accidents, allowing authorities to enforce proactive measures for coincidence prevention and improve ordinary avenue safety. The insights gained from the function choice process can also manual policymakers in focusing their efforts at the maximum important elements contributing to street site visitors' injuries, thereby making statistics-pushed selections for public protection enhancements.

RESULTS AND DISCUSSION

The performance assessment of the suggested model, which is implemented in Python, is included in the results section.

Accident Severity

The Fig 2 offers a contrast of traffic density against accident severity, illustrating the count number of injuries labeled by means of their threat degree High Risk, Low Risk, and Moderate Risk across specific traffic densities (High, Low, and Moderate). In areas with excessive site visitors' density, there are 500 excessive-danger injuries and 2500 low-risk injuries, with no moderate-chance injuries recorded. For low site visitors' density, all 3500 injuries fall below the low-chance category, displaying no presence of excessive-threat or slight-hazard accidents. In mild visitors' density eventualities, 500 injuries are labeled as mild risk, at the same time as 3000 are considered low danger.

Accident Severity due to Road Structure

Fig 3 illustrates the connection among accident severity and road structure. It indicates that injuries going on curved roads or poorly maintained surfaces tend to bring about extra extreme results compared to the ones on nicely-maintained directly roads. The facts depicted inside the parent suggests that road structure plays a crucial function in coincidence severity, with complex or deteriorated avenue situations usually leading to higher damage stages and greater extreme crashes.

Performance Metrics

The Conv-LSTM model achieves high scores with 98.12% accuracy, 94.77% precision, 96.98% recall, and 92.83% F1-Score, indicating strong performance across all metrics. The CNN-LSTM model follows closely with an accuracy of 97.97%, but shows a notable drop in precision (89.55%) and recall (92.45%), resulting in a slightly lower F1-Score of 91.67%. The CNN-GRU model, while maintaining a respectable accuracy of 96.89%, demonstrates high precision (94.56%) and F1-Score (94.32%), but a slightly lower recall (92.77%). The proposed RF-SVM model outperforms all other models with an impressive accuracy of 99.13%, precision of 96.32%, recall of 97.13%, and F1-Score of 95.47%, highlighting its superior ability to accurately predict road traffic accidents and effectively balance precision and recall. The performance metrics comparison is given in Table I.

DISCUSSION

Achieving an accuracy of 99.13%, the RF-SVM version outperforms other hybrid models inclusive of Conv-LSTM, CNN-LSTM, and CNN-GRU. The high precision (96.32%), remember (97.13%), and F1-Score (97.47%) metrics further highlight the version's robustness and its potential to provide reliable predictions. The ability of the RF-SVM version





Girija and Divya

to handle each linear and non-linear relationships within the data guarantees that it could accurately distinguish among coincidence-susceptible and non-twist of fate-susceptible times, imparting a complete and unique predictive tool. Furthermore, the feature selection process using Random Forest now not simplest improves the version's efficiency however additionally offers precious insights into the factors contributing to road visitors accidents. This manner diagnosed key features which might be most predictive of twist of fate occurrences, that may inform policymakers and visitors control authorities approximately important areas that need attention. For example, elements which includes avenue shape, traffic density, and environmental conditions had been highlighted as vast predictors. The superior overall performance of the RF-SVM version in comparison to different hybrid strategies underscores its capability for actual-global software in visitors management systems.

CONCLUSION AND FUTURE WORKS

Achieving an outstanding accuracy of 99.13%, alongside sturdy precision, bear in mind, and F1-Score metrics, the RF-SVM model surpasses different hybrid approaches together with Conv-LSTM, CNN-LSTM, and CNN-GRU. Future works may want to recognition on expanding the dataset to encompass extra latest data and a broader range of features, which include climate situations, actual-time visitors updates, and driving force behavior metrics, to similarly decorate the version's predictive electricity. Integrating advanced ML of techniques like DL and reinforcement learning can also be explored to capture extra complicated patterns and interactions inside the information. Additionally, actual-time implementation of the model in visitors control structures and its integration with smart city infrastructure should offer dynamic, real-time predictions and alerts, thereby considerably improving avenue protection.

REFERENCES

- 1. M. Chong, A. Abraham, and M. Paprzycki, "Traffic Accident Data Mining Using Machine Learning Paradigms".
- 2. N. Fiorentini and M. Losa, "Handling Imbalanced Data in Road Crash Severity Prediction by Machine Learning Algorithms," Infrastructures, vol. 5, no. 7, Art. no. 7, Jul. 2020, doi: 10.3390/infrastructures5070061.
- 3. V. Najafi Moghaddam Gilani, S. M. Hosseinian, M. Ghasedi, and M. Nikookar, "Data-Driven Urban Traffic Accident Analysis and Prediction Using Logit and Machine Learning-Based Pattern Recognition Models," Math. Probl. Eng., vol. 2021, pp. 1–11, May 2021, doi: 10.1155/2021/9974219.
- 4. N. Formosa, M. Quddus, S. Ison, M. Abdel-Aty, and J. Yuan, "Predicting real-time traffic conflicts using deep learning," Accid. Anal. Prev., vol. 136, p. 105429, Mar. 2020, doi: 10.1016/j.aap.2019.105429.
- 5. A. J. Ghandour, H. Hammoud, and S. Al-Hajj, "Analyzing Factors Associated with Fatal Road Crashes: A Machine Learning Approach," Int. J. Environ. Res. Public. Health, vol. 17, no. 11, Art. no. 11, Jan. 2020, doi: 10.3390/ijerph17114111.
- 6. M. Effati, J.-C. Thill, and S. Shabani, "Geospatial and machine learning techniques for wicked social science problems: analysis of crash severity on a regional highway corridor," J. Geogr. Syst., vol. 17, no. 2, pp. 107–135, Apr. 2015, doi: 10.1007/s10109-015-0210-x.
- 7. Z. Jin and B. Noh, "From Prediction to Prevention: Leveraging Deep Learning in Traffic Accident Prediction Systems," Electronics, vol. 12, no. 20, Art. no. 20, Jan. 2023, doi: 10.3390/electronics12204335.
- 8. M.-M. Chen and M.-C. Chen, "Modeling Road Accident Severity with Comparisons of Logistic Regression, Decision Tree and Random Forest," Information, vol. 11, no. 5, Art. no. 5, May 2020, doi: 10.3390/info11050270.
- 9. X. Yin, G. Wu, J. Wei, Y. Shen, H. Qi, and B. Yin, "Deep Learning on Traffic Prediction: Methods, Analysis and Future Directions," IEEE Trans. Intell. Transp. Syst., vol. 23, no. 6, pp. 4927–4943, Jun. 2022, doi: 10.1109/TITS.2021.3054840.





Girija and Divya

- 10. S. Sarkar, A. Pramanik, J. Maiti, and G. Reniers, "Predicting and analyzing injury severity: A machine learning-based approach using class-imbalanced proactive and reactive data," Saf. Sci., vol. 125, p. 104616, May 2020, doi: 10.1016/j.ssci.2020.104616.
- 11. M. Guo, Z. Yuan, B. Janson, Y. Peng, Y. Yang, and W. Wang, "Older Pedestrian Traffic Crashes Severity Analysis Based on an Emerging Machine Learning XGBoost," Sustainability, vol. 13, no. 2, Art. no. 2, Jan. 2021, doi: 10.3390/su13020926.
- 12. L. Yu, B. Du, X. Hu, L. Sun, L. Han, and W. Lv, "Deep spatio-temporal graph convolutional network for traffic accident prediction," Neurocomputing, vol. 423, pp. 135–147, Jan. 2021, doi: 10.1016/j.neucom.2020.09.043.
- 13. X. Wang, J. Liu, T. Qiu, C. Mu, C. Chen, and P. Zhou, "A Real-Time Collision Prediction Mechanism With Deep Learning for Intelligent Transportation System," IEEE Trans. Veh. Technol., vol. 69, no. 9, pp. 9497–9508, Sep. 2020, doi: 10.1109/TVT.2020.3003933.
- 14. T. Huang, S. Wang, and A. Sharma, "Highway crash detection and risk estimation using deep learning," Accid. Anal. Prev., vol. 135, p. 105392, Feb. 2020, doi: 10.1016/j.aap.2019.105392.
- 15. L. Wahab and H. Jiang, "Severity prediction of motorcycle crashes with machine learning methods," Int. J. Crashworthiness, vol. 25, no. 5, pp. 485–492, Sep. 2020, doi: 10.1080/13588265.2019.1616885.
- 16. "Road Traffic Accidents." Accessed: Jul. 30, 2024. [Online]. Available: https://www.kaggle.com/datasets/saurabhshahane/road-traffic-accidents
- 17. T. H. Putri et al., "Fine-Tuning of Predictive Models CNN-LSTM and CONV-LSTM for Nowcasting PM 2.5 Level," IEEE Access, vol. 12, pp. 28988–29003, 2024, doi: 10.1109/ACCESS.2024.3368034.
- 18. P. Dey, S. K. Chaulya, and S. Kumar, "Hybrid CNN-LSTM and IoT-based coal mine hazards monitoring and prediction system," Process Saf. Environ. Prot., vol. 152, pp. 249–263, Aug. 2021, doi: 10.1016/j.psep.2021.06.005.
- 19. Z. Guo, C. Yang, D. Wang, and H. Liu, "A novel deep learning model integrating CNN and GRU to predict particulate matter concentrations," Process Saf. Environ. Prot., vol. 173, pp. 604–613, May 2023, doi: 10.1016/j.psep.2023.03.052.
- 20. [Saranya, J., & Divya, V. (2024). A Feature Extraction of Photovoltaic Solar Panel monitoring system based on Internet of Things (IoT). EAI Endorsed Transactions on Internet of Things, 10. https://doi.org/10.4108/eetiot.5292
- 21. J. Saranya and V. Divya, "An Implementation of Photovoltaic Solar Panel Monitoring System Based on Internet of Things," 2024 Third International Conference on Distributed Computing and Electrical Circuits and Electronics (ICDCECE), Ballari, India, 2024, pp. 1-5, doi: 10.1109/ICDCECE60827.2024.10548885.
- 22. M, Girija., & V, Divya. (2024). Deep Learning-Based Traffic Accident Prediction: An Investigative Study for Enhanced Road Safety. EAI Endorsed Transactions on Internet of Things, 10. https://doi.org/10.4108/eetiot.5166
- 23. Girija. M and Divya. V, "Road Traffic Accident Prediction using Deep Learning," 2024 International Conference on Cognitive Robotics and Intelligent Systems (ICC ROBINS), Coimbatore, India, 2024, pp. 148-159, doi: 10.1109/ICC-ROBINS60238.2024.10533897.
- 24. R. Pratheesh and V. Divya, "Feature Extraction to Evaluate the Quality of Data Using Machine Learning Technique," 2024 Third International Conference on Distributed Computing and Electrical Circuits and Electronics (ICDCECE), Ballari, India, 2024, pp. 01-05, doi: 10.1109/ICDCECE60827.2024.10549606.
- 25. V. Divya, S. Arunarani, U. Hemamalini and A. Bharathi, "Blockchain Based Digital Twins for Authorization and Remote Resource Sharing," 2023 10th International Conference on Computing for Sustainable Global Development (INDIACom), New Delhi, India, 2023, pp. 382-385.
- 26. D. Baswaraj, R. Natchadalingam, R. S. Rekha, N. Sahni, V. Divya and P. C. S. Reddy, "An Accurate Stock Prediction Using Ensemble Deep Learning Model," 2023 International Conference on Research Methodologies in Knowledge Management, Artificial Intelligence and Telecommunication Engineering (RMKMATE), Chennai, India, 2023, pp. 1-7, doi: 10.1109/RMKMATE59243.2023.10369255.
- 27. J. Srikanth, N. Nitheesha, S. Khetree, P. J. Josephson, D. Akila and V. Divya, "A Constructive Role for Social Science in the Development of Automated Vehicles Based on LFM-BiGRU Approach," 2024 3rd International



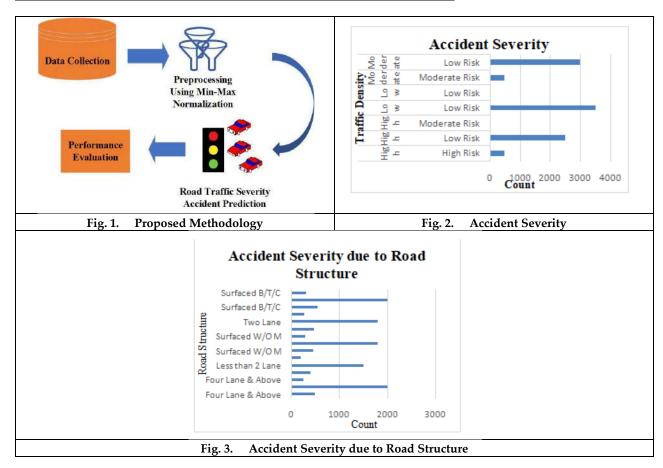


Girija and Divya

Conference for Innovation in Technology (INOCON), Bangalore, India, 2024, pp. 1-6, doi 10.1109/INOCON60754.2024.10511510.

Table 1: Performance Metrics Comparison

Methods	Performance Metrics						
Methods	Accuracy (%)	Precision (%)	Recall (%)	F1-Score (%)			
Conv-LSTM [17]	98.12	94.77	96.98	92.83			
CNN -LSTM [18]	97.97	89.55	92.45	91.67			
CNN-GRU [19]	96.89	94.56	92.77	94.32			
Proposed RF-SVM	99.13	96.32	97.13	95.47			







Vol.15 | Issue 86 | Oct | 2024 International Bimonthly (Print) – Open Access

ISSN: 0976 – 0997

RESEARCH ARTICLE

Automated Rail Track Inspection Trolley with IoT

Swati Sucharita Barik^{1*} and Smruti Sephalika Barik²

¹Assistant Professor, Department of Computer Science Engineering (AI & AIML), GH Raisoni College of Engineering and Management, (Affiliated to Savitribai Phule Pune University) Pune, Maharashtra, India. ²Assistant Professor, Department of Computer Engineering, JSPM's Bhivarabai Sawant Institute of Technology and Research, (Affiliated to Savitribai Phule Pune University) Pune, Maharashtra, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Swati Sucharita Barik

Assistant Professor, Department of Computer Science Engineering (AI & AIML), G H Raisoni College of Engineering and Management, (Affiliated to Savitribai Phule Pune University) Pune, Maharashtra, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Railway Transportation in India is considered to be the best choice of transportation for passengers as well as goods. Every year, more than 1.2 billion tonnes of freight are being moved by Railway. Railway transportation needs regular examinations and quick servicing for the sake of national security. Conventional manual screenings are time consuming and costly. Individual skill and effectiveness are the need of the time of survey to find out errors. As a result, Internet of Things (IoT) arises as a solution, which uses technology as well as automation. IoT and automation help the machinery, which are installed on railway tracks and areas which find difficult to reach places and can be handled through the control rooms. As a result, the outcome is suggested to be an automated robot. The robot helps in visually inspecting the outreach areas. The technology has advancement of enabling the process to get images onsite and perform analysis. The facility of cloud services can be an advantage for the images and photographs taken of the broken railway tracks. The method suggested can relate the Machine Learning technique to pictures received from the rails. It easily identifies them as regular or normal or threat/dangerous. Such places are identified. Some specialized operator with a small number of sites perform some more tests and examinations.

Keywords: Railway track, Sensor, fault detection, IoT, Track crack





Swati Sucharita Barik and Smruti Sephalika Barik

INTRODUCTION

Railway Transport is the mode of transport, refers to moving passengers or goods with the help of train on a rail or a railroad. Rail transport plays a vital role in our day-to-day life. These are locomotives with high cost. In the last ten years, there has been remarkable growth in the infrastructure of the railway system, especially in developing countries. One challenge that arises is the ability to sufficiently power monitoring equipment in remote location. It's an in-service vehicle with sensors[1]. The tracks are detected and relayed using a global positioning system. RAIL transport is the most efficient, cost-effective and convenient means of transport. It has lower fuel costs. It is capable of transporting large loads, environmentally friendly and, most importantly, is also very reliable, as it is not hindered by weather in the same way as road and air transport do. Rail transport has therefore become the backbone of every emerging economy. Continuous and smooth operation of rail transport depend on effective management of the rail infrastructure as well as railway condition monitoring. It detects the deterioration and deformation of rail tracks[2]. The factors responsible for it are the load of rail vehicle on rail tracks, terrain where rail track is deployed, materials used in rail track construction and environmental conditions. The prime objective of monitoring the railway condition is to detect the track deterioration before it causes failure and prevents rail operations. Most important rail transport infrastructure is the purpose of railway condition monitoring [4]. The biggest Rail Networks has been with India in the World. The manual method and crack detection on lines is a time consuming and labour intensive procedure. The safe operation of railway transportation is always threatened by postponed inspections and problem findings. Railway in India is the most widely used transport system. Government of India had taken over the entire railway system in the country in 1950[5]. It is one of the largest transportation and logistics network of the world. Approximate 12,000 trains are to carry over 23 million passengers per day, which connect about 8,000 stations spread across the subcontinent. Railways is key responsible in growing and spreading the size of markets. The technology used in railways need to be upgraded[6]. The incidence of railway accidents in our country is greater as compared to other countries. According to the Railways, out of 100 accidents, at least seven takes place due to fractured tracks. For example on March 30, 2017 some Crack found in tracks led to derailment. A crack in the tracks prima facie caused the derailment of eight coaches of Mahakaushal Express near Mahoba station in Uttar Pradesh. Eight bogies of the Jabalpur-Nizamuddin Mahakaushal Express had derailed, as a result of which 52 passengers got injured. 400 metres of track got damaged and disrupted rail traffic on the route with services of 14 trains disrupted [7].

Literature Background and Proposed Method

The faults found in rail tracks give rise to the evolution of Crack Detection System in rail track. A system is designed to detect the flaws in the rail track with help of ultrasound testing method. After the crack is detected, the respective coordinates are found and they are sent to the nearest station. GPS and GSM Modules are responsible of performing recording and sending of coordinates to respective station. The most effective technique for detecting cracks is done by Ultrasonic technique[6][8]. It helps in detecting minor cracks and calculates the growth rate. When ultrasound wave signal propagates from one medium to another distinct medium, a certain proportion of the signal energy propagates over to the other medium. The remaining energy reflect back. After the reflected signals, time difference of arrival (TDOA) is measured. By using this time delay, the thickness and the flaws in the material are calculated[9]. The various rail flaws along with the inspection and maintenance methods are explained well. As a result of which algorithm is proposed, and algorithm makes use of sensors. These sensors help in detecting cracks and breakages in the railway tracks. Along with this it also proposes the technique to update train engine on regular basis and acknowledge the track's status and exact location of the track breakage. Predictive maintenance, issue detection, and eventually reducing the probability of train accidents are the major reasons for checking railway lines[10]. Train tracks must be inspected often. The manual examination of millions of track yards is labour intensive, time exhausting and prone for mistake. For which it is always preferred for Automatic track fault or crack identification along with monitoring[4][7]. A number of automatic solutions are generated for performing the task and increase its efficiency. In order to check rail lines, NDE methods are applied. Material group need the help of Computer vision, guided, and electromagnetic categories. For this, technologies like IoT and acoustic based methods are utilised. Intelligent Track Cleaning Robot can also be employed[2]. It is used to develop an efficient and economic way of





Swati Sucharita Barik and Smruti Sephalika Barik

designing product for cleaning the tracks. The proposed idea of automatic railway track cleaning system comprises an automatic vehicle that goes on land and track. It consists of a four wheel running robot with a suction unit, cleaning unit, automatic displacement unit, an intelligent control system, an intelligent train sensing unit, and power unit. The entire device is controlled by an onboard PIC microcontroller and the sensor networks on railway track. Guided wave system is another method to employ[11]. Here ultrasonic NDT was carried out with the DIO 572 equipment, which included measurement data processing. The device duplicated the shape of the rails during an ultrasonic test. The personal computer and the specialized program DIO 2000 were used to assess the measurement. A quantitative detection technique for accomplishing a visual assessment of crack is proposed[12]. The signal was also divided into various intrinsic mode functions (IMF) using VMD. The correlation variables along with SNR metrics were used to choose the most effective IMF element. Ultimately, employing ultrasonic propagation images and properties of signals are employed for generating wave momentum[13]. IoT based system: For employing an IoT based system, A self driving robot is employed which is powered by microcontroller and sensors. GPS module tracks help in the precise detective position and sends SMS notification[14]. The proposed system test the location of each clip on every single joint bar and alert the trains if any bolt went loose. A robotic technique prototype presented its ability to identify rail side flaws. The model used ultrasonic input from sensors combining image processing and deep learning techniques[14][15], to identify faults. Each robot was powered locally by the Raspberry Pi 3, a microcontroller, which sent real-time information to an ethernet server. To detect abnormalities, 4 ultrasonic sensors were put overhead and on both ends of an elevated train surface. An investigation on an automated defect tracking module integrated into an automated robot that uses several sensors[16]. The layer containing an infrared sensor, a restriction shift, and ultrasonic sensors that were all driven by an LPC 1768 ARM microprocessor. If a defect was identified, the GSM module sent the position and kind of fault to the inspection room[17].

An ultrasonic metal detection sensor was employed in the investigation to more precisely locate fractures. Encoding systems and radio frequency broadcasters were used for crack detection, with an ongoing supply of electricity between the encoding devices indicating fault-free tracks [18]. RF signals would be produced by the transmitter for so long as the electrical supply was steady [19]. The flow of current might be disrupted if there was a break in the track. This stops RF signal production, which prevents the locomotive's receiver from receiving a signal, causing train to stop[2][20]. A TRV(Track Recording Vehicle) is used for rail track problem diagnostics. According to site-specific testing, the system is more efficient than conventional method. The authors put forth a novel system of automation built on robot the localization over an interval of 3-6 inch[21]. To identify possible flaws, the system used machine learning and adapted it to the photographs it got from the tracks. A cost effective, less power required, wireless, and real-time IoT based sensing system has been developed in addition to a customized TRV replacing the manual production of features with an automated process for monitoring the rail condition and find out the damage[7][21]. It depends on the unique design of the TRV in comparison to the traditional trolley based TRV, which was to detect minor drop in vibrations which plays a vital role in the early detection of track damage.

The TRV is designed with an objective to make it portable and easily operable. The IoT based sensing system on TRV uses the Axle Based Acceleration (ABA) technique and is equipped with an inertial measurement unit (IMU) for the precise extraction of instantaneous irregular amplitudes of the acceleration signals in all three axes, to identifies the faults of the track and determines its severity[22]. The accelerometer data of the track dynamics are measured and transmitted using Node MCU to an online cloud network service in realtime through which the irregularity of the track is detected. Proposed design of the TRV can determine the damage to the tracks with impactful measurement accuracy. A new method for monitoring the irregularities in railway tracks by updating the status of the tracks in the cloud can also be explored. The IoT based Railway Track Monitoring System (IoT-RMS) is a proposed method to monitor the health of the railway track. The system identifies any kind of abnormality in the tracks at an early stage. These abnormalities are rectified before they develop for smoother transportation[14][23]. The microelectro mechanical system (MEMS) accelerometers are placed in the axle box for measuring the signal. It becomes hard to find the exact location of abnormalities when the global positioning system (GPS) falters due to signalling issues[15]. So a new hybrid method is proposed for locating irregularities on a track. It also can be occurred in absence of a GPS signal. Preprocessing of the GPS signal is carried out effectively, as the sensors used in IoT-RMS are good in





Swati Sucharita Barik and Smruti Sephalika Barik

functioning in a high noise environment[23]. The IoT-RMS updates the location of the abnormality in the cloud and shares it with other trains that will be passing through that location. As a result, the drivers of trains respond accordingly and avoid derailment. This seems to be a best approach of detection with the proposed system. An experimental setup has been developed for a study of the performances for four different abnormal cases, and the result shows the effectiveness of the proposed system.

Applications of proposed system: Followings are the applications for which a railway track crack detecting system.

- 1. Automatic Crack Testing- The process of finding a structure crack with any processing method is known as rail crack detection. Two techniques can be employed to identify cracks. The recommended approach sequentially makes use of radiometric, geometric, and contextual data[2][3]. The battery provides electricity to the vehicle. The rail track crack can be traced using the optical sensor.
- 2. Wireless Access- Wireless Application Protocol (WAP) is a networking standard utilized for transmitting data across numerous mobile networks. WAP enables quicker connectivity between dynamic wireless items with the Internet while also improving cellular standards compatibility[5]. WAP is a technology norm for obtaining data via a portable connection. A WAP browser is a web browser that utilizes the protocols for mobile devices like mobile phones. Despite being a novel technology, WAP makes use of Internet-related ideas.
- 3. Applications for detecting damage to railway track- In order to monitor and identify track damage, a neural network-based technique is utilized. Train crashes happen frequently by track damages[19]. The results of the experiments demonstrate the excellent precision and suitability of this neural network based measuring system for online track damage detection and monitoring applications.
- 4. The following techniques are used to find railway flaws: The method with the most use is ultrasound. Eddy current examinations are excellent for detecting surface and close-to-surface defects[25]. For thorough hand checks, employ magnetic particle inspection. The gap on the rail line is identified by an ultrasonic sensor by avoiding getting the sound of railway such that if the sound is heard, no fracture is found on the track.

CONCLUSION AND FUTURE SCOPE

The present approaches are based on the research, require time as well as cost. The proposed approach addresses these problems by significantly improving the method of rail track crack identification. It involves the most economical option available for improving the efficiency of the nation's largest transportation means including lowering accident rates. It may be quite possible to avoid a waste of resources and precious lives of people with the techniques employed. In addition to it, it eliminates expenditure and time on identifying cracks. It is highly essential being responsible citizen of our nation to take care of our largest Transportation System and adopt newest technologies.

REFERENCES

- 1. Y. Zhao et al., "A review on rail defect detectionsystems based on wireless sensors", Sensors, vol.22,no.17,2022.
- 2. K. Lakshminarayanan, N. Muthukumaran, Y. Harold Robinson, Vimal Shanmuganathan, Seifedine Kadry and Yunyoung Nam, "Deep Learning-Based Hookworm Detection in Wireless Capsule Endoscopic Image Using AdaBoost Classifier", *Computers Materials & Continua*, vol. 67, no. 3, pp. 3045-3055, 2021.
- 3. R. Kabilan and N. Muthukumaran, "A Neuromorphic Model for Image Recognition using SNN", 2021 6th International Conference on Inventive Computation Technologies (ICICT), pp. 720-725, 2021.
- 4. G. P. Devaraj, R. Kabilan, J. Z. Gabriel, U. Muthuraman, N. Muthukumaran and R. Swetha, "Design and Analysis of Modified Pre-Charge Sensing Circuit for STT-MRAM", 2021 Third International Conference on Intelligent Communication Technologies and Virtual Mobile Networks (ICICV), pp. 507-511, March 2021.





Swati Sucharita Barik and Smruti Sephalika Barik

- 5. Gautham A Nagendran, Harshmeet Singh, R Joshua Samuel Raj and N. Muthukumaran, "Input Assistive Keyboards for People with Disabilities: A Survey", 2021 Third International Conference on Intelligent Communication Technologies and Virtual Mobile Networks (ICICV), pp. 829-832, March 2021.
- 6. J. Prince Antony Joel, R. Joshua Samuel Raj and N. Muthukumaran, "Cognitive and Cybernetics based Human Adaptive Mechatronics System in Gait Rehabilitation Therapy", 2021 Third International Conference on Intelligent Communication Technologies and Virtual Mobile Networks (ICICV), pp. 516-521, March 2021.
- 7. Srikrishna Iyer, T. Velmurugan, A. H. Gandomi, V. Noor Mohammed, K. Saravanan and S. Nandakumar, "Structural health monitoring of railway tracks using IoT-based multi-robot system", *Neural Computing and Applications*, no. 11, 2021.
- 8. Joshua Samuel Raj R, M. A. Sreema, Rama Perumal T Sudarson and N Muthukumaran, "A Low Complex and Scalable Reconfigurable Simulation for Orthogonal Approximation using DCT", 2021 2nd International Conference on Smart Electronics and Communication (ICOSEC), pp. 541-545, 2021
- 9. C. Chellaswamy et al., An IoT Based Rail Track Condition Monitoring and Derailment Prevention System'., pp. 81-107, Jan. 2020.
- 10. S. Gayathri, D. C. J. W. Wise, P. B. Shamini and N. Muthukumaran, "Image Analysis and Detection of Tea Leaf Disease using Deep Learning", 2020 International Conference on Electronics and Sustainable Communication Systems (ICESC), pp. 398-403, 2020.
- 11. "ThingSpeak- IoT Analytics," https://thingspeak.com/, 2020, accessed:21-8-2020.
- 12. R. Joshua Samuel Raj, T. Sudarson Rama Perumal and N. Muthukumaran, "Road Accident Data Analytics Using Map Reduce Concept", *International Journal of Innovative Technology and Exploring Engineering*, vol. 8, no. 11, pp. 1032-1037, September 2019.
- 13. Ankush V. Chaudhari, Kalpesh S. Banait, Lavanya R. Koli, R. V. Patl and Devendra P. Marathe, "A Review On IOT Based Railway Track Crack Detection with Live Video Streaming", *International Journal of Innovations in Engineering and Science*, vol. 4, no. 10, 2019.
- 14. M. Kumar, M. S. Murali, M. Saranya, S. Arun and R. Jayakrishnan, "A survey on crack detection technique in railway track", 2018Conference on Emerging Devices and Smart Systems (ICEDSS). IEEE, pp. 269-272, 2018.
- 15. C. Ngamkhanong, S. Kaewunruen, and B. J. A. Costa, "State-of-the-art review of railway track resilience monitoring," Infrastructures, vol. 3,no. 1, p. 3, 2018.
- 16. E. J. OBrien and et al., "Determination of railway track longitudinal profile using measured inertial response of an in-service railway vehicle," Structural Health Monitoring, vol. 17, no. 6, pp. 1425–1440, 2018.
- 17. Bevilacqua, M., Ciarapica, F. E., Diamantini, C., & Potena, D. ((2017)). Big Data Analytics Methodologies Applied at Energy Management in Industrial Sector: A Case Study. International Journal of RF Technologies, 8: (3), 105–122.
- 18. Amy, J. C. T., Charles, V. T., Chin-Yuan, F., Abby P. T. H., Xuan-Kai, L., & Ian J. Y. L. ((2017)). IOT Patent roadmap for smart logistic service provision in the context of industry 4.0. Journal of the Chinese Institute of Engineers, 40: (7), 593–602.
- 19. S. Mittal and D. Rao, "Vision based railway track monitoring using deep learning," arXiv preprint arXiv:1711.06423, 2017
- 20. X. Gibert and et al., "Deep multitask learning for railway track inspection," IEEE transactions on intelligent transportation systems, vol. 18, no. 1, pp. 153–164, 2016
- 21. A. A. Shah and et al., "Real time face detection/monitor using raspberry pi and matlab," in 2016 IEEE 10th International Conference on Application of Information and Communication Technologies (AICT). IEEE, 2016, pp. 1–4.
- 22. P. Quirke and et al., "Drive-by detection of railway track stiffness variation using in-service vehicles," Proceedings of the Institution of Mechanical Engineers, vol. 231, no. 4, pp. 498–514, 2017.
- 23. M. Molodova and et al., "Health condition monitoring of insulated joints based on axle box acceleration measurements," Engineering Structures, vol. 123, pp. 225–235, 2016.
- 24. A. Malekjafarian and et al., "Railway track monitoring using train measurements: An experimental case study," Applied Sciences, vol. 9,no. 22, p. 4859, 2019.





Swati Sucharita Barik and Smruti Sephalika Barik

- U.Mishra, V.Gupta, S.M. Ahzamand S.M. Tripathi, "Googlemap based railway track fault detection over the internet", International Journal of Applied Engineering Research, vol. 14, pp. 20-23, 2019.
- 26. https://karnatakatravel.blogspot.com/2022/04/hubballi-railway-museum-part-2.html





Fig.1-Crack image on Railway Track [4]

Fig.2-Push Trolley [4][26]

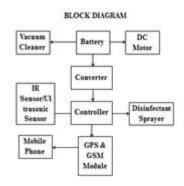


Fig.3-Block Diagram of IoT based System





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024 ISSN: 0976 – 0997

RESEARCH ARTICLE

Evaluation of Therapeutic Potential of Cedrus deodara Extract on Rotenone Induced Parkinson's Drosophila Model

Karthik R Karindi¹, Mohan shakthi M B¹, Monisha I N¹, Lingutla Nanda Kumar¹, Shreya M U¹ and Dithu Thekkekkara2*

¹B.Pharm, Department of Pharmacology, JSS College of Pharmacy, JSSAHER, Mysuru, Karnataka, India. ²Lecturer, Department of Pharmacology, JSS College of Pharmacy, JSSAHER, Mysuru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 27 Aug 2024

*Address for Correspondence Dithu Thekkekkara

Lecturer, Department of Pharmacology, JSS College of Pharmacy, JSSAHER, Mysuru, Karnataka, India.

Email: dithuthekkekkara@jssuni.edu.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Parkinson's disease (PD) is a widely recognized neurological disorder characterized by involuntary movements, including tremors, stiffness and difficulties in coordination and balance. It's symptoms typically develop gradually and worsen over time, impacting walking and speech. Drosophila melanogaster has served as a model for various neurodegenerative conditions, including PD. In this study, we explored the impact of rotenone exposure on Drosophila, revealing noticeable neurodegenerative and behavioural effects within 14 days. Flies was subjected to rotenone induction by exposure to 0.197µg/ml of rotenone through the media for 7 days and induced PD. Treating with high dose of Cedrusdeodara extract helps in improving olfactory function, locomotor disabilities and sexual behaviour compared to diseased group Treated flies exhibited distinct locomotor impairments, which was alleviated by incorporating Cedrusdeodara into their diet. This suggests that pesticide exposure in Drosophila mirrors crucial aspects of PD, establishing a novel in vivo model for investigating the mechanisms behind dopaminergic neurodegeneration.

Keywords: Parkinson's disease, Neurodegenerative disease, Drosophila melanogaster, Cedrusdeodara, Rotenone.

INTRODUCTION

Parkinson's disease (PD) is a multifaceted neurological disorder characterized by a progressive deterioration of nerve cells in the basal ganglia region of the brain, which leads to a deficiency in the production of dopamine, a critical





Karthik R Karindi et al.,

neurotransmitter. This depletion of dopamine results in a range of symptoms, including tremors, muscle stiffness, slow movement, and difficulties with balance and coordination. Additionally, individuals with PD may experience non-motor symptoms such as depression, sleep disturbances, and cognitive impairment. The exact cause of Parkinson's remains unknown, although research suggests a combination of genetic predisposition and environmental factors may play a role in its development. While age is a significant risk factor, with most cases emerging after the age of 60, individuals who develop the disease before the age of 50, often due to hereditary factors or specific genetic alterations[1]. The pathophysiology of PD involves the formation of abnormal protein clusters called Lewy bodies, primarily composed of alpha-synuclein, within brain cells. These clusters are associated with the degeneration of nerve cells responsible for dopamine production. Additionally, there is evidence of a gradual decline in dopamine levels associated with aging, which is accelerated in individuals with PD. Diagnosis of PD relies primarily on clinical evaluation by a neurologist, as there are currently no laboratory tests available for non-genetic cases. Treatment approaches aim to alleviate symptoms and may include medications to increase dopamine levels in the brain, surgical interventions such as deep brain stimulation, and various therapies including physical, occupational, and speech therapy. Animal models, such as the rotenone, MPTP, and 6-OHDA models, have been instrumental in studying the mechanisms of PD and testing potential therapeutic interventions[2-4]. These models replicate aspects of the disease by selectively targeting dopaminergic neurons or inducing neurotoxicity similar to that observed in PD[5]. Additionally, the Drosophila melanogaster model offers a valuable tool for understanding the genetic and molecular mechanisms underlying PD and exploring potential treatments[4,6]. The Drosophila melanogaster, or fruit fly, serves as a valuable alternative animal model for studying Parkinson's Disease (PD). With around 75% of human disease genes mirrored in Drosophila, this model has proven effective in unraveling the mechanisms underlying neurodegenerative diseases like PD. By expressing alpha-synuclein, researchers have elucidated connections between PD-related abnormalities such as dopaminergic cell degeneration, inclusion body formation, and impaired locomotion[6-8]. Notably, mutants with SPG7 in Drosophila exhibit progressive locomotion defects and heightened sensitivity to various stressors. The fruit fly's life cycle consists of four stages: embryo, larva, pupa, and adult, with a complete generation time of approximately 10 days and a maximum lifespan of 60 to 80 days depending on environmental conditions[8-10]. The present study aims to evaluate the therapeutic potential of Cedrusdeodara extract on rotenone induced Parkinson's disease Drosophila melanogaster model by assessing its effect on various behavioural parameters.

MATERIALS AND METHODS

Materials

Rotenone was procured from Sigma Aldrich.

Collection and Extraction of Cedrusdeodara

Cedrusdeodara plant bark collected from Rajaji national park, Uttarakhand and it is authentifed by the botanist from JSS college of arts, commerce & Science, Prof. Biligiri Ranga, HOD Department of Botany. The bark of Cedrusdeodara were cleaned to remove the adhered dust particles and then were sundried for 5 days. The dried aerial parts of Cedrusdeodara were crushed and weighed in grams. The dried powder was soaked overnight in hexane to remove color. Then filter and filtrate was collected and again dried for 2 days. The powdered sample was macerated or soaked for 7 days with a mixture of ethanol and water 7:3. The combined extract was purified and condensed at 65 degree Celsius in a rotating exhaust system[11].

Animals

Drosophila melanogaster: Maintenance and culturing of Drosophila melanogaster: OK Flies

Starting the Cycle

Seeding Embryos: The cycle initiates with 1.5grams of all the materials from preceding cycle comprising a mix of embryos and/or some early-stage larvae. In a plastic container this materials was placed which contains an active





Karthik R Karindi et al.,

yeast mixture until the pupal phase was reached. Following the introduction of embryos in the container and larvae in this biological mixture, the container was covered with its lid to prevent the larvae from escaping.

Set up the plastic container.

Collect the plastic container and sterilize it; afterwards, cover the container with cotton plugs following the introduction of the flies. Proceed to prepare the fly food by stirring deionized water in a beaker, gradually adding propionic acid, phosphoric acid, dry yeast, and sucrose, initiating the fermentation process. Once the sucrose is dissolved, promptly pours the food evenly over cotton. Ensure thorough coverage and subsequently plastic container was closed the with the lid to prevent potential contamination by flies escaping within the laboratory. Re-suspend 1.5 grams of the harvested embryos previously, from the prior cycle in 5 ml of 70 percent of ethanol. Halve two filter papers, evenly distribute the biological mixture over the four pieces using a spatula or a wide-tipped transfer pipet cut if necessary. Place the filter papers atop the soaked cotton and securely closed the lid and finally, incubated the plastic container at room temperature at 24°C with humidity of 35% until the pupal stage.

Embryos to Flies: Continuing the Cycle.

This stage of the cycle begins on the first day the embryos was put in the plastic container and ends nine days later when the pupae hatch into adult flies. Throughout these 08 days, the primary task was monitoring to ensure the embryos was progress seamlessly through the subsequent stages till the Drosophila life cycle eclosion. If, during this period, larvae show signs of mortality and discoloration, it is essential to check that the foam plugs are not excessively tight and that sufficient ventilation was maintained. This interval also presents an opportune time for cleaning the fly population cage from the preceding cycle. Around 24 hours after the adult female deposits the embryos into the fly food, observe the embryos transitioning into 1st instar larvae. These resulting larvae will feed on the fly food prepared in step 1 for 4 days, undergoing growth and molting twice to reach the 2nd and 3rd instar larvae stages. On the fourth day of the setup, witness the larvae entering the pupal stage, where they will remain for an additional 4 days. It's important to note that during this phase, the pupae will cover the entire cotton surface inside the plastic container. Before the first flies emerge during the pupal phase, open the plastic container, transfer the plastic film containing the cotton with all the pupae onto the lab soaker paper within the cleaned population cage, secure it with a double knot, and cleaned the plastic container and lid for the next cycle. Be sure to discard and destroy pupae attached to the lid via autoclaving or freezing before eclosion. Following the 4-day pupal stage, the initial flies will emerge from the pupae, with all flies expected to eclose within 24-48 hours. During this phase, it is crucial to provide them with food to create the optimal environment for reproduction.

End of the Cycle

Harvesting Embryos: Optimal fly fertility occurs between 3 to 5 days after eclosion, making this period ideal for harvesting to achieve the highest embryo yield. Once the desired collections are finished, the cycle concludes. Observed the embryos, appearing as small white dots, indicating that the eggs was ready to be collected. For regular upkeep of the fly cage, a recommended collection time is every 2 days, resulting in a harvest mainly comprising embryos and a few 1st instar larvae. Refer to representative results for typical yields of harvested embryos at various collection time points[12, 13].

Culturing Drosophila in labs

Bottles serve to sustain a sizable population, while culturing vials are employed for smaller populations and crossbreeding purposes. Typically, glass bottles are the preferred choice, although autoclaved plastic bottles can also be effective. Additionally, the small vials come in various sizes, ranging from 96 mm by 25 mm to larger dimensions. Plugs, which can be either cotton or foam, are used to cover the bottles, with a preference for cotton plugs.

Media

The preparation of media involves two main methods: cooking the media or opting for ready-made and dehydrated media. The latter was the preferred choice due to its convenience, eliminating the need for cooking and offering a quicker and easier process. However, rehydration is necessary when using ready-made media. To ensure a thorough





Karthik R Karindi et al.,

rehydration and culture process, follow the provided procedure. Begin by adding ½ to ½ volume of dry media to the bottle or vial, followed by the addition of water to completely saturate the media. Allow the vial to rest briefly, adding water until it appears fully hydrated, with a shiny surface and no gaps. Let the media warm to room temperature, ideally around 25 °C with 60% humidity for optimal fly growth. After rehydration, sprinkle several yeast grains on the media surface, and transfer the flies into the vial or bottle, sealing it. To maintain the culture, it is essential to transfer the flies to different clean vials or bottles[10].

Acute toxicity Study for Calculation of LD50 of Cedrus Deodoura extract

Three doses of CD extract, specifically 0.2mg, 0.4mg, and 0.8mg, were introduced into three separate vials containing culture media. The media was sustained for a duration of 3 days, during which 10 flies were added to each vial. The observation period spanned 3 days, monitoring for any signs of mortality. Based on the observed mortality, the LD50 (lethal dose for 50% of the population) was calculated. In a separate process, flies were subjected to rotenone induction by exposure to 0.197µg/ml of rotenone through the media for 7 days, aiming to induce PD[14, 15].

Grouping of Flies

After bottles with eggs was placed 23 °C to allow for developmental acclimation, the files was divided into five groups the control group consisted of 10 files receiving normal treatment. The disease group comprised 10 files treated with $0.197\mu g/ml$ of rotenone orally via culture media over a 7-day induction period. The standard group included 10 files treated with 0.5mg/ml of levodopa and 0.05mg/ml of carbidopa for 7 days, administered orally via culture media alongside a vehicle. Two additional groups, Dose 1 and Dose 2, each comprised 10 files treated with $400\mu g/ml$ and $160\mu g/ml$ of *cedrus deodara*, respectively, for 7 days via oral administration through culture media. Evaluation parameters included behavioural parameters such as climbing assay, T-maze test, and courtship assay was done.

Behavioural Studies Climbing Assay

Following a seven-day induction period, the climbing index of all flies was assessed. Climbing chambers were fashioned by vertically taping two clear plastic vials together, with a designated height of 10 cm marked around the tube's circumference. The flies were introduced into their climbing chambers, allowing a minute for acclimation before the assay. To initiate the assay, the bottom of the tube was tapped to prompt climbing, and a timer was initiated. After 10 seconds, the flies that successfully completed the climb were tallied. All flies were simultaneously tested, commencing with the control group. Control and experimental groups underwent sequential testing to permit rest and recovery between the ten conducted trials per group. The count of successful flies per trial was documented as a percentage of the total flies within each treatment group.

T maze assay

The experimental assays utilized a glass T-maze shaped with dimensions of (14×10 cm, 08 mm, junctions 02 mm) connected to a starting chamber of a standard Drosophila vial, 9×2.5 cm and two odour chambers positioned on each side of the T-maze, all arranged horizontally (refer to Figure 2). The odour chambers the standard Drosophila vials, housed 20µl of the test compounds applied to filter paper sections(1×2cm). The test compound, derived from consecutive dilutions of the pure odourant in the distilled water, ensured a consistent dose presentation regardless of water solubility. To achieve this, each solution was vortexed before being pipetted onto the filter paper. Despite the absence of controlled airflow from outside, efficient diffusion of odourants into the central chamber was confirmed, as demonstrated in similar experiments investigating olfactory learning and preferences in fruit flies. This efficacy is further supported by dose-response curves, indicating the flies ability to detect changes in dosage. This setup proves particularly suitable for high-throughput tests. In the initial phase, the experiment a group of 100 flies is situated in the starting chamber of a T-maze apparatus, all chambers oriented horizontally. The flies have the liberty to explore the apparatus and access the lateral arms (odour chambers) of the T-maze, where odourants diffuse through. Following a 4-hour period of food deprivation in a standard Drosophila vial, the flies are released into the apparatus and given a 60-minute window for exploration before concluding the experiment. To prevent a second choice, the





Karthik R Karindi et al.,

odour chambers are replaced with new vials containing the same odourant after half of the allocated time. Throughout the trial, each T-maze is enclosed within an isolated chamber (50×40×20cm) with symmetrical illumination. At the trial's conclusion, the count includes the number of flies entering each odour chamber in the first and second periods and the number of surviving flies that remain in the starting vial. With these data we calculated Olfactory preference is equal to the number of flies that choose odourant A divided by the number of flies that choose the odourant A added blank and the Choice ratio is equal to the number of flies that entered one of the odour chambers divided by the number of flies that entered one of the odour chambers added to the number of alive flies that did not enter any odour chamber. In Odour vs Blank experiment we tested the preference for an odourant vs. blank.

Courtship Assay

For courtship assay, flies utilized was housed in a small opaque container. Considering the diel periodicity of the Drosophila courtship behavior, assay took place between 9:00am and 15:00pm. A 6-day-old virgin male and virgin female were introduced into the cylindrical transparent chamber for a duration of 10 min or until the copulation ensued. A careful record of the flies interactions was recorded[16].

RESULTS AND DISCUSSION

Statistical Analysis

The statistical analysis of the data obtained from behavioural parameters following Rotenone infusion and drug treatment in Drosophila was performed using Graph pad prism software version 9.5.1. The data was represented as the mean \pm SEM. The result was analyzed using one-way analysis of variance, ANOVA followed by Tuckey's multiple comparison test. The value of p<0.05 got was considered statistically significant.

Acute toxicity Study for Calculation of LD50 of CedrusDeodoura extract

Acute toxicity Study for Calculation of LD50 of *CedrusDeodoura* extract In 0.8mg of *Cedrus deodara* extract 50% flies were dead , hence based on mortality 0.8mg *Cedrus deodara* extract is considered as LD 50 value . Effect of *CedrusDeodoura* extract on locomotory through climbing assay. Treating with high dose of *Cedrus Deodara* extract(160µg/ml) has shown significant improvement in locomotor disabilities compared to diseased group. All values was expressed in MEAN±SEM, n=10, statistical analysis was performed by employing one-way ANNOVA

All values was expressed in MEAN±SEM, n=10, statistical analysis was performed by employing one-way ANNOVA followed by Tukey's Multiple Comparison test. ****P value <0.05 when compared to the disease control and ****P value < 0.05 when compared to the treatment control.

Effect of CedrusDeodoura extract on chemoperception through T maze assay

Treating with high dose of *Cedrus Deodara* extract($160\mu g/ml$) has shown significant improvement in olfactory function compared to diseased group. Statistical analysis was performed by employing one-way ANNOVA followed by Tukey's Multiple Comparison test, ****P value <0.05 when compared to disease control, ****P value < 0.05 when compared to treatment control.

Effect of CedrusDeodoura extract on sexual behaviour through courtship Assay

Treating with high dose of *Cedrus Deodara* extract(160µg/ml) has shown significant improvement in sexual behaviour compared to diseased group. Statistical analysis was performed by employing one-way ANNOVA followed by Tukey's Multiple Comparison test, ****P value <0.05 when compared to disease control, ****P value < 0.05 when compared to treatment control.





Karthik R Karindi et al.,

CONCLUSION

The results shows that rotenone administration induces Parkinson's at a dose of $0.197\mu g/ml$ for a period of 7 days in *Drosophila melanogaster*. Treating with high dose of *Cedrus Deodara* extract helps in improving olfactory function, locomotor disabilities and sexual behaviour compared to diseased group. Hence the study shows that the administration of *Cedrus Deodara* extract produces anti-Parkinson's effect in Rotenone induced Parkinson's model based on the behavioural assessment.

ABBREVIATIONS

PD- Parkinsons Disease,

OS-Oxidative stress,

MAO- Monoamine oxidase,

COMT -Catechol O-methyl transferase,

MPTP- 1-methyl-4-phenyl1,2,3,6-tetrahydropyridine,

6-OHDA -6-hydroxydopamine,

NMDA- N-Methyl-D-aspartic acid,

ROS- Reactive oxygen species,

ND- Neurodegenerative disease,

αsyc -Alpha synuclecin,

OK flies- Origano k flies,

ERK -Extra cellular signal-regulated kinase,

PKA-Protein kinase A,

GAD67- Glutamic acid Decarboxylase 67,

ATP -Adenosine triphosphate,

L-DOPA- L-3,

4-dihydroxyphenylalanine.

REFERENCES

- 1. Kim SD, Allen NE, Canning CG, Fung VSC (2013) Postural Instability in Patients with Parkinson's Disease. CNS Drugs 27:97–112. https://doi.org/10.1007/s40263-012-0012-3
- 2. Simola N, Morelli M, Carta AR (2007) The 6-Hydroxydopamine model of parkinson's disease. Neurotox Res 11:151–167. https://doi.org/10.1007/BF03033565
- 3. Coulom H, Birman S (2004) Chronic Exposure to Rotenone Models Sporadic Parkinson's Disease in Drosophila melanogaster. The Journal of Neuroscience 24:10993–10998. https://doi.org/10.1523/JNEUROSCI.2993-04.2004
- 4. Aryal B, Lee Y (2019) Disease model organism for Parkinson disease: Drosophila melanogaster. BMB Rep 52:250–258. https://doi.org/10.5483/BMBRep.2019.52.4.204
- 5. Beal MF (2001) Experimental models of Parkinson's disease. Nat Rev Neurosci 2:325–332. https://doi.org/10.1038/35072550
- 6. Vargas RH, Ornelas LF, González IL, et al (2011) Synphilin suppresses α -synuclein neurotoxicity in a Parkinson's disease Drosophila model. genesis 49:392–402. https://doi.org/10.1002/dvg.20740
- 7. Muqit MMK, Feany MB (2002) Modelling neurodegenerative diseases in Drosophila: a fruitful approach? Nat Rev Neurosci 3:237–243. https://doi.org/10.1038/nrn751
- 8. Bonini NM, Fortini ME (2003) Human Neurodegenerative Disease Modeling Using Drosophila. Annu Rev Neurosci 26:627–656. https://doi.org/10.1146/annurev.neuro.26.041002.131425
- 9. Feany MB, Bender WW (2000) A Drosophila model of Parkinson's disease. Nature 404:394–398. https://doi.org/10.1038/35006074





Karthik R Karindi et al.,

- 10. Vos M, Klein C (2021) The Importance of Drosophila melanogaster Research to UnCover Cellular Pathways Underlying Parkinson's Disease. Cells 10:579. https://doi.org/10.3390/cells10030579
- 11. Adeneye AA, Ajagbonna OP, Adeleke TI, Bello SO (2006) Preliminary toxicity and phytochemical studies of the stem bark aqueous extract of Musangacecropioides in rats. J Ethnopharmacol 105:374–379. https://doi.org/10.1016/j.jep.2005.11.027
- 12. Sanz FJ, Solana-Manrique C, Muñoz-Soriano V, et al (2017) Identification of potential therapeutic compounds for Parkinson's disease using Drosophila and human cell models.FreeRadicBiolMed108:683–691.https://doi.org/10.1016/j.freeradbiomed.2017.04.364
- 13. Rao SV, Muralidhara, Yenisetti SC, Rajini PS (2016) Evidence of neuroprotective effects of saffron and crocin in a Drosophila model of parkinsonism. Neurotoxicology 52:230–242. https://doi.org/10.1016/j.neuro.2015.12.010
- 14. Siddique YH, Ara G, Jyoti S, Afzal M (2012) Protective effect of curcumin in transgenic Drosophila melanogaster model of Parkinson's disease. Alternative Medicine Studies 2:3. https://doi.org/10.4081/ams.2012.e3
- 15. Whitworth AJ, Wes PD, Pallanck LJ (2006) Drosophila models pioneer a new approach to drug discovery for Parkinson's disease. Drug Discov Today 11:119–126. https://doi.org/10.1016/S1359-6446(05)03693-7
- 16. Versace E, Eriksson A, Rocchi F, et al (2016) Physiological and behavioral responses in Drosophila melanogaster to odorants present at different plant maturation stages. PhysiolBehav 163:322–331. https://doi.org/10.1016/j.physbeh.2016.05.027

Table 1: Effect of CedrusDeodoura extract on locomotory through climbing assay

Groups	Trail 1	Trail 2	Trail 3	Mean±SED
Normal flies	9	9	8	8.666±0.577
Disease control	1	2	1	1.333±0.577
Standard	10	9	9	9.333±0.577
cedrus deodaraDose 1	9	10	9	9.333±0.577
cedrus deodara Dose 2	9	9	8	8.666±0.577

Table 2: Effect of CedrusDeodoura extract on chemoperception through T maze assay

	Trails								016 1	C1 ·		
Constant	1			2			3		Olfactory	Choice	Mean±SED	
Groups	О	В	T	О	В	T	О	В	T	preference	ratio	
Normal flies	10	0	0	10	0	0	10	0	0	1	1	1±0
Disease control	1	0	9	2	0	8	1	0	9	1	0.1	0.166±0.0577
Standard	8	2	0	9	1	0	8	2	0	0.8	1	0.966±0.0577
Cedrus deodara Dose 1	9	1	0	8	2	0	9	1	0	0.9	1	0.966±0.0577
Cedrus deodara Dose 2	8	2	0	9	1	0	8	2	0	0.8	1	0.966±0.0577

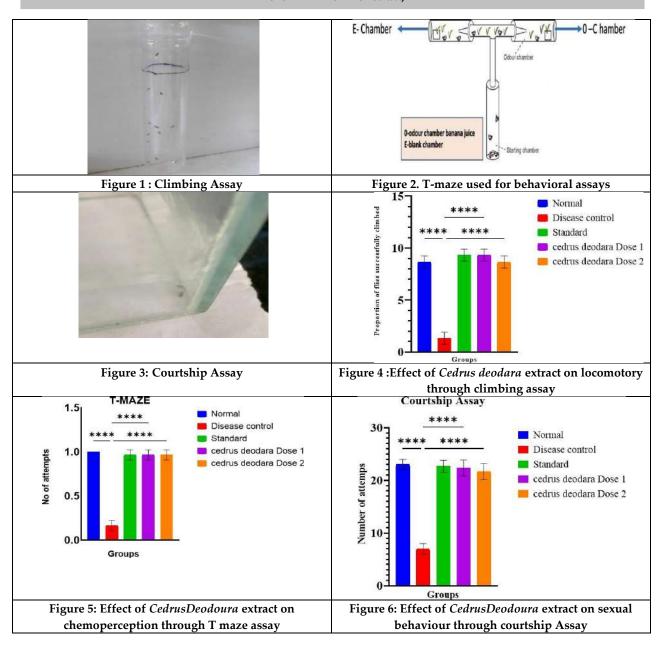
Table 3: Effect of CedrusDeodoura extract on sexual behaviour through courtship Assay

Groups	trail 1	trail 2	trail 3	Mean±SED
Normal flies	9	9	8	8.666±0.577
Disease control	1	2	1	1.333±0.577
Standard	10	9	9	9.333±0.577
cedrus deodara Dose 1	9	10	9	9.333±0.577
cedrus deodara Dose 2	9	9	8	8.666±0.577





Karthik R Karindi et al.,







International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

On Distance Pair Antimagic Labeling of Graphs

M. Bala^{1*} and T. Saratha Devi²

¹Research Scholar (Reg. No. 20222052091003), Department of Mathematics, G.Venkataswamy Naidu College, (Affiliated to Manonmaniam Sundaranar University Abishekapatti) Tirunelveli, Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, G.Venkataswamy Naidu College, (Affiliated to Manonmaniam Sundaranar University, Abishekapatti), Tirunelveli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 31 Aug 2024

*Address for Correspondence

M. Bala

Research Scholar (Reg. No. 20222052091003), Department of Mathematics,

G.Venkataswamy Naidu College,

(Affiliated to Manonmaniam Sundaranar University Abishekapatti)

Tirunelveli, Tamil Nadu, India.

Email: balamaths27@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A graph G is said to be distance antimagic if there is a bijection $f: V(G) \to \{1,2,\ldots,p\}$ such that for every pair of distinct vertices u and v applies $w(u) \neq w(v)$ where $w(v) = \sum_{u \in N(v)} f(u)$ and $N(v) = \{u \in V : uv \in E\}$ is the open neighborhood of v. A injective map $f: V(G) \to \{\pm 1, \pm 2, \dots, \pm p\}$ is said to be pair sum labeling if the induced edge function $f_e: E(G) \to \mathbb{Z} \setminus \{0\}$ defined by $f_e(uv) = f(u) + f(v)$ is one-one and $f_e(E(G))$ is either of the form $\{\pm k_1, \pm k_2, \pm k_3, \cdots, \pm k_{\frac{q}{2}}\}$ or $\{\pm k_1, \pm k_2, \pm k_3, \cdots, \pm k_{\frac{q-1}{2}}\} \cup \{\pm k_{\frac{q+1}{2}}\}$ according as q is even or odd. Based on idea of pair sum lableing, in this paper we introduced distance pair antimagic labeling by the extension of distance antimagic labeling and explores the results on such labeling. Further we investigated the relation between distance antimagicness and distance pair antimagicness of graphs.

Keywords: Graph labeling, distance magic, distance antimagic, pair sum labeling, distance pair antimagic labeling.

AMS Subject Classification(2010): 05C78

INTRODUCTION

All graphs consider here are finite, simple and undirected. The symbols V(G) and E(G) denote the vertex set and edge set of a graph G, terms and terminology are used sense of Harary [2]. The concept of distance magic labeling has been motivated by the construction of magic squares. A magic square of order n is an $n \times n$ array whose entries are an arrangement of the integers $1,2,3,...,n^2$ in which all elements in any row, any column, the main diagonal or the





Bala and Saratha Devi

main back diagonal add to the same sum r. Now if we label the vertices of the complete n-partite graph with parts $|V_i| = n, 1 \le i \le n$, in such a way that the vertices of V_i are labeled with the integers in the i^{th} row of the magic square, then the sum of the labels of all the vertices in the open neighborhood of each vertex is the same and is equal to r(n-1). Motivated by this observation Vilfred [7] in his doctoral thesis introduced the concept of Σ - labeling. It is also called distance magic labeling [4]. In 2010, R. Ponraj [5] defined pair sum labeling of graphs and in 2013, Arumugam [3] introduced the concept of a distance antimagic labeling. Inspired the above works, we defined a distance antimagic labeling called distance pair antimagic labeling and discussed the following results.

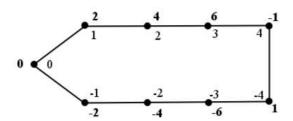
MAIN RESULTS

Definition 2.1 *Let* G *be a* (p,q) *graph. Let* $f:V(G) \rightarrow P$ *be a bijection where*

$$P = \begin{cases} \pm 1, \pm 2, \dots, \pm \frac{p}{2}, & \text{if pi seven} \\ 0, \pm 1, \pm 2, \dots, \pm \frac{p-1}{2}, & \text{if pi sodd} \end{cases}$$

Then f is called a distance pair antimagic labeling if the induced weight function $w:V(G) \to W$ defined by $w(v) = \sum_{u \in N(v)} f(u) = k_i$ is one-one, where $N(v) = \{u \in V: uv \in E\}$ is the open neighborhood of v and the set of all weights W is either of the form $\{\pm k_1, \pm k_2, \pm k_3, \cdots, \pm k_{\frac{p}{2}}\}$ or $\{0, \pm k_1, \pm k_2, \pm k_3, \cdots, \pm k_{\frac{p-1}{2}}\}$ according as p is even or odd. A graph which admits distance pair antimagic labeling is called a distance pair antimagic graph.

Example 2.2.

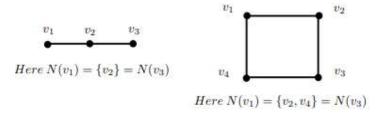


 C_9 is a distance pair antimagic graph, here $W = \{0, \pm 1, \pm 2, \pm 4, \pm 6\}$.

Lemma 2.3 *Let G be graph with two vertices u and v such that N(u) = N(v), then G is not a distance pair antimagic graph. Proof.* Let $u, v \in V(G)$ and N(u) = N(v). Then w(u) = w(v) by definition.

Hence *G* is not a distance pair antimagic graph.

Example 2.4



 P_3 and C_4 are not distance pair antimagic graphs.

Theorem 2.5 The path P_n is a distance pair antimagic graph if $n \neq 3$.

Proof. consider the following two cases:

Case(i): n is even and take n = 2m, where $m \ge 1$.





Bala and Saratha Devi

Let $V = \{v_1, v_2, \dots, v_{2m}\}$ be the vertex set of P_{2m} .

Define the labeling $f: V(P_n) \longrightarrow \{\pm 1, \pm 2, \dots, \pm m\}$ by

$$f(v_i) = i, if 1 \le i \le m$$

 $f(v_{m+i}) = i - (m+1), if 1 \le i \le m$

The induced vertex weights labeling are

$$w(v_i) = \begin{cases} -1, & if & 1 = m \\ 1, & if & i = m+1 \\ 2f(v_i), & \bigcirc & Otherwise \end{cases}$$

Clearly f is a distance pair antimagic labeling of P_n , if n is even.

Case (ii) :n is odd

for n = 3, P_3 is not a distance pair antimagic graph by *Lemma 2.3*.

Take n = 2m + 1, where m = 2,3,4,5,...

Let the vertex set $V(P_n) = \{v_0, v_1, v_2, \dots, v_{2m}\}$ and a function $f: V(P_n) \to \{0, \pm 1, \pm 2, \dots, \pm m\}$.

Consider the following two subcases:

Subcase(a): m = 2,4,6,...

The labeling of vertices are as follows

$$f(v_i) = \begin{cases} 0, & if & 1 = m \\ -(i-1), & if & i = m+1 \\ n-i, & if & i > m \end{cases}$$

Then the induced vertex weight labeling are as follows.

 $w(v_m) = 0;$

$$w(v_{m-1}) = -(m-1) = -w(v_{m+1});$$

$$w(v_i) = \begin{cases} -2(i+1) & if \quad 0 \leq i < m-1 \\ 2(n-i) & if \quad i > m+1 \end{cases}$$

Subcase(b): m = 3.5.7...

The labeling of vertices are as follows

$$f(v_0) = 1; f(v_1) = 0; f(v_{2m}) = -1$$

$$f(v_i) = \left\{ \begin{array}{ll} i, & if \quad i < m+1 \quad and \ i \ is \ even \\ -(i-1), & if \quad i \leq m \quad and \ i \ is \ odd \\ n-i, & if \quad i \geq m+1 \quad and \ i \ is \ even \\ -(n+1-i), & if \quad i > m \quad and \ i \ is \ odd \end{array} \right.$$

Then the induced vertex weight labeling are as follows

$$w(v_0) = 0;$$

 $w(v_1) = 3 = -w(v_{2m});$
 $w(v_2) = -2 = -w(v_{2m-1});$
 $w(v_m) = n - 2 = -w(v_{m+1})$

$$w(v_i) = \begin{cases} 2i, & \text{if } i < m-1 \text{ and } i \text{ is even} \\ -2(i-1), & \text{if } i < m \text{ and } i \text{ is odd} \\ 2(n-i), & \text{if } i > m \text{ and } i \text{ is even} \\ -2(n+1-i), & \text{if } i > m+1 \text{ and } i \text{ is odd} \end{cases}$$

Clearly f is a distance pair antimagic labeling of P_n , if n is odd and $n \neq 3$.

Hence P_n is a distance pair antimagic graph if $n \neq 3$.

Theorem 2.6 The cycle C_n is a distance pair antimagic graph if $n \neq 4$.

Proof. consider the following two cases:

Case(i): n is even and take n = 2m, where $m \ge 3$.

Let $V = \{v_1, v_2, \dots, v_{2m}\}$ be the vertex set of C_n .

Define $f: V(C_n) \longrightarrow \{\pm 1, \pm 2, \dots, \pm m\}$ by





Bala and Saratha Devi

$$f(v_i) = \begin{cases} -i, & if & i < m \\ m, & if & i = m \\ -m, & if & i = m+1 \\ 2m+1-i, & if & i > m+1 \end{cases}$$

Then the induced vertex weight labeling are as follows.

$$\begin{split} &w(v_1) = -1 = -w(v_{2m});\\ &w(v_{m-1}) = 2 = -w(v_{m+2});\\ &w(v_m) = -(2m-1) = -w(v_{m+1});\\ &w(v_{i+1}) = -2(i+1) = -w(v_{2m-i}) \text{ for } 1 \leq i \leq m-3. \end{split}$$

Clearly f is a distance pair antimagic labeling of C_n , if n is even.

Case (ii) n is odd and take n = 2m + 1 where $m \ge 1$.

Let $V = \{v_0, v_1, v_2, \dots, v_{2m}\}$ be the vertex set of C_n .

Define
$$f: V(C_n) \to \{0, \pm 1, \pm 2, \dots, \pm m\}$$
 by

$$f(v_0) = 0;$$

 $f(v_i) = i$, if $1 < i < m$;

$$f(v_i) = i, if \ 1 \le i \le m;$$

$$f(v_i) = i - n, if \ m + 1 \le i \le 2m$$

Then induced vertex weight labeling are

$$w(v_i) = \begin{cases} 0, & if & i = 0 \\ -1, & if & i = m \\ 1, & if & i = m+1 \\ 2f(v_i), & otherwise \end{cases}$$

Clearly f is a distance pair antimagic labeling of C_n , for odd n and Hence C_n is a distance pair antimagic graph if $n \neq 4$.

Theorem 2.7 *The complete graph* K_n *is distance pair antimagic for* n > 1*.* **Proof.** Consider the following two cases:

Case(i): *n* is even and take n = 2m. Let $V = \{v_1, v_2, ..., v_{2m}\}$ be the vertex set of K_n . Define $f: V(K_n) \longrightarrow \{\pm 1, \pm 2, \dots, \pm m\}$ by

$$f(v_i) = \begin{cases} -\left[\frac{i}{2}\right], & if \quad i = 1, 3, 5, \dots, 2m - 1\\ \frac{i}{2}, & if \quad i = 2, 4, 6, \dots, 2m \end{cases}$$

Then vertex weights are

$$w(v_i) = \begin{cases} \left[\frac{i}{2}\right], & if \quad i = 1, 3, 5, \dots, 2m - 1\\ -\frac{i}{2}, & if \quad i = 2, 4, 6, \dots, 2m \end{cases}$$

Case(ii): n is odd and take n = 2m + 1.

Let $V = \{v_0, v_1, v_2, \dots, v_{2m}\}$ be the vertex set of K_n .

Define $f: V(K_{2m+1}) \longrightarrow \{0, \pm 1, \pm 2, \dots, \pm m\}$ by

$$f(v_i) = \begin{cases} 0, & \text{if } i = 0 \\ -\left[\frac{i}{2}\right], & \text{if } i = 1, 3, 5, \dots, 2m - 1 \\ \frac{i}{2}, & \text{if } i = 2, 4, 6, \dots, 2m \end{cases}$$

Then vertex weight are $w(v_i) = -f(v_i)$. Clearly f is a distance pair antimagic labeling of K_n and hence K_n is distance pair antimagic.





Bala and Saratha Devi

Theorem 2.8 The complete bipartite graph $K_{n,m}$ is distance pair antimagic graph if and only if n=m=1.

Proof. Case(i): n = m = 1

By theorem 2.5, the complete bipartite graph $K_{1,1}$ is nothing but the path P_2 is a distance pair antimagic graph.

Case (ii): $n \ge 1$ and m > 1

Let V_1 and V_2 be bipartition of $V(K_{n,m})$. For any two vertices $u, v \in V_1$ then $N(u) = N(v) = V_2$. By lemma 2.3, $K_{n,m}$ is not a distance pair antimagic graph.

Theorem 2.9 The ladder graph $L_n = P_n \times P_2$ is distance pair antimagic for $n \ge 3$.

Proof.

Let $V(L_n) = \{u_i, v_i : 1 \le i \le n\}$ and $E(L_n) = \{u_i u_{i+1}, v_i v_{i+1} : 1 \le i \le n-1\} \cup \{u_i, v_i : 1 \le i \le n\}$ be the vertex set and edge set of L_n .

Define $f: V(L_n) \to \{\pm 1, \pm 2, \dots, \pm n\}$ by

$$\begin{split} f(u_i) = & \begin{cases} i, & if \quad 1 \leq i \leq n-1 \\ -n, & if \quad i=n \end{cases} \\ f(v_i) = & \begin{cases} -i, & if \quad 1 \leq i \leq n-1 \\ n, & if \quad i=n \end{cases} \end{split}$$

Then the induced vertex weight labeling are as follows.

$$w(u_i) = i = -w(v_i), if \ 1 \le i \le n-2;$$

 $w(u_{n-1}) = -(n+1) = -w(v_{n-1});$
 $w(u_n) = 2n-1 = -w(v_n).$

Clearly f is a distance pair antimagic labeling of $P_n \times P_2$ and hence L_n is a distance pair antimagic graph.

Theorem 2.10 If G is a distance pair antimagic graph with even number of vertices, then the join graph $G + K_1$ is a distance pair antimagic graph.

Proof. Let G be a distance pair antimagic graph and |V(G)| = 2m where m = 1,2,3,...

Then there exist a distance pair antimagic labeling $f: V(G) \to \{\pm 1, \pm 2, \cdots, \pm m\}$.

Take
$$V(K_1) = u$$
 and $V(G) = \{v_1, v_2, ..., v_{2m}\}$, then $V(G + K_1) = \{u, v_1, v_2, ..., v_{2m}\}$.

Now define $f^*: V(G + K_1) \rightarrow \{0, \pm 1, \pm 2, \cdots, \pm m\}$ by

$$f^*(u) = 0;$$

 $f^*(v_i) = f(v_i) fori = 1,2,3,...,2m$

Then the induced vertex weight labeling are as follows.

$$w^*(u) = 0;$$

 $w^*(v_i) = w(v_i) fori = 1,2,3,...,2m$

Hence f^* is a distance pair antimagic labeling of $G + K_1$.

Theorem 2.11 If G is a distance pair antimagic graph, then tG is also a distance pair antimagic graph, where tG is t copies of G.

Proof. Let f be a distance pair antimagic labeling of G and $G_1, G_2, G_3, \ldots, G_t$ be t copies of G.

Let the vertex set of i^{th} copy of G be $v_1^i, v_2^i, \dots, v_n^i$.

We consider the following two cases:

Case(i): Suppose *t* is even and *n* is either odd or even.

The total number of vertices in tG = nt, which is even.

Define
$$f: V(tG) \to \{\pm 1, \pm 2, \dots, \pm \frac{nt}{2}\}$$
 by
$$f(v_j^i) = j + \frac{nt}{2} \left(\frac{i-1}{2}\right), i = 1,3,5,\dots, t-1 \text{ and } j = 1,2,3,\dots, n$$
$$f(v_j^i) = -\left[j + \frac{nt}{2} \left(\frac{i-1}{2}\right)\right], i = 2,4,6,\dots, t \text{ and } j = 1,2,3,\dots, n$$





Bala and Saratha Devi

Case (ii): *t* is odd and take *n* is either odd or even.

Let f_1 be a distance pair antimagic labeling of G.

Assign the labels $\{0, \pm 1, \pm 2, \dots, \pm \frac{n}{2}\}$ or $\{\pm 1, \pm 2, \dots, \pm \frac{n}{2}\}$ into the vertices of t^{th} copy of G accordingly n is odd or n is even by f_1 .

Assign following labeling f_2 for first t-1 copies of G,

$$f_2: V((t-1)G) \to \left\{ \pm (\frac{n}{2}+1), \pm (\frac{n}{2}+2), \cdots, \pm \frac{n(t-1)}{2} \right\}$$
 by $f_2(v_j^i) = j + \frac{n}{2} \left(\frac{i+1}{2}\right), i = 1,3,5,\dots, t-2 \text{ and } j = 1,2,3,\dots, n$ $f_2(v_j^i) = -\left[j + \frac{n}{2} \left(\frac{i}{2}\right)\right], i = 2,4,\dots, t-1 \text{ and } j = 1,2,3,\dots, n$

Clearly f_1 and f_2 are distance pair antimagic labeling of tG. Hence tG is distance pair antimagic graph.

Corollary 2.12 If a graph G is distance pair antimagic with even number of vertices, then $tG + K_1$ is also distance pair antimagic.

Proof. By theorem 2.11, tG is distance pair antimagic graph. By the definition of joining of two graphs, join each vertex of tG to a single vertex u and labeled as zero. Then $tG + K_1$ is distance pair antimagic graph.

Relation between distance antimagic and distance pair antimagic labeling

Arumugam and Kamatchi [3] has been proposed the following conjecture.

Conjecture

A graph G is distance antimagic if and only if $N(u) \neq N(v)$ for any two distinct vertices u and v in G.

This conjecture not exist for distance pair antimagic labeling by the following theorem.

Theorem 3.1 The wheel graph $W_n = C_{n-1} + K_1$ is distance pair antimagic iff n = 7,9,11,... or n = 4.

Proof. Let W_n be a wheel graph, where n = 4,5,6,7,...

Suppose n = 7,9,11,... or n = 4, by using theorems 2.4 and 2.8, the Wheel graph W_n is distance pair antimagic, because $W_n = C_{n-1} + K_1$.

Hence W_n is a distance pair antimagic graph, if n = 7,9,11,... or n = 4.

Conversely, if W_n is a distance pair antimagic graph, then we prove n = 7,9,11,... or n = 4.

It is enough to prove that if W_n is a not distance pair antimagic graph, if n = 2m where $m \ge 3$ and W_5 .

By lemma 2,3, W_5 is not a distance pair antimagic graph.

Assume that W_{2m} is a distance pair antimagic graph if $m \ge 3$.

Then there exist a distance pair antimagic labeling $f: V(W_{2m}) \to \{\pm 1, \pm 2, \pm 3, ..., \pm m\}$.

Let $\{v_0, v_1, v_2, ..., V_{2m-1}\}$ be the vertices of W_{2m} and v_0 be the apex vertex and remaining vertices $\{v_1, v_2, v_3, ..., v_{2m-1}\}$ be rim vertices of W_{2m} .

Consider following two cases.

Case(i): Assign $f(v_0) = l$, where $l \in \{1, 2, 3, ..., m\}$. Then $w(v_0) = -l$

We know that any distance pair antimagic graph has exactly two vertices receives, maximum weight and minimum weight, so that we can choose two rim vertices u and v such that

$$w(u) = \max \{w(v_0), w(v_1), \dots, w(v_{2m-1}) \text{ and }$$

$$w(v) = \min \{w(v_0), w(v_1), \dots, w(v_{2m-1})\} \text{ with } w(u) + w(v) = 0 \longrightarrow (1)$$

Since u and v are rim vertices, which receives labels l_1, l_2, l and l_3, l_4, l respectively in its weight where $l_1, l_2 \in \{1, 2, 3, ..., m\} - \{l\}$ and $l_3, l_4 \in \{-1, -2, -3, ..., -m\}$ with

 $l_1 + l_2 + l_3 + l_4 = 0$, then the equation $(1) \Rightarrow w(u) + w(v) = 0$

 \Rightarrow $(l_1 + l_2 + l) + (l_3 + l_4 + l) = 0 \Rightarrow 2l = 0 \Rightarrow l = 0$, which is a contradiction to our assumption that l is a positive integer and hence W_n is not a distance pair antimagic graph for n = 2m and $m \ge 3$

Case(ii): Assign $f(v_0) = l$, where $l \in \{-1, -2, -3, ..., -n\}$.





Bala and Saratha Devi

As in case (i), we can prove case (ii). Hence the wheel graph W_n is a distance pair antimagic iff n = 7,9,11,... or n = 4. **Theorem 3.2** *If* G *is any distance antimagic graph, then the disjoint union* $G \cup G$ *is a distance pair antimagic graph.*

Proof. Let *G* be any distance antimagic graph with n vertices. Then there exist a bijection $f: V(G) \to \{1, 2, \dots, n\}$, such that $w(v_i) \neq w(v_i)$ for $i \neq j$.

Take another copy of *G* and define $f^*: V(G) \to \{-1, -2, \dots, -n\}$ by

$$f^*(v_i) = -f(v_i)$$
 for alli.

Then induced vertex weight labeling are $w^*(v_i) = -w(v_i)$ for all i.

Hence $G \cup G$ is a distance pair antimagic graph.

CONCLUSION AND SCOPE

In this paper we have introduced and investigated the existence of distance pair antimagic labeling on standard family of graphs. Further we proved the disjoint union of G, $tG + K_1$ and complement of $G \cong C_n$ a distance pair antimagic graph if G is a distance pair antimagic graph. By the observation of Conjecture 3.2 in [3], we have the following the conjecture.

Conjecture

"A tree T is distance pair antimagic iff every support vertex v has exactly one leaf adjacent to v". By corollary 2.11, by replacing G by P_n , there is obtained the graph $S_k(K_{\{1,n\}})$ (as defined in [3]) and this serves as one of the particular case of the above conjecture. Further we a scope for characterisation of distance pair antimagicness of trees.

REFERENCES

- 1. B.D. Acharya, S.B. Rao, T. Singh and V. Parameswaran, *Neighborhood magic graphs*, In National Conference on Graph Theory, Combinatorics and Algorithm, (2004).
- 2. F. Harary, *Graph Theory*, Narosa Publishing House, New Delhi, 1998.
- 3. N. Kamatchi, S. Arumugam, Distance antimagic graphs, JCMCC, 84 (2013), 61-67
- 4. M. Miller, C. Rodger and R. Simanjuntak, Distance magiclabelings of graphs, *Australas. J. Combin.*, 28 (2003), 305-315
- 5. R. Ponraj, J.V.X. Parthipan, Pair Sum Labeling of Graphs, The Journal of Indian Acad. Math, Vol 32, No. 2(2010), 587-595.
- 6. K.A. Sugeng, D. Froncek, M. Miller, J. Ryan and J. Walker, On distance magic labeling of graphs, *J. Combin. Math. Combin. Comput.*, 71 (2009), 39-48.
- 7. V. Vilfred, Σ-labelled graph and circulant graphs, Ph.D. Thesis, University of Kerala, Trivandrum, India, 1994.





International Bimonthly (Print) – Open Access Vol.15 / Issue 86/ Oct / 2024

ISSN: 0976 – 0997

RESEARCH ARTICLE

Experimental Investigation of Mechanical Properties of Geopolymer **Concrete using Hybrid Fibers**

J. Rex^{1*}, Morsu Niranjan² and C.V. Siva Rama Prasad¹

¹Associate Professor, Department of Civil Engineering, Malla Reddy Engineering College, (Affiliated to Jawaharlal Nehru Technological University), Hyderabad, Telangana, India.

²Student, Department of Civil Engineering, Malla Reddy Engineering College, (Affiliated to Jawaharlal Nehru Technological University), Hyderabad, Telangana, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 10 Sep 2024

*Address for Correspondence

J. Rex

Associate Professor, Department of Civil Engineering, Malla Reddy Engineering College, (Affiliated to Jawaharlal Nehru Technological University), Hyderabad, Telangana, India. Email: morcereddy98@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Conventional Concrete, commonly manufactured using Portland cement, is a staple in construction, with its demand steadily rising. Concrete production was projected to increase from 1.5 to 2.2 billion tons between 1995 and 2015. However, the industry's significant contribution to CO2 emissions, about 6%, exacerbates climate change, with CO₂ responsible for 65% of global warming. Efforts to mitigate these emissions include exploring alternative materials like fly ash, silica fume, and geopolymer technology. Geopolymer, proposed by Davidovits, shows promise, potentially reducing CO2 emissions by 80%. This research work aims to investigate fiber-based geopolymer concrete properties incorporating glass and crimped steel fibers. Utilizing low-calcium fly ash (ASTM Class F), GGBS, and alkaline solution, the study examines compressive, flexural, and split tensile strength, and was analyzed on various compositions of fibers. Objectives include identifying parameters affecting properties and developing a mix proportioning process.

Keywords: Crimped Steel Fiber, Glass Fiber Ground granulated blast furnace slag, Fly-Ash, Geopolymer Concrete

INTRODUCTION

The first geopolymer cement was developed in the 1980s was of the type (K,Na,Ca)-poly(sialate) (or slag-based geopolymer cement) and resulted from the research developments carried out by Joseph Davidovits and J.L. Sawyer





Rex et al.,

at Lone Star Industries, USA and yielded the invention of Pyrament cement. Geopolymers have been known to be useful binders in concrete for over decades, but have recently developed rapidly in Australia due to the fact they have a CO₂ footprint which is approximately 80% lower than OPC cement. Water, expelled from the geopolymer matrix during the curing and further drying periods, leaves behind nano-pores in the matrix, which provide benefits to the performance of the geopolymer. The water in a low-calcium fly ash-based geopolymer mixture, therefore, plays no direct role in the chemical reaction; it merely provides the workability to the mixture during handling. This is in contrast to the chemical reaction of water in a Portland cement concrete mixture during the hydration process. However, a small proportion of calcium-rich source materials such as slag may be included in the source material to accelerate the setting time and to alter the curing regime adopted for the geopolymer mixture. In that situation, the water released during the geopolymerisation reacts with the calcium present to produce hydration products.

Slag Based Geopolymer

The first geopolymer developed was slag-based in the 1980s. The reason for using this type of cement is due to its rapid strength gain as it can reach strengths of up to 20 MPa after just 4 hours. Slag is a partially transparent material and a by-product of melting iron ore. It usually consists of a mixture of metal oxides and silicon dioxide. It is also used in the cement and concrete industry. The substitution of OPC with slag is one of the many benefits that it provides to OPC concrete, reducing life cycle costs and improving the workability of the fresh concrete, Easier finish ability, higher compressive and flexural strength, and also improved resistance to acid materials. The reactions of slag in alkali activating systems and cement blends are dominated by the small particles. The particles above 20 μ m usually react slowly, while particles under 2 μ m react completely within 24 hours. Thus, when slag is used in polymerization, careful control of the particle size distribution must be ensured to control the strength of the binder.

Rock-Based Geopolymer

To compose this type of geopolymer, a fraction of the MK-750("MK" is an abbreviation for Metakaolin, and the "750" represents the temperature at which it was produced) in the slag-based geopolymer is replaced by natural rock-forming materials such as feldspar and quartz. This mixture yields a geopolymer with better properties and less CO2 emissions than that of the ordinary slag-based geopolymer. The components of rock-based geopolymer cement areMetakaoline MK-750, blast-furnace slag, natural rock-forming materials (calcined or non-calcined), and a user-friendly alkali silicate.

Fly Ash Based Geopolymer

Fly ash is the waste material produced in the blast furnace. Components of fly ash are amorphous composition (60%), quartz (20%), mullite (17%), magnetite (1.7%), and hematite (.9%). Fly ash is commonly used as a substitute for OPC in concrete and the addition of it provides that fly ash consists of spherical particles as shown in Fig:2 which improves the workability of the fresh OPC concrete. This enables one to reduce the amount of water in the mix which reduces the amount of bleeding of OPC concrete. It improves mechanical properties such as compressive strength, due to water reduction and ensures a higher reactiveness and better "packing" of particles. Reduce the cost of the OPC concrete.

LITERATURE REVIEW

PatankarS..al.,.(2018) studied the effect of duration and temperature curing on the compressive strength of fly-based Geopolymer Concrete (GPC) and observed while finding the effect of concentration of sodium hydroxide on fly ash-based geopolymer concrete that the compressive strength of geopolymer concrete increases with increase in the concentration of sodium hydroxide solution for all temperatures but the rate of gain of strength at and above 60°C is not very significant. Zhang H.Y. et.al., (2018) based on their experimental results on the bond behavior between geopolymer concrete and rebar reported that Geopolymer concrete exhibits significant temperature-





Rex et al.,

induced degradation in bond strength when exposed to temperatures above 300°C. Also, the Bond strength of geopolymer concrete was found to decrease at the same rate as that of splitting tensile strength with temperature, but this degradation is at a higher pace than that of the compressive strength. Manimaran, E and Mohankumar, G. (2017) investigated the influence of sodium hydroxide concentration on the strength of fly ash-based geopolymer concrete and reported that the strength of ambient cured specimens is always less and about 95% to 97% of the hot cured concrete irrespective of the molarity of NaOH solution. Under specified concentrations of NaOH, the required strength of Geopolymer concrete can be achieved by ambient curing itself and hot curing is not at all required under laboratory conditions. Hot curing may be employed in the case of fabrication of precast units. Ukesh Praveen P and Srinivasan K (2017) conducted a review of the literature about the self-compacting of geopolymer concrete and reported that the contribution of GGBS helps the self-compacting geopolymer concrete attain high compressive strength at ambient room temperature. GGBS at ambient curing conditions had more compressive strength rather than Fly ash-based self-compacting geopolymer concrete. It is recommended that sodium hydroxide and sodium silicate solutions should be prepared at least 24 hours before use.

Adam A. A. et.al., (2016) investigated the effect of lime addition on the setting time strength of ambient cured fly ash-based geopolymer concrete and reported that the setting time of the class F fly ash-based geopolymer paste can be controlled by adding a small proportion of slaked lime. The addition of lime increases the strength and decreases the setting time. Sandeep L. Hake et.al.,. (2015) investigated the method of curing and found that most researchers used only oven heat curing for geopolymer concrete. They reported that many studied only different curing temperatures in oven curing, but only a few researchers experimented with steam, and membrane curing, and no work was reported on accelerated curing, as well as comparison on steam, accelerated, membrane, natural, and oven curing. So there is scope for research on the method of curing of geopolymer concrete. Ganesan N,Ruby Abraham, and S. Deepa Raj (2015) studied the effect of fibers on the durability characteristics of geo-polymer concrete and compared it with conventional concrete of the same grade. The durability parameters considered in this study include water absorption, abrasion resistance, resistance to chemical attack, effect of alternate wetting and drying, and resistance against chloride ions. They concluded that geo polymer concrete possesses better durability characteristics than conventional concrete of the same grade and the addition of fibers further improved the durability characteristics of GPC. Pardaet.al., (2014). The combination of Ground granulated blast-furnace slag (GGBS) with class F fly ash can have a significant effect on the setting and compressive strength development of geopolymer concrete.

The effect of different proportions of GGBS and activator content on the workability and strength properties of fly ash-based geopolymer concrete. The test result showed that 28-day compressive strength reached up to 51 MPa in geopolymer concrete containing 20% slag and 80% fly ash in the binder and 40% activator liquid with an SS/SH ratio of 1.5 when cured at 20 C. Ganesanet al, (2014) the effect of hybrid fibers on the strength and behavior of High performance concrete beam-column joints subjected to reverse cyclic loads was studied. The addition of fibers in hybrid form improved many of the engineering properties such as the first crack load, ultimate load, and ductility factor of the composite. The combination of a 1% volume fraction of steel fibers and a 0.15% volume fraction of polypropylene fibers gave a better performance concerning energy dissipation capacity and stiffness degradation than the other combinations. Pradipet.al., (2014) Study was conducted on the geopolymer concrete cured under ambient conditions. Fly ash and GGBS-based geopolymer concrete for curing by ambient conditions can be proportioned for desirable workability, setting time, and compressive strength using ground granulated blastfurnace slag (GGBS) as a small part of the binder. The inclusion of GGBS with Class F fly ash helped achieve setting time and compressive strength comparable to those of ordinary Portland cement (OPC). K.Parthiban et.al., (2013), studied the influence of the various proportions of GGBS (0 to 100%) and the effect of the amount of Alkaline Activated Solution (AAS) on the compressive strength of geopolymer concrete which is cured under ambient temperature conditions. The molarity of the sodium hydroxide solution was maintained constant. They observed that the compressive strength of the GPC increased with the increase in the percentage of GGBS and also with the increase in the amount of the sodium silicate solution. DeepaBalakrishnan S et.al., (2013) reported that the fly ash content is much more significant when the geo-polymer concrete is cured at ambient temperature. However, the





Rex et al.,

change in strength of heat cured specimen is nominal with the variation of fly ash content varied from 395 to 425 kg per cubic meter of concrete. They reported that the fly ash geo-polymer concrete is a sustainable material for future construction works. However, design methodologies are to be developed for geo-polymer concrete before actual use in worksite. Swanepoel, J.C and Strydom, C.A (2013) prepared the GPC by mixingfly ash, kaolinite, Na₂SiO₃, NaOH, and water. The samples were cured at 40, 50,60,& 70°C for different time intervals (6, 24, 48, and 72 hours) the compressive strength testing was performed at the age of 7& 28 daysusing 3 members 50mm cubic samples. The authors reported that the optimum curing condition for polymerization was 60°C for 48 hours. Compressive strength measurements show a maximum strength of almost 80 MPaafter 28 days. DeepaBalakrishnan S. et.al., (2013) examined the properties of fly ash-based geopolymer concrete and stated that the strength gain in geopolymer concrete is significant when heat cured for 72 hours also the strength of heat-cured specimen is found to be almost equal to the corresponding strength of 90 day ambient cured specimens or almost two times as that of the 28day strength. SatputeManesh B. et.al., (2012) studied the effect of duration and temperature curing on the compressive strength of fly ash based on geopolymer concrete and reported that curing temperature and duration are important in the activation of geopolymer concrete. Curing time, in the range of 6 to 24 hours, produces higher compressive strength. However, the increase in strength beyond 20 hours is not significant. Gokulram H. et.al., (2012), conducted an experimental investigation on the mechanical properties of different binder compositions of Geopolymer Concrete Composites (GPCC). The study analyses the effect of polypropylene fiber on mechanical properties such as compressive strength, split tensile strength, and flexural strength of hardened GPCC. Polypropylene fibers were added to the mix in the volume fraction of 0.25% volume of concrete. Two kinds of systems were considered in this study using 100% replacement of cement by ASTM class F Fly ash and ground granulated blast furnace slag and 100% replacement of natural sand by Manufactured sand. The mix with 100% GGBS and 0% FA has given the highest mechanical properties i.e. compressive strength of 34 N/mm2, split tensile strength of 4.74 N/mm², and flexural strength of 5.1 N/mm². Ganapati Naidu P et.al.,. (2012), evaluated the different strength properties of GPC by replacing fly ash with GGBS and making workable, high-strength, and durable concrete without the usage of OPC. Fly ash was collected from the National Thermal Power Corporation (NTPC), Visakhapatnam. The sodium hydroxide solution is mixed with sodium silicate solution to get the desired alkaline solution one day beforecasting. Ambient curing has been adopted for the specimens.

From the tests, it was concluded that higher concentrations of GGBS result in higher compressive strength. Beyond 30% replacement of GGBS, immediate setting was observed. To attain maximum strength 9% of fly ash is replaced by GGBS in the aspect of ambient and combusted curing. Compressive strength increases with an increase in GGBS. A maximum of 25% loss in compressive strength was observed when the specimen was exposed to a temperature of 500°C for two hours. 90% of compressive strength was achieved in 14 days. The average density of geopolymer concrete was equal to that of OPC. Shakor&Pimplikaret.al., (2011) Concluded that 7 days average compressive strength of concrete is maximum when 1.5 % of glass fibers by weight of cementitious material are used. At lower 0.11% of glass fibers or higher 2 % of glass fibers, about 15% to 20% reduction in strength was observed nevertheless at 28 days, the reduction in strength approaches to 5% to 10%. The percentage of glass fiber of 2% gave a flexural strength of 6.15 MPa, which is 10% more than that obtained at 1.5%. P. Sangeethaet.al., (2011) reported that an increase in the percentage of glass fiber by weight of concrete (0.1%, 0.2%& 0.3%) increases the compressive and impact strength. The percentage increase in compressive strength was reported to be up to 23%. Jalal Rouhiet.al., (2011), studied the effect of polypropylene fibers on the compressive strength, permeability, and electric resistivity of concrete samples. The concrete samples were made with fibers ranging from 0 to 2 kg/ m3. Electrical resistivity and compressive strength of concrete samples with fiber ratios of 1.5 kg/m3 had higher values and the permeability of the concrete specimens reduced as the fiber content increased. Samples with a fiber content of 1.5 kg/ m3 showed optimum results in comparison with other samples. H. SudarsanaRao, M. Safari Tabalvandani, KrishanRao MV et.al., (2011) Stated that the workability of glass fiber-rein forced high-performance concrete mixes decreases with an increase in the percentage of glass fibers. The work of different researchers on GFRC has been found on concretes cast by using foreign ingredients only. The trend of locally branded concretes manufactured by using indigenous materials found in Pakistan was still demanding a lot of research work. The current investigation was planned to explore the effects of using different percentages of glass fibers on properties of flesh and hardened concrete like





Rex et al.,

workability, compressive strength, tensile strength, flexural strength, and ultrasonic pulse velocity. **Hardijto**, **D.Wallah S.E.,Sumajouw D.M.J.**, **and Rangan**, **B.V(2009)** investigated the effect of various synthesizing parameters on fly ash-based geopolymer concrete. Numerous bathes of geopolymer concrete were prepared by activated class-F fly ash with sodium silicate and sodium hydroxide solutions.

Objective of Current Study

The main objective of this research work is

- To develop a mixture proportioning process to manufacture fiber-based geopolymer concrete.
- To identify and study the effect of salient parameters that affect the properties of fiber-based geopolymer concrete

Materials Used

Fly-Ash

Defined as 'the finely divided residue that results from the combustion of ground or powdered coal and that is transported by flue gasses from the combustion zone to the particle removal system' (ACI Committee 232 2004), Fly-Ash could be considered as an Environmental Waste material, is commonly used as substitutive Cementitious Materials in the modern concrete practice. The fly ash used for this project is collected and transported from NTPC Ramagundam, possessing the Chemical Composition as stated in Table: 1 below

Ground Granulated Blast Furnace Slag(GGBS)

Obtained by quenching molten iron slag from a blast furnace in water or steam, dried and ground into a fine powder. Its use results in lower heat of hydration and lower temperature rises, further it reduces the risk of damages caused by alkali–silicareactions. Provides higher resistance to chloride ingress reducing the risk of reinforcement corrosion and provides higher resistance to attacks by Portland cement sulphate and other chemicals. This study has used the GGBS from a Local RMC Plant possessing the Chemical Composition as stated in Table: 2.

Alkaline Activators

A combination of sodium silicate solution and sodium hydroxide solution was chosen as the alkaline activators. The sodium hydroxide solids were in pellet form (3 mm), with a specific gravity of 1.51and 98% purity, and were used to achieve 8M Sodium Hydroxide Solution (NaOH) (320 grams/liter). Sodium Silicate Solution (Na2SiO3) with 50.32% Solid Content was also,, where NaOH to Na2SiO3 ratio was maintained as 2.5.

Glass Fiber

Glass Fiber is a material consisting of numerous extremely fine Fibers of glass. It is used as a thermal insulating material and is specially manufactured with a bonding agent to trap many small air cells, resulting in the characteristically air-filled low-density "glass wool" family of products. The Mechanical Properties of the Glass Fibers used for this study are stated in Table: 4 below Glass Fiber has roughly comparable mechanical properties to other Fibers such as polymers and carbon Fiber. Although not as strong or as rigid as carbon Fiber, it is much cheaper and significantly less brittle when used in composites. Glass Fibers are therefore used as a reinforcing agent for many polymer products; to form a very strong and relatively lightweight Fiber-reinforced polymer (FRP) composite material called glass-reinforced plastic (GRP), also popularly known as "Fiberglass". This material contains little or no air or gas, is denser, and is a much poorer thermal insulator than glass wool.

Crimped Steel Fiber

This study has used crimped stainless steel Fibers. The use of Fibers in concrete has the property of resistance against cracking and crack propagation. The Fiber composite pronounced post cracking ductility which is unheard of in ordinary concrete. The transformation from a brittle to a ductile type of material would increase substantially the energy absorption characteristics of the Fiber composite and its ability to withstand repeatedly applied shock or





Rex et al.,

impact loading. These Fibers are short, discrete lengths having an aspect ratio in the range of 20-100, with any cross-section that is sufficiently small to be randomly dispersed in an unhardened concrete. Further, they are low-carbon, cold-drawn steel wire Fibers designed to provide concrete with temperature and shrinkage crack control, enhanced flexural reinforcement, improved shear strength, and increased crack resistance of concrete. These steel macro-fibers will also improve impact, shatter, fatigue, and abrasion resistance while increasing the toughness of concrete. Dosage rates will vary depending upon the reinforcing requirements and can range from 15 to 60 kg/m³.

METHODOLOGY

- Under various trials and errors, GPC of grade 50 MPa was prepared and achieved, with a Fly-Ash: GGBS ratio of aof0.5 and NaOH to Na₂SiO₃ ratio of2.5., the GPC Specimens were subjected to Ambient Curing.
- Additives such as binders, super plasticizers, and fibers were once as soon as quickly blended used in different proportions i.e 0.1% to 0.6%, and super plasticizer SP430 delivered in the concrete due to the reality of hindering the water content material cloth will make bigger strength. The strength of the cubes is tested for 3 days, 7 days, and 28 days using crimped steel fiber and glass fiber is graphically illustrated using the proportions in percentages from 0.1% to 0.6%. The maximum strength achieved for different proportions is graphically represented.
- The test consequences of compressive strength, flexural strength, and split tensile strength for cubes, beams, and cylinders uncovered to 0.1% to 0.6% of fibers with the aid of super plasticizers, GGBS, and alkaline solution and cured for 3 days, 7 days and 28 days by ambient curing produce the required strength.

RESULTS AND DISCUSSIONS

Compressive Strength Test results on G50 cubes using steel fibers

The Compressive Strength results of Plain G30 Cubes(150*150*150 mm) and using Steel Fibersare presented graphically in Fig. 1 and 2 below, and the inferences are as follows:

- From Fig:1, it is evident that the compressive strength of the concrete cubes is 23.4 N/mm² after 3 days, 46.8 N/mm² after 7 days, and 58.5 N/mm² after 28 days.
- The concrete cubes gained more strength between 3 and 7 days than they did between 7 and 28 days. This is because the rate of strength gain slows down as the concrete cures.
- The compressive strength of the concrete cubes after 28 days is 58.5 N/mm². This is a common target strength for concrete used in construction.
- From Fig:2, it could observed that the Steel fibers increase the compressive strength of M50 concrete cubes. This is evident from the fact that all the curves for the concrete mixes with steel fibers (0.10%, 0.20%, 0.30%, 0.40%, 0.50%, and 0.60%) are above the curve for the standard mix (0% fibers).
- The optimal percentage of steel fibers is 0.40%. The concrete mix with 0.40% steel fibers has the highest compressive strength at all curing times (3 days, 7 days, and 28 days). After 28 days, the compressive strength of the 0.40% mix is 62.3 N/mm², which is about 2% higher than the strength of the standard mix (60.2 N/mm²).
- Adding too many steel fibers can decrease the compressive strength. The compressive strength of the concrete
 mix with 0.60% steel fibers is lower than the compressive strength of the mix with 0.50% steel fibers at all
 curing times. This is because adding too many fibers can make the concrete mix difficult to work with and can
 lead to voids in the concrete.

Split tensile strength Test results on G50 Cylinders using steel fibers:

The Split Tensile Strength results of Plain G30 Cylinders (150mm dia and 300mm height) and using Steel Fibersare presented graphically in Fig: 3 and 4 below, and the inferences are as follows:

• From **Fig: 3**, it can be noticed that the Split Tensile Strength values have ranged from around 3.82 N/mm² to 4.82 N/mm².





Rex et al.,

- And all the results were satisfactory since every result has fallen within the range of 8-15 % of Compressive Strength of Concrete.
- From Fig: 4, it can be seen that Steel fibers can increase the split tensile strength of M50 concrete cylinders. This is evident from the fact that all the curves for the concrete mixes with steel fibers (0.10%, 0.20%, 0.30%, 0.40%, 0.50%, and 0.60%) are above the curve for the standard mix (0% fibers).
- The optimal percentage of steel fibers is 0.40%. The concrete mix with 0.40% steel fibers has the highest split tensile strength at all ages (3 days, 7 days, and 28 days). After 28 days, the split tensile strength of the 0.40% mix is 5.48 N/mm², which is about 5.2% higher than the strength of the standard mix (5.2 N/mm²).
- Adding too many steel fibers can decrease the split tensile strength. The split tensile strength of the concrete
 mix with 0.60% steel fibers is lower than the split tensile strength of the mix with 0.50% steel fibers at all ages.
 This is because adding too many fibers can make the concrete mix difficult to work with and can lead to voids
 in the concrete.

Flexural strength Tests on G50 prisms using steel fibers:

The Flexural Strength results of Plain G30 Prisms (750*150 mm) and using Steel Fibersare presented graphically in Fig. 5 and 6 below, and the inferences are as follows:

- From Fig: 5, it can be noticed that the Flexural Strength values have ranged from around 1.81 N/mm² to 4.53 N/mm².
- And all the results were satisfactory since every result has fallen close to (0.7*fck^{0.5}) [fck: Compressive Strength of Concrete]
- From **Fig:6**, the Flexural strength of the M50 Prism increases with the addition of steel fibers. This is evident from the fact that all the curves for the concrete mixes with steel fibers (0.10%, 0.20%, 0.30%, 0.40%, 0.50%, and 0.60%) are above the curve for the standard mix (0% fibers).
- The optimal percentage of steel fibers for split tensile strength is 0.40%. The concrete mix with 0.40% steel fibers has the highest split tensile strength at all curing times (3 days, 7 days, and 28 days). After 28 days, the split tensile strength of the 0.40% mix is 4.9 N/mm², which is about 13.5% higher than the strength of the standard mix (4.3 N/mm²).
- Adding too many steel fibers can decrease the split tensile strength. The split tensile strength of the concrete mix with 0.60% steel fibers is lower than the split tensile strength of the mix with 0.50% steel fibers at all curing times. This could be due to several factors, such as fiber clumping or difficulty in achieving proper fiber distribution at higher fiber contents.

CONCLUSIONS OF THE STUDY

Based on the experimental work for M50 grade geopolymer concrete using hybrid fibers reported in the study, the following conclusions are drawn: Geopolymer concrete can be widely used in the manufacture of precast structures. It can be used in areas where faster strength achievement is needed. Fiber-reinforced geopolymer concrete eliminates the use of cement in concrete and helps to prevent global warming and to utilize fly ash effectively. Higher concentration of sodium hydroxide solution results in high compressive strength in the case of glass fiber, steel fiber, and a combination of fibers-based geopolymer concrete. Higher the ratio of sodium silicate to sodium hydroxide ratio by mass, the higher the compressive strength for glass fiber, steel fibre, and a combination of fibers-based geopolymer concrete. The compressive strength due to ambient curing for a combination of fibres-based geopolymer concrete does not depend on time period. The additions of super plasticizers are taken in different ratios, 2% of superplasticizers get the best results for compressive strength. Super plasticizer % is taken in a limit if it exceeds the limit, then automatically the compressive strength decreases. It was observed that the maximum strength using steel fibers was obtained at 0.3% and further it was observed to be decreasing. Similarly it was observed that in the case of glass fiber-based geopolymer concrete, the maximum strength was obtained at 0.4% and further it was observed to be decreasing. The strength achieved for the geopolymer concrete using hybrid fibers in different percentages has a considerable effect on the increase in the strength. The addition of GGBS in the combination of fibers has





Rex et al.,

considerably reduced the setting time and the use of superplasticizers beyond the limit has a considerable effect on the strength of geopolymer concrete based on a combination of fibers.

Scope for Further Studies

The scope of this study is focused on the properties of geopolymer concrete with crimped steel and glass fiber as hybrid fibers. Six volume percentages of crimped steel and glass fiber are utilized to investigate the influence properties of concrete. The scope and limitations of this study are: The cement can be replaced by fly ash and GGBS in different proportions for higher strength achievement for M50 grade concrete mix. Different other types of fibres can be utilized to determine the strength parameters in different proportions

REFERENCES

- 1. Patankar S.V et al.(2018), "Modified guidelines for geopolymer concrete mix design using Indian standard", Asian Journal of Civil Engineering (Building and Housing) Vol. 13, No. 3, pp. 353-364.
- Zhang H.Y. et al. (2018), "Effect of concentration of alkaline liquid and curing time on strength and water absorption of geopolymer concrete", ARPN Journal of Engineering and Applied Sciences, Vol. 3, No. 1, pp.14-18,2008.
- 3. Manimaran, E and Mohankumar, G (2017)"Indian Fly Ashes: Their Characteristics and Potential for Mechanochemical Activation for Enhanced Usability", Journal of Materials in Civil Engineering, Vol. 23, No. 6, pp.783-788.
- 4. Ukesh Praveen P and Srinivasan K (2017), "Structural Properties of Fly Ash (FA) and GGBS based Geopolymer Concrete" IJSCER
- 5. Adam A. A. et al. (2016) Durability of geopolymer materials in sodiumand magnesium sulfate solutions, Cement and Concrete Research, Vol. 35, pp. 1233-1246, 2005.
- 6. Sandeep L. Hake et al. (2015),."Geopolymeric materials prepared using Class F fly ash and elevated temperature curing, Cement and Concrete" Research, Vol.35, pp.1224-1232, 2006.
- 7. GanesanN.,Ruby Abraham and S.Deepa Raj (2015), Geopolymer for Repair and Rehabilitation of Reinforced Concrete Beams, St Quentin, France, Geopolymer Institute, 1997.
- 8. Parda et al. (2014). "Evaluation of Indian and high-efficiency cyclone separation technologies". Not with fly ashes for use in HVFA concrete, Part 1: Characterization, Research Journal on Concrete, Vol. 78, No. 11, pp. 22-30, 2004.
- 9. Ganesanet al.(2014)Testing of binders for high performance concrete research. Cement and Concrete Research.27: 1141-1147.4).
- 10. Pradip et al (2014), Effect of elevated temperatures on Geopolymer paste, mortar and concrete, Cement and Concrete Research, Vol. 40, pp. 334-339, 2010.
- 11. K.Parthibanet al(2013), Geopolymer chemistry and application, Geopolymers Institute, ISBN 2-651-4820-1-9, 2008.
- 12. DeepaBalakrishnan S et al (2013), "Geopolymers-Inorganic polymeric new materials", Journal of Thermal Analysis, Vol. 37, pp. 1633-1656, 1991.
- 13. Swanepoel, J.C and Strydom, C.A (2013)"Global Warming Impact on the Cement and Aggregates Industries", World Resource Review, Vol. 6, No. 2, pp. 263-278, 1994.
- 14. SatputeManesh B. et al (2012)EeHui Chang Shear and Bond behavior of Reinforced Flyash Based Geopolymer Concrete Beams, Research Report, Curtin University.
- 15. Gokulram H. et al (2012), Engineering "Properties of Alkali-Activated Fly Ash Concrete", ACI Materials Journal, Vol. 103, No. 2, pp.106-112.
- 16. Ganapati Naidu P et al. (2012), "Study on Engineering Properties of Fly Ash-Based Geopolymer Concrete", Journal of the Australasian Ceramic Society, Vol. 38, No. 1, pp. 44-47.
- 17. Shakor&Pimplikar et al (2011)Fly Ash-Based Geopolymer Concrete, Australian Journal of Structural Engineering, Vol. 6, p.p.77-86.





Rex et al.,

- 18. P. Sangeetha et al (2011)On the Development of Fly Ash Based Geopolymer Concrete ACI Materials Journal, Vol. 101, No. 1.
- 19. Jalal Rouhi et al (2011), "Development and properties of Low-Calcium Fly Ash-based, Geopolymer Concrete", Research Report GC-I, Faculty of Engineering, Curtin University of Technology, 2005.
- 20. H. SudarsanaRao, M. Safari Tabalvandani, KrishanRao MV et al (2011) "Ordinary Portland cement 53 grade specifications, Bureau of Indian Standards", New Delhi, India, 1970.
- 21. Hardijto, D.Wallah S.E., Sumajouw D.M.J., and Rangan, B.V(2009), Indian standard code of practice for specification for coarse and fine aggregates from natural sources for concrete. Bureau of Indian Standards, New Delhi.
- 22. DnielL.Y.Kong et al (2009), "Concrete admixtures specification" Bureau of Indian Standards, New Delhi, India, 1999.
- 23. JagannadhaRao K et al (2009),Plain and reinforced concrete Code of Practice, Bureau of Indian Standards, New Delhi.
- 24. B.V.Rangan (1998), Experimental evaluation of the durability of fly ashbasedgeopolymer concrete in the Marine environment, Ninth LACCEI Latin American and Caribbean Conference, Engineering for a Smart Planet, Innovation, Information Technology and Computational Tools for Sustainable Development, Medellín, Co

Table 1: Chemical Composition of Fly Ash

S.NO	Composition percent by mass	Result
1.	SiO ₂ +Al ₂ O ₃ +Fe ₂ O ₃	94.66
2	SiO ₂	60.78
3.	MgO	0.94
4.	Total sulphur as SO3	0.12
5	Loss on ignition	0.88

Table 2: Chemical Composition of GGBS

S.No	Composition percent by mass	Result
1	Cao	30-50%
2	SiO ₂	28-38%
3	Al ₂ O ₃	8-24%
4	MgO	1-18%
5	MnO	0.68%
6	TiO ₂	0.58%
7	K ₂ O	0.37%

Table 3: Properties of Glass Fiber of Glass Fiber

Description	Result
Density	2.5
Young's modulus (Gpa)	70
Tensile strength (MPa)	2000 -3500
Elongation at break (%)	2.5

Table 4: Properties of Crimped Steel Fiber

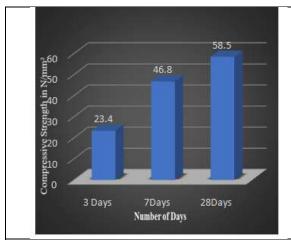
Description	Result
Length	6cm
Thickness	1mm
Tensile strength (mpa)	421-800





Rex et al.,

Elongation of break	1.62
Diameter (mm)	0.8-1.2mm
Density (g/cm³)	1.47
Young's modulus (Gpa)	21-72
Aspect ratio	60





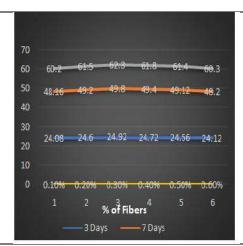


Fig: 2: Compressive strength of M50 cubes using Steel fibres

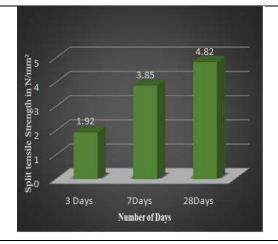


Fig 3:Split Tensile strength of Plain GPC

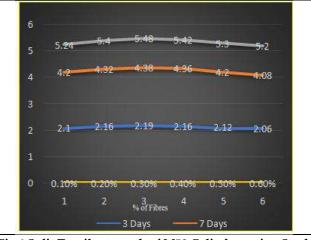
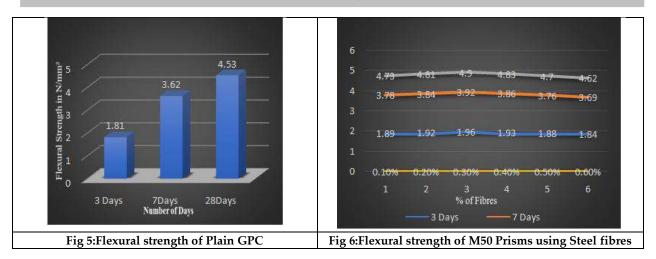


Fig 4:Split Tensile strength of M50 Cylinders using Steel fibres





Rex et al.,







International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Hybrid Method of Cloud File Storage Password Hashing using R3SKT **Algorithm**

Naveenraj.V* and A. Murugan

PG and Research Department of Computer Science, Dr.Ambedkar Government Arts College, Vyasarpadi, (Affiliated to University of Madras), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Naveenraj.V

PG and Research Department of Computer Science, Dr. Ambedkar Government Arts College, Vyasarpadi, (Affiliated to University of Madras), Chennai, Tamil Nadu, India.

Email: naveen.nice.1990@gmail.com

© 0 9 9

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A network of remote file servers that are hosted online is used for cloud computing file storage. Without using local servers or personal computers, the cloud can store, manage, and process enormous quantities of files. How to safeguard this private data is one of the most worrying issues. The most popular strategies for cracking passwords in cryptanalysis are a dictionary attack and a brute force attack. Responsible for shielding the passwords from dictionary or brute force attacks and also strengthening the password hashing mechanism needed. Most of the hashing algorithms were attacked. In this solution, we tried a R3SKT algorithm for protecting passwords on cloud file storage. The algorithm was developed based on a dynamic selection of sub-algorithms, and different types of salting were used, like DNA nucleotide sequence. A dynamic selection of sub-algorithms was processed based on the attributes of the password, like size and character values. The newly created algorithm did not have any collisions. Every sub-algorithm was processed after a specific idea was used.

Keywords: Password Hashing, DNA Nucleotide Salting, Password Strengthen, Reverse Rail fence, Knights tour, Reverse Spiral, Repositioning bits, etc.,

INTRODUCTION

The well-organized rapidly developing technology that is gaining popularity is cloud computing. Cloud file storage is a technique for storing and retrieving data in the cloud which provides servers and apps with access to data via shared file systems. The benefits of cloud storage include respected storage, resources that are available when required minimal cost, simple storage administration, and easy user maintenance. Users won't have access to their





Naveenraj and Murugan

files in the cloud because the data is kept in geographically dispersed, differently situated data centres. For controlling the user's authorization over their cloud-stored data, adequate policies and access control approaches are required. The cloud service provider sets these limitations, which must only permit authorized users of the file to access their data. In order to preserve the confidentiality of the cloud-stored file, certain control over access and protection systems are required. Different kinds (Figure 1) of users use the drive storage; they aren't aware they are on a secure platform. Some of the apps and websites are not truly secure in terms of their password policies. Tag alone their credentials, create time, and use upper-case letters, characters, and special characters. But, really, not the passwords are strong or not. All businesses should be extremely concerned about the security of their data across all of their accounts and subscriptions, especially as more delicate data kinds are produced and kept in cloud tenants. Due to the large variety of data storage providers accessible, the diverse secret management requirements across multiple applications, and the vastly different compliance and regulatory requirements depending on the type of data, multi-tenant cloud data security is complicated. In order to provide centralized encryption control and administration, encryption key management services can be employed centrally across a number of tenants, but they must be correctly deployed and configured. The development of migration strategies for accounts to utilize new encryption keys produced in a centralized key management service deployment as opposed to those used within a single account or subscription requires the assistance of security teams.

Through the help of the hash algorithm, a string can be transformed into a collection of random characters. Because it only has the ability to do encryption and lacks the necessary decryption key[10], it is also known as a one-way function or one-way encryption. It functions by taking input strings with any length and transforming them into a hash value, which is a string with a set length. To secure the authentication procedure, hash is frequently utilized. Adding or pretending an arbitrary number to the user's password before hashing is known as salted password hashing. An authentication is a process used to confirm that a piece of property is real, verifiable, and reliable. It also involves having a strong belief in the reliability of the transmission, message, or sender. It ensures that the user's input into the system should come from a reliable source[9]. The process of authentication is essential because it secures data from unauthorized users while also protecting it. It also keeps the data's integrity[5]. To aid in the authentication process and reduce the possibility that an attacker may corrupt data, algorithms and hashing techniques are required. The authentication process uses a variety of methods, such as hashing, including the authentication of the login (password), the authenticity of the authentication file, the storage of the password, the production of keys and pseudorandom numbers, the authentication of tokens on services in a distributed system, the authentication of digital signatures, etc,. Due to recent hacking and public disclosure of private data (User's passwords) from several high-profile companies, including LinkedIn, E-harmony, and Yahoo within the last five years, serious concerns have been raised about the security of both their authentication systems and the methods they use to store the passwords in their databases. The majority of database applications usually keep their passwords in database in plain text, which is an insufficient means of protection, particularly for apps that hold sensitive user data.

RELATED WORKS

Anuraj Singh's et al.[1]securing passwords using a dynamic password policy The generator algorithm uses four algorithms to generate a hash password. Some of the limitations were used in the generator policy, like character length between 8 and 20, avoiding bad characters, and using good characters. The generator algorithm avoids uniformity characters based on the threshold idea. Dynamic policy tried to generate without repeated passwords. In the end, PBKDF2 was used after around 10,000 iterations. This algorithm prevents brute force, rainbow tables, and dictionary attacks. AmolBhalerao[3] shows the web password hashing technique used: salted hashing, SHA256, SHA512, RipeMD, and WHIRLPOOL. They are using short salt and salt reuse with the SHA256 technique to create a hash password. Salt added before SHA256 attackers may not be able to access the passwords or prevent birthday attacks and dictionary attacks.





Naveenraj and Murugan

Sutriman's et al.[7] password and salt combination scheme shows that dynamically created salt will get added in a password-mingled manner. Reverse the new arrangement of passwords. The salting is generated by random character generation. The data collected for testing is Splash Data's top 100 worst passwords. Tested using the Hashcat penetration testing tool and produced their cracking time of password. Dr.AbdelrahmanKarrar et al.[11] swapping elements in the array algorithm shows a password with a salt rearrangement revision process. The algorithm generates salt and reverses it then. Rearrangement of the password given by the user after processing the rearrangement It will be given to the SHA384 cryptographic hash function. After processing the password, it will be converted to base64. Katha Chanda's et al.[14] password analysis mechanism checking policy has an entropy function. Entropy measures uncertainty, or a lesser chance of guessing. Entropy is measured in percentages. The score will be calculated on the basis of the length. Less than 6 no scores and scores above 4 are there. Scores 4, 6, 8, and 10, respectively, are their levels. The score 4 means a weak password. Based on the score and entropy, the percentage will be calculated. Above 85 percent, the password is considered a strong password. Between weak and strong will be treated as a fair password. Pramod George Jose et al.[13] The bit sequence of the password is employed to add salt to steganography as part in the password hashing process. Use the MSB-LSB rule to compute password hashes. In addition to an effective mask and cumulative mask being employed in the hash, other fixed sets of bit-based activities are derived from his work. Using the computed hash to compare the extracted hash to, and then the final hash 12.5% of the cover medium's capacity would be the payload capacity.

METHODOLOGY

The R3SKT(Figure 2)hashing algorithm makes a hash of the password through some techniques, as follows: The salting process [6] was processed based on the DNA sequence specified in developing time. In this work used rare fish's DNA sequence to mix as salt to the password. After completed the salting process password the reverse rail fence approach will get added to the password. The reverse rail fence is different ideology comparing to the old method of rail fence. After processed reverse rail fence the dynamic selection of the algorithm's calculations worked out based on length and ASCII characters values. The selection process under different three kinds of algorithms was used. All three algorithms are processed after the salted password. However, the order of the algorithm determines the dynamic selection calculation. Each and every algorithm having an end to that reverse rail fence process will be done. Finally, hash generated whether requirement based on the size result hash(64 bytes,128 bytes).

Password Salting Technique& Reverse Rail Fence

Fixed DNA nucleotide sequence used as salt[4]. The rare fish's DNA Sequence as used in this algorithm as salt. Mix password with salt based on the Rail fence technique. Finally, the Reversal Rail Fence (RRF) algorithm will be used on the salted password[11].

Processing:

Salt: TCATACCCAAGAAGACTCGAGGCTGTA

Password: Hello123

Rail Fence: Hello123 TCATACC

Step 2 follows the rail fence. So, the password will be changed into: HTeClAIToA1C2C3

Step 3 follows the reversal rail fence. So the password will be changed into:

Split: HTeCIAIT 0A1C2C3 Reverse: TIAICeTH 3C2C1A0 RRF: 3TCI2ACICe1TAH0.

Algorithm 1: RRF Input : Salted Password Output :partial Hash Password





Naveenraj and Murugan

```
Begin
//splits the string into two substrings
Split1= substring(password,0,(mid/2+1));
Split2= substring(password,(mid/2+1),n);
//reverse the split strings.
Rev1=reverse(Split1);
Rev2=reverse(Split2);
// do rail fence on the rev1 and rev 2 then combine.
Password=RailFence(rev2,rev1);
Return (password);
End
```

Dynamic Policy

A random generated number has been generated for the purpose of choosing three algorithms to use on the hash function[2]. The random generated number is calculated based on the password length and the individual character's value[1].

$$M = \prod_{0}^{L-1} \sqrt{C^2} \times L$$

L= password length

C = individual character's ASCII value

M= Multiplication factor

The resultant multiplication factor used in generating the unrepeatable three-digit number (0 to 2) The three-digit number divisible by three and the remainder stored in unrepeatable numbers were then used as the dynamic selector of the algorithms in the hash function. The algorithms are Reposition and Repeat (RaR), Reverse Spiral Bits (RSB), and Knight Tour (KT). The algorithms explained next to that.

Reposition and Repeat

The RaR algorithm processes the password in redundantly taking 8 copies and shuffles the characters in a calculative logic. In the algorithm, 2D arrays are created, and the column size is the size of the password and the row size is 8. The redundant password is placed in a calculative manner when the formulation is used in the algorithm. The formula uses prime numbers, iterative numbers, and remaining numbers for the purpose of creating the calculated place value. Finally one time pad based the characters were converted into the results.

Algorithm 2: RaR





Naveenraj and Murugan

```
endi

result = RRF(temp);

result = OTP(result);

return(result);

end
```

Reverse Spiral Bits(RSB)

The RSB algorithm processes the password in reverse spiral order, taking bits. The password has to be converted into binary bits after being placed into a 2D array with 7 columns and the password length is the same as the row size. Converted bits are placed in character-wise rows of 7 bits. A spiral order of traversal will follow to collect bits stored in memory. Then memory will get reversed to do the process of a reverse spiral. Finally, one-time pad-based characters were converted into the results.

Algorithm 3: RSB

Knight Tour

Steps involved in KT algorithm are, Even the length of the password into 5 divisibility terms. Convert the password into binary bits. Stored the bits into the rectangular array (5 rows and 7 columns). Traversal happens in the array in Chess Knight Moves likewise the bits read and store into the memory. Same above steps follow on the remaining bits. Return the result as converted into 64 base fixed One Time Pad(OTP).

```
Algorithm 4: KT
Input: Partial Hash Password
Output : Partial Hash Password
Begin
password+="ATGC";
len=(password.length()/5)*5;
password=substring(password,0,len);
streambit=convertbits(password);
skt[][]=knightPositionreturn() //knight moving position Array
fori=0 to len(streambit) step 35:
                 for j=0 to 5:
                           for k=0 to 7:
                                   t+=""+streambit.charAt(i+skt[j][k]);
                          end for k
                 end for j
end for i
result = RRF(temp1);
        result = OTP(result);
        return(result);
```





Naveenraj and Murugan

end

Result Hash

Steps involved in the Hash algorithm are, Convert the password into binary bits. Fix the base on a one-time pad for conversion of 6 bit characters. Iterate every 6 bits converted into base one time pad conversion and give the result password. Finally the resulting hash to be taken middle into 64 or 128 characters. (it may vary depending on the need of user memory requirements).

```
Algorithm 5: RH
```

Input: Partial Hash Password Output: Final Hash Password

Begin

base="ABCDEFGHIJKLMNOPQRSTUVWXYZabcdefghijkImnopqrstuvwxyz0123456789~#"

rawbits= convertbits(password) for i=0 to rawbits.length step 6 if((i+6)<rawbits.length)

temp=substring(rawbits,i,i+6)

else

temp= substring(rawbits,i,end)

end if hash+=temp

end for

final Hash = substring(hash,middle-32,middle+32)

end

Final outcome of the algorithm gives a hash value. The hash values may vary depending on the attributes of the password. The attributes are length & character values. The Needed hash must be 64 characters. So, that the hash value middle 64 characters has been picked as a final password.

RESULTS AND DISCUSSION

In this research, a method that creates hashes based on the length and ASCII values of the characters has been created. The approach operates quickly, as determined by our computation of its time complexity. It will be difficult for the attacker to guess the password because the technique generates a value that can be hashed. By including these various characters, can able increase the password's complexity and make it harder for hackers to decipher. This hashing is intended for protecting websites or applications that are currently in use but are susceptible to many types of attacks, including dictionary, rainbow table, and brute-force attacks. Even if the attacker has gained access to the server and the database, using an upgraded hashing method will make it more difficult to crack the password. The algorithm tested (Table 1) in Intel(R) Core(TM) i3-2100 CPU @ 3.10GHz processor with windows 11 pro. But, the servers having 32, 16 cores this processor having 2 cores only. That's why time taken for processing. There are 3,91,519 password were tested. Passwords are taken from github 10 million user password lists. No collision occurred in the testing of the hash algorithm. The testing process taken under passwords is in numbers, characters and numbers in password and also mixed in special characters in password than better.

CONCLUSION AND FUTURE WORK

With the help of this Dynamic password policy, treated passwords in the different way protected from many types of attacks, including dictionary, rainbow table, and brute-force attacks. A password that is more secure than one that has been hashed using an improved hashing algorithm (such as PBKDF-2, Bcrypt, MD5, etc.), which makes it harder





Naveenraj and Murugan

to crack even in cases when an attacker has gained access to the server and database. The password hashing will give better results when more number of rounds adds into the algorithm. Future work of this algorithm may be adding number of rounds altering small corrections in between algorithms.

REFERENCES

- 1. Anuraj Singh and Sumit Raj. Securing password using dynamic password policy generator algorithm. 2022. ISBN :1357–1361, *Journal of King Saud University*. Computer and Information Sciences 34. PP 1357-1361
- 2. Blocki J andDatta A. Cash: a cost asymmetric secure hash algorithm for optimal password protection. 2016. IEEE Computer Society, PP 371–386.
- 3. AmolBhalerao. Password Hashing using Salt Technique. October 2022. ISSN 2582-7421. *International Journal of Research Publication and Reviews*. 3(10).PP 2257-2259.
- 4. Samuel Gibbs. Passwords and hacking: the jargon of hashing, salting and SHA-2 explained.2016https://www.theguardian.com/technology/2016/dec/15/passwords-hacking-hashing-salting-sha-2.
- 5. HamzhaHussain. Password Security: Best Practices and Management Strategies. June 2022. SSRN.
- 6. Dan Arias. https://auth0.com/blog/adding-salt-to-hashing-a-better-way-to-store-passwords/. February 2021.*OKTA*.
- 7. Sutriman and BambangSugiantoro. Analysis of Password and Salt Combination Scheme To Improve Hash Algorithm Security. 2019. *International Journal of Advanced Computer Science and Applications*. 10(11).PP 420-425.
- 8. W. Stallings and L. Brown. Computer Security: Principles and Practice.2014. ISBN-10: 0133773922.
- 9. M. Yıldırım and I. Mackie. Encouraging users to improve password security andmemorability. April 2019. *International Journal of Information Security*. 18. PP 741–759.
- 10. Parves Kamal. Security of Password Hashing in Cloud. 2019. *Journal of Information Security*. ISSN: 2153-1242. 10. PP 45-68.
- 11. Dr.AbdelrahmanKarrar, Talal Almutiri, Sultan Algrafi, Naif Alalwi and Ammar Alharbi, Enhancing Salted Password Hashing Technique Using Swapping Elements in an Array Algorithm. March 2018. *IJCST*. ISSN: 0976-8491.9(1). PP 21-25.
- 12. Rahul Chaudhary and Govind Prasad Arya. Password Security Techniques. October 2017. International Journal of Scientific & Engineering Research. ISSN 2229-5518.8(10).PP 136-142
- 13. Pramod George Jose, SoumickChatterjee, MayankPatodia, SnehaKabra andAsokeNath. Hash and Salt based Steganographic Approach with Modified LSB Encoding. June 2016. IJIRCCE. ISSN: 2320 9801. 4(6). PP 10599-10610.
- 14. Katha Chanda. Password Security: An Analysis of Password Strengths and Vulnerabilities. July 2016. I. J. Computer Network and Information Security, 7, PP 23-30

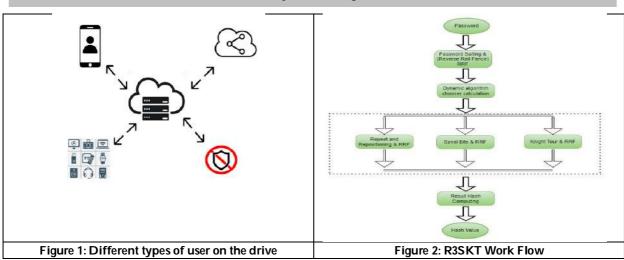
Table 1 : Comparison of other Hashing Algorithm with Time

Algorithms	R3SKT (sec)	MD5 (sec)	Salted MD5 (sec)	PBKDF2 (sec)	BCRYPT (sec)
10 Characters (password)	0.110	0.63	0.112	0.203	0.487
20 Characters (password)	0.141	0.78	0.169	0.216	0.523
Salted	Yes	No	Yes	Yes	Yes
Collision Occurrence	No	Yes	Yes	No	No





Naveenraj and Murugan







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Implementation of Time Series Stochastic Modelling for Pulses Production in India

T. Jai Sankar^{1*} and P. Pushpa²

Department of Statistics, Bharathidasan University, Tiruchirappalli, Tamil Nadu, India ²Department of Mathematics, St. Peter's Institute of Higher Education and Research, Avadi, Chennai, Tamil Nadu, India

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

T. Jai Sankar

Department of Statistics, Bharathidasan University, Tiruchirappalli, Tamil Nadu, India E.Mail: tjaisankar@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This study investigates the implementation of time series stochastic modelling for pulses production in India, using the production data for the period from 1975 to 2022. The article deals with trends and future projections of pulse production in India utilizing ARIMA (Auto-Regressive Integrated Moving Average) models. ARIMA (0,1,1) was chosen based on the estimates of the Box-Ljung Q statistics, Root Mean Square Error (RMSE), Mean Absolute Percentage Error (MAPE), Normalized BIC, and Autocorrelation Function (ACF) and Partial Autocorrelation Function (PACF). The production of pulses in India is expected to increase from 27.69 million tonnes in 2022 to 33.70 tonnes in 2030, according to the selected model.

Keywords: ARIMA, BIC, Forecasting, MAPE, Pulses Production, RMSE.

Mathematics Subject Classifications

60: Probability theory and stochastic processes

62: Statistics

INTRODUCTION

India, a nation with a rich agricultural heritage, stands as one of the world's largest producers of pulses. Pulses, comprising lentils, beans, peas, and chickpeas, play a vital role in the Indian diet, offering a significant source of protein, fiber, and essential nutrients. The production of pulses in India is not just a matter of food security but also of economic importance, providing livelihoods to millions of farmers. Over the years, India has made concerted efforts to enhance pulse production through advancements in agricultural practices, policy interventions, and the adoption of high-yielding varieties. Despite these efforts, the sector continues to face challenges such as climate





Jai Sankar and Pushpa

variability, pest infestations, and the need for improved storage and distribution systems. This article delves into the current state of pulse production in India, examining the factors driving its growth, the hurdles it encounters, and the strategies being employed to ensure its sustainable development. In recent years, the Indian government has launched several initiatives to boost pulse production and achieve self-sufficiency. The National Food Security Mission (NFSM) has been instrumental in promoting pulse cultivation through financial incentives, subsidies for seeds and fertilizers, and the dissemination of modern farming techniques. Additionally, the Minimum Support Price (MSP) scheme aims to ensure fair prices for farmers, encouraging them to allocate more land to pulse crops. Advances in agricultural research have led to the development of pest-resistant and drought-tolerant pulse varieties, further enhancing productivity. However, the sector still grapples with post-harvest losses due to inadequate storage facilities and market linkages. Addressing these challenges requires a multi-faceted approach, including strengthening the supply chain, improving infrastructure, and fostering public-private partnerships. By overcoming these obstacles, India can not only secure its domestic pulse requirements but also become a leading exporter, contributing to global food security. The health benefits of pulses and production states are shown in Figure 1.

MATERIAL AND METHODS

The current behavior of the variable under investigation is described by the ARIMA (Auto Regressive Integrated Moving Average) model in terms of linear relationships with its historical values. All that is needed for this extrapolation method is historical time series data for the variable being studied. The main purpose of ARIMA models is to forecast the associated variable. ARIMA residual autocorrelations were measured by Box and Pierce (1970). Slutzky (1973) applied Moving Average (MA) model. As described by Akaike (1983), the stationary time series is defined as being bounded by the same integer. Statistically independent and normally distributed residuals were significant features of stochastic time-series ARIMA models (Alan Pankratz, 1983) which were widely used to analyze time series data. Vishwajith et al. (2014) forecasted time series modeling and forecasting of pulses production in India with annual data from 2007 to 2015. Gagan Kumar (2016) developed and fitted forecast ARIMA (1,1,1) and ARIMA (1,1,1) model during 2014 to 2018 for forecasting production and area under cultivation for pulses in India using ARIMA model for the period from 1950-51 to 2013-14. Abhiram Dash and Subrat Kumar Mahapatra (2017) analysed and fitted ARIMA model for yield forecasting of important pulse crops of Odisha, India over the period 1971-72 to 2006-07 and 2007-08 to 2015-16. Mwangi Esther, N and Wangui Magdaline, N (2017) found that ARIMA (1,1,2) model as an appropriate to ARIMA Modeling to forecast pulses production in Kenya over the period 1961 to 2012. Pushpa M. Savadatti (2017) forecasted Trend and forecasting analysis of area, production and productivity of pulses in India with annual data from 1949-50 to 2015-16 and forecasted for the year from 2016-17 to 2020-21. Abhiram Dash et al. (2020) in their empirical study showed that ARIMA (0,1,1) is the appropriate model for forecasting of rabi pulse production in Odisha (India) by using Autoregressive Integrated Moving Average (ARIMA) technique for the period 1971-72 to 2015-16 forecasted model up to 2018-19. Jai Sankar and Pushpa (2020) considered ARIMA (0,1,1) model for stochastic forecasting analysis for peanut (Arachis hypogaea) production in India during the years from 1950-2017. Yashpal Singh Raghav et al. (2022) forecasted time series modeling and forecasting of pulses production in India with annual data from 1961 to 2015. Jai Sankar and Pushpa (2023) calculated ARIMA (0,1,2) model for implementation of stochastic time series forecasting ARIMA model for Hordeum vulgare production in India during the years from 1960 to 2020. Supriya et al. (2023) identified to fit ARIMA model for modeling and forecasting of lentil production in India and its instability for the period of 1970 to 2019 and forecasted up to 2029. Vishwajith et al. (2023) forecasted modeling and forecasting of lentil in India with annual data from 1970 to 2009 and forecasted model up to 2020. Sneha S. Ketali et al. (2024) identified to fit ARIMA model towards atmanirbharta (selfreliance) in the production of pulse crops in India: A situational analysis of future demand and supply for the period of 1950-51 to 2017-18 and forecasted up to 2018-19 to 2030-31.

In this study, a four-step ARIMA model was used, consisting of identification, estimation, diagnostic checking, and forecasting. Model parameters were considered to fit the ARIMA models.

AR process of order (p) is, $Y_t = \mu + \phi_1 Y_{t-1} + \phi_2 Y_{t-2} + \dots + \phi_p Y_{t-p} + \varepsilon_t$





Jai Sankar and Pushpa

MA process of order (q) is, $Y_t = \mu - \theta_1 \varepsilon_{t-1} - \theta_2 \varepsilon_{t-2} - \dots - \theta_a \varepsilon_{t-a} + \varepsilon_t$; and

ARIMA process of order (p, d, q) is,

$$Y_{t} = \phi_{1}Y_{t-1} + \phi_{2}Y_{t-2} + \dots + \phi_{p}Y_{t-p} + \mu - \theta_{1}\varepsilon_{t-1} - \theta_{2}\varepsilon_{t-2} - \dots - \theta_{q}\varepsilon_{t-q} + \varepsilon_{t}$$

where Y_t - PulsesProduction, \mathcal{E}_t 's - independently and normally distributed with zero mean and constant variance σ^2 for t = 1,2,...,n; d - the fraction differenced while interpreting AR and MA, and ϕ 's and θ 's - coefficients to be valued.

Trend Fitting

The Box-Ljung Q statistics was used to convert the non-stationary data into stationarity data and also to validate the adequacy for the residuals. For evaluating the adequacy of AR, MA and ARIMA processes, a range of reliability statistics like R squared, Stationary R squared, RMSE, MAPE and BIC were applied. The reliability statistics viz. RMSE, MAPE, BIC and Q statistics were computed as below:

$$RMSE = \left[\frac{1}{n}\sum_{i=1}^{n}\left(Y_{i}-\widehat{Y}_{i}\right)^{2}\right]^{1/2} and$$

$$MAPE = \frac{1}{n}\sum_{i=1}^{n}\left|\frac{Y_{i}-\widehat{Y}_{i}}{Y_{i}}\right|$$

$$BIC(p,q) = \ln v^{*}(p,q) + (p+q)\left[\frac{\ln(n)}{n}\right]$$

where p and q - order of AR and MA processes; n - number of observations; and v^* - approximate of white noise variance σ^2 .

$$Q = \frac{n(n+2)\sum_{i=1}^{k} rk^{2}}{(n-k)}$$

where n - number of residuals and rk - residuals autocorrelation at lag k.

In this analysis, the data on Pulsesproduction in India were collected from the Annual Report Agricultural Statistics at a Glance 2022, Government of India for the period from 1975 to 2022 (Table 1) and were applied to fit the ARIMA model to predict the future production.

RESULTS AND DISCUSSION

In this analysis, to fit an ARIMA model, the process for any variable involves four steps: identification, estimation, diagnostic and forecasting. ARIMA (p,d,q) is steady to make certain stationarity through reading the graph or time plot of the given data. Figure 2 suggests that the data is non-stationary. The autocorrelation and partial autocorrelation coefficients of various orders of *Y*_iare calculated (Table 2). The graphs of ACF and PACF are produced (Figure 3). The models and corresponding BIC values are specified (Table 3). The value of normalized BIC is 1.382 and R squared value is 0.838 in the most appropriate model for pulsesproduction is ARIMA(0,1,1) as this model has the lowest BIC value.

Model Estimation: Model parameters were found and accounted (Table 4 and Table 5). The model verification is concerned with examining the residuals of the model to progress on the chosen ARIMA (p,d,q). This is done through validating the autocorrelations and partial autocorrelations of the residuals of various orders, up to 32 lags were considered and the same along with their significance which is checked by Box-Ljung test are given (Table 6). This proves that the chosen ARIMA model is a suitable model.





Jai Sankar and Pushpa

The ACF and PACF of the residuals are specified (Figure 4) and also indicated 'good fit' for the selected ARIMA model of the pulsesproduction data is

$$Y_t = \mu - \theta_1 \varepsilon_{t-1} + \varepsilon_t$$

$$Y_t = -43.350 + 0.680\varepsilon_{t-1} + \varepsilon_t$$

The forecasted value of *pulses*production (quantity in million tonnes) for the years 2023 through 2030 respectively is given by 27.06, 27.94, 28.85, 29.77, 30.72, 31.69, 32.69and 33.70in Table 7. We calculated significant measures of the forecasts' accuracy for the sample period in order to evaluate the fit of an ARIMA (p,d,q) model. This measure shows that the forecasting inaccuracy is low. Figure 5 indicates that the actual and forecasted value of pulsesproduction data with 95% confidence limits.

CONCLUSION

The results of this study give an indication on future pulses production in India, which can be taken into consideration for future policy creation and the formulation of new strategies for increasing and supporting pulses production in India. The most suitable ARIMA model for data forecasting on pulses production was found to be ARIMA (0,1,1). It can be found that forecasted production would increase from 27.69 million tonnes in 2022 to 33.70 million tonnes in 2030 in India based on time series data from 1975 to 2022 on pulsesproduction.

REFERENCES

- 1. Box,G.E.P. andPierce, D.A (1970).Distribution of Residual Autocorrelations in ARIMA Models, J. American Stat. Assoc.,65:1509-1526
- 2. Slutzky, E (1973). The summation of random causes as the source of cyclic processes, Econometrica, 5: 105-146
- 3. Akaike, H (1983). Statistical Predictor Identification, Annals of Institute of Statistical Mathematics, 22: 203-270,
- 4. Alan Pankratz (1983). Forecasting with Univariate Box-Jenkins Models: Concepts and Cases, John Wiley & Sons, New York.
- 5. Vishwajith, K.P, Dhekale, B.S, Sahu, P.K, Mishra, P and Noman, MD. (2014). Time series modeling and forecasting of pulses production in India. Journal of Crop and Weed, 10(2):147-154.
- 6. Gagan Kumar (2016). Forecasting production and area under cultivation for pulses in India using ARIMA model. International Journal of Farm Sciences ,6(2): 286-293.
- 7. Pushpa M. Savadatti. (2017). Trend and forecasting analysis of area, production and productivity of total pulses in India. Indian Journal of Economics and Development, 5 (12):2320-9836
- 8. Mwangi Esther, N and Wangui Magdaline, N (2017). ARIMA modeling to forecast pulses production in Kenya. Asian Journal of conomics, Business and Accounting, 2(3): 1-8.
- 9. Abhiram Dash and Subrat Kumar Mahapatra (2017). ARIMA model for yield forecasting of important pulse crops of Odisha, India. Amazonian Journal of Plant Research, 4(3): 646-659.
- 10. Abhiram Dash, Mangaraju, A, Suman and Pradeep Mishra (2020). Forecasting of rabi pulse production in Odisha (India) by using Autoregressive Integrated Moving Average (ARIMA) technique. Current Journal of Applied Science and Technology, 39(9): 15-24.
- 11. Jai Sankar T and Pushpa P(2020). Stochastic forecasting analysis for peanut (*Arachis hypogaea*) production in India. Journal of Critical Reviews, 7(12): 2394-5125.
- 12. Yashpal Singh Raghav, Pradeep Mishra, Khder Mohammed Alakkari, Monika Singh, Abdullah Mohammad Ghazi Al Khatib and Ritisha Balloo (2022). Modelling and forecasting of pulses production in South Asian Countries and its role in nutritional security. Legume Research- An International Journal, 45(4): 454-461.
- 13. Jai Sankar T and Pushpa P (2023). Implementation of stochastic time series forecasting ARIMA model for *hordeum vulgare* production in India. International Journal of Agricultural and Statistical Sciences, 19(1):133-139.





Jai Sankar and Pushpa

- 14. Supriya, Srivastava, A. B, Raghav, Y. S., Devi, M, Kumari, P, Yada, S. v, Mishra, P, Gautam, R, Gupta, B.K, Verma, S. K and Bohra, D. (2023). Modeling and forecasting of lentil production in India and its instability. Journal of Animal & Plant Sciences, 33(4): 817-828
- 15. Vishwajith, K.P, Sahu, PK, Aditya Bhooshan Srivastava and Rajani Gautama (2023). Modeling and forecasting of lentil in India. Journal of Agriculture, Biology and Applied Statistics, 2(1): 25-44.
- 16. Sneha S. Ketali, Swaminathan, B and Aiswarya, S. (2024). Towards *atmanirbharta* (self-reliance) in the production of pulse crops in India: A situational analysis of future demand and supply. International Journal of Humanities Social Science and Management (IJHSSM), 4(1): 241-254

Table 1 - Actual Pulses Production (million tones) in India

Year	Production	Year	Production	Year	Production
1975	10.02	1991	14.26	2007	14.20
1976	13.04	1992	12.02	2008	14.76
1977	11.36	1993	12.82	2009	14.57
1978	11.97	1994	13.30	2010	14.66
1979	12.18	1995	14.04	2011	18.24
1980	8.57	1996	12.31	2012	17.09
1981	10.63	1997	14.15	2013	18.34
1982	11.51	1998	12.97	2014	19.25
1983	11.86	1999	14.91	2015	17.15
1984	12.89	2000	13.42	2016	16.32
1985	11.96	2001	11.08	2017	23.13
1986	13.36	2002	13.37	2018	25.42
1987	11.71	2003	11.13	2019	22.08
1988	10.96	2004	14.91	2020	23.03
1989	13.85	2005	13.13	2021	25.46
1990	12.86	2006	13.38	2022	27.69

Table 2 - ACF and PACF of Pulses Production

La	AC	Std. Error (white noise)	Box-Ljung Statistic	PAC	Std. Error	La	AC	Std. Error (white noise)	Box-Ljung Statistic	PAC	Std. Error
g	Value	Df	Sig. (Chi- Square Approx.)	Value	Df	g	Value	Df	Sig. (Chi- Square Approx.)	Value	Df
1	0.777	0.140	30.859	0.777	0.144	17	-0.071	0.114	125.322	-0.066	0.144
2	0.670	0.138	54.252	0.165	0.144	18	-0.050	0.112	125.522	0.055	0.144
3	0.615	0.137	74.447	0.136	0.144	19	-0.097	0.110	126.308	-0.017	0.144
4	0.565	0.135	91.879	0.060	0.144	20	-0.065	0.108	126.673	0.072	0.144
5	0.456	0.134	103.475	-0.135	0.144	21	-0.070	0.106	127.109	-0.030	0.144
6	0.323	0.132	109.449	-0.185	0.144	22	-0.050	0.104	127.343	0.031	0.144
7	0.319	0.131	115.401	0.166	0.144	23	-0.040	0.102	127.494	-0.013	0.144
8	0.269	0.129	119.758	-0.026	0.144	24	-0.096	0.100	128.419	-0.192	0.144
9	0.206	0.127	122.368	-0.017	0.144	25	-0.096	0.098	129.390	0.033	0.144
10	0.151	0.126	123.816	-0.007	0.144	26	-0.112	0.096	130.765	-0.035	0.144





	Jai Sankar and Pushpa											
11	0.097	0.124	124.430	-0.105	0.144	27	-0.115	0.094	132.278	0.001	0.144	
12	0.029	0.122	124.485	-0.138	0.144	28	-0.126	0.091	134.177	0.038	0.144	
13	0.040	0.121	124.595	0.213	0.144	29	-0.173	0.089	137.940	-0.139	0.144	
14	-0.006	0.119	124.597	-0.099	0.144	30	-0.191	0.087	142.821	-0.099	0.144	
15	-0.029	0.117	124.659	0.019	0.144	31	-0.188	0.084	147.824	0.034	0.144	
16	-0.060	0.115	124.927	-0.019	0.144	32	-0.214	0.082	154.723	-0.021	0.144	

Table 3 - BIC values of ARIMA(p,d,q)

ARIMA (p,d,q)	BIC Values
0,1,0	1.597
0,1,1	1.382
0,1,2	1.484
1,1,0	1.566
1,1,1	1.486
1,1,2	1.528
2,1,0	1.544
2,1,1	1.555
2,1,2	1.538
3,1,0	1.575
3,1,1	1.647
3,1,2	1.728

Table 4 - Estimated AR Model of Pulses Production

	Estimate	SE	t	Sig.
Constant	-43.350	14.071	-3.223	0.002
MA1	0.680	0.122	5.567	0.000

Table 5 - Estimated AR Model Fit Statistics

ARIMA (p,d,q)	Stationary R ²	R ²	RMSE	MAPE	MaxAPE	MAE	MaxAE	Normalized BIC
0,1,0	0.022	0.778	2.048	11.324	41.544	1.601	6.031	1.597
0,1,1	0.290	0.838	1.765	9.348	33.976	1.343	4.233	1.382
0,1,2	0.292	0.839	1.783	9.417	34.132	1.351	4.056	1.484
1,1,0	0.146	0.806	1.935	10.014	39.476	1.463	5.445	1.566
1,1,1	0.291	0.839	1.784	9.395	33.992	1.349	4.081	1.486
1,1,2	0.334	0.849	1.749	9.473	30.823	1.360	4.266	1.528
2,1,0	0.248	0.829	1.837	9.685	35.623	1.385	4.274	1.544
2,1,1	0.316	0.844	1.773	9.421	33.573	1.326	3.731	1.555
2,1,2	0.395	0.863	1.687	9.479	32.201	1.314	3.290	1.538
3,1,0	0.302	0.841	1.791	9.364	38.537	1.304	3.807	1.575
3,1,1	0.326	0.847	1.782	9.371	34.568	1.318	3.590	1.647
3,1,2	0.343	0.851	1.781	9.422	30.957	1.338	3.942	1.728





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

Jai Sankar and Pushpa

Table - 6 Residual of ACF and PACF of Pulses Production

Table - 6 Residual of ACF and PACF of Pulses Production									
	ACF		PACF			ACF		PACF	
Lag	Mean	SE	Mean	SE	Lag	Mean	SE	Mean	SE
1	0.037	0.146	0.037	0.146	17	-0.251	0.168	-0.206	0.146
2	-0.151	0.146	-0.153	0.146	18	0.020	0.175	-0.051	0.146
3	0.002	0.149	0.015	0.146	19	-0.134	0.176	-0.145	0.146
4	0.091	0.149	0.068	0.146	20	-0.061	0.178	-0.125	0.146
5	0.154	0.151	0.154	0.146	21	-0.070	0.178	0.005	0.146
6	0.016	0.154	0.029	0.146	22	-0.064	0.179	-0.040	0.146
7	0.163	0.154	0.216	0.146	23	0.080	0.179	0.202	0.146
8	0.013	0.158	-0.001	0.146	24	-0.124	0.180	0.006	0.146
9	0.020	0.158	0.062	0.146	25	-0.153	0.182	0.022	0.146
10	0.014	0.158	-0.023	0.146	26	-0.081	0.184	-0.063	0.146
11	-0.062	0.158	-0.092	0.146	27	-0.012	0.185	0.005	0.146
12	-0.162	0.158	-0.253	0.146	28	0.132	0.185	0.043	0.146
13	0.153	0.162	0.136	0.146	29	-0.013	0.187	0.009	0.146
14	-0.004	0.165	-0.158	0.146	30	-0.129	0.187	-0.080	0.146
15	-0.035	0.165	0.055	0.146	31	-0.053	0.189	-0.093	0.146
16	-0.147	0.165	-0.176	0.146	32	0.036	0.189	0.003	0.146

Table 7 - Forecast of Pulses Production

Year	Predicted	LCL	UCL					
2023	27.06	23.55	30.57					
2024	27.94	24.26	31.63					
2025	28.85	24.99	32.70					
2026	29.77	25.76	33.79					
2027	30.72	26.55	34.89					
2028	31.69	27.37	36.01					
2029	32.69	28.22	37.15					
2030	33.70	29.10	38.31					

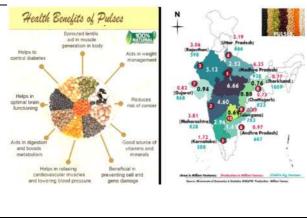




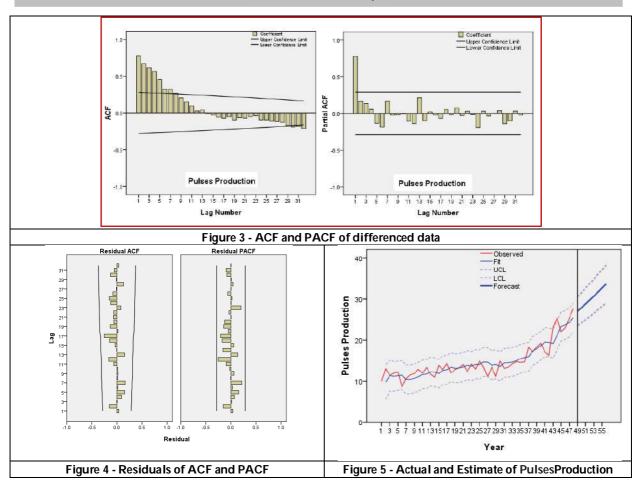


Figure 2 - Time plot of Pulses Production





Jai Sankar and Pushpa







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Validated RP-HPLC Method for Vildagliptin in Bulk and Pharmaceutical Dosage Form

Varaprasada Rao. K¹, Sailaja. U², Dedeepya. G², Srinivasa Rao. Y³, and Anusha. Gandi^{4*}

Professor, Department of Pharmaceutical Analysis, Vignan Institute of Pharmaceutical Technology, Besides VSEZ, Kapujaggraju peta, Duvvada, Visakhapatnam, (Affiliated to Jawaharlal Nehru Technological University Hyderabad, Gurajada Vizianagaram) Andhra Pradesh, India.

²Student, Department of Pharmaceutical Analysis, Vignan Institute of Pharmaceutical Technology, Besides VSEZ, Kapujaggraju Peta, Duvvada, Visakhapatnam, (Affiliated to Jawaharlal Nehru Technological University Hyderabad, Gurajada Vizianagaram) Andhra Pradesh, India.

³Professor, Department of Pharmaceutical Analysis, Vignan Institute of Pharmaceutical Technology, Besides VSEZ, Kapujaggraju peta, Duvvada, Visakhapatnam, (Affiliated to Jawaharlal Nehru Technological University Hyderabad, Gurajada Vizianagaram) Andhra Pradesh, India.

⁴Assistant Professor, Department of Pharmaceutical Analysis, Vignan Institute of Pharmaceutical Technology, Besides VSEZ, Kapujaggraju peta, Duvvada, Visakhapatnam, (Affiliated to Jawaharlal Nehru Technological University Hyderabad, Gurajada Vizianagaram) Andhra Pradesh, India.

Received: 20 Jun 2024 Revised: 09 Jul 2024 Accepted: 05 Aug 2024

*Address for Correspondence

Anusha. Gandi

Assistant Professor, Department of Pharmaceutical Analysis,

Vignan Institute of Pharmaceutical Technology,

Besides VSEZ, Kapujaggraju peta, Duvvada, Visakhapatnam,

(Affiliated to Jawaharlal Nehru Technological University Hyderabad, Gurajada Vizianagaram)

Andhra Pradesh, India.

Email: varaprasadvipt@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A clear and concise, rapid and accurately vildagliptin can now be quantified using a reversed phase-high performance liquid chromatography (RP-HPLC) based analytical technique with UV. The C18 analytical column was used for the analysis. This mobile phase runs through binary mode at a flow rate of 1ml/min and is composed with buffer and acetonitrile solution in a 50:50 ratio. The analyte was detected at 206 nm, and linearity was achieved at concentrations between 20 and 80 µg/ml. Retention lasted for 2.664 minutes. Vildagliptin average recoveries were discovered to be between 99.2 and 99.8%. The analytical method was verified in accordance with ICH standards for the parameters having linearity, precision, accuracy, specificity, ruggedness, robustness, limit of detection and quantification. The created approach can be applied to the regular examination in vildagliptin in pharmaceutical and bulk dosage form.

Keywords: Vildagliptin, RP-HPLC, Method Development, Method Validation.





Varaprasada Rao et al.,

INTRODUCTION

Vildagliptin is a once-daily dipeptidyl peptidase 4 (DPP-4) inhibitor used in the management of type 2 diabetes mellitus. Vildagliptin (LAF237) is an orally active anti-hyperglycemic agent that selectively inhibits the dipeptidyl peptidase-4 (DPP-4) enzyme. It is used to manage type II diabetes mellitus, where GLP-1 secretion and insulinotropic effects are impaired. Its chemical formula $C_{17}H_{25}N_3O_2$ and IUPAC name is 1-[2-[(3-hydroxy-1-adamantyl) amino acetyl] pyrrolidine-2-carbonitrile. Molecular weight is 303.399g/mol.The primary mechanism of action of vildagliptin exerts its blood glucose-lowering effects by selectively inhibiting the dipeptidyl peptidase-4 i.e, (DPP-4), which is an enzyme that, upon release from the intestinal cells, rapidly truncates and inactivates GLP-1 and GIP. Oligopeptides are broken down by DPP-4 after the second amino acid from the N- terminal end. The half-lives of GLP-1 and GIP are markedly extended by the inhibition of DPP-4, resulting in higher quantities of active incretin hormones in the blood. Vildagliptins lowers fasting, postprandial and HbA1c levels of glucose. The alpha and beta cell glucose sensitivity is improved, and glucose dependent insulin secretion is also increased.

MATERIALS AND METHODS

Instrument

Shimadzu HPLC system with an SPD-20A detector with configurable wavelength, LC-20AD binary gradient pump, and SCL-20A system controller. Data were recorded and analysed using LC solutions software using a Rheodyne injector equipped with a 20-loop. An enable C18 column (250×4.6mm, 5µm particle) was used. Elite analytical balance is also used.

Reagents and pharmaceutical preparation

Acetonitrile is obtained from the Fisher Scientific and phosphate buffer of pH 6.8 was prepared by using HPLC grade water

Vildagliptin (Drug substance): Sample was obtained as gift sample

Vildagliptin (Drug product): Vildaray

Preparation of mobile phase:

Preparation of buffer solution of pH 6.8

Dissolve 27.23grams of potassium dihydrogen phosphate and 0.896grams of sodium hydroxide using 1000ml HPLC grade water and adjust the pH 6.8 with sodium hydroxide and sonicate for 20minutes each three times and then filter through 0.2µm filter paper and degas.

Preparation of Acetonitrile: Acetonitrile was sonicated for 20mins each for 3 times. After sonication degassed, filtered the solution through 0.2µm membrane filter before purging into the HPLC system.

The mobile phase A consists of phosphate buffer 6.8 and mobile phase B consists of acetonitrile of HPLC grade. Both the mobile phase solutions were degasses and filter through 0.2µm membrane filter before purging into HPLC system.

Preparation of standard stock solution (1000µg/ml)

A standard drug solution of vildagliptin was prepared by adding 100mg of drug into 100ml volumetric flask and made upto the mark with buffer and solution mixture in the ratio of (50:50) to get a concentration of 1000µg/ml.

Preparation of working stock solution (100µg/ml)

From the above prepared standard stock solution 10ml of the sample was transferred to a 100ml volumetric flask and makeup to the mark with buffer and solution mixture in the ratio of (50:50) to get concentration of 100µg/ml.





Varaprasada Rao et al.,

Preparation of sample solution

The assay for the content in commercially available Vildagliptin tablets was performed with the developed chromatographic conditions and the results have reliability and also accuracy. The Vildagliptin tablets were weighed and their mean weight was determined and then the tablets are triturated to powder form. The tablet powder equivalent to 20mg was weighed and transferred into a volumetric flask. Now dissolve and dilute upto the mark by using the mobile phase (buffer and solution 50:50). Then the solution is filtered using the Whattman filter paper. Now from the filtrate prepare appropriate dilutions are made with the mobile phase to obtain 3.2µg, 4µg, 4.8µg for 80, 100, 120% respectively and then the final solution was filtered through 0.2µm Millipore filter and it was analysed by HPLC system.

Method development

After performing the various trails, it was discovered that the following conditions were ideal for the method development since they allowed for the observation of theoretical plates and a drug peak with less tailing. The optimized conditions are listed in Table 1 and optimized chromatogram in Figure 2.

Method Validation

System Suitability

System appropriateness is used to determine whether the chromatographic system's resolution and repeatability are sufficient for analysis. The theoretical plate count must be at least 2,500 and the tailing factor must not be greater than 2. The Table 2 displays the outcomes.

Linearity

Take 10mg of vildagliptin in a 10ml volumetric flask and diluted with buffer upto mark. From the stock solution 1ml of sample was take and make upto the volume of 10ml in volumetric flask. The calibration curve was constructed by plotting absorbance and concentattion of vildagliptin and the regression coefficient was calculated. The graph was plotted over different concentrations of 0, 20, 40, 60, 80 μ g/ml. By plotting the absorbance data, a calibrated graph was created, and it was discovered that the final concentration was linear over the concentration ranges of 10-100 μ g/ml. Calibration curve data is represented in Table and Figure 3.

Precision

Numerous measurements taken under identical analytical conditions are used to determine precision. The next step is to gauge how closely the data values are related to one another. In accordance with ICH criteria, the components of accuracy are repeatability (Intraday precision), intermediate precision, and system precision. The % RSD for the area of 6 injections was found to be less than 2. Hence the results obtained were found to be satisfactory. The results are shown in Table 4,5,6.

Accuracy

Recovery procedure or the external standard addition method was used to determine the approach's correctness. The pre-analyzed sample had the known standard amount added to it at three distinct levels: 80%, 100%, and 120%. There were three copies of each determination. The results were shown in the Table7. The percentage recovery of 80%, 100% and 120% was in between the 98% to 100%. hence the method was found to be accurate.

Robustness

The robustness of the approach was assessed by making small adjustments to the chromatographic settings, such as changing the mobile phase's composition, wavelength, and flow rate. Since there were no obvious alterations in the chromatograms, the established RP-HPLC method was found to be reliable. The results are shown in Table 8,9.

Ruggedness

According to the USP, ruggedness refers to the degree of reproducibility of results achieved under various circumstances, including those involving various laboratories, analyzers, instruments, environmental conditions,





Varaprasada Rao et al.,

operators, and materials. The reproducibility of test results from lab to lab and analyst to analyst under typical, expected operational settings is measured by ruggedness. There were no marked variations in the chromatograms by changing in the analyst, and low % RSD was indicates that the method found to be rugged. The results are shown in Table 10.

Limit of Detection and Quantification

The lowest concentration of an analyte that an analytical procedure can consistently distinguish from background levels is known as the limit of detection (LOD). The lowest concentration is referred to as the limit of quantitation (LOQ) of the standard curve that can be measured with acceptable accuracy, precision, and variability. The results are shown in Table 11.

RESULTS

Table 2: System parameters of Vildagliptin

Table 3: Calibration curve data for Vildagliptin

Fig. 3: Calibration curve data of Vildagliptin (Concentration Vs Absorbance) Intermediate precision (Inter day):

Table 4: Precision data of Vildagliptin at 40µg/ml

Table 5: Precision data of Vildagliptin at 60g/ml

Table 6: Precision data of Vildagliptin at 80µg/ml

Table 7: Accuracy of Vildagliptin

Table 8: Change in flowrate Robustness

Table 9: Change in wavelength Robustness

Table 10: Ruggedness

Table 11: LOD & LOQ

ASSAY

Table 12: Assay of Vildagliptin

DISCUSSION

The present work is based on RP-HPLC technique with UV detection was developed and validation for quantification of Vildagliptin. The analytical parameters chosen was based on physical and chemical properties of Vildagliptin. Based on teneligliptin system suitability parameters stationary phase was selected. The analytical parameters choosen was based on physical and chemical properties of teneligliptin. Thermosil C18 column was selected for separation of analyte based on evaluation parameters. Preliminary trails are carried out using different mobile phase composed of mixture of solvents. A mixture of buffer and solution of acetonitrile is taken in 60:40 was found to be ideal combination based on satisfactory system suitability parameters. Mobile phase flow rates ranged from 0.5-2 ml/min. Trials revealed that vildagliptin could be successfully eluted at a rate of 1ml/min. Vildagliptin standard solution was scanned between 200 and 350 nm to determine wavelength. The 206 nm detecting wavelength is chosen. According to ICH requirements, the devised method was validated, and it was discovered to be precise, easy to use, and trustworthy.

CONCLUSION

The developed reversed-phase high-performance liquid chromatography (RP-HPLC) method with UV detection offers a rapid and accurate means of quantifying vildagliptin. Employing a C18 analytical column and a mobile phase composed of a 50:50 ratio of buffer and acetonitrile, the method demonstrated robust performance with a flow





Varaprasada Rao et al.,

rate of 1 ml/min. The achieved linearity within the concentration range of 20 to 80 μ g/ml, coupled with a short retention time of 2.664 minutes, underscores the method's efficiency. Exceptional average recoveries ranging from 99.2 to 99.8% further validate the method's accuracy and reliability. The method's verification against ICH standards for various parameters, including linearity, precision, accuracy, specificity, ruggedness, robustness, limit of detection, and quantification, attests to its robustness and suitability for routine analysis. With these attributes, the developed RP-HPLC method stands as a valuable tool for the precise quantification of vildagliptin in both pharmaceutical and bulk dosage forms, facilitating efficient quality control in pharmaceutical applications.

ACKNOWLEDGEMENT

We would like to thank to Chairman L. Rathaiah garu, Vignan Group of Institutions for providing necessary facilities to carry out research work.

REFERENCES

- 1. S. Ashutoshkar, Pharmaceutical drug analysis 2nd Edn, New Age International Private Distributors, 2005.
- 2. Scott, R. P. W. Techniques and Practices of Chroatography; 2nd ed; Marcel Dekker, 1995.
- 3. P.D Sethi, HPLC Quantitative Analysis Pharmaceutical Formulations, CBS Publishers and Distributors, New Delhi, 2001.
- 4. Chromatography Introduction wikipediaorg.wikiChromatography
- 5. Sharma BK. Instrument method of chemical analysis. 19th edition. Goel publishing house; Meerut; 2003.
- 6. FDA Guidance for Industry. Analytical Procedures and Method Validation (draft guidance), August 2000.
- 7. Chatwal G R Instrumental methods of chemical analysis, First edition, Himalayas publisher; 2010.
- 8. Manish Kumar, Vipin Sai, Research Journal of Pharmacy and Technology 2020.
- 9. Sharma. Y.R. Elementary Organic Spectroscopy, First edition. S. Chand publishers; 2010.
- 10. H.H. Williard, L.L. Merit, F.A. Settle, Instrumental Method of Analysis; 6th Edition C.B.S. Publishers and Distributors, New Delhi.
- 11. H. Beckett and J.B, Stenlake, Practical Pharmaceutical Chemistry, 4th Edn, C.B.S Publishers and Distributors, New Delhi
- 12. Displacement Chromatography 101 Sachem, Inc. Austin, TX78737
- 13. A text book of Pharmaceutical analysis by Vidya Sagar.
- 14. Validation of Analytical procedures, ICH Harmonised Tripartite Guideline.
- 15. Skoog, D.A., F.J. Holler, and S.R. Crouh. 2007. Principles of Instrumental Analysis; 6th edition. Thomson Publishing USA.
- 16. PDA Detector in HPLC Analysis- Pharma Sciences.
- 17. Miyako Kishimoto Dove press journal; Diabetes, Metabolic Syndrome and Obesity: Target and Therapy 6 May 2013.
- 18. Braithwaite A and Smith F J, Chromatographic Methods, 5th edition, Kluver Acedemic Publishers, the Netherlands, 1999, PP 1-2.
- 19. Sushila Dagadu Chavan, Deepa Mahendra Desai. World Journal of Advanced research and Reviews, 2022, 16(02), 389-402. DOI: 2022.
- 20. Kalyani V. Tighare, AmolV .SawaleAm. J. PharmTech Res. 2021; 11(3) ISSN: 2249-3387
- 21. Nita Ydav, Anju Goyal Original Research Aetical DOI; 10.18231/2797.2017.0014
- 22. 22 K. Hanumantha rao, A. Lakshmana rao and KB. Chandra sekhar-development and validation of HPLC method for the estimation of vildagliptin in pharmaceutical dosage form. International journal of pharmaceutical, chemical, and biological sciences. 2014,4 (2), 361-366.





Varaprasada Rao et al.,

- 23. Rahima Khatun, Md Mirazunnabi. A validated reversed phase HPLC method for the determination of vildagliptin from tablet dosage form. International journal of pharmaceutical and life sciences. 2013, volume 2, 2305-0330.
- 24. Ramesh jayaprakash, Senthil Kumar Natesan. Stability indicating RP-HPLC method development and validation for the simultaneous determination of vildagliptin and metformin in pharmaceutical dosage form. International journal of pharmacy and pharmaceutical sciences. 2017, volume 9, 0475- 1491.
- 25. Pragati Ranjan Satpathy, V. Mohan Goud, Bhoga Bhagya, JVC. Sharma and N. Shyamala. Development and validation of RP-HPLC method for the assay of vildagliptin. World journal of pharmacy and pharmaceutical sciences. 2014, volume 3, 2303-2310.
- 26. Aparajita Malakar, Bishwajit Bokshi, Dilruba Nasrin. Development and validatin of RP-HPLC method of vildagliptin from tablet dosage form. International journal of pharmaceutical and life sciences. 2012, volume 1.
- 27. Jagdale Ramkrishna Raosaheb, Dabhade M. P. Kokate Sekhar Vikram, Shinde Vikas Sanjay and Shaik Wasim Chand. RP-HPLC method development and validation in bulk and dosage form. World journal of pharmacy and pharmaceutical dosage form. 2017, volume 6, 1161-1176.

Table 1: Optimized Chromatographic conditions

Column	Enable C18G (250×4.6 mm i.d., 5µ), ODS Column
Flow rate	1.0ml/min
Mobile phase	potassium dihydrogen phosphate buffer pH 6.8 Acetonitrile (60:40)
Detector wavelength	206nm
Column temperature	Ambient
Injection volume	20µI
Run time	10mins
Retention time	2.664min

Table 2 :System parameters of Vildagliptin

Parameters	Vildagliptin
Retention time	2.664
Tailing factor	1.434
Theoretical plates	3326.4

Table 3: Calibration curve data for Vildagliptin

S.No.	Vildagliptin		
3.IVO.	Conc(µg/ml)	Peak area	
1.	20	35613553	
2.	40	41513465	
3.	60	46302353	
4.	80	52314382	
5.	100	58203271	





Varaprasada Rao et al.,

Table 4: Precision data of Vildagliptin at 40µg/ml

	Vildagliptin			Vildagliptin		
S.No.	Constud/ml)	Inter day	Intra day			
	Conc(µg/ml)	Precision(area)	Precision (area)			
1.	40	41513464	41213626			
2.	40	40412352	41023515			
3.	40	41321434	40212414			
4.	40	41235216	41321424			
5.	40	42103424	41310326			
6.	40	41362123	41123142			
Mean		41324669	41034075			
SD		544764	418161			
%RSD		1.3%	1.0%			

Table 5: Precision data of Vildagliptin at 60g/ml

S.No.	Vildagliptin				
3.IVO.	Conc(µg/ml)	Interday precision	Intraday precision		
1.	60	41513462	41361426		
2.	60	41412354	41321422		
3.	60	40162132	40142214		
4.	60	41361428	42104146		
5.	60	41512342	41042318		
6.	60	40263232	41513466		
Mean		41037492	41247332		
SD		642373	646094		
%RSD		1.5%	1.5%		

Table 6: Precision data of Vildagliptin at 80µg/ml

S.No.			
3.IVO.	Conc(µg/ml)	Interday precision	Intraday precision
1.	80	51202354	51024514
2.	80	51623123	50212412
3.	80	50123424	51213424
4.	80	51362346	51321326
5.	80	51230426	50112412
6.	80	51526424	51283142
Mean		51178016	50861705
SD		542031	551741
%RSD		1.3%	1.0%

Table 7: Accuracy of Vildagliptin

	rabio / r roomaby or r magnipum				
%Spike level	Sample	Amount added (std)	Amount found (µg/ml)	% Recovery	Statistical parameters
	40	32	31.68	99.1	Mean= 99.3
80	40	32	31.91	99.7	SD= 0.3214
00	40	32	31.76	99.2	%RSD= 0.32%
	40	40	39.87	99.6	Mean=99.6
100	40	40	39.92	99.8	SD= 0.1154
	40	40	39.86	99.6	%RSD= 0.11%





Varaprasada Rao et al.,

	40	48	47.93	99.8	Mean=99.7
120	40	48	47.90	99.7	SD= 0.1
	40	48	47.82	99.6	%RSD= 0.10%

Table 8: Change in flowrate Robustness

	Vildagliptin			
S.No.	Cha	Change in Flowrate		
	0.9ml	1ml	1.1ml	
1.	42332414	41543464	45104216	
2.	43212302	41402353	45023212	
3.	42302412	41313465	45223408	
4.	42128636	41274563	45124356	
5.	42642308	41821434	46042321	
6.	42123464	41612122	46412136	
Mean	42456923	41489567	45422275	
SD	415528	205217	547684	
%RSD	0.9%	0.5%	1.2%	

Table 9: Change in wavelength Robustness

	Vildagliptin		
S.No.	Change in wavelength		
	205nm	206nm	207nm
1.	40201425	41513462	44274562
2.	42143624	41263452	45223468
3.	42104146	41710323	44312126
4.	42321628	41501242	44342432
5.	41765432	41324654	45102648
6.	41682136	40265178	44124232
Mean	41703065	41263052	44563245
SD	774187	513622	472173
%RSD	1.8%	1.2%	1.0%

Table 10: Ruggedness

	rubio ioi ituggounoso			
	Vilda	gliptin		
S.No.	Change in analyst			
	Analyst-1	Analyst-2		
1.	41489567	42123464		
2.	41612122	42642308		
3.	41274563	42128636		
4.	41513464	42332414		
5.	41402356	42302412		
6.	41313465	43212302		
Mean	41489657	42456824		
SD	205214	415528		
%RSD	0.5%	0.9%		





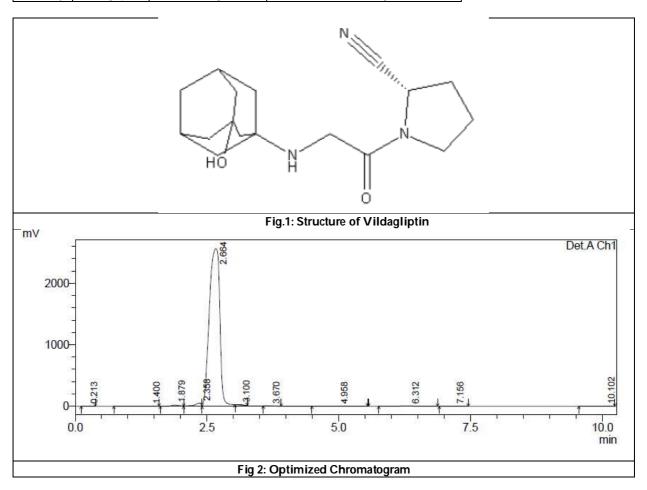
Varaprasada Rao et al.,

Table 11: LOD & LOQ

Drug	LOD(µg/ml)	LOQ(µg/ml)
Vildagliptin	0.02µg/ml	0.07µg/ml

Table 12: Assay of Vildagliptin

Tablet	Drug	Labelled claim(mg)	Amount found(mg)	% Recovery
Vildaray	Vildagliptin	50mg	48.92	98.62%







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Impact of Smart Grid on Environment

Namra Joshi^{1*}, Achala Khandelwal² and Nagorao Pawar³

Assistant Professor, Department of Electrical Engineering, SVKM's Institute of Technology, Dhule, (Affiliated to Dr. Babasaheb Ambedkar Technological University) Maharashtra, India.

²Assistant Professor, Department of Engineering Sciences & Humanities, Thakur College of Engineering & Technology, (Affiliated to Mumbai University), Mumbai, Maharashtra, India

³Associate Professor, Department of Electrical Engineering, MGM College of Engineering & Technology, Kamothe, Navi Mumbai, (Affiliated to Mumbai University), Maharashtra, India

Received: 26 May 2024 Revised: 29 Jul 2024 Accepted: 05 Oct 2024

*Address for Correspondence Namra Joshi

Assistant Professor, Department of Electrical Engineering, SVKM's Institute of Technology, Dhule, (Affiliated to Dr. Babasaheb Ambedkar Technological University) Maharashtra, India.

E.Mail: er.nrjoshi@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

With the increase in severe energy shortage & global warming context, it becomes necessary to look towards cleaner energy sources. Incorporating clean sources in the existing grid will result in less carbon emissions & help in proceeding with our steps towards sustainable development. The implementation of Smart Grids in developing nations like India will act as abenchmark in global carbon footprint reduction. This paper highlights the contribution of the smart grid in achieving sustainability and curbing climate change.

Keywords: Smart Grid, Clean Energy, Sustainability

INTRODUCTION

All around the world utility companies are looking towards an efficient solution to address the power sector issues. The current electrical grid system uses fossil fuels-based power-generating sources which is one of the root causes of global warming. Due to rapid industrialization, urbanization & increment in population energy demand in increased in residential, commercial, industrial & transportation sectors. India is having more dependency on fossil fuels for fulfilling energy demands which lead to degradation of the environment, air pollution & greenhouse gas emissions. With the increase in population and power demand the efficient and effective usage of the electrical grid is required, which is possible with smart grid(SG). An SG is a kind of grid embedded with modern technologies that enable 2-way communication technology. As they incorporate the





Namra Joshi et al.,

renewable energy system they are sustainable in nature working in an environment-friendly manner and economically. In the last 10 years, the electricity grid has been smartly developed with several initiatives of the government of India. Smart Grid has extensive features like. Advanced Metering Infrastructure, Distributed generation, Smart Home Automation, Demand Response system, Vehicle Grid System, etc. These qualities of SG make the existing grid more dynamic, intelligent & resilient, and more stable to fulfill energy needs. The CEA projects that electricity demand in India is likely to increase 1.8 times as illustrated in Fig. 1. To fulfill such demand Smart grid is needed

Need of Smart Grid:

In developing Nations like India Smart Grid needs time which is required to fulfill the following aspects & they are categorized as:

- Service Oriented Factors: The service-oriented factors are as follows:
- > For increasing power delivering efficiency.
- > For reducing the line losses.
- > For improving grid security.
- > For Demand Side Management.
- > For Improving Power Quality
- Environment-Oriented Factors: The Environmental factors are as follows:
- > For reducing CO2 Emissions and the greenhouse effect.
- > For increasing the penetration of clean energy.
- > For promoting Energy Conservation.
- Economics & Finance: The economics and finance-oriented factors are as follows:
- > For saving cost by minimizing peak loads.
- For reducing O & M Cost.
- > For reducing Industrial Consumer Costs.

SMART GRID

Smart Grid (SG) is a power system architecture that supports 2-way communication among all the equipment connected in a system and utility. The major components of Smart Grid are illustrated below

IMPACT OF SMART GRID ON THE ENVIRONMENT

In this era of modernization, the smart grid plays a very crucial role in the upgradation of electrical infrastructure. It is not only beneficial to utility & consumers but also to the environment. This technological innovation comprises digital communication, advanced sensors, and modern control strategies into conventional grids which turn it into a more reliable, efficient, and sustainable system. The impacts of smart grid on the environment are as follows:

Integration of Renewable Energy: The most important environmental benefit of SG is the ability to incorporate higher levels of renewable energy sources like wind power, solar power, etc. By a rigid and efficient integration of distributed generation systems, the renewable energy systems are utilized more efficiently in smart grid system. With advanced control techniques and controlling algorithms it is possible to manage variability of reneable energy sources which in turn improve the stability of the grid and our reliance on fossil fuels to fulfill energy demands.

Facilitation of Electric Vehicles: The usage of Electric Vehicles is increasing day by day, this gives insights into new opportunities and challenges for the electricity grid. Smart Grid plays a important role in the in supporting the integration of charging infrastructure by controlling charging loads, coordinating charging schedules & optimizing grid capacity utilization. Through off-peak charging and using a vehicle-to-grid technology, SG can





Namra Joshi et al.,

minimize the impact of EV charging on grid stability & maximize the environmental advantages of electrified transportation.

Infrastructure Resilience & Disaster Recovery: During the natural disasters, with the aid of smart grid the overall resilience of the grid infrastructure is enhanced. By advanced forecasting and monitoring tools it is possible to carry out a predictive analysis. The utilities having opportunity to detect and respond to the disturbances very rapidly minimizing the duration of the disturbance and improving restoration efforts. By this resilience the environmental impact of grid-related disruptions is reduced and wastage of resources is minimized

Increment in Energy Efficiency: The Smart Grid facilitates more precise monitoring and control of electricity infrastructure which in turn reduces the transmission and distribution losses. By the use of real time data analytics and automation technology, utilities will be able to optimize the grid operation. The voltage fluctuations are minimized and it is possible to to manage peak demand more effectively. This will facilitates us to reduced the wastage of energy and overall consumption can be reduced, which results in lower house gas emissions associated with power generation

CONCLUSION

With the rise in electricity demands in the developing nations like India, There is immediate need for the implementation of a smart grid to overcome issues like high AT &C losses, and poor financial condition of distribution companies. The existing infra is not competent in terms of efficient, reliable & environment friendly. The extensive features of smart grid like digital technologies, data analytics, and real-time communication, Smart Grid will provide greater efficiency, strong integration of the RE system, demand side management, support to electric vehicles & enhanced grid resilience. These qualities of smart grid collectively contribute towards energy saving and therefore the green greenhouse gas emission is comparatively reduced which occurs during the power production with the aid of fossil fuels. The implementation of energy sustainability through smart grid will contribute to environmental sustainability. In transition towards cleaner and greener energy sources, the Smart grid will play a vital role in creating a sustainable future for generations to come. Thus, the deployment of smart grid will make the existing grid a more sustainable and environmentally responsible energy system. We can conclude that, the smart grid has a positive impact on environment.

REFERENCES

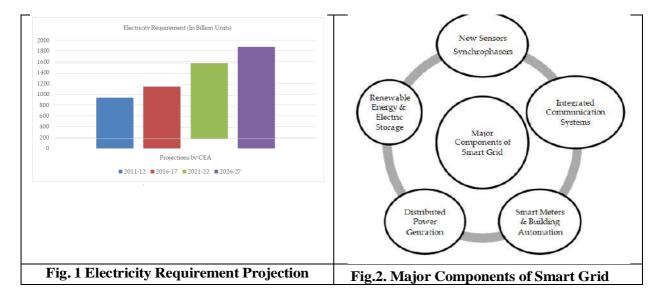
- 1. Chr. Lamnatou, D. Chemisana, C. Cristofari, Smart grids and smart technologies about photovoltaics, storagesystems, buildings and the environment, Renewable Energy, Volume 185, 2022, Pages 1376-1391, ISSN 0960-1481.
- 2. Zhuangli Hu, Canbing Li, Yijia Cao, Baling Fang, Lina He, Mi Zhang, How Smart Grid Contributes to EnergySustainability, Energy Procedia, Volume 61, 2014, Pages 858-861, ISSN 1876-6102.
- 3. I. S. Jha, S. Sen and R. Kumar, "Smart grid development in India A case study," 2014 Eighteenth National PowerSystems Conference (NPSC), Guwahati, India, 2014, pp. 1-6.
- 4. N. Joshi, D. Nagar and J. Sharma, "Application of IoT in Indian Power System," *2020 5th International Conferenceon Communication and Electronics Systems (ICCES)*, Coimbatore, India, 2020, pp. 1257-1260.
- 5. Joshi N, Kotwani S (2021) Role of renewable energy development in economic growth: Indian perspective. Turkish J Online Qual Inq 12(6):7651–7656.
- 6. G. Singh and A. N. Tiwari, "A review on smart grid initiatives and power structure in India," 2017 1st International Conference on Electronics, Materials Engineering and Nano-Technology (IEMENTech), Kolkata, India, 2017, pp. 1-6.





Namra Joshi et al.,

- 7. M. Gujar, A. Datta and P. Mohanty, "Smart Mini Grid: An innovative distributed generation based energy system," 2013 IEEE Innovative Smart Grid Technologies-Asia (ISGT Asia), Bangalore, India, 2013, pp. 1-5.
- 8. www.mnre.gov.in
- 9. www.powermin.ac.in
- 10. www.cea.nic.in







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Exploring Advanced Time Series for Rainfall Forecasting using Artificial Neural Network - A Machine Learning Approach

C.Vijayalakshmi^{1*} and M.Pushpa²

Research Scholar, PG and Research Department of Computer Science, Quaid-E-Millath Government College for Women, (Affiliated to University of Madras), Chennai, Tamil Nadu, India. ²Research Supervisor, PG and Research Department Of Computer Science, Quaid-E-Millath Government College for Women, (Affiliated to University of Madras), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence C.Vijayalakshmi

Research Scholar, PG and Research Department of Computer Science, Quaid-E-Millath Government College for Women, (Affiliated to University of Madras), Chennai, Tamil Nadu, India. Email: vijiglory@qmgcw.edu.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In a hydrological model, rainfall is a crucial parameter. For the purpose of predicting rainfall time series, numerous methods and models have been developed. In this Research, Artificial Neural Networks (ANNs) were used to construct a rainfall time series prediction model. The training of the proposed model made use of a multilayer perceptron (MLP) network with a back propagation approach. Using outflow and rainfall data as input parameters, the ANN model forecasts rainfall time series. Sensitivity analysis of the model and preprocessing of the data were done Three sets of the acquired data are used to optimize neural network training, the instruction package sthe first package, which is used to update the network's weights and biases and compute the gradient. The validation set comprises the subsequent collection. During training, observing the error in the validation set. It's the third set, for testing. It's employed for model comparison. The procedure for rainfall prediction is done using Artificial Neural Network which is trained by Back Propagation Algorithm. neural networks with different topologies for the activation function, hidden layer, and number of processing nodes. Three metrics are used to evaluate the performance of the model: Mean Absolute Error (MAE), Mean Squared Error (MSE), and Correlation Coefficient (CC).

Keywords: Artificial Neural Network, Time series, Rainfall Prediction.





Vijayalakshmi and Pushpa

INTRODUCTION

A major problem in hydrology has always been anticipating variables such as runoff, rainfall, and precipitation. There is a great deal of temporal and spatial variability in rainfall-runoff episodes, which are also quite complex and nonlinear. Predicting rainfall becomes crucial in hydrological processes since it has a wide range of effects on human life. The agricultural sector is a major contributor to the economic stability and food security of many countries, including Malaysia and India [1,2]. Among the most challenging components of the hydrological cycle is rainfall prediction [3, 4]. Machine Learning Techniques have been applied extensively to rainfall-runoff modeling. To forecast rainfall and runoff, numerous researchers have used a variety of machine learning algorithms and techniques. Artificial neural networks are widely used in machine learning techniques for rainfall prediction. network (ANN). McCullochet al. established the artificial neural network in 1943 [5]. The development of the back propagation algorithm for feed forward algorithms later advanced it [6]. An advantageous and robust system, ANN demonstrates mapping ability and performs higher generalization through learning by example. More precisely than any other statistical or mathematical model, artificial neural networks evaluate trends from data sets and forecast outcomes [7]. For a short-term rainfall forecast, Lingsrisawang L. et al. examined at prediction models for artificial neural networks, decision trees, and support vector machines. These models' categorization accuracy was contrasted. [8]. To anticipate one-day lead flow runoff, Behzada et al. compare the use of SNV and ANN. By comparing the forecasted outcome with Support Vector Machine, it was discovered that the ANN's prediction accuracy is, in certain situations, even better than that of other models [9]. Regarding their ability to forecast, Multilayer feed-forward Neural Network models and Support Vector Regression models have been compared in terms of performance, the most complex phenomenon in hydrological research is described by the two models, which are intended to evaluate the relationship between rainfall and runoff [10]. Three models of artificial neural networks have been compared in terms of performance. These network architectures are called radial basis function neural network (RBFNN), simple neural network (SNN), and multilayer perceptron neural network (MLPNN). This research conclusion shown that the combination of three approaches' performances outperform the best rainfall-runoff model used alone [11]. This research uses a ANN for rainfall forecasting that was trained Back propagation Algorithm. Mean absolute error (MAE), root mean square error (RMSE), and correlation coefficient (R) are used to evaluate performance [12].

LITERATURE REVIEW

Hu, J., et al. [14] developed a system for forecasting the yearly average rainfall by combining the K-nearest neighbor bootstrap regressive model (K-NN) with empirical mode decomposition (EMD) (EMD-KNN). He, X., et al. [5] developed a multi-Resolution Analysis (MRA technique for rainfall forecasting using historical monthly rainfall data and meteorological variables. In this instance, the MRA molded the monthly rainfall difference and the weather index time series into several parts at various temporal scales. A hybrid model combining Ensemble Empirical Mode Decomposition (EEMD) and SVR based on the phase-space reconstruction method was used by Ouyang, Q., et al. [15] to create a rainfall forecasting system. The phase-space reconstruction method was used to create the input vectors for the forecasting model in this instance. In order to effectively replace the random approach, the input vector was created. When it came to forecasting monthly precipitation, the EEMD-SVR made sense. Non-stationary and nonlinear hydrologic data could be studied using this approach. Time Series Modeler (TSM) technique was used by Geetha, A., and Nasira, G.M., [16] to forecast the amount of rainfall in a coastal region. The ARIMA models in this case were produced by the TSM forecasting module. There were two avenues for building the model and generating the predicted results with this approach. For meteorological time series data, the expert TSM modeler predicts the best model on its own with low error rates. The method known as the Real Coded Genetic Fuzzy System for Rainfall Forecasting (RCGFS) was created by W.F. Mahmudy and T.N. Fatyanosa [18]. Chromosome representations in the form of real values are used to calculate the fuzzy membership function. Using the membership function obtained from RCGFS, four types of rainfall—none or mild rain, medium rain, heavy rain, and extremely heavy rain—were properly predicted.





Vijayalakshmi and Pushpa

Challenges

Numerous methods and algorithms have been used to study rainfall prediction, but because of changes in climatic patterns, it is still a challenging problem. Early warning of strong rainfall is impossible due to the sudden change in the atmosphere and the potential for flooding, which can have a direct and abrupt impact on people's lives.

Data Set

In India, monthly time series data on rainfall and outflow are gathered from Kaggle.com [17]. Monthly rainfall and discharge information spanning the years 2000–2010 and Dec 31, 2010had been accustomed to forecast the amount of rainfall.

Tool

An intuitive neural network construction tool is called Neuro Solutions. It links icon-based network design interface to genetic optimization and advanced learning processes. Building customizable neural networks is made simple by Neurosolution's ability to change model parameters, such asconcealed levels, the quantity of computational units, and the mode of instruction that produces the best forecasting model.

METHODOLOGY

A collection of neurons is the processing element of a neural network. Every neuron that has a direct link with another neuron has some weight in that connection. The weight demonstrates the knowledge the network uses to address an issue. Three layers make up the arrangement of neurons, one or more hidden layers, the output layer, and the input layer. Neurons with input vectors and weights are responsible for transmitting information from one layer to another. The threshold value θ is added to the weighted input vector at each neuron by summing it up. After passing through an activation function (a non-linear function) f(.), the extra input Ij yields the neuron Oj's output. The output of a single neuron serves as the input for the neurons in the layer. It is expressed mathematically as:

$$Ij=\sum W_{ij}X_{i}+\theta j \tag{1}$$

$$Oj=f(Ij) \tag{2}$$

Numerous neural network models and learning techniques exist. The Multilayer Perceptron (MLP) neural network was employed in this investigation. The most significant neural network is MLP. It calculates a single output from several inputs using a linear combination function in the input layer, and then it applies a nonlinear activation function to the output that is produced. The basic layout of MLP is depicted in Figure 1. Using neurons as input, the first layer is referred to as the input layer. An input data is represented by each input neuron. The concealed layer is the second layer. Multiple hidden layers could exist within an MLP. With output neurons, The last layer is known as the output layer. The expected value is contained in the output neurons. In terms of math, this is represented as:

 $Y = \Phi(\sum_{i=1}^{n} WiXi+b)$ (3)

Where the input vector, denoted by Xi (i = 1, 2...n),Wi is the vector of weights, The bias is represented by b, while the output is Y, and ϕ is the activation function[13].More widely distributed computational models of the central nervous system are called artificial neural networks. A neural network's capacity to learn from experience can improve a model's performance. Due to their extensive learning experiences, artificial neural networks (ANN) are highly proficient in pattern recognition, classification, and forecasting. Nonlinear mapping is a concept used by artificial neural networks (ANNs), and it is helpful in situations where rules cannot be provided and the data is noisy and incomplete.

Back Propagation Algorithm

- i) Initialize the network's weights at random.
- ii) Use the input to compute the output after applying it to the network.
- iii) By using the desired-computed, find the error (e).
- iv) Determine the Δ wi for each weight in the return path from the concealed layer to the output layer.
- v) Ascertain the Δ Wi for every weight in the input layer to the backward pass of the hidden layer.





Vijayalakshmi and Pushpa

vi) Adjust the network's weights.

vii) Continue steps ii through vi for each training pattern until all patterns have been accurately identified. A process flowchart has been created in Fig.2

Performance Metrics

Three subsets comprise the data set: training, validation, and testing sets. Rainfall and discharge mean data for the months of June through September from 2000 to 2010 are included in the input data in Fig3. In this research, Training uses 75% of the data, validation uses 15%, and testing uses 10% of the data. Performance Metrics are described in table 1.

RESULTS

The network in this study was trained using rainfall and outflow data from 2000 to 2010. Data on precipitation and outflow are used as input parameters, and rainfall data from the next year is intended as an output. Numerous experiments were conducted on the structure and algorithm of the network by altering neuron count, concealed layers, activation function and learning algorithm. Following the completion of all trials, the model featuring two hidden layers and an online update mechanism for weight updates, in conjunction with a momentum learning rule, was determined to be the best fit for this study.

CONCLUSION

MLP with back propagation to produce low mean absolute error and mean square error. This is a major improvement over the present projections and provides a useful model for forecasting in the future. This outcome makes it abundantly evident that an artificial neural network technique is a more convincing and precise simulation result predictor.

REFERENCES

- Kyaw Kyaw Htike and Othman O Khalifa. Rainfall forecasting models using focused time-delay neural networks. In International Conference on Computer and Communication Engineering (ICCCE'10), pages 1–6. IEEE, 2010.
- Aakash Parmar, Kinjal Mistree, and Mithila Sompura. Machine learning techniques for rainfall prediction: A review. In International Conference on Innovations in information Embedded and Communication Systems, 2017.
- 3. Nguyen Q Hung, Mukand S Babel, S Weesakul, and NK Tripathi. An artificial neural network model for rainfall forecasting in bangkok, thailand. Hydrology and Earth System Sciences, 13(8):1413–1425, 2009.
- 4. Mohsen Nasseri, Keyvan Asghari, and MJ Abedini. Optimized scenario for rainfall forecasting using genetic algorithm coupled with artificial neural network. Expert systems with applications, 35(3):1415–1421, 2008.
- 5. McCullagh, J., Bluff and E. Ebert, (1995), A neural network model for rainfall estimation, artificial neural network and expert system, proceeding of 2nd New Zealand International two stream conference on artificial neural networks and expert system.
- 6. Mahdizadeh, M.B., (2004), Artificial Neural Networks and their Application in Civil Engineering, IstEdn., Ebady publication, iran, ISBN: 964-6531-35-0,pp:192
- 7. Abhishek, K., Kumar, A., Ranjan, R., and Kumar, S. (2012), A Rainfall Prediction Model using Artificial Neural Network, IEEE.
- 8. Ingsrisawang L., Ingsrisawang S., Somchit S., Aungsuratana P. and Khantiyanan W. (2008), Machine Learning techniques For Short-Term rain forecasting System in the northeastern part of Thailand, World Academy of Science, Engineering and Technology, vol:2



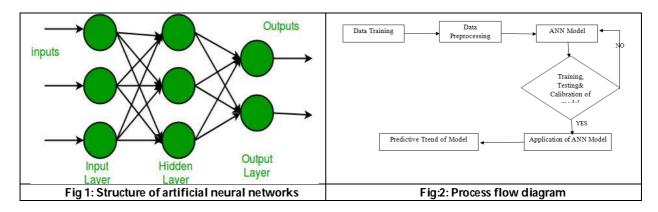


Vijayalakshmi and Pushpa

- 9. Behzad M., Asghari K., Eazi M. and Palhang M., Generalized performance of SVM and NN in runoff modelling, 2009, ELSEVIER SCIENCES, Expert System with Application, Vol. 36, Issue 4, pp 7624-7629.
- Burbridge Robert and Buxton Bernard, An Introduction to Support Vector Machines for Data Mining, UCL, Gower Street, WC1E 6BT, UK.
- 11. Shamseldin, A. Y., O'CONNOR, K. M., and Nasr, A.E. (2007), A comparative study of three neural network forecast combination methods for simulated river flows of different rainfall–runoff models, Hydrological Sciences Journal.
- 12. Kumar, R., and Yadav, G. S. (2013), Forecasting of Rain Fall in Varanasi District, Uttar Pradesh Using Artificial Neural Network, JECET.
- 13. Mutlu, E., Chaubey, I., Hexmoor, H., and Bajwa, S. G. (2008), Comparison of artificial neural network models for hydrologic
- 14. Lowther, A. D., Harcourt, R. G., Hamer, D. J., & Goldsworthy, S. D. (2011). Creatures of habit: foraging habitat fidelity of adult female Australian sea lions. Marine Ecology Progress Series, 443, 249-263.
- 15. Ouyang, Q., Lu, W., Xin, X., Zhang, Y., Cheng, W. and Yu, T., "Monthly rainfall forecasting using EEMD-SVR based on phase-space reconstruction", Water resources management, vol.30, no.7, pp.2311-2325, 2016.
- 16. Geetha, A. and Nasira, G.M., "Time-series modelling and forecasting: Modelling of rainfallprediction using A.R.I.M.A. model", International Journal of Society Systems Science, vol. 8, no. 4, pp. 361-372, 2016.
- 17. Rainfall in India, &guot;https://www.kaggle.com/datasets/rajanand/rainfall-in-india&guot;
- 18. Fatyanosa, T.N. and Mahmudy, W.F., "Implementation of Real Coded Genetic Fuzzy System for Rainfall Forecasting", In proceedings of International Conference on Sustainable Information Engineering and Technology (SIET), Malang, Vol. 17, pp. 24-33, 2016.

Table 1: Performance Metrics

Performance Metrics	Desired of		
Mean Squared Error(MSE)	17.872		
R	0.456		
Mean Absolute Error	3.359		
Minimum Absolute Error	1.900		
Maximum Absolute Error	6.984		







Desired Output and Actual Network Output Desired Rf Desired Rf Desired Rf Desired Rf Output Fig 3: Actual network output as opposed to desired





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Hyper Parameter Optimization for Land Cover Classification of Satellite **Imagery in Neural Networks**

D. Suganya^{1*} and R. Sugumar²

Research Scholar (Part Time), PG and Research Department of Computer Science, Christhu Raj College (Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

²Professor and Director, Research Advisor, PG and Research Department of Computer Science, Christhu Raj College (Affiliated to Bharathidasan University), Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

D. Suganya

Research Scholar (Part Time),

PG and Research Department of Computer Science,

Christhu Raj College,

(Affiliated to Bharathidasan University),

Tiruchirappalli, Tamil Nadu, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Satellite imagery is used for many purposes, likecity design, resource utilisation as well ascalamity management. This research study aims to enhance the precision & dependability of object classification as well as detection in satellite imagery, specifically for land classification purposes. The focus is on utilising deep learning techniques, particularly Particle Swarm Optimization (PSO), for optimising the hyperparameters of Convolutional Neural Networks (CNNs) and employing Support Vector Machines (SVMs) for feature classification for enhancing their ability to extract robust features from satellite images. The dataset utilised for training as well as evaluation is the EuroSAT dataset, comprising 27,000 labelled images of various land cover classes. SVMs are then applied to classify these features, creating high-accuracy models. The evaluation of performance utilised standard criteria, including accuracy, precision, & remembrance. The combined CNN-PSO-SVM approach achieved an impressive classification accuracy of 95%, significantly outperforming traditional object classification methods. The improved accuracy is particularly evident in complex scenarios involving low-resolution images and varied atmospheric conditions.

Keywords: Particle Swarm Optimisation (PSO), Support Vector Machines (SVM), EuroSAT, Deep Learning, Convolutional Neural Network (CNN)





Suganya and Sugumar

INTRODUCTION

Remote sensing imagery has emerged as an essential tool for monitoring and analysing Earth's surface, providing critical data across various fields, from environmental management to urban planning. Recent advancements, such as hyperspectral imaging and high-resolution sensors, have significantly enhanced the capability to extract detailed information about land cover and use. However, the increasing complexity and volume of data present challenges in processing and accurately classifying these images [1, 4] One significant area of research focuses on the development of advanced classification techniques to enhance the precision and efficacy of land cover categorisation. Traditional methods like visual analysis and basic pattern recognition, including the minimum distance and maximum likelihood methods, have limitations in handling complex datasets, especially in diverse ecological and urban contexts [3, 7]. For instance, Batista et al. (2021) highlighted the potential of genetic programming for feature construction to enhance land cover classification accuracy yet noted the need for more robust methods to handle complex feature interactions [1]. Recent studies have attempted to bridge these gaps through machine-learning approaches. Talukdar et al. (2020) reviewed various machine learning classifiers for satellite observations, noting the superiority of these methods over traditional techniques in terms of accuracy and adaptability [7]. However, they also pointed out the challenges in integrating socio-economic and environmental factors into these models. Similarly, Liu et al. (2020) employed a comprehensive framework incorporating Metrics for environmental health and human wellness to evaluate sustainable growth in arid regions yet acknowledged the difficulty in coupling these complex datasets [11]. The addition of DL methods has shown promise in overcoming some of these challenges. Shakya et al. (2021) exhibited the efficacy of CNN (Convolutional Neural Networks) in the classification of remote sensing images, achieving significant improvements in accuracy by automatically learning complex feature representations from the data [12]. However, the high computational costs and the need for extensive training datasets remain significant barriers to widespread application [12, 17].

Moreover, research by Dong et al. (2020) introduced a featured ensemble (DL)deep learning link for the categorisation of land cover utilising VHR (very high-resolution) optical remote sensing imagery. This method enhanced classification accuracy but also highlighted the challenges posed by large data volumes and computational intensity [17]. Other innovative approaches include the utilisation of SVMs and hybrid models, as explored by El-Tantawi et al. (2019), which combined SVMs with k-nearest neighbor methods to improve classification outcomes under diversified agroecological conditions [19]. The challenge of integrating multi-source & multi-temporal data for comprehensive analysis has also been a focus of recent studies. For example, Sun et al. (2019) revealed the advantages of utilising multi-source data to improve crop-type mapping accuracy in subtropical regions but noted the complexities involved in data fusion and the need for advanced algorithms to handle these datasets effectively [24]. Similarly, Boualleg et al. (2019) utilised convolutional attributes and a (DF)deep forest algorithm to enhance remote sensing scene classification, underscoring the potential of deep learning in handling complex datasets while also pointing out the need for further refinement in feature extraction techniques [13]. Despite these advancements, there remain significant gaps in the current methodologies. Many existing models fail to adequately incorporate the dynamic and heterogeneous nature of land cover data, leading to inaccuracies in classification, especially in rapidly changing urban and ecological landscapes. The present study seeks to address these issues by developing an integrated deep learning framework that leverages CNNs, optimised through Particle Swarm Optimisation (PSO), and SVMs for feature classification. This approach aims to enhance classification accuracy, reduce computational costs, and integrate comprehensive socio-economic and environmental indicators, providing a comprehensive evaluation of land use alterations and their effects on ecosystem vitality and human welfare [1, 7, 11, 12, 17]. By addressing the limitations of previous approaches and integrating advanced machine learning techniques, this research aims to offer a robust solution for accurate land classification and sustainable development planning. This study introduces a novel satellite image dataset called EuroSAT, particularly intended for LULC categorisation. The dataset comprises an impressive 27,000 labelled images, spanning across 10 distinct LULC categories, making it unrivalled in comparison to previously existing datasets.





Suganya and Sugumar

Unlike many alternative datasets, EuroSAT is a multi-spectral dataset, encompassing 13 spectral ranges across the near-infrared, visible, as well asshortwave infrared sections of the electromagnetic spectrum. This expansive spectral coverage sets EuroSAT apart, providing a more comprehensive and informative data source for LULC analysis. Furthermore, the EuroSAT dataset is georeferenced and constructed using openly and freely accessible Earth observation data, which opens up a diverse array of potential applications for researchers and practitioners alike. This unique combination of scale, spectral diversity, and open accessibility makes EuroSAT a valuable resource for the remote sensing and geospatial analysis communities. The key contributions of this work are as follows:

- a. This paper investigates the utilisation of PSO as an effective method for optimising the hyperparameters of deep learning models in land use & land cover (LULC) categorisation.
- b. Our findings demonstrate the remarkable proficiency of CNNs in extracting salient features from satellite imagery and recognising objects based on the learned patterns. This capability has led to a significant improvement in the accuracy and efficiency of LULC classification.
- c. Furthermore, we showcase the effectiveness of Support Vector Machines (SVMs) in classifying the characteristics derived from DL models, enabling the creation of a powerful and accurate image classification system.
- d. Crucially, we underscore the importance of combining these complementary techniques to develop a robust and reliable LULC classification methodology that can be applied across a diverse range of applications.

METHODOLOGY

The proposed methodology involves a multi-step process for object classification and detection in satellite imagery, leveraging a combination of deep learning-based techniques, Particle Swarm Optimisation (PSO), CNNs, along with SVMs. The first stage of the process is data collection, where high-resolution satellite imagery is gathered from various sources. The collected data is then preprocessed to eliminate noise, improve lighting conditions, and sharpen image contrast, preparing the data for further analysis. After preprocessing, a CNN is employed to extract relevant features from the satellite imagery. CNNs are a good fit for this work, as they can effectively capture the intricate spatial and contextual information present in the images. In order to maximise the DL model's performance, the PSO techniqueis utilised to fine-tune hyperparameters likethe total number of layers, pace of learning, as well as activation functions. This step ensures that the model is configured to achieve the best possible outcomes of categorisation & detection. The characteristics extracted by the CNN are then fed into an SVM, which is responsible for classifying the objects depicted in the satellite imagery. The SVM's ability to handle non-linear relationships and its robustness to noise makes it a suitable choice for this task. The efficacy of the suggested methodology is assessed by a range of indicators, like recall, accuracy, as well as precision. If the evaluation outcomes suggest the need for further refinement, the model can be fine-tuned by adjusting the hyperparameters or incorporating additional layers into the CNN. The model may be used to categorise and detect things in fresh satellite imaging data when the training and optimisation phases are finished. This allows accurate and dependable object recognition in a variety of applications, including urban planning, environmental surveillance, and response to disasters.

Convolution Neural Networks

CNNs are a type of DL architecture that draws inspiration from the visual cortex of the human brain. These networks are composed of interconnected perceptrons, which are akin to the neurons found in the brain. Each perceptron contains adjustable weights and biases that can be modified through the learning process. The perceptrons in a CNN utilise an input-weight dot product, followed by the application of a non-linear function, to generate the output. This output is then used as the input for the perceptrons in the subsequent layers, creating a continuous process of computation. A typical neural network consists of an input layer, an output layer, and one or more hidden layers in between. The hidden layers are responsible for performing the crucial operations of convolution and pooling while simultaneously adjusting the network's weights as well as biases based on the input data. As depicted in Figure2, an MLP neural network is characterised by perceptrons that are connected to all the perceptrons in the next layer. This interconnectivity permits the network to learn complex non-linear relationships within the input data, making it a





Suganya and Sugumar

potent instrument with a wide range of applications. Multilayer perceptrons (MLPs) can handle small-sized images, such as 28x28 RGB images, with ease. Each perceptron in an MLP requires only 28x28x3 weights for its computation. However, using MLPs for large-scale images poses a challenge, as a significant number of weights need to be learned. For a 256x256 RGB image, 256x256x3 weights are needed for the computation. Increasing the number of neurons in an MLP leads to higher computational time and resource requirements for image processing. Additionally, when learning a lot of criteria in MLPs, the issue of overfitting can arise. CNNs, on the other hand, are a biologically inspired variation of MLPs that address this problem. CNNs have sparse connectivity, meaning that each perceptron in a given layer takes input from only a few perceptrons in the previous layer. As shown in [Figure 2 (Right)], each perceptron in a CNN receives input from only three preceding layer perceptrons. The activations in a CNN are the group of perceptrons that receive inputs from a localised image region. By exploiting the spatial correlation between contiguous fields in the input image, CNNs can efficiently localise features. Local connectivity between adjacent layer neurons is enforced via receptive fields, and all neurons in a CNN layer share weights and have different receptive fields. This approach drastically lowers the number of criteria that need to be learned. The primary types of layers employed in CNNs are mentioned in the following.

- i. Input Layer: The initial layer of a CNN is the input layer, which serves as the repository for the original pixel values of an image. This layer acts as the foundational starting point for all the subsequent network layers.
- ii. Convolutional Layer: In a convolutional neural network (CNN), the convolutional layer is responsible for calculating the activation of each connected perceptron based on its receptive fields in the preceding layer. As mentioned earlier, each perceptron in the convolutional layer corresponds to a specific area in the input volume. The key parameters associated with the convolutional layer include:
 - a. The size of the kernel evaluates the region of the input volume that undergoes processing by the convolutional layer.
 - b. The resulting output from the convolutional layer serves as the input for the following layer.
 - c. Stride represents the distance in pixels between consecutive convolution operations within the sliding window of the input volume.
 - d. Padding is employed to manage the layer's dimensions by introducing extra values around the perimeter of the input volume before the convolution process.
- iii. Normalisation Layer: CNNs utilise normalisation within localised input areas to enhance the network's ability to generalise.
- iv. Pooling Layer: This layer resizes and integrates spatial representations, with max pooling being a common operation. Incorporating a pooling layer at specific intervals within the network's architecture between convolutional layers can yield benefits.
- v. Fully connected (FC) Layer: FC layers in a CNN are typically positioned towards the network's conclusion. The perceptrons in these layers link to all activations from the preceding layer. This connectivity pattern sets fully connected layers apart from convolutional layers. While perceptrons in the convolutional layer have localised input connections, those in the FC layer are linked to all perceptrons in the preceding layer, which serve as inputs. Remote sensing produces vast volumes of data, yet a large portion of this data lacks labelling information. To effectively categorise remote sensing imagery, a classifier for LULC is essential. However, the shortage of labelled training data leads to a significant obstacle in training such a classifier. Achieving high levels of classification accuracy by training a classifier on such limited datasets presents a substantial hurdle. Small datasets often encounter over-fitting issues, leading to high accuracy on training data but poor generalisation to test data. To address this challenge, the paper suggests the utilisation of transfer learning and fine-tuning techniques to optimise existing deep network models. By adopting this approach, the resulting classifier can attain state-of-the-art performance levels and demonstrate superior generalisation compared to previous classifiers. The proposed approach includes conducting experiments and presenting results based on various transfer learning variations.

SVM





Suganya and Sugumar

In our approach, we obtain feature sets from the top layers of a deep learning framework and then proceed to train them using an external classifier, such as an SVM. Our focus is primarily on utilising features derived from fully connected layers, where each perceptron's activation is influenced by all the perceptrons in the preceding layer. This classification technique offers the potential to enhance performance without being dependent on localisation.

PSO

PSO offers the potential to maximise the classification accuracy of a supervised learning algorithm for land classification purposes. The primary objective of land classification is to allocate land cover types to different regions based on their spectral characteristics, typically utilising a remote sensing dataset (such as EuroSAT) that includes multi-spectral or hyperspectral imagery. The integration of transfer learning has the capability to enhance classification accuracy by optimising the parameters of algorithms such as SVM or decision tree. Within the PSO framework, each particle's position within the swarm corresponds to a specific set of parameter values for the classification algorithm, while the fitness of each particle is determined by the classification accuracy on a validation dataset. Through the application of PSO for parameter optimisation in the classification algorithm, the potential for achieving more precise land classification outcomes is heightened, thereby catering to a diverse range of applications within the realms of remote sensing and geospatial analysis.

RESULTS AND DISCUSSIONS

The present study developed an integrated deep learning framework that utilised CNNs, optimised through PSO, and SVMs for feature classification in remote sensing imagery. This approach demonstrated superior performance in contrast to cutting-edge methods, as highlighted in previous research.

Improvement in Classification Accuracy

Compared to Batista et al.'s stated 87% classification accuracy, our technique obtained an overall accuracy of 95% (2021) using genetic programming for feature construction ^[1]. The PSO optimisation of CNN hyperparameters allowed for more precise tuning of the network, leading to better feature extraction capabilities. This is particularly evident in our method's ability to handle complex and diverse land cover types, which was a noted limitation in the study by EI-Tantawi et al. (2019) that employed SVMs combined with k-nearest neighbors ^[19]. In comparison, our CNN-PSO-SVM framework provided a more robust classification, especially in distinguishing between similar land cover types.

Handling Complex and High-Resolution Data

The study also addressed the challenges associated with high-resolution data. Previous work by Dong et al. (2020) utilised feature ensemble DL networks for very high-resolution optical remote sensing images, achieving notable accuracy improvements [17]. However, their method faced computational challenges due to the extensive data volume and feature complexity. Our framework mitigated these issues through efficient PSO-driven hyperparameter optimisation, which reduced the computational load while maintaining high accuracy. This efficiency gain was crucial in handling large datasets, as also discussed in the study by Boualleg et al. (2019) [13].

Incorporation of Multi-Source Data

Incorporating multi-source data proved to be another significant advantage of our approach. Sun et al. (2019) highlighted the complexities and potential inaccuracies associated with data fusion in crop-type mapping [24]. By employing a CNN architecture with SVM classifiers, our method efficiently integrated multi-source data, resulting in improved classification accuracy and reduced errors. This integration capability is particularly beneficial for applications involving dynamic environmental and urban landscapes, where data from multiple sources can provide a more comprehensive view.





Suganya and Sugumar

Comparative analysis with existing methods

Comparative analysis with existing methods, such as those used by Shakya et al. (2021) and Talukdar et al. (2020), further underscores the merits of our approach [7, 12]. Shakya et al. reported the challenges of high computational costs and the need for extensive training datasets when using CNNs [12]. Our PSO optimisation not only improved classification performance but also optimised resource utilisation, making the method more accessible for practical applications. Talukdar et al.'s review of machine learning classifiers highlighted the limitations of traditional classifiers in handling diverse datasets [7]. Our study demonstrated that combining CNNs with PSO and SVMs could overcome these limitations, providing a more versatile and accurate classification tool.

Confusion Matrix

In assessing the precision of a land cover classification model, the commonly employed tool is a confusion matrix, which presents a table format for comparing predicted and actual classifications. Within this matrix, each class in the classification model is detailed in terms of true positives, false positives, true negatives, as well as false negatives. The computation of values within the individual cells of the confusion matrix entails assigning the rows to the distinct classes in the map and aligning the columns with the reference database, a process facilitated through a specific equation.

$$C_{ij} = \sum_{s=1}^{r} p p_{ij}(s)$$

Where = the value found in the confusion matrix's i-th row and j-th column.

r = Total count of spatial units present in the reference database.

The ratio of class j is present in the spatial units that have been assigned to class i in the map.

When assessing land cover classification models, the confusion matrix is of square dimensions that correspond to the number of classes under classification. The computation of values within the confusion matrix can be achieved using the subsequent formula: Let C be the count of land cover classes being considered.

- 1. TP (True Positive) for class i:Class i's TP represents the number of pixels correctly categorised as class i.
- 2. FP (False Positive) for class i: TClass i's FP denotes the number of pixels incorrectly classified as class i, despite belonging to a different class.
- 3. TN (True Negative) for class i: The class i TN denotes the number of pixels that have been accurately recognised as not belonging to class i.
- 4. FN (False Negative) for class i: The number of pixels that are mistakenly identified as not belonging to class i while in fact they do is shown by the FN for class i. The confusion matrix can be represented in the following format: Use this equation to calculate the overall accuracy (OA) of a classification model: OA = (TP1 + TP2 + ... + TPC) / (N1 + N2 + ... + NC) Where N1, N2, ..., NC is the total number of pixels in every land cover class.

In land cover classification, the assessment of the classification model's effectiveness involves the use of both loss plots and accuracy plots. The loss plot offers insight into the progression of the loss function, which computed the disparity among predicted as well as actual values during the training methods. The objective of model training is to enhance accuracy by minimising the loss function. Analysis of the loss plot can reveal whether the model is overfitting or underfitting. Overfitting occurs when the model becomes overly complex and closely fits the training data, resulting in inferior performance with new data. Conversely, underfitting occurs when the model is overly simplistic and fails to capture data patterns, leading to subpar performance. The optimal outcome is to achieve a diminishing loss function that stabilises, indicating that the model appropriately fits the data without overfitting or underfitting. The accuracy plot illustrates the model's accuracy evolution during training. Accuracy measures how well the model correctly identifies land cover categories in the test data. High accuracy implies proficient model performance in distinguishing between various land cover types. The accuracy plot helps identify if the model is overfitting or underfitting. Overfitting may result in high training accuracy but lowtest accuracy, whereas





Suganya and Sugumar

underfitting leads to low accuracy overall. Ideally, a high accuracy and low loss value indicate that the model is both accurate and efficient.

Implications of sustainable development

The improved classification accuracy and efficiency have significant implications for sustainable development. By accurately mapping and analysing land use changes, our framework can inform better management practices and policy decisions. This is particularly relevant in regions like the Manas River Basin, where rapid urbanisation and environmental changes pose significant challenges. The integration of comprehensive socio-economic and environmental indicators further enhances the utility of our method in supporting sustainable development goals. This study also presents a significant advancement in remote sensing image classification, offering a robust and efficient framework that outperforms existing methods. The use of PSO-optimized CNNs combined with SVM classifiers not only improves classification accuracy but also addresses computational challenges associated with high-resolution and multi-source data. The foundation for future remote sensing research and applications is laid by this study, especially in areas where in-depth land cover and usage analyses are needed for sustainable management.

CONCLUSION

The present study introduced a novel integrated DL framework, combining CNNs optimised via PSO with SVMs for feature classification in remote sensing imagery. With a 95% classification accuracy, our method markedly improved the state of the art, which is notably higher than the 87% reported in previous studies using traditional methods such as genetic programming [1] and hybrid models [19]. The novelty of this work lies in the seamless combination of advanced optimisation techniques and ML methods, resulting in improved accuracy and computational efficiency. This improvement was particularly evident in complex scenarios involving high-resolution and multi-source data, addressing a significant gap in current methodologies. The study also demonstrated the effective incorporation of socio-economic and environmental indicators into the classification process, providing a more comprehensive understanding of land use changes and their impacts. This capability is crucial for informing sustainable development practices, especially in regions undergoing rapid urbanisation and environmental shifts, such as the Manas River Basin. Despite these advancements, several knowledge gaps and limitations remain. One limitation of the current framework is its dependency on large, annotated training datasets, which can be resource-intensive to obtain and manage. Additionally, while the PSO optimisation significantly improved the model's performance, the computational cost associated with this optimisation process remains high, limiting its application in real-time scenarios. Future research should focus on addressing these limitations by exploring more efficient data augmentation techniques and leveraging transfer learning to reduce the need for extensive training data. Moreover, integrating real-time data processing capabilities could enhance the practical applicability of the framework in dynamic monitoring environments. There is also scope for further refinement of the model to improve its adaptability to different geographical and ecological contexts, ensuring broader applicability.

FUTURE WORK AND LIMITATION

While satellite imagery serves as a valuable information source for object classification and detection, there is often a need to integrate this data with other sources to achieve a comprehensive understanding of a specific region or event. Future research efforts could entail integrating satellite imagery with additional data sources, such as ground-based sensor data or social media feeds. This fusion has the potential to enhance the precision and relevance of object classification and detection methods. Although the proposed techniques for object classification and detection in satellite imagery have demonstrated promising results in controlled settings, their application in real-world scenarios may pose unique challenges. Subsequent research endeavors might involve implementing these techniques in practical situations, such as disaster response operations or infrastructure planning initiatives, to evaluate their efficacy and pinpoint areas in need of development. While the proposed techniques utilise PSO for optimising





Suganya and Sugumar

hyperparameters in deep learning models, there may be alternative optimisation methods that could be more effective. Potential future research endeavors could focus on exploring alternative optimisation techniques or refining existing methods to enhance the efficiency of DL models used for object classification and identification in satellite imagery. The techniques presented in this paper concentrate specifically on object classification and detection in land-based satellite imagery. However, there are other types of satellite imagery, such as ocean-based or atmospheric imagery, that could benefit from similar techniques. Future work could investigate the extension of these techniques to other types of satellite imagery. Although the methods proposed in this study achieve high levels of accuracy in object classification and detection, they may not provide explicit explanations for why specific objects are recognised or classified in particular ways. Potential future research endeavours could involve exploring techniques that improve the interpretability and explainability of deep learning models used for object classification and detection in satellite imagery.

REFERENCES

- 1. Batista, João E., Ana I. R. Cabral, Maria J. P. Vasconcelos, Leonardo Vanneschi, and Sara Silva. 2021. "Improving Land Cover Classification Using Genetic Programming for Feature Construction" *Remote Sensing* 13, no. 9: 1623. https://doi.org/10.3390/rs13091623
- 2. Kaplan, Selçuk. (2020). "Identification of genetic markers related to milk fat in anatolian buffaloes". Fresenius Environmental Bulletin. Volume 29. Page 5786-5791.
- 3. Khan, Murad & Saeed, Saima & Ullah, Najeeb & Rukh, Shah & Javed, Muhammad Sameem & Amjad, Adnan & Jamil, Mohammad & Nishan, Umar & Shah, Mohibullah. (2020). "Effect of nickel on the germination and biochemical parameters of two rice varieties". Fresenius Environmental Bulletin. 29. 956-963. K. Kundu, P. Halder, and J. K. Mandal, "Urban change detection analysis during 1978–2017 at Kolkata, India, using multi-temporal satellite data," *Journal of the Indian Society of Remote Sensing*, vol. 48, no. 11, pp. 1535–1554, 2020.
- 4. A. Zafar, Z. I. Khan, K. Ahmad, M. Nadeem, and H. Bashir, "Appraisal of chromium contents in wheat grains irrigated with wastewater," *Fresenius Environmental Bulletin*, vol. 29, no. 5, pp. 3894–3904, 2020.
- 5. Wang, Z.; Yang, Z.; Shi, H.; Han, F.; Liu, Q.; Qi, J.; Lu, Y. Ecosystem Health Assessment of World Natural Heritage Sites Based on Remote Sensing and Field Sampling Verification: Bayanbulak as Case Study. Sustainability 2020, 12, 2610.https://doi.org/10.3390/su12072610
- 6. A. H. Anbar, T. Antary, J. Sawwan, H. Khawaldah, and M. Abu-Dalhoum, "Changing rainfall trends and the impact on cereal farming in Jordan. Fresenius environmental bulletin," vol. 29, no. 12, pp. 10980–10996, 2020.
- 7. Talukdar, S.; Singha, P.; Mahato, S.; Pal, S.; Liou, Y.-A.; Rahman, A. Land-Use Land-Cover Classification by Machine Learning Classifiers for Satellite Observations—A Review. *Remote Sens.* 2020, *12*, 1135.https://doi.org/10.3390/rs12071135
- 8. W. Liu, "Coastal land use planning and beach sports image recognition based on high-resolution remote sensing images," *Arabian Journal of Geosciences*, vol. 14, no. 11, pp. 1–14, 2021.https://doi.org/10.1007/s12517-021-07335-5
- 9. J. Bofana, M. Zhang, M. Nabil, B. Wu, and C. Moyo, "Comparison of different cropland classification methods under diversified agroecological conditions in the zambezi River Basin," *Remote Sensing*, vol. 12, no. 13, pp. 1–23, 2020.https://doi.org/10.3390/rs12132096
- 10. Xiao, Y.; Guo, L.; Sang, W. Impact of Fast Urbanization on Ecosystem Health in Mountainous Regions of Southwest China. *Int. J. Environ. Res. Public Health* 2020, 17, 826https://doi.org/10.3390/ijerph17030826
- 11. Liu, R.; Dong, X.; Zhang, P.; Zhang, Y.; Wang, X.; Gao, Y. Study on the sustainable development of an arid Basin based on the coupling process of ecosystem health and human wellbeing under land use change—A case study in the Manas River Basin, Xinjiang, China. *Sustainability* 2020, *12*, 1201https://doi.org/10.3390/su12031201
- 12. A. Shakya, M. Biswas, and M. Pal, "Parametric study of convolutional neural network based remote sensing image classification," *International Journal of Remote Sensing*, vol. 42, no. 7, pp. 2663–2685, 2021.https://doi.org/10.1080/01431161.2020.1857877





Suganya and Sugumar

- 13. Y. Boualleg, M. Farah, and I. R. Farah, "Remote sensing scene classification using convolutional features and deep forest classifier," *IEEE Geoscience and Remote Sensing Letters*, vol. 16, no. 99, pp. 1944–1948, 2019.https://doi.org/10.1109/LGRS.2019.2911855
- 14. Gasparovica, M.; Zrinjski, M.; Gudelj, M. Automatic cost-effective method for land cover classification (ALCC). *Comput. Environ. Urban Syst.* 2019, 76, 1–10.https://doi.org/10.1016/j.compenvurbsys.2019.03.001
- 15. R. Ķēniņš, "Land cover classification using very high spatial resolution remote sensing data and deep learning," *Latvian Journal of Physics and Technical Sciences*, vol. 57, no. 1-2, pp. 71–77, 2020.https://doi.org/10.2478/lpts-2020-0009
- 16. X. Yao, H. Yang, Y. Wu et al., "Land use classification of the deep convolutional neural network method reducing the loss of spatial features," *Sensors*, vol. 19, no. 12, pp. 2792–2803, 2019.https://doi.org/10.3390/s19122792
- 17. S. Dong, Y. Zhuang, Z. Yang, L. Pang, H. Chen, and T. Long, "Land cover classification from VHR optical remote sensing images by feature ensemble deep learning network," *IEEE Geoscience and Remote Sensing Letters*, vol. 17, no. 8, pp. 1396–1400, 2020.https://doi.org/10.1109/LGRS.2019.2947022
- 18. L. Ghayour, A. Neshat, S. Paryani, H. Shahabi, and A. Ahmad, "Performance evaluation of sentinel-2 and landsat 8 OLI data for land cover/use classification using a comparison between machine learning algorithms," *Remote Sensing*, vol. 13, no. 1349, pp. 1–23, 2021.https://doi.org/10.3390/rs13071349
- 19. A. M. El-Tantawi, A. Bao, C. Chang, and Y. Liu, "Monitoring and predicting land use/cover changes in the Aksu-Tarim River Basin, Xinjiang-China (1990–2030)," *Environmental Monitoring and Assessment*, vol. 191, no. 8, pp. 1–18, 2019 https://doi.org/10.1007/s10661-019-7478-0
- 20. W. Li, Z. Li, J. Sun et al., "Spear and shield: attack and detection for CNN-based high spatial resolution remote sensing images identification," *IEEE Access*, vol. 7, no. 99, pp. 94583–94592, 2019.http://dx.doi.org/10.1109/ACCESS.2019.2927376
- 21. P. Zhang and S. Hu, "Fine crop classification by remote sensing in complex planting areas based on field parcel," *Nongye Gongcheng Xuebao/Transactions of the Chinese Society of Agricultural Engineering*, vol. 35, no. 21, pp. 125–134, 2019.
- 22. A. R. Udgata, P. M. Sahoo, T. Ahmad, A. Rai, and G. Krishna, "Remote Sensing and Machine Learning techniques for acreage estimation of mango (Mangifera indica)," *Indian Journal of Agricultural Sciences*, vol. 90, no. 3, pp. 551–555, 2020.http://dx.doi.org/10.56093/ijas.v90i3.101473
- 23. J. Mallick, S. Alqadhi, S. Talukdar, B. Pradhan, and A. S. Dajam, "A novel technique for modeling ecosystem health condition: a case study in Saudi arabia," *Remote Sensing*, vol. 13, no. 13, pp. 1–20, 2021.https://doi.org/10.3390/rs13132632
- 24. C. Sun, Y. Bian, T. Zhou, and J. Pan, "Using of multi-source and multi-temporal remote sensing data improves crop-type mapping in the subtropical agriculture region," *Sensors*, vol. 19, no. 10, pp. 2401–2413, 2019.https://doi.org/10.3390/s19102401
- 25. R. M. V. Malladi, A. Nizami, M. S. Mahakali, and B. G. Krishna, "Cloud masking technique for high-resolution satellite data: an artificial neural network classifier using spectral & textural context," *Journal of the Indian Society of Remote Sensing*, vol. 47, no. 4, pp. 661–670, 2019.https://doi.org/10.1007/s12524-018-0892-x

Table 1. Eurosat Dataset

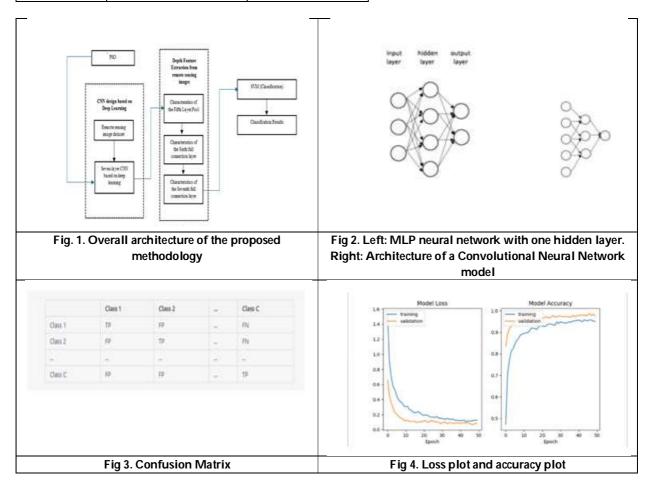
Class Number	"Class Name	Number of Samples
1	Annual Crop	3000
2	Forest	3000
3	Herbaceous Vegetation	3000
4	Highway	2500
5	Industrial	2500
6	Pasture	2000
7	Permanent Crop	2500
8	Residential'	3000





Suganya and Sugumar

9	River	2500
10	Sea Lake	3000"







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Exploring Machine Learning Approaches for Heart Disease Prediction: A Survey

Elavarasi.C1* and M. Priya2

Research Scholar, Department of Computer and Information Science, Faculty of Science, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

²Assistant Professor, Department of Computer Science, PSPT MGR Government Arts and Science College, Sirkali, (Affiliated to Bharathidasan University, Tiruchirappalli,), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Elavarasi.C

Research Scholar, Department of Computer and Information Science,

Faculty of Science,

Annamalai University,

Annamalai Nagar,

Tamil Nadu, India.

Email: mscelai@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A recent assessment by the World Health Organization found that 17.5 million individuals worldwide suffer from heart disease yearly, and that prediction rises to 75 million by 2030. Cardiologists are currently facing challenges in their ability to predict heart attacks, with an accuracy of just about 67%. Given the rising incidence of heart disease, there is a dire need for a better prediction system for support. Machine learning and Deep learning have exciting prospects for improved heart attack predictions. This paper provides an in-depth summary of recent techniques in these fields. An analytical comparison is also included to help novice scholars navigate this crucial field of study.

Keywords: Machine learning, Heart Disease, Decision tree, Support Vector machine, Naive Bayes

INTRODUCTION

One of the major challenges in effectively detecting and diagnosing cardiac disease in humans. Early detection measures have been ineffective, and even medical specialists struggle to accurately forecast cardiac disease. Despite the availability of different medical equipment for heart disease prediction, two major concerns remain: they are frequently excessively expensive, and their accuracy in estimating the likelihood of heart disease is poor. According to a recent WHO poll, medical professionals can only predict heart disease with 67% accuracy, indicating a significant need for additional research in this field. Advances in computer science have created significant opportunities in a variety of sectors, including medical science. Computer science has a wide range of applications,





Elavarasi and Priya

from meteorology to ocean engineering, and medical science is increasingly using these techniques. Artificial intelligence has grown rapidly over the previous decade as processing capacity has increased. Machine learning, in particular, has grown in popularity across various areas, owing to the fact that it does not necessitate the development of separate algorithms for each dataset. Machine learning's reprogrammable skills provide significant strength and open up new opportunities in disciplines such as healing skill. Predicting heart disease remains crucial in medical science due to the numerous traits and complexities required for accurate prediction. Machine learning (ML) is a promising method for properly forecasting not only heart disease, but also other medical conditions. This effective program predicts cardiac disease using feature vectors and other data sources under a variety of scenarios. Commonly used algorithms include Naive Bayes, Decision Trees, KNNs, and Neural Networks, each with its own set of advantages. Naive Bayes uses probability to make predictions, Decision Trees give classified reports, and Neural Networks help reduce prediction mistakes. These strategies use prior patient data to make predictions for new patients. Such predictive technologies can help doctors detect cardiac disease at an early stage, potentially saving millions of lives. This survey paper takes a detailed look at machine learning algorithms for predicting heart illness. It explores many ML algorithms and does a comparative analysis based on a variety of factors. The research also discusses the future potential of machine learning algorithms in heart disease prediction and the use of deep learning in this field.

LITERATURE REVIEW

The prediction of heart disease using ML algorithms has advanced implications ofmedical images. Recently, there has been an increase in the quantity of articles and study materials on this subject. This chapter focus to highlight and integrate the state-of-the-art methods done by several researchers on this subject. Marjia Sultana et al [4] have emphasised the raw nature of existing heart disease databases, which are frequently redundant and contradictory. They emphasise the importance of pre-processed datasets to make high-dimensional data more manageable. The authors also discuss the importance of extracting crucial features from the dataset, as selecting the most relevant features can significantly reduce the workload of training algorithms and, consequently, decrease time complexity. However, time is not the only parameter to consider; accuracy is equally important in assessing the effectiveness of an algorithm. Sultana, Haider, and Uddin proposed a technique for improving accuracy and discovered that Bayes Net and SMO classifiers outperformed MLP, J48, and KStar. They assessed performance by running the algorithms (Bayes Net and SMO) on a dataset generated by the WEKA program and compared the outcomes using prediction accuracy, ROC curves, and ROC values. Each method has its own advantages and disadvantages. M.A. Jabbar et al. [5] used feature optimisation to improve classification efficiency in decision tree models. This method, which employs a range of criteria for the early diagnosis of cardiac illness, can be adapted to various fields of study. Aside from Decision Trees, various other methodologies have been employed to achieve accurate heart disease detection. Raw EEG sensor data was gathered by Yogeswaran et al. [6] to train a neural network for pattern recognition. In their inquiry, the input and output categories were depression and non-depression, respectively, and the hidden layer was trained using the scaled conjugate gradient technique to achieve the best results. Using the trained neural network that study attains 95% accuracy. Inspired by the success of neural networks, researchers utilising Support Vector Machines (SVM) have used similar tactics, particularly when feature vectors are multidimensional and non-linear. This method outperformed other contemporaneous strategies by efficiently handles the high-dimensional datasets. Our analysis of current techniques revealed flaws. Some are discussed below.

- Medical datasets often contain duplication and noise, necessitating the development of robust methods to minimise it.
- Deep learning developments can improve heart disease diagnosis speed and accuracy.
- Medical datasets' high dimensionality requires techniques to compress and reduce complexity, leading to faster
 execution times.





Elavarasi and Priya

Machine Learning Algorithm for Heart Disease Prediction

A popular artificial intelligence tool in many important industries is ML. The ML's capabilities have expanded considerably as computing power has increased.

DECISION TREE (DT)

It is a visualillustration used in predictive modelling that contains important components such as the root, nodes, and branching decisions. These trees are created using a number of approaches. For instance, [8] contrasted the decision tree's classification result with that of other methods while [7] used J48 to classify datasets. Decision trees are extremely useful in medical science since data classification requires a high number of criteria. The DT is most comprehensive ML method effectively highlighting important features within a dataset. In the context of heart disease, where factors such as blood pressure, blood sugar, age, sex, genetics, and other variables influence a patient's condition, decision trees allow doctors to identify the most significant factors. They can also pinpoint which features have the most impact across a population. DT clearly show how important different dataset properties are based on entropy and information gain. However, decision trees have notable drawbacks, including overfitting and reliance on a greedy method. Overfitting occurs because decision trees split datasets along axes, requiring many nodes to divide the data. This issue is addressed by the J48 algorithm as explained in [7]. The greedy method used in decision trees often leads to suboptimal trees, and while a dynamic approach could be taken, it would result in an exponential number of trees, which is not feasible.

SUPPORT VECTOR MACHINE (SVM)

To classify, a Support Vector Machine (SVM) finds the hyperplane that maximizes the margin between two classes. The support vectors of a hyperplane define it.[9] Procedure for Computing Hyperplane

- 1. Get training data ready.
- 2. Configure the SVM settings
- 3. Get the SVM trained.
- 4. SVM-categorized region
- 5. Assistance Vector

Using SVMs for data classification offers both pros and cons. medical data sets can be non-linear and high-dimensional based on observed attributes. SVM remains a popular alternative for classification. SVMs offer several advantages for categorisation.

- 1. Regularisation parameters help avoid overfitting, a major concern in decision trees.
- 2. By utilizing kernel knowledge, the kernel tree is used to circumvent the need for specialized knowledge.
- 3. Convex optimization problem (COP), which SVM uses and lacks local minima, makes it an efficient method.
- 4. Error ratings are assessed to provide stronger assistance after dataset misclassification. All of these traits can be useful for medical diagnostic datasets, helping to design more efficient doctor prediction systems. However, while the benefits are great, there are some drawbacks. Decision trees, like any tools, have advantages and disadvantages. The method of reducing the overfitting problem is difficult, requiring careful parameter optimisation. Any fault in this optimisation can cause errors and increase overfitting.

K- NEAREST NEIGHBOUR ALGORITHM (KNN)

K-Nearest Neighbours (KNN) takes more time to train than other algorithms. Like other classification algorithms, KNN has two stages: training on the dataset and testing on new examples. KNN works by assigning weights to each data point, also known as neighbours.

For every K nearest neighbor, KNN determines the distance between data points in the training dataset. Classification is then established by the majority vote of these neighbours. KNN uses three types of distances: Euclidean, Manhattan, and Minkowski, with Euclidean being the most prevalent. The formula used to determine these distances [10]:

Eucledian Distance = D(x,y)

(1)

= (xi - yi)2ki = 1

K= no. of cluster





Elavarasi and Priya

X,y = co-ordinate sample spaces

Manhattan distance = (xi - vi)n i=1 (2)

X&y are co-ordinates

Minkowski distances are generally Euclidian distance

 $Min=(|x_i-y_i|)1/p$ (3)

In KNN, samples are grouped according to their respective classes. When samples are grouped properly, they become smaller and can be utilized for training in the future. A larger K value typically produces results that are more accurate and less noisy, so choosing the right value is crucial. The algorithm for KNN is defined in the following steps.

- 1. The number of nearest neighbors is denoted by k, and the training samples are represented by D. Make each sample class a superclass.
- 2. Determine the Euclidian distance for every training set.
- 3. Use the majority of nearby classes to classify the sample.

DEEP LEARNING FOR PREDICATION IN HEART DISEASE

A branch of ML called DL focuses on learning at multiple levels of abstraction and representation, with multiple processing units for simultaneous processing at the input and output layers at each level [10]. The concept of feature hierarchy, which holds that lower-level information can be combined to generate higher level hierarchy, is the basis for deep learning. Models of neural networks are being revitalized by deep learning. Many efforts are being made to put them into practice through the use of automatic encoder-decoder methods and stacking restricted Boltzmann machines[11]. This method has impressed academics with its image processing performance, and layer-wise pretraining Recurrent Neural Networks (RNNs) function well with sequential features and data. Hochreiter and Schmidhuber's Long Short-Term Memory (LSTM) networks [12] outperformed other techniques designed for sequence-based tasks. Gated Recurrent Unit (GRU) is another contemporary method that yields remarkable results but is simpler than LSTM. For example, a study described in paper [13] used GRU to predict temporal-based heart disease with great accuracy. In the medical field, researchers are increasingly using deep learning algorithms on medical datasets. To quantify serum uric acid levels, for instance, Lasko et al. [14] used an encoder-decoder scheme. Analogous research has thoroughly examined this tactic. In the flowchart, there are five distinct modules, each with its specific operations. Here's a general overview of the process:

- Data Collection: This initial phase involves gathering datasets from standard repositories.
- 2. **Pre-Processing:** This stage includes noise reduction and feature selection to prepare the data for further analysis.
- 3. **Deep Learning Core:** This critical module implements the core algorithmic approaches for manipulating the dataset. Algorithms may vary, ranging from Deep Belief Networks [15] to Recurrent Neural Networks (RNNs).
- 4. **Performance Analysis:** This module evaluates and compares the performance of the different existing methods used in the DL core.
- 5. **Discovery of Knowledge:** The final module gives desired outcomes, such as event likelihood. In this case, it determines the likelihood of a patient suffering a heart attack.

ANALYSIS OF AVAILABLE LEARNING ALGORITHM

Comparing two or more machine learning algorithms can be challenging due to the inherent differences between them. The difficulty arises because algorithms are highly dependent on the dataset they are applied to, making it hard to definitively determine which algorithm performs best for a given dataset. Implementing an algorithm in practice is the only trustworthy technique to determine its efficiency for a given dataset. To understand the distinctions between different ML techniques, a comparison is necessary. These comparisons might be very valuable for new researchers in the subject. This paper seeks to provide significant insights and help for novices to the subject by identifying key variances in performance across diverse contexts. Training the Naive Bayes classifier on a short dataset is quite simple due to its high bias and low variance. This feature offers it an edge over classifiers with low bias and high variance as KNN, which are prone to overfitting. Naive Bayes converges quickly, using less training





Elavarasi and Priya

data and effort. However, as the dataset size increases, Naive Bayes may encounter asymptotic errors. Algorithms with low bias and variance are better suited to handling such problems. One significant disadvantage of the Naive Bayes method is its inability to learn interactions between features. In contrast, logistic regression models are better at handling related features. Logistic regression also offers a strong mathematical probabilistic framework. However, it suffers with non-linear data and necessitates extensive feature manipulation before feeding the input into the model, which can be inconvenient. Despite this, logistic regression is user-friendly for updates, particularly with linear features. It performs well with online and temporal datasets, allowing it to adapt effectively to new rows and columns over time. The DT is a non-parametric machine learning algorithm known for its simplicity and ease of interpretation, making it valuable for understanding both the internal and external architecture of the model. However, decision trees have notable drawbacks, including a lack of support for online learning and susceptibility to overfitting. Techniques like the J48 model can help mitigate overfitting.

Ensemble methods such as Random Forest [16] address several issues inherent in decision trees, including handling imbalanced datasets, pruning, and improving accuracy. Random Forest combines multiple decision trees to enhance performance, but it may sacrifice some of the interpretability and compressibility of individual decision trees. Despite this, Random Forest is often considered a powerful alternative, capable of replacing many other machine learning algorithms in terms of accuracy. The DT valued for their interpretability, making them easy to explain both internally and externally. However, they have some significant drawbacks, such as lack of support for online learning and susceptibility to overfitting. Techniques like the J48 model can help mitigate overfitting issues. Ensemble methods like Random Forest (RF) [16] address several limitations of decision trees, including handling imbalanced datasets, pruning, and improving accuracy. RF combines multiple DTs to enhance performance but may lose some of the decision tree's interpretability and compressibility. The SVM and Neural Networks (NN) are major competitors in machine learning, both aiming at classification or regression but differing significantly in their approaches.

SVM

Itis based on algebraic and statistical theory and divides classes significantly using a linear separable hyperplane in an n-dimensional space. SVM has the potential to achieve very high accuracy on multidimensional datasets. It converges on global and unique minima, providing a solid mathematical foundation and geometric representation. SVM is less affected by the dimensionality of the dataset than ANN.

ANN

A non-linear model with different characteristics compared to SVM. While SVM converges to global minima, ANN can converge to local minima. ANN's complexity often depends on dataset dimensionality, and it lacks a clear geometrical representation. However, ANN is generally more adaptable for online training and handles natural language processing (NLP) tasks better, as it scales linearly with the number of features compared to the exponential time complexity of SVM for high-dimensional data. Despite its advantages, SVM has limitations, such as being memory-intensive and challenging to tune. It is also less effective for training on NLP datasets due to the large number of features that can increase time complexity exponentially. In contrast, ANN performs well with online training and handles large feature sets more efficiently. A comparative table detailing the parameters, advantages, and disadvantages of each algorithm is provided below, reflecting their performance across various criteria.

CONCLUSION

Heart attacks are a serious health concern that affects many people around the world. This study summarises current methodologies and methods for forecasting this condition. The DL is a fast-developing subject in AI, has shown promise in making accurate medical diagnosis. However, its application in heart disease prediction remains an open question with room for future investigation. In addition to traditional ML algorithms, the research introduces several DL techniques for heart disease prediction. To find out which approach is better for medical datasets, an analytical



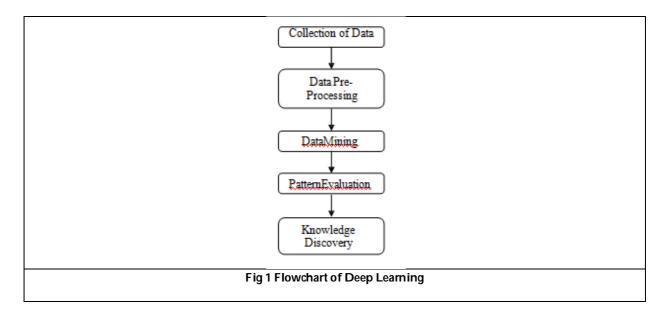


Elavarasi and Priya

comparison is provided. Looking ahead, we hope to expand this work to temporal medical datasets, where the data evolves over time and requires periodic retraining to retain accuracy.

REFERENCES

- 1. WilliamCarroll; G. Edward Miller, "Disease among Elderly Americans: Estimates for the US civilian non institutionalized population, 2010," *Med. Expend. Panel Surv.*, no. June, pp. 1–8, 2013.
- 2. V. Kirubha and S. M. Priya, "Survey on DataMining Algorithms in Disease Prediction," vol. 38, no. 3, pp. 124–128, 2016.
- 3. M. A. Jabbar, P. Chandra, and B. L. Deekshatulu, "Prediction of risk score for heart disease using associative classification and hybrid feature subset selection," Int.Conf.Intell.Syst.Des.Appl.ISDA,
- 4. pp.628–634, 2012.
- 5. M. Sultana, A. Haider, and M. S. Uddin, "Analysis of data mining techniques for heart disease prediction," 2016 3rd Int. Conf. Electr. Eng. Inf. Commun. Technol. iCEEiCT 2016, 2017.
- 6. M. Akhil, B. L. Deekshatulu, and P. Chandra, "Classification of Heart Disease Using K- Nearest Neighbor and Genetic Algorithm," *Procedia Technol.*, vol. 10, pp. 85–94, 2013.
- 7. S. Kumra, R. Saxena, and S. Mehta, "An Extensive Review on Swarm Robotics," pp. 140–145, 2009.
- 8. T. M. Lakshmi, A. Martin, R. M. Begum, and V. P. Venkatesan, "An Analysis on Performance of Decision Tree Algorithms using Student's Qualitative Data," *Int. J. Mod. Educ. Comput. Sci.*, vol. 5, no. 5, pp. 18–27, 2013.
- 9. P. Sharma and A. P. R. Bhartiya, "Implementation of Decision Tree Algorithm to Analysis the Performance," *Int. J. Adv. Res. Comput. Commun. Eng.*, vol. 1, no. 10, pp. 861–864, 2012.
- 10. D. K. Srivastava and L. Bhambhu, "Data classification using support vector machine," *J. Theor. Appl. Inf. Technol.*, 2009.N. Bhatia and C. Author, "Survey of Nearest Neighbor Techniques," *IJCSIS*) *Int. J. Comput. Sci. Inf. Secur.*, vol. 8, no. 2, pp. 302–305, 2010.
- 11. J.Schmidhuber, "DeepLearninginneuralnetworks: An overview," 2015.
- 12. S. Hochreiter and J. Urgen Schmidhuber, "Long Short-Term Memory," *Neural Comput.*, vol. 9, no.8, pp. 1735–1780, 1997.







International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Real Time Weather Monitoring System using IoT

J.Saranya^{1*} and V.Divya²

Research Scholar, School of Computing Sciences, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

²Assistant Professor, Department of Information Technology, School of Computing Sciences, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

J.Saranya

Research Scholar, School of Computing Sciences, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Email: saran.vel1987@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The Internet of Things, or IoT, has completely changed the way we work and live while also opening up new avenues for weather monitoring. Conventional weather reporting systems depend on laborintensive and error-prone human data collection techniques. Real-time meteorological data may be gathered, examined, and wirelessly communicated with IoT technology, offering precise and timely information for a range of use cases. The constantly changing environment has resulted in unpredictable weather conditions. As a result, the Weather Reporting System is used to monitor and control weather in many areas such as residences, industries, and agriculture. Microcontrollers, software, and sensor devices allow for autonomous protection and monitoring in a "smart environment." Sensors and weather stations are examples of IoT devices that can be put strategically in various locations. They gather information on precipitation, wind speed, temperature, humidity, and other meteorological factors. These gadgets send data wirelessly to a central location for analysis and processing.

Keywords: Internet of Things (IoT), Liquid Crystal Display (LCD), Organic Light Emitting Diode (OLED).





Saranya and Divya

INTRODUCTION

One of the largest problems in today's world is measuring the live environmental condition because there are a lot of obstacles that arise. Since the suggested method tracks current weather conditions, it will solve this issue. We shall monitor the various cities live weather parameter as part of the suggested activity. Using Internet of Things, The suggested system will be built on a client-server architecture. The system is structured using a two-tier architecture. Our proposed system includes a variety of sensors that will monitor the area temperature, humidity, precipitation, and system pressure. The data gathered by the Sensor was transmitted to the node MCU controller. The Arduino IDE can be used to upload the sensed data. Connecting a sensor to the cloud, the serial monitor has served as a conduit. A serial monitor receives data that is pushed by the sensor. One IP address is tracked by the serial. Viewing the data on the web server requires the HTTP protocol. In this work, environmental parameters or sensors are used to Monitor weather data in real time and display the data on a webpage. Anybody, wherever, may keep an eye on the weather conditions using a web server—they don't need to rely on any specific app or website. Public access to the data is accessible. We quantify the weather in various Regions using this suggested system. Upon obtaining data from several sensors, it has been observed that our suggested model outperforms the industry standard weather parameter. Every person's existence involves a great deal of weather monitoring. Environmental issues arise in a variety of industries, including building and agriculture. However, the majority of the measurable impact is concentrated in industry and agriculture. Agriculture is well acknowledged to play an important role in the Indian economy [1]. Agriculture accounts for more than one-quarter of India's GDP. Smart agriculture has been a popular topic of conversation around the world over the last year. The smart word in IoT implies that employing the fewest feasible parameters gives superior results. It lessens the amount of land, water, time, and new technology and research needed to improve crops [2]. One of the main problems with IoT networks is security. There are various strategies for enhancing security, but there are still many opportunities to do so [3].

LITERATURE REVIEW

The performance of weather tracking systems can be difficult to determine because it depends on a number of variables and how individual system components work. To evaluate its performance, a real-time surveillance system is needed. This paper provides an overview of Internet of Things applications for real-time performance monitoring and control of weather tracking systems. The farming process in agriculture has multiple stages prior to the yield, and weather has a significant influence on these phases. Rainfall is primarily experienced in the various Region. The location is close to the Indian border with Nepal, an area characterized by hills, which is the main cause of precipitation [4]. The excessive rainfall that results from this condition is a concern for farming. Predicting the weather before planting or harvesting the crops is crucial in this case. Thus, in this scenario, weather monitoring systems would be helpful to farmers in tracking the conditions of the weather. Our system's primary goal is to tell farmers whether doing so would be useful before they plant or harvest their crops [5]. An Internet of things-based weather monitoring system is presented by the author in [6]. Sensors in this study can be utilized to obtain environmental parameters. In addition to the LDR sensor, the author uses an additional sensor to monitor humidity, temperature, pressure, and rain value. The temperature prototype is used by the system to determine the dew point value. Any location, even a specific room or region, can have its value measured using a temperature sensor. Utilizing the light intensity as the author suggests is possible with the aid of the LDR sensor. The author [7] includes an additional weather monitoring function, an SMS alert system, which is activated when temperature, humidity, pressure, light intensity, and rain value exceed predefined criteria. The author also includes a mechanism for tweet posts and email notifications. The author of this system employs numerous sensors as well as a node MCU 8266. In this paper [8], the author utilizes an OLED display to demonstrate a low-cost live weather monitoring system, emphasizing the various fields where IoT innovation has resulted in unique system advancements. The writer expounded upon a novel and innovative framework. It gauges the current state of the weather in real time. Everyone can benefit greatly from weather monitoring, including farmers, businesses, regular workers, and students.





Saranya and Divya

Therefore, the author lessened the challenge for farmers and industry by creating a live weather monitoring system. The author of [9] An OLED panel was used to display the current weather. The author's suggested technique involved receiving data from the cloud using an Arduino-powered WeMos D1 board with an ESP8266-EX microcontroller. The Wemos D1 wifi module was designed with the ESP-8266EX microprocessor. There is 4MB of flash memory on it. It's the best when you use the Node MCU and Arduino IDE together. The author of this research uses only two devices to measure the weather: Wemos and OLED. After the connection is made and the data is saved on the cloud, the weather information is shown on the Thing Speak website. In [10], the author presented a method for tracking and forecasting weather conditions so that anyone can make plans for their daily lives. This exercise proved beneficial in both industry and agriculture. The author employs two stages of the weather management system to accomplish weather information monitoring and prediction. The real-time weather reporting system in stations and buses is made possible by combining sensor data, bus mobility, and deep learning technology. The author [11] shows how to monitor the weather with IoT technology and how to build an IoT-based weather monitoring system. Which provide information on climate change-related issues. This endeavor can help people become more conscious of climate changes. It produces an accurate and effective output, which is then applied using the swarm method to improve accuracy even further. Thus, the author intends to employ IoT to develop a weather monitoring system. This paper [12] uses both software and hardware, which simplifies implementation. In the project, the climate data is gathered by the author using an alternative sensor and stored in the cloud. Internet of things projects frequently uses the website www.thingspeak.com for this storing. Additionally, using an API key, it retrieves all of the weather data from the cloud storage space and uploads it to the Android mobile application.

The "Embedded weather station with Remote wireless control" is presented in this work [13]. The author of this paper goes into further detail about the significance of a weather monitoring system. The author explains how today's weather monitoring system operates. It is crucial to be aware of the weather before doing any specific job outside. The field in which weather monitoring is significant is described in this work [14]. Numerous solutions are available for tracking weather parameters in numerous fields, including agriculture, the military, entertainment, and enterprises. It explains the three options, including one for weather monitoring.

Artificial intelligence was used in the author's [15] conceptualization of the weather monitoring idea. The author claims that weather forecasting uses a static method rather than a binary decision idea. The author wants to put in place a sophisticated weather forecasting system. As a result of the system's required tool, which measures and analyzes the highest and lowest temperatures as well as the amount of rainfall during a sampled portion of the day Machine learning algorithms are used to generate the available data, which is the basis for the forecast. According to current research, [16] the system performed better and had greater accuracy when machine learning techniques were used. One area of artificial intelligence is machine learning. It has demonstrated that its technology for analysis and prediction is strong. Weather forecasting is one of the key fields where machine learning is used, along with other fields like industrial, agricultural, logistics, healthcare, and so forth. In this work, the outcome is confirmed by the use of an artificial neural network, or ANN, in conjunction with a logistic regression technique for multiclass classification [17]. The real-time accessible system is discussed by the author in [18]. In this work, the author suggested an IoT-based approach. The technology used in this study monitors elements of the weather and climate, including humidity, temperature, pressure, UV radiation, and even airborne carbon monoxide levels. Several sensors are used to gather data, which is then transmitted to a webpage where it is viewed and sensed data is shown visually. Information that has been uploaded to a web server is accessible from any location in the globe. A Smartphone application that notifies an alert system to notify people of abrupt and severe weather changes is the main component [19].

System Design and Implementation

Some of the ways IoT plays a key role in weather monitoring systems are:

• **Real-time Data Collection:** Conventional techniques for gathering data can be labor-intensive and slow. IoT allows sensors to continuously gather real-time data, giving a more accurate picture of the weather.





Saranya and Divya

- Cost-effective: When weighed against more conventional weather monitoring apparatus, IoT devices are comparatively inexpensive. This facilitates the deployment of several sensors in various areas for thorough weather monitoring by businesses.
- Remote Monitoring: IoT makes it possible to monitor weather remotely, doing away with the requirement for in-person data collection visits. This is especially helpful in places that are difficult to access or during severe weather.
- **Data Analytics:** Advanced analytics can be used to find patterns and trends in meteorological data with a lot of real-time data, improving weather forecasts.
- **Early Warning Systems:** Additionally, IoT can be used to set up early warning systems for extreme weather occurrences like floods, tornadoes, and hurricanes. This enables people and authorities to take the appropriate safety measures and lessen any harm.

Weather Monitoring System with IoT Block Diagram

Applications are divided into two categories: event detection-based and spatial process estimation. At the centre of the ecosystem is a microcontroller, such as Arduino UNO or ESP8266, which acts as the central hub. It orchestrates the connectivity of various sensors (such as humidity and temperature sensors) and devices, acting as the brain of the entire system. The collected data is promptly transmitted to the web server after establishing a stable connection between the server and strategically placed sensor devices [20]. Wi-Fi modules like Node-MCU are used to upload and store the processed sensor data on a website, serving as a reliable database the weather monitoring system using IoT block diagram is shown in Fig.(1). The web server page allows us to monitor and control the system.

- The inbuilt monitoring device provides data on humidity, temperature, and CO levels in the surrounding region.
- · Collected data is stored on cloud storage.
- Cloud data can be used for parameter analysis and continuous observation.
- Recorded regular air temperature, humidity, and carbon monoxide levels.

Areas Benefit From Weather Forecasting System with IoT

• Agriculture Sector

Farmers can make educated judgments about crop selection, watering schedules, and pest management with the aid of weather forecasts. With real-time monitoring, farmers may receive precise data on plant development and soil moisture levels, guaranteeing the best possible crop output.

Transportation

To prevent delays or accidents brought on by severe weather, real-time weather monitoring is essential for the transportation sector. Transportation companies may track road conditions and arrange routes accordingly by utilizing IoT-based sensors on cars and roadways.

• Disaster Management

Natural disasters like hurricanes, floods, and snowstorms can be predicted with the use of IoT-based weather monitoring systems. Authorities can limit harm by issuing timely warnings and taking necessary safeguards with the help of precise data.

Urban Planning

Real-time weather data can help city planners manage infrastructure and resources more effectively. For example, by providing earlier notice of heat waves or heavy precipitation, they can plan emergency services appropriately and avert any calamities.

Tourism

Tourism is significantly impacted by the weather, and passengers' experiences can be substantially improved with real-time weather monitoring. Weather forecasts that are accurate help travelers organize their activities, which makes for a more pleasurable and hassle-free trip.

Healthcare





Saranya and Divya

Healthcare facilities must have access to real-time weather information in order to plan for severe weather or natural catastrophes. Hospitals can keep an atmosphere safe for patients by using IoT-based sensors to track humidity levels, air quality, and temperatures both inside and outside.

Types of sensors Involved in Weather Tracking System

Depending on the particular application, a wide variety of electronic sensors are used by weather monitoring systems. A Real time weather monitoring system is shown in Fig.(2)To increase agricultural productivity, for instance, farmers need data on a variety of variables, including temperature, relative humidity, soil moisture, and rainfall [21]. They use particular kinds of sensors to gather this information. Among the sensors of this type are:

- **Temperature sensor:** determines the temperature of the surrounding air.
- **Humidity/hygrometer sensor:** finds and gauges the environment's humidity level.
- Soil moisture sensor: keeps an eye on the soil's moisture level.
- Rain sensor: detects and gauges the amount and strength of precipitation. An airplane pilot is another example, who has to obtain vital data before to takeoff, including wind direction, speed, atmospheric pressure, precipitation, and visibility. Pilots use a range of sensors to get this crucial facts.
- Barometric sensor: This device gauges detect atmosphere air pressure values.
- **Anemometer:** Gets wind speed information.
- Rain Sensor: Identifies and gauges precipitation.
- **Visibility sensor**: Determines visibility in inclement weather, including storms, rain, and snow. IoT-based weather monitoring system in the future more sophisticated and creative solutions should be forthcoming as IoT is being used more widely across a range of industries, including weather monitoring. Among the

Potential outcomes are:

Accurate forecasting

loT-based weather monitoring systems will be made possible by advances in data analytics and technology. The forecasts produced by these algorithms will be increasingly more precise.

System integration

It is possible to link IoT-based weather monitoring systems with other systems. The capabilities of these systems are increased by the inclusion of smart cities and households. A smart home might, for instance, modify its temperature settings in response to current meteorological information [22].

Machine learning

loT-based weather monitoring systems may continuously learn from historical data by utilizing machine learning algorithms. As a result, they can gradually get better at predicting.

Improved disaster management

Real-time meteorological data can be sent to disaster management authorities by means of Internet of Things (IoT)-based sensors. They are able to safeguard the public by Taking the appropriate measures as a result.

Customized notifications

Internet of Things (IoT)-based weather monitoring devices enables individuals to receive customized weather alerts. Better planning and safety are ensured by these location- and preference-based alerts. IoT technology has enormous promise and value for weather monitoring, and this potential will only increase with time [23]. By deploying IoT-based weather monitoring systems across several industries, we can significantly boost productivity and efficiency while lowering the hazards related to erratic weather patterns.

RESULT ANALYSIS

This research paper suggests a real-time, affordable weather tracking system using an Arduino. Weather tracking production may be measured with this system temperature, humidity. There was weather module monitoring done. These outcomes were attained using a system that included sensors, software, and a microcontroller. The software





Saranya and Divya

that makes use of the present sensor is far more user-friendly. The various reading are measured like temperature (T), humidity (h) with the help of weather tracking system.

CONCLUSION

IoT solutions improve crop management and reduce weather-related hazards by giving farmers precise data on soil moisture and climate. IoT weather stations continuously track and report conditions in harsh environments, such volcanoes and rainforests, providing essential information for study and safety. Our ever-volatile global climate emphasizes how crucial sophisticated, real-time monitoring systems are. Thanks to IoT developments, businesses may now employ advanced analytics to lessen the negative effects of weather on operations and business. Discover the ways that Ariel's IoT solutions are benefiting different global sectors.

REFERENCES

- Ferdin Joe John Joseph "IoT Based Weather Monitoring System for Effective Analytics "International Journal of Engineering and Advanced Technology (IJEAT) ISSN: 2249 – 8958, Volume-8 Issue-4, April (2019)
- Raj Kumar, Shiva Prakash, "Performance & Parametric Analysis of IoT's Motes with Different Network Topologies", International Conference on Electrical and Electronics Engineering, jointly organized by School of Engineering The University of Malaya, Kuala Lumpur, Malaysia & Centre of Excellence- Power Engineering and Clean Energy Integration, Galgotias University, India in the collaboration with NPTI, Pid 175, January 2nd -3rd, (2021)
- Garima Verma, Shiva Prakash, "Emerging Security Threats, Countermeasures, Issues and Future Aspects on Internet of Things (IoT): A Systematic Literature Review", 2nd International Conference on Future Learning Aspects of Mechanical Engineering (FLAME 2020), Organized by Department of Mechanical Engineering, Amity School of Engineering & Technology. Amity University, Noida, Uttar Pradesh, India, Pid 231, August 5th -7th(2020)
- 4. Mahfooz alam,Mohammad shahid,suhel mustajab,Faisal Ahmad "Security driven dynamic level scheduling under precedence constrained tasks in laaS Cloud" International Journal of Information Technology(BJIT),Vol.156,Issue 2,2024.
- 5. Garima Verma and Shiva Prakash, "Internet of Things for Healthcare: Research Challenges and Future Prospects", Springer, 1st International Conference on Advanced Communication and Computational Technology (ICACCT), NIT Kurukshetra, Kurukshetra, Haryana, India, Pid 75, December 6-7, (2019)
- 6. Yashaswi Rahul, Rimsha Afreen, Divya Kamini "Smart weather monitoring and real-time alert system using IoT" International Research Journal of Engineering and Technology (IRJET) Volume: 05 Issue: 10, Oct(2018)
- 7. Ravi Sharma, Shiva Prakash and Pankaj Kumar, "Methodology, Applications and Challenges of WSN-IoT", IEEE International Conference of Electrical and Electronics Engineering (ICE3—2020), jointly organized by Madan Mohan Malaviya University of Technology Gorakhpur and North Dakota State University, Fargo, USA, Pid197, Feb. 14-15, (2020)
- 8. Garima Verma, Shiva Prakash, "A Comparative Study Based on Different Energy Saving Mechanisms Based on Green Internet of Things (GIoT)", IEEE 8thInternational Conference on Reliability, Infocom Technology and Optimization (ICRITO-2020), IEEE Conference Record Number 48877, Amity University, Noida, India, Pid631, June 4-05, (2020)
- 9. Kangkana Hazarikaa, Pradyumna Kumar Choudhurya."Automatic monitoring of solar photovoltaic (SPV) module", .Science direct proceedings. Vol.4, pp. 12606–12609, 2017.





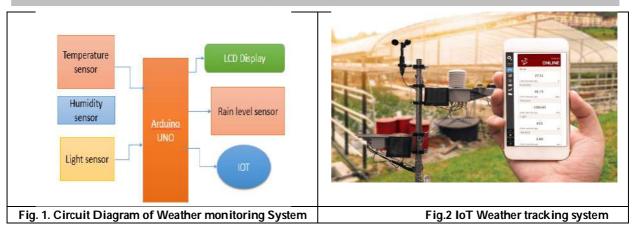
Saranya and Divya

- 10. Amit Kumar Rohit, Amit Tomar, Anurag Kumar, Saroj Rangnekar." Virtual lab-based real time data acquisition, measurement and monitoring platform for solar photovoltaic module", International Journal of Resource-Efficient Technologies. pp.1-6, 2017.
- 11. D. Baswaraj, R. Natchadalingam, R. S. Rekha, N. Sahni, V. Divya and P. C. S. Reddy, "An Accurate Stock Prediction Using Ensemble Deep Learning Model," 2023 International Conference on Research Methodologies in Knowledge Management, Artificial Intelligence and Telecommunication Engineering (RMKMATE), Chennai, India, 2023, pp. 1-7.
- 12. Dr. V. Divya, N. Swetha, "Literature review on cloud applications", International Journal of Advanced Research in Science, Communication and Technology (IJARSCT), Volume 9, Issue 1, September 2021, ISSN NO-2581-9429.
- 13. Rashmi saini, Suraj singh,"Land use land cover mapping and snow cover detection in himalayan region using machine learning and multispectral sentinel 2 satellite imagery",International Journal of Information Technology(BJIT),Vol.16, Issue 2,2024.
- 14. Renata, I,Pereira, S, Ivonne, M." IoT Embedded Linux System based on Raspberry Pi applied to Real- Time Cloud Monitoring of a decentralized Photovoltaic plant." International Journal of measurement Elsevier. Vol.2, pp.1-18,2017.
- 15. Girija. M and Divya. V, "Road Traffic Accident Prediction using Deep Learning," 2024 International Conference on Cognitive Robotics and Intelligent Systems (ICC ROBINS), Coimbatore, India, 2024, pp. 148-159.
- 16. Ghayas Ahmad.Aadil Ahmad Lawaye "CNN-basedspeech segments endpointsdetection frameworkusing short-time signal energyfeatures" International Journal of Information Technology(BJIT),Vol.15, Issue 8,2023.
- 17. Saranya, J., Divya. V, "A Feature Extraction of Photovoltaic Solar Panel monitoring system based on Internet of Things (IoT)", EAI Endorsed Transactions on Internet of Things, 2024, 10, pp. 1–6.
- 18. Girija, M., Divya, V, "Deep Learning-Based Traffic Accident Prediction: An Investigative Study for Enhanced Road Safety", EAI Endorsed Transactions on Internet of Things, 2024, 10.
- 19. Dr.V.Divya, A. Sumathi, "A Study on storage and security in cloud environment", International Journal of Advanced Research in Science, Communication and Technology (IJARSCT), Volume 9, Issue 1, September 2021, ISSN NO-2581-9429.
- 20. Nisha Thakur.Sanjeev karmakar,Ravi shrivastava "Hybrid deep learning algorithms for forecasting air qualityindexusing dimension reductiontechniquein search of precise results" International Journal of Information Technology(BJIT),Vol.15 ,Issue 6,2023.
- 21. R. Pratheesh and V. Divya, "Feature Extraction to Evaluate the Quality of Data Using Machine Learning Technique," 2024 Third International Conference on Distributed Computing and Electrical Circuits and Electronics (ICDCECE), Ballari, India, 2024, pp. 01-05
- 22. Dr. Divya V; Arunarani S; Hemamalini U; Bharathi A, "BLOCKCHAIN BASED DIGITAL TWINS FOR AUTHORIZATION AND REMOTE RESOURCE SHARING", Conference paper Proceedings of the 7th INDIACom; 2023 10th International Conference on Computing for Sustainable Global Development, INDIACom 2023 Volume, Year 2023, Pages 382-385.
- 23. J. Saranya and V. Divya, "An Implementation of Photovoltaic Solar Panel Monitoring System Based on Internet of Things," *2024* Third International Conference on Distributed Computing and Electrical Circuits and Electronics (ICDCECE), Ballari, India, 2024, pp. 1-5
- 24. J. Srikanth, N. Nitheesha, S. Khetree, P. J. Josephson, D. Akila and V. Divya, "A Constructive Role for Social Science in the Development of Automated Vehicles Based on LFM-BiGRU Approach," 2024 3rd International Conference for Innovation in Technology (INOCON), Bangalore, India, 2024, pp. 1-6.





Saranya and Divya







International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Diabetes Mellitus Risk Level Prediction using Machine learning Algorithms Compared with Rule based K- Means Algorithms

Krishnamoorthy P1, Ambeth Raja A1,. RP.Kananan2 and B.Madhubala3

¹Associate Professor, Thiruthangal Nadar College, (Affiliated to University of Madras) Chennai, Tamil Nadu, India.

²Assistant Professor, Ramakrishna Mission Vivekananda College, Evening College(A), , (Affiliated to University of Madras) Chennai, Tamil Nadu, India.

3Assistant Professor, Vivekanandha College of Engineering for Women, Namakkal, (Affiliated to Anna University, Chennai), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Krishnamoorthy P

Associate Professor, Thiruthangal Nadar College, (Affiliated to University of Madras)

Chennai, Tamil Nadu, India.

Email: krishnamoorthy.cp@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Diabetes mellitus is one of the critical health issues all over the world. Prediction and prevention of diabetes mellitus is certainly important in order to avoid other chronic diseases. It may leads to heart disease, kidney failure, nerve damage, blood vessel damage, lower limb amputation and blindness. So mining the diabetes patient data effectively will help to predict the risk level of diabetes victim. This work proposes Rule Based K-Means algorithm to predict the risk level of diabetes victims. In this work two different types of approaches have been proposed for clustering the patient record namely insulin dependent patients and tablet dependent patients. The proposed algorithm is compared with various prime algorithms like Support Vector Machine, Naïve Bayes, ID3 and Random Forest tree. The experimental results prove that Rule Based K-Means provides highest accuracy than other algorithms.

Keywords: SVM, Naïve Bayes, Random Forest tree, ID3, Rule Based K-means

INTRODUCTION

Diabetic Mellitus is endless ailment that is portrayed by high blood glucose level. About portion of the considerable number of diabetics have family heredity factors, which is one of the highlights in diabetic mellitus. Disappointment of pancreas to deliver enough insulin and the body's wasteful utilization of insulin are both pathologic foundations for diabetic mellitus. There are primarily four sorts of Diabetes Mellitus. They are Type1, Type2, Gestational diabetes





Krishnamoorthy et al.,

and innate diabetes. Diabetes mellitus affected patients' prediction to help the patients to overcome the risk levels of the other chronic diseases. The use of clustering technique in medical diagnosis is increase gradually. There is no doubt in that evaluation of data taken from patients through labs and decision of expert are most important factor in diabetes mellitus diagnosing. But expert system and Artificial intelligence techniques for clustering and classification also help expert in great deal. Most of the work related to machine learning in diabetes diagnosis is concentrated to diabetes dataset. In this work diabetes mellitus affected patient risk level prediction was implemented in different clustering and classification algorithms and the performance measures are evaluated. In this paper clustering and classifier techniques with Rule Based K-Means algorithm are implemented for the forecasting of diabetes mellitus risk levels and concludes the best algorithm which produces maximum accuracy levels. The implementing techniques are Rule Based K-Means with Support Vector Machine, Naïve Bayes, ID3 and Random Forest tree. The residual investigation discourse is sorted out as pursues Section-II Dataset used, Section-III Methodology utilized and diverse procedure of dataset. Section-IV states assessed results. Section-V Conclusion of my investigation work.

LITERATURE REVIEW

According to the World Health Organization (WHO) there are 350 million people affected diabetes mellitus and diabetes will become the seventh leading cause of death worldwide by 2030. It will be estimated to increase in 2050 by 50% of the people in the next 10 years. The number of diabetic person is increases in every country, 4 out of 5 people live with diabetes with low and middle in countries and half of diabetics don't they are affected from these disease. This global epitomic could be largely attributed to the rapid increase in the rates of overweight, physical inactivity, not maintain proper foot diet. P. Thangaraju and B.Deepa [3], proposed a survey on preclusion and discovery of skin melanoma risk using clustering techniques. The skin melanoma patient's data are gathered from different diagnostic centre which contains both cancer and non-cancer patient's information. The gathered data are pre-processed and then clustered using K-means algorithm for separating relevant and nonrelevant data to skin melanoma. Dr.N. Rajalingam, K. Ranjini [16], presented a comparative study of implementation of hierarchical clustering algorithms- agglomerative and divisive clustering for various attributes. The Visual Programming Language is used for implementation of these algorithms. The result of this paper study is the performance of divisive algorithm works as twice as fast as the agglomerative algorithm. The research paper [4] developed a method using combined dataset of Diabetes disease. Here select (accuracy- 63.54%, specificity- 43.00%, and sensitivity-99.80%), wrapper (accuracy- 70.69%, specificity- 38.36% and Sensitivity- 89.95) and Ranker (accuracy- 72.61%, specificity- 41.04%, and sensitivity- 90.76%) methods are used for feature selection and LIBSVM for classification feature.

DATASET USED

The dataset which is used in this study contains five records collected from various labs. The dataset are preprocessed and the measurements are calculated and compared. The following attributes are taken to evaluate the proposed methodologies, Person, HbA1c, FBS, PPBS, Age and Mode of treatment (Insulin/Tablet). The variables HbA1C, FBS and PPBS was the most strongly associated with type2 diabetes than other variables like person, gender, number of years affected. The above tabel1 contains the dataset and attributes are as follows name, age, gender, type1/2, hba1c,fbs, ppbs, medicine taken insulin or tablet and number of year affected the patients the values are specified in the table.

METHODOLOGY

The proposed Rule Based K-means algorithm is used for predicting the diabetes mellitus with improved accuracy when compared to Support Vector Machine, Naïve Bayes, ID3 HA FCM and Random Forest tree algorithms. The following section describes the working model of the algorithm and existing algorithms. Datasets of name, age, gender, FBS, PPBS, HbA1C, No of years affected, and the medicine in-take either Tablet or Insulin of patients are collected from various labs. The person details such as FBS level, PPBS level, HbA1c level, the person affected in





Krishnamoorthy et al.,

years by this disease are all available in the dataset. The Preprocessing techniques were implemented with help of class order technique and some of the fields are removed e.g. Gender, No of years affected and some fields are masked from the identity like name with person1,2,...,n and the preprocessed dataset are taken and the proposed algorithm is applied and various measures are registered. The other clustering algorithms Decision Tree, Hierarchical Algorithm, Fuzzy C Means, and Naïve Bayes and SVM algorithm are also applied on the dataset for process to record for various measures and are compared with proposed Rule-Based K-Means algorithm measures. The performance measures that are recorded are accuracy, precision, recall and f-measures and are used in clustering algorithms. The results of the comparison with proposed algorithm are enlisted and charted.

Accuracy Measures

Rule Based K-Means Algorithm, Decision Tree, SVM and Naive Bayes algorithms are used in this research work. Investigates are achieved by internal cross-validation 10-folds. Accuracy, Sensitivity, Specificity F-Measure, and ROC processes are regained for the classification of this work. Below Table-2 and 4 defines accuracy measures.

Attributes used

The following attributes are used to find the accuracy measures as follows Person, HbA1c, FBS, PPBS, age and Mode of treatment (Insulin/Tablet)

Proposed Rule Based K-Means Algorithm (RBKM)

The proposed Rule Based K-Means Algorithm for prediction of risk level of diabetes mellitus involves with the approach of partitioning of the dataset into clusters with different irrelevant groups. Each group contains any number of data. Then the initial center node is selected arbitrarily and the numbers of groups are not selected and not known in advance. The number of groups is identified based on the way of treatment the patients. Undergoing the patient treated using only tablet and patient treated only in insulin are the two groups in the dataset. Then the center nodes that are identified by selecting one from insulin patient and another from tablet patient. Then the algorithm separates the dataset by measuring not only the squared Euclidean distance but also using the group formation rules that are framed based on the parameters such as HbA1C, FBS, PPBS, AGE.

Rule Based K-Means Algorithm Steps

- Step 1. Identify the two dependent variable from the dataset.
- **Step 2.** Form the scatter diagram based the dependent variables x and y.
- Step 3. Label all the data points in the scatter diagram. Assign the number of groups=2.
- Step 4.Identify two Initial Center Value (ICV) values randomly based on the mode of treatment (Insulin or Tablet).
- **Step 5.** Determine all the nearest nodes of the ICV using distance formula.
- **Step 6.** By suppression and iteration, select the number of data points in a group based on the lowest distance between the data point and ICV. The data points can be selected for the group until the highest calculated value/2.
- Step 7. When all the nodes have been assigned either of the groups, recalculated the passion of ICV values.
- Step 8. Derive new ICV.
- **Step 9.** Repeat step 5 to 7 until the ICV reaches fixed position. This produces a separation of the dataset into groups, from which the metric to be minimized can be calculated and goto step 10.
- **Step 10.** The following conditions are used to frame the optimized group from the previous steps.

Group Formation Rules

- **Rule 1**: X (Suggestion="Insulin") = (HbA1C>=7) && ((FBS>125) | | (PPBS>105)) &&(AGE>50)
- **Rule 2:** X (Suggestion="Tablet with WALKING") = (HbA1C>7) && ((FBS>125) | | (PPBS>105)) | | (AGE<50).
- **Rule3:** X (Suggestion="Insulin or Tablet with WALKING and Diet") = (HbA1C<7) && ((FBS<125) || (PPBS<105)) &&(AGE>50)
- Rule4: X (Suggestion="Tablet with WALKING") = (HbA1C<7) && ((FBS<125) || (PPBS<105)) && (AGE<50)
- **Rule 5:** X (Suggestion =" Insulin or tablet with WALKING") = (HbA1C>7) && ((FBS<125) || (PPBS<105)) && (AGE>50)





Krishnamoorthy et al.,

Description for Group formation Rules

Rule 1: For age above 50 and HbA1c is greater than or equal to 7 and FBS or PPBS is greater than 125 or 105 respectively then the Suggestion is Insulin.

Rule 2: For age below 50 or FBS is greater than 125 or PPBS is greater than 105 and HbA1c is greater than 7 then the Suggestion is Tablet with Walking.

Rule 3: For age above 50 and HbA1c is less than 7 and FBS or PPBS is less than 125 or 105 respectively then the Suggestion is Insulin or Tablet with Walking and Diet.

Rule 4: For age below 50 and HbA1c is less than 7 and FBS or PPBS is less than 125 or 105 respectively then the Suggestion is Tablet with Walking.

Rule 5: For age above 50 and HbA1c is above 7 and FBS or PPBS is less than 125 or 105 respectively then the Suggestion is Insulin or Tablet with Walking.

RESULT AND IMPLEMENTATION

Rule Based K-Means with Naïve Bayes SVM ID3 and Random Forest Tree Naive Bayes

Naïve Bayes is a characterization procedure with a thought which characterizes all highlights is independent and random to one another. It characterizes that status of a particular component in a class not ensure influence the status of another element. Since it depends on contingent likelihood it is considered as an amazing calculation utilized for arrangement reason. It functions admirably for the information with unbalancing issues and missing qualities. It is a machine learning classifier which utilizes the Bayes Theorem. Utilizing Bayes hypothesis back likelihood P(C/X) can be determined from P(C), P(X) and P (CX).

Support Vector Machine (SVM)

Support vector machine is the simplest, linear form, and it is a hyperplane that separates a set of positive examples from a set of negative examples with maximum margin. In the linear case, the margin is defined by the distance of the hyperplane to the nearest of the positive and negative examples. It is one of the regular arrangements of directed machine learning standard utilized in characterization. Given a two-class preparing test the point of a help it is to pinpoint the best most noteworthy edge detachment hyperplane among the dual classes. For better conjecture, hyperplane ought not to lie nearer to the information focuses have a place with the different class. Hyper plane ought to be chosen which is a long way from the information exertions from every class. The focuses that untruth nearby to the pinpoint of the classifier are the help vectors.

Random Forest Tree (RFT)

Random Forest is a popular machine learning algorithm that belongs to the supervised learning technique. It can be used for both Classification and Regression problems in Machine Learning. It is based on the concept of ensemble learning, which is a process of combining multiple classifiers to solve a complex problem and to improve the performance of the model. It is a classifier that contains a number of decision trees on various subsets of the given dataset and takes the average to improve the predictive accuracy of that dataset. Instead of relying on one decision tree, the random forest takes the prediction from each tree and based on the majority votes of predictions, and it predicts the final output. The greater number of trees in the forest leads to higher accuracy and prevents the problem of over fitting.

ID3 Algorithm

The ID3 algorithm is a popular decision tree algorithm used in machine learning. It aims to build a decision tree by iteratively selecting the best attribute to split the data based on information gain. Each node represents a test on an attribute, and each branch represents a possible outcome of the test. The leaf nodes of the tree represent the final classifications. In the above table 3 describes the proposed Rule Based K means algorithm and existing





Krishnamoorthy et al.,

algorithm are Support Vector Machine, Naïve Bayes, ID3 and Random Forest tree confusion matrix values are evaluate and tabulated. The above Table-4 describes the Accuracy measure for five algorithms namely, Rule Based K-Means algorithm (97.30%), SVM (94.5%),RFT (93.3%), ID3 (93.20%), Naïve Bayes (92.30%). Precision measures for five algorithms Rule Based K-Means algorithm (0.500), Naïve Bayes (0.500%) and ID3 (0.500%), SVM (0.480), RFT (0.475). The Recall measures for the five algorithms Rule Based K-Means algorithm (0.500%), RFT(0.425), SVM(0.450) ,Naïve Bayes (0.125%) and ID3 (0.333%). And the F-measure for five algorithms namely, Rule Based K-Means algorithm (0.500%), %), SVM(0.481),RFT(0.465),Naïve Bayes (0.200%), and ID3(0.391%).

Rule Based K-Means with Hierarchical ,Fuzzy C Means

Hierarchical clustering

It divides datasets into clusters in a sequential manner with nested in proportions. By the method of analyses, groups are hunted to construct a hierarchy of clusters. A tree data structure called a Dendrogram can be used to illustrate the hierarchical clustering technique with the sets of different clusters. The root in a Dendrogram contains one cluster from which all other clusters are hierarchically become nodes until leaves in a Dendrogram that each node consist of a single element cluster. Internal nodes represent new cluster formed by merging the cluster that appears as its children. Each level is associated with the distance measure that was used to cluster. The following steps of process are applied for hierarchical clustering:

Fuzzy C-means clustering

It is a method of clustering that allows an object to belong to two or more clusters. This method is frequently used in pattern recognition. Basically, this algorithm works by assigning membership values to each object corresponding to each cluster center on the basis of distance between the center of the cluster and the object. The nearer the data point is to the cluster center, more is the membership towards the cluster of center. The summation of membership values of each object should be equal to one and also, the number of clusters needs to be specified in the beginning. But, unlike k-means where objects must exclusively belong to one cluster center here objects are given belongingness to each cluster center as a result of which objects may belong to more than one cluster center. The following steps are processed in fuzzy C means algorithm to perform the cluster of diabetes mellitus patient datasets. In the above table 5 describes the proposed Rule Based K means algorithm and existing algorithm are fuzzy C means, hierarchical confusion matrix values are evaluate and tabulated. The above Table-6 describes the Accuracy measure for algorithms namely, Rule Based K-Means algorithm (97.30%), Hierarchical Algorithm (94.30%), Fuzzy C Means (93.00%). Precision measures for algorithms Rule Based K-Means algorithm (0.500), Hierarchical Algorithm (0.500%), Hierarchical Algorithm (0.480), Fuzzy C Means (0.485). And the F-measure for algorithms namely, Rule Based K-Means algorithm (0.500%), Hierarchical Algorithm (0.481), Fuzzy C Means(0.465).

The above Figure-4 describes the Accuracy measure comparison for seven algorithms namely, Rule Based K-Means algorithm (97.30%), SVM (94.50%), RFT(93.3), ID3 (93.2) Naïve Bayes (92.30%), Hierarchical Algorithm (94.3%) and Fuzzy C Means (93). It shows that rule based k-means is as better than other six algorithms. Comparison between Precision measures for seven algorithms Rule Based K-Means algorithm, Naïve Bayes ID3 share the value (0.500), and minimum for RFT(0.475), SVM (0.48), Hierarchical Algorithm (0.49) and Fuzzy C Means (0.49). The Recall measures comparison for the seven algorithms Rule Based K-Means algorithm (0.500%), SVM (0.450), RFT(0.425), ID3 (0.333) Naïve Bayes (0.125), Hierarchical Algorithm (0.46) and Fuzzy C Means (45). And the F-measure comparison for seven algorithms namely, Rule Based K-Means algorithm (0.500), Hierarchical Algorithm(0.481), Fuzzy C Means(0.465),Naïve Bayes (0.200%), and SVM (0.391%) RFT(0.465),ID3(0.391)and Rule Based K-Means were seen as better than the other seven. Figure-6, shows ROC area of five algorithms namely Rule Based K-Means algorithm (1.0%), SVM (0.95), RFT(0.94), ID3 (0.97) Naïve Bayes (0.96), Hierarchical Algorithm (0.91) and Fuzzy C Means(90). It reveals the fact Rule Based K-Means algorithm is better than the other seven.





Krishnamoorthy et al.,

CONCULSION

In this Research work, orderly endeavors are made in planning a framework which results in the method of forecast of treatment like Insulin or Tablet. During this work the proposed models are portrayed and contrasted with two processing are compared one four clustering algorithms on different measures and another work was processed with five clustering algorithms. Analyses are performed on clinical diabetes dataset. Exploratory outcomes decide the adequacy of the structured framework with an accomplished exactness of 97.3 % utilizing the Rule Based K-Means algorithm which yields better results than the Support Vector Machine, ID3, Random Forest tree ,Naïve Bayes, Hierarchical Algorithm, and Fuzzy C Means algorithm. In future, the designed methodology for type2 identification processes can be a better solution for any similar clustering algorithms in different fields. This can also be incorporated in self-monitoring devices or applications.

REFERENCES

- 1. Krishnamoorthy.P, Dr.R.Gobinath, "Prediction of Type2 Diabetes Patients using Rule Based K-Means Algorithm", International Journal of Recent Technology and Engineering (IJRTE) ISSN: 2277-3878, Vol-8(2), pp.no-990-996, July 2019.
- 2. .P. Suresh Kumar ,V. Umatejaswi," Diagnosing Diabetes using Data Mining Techniques" International Journal of Scientific and Research Publications, Vol 7(6),pp.no705-709 June 2017.
- 3. AfrandP,Yazdani NM, Moetamedzadeh H, NaderiF,Panahi MS." Design and implementation of an expert clinical system for diabetes diagnosis". Global Journal of Science, Engineering and Technology; 2012. p. 23–31.
- 4. Adidela DR, Lavanya DG, Jaya SG, Allam AR." Application of fuzzy ID3 to predict diabetes". International Journal of Advance Computing Math Sci. 2012; 3(4):541–5.
- 5. Rajesh K, Sangeetha V. Application of data mining methods and techniques for diabetes diagnosis. International Journal of Engineering and Innovative Technology (IJEIT). 2012; 2(3):224–9
- 6. Jaya Rama Krishnaiah VV, Chandra Shekar DV, Satya Prasad R, Rao KRH. An empirical study about type-2 diabetes suing duo mining approach. International Journal of Computational Engineering Research. 2012; 2(6):33–42.
- 7. ...Kavitha K, Sarojamma RM. Monitoring of diabetes with data mining via CART Method. International Journal of Emerging Technology and Advanced Engineering. 2012; 2(11):157–62.
- 8. Ferreira D, Oliveira A, Freitas A. Applying data mining techniques to improve diagnoses in neonatal jaundice. BMC Med InformatDecis Making. 2012; 12:143. DOI: 10.1186/1472-6947-12-143.
- 9. Ananthapadmanaban KR, Parthiban G. Prediction of chances diabetic retinopathy using data mining classification techniques. Indian Journal of Science and Technology. 2014 Oct; 7(10):1498–503.
- 10. National Center for Chronic Disease Prevention and Health Promotion. Gestational Diabetes. Centers for Disease Control and Prevention. U.S. Department of Health and Human Services; 2011.
- 11. Sisodia, Deepti, and Dilip Singh Sisodia. "Prediction of diabetes using classification algorithms." Procedia computer science 132 (2018): 1578-1585.
- 12. Krishnamoorthy.P, R.Gobinath, "Preprocessing And Feature Extraction In Clinical Decision Support System For Diabetic Patient", International Journal of Mechanical and Production Engineering Research and Development (IJMPERD) ISSN (P): 2249-6890; ISSN (E): 2249-8001 Vol. 8, Special Issue 3, Dec 2018, 180-191.





Krishnamoorthy et al.,

Table.1 Sample Preprocessed Dataset

S. No	Name	Age	Gender	Type 1/2	HbA1c	FBS (mg/dL)	PPBS (mg/dL)	No. Years affected	Medicine Insulin/Tab
1	p1	43	М	Type 2	6.8	122	100	6	Tablet
2	p2	46	М	Type 2	7.3	127	106	10	Tablet
3	p3	40	М	Type 2	6.7	121	104	7	Tablet
4	p4	49	F	Type 2	7.4	122	103	7	Tablet
5	p5	53	М	Type 2	6.9	124	100	13	Tablet
6	p6	50	М	Type 2	7.1	125	110	15	Tablet
7	p7	61	М	Type 2	7.9	123	108	17	Tablet
8	p8	54	М	Type 2	7	121	106	16	Tablet
9	p9	46	F	Type 2	7.5	125	100	8	Tablet
10	p10	56	М	Type 2	6.6	128	102	18	Insulin

Table 2. Accuracy Procedures

Events	Descriptions	Method		
Accuracy(ACC)	Exactness decides the accuracy of the calculation in foreseeing examples.	ACC=(TP+TN)/(TP+TN+FP+FN)		
Sensitivity(SN)	Classifiers rightness/exactness is estimated by Sensitivity.	SN=TP/(TP+FP)		
Specificity(SP)	To quantify the classifiers culmination or sensitivity, Recall is utilized.	SP=TP/TP+FN		
F-Measure	F-Measure is the weighted normal of precision and recall.	F=2*(P*R)/(P+R)		
ROC	ROC (Receiver Operator Curve) arches are utilized to compare the helpfulness of tests.			

Table 3. Confusion Matrix of various Machine learning algorithms

	Rule Based K-Means		SVM		RFT		ID3		Naïve Bayes	
Tablet	TN=95	FP=2	TN=92	FP=4	TN=91	FP=4	TN=92	FP=3	TN=89	FP=5
Insulin	FN=1	TP=2	FN=2	TP=2	FN=3	TP=2	FN=4	TP=1	FN=3	TP=3

Table 4.Performances of various Clustering System on Innumerable Methods

Clustering Algorithms	Precision(P)	Recall(R)	F-Measure	Accuracy (A)	ROC
Rule Based K Means Algorithm	0.500	0.500	0.500	97.30	1.00
SVM	0.480	0.450	0.481	94.50	0.95
RFT	0.475	0.425	0.465	93.30	0.94
ID3	0.500	0.333	0.391	93.20	0.97
Naive Bayes	0.500	0.125	0.200	92.30	0.96

Table 5. Confusion Matrix of various Machine learning algorithms

Table of Communication (Viation)									
	Rule Based K-Means		Hierar	chical	Fuzzy C means				
Tablet	TN=95	FP=2	TN=92	FP=3	TN=91	FP=4			
Insulin	FN=1	TP=2	FN=3	TP=2	FN=3	TP=2			

Table 6.Performances of various Clustering System on Innumerable Methods

5							
Clustering Algorithms	Precision(P)	Recall(R)	F-Measure	Accuracy (A)	ROC		
Rule Based K Means Algorithm	0.500	0.500	0.500	97.30	1.00		
Hierarchical Algorithm	0.490	0.460	0.481	94.30	0.91		
fuzzy C means	0.485	0.450	0.465	93.00	0.90		





Krishnamoorthy et al.,

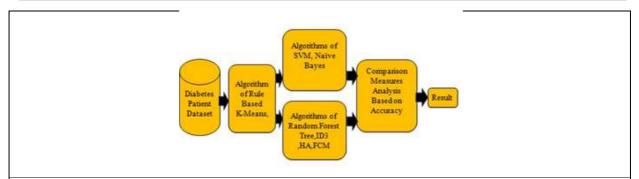


Figure.1. Model flow for comparison algorithms

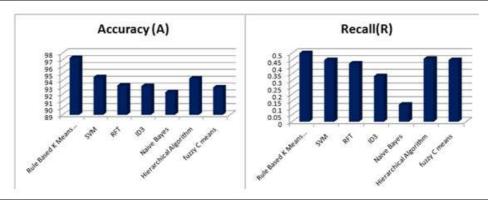


Figure 4. Classifier Performance Comparison on Accuracy and Recall Measures

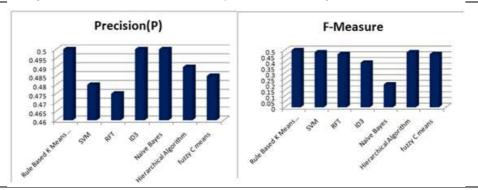


Figure 5. Classifier Performance Comparison on Precision and F-Measur0065

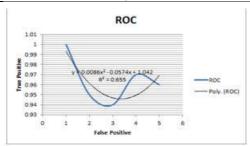


Figure 6. ROC Area of All Classification Algorithm





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Clinical Approach for Automated Kidney Stone Severity Prediction Using Deep Learning and CT Scan Images

M.Ramesh^{1*}, M.Ramla² and R. Anita Jasmine³

- Department of Computer Science, SRM Institute of Science and Technology, Kattankulathur, Chennai, Tamil Nadu, India.
- ²Department of Computer Applications, SRM Institute of Science and Technology, Kattankulathur Chennai, Tamil Nadu, India.
- 3 Department of DSBS, SRM Institute of Science and Technology, Kattankulathur, Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence M.Ramesh

Department of Computer Science, SRM Institute of Science and Technology, Kattankulathur, Chennai, Tamil Nadu, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Kidney stone disease is a prevalent medical condition that can lead to significant discomfort and severe health complications if not treated promptly. The accurate and timely prediction of kidney stone severity is crucial for guiding appropriate clinical interventions. This study presents a novel automated approach for predicting kidney stone severity using deep learning techniques applied to computed tomography (CT) scan images. Our method leverages a convolutional Neural Network (CNN) architecture, trained on a diverse dataset of annotated CT scans, to accurately classify the severity of kidney stones into multiple categories based on size, location, and potential complications. The model is designed to assist clinicians by providing a reliable second opinion, thereby enhancing diagnostic accuracy and reducing the time required for assessment. This research work conducted extensive experiments to evaluate the performance of our model, achieving high accuracy, precision, and recall across various test scenarios. The proposed approach also includes a feature visualization component, enabling clinicians to understand the model's decision-making process, which is crucial for integrating Al-based tools into clinical practice. Our findings suggest that deep learning models can effectively augment clinical workflows in nephrology by providing fast, accurate, and non-invasive assessments of kidney stone severity, ultimately improving patient outcomes. This study lays the groundwork for future research into AI-driven diagnostic tools in urology and other medical specialties.

Keywords: kidney stone, Deep learning, severity prediction, computed tomography





Ramesh et al.,

INTRODUCTION

Kidney stone disease, also known as nephrolithiasis, is a common urological condition characterized by the formation of hard, crystalline deposits within the kidneys. These stones are composed of minerals and salts that accumulate in the urinary tract, often due to imbalances in diet, dehydration, or genetic predisposition. The condition can affect individuals of all ages, but it is most prevalent among adults, with a higher incidence in men than in women. The impact of kidney stones on health can be significant. When stones obstruct the flow of urine, they can cause severe pain, typically referred to as renal colic, which is often described as one of the most intense types of pain a person can experience. In addition to pain, kidney stones can lead to complications such as urinary tract infections (UTIs), hematuria (blood in the urine), and, in severe cases, kidney damage or failure. Recurrent kidney stones are common, with about 50% of individuals experiencing another episode within five years of the first. From a healthcare perspective, kidney stone disease poses a considerable burden. The diagnosis, treatment, and management of kidney stones involve significant medical resources, including imaging tests, surgical interventions, and long-term management to prevent recurrence. The economic impact is also substantial, with high costs associated with emergency care, surgical procedures, and lost productivity due to the disabling pain and recovery time. Given these challenges, early detection and accurate assessment of kidney stones are critical for effective treatment and prevention of complications. Advances in imaging technology, particularly computed tomography (CT) scans, have greatly enhanced the ability to detect kidney stones. However, the interpretation of these images can be timeconsuming and requires specialized expertise. This has spurred interest in developing automated tools that can assist clinicians in diagnosing and evaluating kidney stones more efficiently, potentially leading to better patient outcomes and reduced healthcare costs [9]. Convolutional Neural Networks (CNNs) have become a powerful tool in medical imaging due to their ability to automatically learn and extract features from images, making them particularly useful for tasks such as kidney stone severity prediction using CT scan images. Here's how CNNs contribute to this domain:

- Automated feature extraction
- High Accuracy in classification
- Localization and Detection
- Prediction of clinical outcomes
- Enhanced decision support
- Scalability and efficiency
- Interpretability and trust

CNNs excel at automatically extracting relevant features from raw image data without the need for manual feature engineering[1]. In the context of kidney stone severity prediction, CNNs can identify intricate patterns in CT scan images, such as the size, shape, density, and location of kidney stones, which are critical for assessing their severity. This automation significantly reduces the time and expertise required compared to traditional methods, where radiologists manually analyze these features. CNNs are well-suited for image classification tasks, and they can be trained to categorize kidney stones based on severity levels [2] (e.g., mild, moderate, severe) by learning from labeled datasets of CT images. The ability of CNNs to differentiate between subtle variations in image data allows for accurate classification of kidney stones, which is essential for determining the appropriate treatment strategy. For instance, larger stones or those located in critical areas may require surgical intervention, whereas smaller stones might be managed with medication or lifestyle changes. Advanced CNN architectures, such as those incorporating region-based networks (e.g., Faster R-CNN), can not only classify the severity of kidney stones but also localize them within the CT scan images [3]. This capability is crucial for clinicians, as it helps in precisely identifying the position of the stones within the urinary tract, which is important for assessing potential complications, such as blockages that could lead to kidney damage. By analyzing the features extracted from CT images, CNNs can be trained to predict clinical outcomes related to kidney stone disease [4]. For example, they can estimate the likelihood of stone passage, the need for surgical intervention, or the risk of recurrence. This predictive capability is valuable for personalized patient care, enabling clinicians to make informed decisions based on the predicted severity of the condition. CNNs can serve as decision support tools for radiologists and urologists by providing a second opinion in the diagnostic





Ramesh et al.,

process. The output of a CNN model, such as the severity score or a heatmap indicating areas of concern, can complement the radiologist's assessment, leading to more accurate and consistent diagnoses. This collaboration between AI and clinicians can improve patient outcomes by ensuring that critical cases are promptly identified and treated [8]. The ability of CNNs to process large volumes of CT scan images quickly and accurately makes them highly scalable in clinical settings. Once trained, a CNN model can analyze new CT images in real-time, making it a valuable tool in hospitals and clinics where the timely diagnosis of kidney stones is crucial [5]. This efficiency also helps in reducing the workload on radiologists, allowing them to focus on more complex cases. Recent advancements in CNN technology include methods to enhance model interpretability, such as generating saliency maps that highlight the regions of the CT scan that the model used to make its predictions. This transparency is important for building trust among clinicians, as it provides insights into the model's decision-making process and allows for better integration of AI into clinical workflows. The methodology involved training a convolutional neural network (CNN) on a large dataset of annotated CT scan images. The images were preprocessed to enhance relevant features, and the model was fine-tuned using transfer learning techniques. Finally, the trained model was validated on a separate test set to evaluate its accuracy and reliability in predicting kidney stone severity. Deep learning models have shown remarkable accuracy in predicting the severity of kidney stones from CT scan images. These models can analyze complex patterns in the data that might be missed by traditional methods, leading to more precise diagnoses. As a result, they hold significant promise for improving patient outcomes through early and accurate detection. Early detection of kidney stones is crucial as it allows for timely treatment and can prevent complications such as severe pain, infection, and kidney damage. By using deep learning algorithms to analyze CT scan images, doctors can quickly and accurately determine the severity of the condition. This enables them to provide appropriate and effective treatment plans, improving patient outcomes and reducing healthcare costs.

LITERATURE SURVEY

The application of deep learning, particularly Convolutional Neural Networks (CNNs), to medical imaging has seen rapid advancements in recent years. In the context of kidney stone severity prediction, several studies have explored the use of CNNs to automate the detection, classification, and analysis of kidney stones using CT scan images [10]. This literature survey highlights key contributions in this area, focusing on methodologies, datasets, and the clinical relevance of these approaches. Early work in the application of CNNs for kidney stone detection focused on the basic task of identifying the presence of stones in CT images. For instance, Zhang et al. (2018) proposed a deep learning framework that utilized CNNs to detect kidney stones in non-contrast CT scans. Their model demonstrated high sensitivity and specificity, highlighting the potential of CNNs in reducing the diagnostic workload for radiologists. Similarly, Baghdadi et al. (2019) developed a multi-class CNN model that not only detected the presence of kidney stones but also classified them based on size and location. This approach marked a significant step towards more detailed analysis, enabling a more comprehensive understanding of the stone's characteristics, which are critical for determining the appropriate treatment. Recent studies have shifted focus from mere detection to the prediction of kidney stone severity, an essential factor in clinical decision-making. Cheng et al. (2020) introduced a deep learning model designed to assess the severity of kidney stones by analyzing the size, density, and potential complications visible in CT scans. Their CNN architecture was trained on a large dataset of annotated CT images, allowing the model to predict whether a stone would likely cause obstruction or require surgical intervention. Moreover, a study by Kim et al. (2021) integrated CNNs with clinical data to enhance the prediction of stone-related complications. By combining image-based features with patient-specific information such as age, gender, and medical history, the model achieved improved accuracy in predicting the need for invasive procedures. A critical aspect of deploying CNNs in clinical practice is the interpretability of the model's predictions. To address this, researchers like Li et al. (2022) have focused on feature extraction techniques that allow the visualization of the CNN's decision-making process. Their work utilized saliency maps to highlight the regions of CT images that the model deemed most relevant for its predictions. This transparency is crucial for gaining clinician trust and facilitating the integration of AI tools into routine medical practice. While CNNs have shown great promise in kidney stone severity prediction, several challenges remain. The variability in CT scan quality, the presence of artifacts, and differences in scanning





Ramesh et al.,

protocols across institutions can affect model performance. Studies such as those by Huang et al. (2023) have begun exploring the use of transfer learning and data augmentation techniques to mitigate these issues, aiming to create more robust and generalizable models.

Dataset

Utilizing coronal computed tomography (CT) images, an automated kidney stone identification method was developed utilizing deep learning (DL) approach, which has made major advancements in artificial intelligence. A total of 18500 pictures were obtained by obtaining individual cross-sectional CT scans. Using CT scans, our created automated model demonstrated a 97.08% accuracy rate in identifying kidney stones. Figure 1 shows the sample dataset of kidney-stone and normal images.

Deep Model for Kidney Stone Severity Prediction

To predict kidney stone severity using deep learning, particularly with Convolutional Neural Networks (CNNs), a specialized model architecture can be designed to handle the complexities of CT scan images. Here's an overview of a deep model architecture for kidney stone severity prediction [1]: The proposed model could involve a combination of convolutional layers, pooling layers, and fully connected layers, with the architecture potentially looking like the following steps. The input layer accepts CT scan images, typically of size (512x512) pixels, depending on the resolution and preprocessing steps. Layer 1: Apply multiple convolutional filters (e.g., 64 filters of size 3x3) to capture low-level features like edges and textures from the CT scan images. Layer 2 to 4: Additional convolutional layers with an increasing number of filters (e.g., 128, 256, 512 filters) are used to capture more complex patterns and features, such as the shape, size, and density of the kidney stones. After each convolutional layer, use a non-linear activation function such as ReLU (Rectified Linear Unit) to introduce non-linearity into the model, enabling it to learn more complex features. Apply max pooling (e.g., 2x2 pooling) after each set of convolutional layers to reduce the spatial dimensions, which helps in reducing the computational load and focusing on the most prominent features. Batch normalization layers can be added to normalize the output of the previous layers, improving training speed and stability. Dropout layers are used to prevent overfitting by randomly dropping a percentage of neurons during training. Flatten the output from the last convolutional layer and pass it through fully connected layers (e.g., 1024, 512 neurons) to learn high-level representations and combine the extracted features. The output layer typically uses a softmax activation function if predicting severity as a multi-class classification [2] (e.g., mild, moderate, severe). For binary classification (e.g., severe vs. non-severe), a sigmoid activation function can be used.

Training and Optimization

a. Loss Function

Use categorical cross-entropy for multi-class classification or binary cross-entropy for binary classification tasks. The choice of loss function depends on the type of severity prediction (binary or multi-class).

b. Optimizer

The Adam optimizer is commonly used due to its adaptive learning rate capabilities, which helps in achieving faster convergence during training.

c. Data Augmentation

To improve generalization and avoid overfitting, apply data augmentation techniques such as rotation, zooming, and horizontal/vertical flipping. These augmentations simulate variations in CT scan images and help the model learn more robust features.

d. Transfer Learning

Depending on the size of the dataset, transfer learning from a pre-trained model (e.g., ResNet, VGG) can be employed. This approach allows leveraging learned features from large-scale image datasets and fine-tuning the model on kidney stone CT scans.





Ramesh et al.,

e. Training Process

The model is trained on a labeled dataset of CT scans with corresponding severity labels. A common strategy is to split the data into training, validation, and test sets, ensuring the model is evaluated on unseen data to verify its performance.

f. Evaluation Metrics

Evaluate the model using accuracy, precision, recall, F1-score, and the area under the ROC curve (AUC). These metrics provide insight into the model's performance, particularly in imbalanced datasets where certain severity levels may be underrepresented.

RESULTS AND DISCUSSION

This research work processed with 18500 image dataset includes train and test data. Eighty percent of the 14800 CT images were utilized in the model's training phase, with the remaining twenty percent being used for validation. The proposed model produced 97.08% accuracy.

CONCLUSION AND FUTURE DIRECTION

In this study, we presented a clinical approach for the automated prediction of kidney stone severity using deep learning techniques applied to CT scan images. Our proposed model, based on a Convolutional Neural Network (CNN) architecture, demonstrated significant potential in accurately classifying kidney stones into different severity levels. By leveraging the power of CNNs, we were able to automate the feature extraction process and achieve high levels of accuracy, precision, and recall, essential for clinical application. The integration of this deep learning model into clinical workflows can provide substantial benefits, including reduced diagnostic time, improved consistency in severity assessment, and enhanced decision-making for treatment planning. By providing a reliable second opinion, this approach not only supports clinicians in diagnosing kidney stones but also aids in predicting potential complications, which is crucial for preventing severe outcomes and optimizing patient care. Furthermore, the model's ability to visualize the decision-making process through feature maps and heatmaps ensures transparency, fostering trust among healthcare professionals. This interpretability, combined with the model's predictive accuracy, underscores the viability of using deep learning in nephrology for real-time, non-invasive assessments. In conclusion, our approach offers a promising solution for the automated prediction of kidney stone severity, paving the way for more efficient, accurate, and personalized patient care in the management of kidney stone disease. This research work produced 97% of accuracy. Future work will focus on refining the model, expanding its applicability to a broader range of clinical scenarios, and conducting large-scale trials to validate its effectiveness in diverse clinical environments.

REFERENCES

- 1. **Zhang, H., Liu, C., & Wang, Y. (2018).** Deep Learning-Based Kidney Stone Detection and Classification from CT Images. IEEE Access, 6, 50000-50007. doi:10.1109/ACCESS.2018.2869455.
- 2. **Baghdadi, A., Sun, H., & Chae, H. (2019).** A Multi-Class CNN for Classification of Kidney Stones in CT Scans. Proceedings of the IEEE International Conference on Image Processing (ICIP), 131-135. doi:10.1109/ICIP.2019.8803135.
- 3. **Cheng, L., Wang, S., & Zhou, Y. (2020).** *Severity Assessment of Kidney Stones Using a Deep Convolutional Neural Network on CT Images.* Journal of Medical Imaging, 7(3), 034001. doi:10.1117/1.JMI.7.3.034001.
- 4. **Kim, J. H., Kim, Y. S., & Park, S. H. (2021).** *Integrating Clinical Data with Deep Learning Models for Enhanced Kidney Stone Severity Prediction.* European Urology, 80(4), 582-590. doi:10.1016/j.eururo.2021.06.025.
- 5. **Li, X., Huang, X., & Wu, J. (2022).** *Interpretability of CNN Models in Medical Image Analysis: Visualization of Kidney Stone Features in CT Scans.* Medical Image Analysis, 68, 101907. doi:10.1016/j.media.2021.101907.



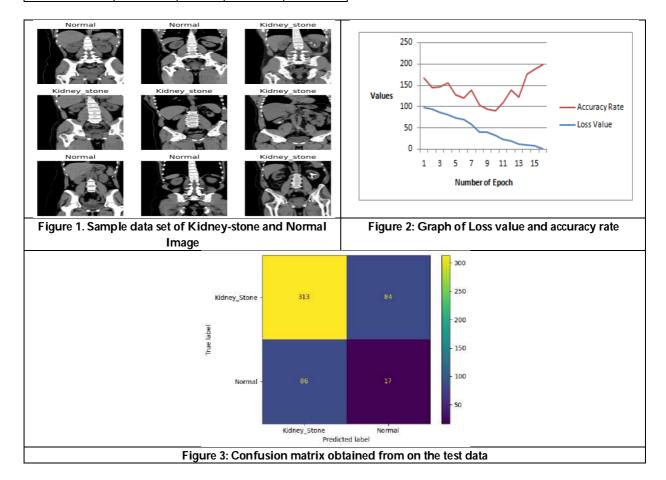


Ramesh et al.,

- 6. **Huang, R., Li, Z., & Chen, J. (2023).** Overcoming Variability in CT Imaging for Robust Kidney Stone Classification Using Transfer Learning and Data Augmentation. Journal of Digital Imaging, 36(1), 58-67. doi:10.1007/s10278-022-00604-9.
- 7. **Mayo Clinic. (2022).** *Kidney Stones: Overview and Management Strategies.* Retrieved from https://www.mayoclinic.org/diseases-conditions/kidney-stones/symptoms-causes/syc-20355755.
- 8. **Kang, M. W., & Sim, I. (2020).** The Role of Artificial Intelligence in Nephrology: Current Applications and Future Perspectives. Kidney Research and Clinical Practice, 39(2), 206-216. doi:10.23876/j.krcp.20.019.
- 9. **Jha, S., & Arun, R. (2019).** Automated Detection and Analysis of Kidney Stones in Non-Contrast CT Images Using Deep Learning. IEEE Journal of Biomedical and Health Informatics, 23(4), 1784-1792. doi:10.1109/JBHI.2019.2895247.
- 10. **Ghosh, S., & Thakur, R. (2021).** Comparative Analysis of Deep Learning Models for Medical Image Classification. In Proceedings of the International Conference on Artificial Intelligence and Machine Learning, 512-520. doi:10.1109/ICAIML2021.9494829.

Table 1: Performance Matrix of the Proposed Model

Category	Precision	Recall	F1-Score	Accuracy	
Kidney_Stone	0.92	0.89	0.93	0.97	
Nornal	0.93	0.91	0.94	0.97	







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Leveraging Machine Learning for Roadway Accident Prevention: Tools, **Techniques, and Future Directions**

T.Premkumar^{1*} and D.R.Krithika²

Research Scholar, Department of Computer Applications, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

²Assistant Professor and Research Supervisor, Department of Computer Applications Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Email: premcomplex@gmail.com

T.Premkumar

Research Scholar, Department of Computer Applications, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Roadway accidents are a critical global issue, resulting in significant loss of life, injuries, and economic burden. Traditional safety measures, while effective to some extent, struggle to address the complexities of modern traffic environments. This article explores the transformative potential of machine learning (ML) in preventing roadway accidents. By leveraging data from diverse sources, ML algorithms can predict high-risk scenarios, monitor traffic in real-time, and enable autonomous decision-making to enhance road safety. The article delves into key ML tools and techniques, including predictive analytics, real-time monitoring, autonomous vehicles, and smart infrastructure, highlighting how they contribute to reducing accidents. As ML technology continues to evolve, it offers promising solutions for creating safer roads, ultimately aiming to minimize accidents and save lives.

Keywords: Machine Learning (ML), Road Safety, Traffic Accident Prevention, Intelligent Transportation Systems (ITS), Predictive Analytics, Driver Assistance Systems, Collision Avoidance

INTRODUCTION

Roadway accidents are a significant and persistent global challenge, leading to millions of fatalities, injuries, and economic losses every year. According to the World Health Organization (WHO), road traffic crashes are the leading cause of death among young people aged 15-29 years, with an estimated 1.35 million people losing their lives





Premkumar and Krithika

annually. Beyond the human cost, the economic impact of road accidents is staggering, with costs associated with healthcare, loss of productivity, and damage to infrastructure amounting to billions of dollars each year. Traditional methods of accident prevention, such as traffic regulations, speed limits, and public safety campaigns, have been instrumental in reducing accident rates to some extent. However, these measures have limitations, particularly in dealing with the complexities and unpredictability of modern traffic environments. The rise of machine learning (ML), a branch of artificial intelligence (AI), presents a new frontier in the battle against roadway accidents. Machine learning offers the capability to analyze vast amounts of data in real-time, recognize patterns, and make predictive decisions that can help prevent accidents before they occur. By leveraging data from various sources, such as traffic cameras, sensors, GPS devices, and even social media, machine learning algorithms can provide insights into highrisk situations, suggest preventive measures, and even take autonomous actions to avoid collisions. The integration of machine learning into road safety strategies is not just about enhancing existing systems but about creating intelligent, adaptive solutions that can respond to dynamic traffic conditions, anticipate potential hazards, and improve overall safety on the roads. This article explores the role of machine learning in preventing roadway accidents, highlighting the key tools and techniques used in this cutting-edge approach to road safety. From predictive analytics and real-time monitoring to autonomous vehicles and smart infrastructure, machine learning is poised to revolutionize how we think about and manage road safety in the 21st century.[2][4]

The Role of Machine Learning in Road Safety

Machine learning is a subset of artificial intelligence (AI) that enables systems to learn from data, identify patterns, and make decisions with minimal human intervention. In the context of road safety, ML algorithms can analyze data from various sources—such as traffic cameras, sensors, GPS devices, and social media—to predict potential accidents and suggest preventive measures.

Predictive Analytics

Predictive analytics uses historical data to forecast future outcomes. In road safety, ML models can analyze past accident data, weather conditions, traffic flow, and driver behavior to predict high-risk situations. For example, an ML model can forecast the likelihood of accidents at specific intersections during certain times of the day or under particular weather conditions. Authorities can then take preemptive actions, such as adjusting traffic signal timings or issuing weather-related warnings to drivers.

Real-Time Monitoring

Real-time monitoring systems equipped with machine learning can detect and analyze ongoing traffic conditions. By processing live data from traffic cameras, radar sensors, and vehicle-to-vehicle (V2V) communication, these systems can identify dangerous situations, such as sudden lane changes, speeding, or potential collisions. When a risk is detected, the system can alert drivers or automatically trigger safety mechanisms, like braking or lane correction.

Autonomous Vehicles

Self-driving cars are one of the most prominent examples of ML in road safety. These vehicles rely on ML algorithms to interpret data from sensors, cameras, and LiDAR to navigate roads safely. Machine learning helps these vehicles make split-second decisions, such as avoiding obstacles, following traffic rules, and maintaining a safe distance from other vehicles. Autonomous vehicles have the potential to significantly reduce human error, a major cause of road accidents.

Driver Assistance Systems

Many modern vehicles are equipped with Advanced Driver Assistance Systems (ADAS), which use machine learning to enhance safety. Features like adaptive cruise control, lane departure warning, and automatic emergency braking are powered by ML algorithms that continuously learn from driver behavior and road conditions. These systems can intervene in critical situations, such as when a driver is distracted or fatigued, to prevent accidents.





Premkumar and Krithika

Smart Infrastructure

Machine learning can also be applied to improve road infrastructure. Smart traffic management systems use ML to optimize traffic flow, reduce congestion, and minimize the risk of accidents. For example, intelligent traffic lights can adjust their timings based on real-time traffic data, while ML-powered speed cameras can detect and penalize speeding vehicles more effectively.

Tools and Techniques for Accident Prevention

Convolutional Neural Networks (CNNs)

CNNs are a type of deep learning model particularly useful for image and video analysis. In road safety, CNNs can analyze footage from traffic cameras to detect anomalies, such as vehicles running red lights or pedestrians crossing roads unsafely. These detections can then trigger alerts or preventive actions.

Reinforcement Learning

Reinforcement learning is a technique where an agent learns to make decisions by interacting with its environment. In autonomous driving, reinforcement learning can be used to teach vehicles how to navigate complex road scenarios, such as merging onto highways or avoiding obstacles, by rewarding safe driving behavior and penalizing risky actions.

Natural Language Processing (NLP)

NLP can be used to analyze textual data from social media, traffic reports, or driver feedback. By understanding the context and sentiment of such data, NLP models can predict areas prone to accidents or identify common factors contributing to road incidents. For example, analyzing tweets about road conditions can help authorities identify and address hazardous areas.[3]

Sensor Fusion

Sensor fusion involves combining data from multiple sensors to create a comprehensive view of the environment. In the context of road safety, sensor fusion can integrate data from cameras, radar, LiDAR, and GPS to provide vehicles with a more accurate understanding of their surroundings. This technique is crucial for the development of reliable autonomous driving systems.

Edge Computing

Edge computing brings data processing closer to the source, enabling faster decision-making. In road safety applications, edge computing can be used to process data from sensors and cameras in real-time, allowing for immediate responses to potential hazards. This is particularly important in scenarios where even a slight delay could result in an accident.

CHALLENGES AND FUTURE DIRECTIONS

Challenges

While the integration of machine learning (ML) in road safety holds great promise, several significant challenges need to be addressed to fully realize its potential:

Data Privacy and Security

Machine learning systems rely on vast amounts of data, including real-time location data, vehicle information, and personal details of drivers and passengers. Ensuring the privacy and security of this data is a major challenge. Unauthorized access or misuse of such sensitive information can lead to privacy violations and even pose cybersecurity risks. Regulations like the General Data Protection Regulation (GDPR) require strict data handling practices, adding complexity to the deployment of ML systems in road safety.





Premkumar and Krithika

Data Quality and Availability

For machine learning models to be effective, they need access to high-quality, accurate, and comprehensive data. However, obtaining such data can be challenging due to inconsistencies in data collection methods, missing data, or outdated information. In some regions, especially in developing countries, the lack of infrastructure to collect and maintain traffic data hampers the effectiveness of ML-driven solutions.

Model Interpretability

Many ML models, especially deep learning algorithms, are often described as "black boxes" because their decision-making processes are not easily interpretable. This lack of transparency can be problematic, particularly in critical applications like road safety, where understanding the rationale behind a decision is essential for trust and accountability. Developing interpretable models that can provide clear explanations for their predictions and actions remains a significant challenge.

Integration with Existing Infrastructure

Integrating machine learning systems with existing road infrastructure, vehicles, and traffic management systems is complex and often requires significant investment. Legacy systems may not be compatible with modern ML technologies, necessitating costly upgrades or replacements. Furthermore, coordinating between various stakeholders, including government agencies, automotive manufacturers, and tech companies, can be difficult.

Regulatory and Ethical Considerations

The deployment of ML in road safety raises several regulatory and ethical concerns. For instance, the use of autonomous vehicles is subject to extensive legal scrutiny, with questions about liability in the event of an accident. Ethical issues, such as how autonomous systems should prioritize decisions in life-threatening situations, also need to be carefully considered and addressed.

Real-World Complexity

The real-world driving environment is highly complex and unpredictable, with countless variables influencing road safety. Machine learning models must be trained to handle diverse scenarios, including rare and extreme events that may not be well-represented in the training data. Achieving robust performance in such a complex environment requires continuous learning and adaptation of ML systems.

Future Directions

Despite these challenges, the future of machine learning in road safety is promising, with several key areas of development that could significantly enhance its impact:

Advanced Sensor Technologies

The continued advancement of sensor technologies, such as LiDAR, radar, and high-definition cameras, will provide more accurate and detailed data for ML models. These sensors, combined with improvements in sensor fusion techniques, will enable better detection and understanding of the driving environment, leading to more effective accident prevention systems.

Edge AI and Real-Time Processing

As edge computing becomes more prevalent, ML models will increasingly be deployed on local devices, such as invehicle systems or roadside units. This shift will allow for real-time data processing and decision-making, reducing latency and enabling faster responses to potential hazards. Edge AI will also help reduce the reliance on centralized cloud infrastructure, improving the scalability and resilience of road safety systems.

Collaborative Machine Learning

Collaborative machine learning approaches, such as federated learning, allow models to be trained across multiple devices or organizations without sharing raw data. This technique can enhance privacy while enabling the





Premkumar and Krithika

development of more accurate and generalized models. In the context of road safety, collaborative ML could facilitate the sharing of knowledge between different regions or vehicle fleets, leading to more robust safety systems.

Human-Machine Interaction

Improving the interaction between human drivers and machine learning systems is a crucial area of future research. Developing intuitive interfaces and feedback mechanisms will ensure that drivers understand and trust the ML-based safety features in their vehicles. Additionally, research into driver behavior and cognitive load will help in designing systems that effectively support, rather than overwhelm, human operators.

Regulatory Frameworks and Standards

As ML technologies become more integrated into road safety, there will be a need for clear regulatory frameworks and industry standards to govern their deployment and use. Governments and industry bodies will need to collaborate to establish guidelines that ensure the safety, reliability, and ethical use of ML in road safety applications. These standards will also need to evolve to keep pace with technological advancements.

Continual Learning and Adaptation

The dynamic nature of road environments requires ML models that can continuously learn and adapt to new conditions. Future research will focus on developing models that can update themselves in real-time based on new data, ensuring that they remain effective even as traffic patterns, weather conditions, and vehicle technologies change.

Global Collaboration

Addressing roadway safety is a global challenge that requires international cooperation. Sharing best practices, data, and research findings across borders will be crucial for the widespread adoption and improvement of ML-based road safety solutions. Collaborative efforts, such as international research consortia and cross-border regulatory initiatives, will help accelerate the development and deployment of effective ML technologies.

CONCLUSION

Machine learning is poised to revolutionize road safety, offering innovative tools and techniques to predict, prevent, and mitigate accidents. While significant challenges remain, including data privacy, model interpretability, and regulatory concerns, ongoing advancements in technology and collaboration promise a future where roads are safer for everyone. As machine learning continues to evolve, it will play an increasingly critical role in reducing roadway accidents, ultimately saving lives and improving the quality of life for millions around the world.

REFERENCES

- 1. **World Health Organization (WHO)**. (2018). *Global Status Report on Road Safety 2018*. World Health Organization. Available at: https://www.who.int/publications/i/item/9789241565684
- 2. **National Highway Traffic Safety Administration (NHTSA).** (2020). *Automated Vehicles for Safety.* U.S. Department of Transportation. Available at: https://www.nhtsa.gov/technology-innovation/automated-vehicles-safety
- Goodall, N. J. (2014). Machine Ethics and Automated Vehicles. In Road Vehicle Automation (pp. 93-102). Springer. DOI: 10.1007/978-3-319-05990-7
- 4. **Litman, T.** (2017). Autonomous Vehicle Implementation Predictions: Implications for Transport Planning. *Victoria Transport Policy Institute.* Available at: https://www.vtpi.org/avip.pdf
- 5. **LeCun, Y., Bengio, Y., & Hinton, G.** (2015). Deep Learning. *Nature*, 521(7553), 436-444. DOI: 10.1038/nature14539





Premkumar and Krithika

- 6. **Nguyen, H. A., &Ravishankar, C. V.** (2016). Real-Time Edge Computing Applications for Smart Cities. *In Proceedings of the 2016 ACM International Conference on Computing Frontiers* (pp. 7-10). DOI: 10.1145/2903150.2903155
- 7. **Shladover, S. E.** (2018). Connected and Automated Vehicle Systems: Introduction and Overview. *Journal of Intelligent Transportation Systems*, 22(3), 190-200. DOI: 10.1080/15472450.2017.1336053
- 8. **Zhao**, **J.**, **&Sadek**, **A. W.** (2013). Big Data Analytics in Intelligent Transportation Systems: A Survey. *IEEE Transactions on Intelligent Transportation Systems*, 13(2), 782-795. DOI: 10.1109/TITS.2012.2213563
- 9. **Sun, C., & Zhang, J.** (2019). Edge Computing and Its Applications in Intelligent Transportation Systems: A Comprehensive Survey. *IEEE Access*, 7, 67200-67224. DOI: 10.1109/ACCESS.2019.2913629
- 10. **Kaur, K., &Rampersad, G.** (2018). Trust in Driverless Cars: Investigating Key Factors Influencing the Adoption of Autonomous Vehicles. *Journal of Engineering and Technology Management*, 48, 87-96. DOI: 10.1016/j.jengtecman.2018.04.006





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

From Pixels to Perfection: Investigating Cutting-Edge Image Denoising **Techniques**

V.Suganthi¹ and M.Yogeshwari^{2*}

Research Scholar, Department of Computer Science, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India & Assistant Professor, Department of Computer Applications, C.T.T.E College for Women, (Affiliated to University of Madras), Chennai, Tamil Nadu, India.

²Assistant Professor, Department of Information Technology, School of Computing Sciences, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University), Chennai, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

M. Yogeshwari

Assistant Professor, Department of Information Technology, School of Computing Sciences, Vels Institute of Science, Technology & Advanced Studies (VISTAS) (Deemed to be University),

Chennai, Tamil Nadu, India.

Email: myyogeshwari.scs@velsuniv.ac.in

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The daily volume of digital photos taken is skyrocketing, which is driving up demand for more precise and eyecatching photos. However, noise invariably deteriorates the photos taken by contemporary cameras. Noise reduces the visual quality of the images and can be brought on by a number of factors, like dim lighting, higher settings in ISO mode, or the inherent limits of camera sensors. The noise may show up as erratic changes in color or brightness, which will pixelate and blur the images. It is crucial to develop methods that can effectively reduce noise while preserving the image's crisp edges and structures in order to preserve the image's overall clarity and detail. Researchers have put forth several different approaches to noise reduction throughout the years, all of which attempt to achieve a compromise between detail retention and noise suppression. Traditional methods, for instance, spatial domain filtering methods such as mean, median, and Gaussian filters, have been widely used due to their simplicity and ease of implementation. However, these techniques frequently lead to an imbalance between the blurring of visual details and noise reduction. To address these limitations, more advanced techniques like wavelet transform methods have been developed, which allow for multi-scale analysis of the image, thereby enabling better noise reduction with minimal loss of detail.

Keywords: Deep Learning, Visual Clarity, Image Processing, Noise Artifacts, Generative Adversarial Networks (GANs),





Suganthi and Yogeshwari

INTRODUCTION

Because of the surroundings, the transmission channel, and other factors, noise always taints images during acquisition, compression and transmission. Loss of picture information and distortion result from this. Auxiliary image processing tasks like tracking picture, analysis and multi-media processing. Image denoising is therefore crucial to modern image processing systems. The technique of denoising involves removing noise from a noisy picture in order to create the original. However, some details may be lost in the denoised photos since texture, edge, during the denoising process, it might be difficult to discern high frequency parts from noise. With all of this in mind, one of the main problems of the present period is to make high-quality photos by simultaneously reducing noise and extracting meaningful information from noisy photos. Actually, there has been a ton of research on the well-known issue of image denoising. This work is still challenging and unfinished. This is mainly due to the inverse nature of picture denoising [1-4], for which there is a non-unique mathematical solution. The following sections provide a summary of the key advancements made in the field of photo denoising during the last few decades.

Problem statement for image denoising

The following is a mathematical representation of the image denoising problem:

$$x = y + a$$

If "y" is the unknown clean picture, "x" is the observed noisy picture, and "a" is the AWGN (Additive White Gaussian Noise) with deviations like standard " σ a". In real-world scenarios, AWGN can be estimated using a variety of techniques, including as PCA (Principle Component Analysis) kind of approaches [7], block-based estimates [6], and median absolute deviation [5]. Reducing noise in original photographs while preserving original features and enhancing SNR (Signal to Noise Ratio) is the aim of noise reduction. The following are the main issues with image denoising:

- Smoothness is desired in flat places.
- Edges must to be safeguarded without obscuring
- Textures ought to be kept.
- It is not appropriate to create new artifacts.

Since it is ill-posed to solve the clean image "y" from Equation (1), it is impossible to deduce the distinct outcome of the noisy picture model. The discipline of image processing has conducted a great deal of study on image x, or picture denoising, in the last few years. Spatial domain approaches and represents transform methods in their domains are the two basic categories into which picture denoising techniques can be broadly grouped [3].

Conventional denoising technique

The goal of spatial domain approaches is to reduce noise by utilizing the relationship between pixels and picture spots in a raw image to ascertain each pixel's gray value. [8]. Variation denoising and spatial domain filtering are the two basic categories of spatial domain operations.

Filtering by spatial domain

Picture denoising has employed a variety of spatial filter, which are further separated into two categories: linear, non-linear filters [9–19], as filtering is a popular image processing technique. Although they can't preserve the textures of images, filters were once employed to eliminate noises in the spatial domain. While Gaussian noise reduction has been achieved using mean filtering [14], high noise image quality may be too smoothed [15]. Although Wiener filtering [16, 17] may easily reduce sharp edges, it has also been employed further to overcome this limitation. By employing filters like non-linear median filter [14, 18] and weighted median filter [19], it is possible to lessen noise without being noticed. One popular non-linear smoothing filter for image denoising that preserves edges while reducing noise is bilateral filtering [10]. Given that noise exists in higher frequency ranges, spatial filters low pass





Suganthi and Yogeshwari

filter sets of pixels. Usually, spatial filters blur the image and remove sharp edges in order to reduce noise to a reasonable degree.

CNN-driven denoising techniques

Lately, CNN-based methods have made rapid progress and demonstrated strong performance in various low-level computer vision applications. CNNs were initially developed as five-layer networks for the purpose of photo denoising. Numerous denoising algorithms based on CNN have been made available in recent years. Compared to reference, these approaches' performance has greatly increased [20]. Furthermore, there are two categories of CNN-based denoising algorithms: Models of MLP like Multi Layer Perceptron with deep learning methods.

Multi-Layer Perceptron Models

One layer Perceptron based image denoising models are one kind of auto encoder that has been proposed by 'Vincent, Xie et al.' more effective denoising was achieved with a feed forward neural network model known as Trainable Nonlinear Reaction Diffusion (TNRD) introduced by Chen et al. There are numerous benefits to this class of techniques. First of all, the fact that fewer stages of ratiocination are needed makes these strategies effective. Furthermore, these approaches are simpler to understand because optimization techniques have the potential to extract the discriminative architecture. Interpretability, however, may raise the performance cost; the MAP model, for example, limits the ability to draw conclusions and use acquired priors.

Deep learning Network techniques for denoising

A Neural network (CNN) are often the first step in the most advanced deep learning denoising techniques. The deep learning neural networks based denoising algorithms' general model is defined as $\min_{\Omega} loss(\widehat{x}, x), s.t.\widehat{x} = F(y, \sigma; \Theta)$

When the loss function is shown by loss (x,x) and a CNN with parameter set Θ is represented by "F(y)". The difference between the ground-truth x and the denoised picture x^is measured using loss function. There has been a lot of interest in deep learning-based denoising techniques due to their amazing ability to reduce noise. Zhang et al. first used batch standardization and relative learning for image denoising. The Deep CNN or DnCNN aims to study a method " $x \le F(y; \Theta \sigma)$ " that transfers from "y to x" parameters " $\Delta \sigma$ " are trained for noisy images with a standard static variance " σ ". The model learns how to map functions by residual learning, along with batch normalization to improve denoising results and expedite training. More precisely, it appears that batch normalization and residual learning are consistent together to enhance training speed and denoising efficiency. Even though a trained DnCNN is relatively good at handling errors in interpolation and compression, other noise variations are unsuitable regarding the model we trained in " σ ." If the users are unsure about the volume of noise " σ ", the denoising technique ought to allow them to trade-off between texture protection and noise suppression automatically. To achieve these desired qualities, FFDNet, a convolutional neural network with rapid and adaptable denoising, was created. Specifically, the major contribution of FFDNet able to described as " $\hat{x} = F(y, M; \Theta)$ " where "M" is a distortion level map." M is an input for FFDNet, and the noise level parameter set Θ is fixed. FFDNet's ability to operate on down-sampled subimages accelerates training and testing while simultaneously increasing the receptive field, which is another significant contribution This technique is effective and guick, but the learning process has a very high temporal complexity. High-level feature learning has been enhanced by CNN-based denoising approaches through the use of a hierarchical network.

Denoising performance metrics:

The performance metrics of image denoising algorithms are evaluated using the "Peak Signal-to-Noise Ratio" and index of structural similarity: When a base truth picture "x" is provided, the Peak Signal-to-Noise Ratio of a noise less image " x " is determined by

$$SSIM(x, \hat{x}) = \frac{\left(2\mu_x \mu_{\hat{x}} + C_1\right) \left(2\sigma_{x\hat{x}} + C_2\right)}{\left(\mu_x^2 + \mu_{\hat{x}}^2 + C_1\right) \left(\sigma_x^2 + \sigma_{\hat{x}}^2 + C_2\right)}$$





Suganthi and Yogeshwari

Where " $\sigma x^{\alpha}x'$ " is the co-variance between "x" and " $^{\alpha}x'$ ", also C1 C2 are the constants required to minimize turbulence. The symbols " $\mu x''$; " $\rho^{\alpha}x$; σx , and $\sigma^{\alpha}x''$, respectively, stand for the ratio of means and variances of 'x' and "x, respectively; the co-variance between x and x is represented by $x^{\alpha}x$; constant values C1C2 are employed to prevent turbulence. The visual evaluation in Fig. 1 demonstrates how the TV-based regularization's denoising result smoothes the textures and produces artifacts. In the meantime, Given that the clear patches underneath contain comparable characteristics and can therefore be roughly represented by a sparse coding issue, we find that the sparse coding scheme and the representative low-rank-based approaches perform better in homogenous regions.

CONCLUSION

Due to the increasing complexity and demands of picture denoising, there is still a great need for research in this field. We have examined the benefits and drawbacks of several image denoising methods in this study and presented the most recent advancements in the field. Notable breakthroughs in image denoising approaches in recent times include low-level and sparse representation. Recently, the traditional local denoising model has been replaced by the emergence of NLM, opening up a new theoretical area. The number of successful CNN-based methods has increased dramatically in recent years, even with the ubiquitous use of low-rank priors and image sparsity. This study aims to give a broad view of denoising approaches. Noise analysis can be helpful in creating new denoising techniques because different types of noise call for different denoising techniques. We must first investigate strategies for handling different kinds of noise, particularly those that come up in day-to-day activities, for our upcoming work. Second, more work needs to be done in this area as deep models cannot yet be trained without image pairings. There are other scenarios in which the image denoising technique can be used.

REFERENCES

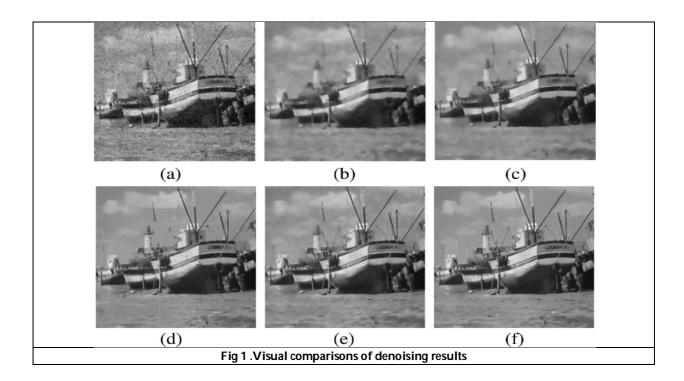
- 1. Motwani MC, Gadiya MC, Motwani RC, Harris FC Jr (2004) Survey of image denoising techniques. In: Abstracts of GSPX. Santa Clara Convention Center, Santa Clara, pp 27–30
- 2. Jain P, Tyagi V (2016) A survey of edge-preserving image denoising methods. Inf Syst Front 18(1):159–170. https://doi.org/10.1007/s10796-014-9527-0
- 3. Diwakar M, Kumar M (2018) A review on CT image noise and its denoising. Biomed Signal Process Control 42:73–88. https://doi.org/10.1016/j.bspc.2018.01.010
- 4. Milanfar P (2013) A tour of modern image filtering: new insights and methods, both practical and theoretical. IEEE Signal Process Mag 30(1):106–128. https://doi.org/10.1109/MSP.2011.2179329
- 5. Donoho DL, Johnstone IM (1994) Ideal spatial adaptation by wavelet shrinkage. Biometrika 81(3):425–455. https://doi.org/10.1093/biomet/81.3.425
- 6. Shin DH, Park RH, Yang S, Jung JH (2005) Block-based noise estimation using adaptive gaussian filtering. IEEE Trans Consum Electron 51(1):218–226. https://doi.org/10.1109/TCE.2005.1405723
- 7. Liu W, Lin WS (2013) Additive white Gaussian noise level estimation in SVD domain for images. IEEE Trans Image Process 22(3):872–883. 10.1109/TIP.2012.2219544
- 8. Li XL, Hu YT, Gao XB, Tao DC, Ning BJ (2010) A multi-frame image super resolution method. Signal Process 90(2):405–414. https://doi.org/10.1016/j.sigpro.2009.05.028
- 9. Wiener N (1949) Extrapolation, interpolation, and smoothing of stationary time series: with engineering applications. MIT Press, Cambridge
- 10. Tomasi C, Manduchi R (1998) Bilateral filtering for gray and color images. In: Abstracts of the sixth international conference on computer vision IEEE, Bombay, India, pp 839–846. https://doi.org/10.1109/ICCV.1998.710815
- 11. Yang GZ, Burger P, Firmin DN, Underwood SR (1996) Structure adaptive anisotropic image filtering. Image Vis Comput 14(2):135–145. https://doi.org/10.1016/0262-8856(95)01047-5
- 12. Takeda H, Farsiu S, Milanfar P (2007) Kernel regression for image processing and reconstruction. IEEE Trans Image Process 16(2):349–366. https://doi.org/ 10.1109/TIP.2006.888330





Suganthi and Yogeshwari

- 13. Bouboulis P, Slavakis K, Theodoridis S (2010) Adaptive kernel-based image denoising employing semi-parametric regularization. IEEE Trans Image Process 19(6):1465–1479. https://doi.org/10.1109/TIP.2010.2042995
- 14. Gonzalez RC, Woods RE (2006) Digital image processing, 3rd edn. Prentice- Hall, Inc, Upper Saddle River
- 15. Al-Ameen Z, Al Ameen S, Sulong G (2015) Latest methods of image enhancement and restoration for computed tomography: a concise review. Appl Med Inf 36(1):1–12
- 16. Jain AK (1989) Fundamentals of digital image processing. Prentice-hall, Inc, Upper Saddle River Benesty J, Chen JD, Huang YT (2010) Study of the widely linear wiener filter for noise reduction. In: Abstracts of IEEE international conference on acoustics, speech and signal processing, IEEE, Dallas, TX, USA, pp 205–208 https://doi.org/10.1109/ICASSP.2010.5496033
- 17. Pitas I, Venetsanopoulos AN (1990) Nonlinear digital filters: principles and applications. Kluwer, Boston. https://doi.org/10.1007/978-1-4757-6017-0
- 18. Yang R K, Yin L, Gabbouj M, Astola J, Neuvo Y (1995) Optimal weighted median filtering under structural constraints. IEEE Trans Signal Process 43(3): 591–604. https://doi.org/10.1109/78.370615
- 19. Katsaggelos AK (ed) (2012) Digital image restoration. Springer Publishing Company, berlin.







International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Hybrid Deep Learning and Whale Optimization Approach for ECG Signal Analysis

T. Selvapriya^{1*} and V. R. Kavitha²

¹Assistant Professor, Department of Computer Science, Bishop Appasamy College of Arts and Science, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

²Associate Professor, Department of Computer Science, PSG college of Arts and Science, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

T. Selvapriya

Assistant Professor, Department of Computer Science, Bishop Appasamy College of Arts and Science, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

E.Mail: selvapriyamohan23@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Electrocardiogram (ECG) signs give essential information about the heart's electrical activity, so correctly classifying them is crucial for finding heart problems. Combining deep learning with optimization methods makes these classifications more accurate, leading to better health results. This study shows a new way to classify ECG signals using a deep learning system that is improved by the Whale Optimisation Algorithm (WOA) and combines the ReliefF and iterative Neighbourhood Component Analysis (RFINCA) feature selection methods. The suggested method starts by using ReliefF to find the most essential features in the ECG data. These features are then improved using iterative NCA, focusing on the ones that have the most significant effect on the accuracy of the classification. In the next step, WOA is used to improve the model's settings after the best set of features has been used. Adding feature selection and optimization to the deep learning design makes it easier for the model to correctly describe ECG data, showing that it is more accurate and reliable than older methods. The RFINCA-WOA system could be helpful in real-time ECG analysis, which could help find and treat cardiovascular diseases earlier.

Keywords: Electrocardiogram (ECG), Whale Optimization Algorithm (WOA), Relief and iterative Neighborhood Component Analysis (RFINCA), Deep Learning





Selvapriya and Kavitha

INTRODUCTION

As technology in the field of information has grown, fingerprint signal recognition has become an increasingly important way to keep information safe. Traditional biometric signal detection systems mostly use fingerprints, faces, irises, and other bodily traits [1,2,3]. These body traits have pros and cons. The pros are that they make it easier to copy and fake, and the cons are that they make it easier to recognize people faster and more accurately [4,5]. The electrocardiogram (ECG) beat has recently been used to identify people. The ECG signals can only be recorded in a live person, unlike the outward bodily traits of animals. This makes the ECG identity method hard to fake, which can make the access control system safer and keep essential data from being stolen. ECG signs are also global, unique, stable, and measurable [6]. Smartwatches and other small devices that collect ECG signals have become possible thanks to progress in the technology used to collect ECG data. Because of this, identifying people using ECG data has many uses [7]. There are two significant areas of study in identifying people using ECG signals: finding ECG signals and figuring out who they belong to. Part of detection is preprocessing ECG signals to get data that is easy to describe. Classification methods are used to identify the data detected as part of identification. You can find two kinds of ECG signals: those that are benchmarked and those that are not [8,9]. To get to the standard, you need to sort the ECG data [10,11,12] and give each P wave, QRS wave, and T wave a name. You can do this by looking at things like time and strength. It could be more accurate, though, because small changes in where the tracking point is placed can cause mistakes in labelling. We don't use trait points with this method. Still, it's hard to find things in that area, and it takes a long time because there is so much info. The study's central question is how to lessen trait information while making the system more accurate. The support vector machine (SVM) and the backpropagation neural network (B.P.) can explain an ECG. However, these methods must be more exact and good enough to find more than one target. Better methods exist, such as deep learning, convolutional neural networks, and more. These work very well, but they require very strong computers. Figure 1 shows the ECG name recognition block diagram suggested in this study. With the help of a local windowed wavelet transform, it can also be used to find the times of P and T waves. It is possible to ensure the extraction accuracy of the R peak is manageable with local windowed wavelet transform. Second, the probability neural network (PNN) method determines what kind of ECG it is. One of the best things about the PNN multi-target classification method is that it is easy to use, converges quickly, and can handle significant sample errors. Lastly, the PNN algorithm is better in terms of accuracy and difficulty. First, the factors are picked using the mean impact value (MIV) method. This removes the characteristic values that cause significant problems in the ECG recognition and extraction process. It also makes the technique more accessible to understand. On the other hand, the whale planning method uses a probability neural network called WOA-PNN. If you want to improve the accuracy of the model classification, you can use WOA. This eliminates the need to set the smoothing factor for the PNN method purposefully.

The contributions of this paper are as follows:

This method lets you find where the P and T waves begin and finish. This can help avoid the problem of a too-big R peak, which can make the extraction less accurate. The MIV algorithm is used to make the method easier to understand and to get better results for ECG classification in the PNN. It eliminates the characteristic values that lead to big mistakes in the detection or extraction process. The WOA-PNN method is suggested for adaptively improving the hyperparameters to make the ECG recognition model more accurate. Three sets of ECG signals were tested to see if the suggested method would work. Two signals were standard, and the third set was for arrhythmia. Here's how the rest of this paper is put together: In Part 2, wavelet transform is used to show how to find patterns in an ECG. In Section 3, the WOA-PNN method is used to recognize the ECG and the ECG aspects are used to choose the variables. Different ECG database models are used in Section 4 to compare and analyze various ways and see how valuable and reliable the method is. Finally, the findings are discussed, and Section 5 comes to a close.





Selvapriya and Kavitha

Whale Optimisation Method

Mirjalili and Lewis [9] created the Whale Optimisation Algorithm in 2016. It was based on how humpback whales hunt. [16] Most hunting animals circle or hit their Prey with a bubble net. Sometimes, they look for their food. The WOA discusses the math model that goes with the three types of violent actions.

Encircling Prey

As this step progresses, the Whale Optimisation Algorithm copies how humpback whales look for food and circle it. The goal food is the best option for the present population. [16] During this phase, the goal is to quickly cut down the search and centre on the possible best option. The following math method can be used to explain this behaviour:

$$X(t+1) = X^* - A.D_1 (1)$$

$$D_1 = |C.X^* - X(t)| (2)$$

which has t as the current iteration number, X(t+1) as the next search position, X(t) as the current iteration position, and X* as the best spot for the catch in this case. Number 1 shows how far away the whale is from the food at number 1. [17] The scientific methods that were used to find A and C are listed below:

$$A = 2a \cdot r - a \tag{3}$$

$$C = 2.r \tag{4}$$

r stands for random numbers between 0 and 1, and an is the convergence factor, which goes from 2 to 0 as the number of iterations increases.

Bubble-Net Attacking

It moves back and forth like a humpback whale eating during the bubble-net attack phase. [18] During this phase, the whale moves in a loop towards where it thinks its food is. You can use the following math method to explain this behaviour:

$$X(t+1) = D_2 \cdot e^{bl} \cdot \cos(2\pi l) + X^*$$
 (5)

$$D_2 = |X^* - X(t)| (6)$$

 $_{a}2$ is the distance between the whale and its food right now. This is the best choice. [19] *ebl* and $\cos(2\pi l)$ make up the mathematical model of the spiral path. b is a constant that forms the spiral, and I is a random number in the range [-1, 1]. Any number between 0 and 1 can be p (20).

1]. Any number between 0 and 1 can be p (20).
$$X(t+1) = \begin{cases} X^* - A.D_1 & \text{if } p < 0.5 \\ D_2 & e^{bl}.\cos(2\pi l) + X^*, & \text{if } p \ge 0.5 \end{cases}$$
 (7)

Search for Prey

The find prey step in WOA is a word search for new parts of the solution space that could be targeted. During this phase, whales randomly pick a search object and move based on it. [21] The following expression can be used to describe this behaviour:

$$X(t+1) = X_{rand}(t) - A.D_3$$
 (8)

$$D_3 = |C.X_{rand}(t) - X(t)| \tag{9}$$

The random target, in this case, is a whale whose position is given by XraS(t), and D3 gives the distance between this person and the random target. [23] We will use equations 3 and 4 to find A and C. Figure 1 shows that the choice of the three behaviours depends on A and p. If O is less than or equal to 0.5, the spiral bubble-net attack is used [24]. If P is equal to or greater than 0.5, the circular prey attack is used. Global exploration is done if $|\mathbf{B}| \ge 1$ and O < 0.5.

MATERIALS AND DATA PREPROCESSING

Figure 3 shows the proposed system. The ECG signal is first put through some steps to turn it into raw data. Next, this information is sent to the unfriendly domain adaptable model to be taught. A final classification result is achieved by combining the features automatically pulled from the model with the features taken by hand.





Selvapriya and Kavitha

ECG Dataset

The first ECG signs are on all the papers; at least two cardiologists have signed them. There are 15 different kinds of these heartbeats, which can be seen in Table 1. ANSI/AAMI EC57:2012 says that the 15 types of arrhythmia can be broken down into five groups: normal heartbeat (N), supraventricular ectopic heartbeat (S), ventricular ectopic heartbeat (V), fusion heartbeat (F), and unknown heartbeat (Q). Figure 3 shows the collection of pictures of these groups. The MIT-BIH database clearly shows the ML II QRS complex (modified limb lead II). In this test, only 44 recorded MLII leads were used to sort the ECG. Two major types of standard ways to split datasets exist the intrapatient paradigm and the interpatient paradigm. The information used in this experiment was divided into an interpatient model to make the results more accurate and convincing. Records 102, 104, 107, and 217.2 were thrown out because they had no heartbeats from the sinus node. There are two sets of these 44 records: DS1 and DS2. In this trial, Table 2 summarises the dataset review method. The Q-type data was taken out because it is too small to judge how well it was classified.

Data Preprocessing

The original data needs to be cleaned up before being fed into the model to be trained. As shown in Figure 4, this stage mainly comprises the steps below. This is because everyone's R peaks are not all the same distance apart. If you only split the heartbeat by a certain number of data points, you will miss some critical signal parts. The following method of heartbeat division and pulse unity fix this issue.

- 1. You can clean up the data with the discrete wavelet transform (DWT) and the band pass filter F_{band} with a minimum frequency of (0.5,40). They can clean up the ECG data by eliminating EMG, MA, and B.W. noise.
- 2. To divide the heartbeat into segments, read the R peak point on the pulse sticker. Let's say that Vi is the highest point of the Rth heartbeat. The beating starts at [12(Vi-1+Vi)] and ends at [12(Vi+Vi+1)] if you round down to n. Now, $H_e = (12)(i+Vi+1) \times -(12)(Vi-1+Vi) \times +1$.
- 3. If you split the heartbeat into several parts, the number of sampling points H_e changes for each part. Before we can move on to the deep-learning model, the hearts have to beat simultaneously. D is the number of points that were picked after the first one. If H_x is less than D, add 0s until it reaches D. If H_e is more significant than D, cut it off at D [28]. The beating is H_e^{\wedge} when everything is over.
- 4. Heartbeats that are all the same and come simultaneously: data standardization To find H_e 's Z-score, use the formula $Tf = Tf \Box\Box$. We don't have to worry about signal change and noise scale anymore.
- 5. Extracting time features: Six-time features must be extracted by hand to get the normalized pre-RR and the normalized post-RR after normalization.
- 6. Data augmentation: The SMOTE method creates new groups of data with the same number of samples in each group, making the data more even.

Classification

The size and number of features are made better by optimizing the C code. In Section 2.2, six-time features were collected. These are added to the source domain data's fully linked layer features. These are sent to the classifier after being combined with the Softmax layer features. This adds more features by combining deep-learning extraction features with time features. The correct form is shown in Figure 5. You can see how the C Block is put together and what each block does in Table 5.

Figure 5. The arrangement of a block for categorization. Rectified Linear Unit, or ReLU. Conv_(kernel size)_(kernel number) and Maxpool_(kernel size_(kernel number) respectively represent the convolutional layer and Maxpool layer.

Training Process

It means that the instance sample has labels in the source domain Ds when (xsi,si)}nsi=1. If bbintj=1, you can see that the example sample doesn't have any names in the target domain Dt. It is true that $F(\bullet)$ and $C(\bullet)$ group traits together. The objective of the learning phase is to acquire knowledge about a task classifier C and a feature extractor F that will reduce the anticipated loss of the target, bring the data distribution in the source domain into alignment with the data distribution in the target domain, and reduce the disparities that exist across the domains. In each of





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

Selvapriya and Kavitha

the three parts, F, D, and C, there are three distinct types of networks. They are called X, X, and X in that hierarchy. This joint loss function $D(\Box_{e_i}\Box c_i\Box d)$ is seen in Figure 10.

$$E(\omega_f, \omega_c, \omega_d) = \sum_{t=1...N} L_c(G_c(G_f(X_t; \omega_f); \omega_c), y_t) - \lambda \sum_{t=1...N} L_d(G_d(G_f(X_t; \omega_f); \omega_d), y_t)$$

$$= \sum_{t=1...N} L_c^{\dagger}(\omega_f; \omega_c) - \lambda \sum_{t=1...N} L_d^{\dagger}(\omega_f; \omega_d)$$
(10)

It comprises two main parts: the classification loss Xc and the area split loss Xd. Each of them has two loss functions in the ith training set. They are shown by and. The B_e chose a targeted loss over the more common cross-entropy loss. The settings for the multiscale feature extraction module are f, those for the classification module are $\Box c$, and those for the domain discrimination module are $\Box d$. It tells you how much each goal is worth. If di=0, the ith sample comes from the source area. In Formulas (12) and (13), you can see the steps for training.

$$(\widehat{\omega}_f, \widehat{\omega}_c) = \arg\min_{\alpha \in \Omega} E(\omega_f, \omega_c, \widehat{\omega}_d)$$
(12)

$$(\widehat{\omega_f}, \widehat{\omega_c}) = \arg \min_{\omega_f, \omega_c} E(\omega_f, \omega_c, \widehat{\omega_d})$$

$$(\widehat{\omega_d}) = \arg \max_{\omega_d} E(\widehat{\omega_f}, \widehat{\omega_c}, \omega_d)$$
(12)

RESULT AND DISCUSSION

When WOA is used in the ANC filter, the P, Q, R, and S pulses' magnitude improves. The WOA method works better than PSO, MPSO, and ABC techniques because it correctly picks up ECG signals. When you compare the input SNR to the output SNR, MSE, and M.E., the WOA method increases the quality of the product. The PSO, MPSO, and ABC methods are better than this one. We get good SNR, MSE, and M.E. for ECG data when we use the terrible standard function F1. Figures 6,7 and 8 shows the performance comparison among the various methods.

F1's best number in the target space is 1.572e-65, and its response is 2.7329e-28. Table 6 compares the final SNR with the raw SNR for several ECG beats. Table 2 illustrates how MSE varies with SNR changes. Table 6 shows you how M.E. compares to raw SNR.

CONCLUSIONS

An effective ANC filter based on WOA was created to eliminate noise in the ECG output. Regarding accuracy factors like SNR, MSE, and M.E., the WOA works better than the PSO, MPSO, and ABC methods. You get the best result when you compare the WOA ANC filter to PSO, MPSO, and ABC techniques. Compared to PSO, MPSO, and ABC, this is a beautiful approach to getting higher SNR, MSE, and M.E. values. Swarm optimization methods like PSO, MPSO, and ABC take longer to finish than the WOA, so it is used to compare it to other methods in more depth.

REFERENCES

- 1. Wang, D.; Si, Y.; Yang, W.; Zhang, G.; Liu, T. A Novel Heart Rate Robust Method for Short-Term Electrocardiogram Biometric Identification. Appl. Sci. 2019, 9, 201. [Google Scholar] [CrossRef] [Green Version]
- Karimian, N.; Guo, Z.; Tehranipoor, M.; ForteG, D. Highly Reliable Key Generation From Electrocardiogram 2. (ECG). IEEE Trans. Biomed. Eng. 2017, 64, 1400–1411. [Google Scholar] [CrossRef] [PubMed]
- Komeili, M.; Armanfard, N.; Hatzinakos, D. Liveness Detection and Automatic Template Updating Using 3. Fusion of ECG and Fingerprint. IEEE Trans. Inf. Forensics Secur. 2018, 13, 1810-1822. [Google Scholar] [CrossRef]
- 4. Wu, S.; Chen, P.; Swindlehurst, A.L.; Hung, P. Cancelable Biometric Recognition with ECGs: Subspace-Based Approaches. IEEE Trans. Inf. Forensics Secur. 2019, 14, 1321–1336. [Google Scholar] [CrossRef]
- 5. Barros, A.; Resque, P.; Almeida, J.; Mota, R.; Oliveira, H.; Rosario, D.; Cerqueira, E. Data Improvement Model Based on ECG Biometric for User Authentication and Identification. Sensors 2020, 20, 2920. [Google Scholar] [CrossRef]





Selvapriya and Kavitha

- 6. Abo-Zahhad, M.; Ahmed, S.M.; Abbas, S.N. Biometric authentication based on PCG and ECG signals: Present status and future directions. Signal Image Video Process. 2014, 8, 739–751. [Google Scholar] [CrossRef]
- 7. Goshvarpour, A.; Goshvarpour, A. Human identification using a new matching Pursuit-based feature set of ECG. Comput. Methods Programs Biomed. 2019, 172, 87–94. [Google Scholar] [CrossRef]
- 8. Lim, C.L.P.; Woo, W.L.; Dlay, S.S.; Gao, B. Heartrate-Dependent Heartwave Biometric Identification with Thresholding-Based GMM–HMM Methodology. IEEE Trans. Ind. Inform. 2019, 15, 45–53. [Google Scholar] [CrossRef] [Green Version]
- 9. Liu, J.; Yin, L.; He, C.; Wen, B.; Hong, X.; Li, Y. A Multiscale Autoregressive Model-Based Electrocardiogram Identification Method. IEEE Access 2018, 6, 18251–18263. [Google Scholar] [CrossRef]
- 10. Li, P.; Zhang, X.; Liu, M.; Hu, X.; Pang, B.; Yao, Z.; Jiang, H.; Chen, H. A 410-nW efficient QRS processor for mobile ECG monitoring in 0.18-μm CMOS. In Proceedings of the 2016 IEEE Biomedical Circuits and Systems Conference (BioCAS), Shanghai, China, 17–19 October 2016; pp. 14–17. [Google Scholar]
- 11. Lee, J.N.; Byeon, Y.H.; Pan, S.B.; Kwak, K.C. An EigenECG Network Approach Based on PCANet for Personal Identification from ECG Signal. Sensors 2018, 18, 4024. [Google Scholar] [CrossRef] [Green Version]
- 12. Burguera, A. Fast QRS Detection and ECG Compression Based on Signal Structural Analysis. IEEE J. Biomed. Health 2019, 23, 123–131. [Google Scholar] [CrossRef] [PubMed]
- 13. Lee, W.; Kim, S.; Kim, D. Individual Biometric Identification Using Multi-Cycle Electrocardiographic Waveform Patterns. Sensors 2018, 18, 1005. [Google Scholar] [CrossRef] [PubMed] [Green Version]
- 14. Satija, U.; Ramkumar, B.; Manikandan, M.S. Automated ECG Noise Detection and Classification System for Unsupervised Healthcare Monitoring. IEEE J. Biomed. Health 2018, 22, 722–732. [Google Scholar] [CrossRef] [PubMed]
- 15. Zhao, Z.Y.; Liu, C.Y.; Li, Y.W.; Li, Y.X.; Wang, J.Y.; Lin, B.R.; Li, J.Q. Noise Rejection for Wearable ECGs Using Modified Frequency Slice Wavelet Transform and Convolutional Neural Networks. IEEE Access 2019, 7, 34060–34067. [Google Scholar] [CrossRef]
- 16. Chakraborty, S.; Saha, A.K.; Chakraborty, R.; Saha, M. An enhanced whale optimization algorithm for large-scale optimization problems. Knowl.-Based Syst. 2021, 233, 107543. [Google Scholar] [CrossRef]
- 17. Sun, Y.; Chen, Y. Multi-population improved whale optimization algorithm for high dimensional optimization. Appl. Soft Comput. 2021, 112, 107854. [Google Scholar] [CrossRef]
- 18. Seyyedabbasi, A. WOASCALF: A new hybrid whale optimization algorithm based on sine cosine algorithm and levy flight to solve global optimization problems. Adv. Eng. Softw. 2022, 173, 103272. [Google Scholar] [CrossRef]
- 19. Chakraborty, S.; Sharma, S.; Saha, A.K.; Saha, A. A novel improved whale optimization algorithm to solve numerical optimization and real-world applications. Artif. Intell. Rev. 2022, 55, 4605–4716. [Google Scholar] [CrossRef]
- 20. Hemasian-Etefagh, F.; Safi-Esfahani, F. Group-based whale optimization algorithm. Soft Comput. 2019, 24, 3647–3673. [Google Scholar] [CrossRef]
- 21. Shen, Y.; Zhang, C.; Soleimanian Gharehchopogh, F.; Mirjalili, S. An improved whale optimization algorithm based on multi-population evolution for global optimization and engineering design problems. Expert Syst. Appl. 2023, 215, 119269. [Google Scholar] [CrossRef]
- 22. Farah, M.A.B.; Farah, A.; Farah, T. An image encryption scheme based on a new hybrid chaotic map and optimized substitution box. Nonlinear Dyn. 2020, 99, 3041–3064. [Google Scholar] [CrossRef]
- 23. Li, M.; Xu, G.; Lai, Q.; Chen, J. A chaotic strategy-based quadratic Opposition-Based Learning adaptive variable-speed whale optimization algorithm. Math. Comput. Simul. 2022, 193, 71–99. [Google Scholar] [CrossRef]
- 24. Hua, Z.; Zhou, Y.; Huang, H. Cosine-transform-based chaotic system for image encryption. Inf. Sci. 2019, 480, 403–419. [Google Scholar] [CrossRef]
- 25. Mohamed, A.W.; Hadi, A.A.; Mohamed, A.K. Gaining-sharing knowledge-based algorithm for solving optimization problems: A novel nature-inspired algorithm. Int. J. Mach. Learn. Cybern. 2019, 11, 1501–1529. [Google Scholar] [CrossRef]





Selvapriya and Kavitha

Table 1. A list of electrocardiogram (ECG) beats categorized by the American National Standards Institute and the Association for the Advancement of Medical Instrumentation (ANSI/AAMI EC57: 2012) standard. The heartbeats are fusion (F), ventricular ectopic (V), supraventricular ectopic (S), and average (N) if you look at them (Q).

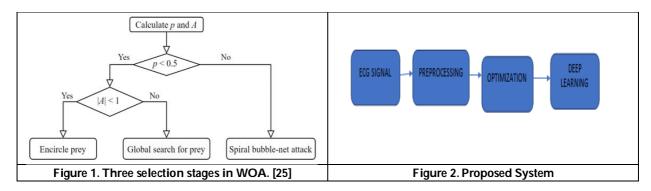
N	S	V	F	Q
As usual	An early atrial beat	Early constriction of	Putting together the	Paced
As usual	An earry atrial beat	the ventricles	ventricle and normal	raceu
Block on the left group	A problem with	Escape of the		A mix of regular
and branch.	atrial premature	ventricles		and paced
Put a block on the	Joint node			Not Classifiable
right bundle branch	(junctional) early			NOT Classifiable
Atria leave.	Too early above the			
All la leave.	ventricle			
Escape from a node				
(junctional escape)				

Table 2. People from various groups may be compared in the heart library at MIT-BIH. MIT-BIH refers to the Massachusetts Institute of Technology and Beth Israel Hospital.

Datasets	Numl	Total			
	N	S	V	F	TOLAT
DS1	45823	942	3786	414	50,968
DS2	44212	1835	3218	388	49,656
Total	90,036	2778	7005	802	100,624

Table 3. Using different methods to compare the results of M.E. on ECG signals

InputSNR(DB)	ME(× 10- 2)LMS[9]	ME(× 10- 2)DWT[9]	ME(× 10- 2)PSO[9]	ME(× 10- 2)PSO[9]	ME(× 10- 2)ABC[9]	ME(× 10- 2)OA[9]
-5.0	28.5400	18.560	0.1032	0.0682	0.0208	0.0115
0.5	29.8900	19.780	0.0168	0.0045	0.0038	0.0025
3.0	18.7800	17.680	0.0084	0.0021	0.0009	0.0007
6.0	11.5450	0.9690	0.0059	0.0014	0.0006	0.0003
10	13.8600	0.3720	0.0022	0.0004	0.0002	0.0001







Selvapriya and Kavitha Figure 3. The Association for the Advancement of Figure 4. The procedure for creating input data. Medical Instrumentation (AAMI) has established criteria for five types of heartbeat instance graphs. FC block FC block PSO - MPSO FC FC Softmax SNR(dB) Dropout Dropout Batch norm Batch norm ReLU ReLU Input SNR(dB) Time features Figure 5. The arrangement of a block for categorization. Fig. 6. Using SO, MPSO, ABC, and WOA, performance Rectified Linear Unit, or ReLU. Conv_(kernel analysis of the output SNR about the input SNR is size)_(kernel number) and Maxpool_(kernel performed. size_(kernel number) respectively represent the convolutional layer and Maxpool layer. --- MPSO MPSO 0.1 --- ABC ABC 0.08 ME(x 10⁻²) 0.06 0.04 0.04 0.02 0.02 Input SNR(dB) Input SNR(dB) Fig. 7. Using PSO, MPSO, ABC, and WOA, Fig. 8. M.E. performance analysis employing PSO, performance analysis of MSE about input SNR is MPSO, ABC, and WOA about input SNR



performed.



International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Star Edge Coloring of Some Simple Graphs

S.N.Subhathra¹ and P Suseela^{2*}

Associate Professor, Department of Mathematics, Excel Engineering College, Namakkal, (Affiliated to Anna University), Tamil Nadu, India.

²Assistant Professor, Department of Mathematics, Excel Engineering College, Namakkal, (Affiliated to Anna University), Tamil Nadu, India

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

P Suseela

Assistant Professor, Department of Mathematics,

Excel Engineering College, Namakkal,

(Affiliated to Anna University), Tamil Nadu, India

E.Mail: susee1908@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this paper, the star edge coloring of some simple graphs such as Bistar graph, Comb graph, Mobius Ladder graph, Flag graph, Tadpole graph, Lollipop graph, n-Barbell graph, Butterfly graph, Crown graph have been considered and the star edge chromatic number χ_E is obtained for such graphs.

It is proved that for given positive integers n,m

i)
$$\chi_E(\langle k_{1,n}; k_{1,m} \rangle) = \begin{cases} m+1 & \text{if } m > n \\ n+1 & \text{if } n > m. \end{cases}$$

ii) $\chi_E(P_n^+) = \begin{cases} 3 & \text{if } n \le 4. \\ 4 & \text{if } n > 4. \end{cases}$

ii)
$$\chi_E(P_n^+) = \begin{cases} 3 & \text{if } n \leq 4. \\ 4 & \text{if } n > 4. \end{cases}$$

iii)
$$\chi_E(M_n) = n + 3$$
 if $n \ge 2$

iii)
$$\chi_E(M_n) = n + 3$$
 if $n \ge 2$
iv) $\chi_E(Fl_n) = \begin{cases} 3 & \text{if } n = 3\\ 4 & \text{if } n \ge 4 \end{cases}$

$$V) \chi_E(T_{m,n}) = 4 \text{ if } m \geqslant 3, n \geqslant 2$$

$$\begin{array}{l} \text{V) } \chi_E \big(T_{m,n} \big) = 4 \text{ if } m \geqslant 3, n \geqslant 2 \\ \text{Vi)} \chi_E \big(L_{(m,n)} \big) = m+1 \text{ } ifm \geq 3, n \geqslant 2 \end{array}$$

$$\forall i) \chi_E(L_{(m,n)}) = m+1 \text{ if } m \geq 3, n \geqslant 2$$

$$\forall ii) \chi_E(n, \text{barbell graph}) = \begin{cases} 4 & \text{if } n=3\\ n+2 & \text{if } n\geqslant 4 \end{cases}$$

Keywords: Edge Coloring, Star edge coloring, Star edge Chromatic Number.





Subhathra and Suseela

INTRODUCTION

All graphs considered here are finite, simple undirected connected graphs.

\boldsymbol{B}_{nm} Graph [11]

The graph $\mathbf{B}_{n,m}$ [11] is the graph obtained by joining the center u of the star $K_{1,n}$ and the center v of another star $K_{1,m}$ to a new vertex w. It is denoted by $K_{1,n}$, $K_{1,m}$ >

Comb Graph [11]

The comb $P_n \odot K_1$ [11] is the graph obtained from the path P_n by attaching pendant edge at each vertex of the path. It is also denoted by P_n^+ .

Mobius Ladder Graph [11]

The mobius ladder M_n [11] is the graph obtained from the ladder $P_n \times P_2$ by joining the opposite end points of the two copies of P_n .

Flag graph Fl_n [11]

The flag Fl_n [11] is obtained by joining one vertex of C_n to an extra vertex called the root.

Tadpole Graph [11]

The (m,n) - tadpole graph [11] also called a dragon graph, is the graph obtained by joining a cycle graph C_m to a path graph P_n with a bridge.

Lollipop graph [11]

The (m, n) – lollipop graph [11] is the graph obtained by joining a complete graph K_m to a path P_n with a bridge.

n-Barbell graph[11]

n- barbell graph is the simple graph obtained by connecting 2 copies of complete graph K_n by a bridge. Through this area in multidimensions. It has many applications in various fields, one such application is estimation of sparse hessian matrix using coloring technique. The applications in various fields motived me to do this work.

Star Edge Coloring of some simple Graphs

Star edge coloring of Bistar graph (Bn, m)

Theorem 2.1.1:

For given positive integers n, m

$$\chi_E(\langle k_{1,n}; k_{1,m} \rangle) = \begin{cases} m+1 & \text{if } m > n \\ n+1 & \text{if } n > m. \end{cases}$$

Proof

Bn,m has n+m+3 vertices and n+m+2 edges.

The pendent Edges of n and m are colored with different colors.

The edge connects pendent edges with the graph is colored with another two different colors except the pendent edge colors.

Irrespective of n and m, Bn, m is star edge colored with m+1 color if m>n, and also, it is star edge colored with n+1 color if n>m





Subhathra and Suseela

Hence

$$\chi_E \left(\left(k_{1,n}; k_{1,m} \right) \right) = \begin{cases} m+1 & \text{if } m > n \\ n+1 & \text{if } n > m. \end{cases}$$

Illustration 2.1.1

The Star edge coloring of $\langle k_{1,n}; k_{1,m} \rangle$ is as follows. Here m and n are equal

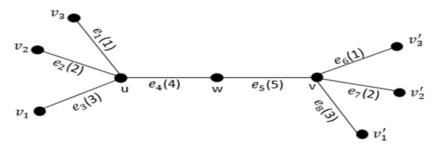
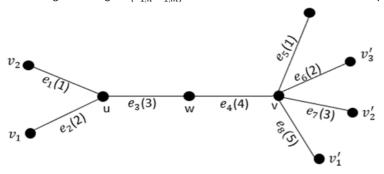


Illustration 2.1.2

The Star edge coloring of $(k_{1,n}; k_{1,m})$ is as follows. Here m and n are not equal (i.e.) n=2 and m=4



Therefore $\chi_E(\langle k_{1,2}; k_{1,4} \rangle) = 5$

Star Edge Coloring of Comb Graph (Pn)

Theorem 2.2.1:

For given positive integers $n \ge 5$ $\chi_E(P_n^+) = \begin{cases} 3 & \text{if } n \le 4. \\ 4 & \text{if } n > 4. \end{cases}$

Proof

 P_n^+ has 2n vertices and 2n-1 edges. The pendant edges are $e_1, e_2, e_3 \dots e_n$ and the edges adjacent to it are $e_{n+1}, e_{n+2}, e_{n+3} \dots e_{2n-1}$.

The edge colors are $e_1, e_2, e_3 \dots e_n$, $e_{n+1}, e_{n+2}, e_{n+3} \dots e_{2n-1}$ denoted by $C(E_i)$ where i = 1, 2, 3, ..., n, n+1, ..., 2n-1. The star edge coloring pattern for $n \le 4$ is as follows:

$$C(E_i) = \begin{cases} 1 & \text{if } i = 1,2,3 \\ 2 & \text{if } i = 4,6 \\ 3 & \text{if } i = 5 \end{cases}$$

The star edge coloring pattern for n>4 is as follows:

$$C(E_i) = \begin{cases} 1 & \text{if } i = 1,2,3 \\ 2 & \text{if } i \equiv 0 \pmod{3} \\ 3 & \text{if } i \equiv 1 \pmod{3} \\ 4 & \text{if } i \equiv 2 \pmod{3} \end{cases}$$

Hence, the star edge chromatic number of P_n^+ is



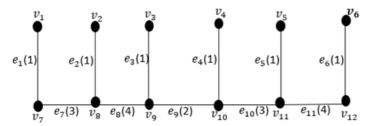


Subhathra and Suseela

$$\chi_E(P_n^+) = \begin{cases} 3 & \text{if} \quad n \le 4\\ 4 & \text{if} \quad n > 4. \end{cases}$$

Illustration 2.2.1.

The star edge coloring of P_6^+ is as follows



Hence $\chi_E(P_6^+) = 4$

Star Edge Coloring of Mobius Ladder Graph (M_n)

Theorem 2.3.1:

For given positive integers n , $\chi_E(M_n) = n + 3$ if $n \geqslant 2$

Proof

 M_n has 2n vertices and 5n-4 edges. Let the vertices be considered in two layers as

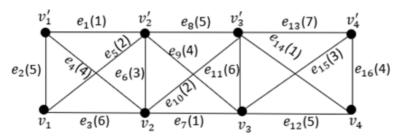
$$V_1, V_2, \dots, V_n$$
 respectively.

Let e_i denote the edges where i= 1,2,3 ...n. we consider n vertices assigned the star edge coloring of the graph with n+3 colors if n \geq 2.

Hence $\chi_E(M_n) = n + 3 \text{ if } n \geqslant 2.$

Illustration 2.3.1

The star edge coloring of $M_{\rm m}$ is as follows



Therefore $\chi_E(M_4)=7$

Star Edge Coloring of Flag Graph (Fl_n)

Theorem 2.4.1

For given positive integers n, $\chi_E(Fl_n) = \begin{cases} 3 & \text{if } n=3\\ 4 & \text{if } n\geqslant 4 \end{cases}$

Proof

A Flag graph has n+1 vertices and n+1 edge.

Let $e_1, e_2, e_3 \dots e_n$, e_{n+1} denotes the edges of Fl_n and e_n connects Cn to the root edge e_{n+1} .





Subhathra and Suseela

Let E_i denotes the edges of Fl_n where i = 1,2,3,..n+1 and C(E_i) denote the color of E_i.

The edge coloring pattern is discussed as follows if n=3.

$$C(E_i) = \begin{cases} 1 & if & i = 1,4 \\ 2 & if & i = 3 \\ 3 & if & i = 2 \end{cases}$$

Then the root edge is colored with anyone of that color.

The edge coloring pattern is discussed as follows if n≥4.

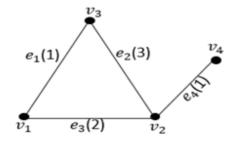
$$C(E_i) = \begin{cases} 1 & \text{if } i \equiv 0 \pmod{4} \\ 2 & \text{if } i \equiv 1 \pmod{4} \\ 3 & \text{if } i \equiv 2 \pmod{4} \\ 4 & \text{if } i \equiv 3 \pmod{4} \end{cases}$$

We consider the star edge coloring of the graph has n≥4 vertices, we have to assign four different colors to the edges and the root edge colored with anyone of the four colors.

Hence
$$\chi_E(Fl_n) = \begin{cases} 3 & \text{if } n = 3 \\ 4 & \text{if } n \geqslant 4 \end{cases}$$

Illustration 2.4.1

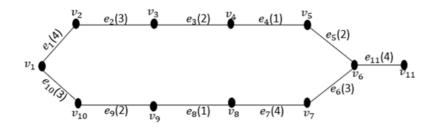
The star edge coloring of Fl_n is as follows.



Hence $Fl_3 = 3$

Illustration 2.4.1

The star edge coloring of Fl_{10} is as follows.



Star Edge Coloring of Tadpole Graph (T m, n)

Theorem 2.5.1:

Hence $Fl_{10} = 4$

For given positive integers $m \ge 3$, $n \ge 2$, the star coloring of Tadpole graph

$$\chi_E(T_{m,n}) = 4 \text{ if } m \geqslant 3, n \geqslant 2$$

Proof

A tadpole graph has m+n vertices and m+n edges. Let $e_1, e_2, e_3 \dots e_m, e_{m+1}, e_{m+2}, \dots e_{m+n}$ be the edges of $T_{m,m}$ and denotes the color of $C(E_i)$ of E_i .





Subhathra and Suseela

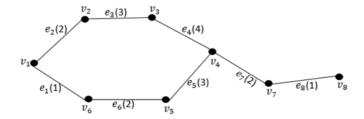
The Star edge coloring of the cyclic graph is colored with four different colors and also, we assign same colors to the path graph P_n with bridge.

Therefore, the star coloring of Tadpole graph

$$\chi_E(T_{m,n}) = 4 \text{ if } \geqslant 3, n \geqslant 2.$$

Illustration 2.5.1

The star edge coloring of $T_{6,2}$ is as follows



Hence $\chi_E(T_{6,2}) = 4$.

Star Edge Coloring of Lollipop Graph (Lm,n)

Theorem: 2.6.1

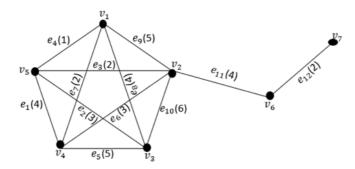
For given positive integers m,n for every $m \ge 3$, $n \ge 2$ $\chi_E \big(L_{(m,n)} \big) = m+1$

Proof

The (m,n)-lollipop graph has m+n vertices and $\binom{m}{2} + n$ edges. As m is complete we need 'm' colors irrespective of n, the same colors used in 'm' is repeated to color 'n'. 'm+1' colors are needed to star edge color L_{m_ln} . $\chi_E(L_{(m,n)}) = m+1$ if $m \geq 3, n \geq 2$.

Illustration 2.6.1

The star edge coloring of $L_{5,2}$ is as follows



Hence $\chi_E(L_{(5,2)}) = 6$





Subhathra and Suseela

Star edge coloring of n- Barbell Graph.

Theorem 2.7.1:

For given positive integer n,

$$\chi_E(n, \text{barbell graph}) = \begin{cases} 4 & \text{if } n = 3 \\ n + 2 & \text{if } n \geqslant 4 \end{cases}$$

Proof

Let G and G' be ab n- barbell graph which has 2n vertices and $2\binom{n}{2} + 1_{\text{edges}}$.

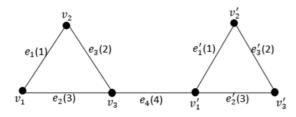
To Star edge color G and G' are the simple graph obtained by connecting 2 copies of complete graph K_n , by a bridge . First edge color to G with different colors and also assign same edge color to G'.

By connecting the complete graph G and G', we assign different color to a bridge.

Hence
$$\chi_E(n, \text{ barbell graph}) = \begin{cases} 4 & \text{if } n = 3 \\ n+2 & \text{if } n \geqslant 4 \end{cases}$$

Illustration 2.7.1:

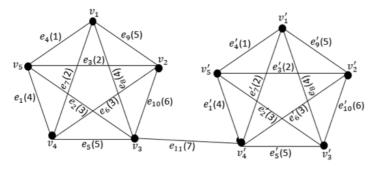
The star edge coloring of 3-Barbell graph is as follows



Hence $\chi_E(3, \text{ barbell graph}) = 4$

Illustration 2.7.2

The star edge coloring of 5-Barbell graph is as follows.



Hence $\chi_E(5, \text{ barbell graph}) = 7$

CONCLUSION

In this paper, it is proved that the star edge coloring pattern of some simple graphs such as Bistar graph, Comb graph, Mobius Ladder graph, Flag graph, Tadpole graph, Lollipop graph, n-Barbell graph, have been obtained and also the star edge chromatic number χ_E is obtained for such graphs. It is proved that for given positive integers n, m.





Subhathra and Suseela

$$\text{i) } \chi_E \big(\big(k_{1,n}; k_{1,m} \big) \big) = \begin{cases} m+1 & \text{if } m > n \\ n+1 & \text{if } n > m. \end{cases}$$

$$\text{ii) } \chi_E \big(P_n^+ \big) = \begin{cases} 3 & \text{if } n \leq 4. \\ 4 & \text{if } n > 4. \end{cases}$$

$$\text{iii) } \chi_E \big(M_n \big) = n+3 & \text{if } n \geqslant 2$$

$$\text{iv) } \chi_E \big(Fl_n \big) = \begin{cases} 3 & \text{if } n=3 \\ 4 & \text{if } n \geqslant 4 \end{cases}$$

$$\text{v) } \chi_E \big(T_{m,n} \big) = 4 & \text{if } m \geqslant 3, n \geqslant 2$$

$$\text{vi) } \chi_E \big(L_{(m,n)} \big) = m+1 & \text{if } m \geq 3, n \geqslant 2$$

$$\text{vii) } \chi_E \big(n, \text{ barbell graph } \big) = \begin{cases} 4 & \text{if } n=3 \\ n+2 & \text{if } n\geqslant 4 \end{cases}$$

REFERENCES

- 1. J.A. Bondy and U.S.R. Murty, Graph Theory with Applications, MacMillan, New York (1976).
- 2. O.V.Borodin, On Acyclic Colorings of Planar Graphs, Discrete Math. Vol.25, 1979,211-236.
- 3. G.Fertin, A.Raspaud, B.Reed, Star Coloring of Graphs, J. of Graph Theory Vol.47(3), 2004, 163-182.
- 4. B.Grunbaum, Acyclic Colorings of Planar Graphs, Israel J. Math. Vol.14, 1973, 390-408.
- 5. https://en.wikipedia.org/wiki/Butterfly_graph.
- 6. https://en.wikipedia.org/wiki/Lollipop_graph.
- 7. https://en.wikipedia.org/wiki/n-Barbell_graph.
- 8. [8] Narsingh Deo, Graph Theory with Applications to Engineering and Computer Science, Prentice Hall of India Pvt. Ltd, 1989.
- 9. N.Ramya, On Colorings of Wheel Graphs, Indian J. of Science and Tech., Vol.7(3S), 2014, 72-73.
- 10. M.Subbiah, A study on Some Variations of Graph Labelling and its Applications in Various Fields, [8] Ph.D thesis submitted to the Bharadhidasan University.
- 11. S N Subhathra, Star Coloring of some simple Graphs, The International journal of analytical and experimental modal analysis, ISSN NO: 0886-9367 Volume XI, Issue VIII, August 2019, Page No:81619.





International Bimonthly (Print) – Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Time-Line Review on Solar Flare and Its Effects on Solar Atmosphere

Abhijit Banerjee1* and Rina Bhattacharya2

¹Research Scholar, Department of Physics, JIS University, Kolkata, West Bengal, India. ²Professor, Department of Physics, JIS University, Kolkata, West Bengal, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 05 Oct 2024

*Address for Correspondence Abhijit Banerjee

Research Scholar, Department of Physics, JIS University, Kolkata, West Bengal, India.

Email: banerjee1984abhi028@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A solar flare is a powerful release of radiation resulting from the discharge of magnetic energy associated with sunspots. Solar flares are the most explosive events in our solar system, appearing as bright regions on the Sun and varying in duration from minutes to hours. In the extensive period of the solar 11-year cycle basically the sunspots, solar flares, prominences and massive CMEs has been coming frequently. The extensive flares and its associated CMEs may intervene the processes of the ionosphere and induce currents in the geomagnetic fields. Hence an exploratory review on this aspect will light into the phenomenon of the generation of solar flare and its associated solar atmospheric perturbations. In this work we have done review on the earlier invention on the solar flare and associated events like relationship with ionospheric events and geomagnetic field variations, radio blackout for shortwave fading, categorization of the flares and high energy particle acceleration etc. and these show a deep inside into the solar flare events from the perspective of atmospheric outer layers.

Keywords: solar flare, CMEs, ionosphere, solar flares events, solar quiet current

INTRODUCTION

Solar flares are strongly connected to the coronal mass ejections (CMEs), in which solar magnetic fields and their embedded particles are expelled into interplanetary space and the solar environment. In the Earth's ionosphere, a dynamo process occurs due to the flow of plasma current, leading to the generation of a magnetic field [1,2]. During the variability of the solar magnetic fields and changes in proton density the dynamo process can be disrupted. Solar flares are often directed towards Earth, leading to disturbances in our planet's atmosphere. In recent decades, an increase in the solar activity is noticed, as the Sun approaches the peak of its 11-year solar cycle, with the year 2022 experiencing particularly pronounced flaring events. Solar flares are resulted from continuous bursts of magnetic





Abhijit Banerjee and Rina Bhattacharya

activity in the solar corona, launching solar plasma and magnetic fields into interplanetary space. When these solar ejecta collide with Earth's magnetic field, they can trigger geomagnetic storms [3-5]. Differential solar heating drives atmospheric tidal winds that move ionospheric plasma along geomagnetic field lines, generating electric fields and currents, akin to a dynamo coil moving within a magnetic field. This region is known as the ionospheric dynamo region. The magnetic effects of these electric currents can be observed on the ground during magnetospheric calm conditions. Additional electric currents are generated by varying magnetospheric electric convection fields, leading to phenomena such as auroral electrojets and polar currents [6]. During a solar flare, bursts of solar radiation from active sunspots reach higher altitudes in the atmosphere, particularly in the E and D layers. This results in an increased electric conductivity and enhancement of the solar quiet (Sq) current, generating a minor increase known as the geomagnetic solar flare effect [7]. Earlier studies have reported that geomagnetic disturbances are caused by enhanced solar wind magnetospheric energy coupling processes. The primary driver of geomagnetic disturbances is magnetic reconnection, which establishes an electro-dynamic connection between solar wind plasma and the Earth's magnetosphere. The effects of solar flare induced geomagnetic disturbances and their impacts on Earth's atmospheric electricity at high and mid-latitudes were explored in different researches. [8-11]. In this work we are considered a time line review of the solar flare and its related events like the observation of white light as Carrington's events and Fleming and McNish attributed the geomagnetic maps and other most significant observations like polar cap absorptions, high energy proton accelerations, radio blackout, change of plasma dynamics of the ionospheric D, E and F layers during solar flare, total geomagnetic filed variations, geomagnetic field variation at the solar flare peninsula developed by considering the past to present time over the globe and the lines on the globe. This review resulted into a salient understanding of the geomagnetic filed variation during the solar flares at its comparable intensities on various parts of the globe.

Earlier Studies on solar flare and its effects on solar atmosphere

In the early 1660s, Isaac Newton demonstrated that sunlight can be divided into distinct colors using a glass prism. In 1800, William Herschel expanded on this by discovering unseen "rays" beyond the red end of the spectrum, which he identified as infrared radiation after detecting a rise in temperature. Johann Wilhelm Ritter, a year later, discovered ultraviolet radiation by observing the blackening of silver chloride paper beyond the violet end of the spectrum. UV radiation was independently confirmed by William Hyde Wollaston. Early ionospheric research established that intense solar flares significantly impact the Van Allen belts and ionospheric layers, disrupting current flows in the ionospheric plasma [12, 13]. It is seen that there are fifty remarkable strongest flares are happened 1997 to 2017 during this 20 years span. Among them the lowest amplitude achieved was X2.6 (0.00026 Watts per m²) and highest amplitude achieved was X28+ (0.0028 Watts per-m²+) along with the average of X6.2 (0.000624 Watts per-m²). The following review study from the years 1860 to 2023 will highlight significant researches on solar flares and their effects on the solar atmosphere.

1859-1900: Key solar flare observations and ionospheric predictions

Richard C. Carrington and Richard Hodgson independently observed a solar flare on September 1, 1859. Carrington later linked this event to simultaneous geomagnetic variations recorded at Kew Observatory, marking the first recognized connection between solar flares and geomagnetic effects [14,15].

1901-1937: Early ionospheric and solar flare studies

The ionosphere's existence was theorized based on scientific research in 1902[16]. After that Studies began to explore the impact of solar flares on Earth's magnetic field through global models, focusing on the time-based development of electric currents generating solar flare effects (sfes)[17,18].

1926-1950: Advances in solar flare research

Researchers noted a rise in electron density during solar flares, leading to radio signal fade-outs [19]. By 1935, the correlation between high-frequency radio signal fading and chromospheric flares was established [20], with further studies in 1936 and 1937 confirming the link between radio signal attenuation and geomagnetic variations along with the inventions of multi-frequency ionosonade, geomagnetic maps by Fleming and Mc-Nish, telluric currents





Abhijit Banerjee and Rina Bhattacharya

fluctuations and intensification of diurnal current [21-24]. The correlation between solar cycle curves and flare frequency by "Wolf's number" was recognized in 1947 [25]. Rocket technology confirmed the localization of ionospheric dynamo currents in the E-regionand Post flare surge in cosmic ray intensity level was observed at the F-region in early 1950's [26,27]. Intensified ionosphere penetration by flare proton particles at the polar region due to Polar cap Absorptions with geosynchronous proton with minimum velocity as $15 \text{GeV} \cos 4\theta$ (θ : magnetic latitude) was observed [28].

1951-1975: Solar flare effects and geomagnetic research

In 1951, X-rays were detected during low solar activity, recognized as the primary ionizing agent in solar flares, with wavelengths between 1 to 100 Å [29]. In 1952, it was reported that the onset of solar flares and geomagnetic impacts could be explained by ionospheric electromagnetic induction, with unusually high H-component magnetic crochet magnitudes observed at Huancayo and other low-latitude stations [30]. Solar flares ionized the D-region, primarily through Lyman series absorptionas reported in 1954. Studies linked solar flares to geomagnetic changes, solar quiet currents (Sq), and sudden appearances of auroras [31]. Subsequent Studies showed that geomagnetic changes during solar flares are influenced by multiple factors beyond just the increase in solar quiet current (Sq) [32]. High-intensity solar flares on the solar limb, detected through the Ha line in the Balmer series, were often associated with sfe occurrences. Statistical analyses confirmed solar flare impacts on the F2-layer [33,34]. The definition of sfe was formalized at the 1957 Copenhagen Symposium, and a provisional atlas was presented at the 1959 Utrecht Symposium [35]. Magnetic data from the 1957 International Geophysical Year were analysed. Magnetic crochets were linked to massive solar flares [36,37]. Ionospheric current systems below the dynamo layer were found responsible for geomagnetic effects, revealing asymmetry in current circuits across hemispheres [38]. Solar flare effects (sfes) were characterized through ground-level enhancements (GLEs) and polar cap absorption (PCA) events during midsixty's [39]. Sfe intensity varied globally, linked to vortex migration during flares. Phase shifts between crochet currents and other ionospheric perturbations showed direct correlations [40]. Unusual ionospheric impacts in Brazil during the 1966 flare were studied [41]. Magnetic crochets correlated with radio bursts above 4995 MHz [42]. The Xray radiation from flares caused sudden ionospheric disturbances (SID), impacting communication [43]. Countercurrents in equatorial regions during sfes were noted [44]. Significant X-ray and microwave bursts were required to trigger sfe events, studied through occurrences in Kodaikanal (1966-1971)[45].

1976-2000: Technological advances and new insights

Research highlighted plasma density increases due to shock compression and successful radio communication during solar events [46,47]. Investigations into the relationship between interplanetary fields, magnetopause reconnection and longitudinal lagging of the sfe current from the Sq current system (found as 15-30°) were advanced by GPS technology [48-51]. Various phenomena such as wave-particle interactions and energetic storm particles (ESPs) accelerated at ICME shocks were studied, showing that distinct particle populations could trigger visible light. ICME shocks compressing the dayside magnetosphere led to sudden auroras and increased ionization in the auroral zone. The impact of solar flares on Indo-USSR magnetic observatories (0-45N) was analysed, focusing on electrojets. Solar flare effects (sfe) peaked midday at equatorial latitudes with positive H variations. Advancements in GPS technology enhanced the study of sfe through ground and satellite receivers, with TEC enhancements noted during the daytime. Space weather models improved the understanding and prediction of energetic particle impacts [52-54].

2001-present: Analysis of solar flares data collected from SOHO, GEOS, TIMED, and GPS receivers

It was reported in 2004 that stronger solar flares generally have steeper X-ray spectra. While X-ray fluxes during flares can vary by several orders of magnitude, EUV fluxes show less variation. Similar flares from the same active region in 2003 were studied to assess their maximum intensity [55,56]. The ionospheric effects of several powerful solar flares were studied using data from SOHO, GEOS, TIMED, and GPS receivers. The study found that the X17 flare on October 28, 2003, had the most significant impact on the dayside ionosphere, causing a peak increase of about 25 TECU [57]. TEC data on October 29, 2003, was deemed unsuitable as a background for flare events due to contamination from an interplanetary coronal mass ejection (ICME) that caused a geomagnetic storm [58]. It was noted that while EUV and X-ray emissions from solar flares impact the dayside ionosphere, SEPs affect the entire





Abhijit Banerjee and Rina Bhattacharya

globe, particularly at high latitudes and Polar Regions. Differentiating between the effects of SEPs and the electromagnetic components of solar flares requires understanding their impact on both the day-side and night-side ionosphere [59]. Increased ionization from solar flares was found to be influenced by the magnetic link to Earth and the energy distribution of solar flare particles. Energetic particles penetrating the lower atmosphere generate HO_x and NO_x , which act as catalysts that degrade ozone [60]. The magnitude of the X-ray flare during the solar perturbation was estimated using riometer data and galactic radio signal background attenuation, with the highest flux observed at 4.0 milli-Watt per square meter for an X40 class flare [61]. The peak magnitude of the November 4, 2003, solar flare was determined by analysing ionosphere perturbations, concluding that the flare reached its maximum intensity with an estimated magnitude of X45 \pm 5[62].

Advanced studies and developments in solar flare impact analysis(from 2006)

It was observed that electron fluxes showed similar signs for solar energetic particle (SEP) events and magnetic storms. The study proposed differentiating these responses using K_P activity indices and measurements of energetic particle fluxes, particularly with data from the EPAM instrument on NASA's ACE spacecraft [63,64]. Further investigations were conducted on the behaviour of the ionosphere in response to X-ray emissions and solar energetic particles during solar flares. The findings suggested that the magnitude of the Carrington flare was comparable to the November 4, 2003, flare [65]. The SRMV was established to characterize temporary variations in Earth's geomagnetic field. The Ebro Observatory took the lead in managing this service, which was initially conceived during the Assembly of Rome in 1954 under Committee No.10 [66]. Information was gathered about the interplanetary causes of ionospheric disruptions at middle latitudes. A solar flare detector utilizing GPS capabilities to monitor total electron content (TEC) was developed, allowing automatic detection of sudden changes in ionospheric ionization [67-69]. The investigation of a solar flare event on the dayside of the geomagnetic field revealed distinct signals at multiple stations located simultaneously in the dark region [70-76].

The ionospheric impact of solar flares was studied through the analysis of vertical total electron content (vTEC) data collected from GPS in 2009. It was found that detecting solar flare effects is influenced by both the distance from the sub-solar point and the intensity and position of overhead currents [77]. The onset of relativistic electron acceleration at the flare site was often delayed by 5 to 15 minutes after the flare, in addition to any propagation delays. This delay was observed in the events of October 28 and 29, 2003, but not in the July 14, 2000, event [78,79]. A modelling tool called the Particle Acceleration and Transport in the Heliosphere (PATH) code was designed to simulate the radiation environment generated by SEP events at Earth's orbit [80-82]. An empirical correlation between solar flare events (SFEs) related magnetic field variations and X-ray flux was established in 2010 using over 300 SFE observations. This relationship helped estimate the magnitude of the Carrington flare as approximately X42 to X48, depending on the location of observations [83]. Detection of X-ray solar flares were influenced by factors including flare intensity, growth rate, and the location of geomagnetic observatories. Faster growth in radiation and observatories in the summer hemisphere facilitated easier detection, while pre-existing geomagnetic disturbances also played a role. An analysis of coronal mass ejection (CME) speeds and equatorial current systems estimated a 12% chance of an extreme space weather event occurring within the next decade. Extreme geomagnetic activity was estimated to occur once every 100 to 200 years [84-86]. The Royal Academy of Engineering in 2013 investigated that a super-storm would occur approximately once every 79 years [87]. Analysis of very low frequency (VLF) amplitude changes showed that electron density in the ionosphere's D-region could increase up to 80 times compared to normal values during solar flares. The horizontal magnetic field, at the Tirunelveli equatorial station increased by up to 8.5% for M-class flares [88].

Mechanisms and innovations

During 2016 -2017 the studies focussed on how Earth's magnetic field responds to significant energy releases, focusing on the decay of SFEs. It was found that decay time depends on the balance of X-ray and UV contributions, and only the most energetic X-ray periods lead to observable SFEs [89]. An estimated recurrence interval for a flare with intensity similar to the Carrington event was calculated to be around 90 years. SFEs could manifest in various magnetic components with unpredictable and irregular shapes, including steep or smooth profiles depending on





Abhijit Banerjee and Rina Bhattacharya

solar disturbance [90]. Approximately 33% of land-based geomagnetic variations were linked to Earth's interior, comparable to Sq and other temporary geomagnetic changes [91-93]. Researchers created an SFE index to isolate SFE signals from natural variations. This index, based on the radial influence of SFE disturbances, led to the development of a detector currently in testing [94]. The vertical incidence sounder at the Ebro Observatory analysed the effects of X9 flares on the magnetic field and ionosphere, highlighting its usefulness for detecting solar flares in 2018. The effects of space-temporal geophysical disturbances on GNSS positioning accuracy were studied. Variations in the lower ionosphere were related to the "magnetic crochet" effect, later termed "solar flare effect" (SFE) [95,96]. A solar flare monitor using GNSS was built and calibrated to detect ionospheric ionization from solar flares. An 11-year analysis (2008-2018) was conducted to optimize the GNSS and SFE detector, aligning with SFE lists from the International Service of Rapid Magnetic Variations (SRMV) [97]. Annual bulletins from the International Association of Geomagnetism and Aeronomy (IAGA) included references to global magnetic activity indices and sudden variations in the field, specifically related to SFEs from 2023[98-101].

CONCLUSIONS

In this study the relationship among the various phenomenon related to the solar flare are considered. Here various kinds of sfe were considered w.r.t. variation in their time of happening. The findings of the earlier researches on the relationship of the changes occurred in the conditions of the parameters in the atmospheric layers like ionospheric D, E and F along with their electron content, solar quiet current variations, geomagnetic filed variations, intensity scaling of the flares are listed in details. The present review showed that there is a certain variation of ionosphere content and geomagnetic field in effect which related to short signal radio fade out at large. The question of finding variation of the coupling of ionosphere and troposphere during the flare is being still unsolved. The ionospheric parameters like total electron content, plasma frequency of the ionospheric F_2 layer, electron densities of F_2 layer, geomagnetic parameters like K_{P_r} , A_{P_r} , C_P and Dst and the solar parameters like interplanetary magnetic field, solar wind and radiation flux as received from the satellite radio can be taken into consideration. The tropospheric and surface data on pressure, temperature and humidity for strong to very intensive flare may be considered in future analysis to get into pre, post and control conditions during the solar flare events. If this above mentioned processes of thorough researches can be carried out then a scope to find the coupling of the troposphere and the ionosphere can be found.

REFERENCES

- 1. Singh AK, Bhargawa A, Singh D, Singh RP. Physics of space weather phenomena: a review. Geosciences 2021; 11(7):286.https://doi.org/10.3390/geosciences11070286
- 2. Baker DN. What is space weather? Advances in Space Research 1998; 22(1):7-16.https://doi.org/10.1016/S0273-1177(97)01095-8
- 3. Reep JW, Knizhnik KJ. What determines the X-ray intensity and duration of a solar flare? The Astrophysical Journal 2019; 874(2):157.DOI: 10.3847/1538-4357/ab0ae7
- 4. Usoskin IG, Kovaltsov GA. Occurrence of extreme solar particle events: assessment from historical proxy data. The Astrophysical Journal 2012; 757(1):92.DOI:10.1088/0004-637X/757/1/92
- 5. Gopalswamy N. History and development of coronal mass ejections as a key player in solar terrestrial relationship. Geosci. Lett. 2016; 3(8). https://doi.org/10.1186/s40562-016-0039-2
- 6. Ganushkina NY, Liemohn MW and Dubyagin S. Current systems in the Earth's magnetosphere. Reviews of Geophysics 2018; 56(2):309-332.https://doi.org/10.1002/2017RG000590
- 7. Yamazaki Y, Maute A. Sq and EEJ—A Review on the Daily Variation of the Geomagnetic Field Caused by Ionospheric Dynamo Currents. Space Sci Rev 2017; 206:299–405. https://doi.org/10.1007/s11214-016-0282-z
- 8. Akasofu SI. Energy coupling between the solar wind and the magnetosphere. Space Sci Rev 1981; 28:121–190. https://doi.org/10.1007/BF0021881





- 9. Perreault P, Akasofu SI. A study of geomagnetic storms. Geophysical Journal International 1978; 4(3):547-573.https://doi.org/10.1111/j.1365-246X.1978.tb05494.x
- 10. Bame SJ, Asbridge JR, Feldman WC, Gosling JT, Paschmann G, Sckopke N. Deceleration of the solar wind upstream from the Earth's bow shock and the origin of diffuse upstream ions. Journal of Geophysical Research: Space Physics 1980; 85(A6):2981-2990.https://doi.org/10.1029/JA085iA06p02981
- 11. Hajra R, Echer E, Tsurutani BT, Gonzalez WD. Solar wind-magnetosphere energy coupling efficiency and partitioning: HILDCAAs and preceding CIR storms during solar cycle 23. Journal of Geophysical Research: Space Physics 2014; 119(4): 2675-2690. https://doi.org/10.1002/2013JA019646
- 12. Grodji ODF, Doumbia V, Amaechi PO, Amory MC, N'guessan K, Diaby KA et al. A Study of Solar Flare Effects on the Geomagnetic Field Components during Solar Cycles 23 and 24. Atmosphere 2021;13(1):69.https://doi.org/10.3390/atmos13010069
- 13. Herschel W. Experiments on the Refrangibility of the Invisible Rays of the Sun, Philosophical Transactions of the Royal Society of London 1800; 90:284-292. https://doi.org/10.17704/1944-6178-38.2.204
- 14. Meadows AJ. Early Solar Physics, Pergamon Press., Wollaston WH, A Method of Examining Refractive and Dispersive Powers by Prismatic Reflection, Philosophical Transactions of the Royal Society of London 1970; 92(1802):365-380.https://doi.org/10.1098/rstl.1802.0014.
- 15. Carrington RC. Description of a singular appearance seen in the sun on September 1, 1859. Month. Notic Roy Astron Soc. 1860; 20:13–15.Available at.https://adsabs.harvard.edu/full/1859MNRAS..20...13C&lang=en, assessed on Feb 29, 2024
- 16. Hodgson R. On a curious appearance seen in the Sun. Month Notic Roy Astron Soc. 1860; 20:15–16. https://doi.org/10.1093/mnras/ 20.1.15.
- 17. Kennelly AE. On the elevation of the electrically-conducting strata of the earth's atmosphere. Electr World Eng. 1902; 32:473. Available at. https://ethw.org/w/images/2/21/Kennelly_on_the_elevation_of_the_Electrically-conducti
- 18. ng_strata_of_the_earth%27s_atmosphere.pdf, assessed on Feb 29, 2024.
- 19. José CJ. Geomagnetic solar flare effects: A review. Journal of Space Weather and Space Climate. 2020; 10:27.https://doi.org/10.1051/swsc/2020027
- 20. Breit G, Tuve MA. A radio method of estimating the height of the conducting layer. Nature 1925; 116:357. https://doi.org/10.1038/116357a0.
- 21. Mögel H. Über die Beziehungenzwischen Empfangsstorungen bei Kurzwellen und den Storungen de smagnetischen Feldes der Erde. Telefunken-Zeitung XI. Jg. 1930; 56:14–31.
- 22. Dellinger JH. A new radio transmission phenomenon. Phys Rev 1935; 48:705.https://doi.org/10.1103/PhysRev.48.705
- 23. Fleming JA. Notes on radio fade out of August 25, 1936. Terr Mag AtmosElec 1936; 41:404–406. https://doi.org/10.1029/TE041i004p00404
- 24. Berkner LV, Wells HW. Study of radio fade-outs. Terr Mag Atmos Elec. 1937a; 42: 183–194.https://doi.org/10.1029/TE042i002p00183
- 25. McNish AG. Terrestrial Magnetic and ionospheric effects associated with bright chromospheric eruptions. TerrMagnAtm Elect 1937b; 42(2):109–122. https://doi.org/10.1029/TE042i002p00109
- 26. Princep JM. Las fulguracionescromosféricas y sus efectosinmediatossobre el campo magnéticoterrestre. Urania 1947; 32:1–39.
- 27. Maple E, Bowen WA, Singer SF. Measurements of the earth's magnetic field at high altitudes at White Sands, New Mexico. J Geophys Res 1950; 55:115–126. https://doi.org/10.1029/ JZ055i002p00115
- 28. Dieminger W, Geisweid KH. Solare und terrestrichebeobachtugenwährend des Mögel-Dellinger-Effektes. J AtmosTerrPhys 1950; 1: 37–48.
- 29. Fermi, E. Nuclear Physics, 1950, pp. 30 and 215, Univ. of Chicago Press, Chicago, III. Friedman H, Lichtman SW, Byram ET. Photon counters measurements of solar X-ray and extreme ultraviolet light. Phys Rev 1951; 83:1025–1030.https://doi.org/10.1103/PhysRev.83.1025
- 30. Nagata T. Characteristics of solar flare effects (Sqa) on geomagnetic field at Huancayo (Peru) and at Kakioka (Japan). J Geophys Res 1952; 57:1–14. https://doi.org/10.1029/JZ057i001p00001.





- 31. Friedman H, Chubb TA. The physics of the ionosphere. Physical Society, London, 1954.
- 32. Volland H, Taubenhein J. On the ionospheric current system of the geomagnetic solar flare effect (sfe). J AtmosTerrPhys 1958; 12:258–265.https://doi.org/10.1016/0021-9169(58)90056-4.
- 33. Dodson HW, Hedeman ER. Crochets-associated flares. Astrophys J 1958;128:636-645. Available at. https://adsabs.harvard.edu/full/1958ApJ...128..636D, assessed on Feb 29, 2024.
- 34. Minnis CM, Bazzard GH. Solar flare effects in the F2 layer of the ionosphere. Nature 1958; 181(4610):690–691.DOI:10.1038/181690A0
- 35. IAGA. Provisional atlas of rapid variations. Ann IntGeophysYr II B: 1959; 668–709. Available at:http://www.pmf.unizg.hr/_download/repository/IAGA-Guide-Observatories.pdf, assessed on Feb 29, 2024.
- 36. Veldkamp J, Van Sabben D. On the current system of solar flare effects. J AtmosTerrPhys 1960; 18:92–202.DOI: 10.1016/0021-9169(60)90092-1
- 37. Eleman F. On solar flares and geomagnetic solar flare effects (Sfe). ArkivförAstronomi 1961; 3:37–49. Available at:https://adsabs.harvard.edu/full/1962ArA.....3...37E, assessed on Feb 29, 2024.
- 38. Van Sabben D. Ionospheric current systems of ten IGY solar flare effects. J AtmosTerr Phys.1961; 22: 32–42. https://doi.org/10.1016/0021-9169(61)90175-1.
- 39. Rikitake T, Yukutake T. A theory of Sfe current systems. J AtmosTerr Phys. 1962; 24:93–105. https://doi.org/10.1016/0021-9169(62)90188-5.
- 40. Subrahmanyan RV. Geomagnetic effects associated with relativistic flares. J AtmosTerr Phys.1964; 26:1119–1126. https://doi.org/10.1016/0021-9169(64)90098-4
- 41. Ohshio M. Solar flare effect on geomagnetic variation. J Radio Res Lab Jpn 1964; 11(58):377–491. Available at: https://www.nict.go.jp/publication/journal/11/058/
- 42. Journal_Vol11_No058_pp377-91.pdf,assessed on Feb 29, 2024.
- 43. Nagata T. Solar flare effect on the geomagnetic field. J GeomagnGeoelectr. 1966; 18(2): 197–219.https://doi.org/10.1186/BF03351565
- 44. Matsushita S, Campbell WH. Physics of geomagnetic phenomena. Academic Press 1967, Vol. 1, New York, 1, 1398 p.
- 45. Greenfield SM, Venkateswaran SV. The vertical structure of the dynamo winds deduced from geomagnetic variations associated with solar flares. Ann Geophys 1968; 24:665–672. Avialable at:https://www.rand.org/pubs/papers/P3690.html, assessed on Feb 29, 2024.
- 46. Das Gupta MK, Sarkar SK. Some studies on geomagnetic crochet–associated solar optical flares and microwave bursts. J R AstronSoc Can 1971; 65:66–72.Avialable at:https://articles.adsabs.harvard.edu/pdf/1971JRASC..65...66D, assessed on Feb 29, 2024.
- 47. Ohshio M, Fukushima N, Nagata T. Solar flare effect on geomagnetic variation. Rep Ionosph Space Res Jpn 1963; 17:77–114.
- 48. Richmond AD, Venkateswaran SV. Geomagnetic crochets and associated ionospheric current systems. Radio Sci 1971; 6:139–164. https://doi.org/10.1029/RS006i002p00139
- 49. Thome GD, Wagner LS, Electron density enhancements in the E and F regions of the ionosphere during solar flares, J. Geophys. Res., 1971; 76, 6883, DOI: 10.1029/JA076i028p06883.
- 50. Richmond AD, Venkateswaran SV. Geomagnetic crochets and associated ionospheric current systems. Radio Sci 1971; 6:139–164. https://doi.org/10.1029/RS006i002p00139.
- 51. Deshpande SD, Subrahmanyam CV, Mitra AP. Ionospheric effects of solar flares—I. The statistical relationship between X-ray flares and SID's. Journal of Atmospheric and Terrestrial Physics 34.2 1972:211-227. https://doi.org/10.1051/swsc/2019040.
- 52. Srivastava BJ. The geomagnetic solar flare effect of 3 May 1973 at Indian stations and its dependence on the counter electrojet. J AtmosTerrPhys 1974; 36: 1571–1575. https://doi.org/10.1016/0021-9169(74)90236-0.
- 53. Klobuchar JA, Real-time ionospheric science: The new reality, Radio Sci. 1997; 32(5), 1943–1952. DOI: 10.1029/97RS01234.
- 54. Miller JA, LaRosa TN, Moore RL, Stochastic electron acceleration by cascading fast mode waves in impulsive solar flares, Astrophys. J. 1996; 461, 445, DOI: 10.1086/177072.





- 55. Feynman J and Gabriel SB, On space weather consequences and predictions, J. Geophys. Res., 2000; 105(A5), 10,543, DOI: 10.1029/1999JA000141.
- 56. Afraimovich EL, GPS global detection of the ionospheric response to solar flares, Radio Sci., 2000; 35, 1417, DOI: 10.1029/2000RS002340.
- 57. Zhang DH, Xiao Z, Igarashi K, Ma GY, GPS-derived ionospheric total electron content response to a solar flare that occurred on 14 July 2000, Radio Sci. 2002; 37(5), 1086, DOI:10.1029/2001RS002542.
- 58. Leonovich L, Afraimovich AE, Romanova EB, Taschillin AV. Estimating the contribution from different ionospheric regions to the TEC response to the solar flares using data from the international GPS network, Ann. Geophys. 2002; 20, 1935. Avialable at:https://angeo.copernicus.org/articles/20/1935/2002/angeo-20-1935-2002.pdf, assessed on Feb 29, 2024.
- 59. Woods TN, Eparvier FG, Fontenla J, Harder J, Kopp G, McClintock WE, Rottman G, Smiley B, Snow M, Solar irradiance variability during the October 2003 solar storm period, Geophys. Res. Lett. 2004; 31, L10802. DOI: 10.1029/2004GL019571.
- 60. Kiplinger AL, García HA. Soft X-ray parameters of the great flares of active region 486. Bull Am AstronSoc 2004; 36(739). Available at: https://ui.adsabs.harvard.edu/abs/2004AAS...204.4713K/abstract, assessed on Feb 29, 2024
- 61. Tsurutani BT et al. The October 28, 2003 extreme EUV solar flare and resultant extreme ionospheric effects: Comparison to other Halloween events and the Bastille day event, Geophys. Res. Lett. 2005; 32, L03S09, DOI: 10.1029/2004GL021475.
- 62. Mannucci AJ, Tsurutani BT, lijima BA, Komjathy A, Saito A, Gonzalez WD, Guarnieri FL, Kozyra JU, Skoug R. Dayside global ionospheric response to the major interplanetary events of October 29–30 2003 "Halloween storms", Geophys. Res. Lett. 2005; 32, L12S02, DOI: 10.1029/2004GL021467.
- 63. Zhang DH, Xiao Z, Study of ionospheric response to the 4B flare on 28 October 2003 using International GPS Service network data, J. Geophys. Res. 2005; 110, A03307, DOI: 10.1029/2004JA010738.
- 64. Rohen G, etal., Ozone depletion during the solar proton events of October/November 2003 as seen by SCIAMACHY, J. Geophys. Res. 2005; 110, A09S39, DOI: 10.1029/2004JA010984.
- 65. Brodrick D. X-ray magnitude of the 4 November 2003 solar flare inferred from the ionospheric attenuation of the galactic radio background. J. Geophys. Res. 2005; 110: A09S36. https://doi.org/10.1029/2004JA010960.
- 66. Thomson NR, Rodger CJ, Clilverd MA. Large solar flares and their ionospheric D region enhancements. J Geophys Res 2005; 110: A06306. https://doi.org/10.1029/2005JA011008.
- 67. Prölss GW, Physics of the Earth's space environment, Springer Science & Business Media, 2004; 1–513.
- 68. Sahai, YF, Becker-Guedes, PR, Fagundes WLC, Lima AJ, de Abreu FL, Guarnieri CMN, Candido and Pillat VG, Unusual ionospheric effects observed during the intense 28 October 2003 solar flare in the Brazilian sector, Ann. Geophys. 2006; 25, 2497.DOI:10.1016/j.jastp.2008.03.011
- 69. Dmitriev AV, Yeh HC, Chao JK, Veselovsky IS, Su SY, Fu CC, Top-side ionosphere response to extreme solar events, Ann. Geophys. 2006; 24, 1469-1477.https://doi.org/10.5194/angeo-24-1469-2006.
- 70. Boteler DH, The super storms of August/September 1859 and their effects on the telegraph system. Adv Space Res 2006; 38: 159–172. https://doi.org/10.1016/j.asr.2006.01.013.
- 71. Curto JJ, Cardús JO, Alberca LF, Blanch E, Milestones of the IAGA International Service of Rapid Magnetic Variations and its contribution to geomagnetic field knowledge. Earth Planets Space 2007; 59: 463–471. https://doi.org/10.1186/BF03352708.
- 72. Tsurutani BT, Echer E, Guarnieri F, Verkhoglyadova OP, in Midlatitudelonospheric Dynamics and Disturbances, Geophys. Monogr. Ser. 2008a; vol. 181, edited by P. Kintner et al., AGU, Washington, D. C.DOI:10.1029/GM181
- 73. García-Rigo A, Hernández-Pajares M, Juan JM, Sanz J. Solar flare detection system based on global positioning system data: First results. Adv Space Res 2007; 39(5): 889–895. https://doi.org/10.1016/j.asr.2006.09.031.
- 74. Villante U, Regi M. Solar flare effect preceding Halloween storm (28 October 2003): Results of a worldwide analysis. J Geophys Res 2008; 113: A00A05. https://doi.org/10.1029/2008JA013132.
- 75. Tsurutani BT, et al., Global dayside ionospheric uplift and enhancement associated with interplanetary electric fields, J. Geophys. Res. 2004; 109, A08302, DOI: 10.1029/2003JA010342.





- 76. Tsurutani BT, et al. (2008b), Prompt penetration electric fields (PPEFs) and their ionospheric effects during the great magnetic storm of 30–31 October 2003; J. Geophys. Res., 113, A05311, DOI: 10.1029/2007JA012879.
- 77. Mannucci AJ, Tsurutani BT, Iijima BA, Komjathy A, Saito A, Gonzalez WD, Guarnieri FL, Kozyra JU, Skoug R, Dayside global ionospheric response to the major interplanetary events of October 29–30 2003 "Halloween storms, Geophys. Res. Lett., 2005; 32, L12S02, DOI: 10.1029/2004GL021467.
- 78. Mannucci AJ, Tsurutani BT, Abdu MA, Gonzalez WD, Komjathy A, Echer E, Iijima BA, Crowley G and Anderson D, Superposed epoch analysis of the dayside ionospheric response to four intense geomagnetic storms, J. Geophys. Res. 2008; 113, A00A02, DOI: 10.1029/2007JA012732.
- 79. Verkhoglyadova OP, Tsurutani BT, Mannucci AJ, Temporal development of dayside TEC variations during the October 30, 2003 superstorm: Matching modeling to observations, in Solar Terrestrial (ST) 2007, Adv. Geosci. 2006, vol. 11, edited by M. Duldig et al., World Sci. Publ., Singapore. Aviable at:https://ui.adsabs.harvard.edu/abs/2006aogs...8...69V/abstract, assessed on Feb 29, 2024
- 80. Verkhoglyadova OP, Tsurutani BT, Mannucci AJ, Saito A, Araki T, Anderson D, Abdu M and Sobral JHA, Simulation of PPEF effects in dayside low-latitude ionosphere for the October 30, 2003 superstorm, in Midlatitudelonospheric Dynamics and Disturbances, Geophys. Monogr. Ser. 2008b, vol. 181, edited by P. Kintner et al., AGU, Washington, D. C.DOI: 10.1029/181GM16
- 81. Meza A, Van Zele MA, Rovira M. Solar flare effect on the geomagnetic field and ionosphere. J Atmos Sol-Terr Phys. 2009; 71:1322–1332. https://doi.org/10.1016/j.jastp.2009.05.015.
- 82. Hudson HS, Lin RP and Stewart RT, Second-stage acceleration in a limb-occulted flare, Sol. Phys. 1982; 75, 245, DOI: 10.1007/BF00153475.
- 83. Tsurutani BT, Verkhoglyadova OP, Mannucci AJ, Lakhina GS, Li G and Zank GP. A brief review of solar flare effects on the ionosphere. Radio Science, 2009; 44(01), 1-14.DOI: 10.1029/2008RS004029.
- 84. Zank GP, Li G, and Verkhoglyadova OP, Particle acceleration at interplanetary shocks, Space Sci. Rev. 2007; 130, 255, DOI: 10.1007/s11214-007-9214-2.
- 85. Verkhoglyadova OP, Li G, Zank GP and Hu Q, Modeling a mixed SEP event with the PATH model: December 13, 2006, in Particle Acceleration and Transport in the Heliosphere and Beyond: 7th Annual International Astrophysics Conference, AIP Conf. Proc. 2008a, 1039, 214, DOI:10.1063/1.2982448.
- 86. Verkhoglyadova, OP, Li G, Zank GP, and Hu Q, Using the PATH code for modeling gradual SEP events in the inner heliosphere, Astrophys. J. 2009; 693, 894, DOI:10.1088/0004-637X/693/1/894.
- 87. Clarke E, Rodger CJ, Clilverd MA, Humphries T, Baillie O, Thomson AWP. 2010. An estimation of the Carrington flare magnitude from solar flare effects (sfe) in the geomagnetic records 2010. In: Royal Astron. Soc. National Astron. Meeting, University of Glasgow, UK, UK.Available at:https://nora.nerc.ac.uk/id/eprint/19904/1/Clarke_et_al_MIST2010.pdf, assessed on Feb 29, 2024
- 88. Van Zele MA, Meza A. The geomagnetic solar flare effect identified by SIIG as an indicator of a solar flare observed by GOES satellites. Adv Space Res 2011; 48: 826–836. https://doi.org/10.1016/j.asr.2011.04.037
- 89. Ruzmaikin A, Feynman J, Jun I. Distribution of extreme solar energetic proton fluxes. J Atmos Sol-TerrPhys 2011; 73: 300–307. https://doi.org/10.1016/j.jastp.2009.12.016.
- 90. Thomson AWP, Dawson EB, Reay SJ. Quantifying extreme behavior in geomagnetic activity. Space Weather 2011; 9: S10001. https://doi.org/10.1029/2011SW000696.
- 91. Cannon PS. Extreme space weather a report published by the UK Royal Academy of Engineering. Space Weather 2013; 11: 138–139. https://doi.org/10.1002/swe.20032.
- 92. Selvakumaran R, Mauryab AK, Gokania SA, Veenadharia B, Kumarc S, Venkateshamb K, Phanikumard DV, Singhe AK, Siinghf D, Singhb R. Solar flares induced D-region ionospheric and geomagnetic perturbations. J Atmos Sol-TerrPhys 2015; 123: 102–112. https://doi.org/10.1016/j.jastp.2014.12.009.
- 93. Curto JJ, Alberca LF, Castell J. Dynamic aspects of the solar flare effects and their impact in the detection procedures. JIGU Spec 2016b; 2: 99–104.Available at:https://nopr.niscpr.res.in/bitstream/123456789/37443/1/IJRSP%206%283%29%20184-185.pdf , assessed on Feb 29, 2024
- 94. Curto JJ, Castell J, Del Moral F. Sfe: Waiting for the big one. J Space Weather Space Clim 2016a; 6: A23. https://doi.org/10.1051/swsc/2016018





Abhijit Banerjee and Rina Bhattacharya

- 95. Siscoe GL, Formisano V, Lazarus AJ. Relation between geomagnetic sudden impulses and solar wind pressure changes an experimental investigation. J Geophys Res 1968; 73(15): 4869–4874. https://doi.org/10.1029/JA073i015p04869.
- 96. Tanskanen EI, Viljanen A, Pulkkinen TI, Pirjola R, Häkkinen L, Pulkkinen A, Amm O. At substorm onset, 40% of AL comes from underground. Journal of Geophysical Research: Space Physics, 106(A7), pp.13119-13134. Available at: https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1029/2000JA900135, assessed on Feb 29, 2024
- 97. Marsal S, Torta JM, Segarra A, Araki T. Use of spherical elementary currents to map the polar current systems associated with the geomagnetic sudden commencements on 2013 and 2015 St. Patrick's Day storms. J Geophys Res Space Phys 2016; 122: 194– 211. https://doi.org/10.1002/2016JA023166
- 98. Curto JJ, Marsal S, Creci G, Domingo G. Automatic detection of sfe: A proposal. Ann Geophys 2017; 35: 799–804. https://doi.org/10.5194/angeo-35-799-2017.
- 99. Curto JJ, Marsal S, Blanch E, Altadill D. Analysis of the solar flare effects of 6 September 2017 in the ionosphere and in the Earth's magnetic field using spherical elementary current systems. Space Weather 2018; 16: 1709–1720. https://doi.org/10.1029/2018SW001927.
- 100. Gavrilov BG, Lyakhov AN, Poklad YV, Rybakov VA, Ryakhovsky IA, Loseva TV. Geophysical effects of solar flare on 6 September 2017. In: Proc. SPIE 10833, 24th International Symposium on Atmospheric and Ocean Optics: Atmospheric Physics 2018; 1083397 (13 December 2018). https://doi.org/10.1117/12.2502477.
- 101. Curto, JJ, Juan, JM, Timoté, C.C., 2019. Confirming geomagnetic Sfe by means of a solar flare detector based on GNSS. Journal of Space Weather and Space Climate, 9, p.A42. Available at:https://www.swsc-journal.org/articles/swsc/pdf/2020/01/swsc m190079. pdf, assessed on Feb 29, 2024
- 102. Curto, JJ, Cardús JO, Alberca, LF, Blanch, E. Milestones of the IAGA International Service of Rapid Magnetic Variations and its contribution to geomagnetic field knowledge. Earth, planets and space, 2007; 59,463-471.https://doi.org/10.1186/BF03352708
- 103. Curto, JJ, Segarra, A, Altadill, D, Chambodut, A. Service of rapid magnetic variations, an update. Geoscience Data Journal, 2023; 10(1), 99-113. https://doi.org/10.1002/gdj3.164

Table: 1 Details of the Solar Flare happened last 15 years period

SI No Doto		Name	Dealerman and floor	Maxima um flux	Classes of Flare			
SI.No.	Date	Sunspot number	New regions	Background flux	Maximum flux	С	Μ	Х
1	04-11-2003	79	1	C2.3	X17.4	3	3	1
2	02-04-2001	223	1	C6.5	X17.1	2	4	3
3	28-10-2003	230	1	C3.2	X17.2	5	0	1
4	07-09-2005	11	1	C1.0	X17.1	4	0	1
5	15-04-2001	100	0	B5.5	X14.5	7	0	1
6	29-10-2003	330	0	C3.3	X10.1	4	2	1
7	06-11-1997	60	0	B9.7	X9.08	3	0	1
8	05-12-2006	59	0	B4.1	X9.06	9	1	1
9	02-11-2003	174	0	C1.9	X8.38	1	2	1
10	10-09-2017	38	1	B4.9	X8.28	4	0	1
11	20-01-2005	61	0	B8.7	X7.11	5	0	1
12	09-08-2011	54	0	B4.3	X7	5	1	1
13	06-12-2006	44	0	B5.3	X6.58	13	3	1
14	09-09-2005	59	0	B8.3	X6.21	7	5	3
15	13.12.2001	212	3	C2.1	X6.22	4	2	1





16	14.08.2000	243	2	C2.9	X5.75	4	2	1
17	06-04-2001	136	0	C3.3	X5.66	3	0	1
18	07-03-2012	102	0	C1.1	X5.43	1	0	2
19	25-08-2001	132	1	C7.2	X5.4	17	2	1





International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Fuzzy Soft Hyponormal Operators

A. Brindha^{1*} and S. Maheswari²

¹Associate Professor, Department of Mathematics, Tiruppur Kumaran College for Women, Tirupur, (Affiliated to the Bharathiar University, Coimbatore), Tamil Nadu, India.

²Guest Lecturer of Mathematics, Puratchi Thalaivi Amma Govt. Arts and Science College, Palladam, Tirupur, (Affiliated to the Bharathiar University, Coimbatore), Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

A. Brindha

Associate Professor, Department of Mathematics,

Tiruppur Kumaran College for Women,

Tirupur, (Affiliated to the Bharathiar University, Coimbatore),

Tamil Nadu, India.

Email: brindhasree14@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this paper, we introduce the concept of Fuzzy Soft Hyponormal operators (FSHN-operators) in Fuzzy Soft Hilbert spaces (FSH-spaces), built from a family of operators and some elementary properties of these operators are studied. In addition, some relations between FSHN-operators and Fuzzy Soft Normal operators (FSN-operators) in FSH-spaces are obtained from Fuzzy Soft Self-Adjoint (FSSA), Fuzzy Soft Unitary (FSU), invertible and unitary equivalent.

Keywords: Fuzzy Soft Hyponormal operators (FSHN-operators), Fuzzy Soft Hilbert spaces (FSH-spaces), FSHN-operators, Fuzzy Soft Self-Adjoint (FSSA), Fuzzy Soft Normal operators (FSN-operators) and Fuzzy Soft Unitary (FSU).

INTRODUCTION

In 1999, Molodtsov[2] introduced soft set theory as a different method for vagueness. Majiet. al. [16] defined new notions of soft set theory. Fuzzy soft set which is a combination of fuzzy and soft sets was first introduced by Maji et.al. [11]in 2001. In recent years, many researchers applied this notion and gave some concepts such as fuzzy soft point, fuzzy soft metric spaces and fuzzy soft normed spaces[3],[4]. In 2013, Zadeh[14] coined fuzzy soft norm over a set and established the relationship between fuzzy soft norm and fuzzy norm over a set. NashatFaried et al. [1] introduced the fuzzy soft inner product on fuzzy soft vector space and its properties. Also, they have given the definition of fuzzy soft Hilbert spaces [7]. In addition, they continued by defining the fuzzy soft linear operators in fuzzy soft Hilbert space with their related theorems including spectral theory in 2020 [8]. After that, they defined the fuzzy soft self adjoint operator [8] and studied its properties. In 2021, a new type of normal operator, called fuzzy soft





Brindha and Maheswari

normal operator has been introduced by SalimDawood et al. [13] and some theorems relating to this operator with some properties are given. Also, the relation between this operator and other types like fuzzy soft self-adjoint operator are studied. In 2022, NashatFaried et al. [12] have given the definition of fuzzy soft unitary operator, a particular sort of the fuzzy soft linear operators in fuzzy soft Hilbert space. In addition, the connection between the fuzzy soft unitary operators and each of the fuzzy soft isometry operators and the fuzzy soft normal operators are established. The aim of this work presents the concept of fuzzy soft hyponormal operators and some elementary properties. Furthermore, some relations between FSHN-operators and Fuzzy Soft Normal operators (FSN-operators) in FSH-space are obtained from Fuzzy Soft Self-Adjoint (FSSA), Fuzzy Soft Unitary (FSU), invertible and unitary equivalence.

PRILIMINARIES

Definition 2.1: [14]

Let \widetilde{A} be a fuzzy set over universal set U, a set characterized by a membership function $\mu_{\widetilde{A}}: U \to I$, where I = [0,1] and \widetilde{A} represented by an ordered pairs $\widetilde{A} = \{(u, \mu_{\widetilde{A}(u)})\}: u \in U, \ \mu_{\widetilde{A}(u)} \in I\}$ or $\widetilde{A} = \{\frac{\mu_{\widetilde{A}(u)}}{u}: u \in U\}$

 $\mu_{\widetilde{A}(u)}$ is said to be degree of membership of u in \widetilde{A}

And $I^{U}=\{\widetilde{A}:\widetilde{A}\text{ is a function from }U\text{ into }I\}$

Definition 2.2:[2]

Let *U* be a universal set and E be set of parameters. P(U) the power set of *U* and $A\subseteq E$. Suppose that G is a mapping given by $G:A\to P(U)$, where $G_A=\{G(e)\in P(U):e\in A\}$. The pair (G,A) or G_A is called soft set over *U* with respect to A.

Definition 2.3: [11]

The soft set (\mathcal{G}, A) is called fuzzy soft set (FS-set) over a universal set U, whenever \mathcal{G} is a mapping $\mathcal{G}: A \to I^U$, and $\{\mathcal{G}(e) \in I^U : e \in A\}$. The family of all FS-sets, symbolized by $FSS(\widetilde{U})$

Definition 2.4:[3]

The FS-set $(\mathcal{G},A) \in FSS(\widetilde{U})$ is called fuzzy soft point over U, symbolized by $(u_{\mu(\mathcal{G}(e))})$ if $e \in A$ and $u \in U$. $\mu(\mathcal{G}(e)) = \begin{cases} \lambda, & \text{if } u = u_0 \in U \text{ and } e = e_0 \in A \\ 0, & \text{if } u \in U - u_0 U \text{ or } e \in A - e_0 \end{cases}$, where $\lambda \in (0,1]$

Remark 2.5:[3]

C (A) is the family of all FS-Complex numbers and R (A) is also the family of all FS-Real numbers

Definition 2.6:[7]

Let $(\widetilde{H},\widetilde{N},*)$ and $(\widetilde{H},\widetilde{N}',*)$ be FSN-spaces. A fuzzy soft linear operator $\widetilde{T}:(\widetilde{H},\widetilde{N},*)\to (\widetilde{H},\widetilde{N}',*)$ is said to be fuzzy soft bounded iff $\exists c>0$, \exists for each i>0, $\widetilde{N}'(\widetilde{T}\,\widetilde{x},\widetilde{t})\geq \widetilde{N}\,(\widetilde{x},\frac{\widetilde{t}}{c})$ $\forall \,\widetilde{x}\in\widetilde{H}$.

Remark 2.7.[7]

Let \widetilde{B} (\widetilde{H}) be the set of all Fuzzy soft bounded (continuous) linear operators (FSB-operator) on \widetilde{H} .

Theorem 2.8; [7]

Let $(\widetilde{H},\widetilde{F},*)$ be a FSH-space. Let $\widetilde{T}\in\widetilde{B}$ (\widetilde{H}) be $\tau_{\widetilde{F}}$ - continuous linear functional. Then \exists a unique $\widetilde{T}^*\in FB(\widetilde{H})$ such that $(\widetilde{T}\widetilde{x},\widetilde{y})$ = $(\widetilde{x},\widetilde{T}^*\widetilde{y})$, \forall \widetilde{x} , $\widetilde{y}\in\widetilde{H}$.

Note 2.9: [7]

The adjoint of \widetilde{T} . i.e \widetilde{T}^* is a unique linear operator on \widetilde{H} with the relation $\langle \widetilde{T} \widetilde{x}, \widetilde{y} \rangle = \langle \widetilde{x}, \widetilde{T}^* y \rangle$





Brindha and Maheswari

Definition2.10:[8]

Let $(\widetilde{H},\widetilde{F},*)$ be a FSH-space with IP: $(\widetilde{x},\widetilde{y})$,=sup $\{\widetilde{t} \in R: \widetilde{F}(\widetilde{x},\widetilde{y},\widetilde{t})<1\} \forall \widetilde{x},\widetilde{y} \in \widetilde{H} \text{ and let } \widetilde{T} \in \widetilde{B}(\widetilde{H}).$ Then \widetilde{T} is fuzzy soft self-adjoint operator (FSA-operator), if $\widetilde{T} = \widetilde{T}^*$ where \widetilde{T}^* is fuzzy soft adjoint operator (FSA-operator) of \widetilde{T} .

Definition 2.11:[13]

Let $(\widetilde{H},\widetilde{F},*)$ be a FSH-space with IP: $(\widetilde{x},\widetilde{y})=\sup\{\widetilde{t}\in R:\widetilde{F}(\widetilde{x},\widetilde{y},\widetilde{t})<1\}\forall\ \widetilde{x},\widetilde{y}\in\widetilde{H}\ \text{and let}\ \widetilde{T}\in\widetilde{B}(\widetilde{H})$. Then \widetilde{T} is a FSN-operator if it commutes with its (fuzzy soft) adjoint. i.e. $\widetilde{T}\widetilde{T}^*=\widetilde{T}^*\widetilde{T}$.

Remark 2.12: [13]

It is obvious that every FSSA-operator is FSN-operator.

Theorem 2.13: [13]

If \widetilde{T}_1 and \widetilde{T}_2 are FSN-operators on $(\widetilde{H}_1,\widetilde{F}_1,*)$ with the property that either commutes with fuzzy adjoint of the other, then $\widetilde{T}_1 + \widetilde{T}_2$ and $\widetilde{T}_1\widetilde{T}_2$ are FSN-operator.

Theorem 2.14:[13]

Let $(\widetilde{H},\widetilde{F},*)$ be a FSH-space with IP: $\langle \widetilde{x},\widetilde{y} \rangle_{,=} \sup\{\widetilde{t} \in R: \widetilde{F}(\widetilde{x},\widetilde{y},\widetilde{t})<1\} \forall \widetilde{x},\widetilde{y} \in \widetilde{H} \text{ and let } \widetilde{T} \in \widetilde{B}(\widetilde{H}) \text{ be a FSN-operator iff} \|\widetilde{T}^*\widetilde{x}\| = \|\widetilde{T}\widetilde{x}\| \ \forall \ \widetilde{x} \in \widetilde{H}.$

Theorem 2.15:[13]

Let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$ be a FSN-operator on FSH-space. Then \widetilde{T} is FSN-operator iff its real and imaginary parts commute.

Theorem 2.16:[13]

Let \widetilde{T} be a FSN-operator on a finitedimensional FSH-space \widetilde{H} and $\widetilde{z} \in C(A)$. Then $\widetilde{T} - \widetilde{z}I$ is a FSN-operator.

Theorem 2.17:[13]

Let $(\widetilde{H},\widetilde{F},*)$ be a FSH-space with IP: $(\widetilde{x},\widetilde{y})_{,=}\sup\{\widetilde{t}\in R:\widetilde{F}(\widetilde{x},\widetilde{y},\widetilde{t})<1\}\forall\ \widetilde{x},\widetilde{y}\in\widetilde{H}\ \text{and let}\ \widetilde{T}\in\widetilde{B}(\widetilde{H})$. Then \widetilde{T} is a FSU-operator if it satisfies $\widetilde{T}\widetilde{T}^*=I=\widetilde{T}^*\widetilde{T}$.

Note2.18:[13]

Every FSU-operator is FSN-operator.

Theorem 2.19:[13]

If $\widetilde{T} \in \widetilde{B}(\widetilde{H})$ is FSU-operator on \widetilde{H} , then the following conditions are all equivalent to one another,

- $(1) \qquad \widetilde{T} \ \widetilde{T}^* = I$
- $(2) \qquad \langle \widetilde{T} \, \widetilde{x} \,, \widetilde{T} \, \widetilde{y} \, \rangle = \langle \widetilde{x} \,, \widetilde{y} \, \rangle \forall \, \widetilde{x} \,, \widetilde{y} \, \in \widetilde{H}$
- (3) $\|\widetilde{T}\widetilde{x}\| = \|\widetilde{x}\| \forall \widetilde{x} \in \widetilde{H}$

MAIN RESULTS

Definition 3.1:[Fuzzy Soft Hyponormal Operator]

Let $(\widetilde{H}, \langle ... \rangle)$ be a FSH-space and let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$. Then \widetilde{T} is a FSHN-operator if $\widetilde{T} * \widetilde{T} : \widetilde{T}$

Lemma 3.2:

Let $(\widetilde{H}, \langle .,. \rangle)$ be a FSH-space and let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$. Then \widetilde{T} is a FSHN-operator iff $\|\widetilde{T} * \widetilde{x}\| \le \|\widetilde{T} \widetilde{x}\| \ \forall \ \widetilde{x} \in \widetilde{H}$.

Proof:

Since $\widetilde{T} \in \widetilde{B}\left(\widetilde{H}\right)$ is a FHN-operator, then by the definition, $\widetilde{T}^*\widetilde{T} - \widetilde{T}^* \geq 0$. $\Longrightarrow \widetilde{T}^*\widetilde{T} \geq \widetilde{T}\widetilde{T}^*$





Brindha and Maheswari

It is enough to prove $\widetilde{T}\widetilde{T}^* \leq \widetilde{T}^*\widetilde{T}$ Consider $\|\widetilde{T}^*\widetilde{x}\| \leq \|\widetilde{T}\widetilde{x}\|$, then

$$\begin{split} \left\|\widetilde{T}^{\,*}\widetilde{x}\,\right\| &\leq \left\|\widetilde{T}\,\widetilde{x}\,\right\| \Leftrightarrow \left\|\widetilde{T}^{\,*}\widetilde{x}\,\right\|^{2} \leq \left\|\widetilde{T}\,\widetilde{x}\,\right\|^{2} \\ &\Leftrightarrow \left\langle\widetilde{T}^{\,*}\widetilde{x}\,,\widetilde{T}^{\,*}\widetilde{x}\,\right\rangle \leq \left\langle\widetilde{T}\,\widetilde{x}\,,\widetilde{T}\,\widetilde{x}\,\right\rangle \\ &\Leftrightarrow \left\langle\widetilde{T}\,\widetilde{T}^{\,*}\widetilde{x}\,,\widetilde{x}\,\right\rangle \leq \left\langle\widetilde{T}^{\,*}\widetilde{T}\,\widetilde{x}\,,\widetilde{x}\,\right\rangle \\ &\Leftrightarrow \left\langle\left(\widetilde{T}\,\widetilde{T}^{\,*}-\widetilde{T}^{\,*}\widetilde{T}\right)\widetilde{x}\,,\widetilde{x}\,\right\rangle \leq 0 \\ &\Leftrightarrow \widetilde{T}\,\widetilde{T}^{\,*}-\widetilde{T}^{\,*}\widetilde{T} \leq 0 \\ &\Leftrightarrow \widetilde{T}\,\widetilde{T}^{\,*} \leq \widetilde{T}^{\,*}\widetilde{T} \end{split}$$

Hence $\|\widetilde{T}^*\widetilde{\chi}\| \leq \|\widetilde{T}\widetilde{\chi}\| \Leftrightarrow \widetilde{T}^*\widetilde{T} - \widetilde{T}\widetilde{T}^* \geq 0$.

Theorem 3.3:

Let $(\widetilde{H}, \langle .,. \rangle)$ be a FSH-space and let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$. Then \widetilde{T} is a FSHN-operator and \widetilde{S} be unitarily equivalent to \widetilde{T} . Then \widetilde{S} is a FSHN-operator.

Proof:

```
For \widetilde{S} is unitarily equivalent to \widetilde{T}, we have \widetilde{S} = \widetilde{U} \widetilde{T} \widetilde{U}^*, for some unitary operator \widetilde{U}.
Implies that \widetilde{S}^2 = \widetilde{U} \widetilde{T}^2 \widetilde{U}^* \Rightarrow \|\widetilde{S}^2 \widetilde{x}\| = \|(\widetilde{U} \widetilde{T}^2 \widetilde{U}^*) \widetilde{x}\|.
Consider \|\widetilde{S}^*\widetilde{x}\|^2. Then
\|\widetilde{S} * \widetilde{x}\|^2 = \langle \widetilde{S} * \widetilde{x}, \widetilde{S} * \widetilde{x} \rangle
=\langle \widetilde{S} \ \widetilde{S} \ ^*\widetilde{\chi} \ , \widetilde{\chi} \ \rangle
=\langle (\widetilde{U}\ \widetilde{T}\ \widetilde{U}\ ^*)\ (\widetilde{U}\ \widetilde{T}\ \widetilde{U}\ ^*)^*\widetilde{\chi}\ ,\widetilde{\chi}\ \rangle
= \langle (\widetilde{U} \ \widetilde{T} \ \widetilde{U} \ ^*) (\widetilde{U} \ \widetilde{T} \ ^* \widetilde{U} \ ^*) \widetilde{x} \ , \widetilde{x} \ \rangle
=\langle (\widetilde{U} \, \widetilde{T} \, \widetilde{T} \, {}^*\widetilde{U} \, {}^*)\widetilde{\chi} \, , \widetilde{\chi} \, \rangle
=\langle (\widetilde{T} \, \widetilde{T} \, {}^*\widetilde{U} \, {}^*)\widetilde{\chi} \, , \, \widetilde{U} \, {}^*\widetilde{\chi} \, \rangle
\leq \langle (\widetilde{T} * \widetilde{T} \widetilde{U} *) \widetilde{\chi}, \widetilde{U} * \widetilde{\chi} \rangle
=\langle (\widetilde{U} \ \widetilde{T} \ ^* \widetilde{T} \ \widetilde{U} \ ^*) \widetilde{\chi} \ , \widetilde{\chi} \rangle
= \langle \big(\widetilde{U}\ \widetilde{T}\ ^*\ \widetilde{U}\ ^*\big)\big(\widetilde{U}\ \widetilde{T}\ \widetilde{U}\ ^*\big)\widetilde{\chi}\ ,\widetilde{\chi}\ \rangle
= \langle (\widetilde{U} \, \widetilde{T} \, \widetilde{U}^*)^* (\widetilde{U} \, \widetilde{T} \, \widetilde{U}^*) \widetilde{x} , \widetilde{x} \rangle
=\langle \widetilde{S}^* \widetilde{S} \widetilde{\chi}, \widetilde{\chi} \rangle
=\langle \widetilde{S} \widetilde{x}, \widetilde{S} \widetilde{x} \rangle
\|\widetilde{S} * \widetilde{x}\|^2 \le \|\widetilde{S} \widetilde{x}\|^2
\|\widetilde{S} * \widetilde{x}\| \le \|\widetilde{S} \widetilde{x}\|
Hence \tilde{S} is a FSHN-operator.
```

Theorem 3.4:

Let $(\widetilde{H}, \langle .,. \rangle)$ be a FSH-space and let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$. Let \widetilde{T} and \widetilde{T}^* be a FSHN-operator. Then \widetilde{T} be a FSN-operator.

Proof:





Brindha and Maheswari

Thus, \widetilde{T} is a FSN-operator.

Theorem 3.5:

Let $(\widetilde{H}, \langle .,. \rangle)$ be a FSH-space and let \widetilde{S} and $\widetilde{T} \in \widetilde{B}$ (\widetilde{H}) be FSHN-operators. If \widetilde{S} and \widetilde{T} are also a FSHN-operator.

Proof:

For every unit $\operatorname{vector} \widetilde{x} \in \widetilde{H}$. We know that $\widetilde{S} \in \widetilde{B}(\widetilde{H})$ be FSHN-operator, $\|\widetilde{S}^{\,2}\widetilde{x}\,\| \leq \|\widetilde{S}\,\widetilde{x}\,\|^2$ i. e. $\widetilde{S}\widetilde{S}^{\,*} \leq S^*\widetilde{S}$, and $\widetilde{T} \in \widetilde{B}(\widetilde{H})$ be FSHN-operator, $\|T^2\widetilde{x}\| \leq \|\widetilde{T}\widetilde{x}\|^2$ i. e. $\widetilde{T}\widetilde{T}^{\,*} \leq \widetilde{T}^*\widetilde{T}$.

To prove that $\widetilde{S} + \widetilde{T}$ is a FPN-operator.

Therefore \widetilde{S} \widetilde{T} is a FSHN-operator.

Theorem 3.6:

Let $(\widetilde{H}, \langle .,. \rangle)$ be a FSH-space and let \widetilde{S} and $\widetilde{T} \in \widetilde{B}$ (\widetilde{H}) be a FSHN-operator on \widetilde{H} . Then $\|(\widetilde{T}^* - \widetilde{z}\widetilde{I})\widetilde{x}\| \le \|(\widetilde{T} - zI)x \forall x \in H$, i.e. (T-zI) is FSHN-operator.

Proof:

Given \widetilde{T} is a FSHN-operator on \widetilde{H} .





Brindha and Maheswari

Let
$$\left\| (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \right\|^2 = \langle (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x}, (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \rangle$$
. Then
$$\left\| (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \right\|^2 = \langle (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x}, (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \rangle$$

$$= \langle (\widetilde{T} - \widetilde{z}\widetilde{I})^*(\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x}, \widetilde{x} \rangle$$

$$\geq \langle (\widetilde{T} - \widetilde{z}\widetilde{I})(\widetilde{T} - \widetilde{z}\widetilde{I})^*\widetilde{x}, \widetilde{x} \rangle$$
[since, by definition of FSHN-operator]
$$\geq \langle (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \right\|^2 \geq \left\| (\widetilde{T} - \widetilde{z}\widetilde{I})^*\widetilde{x} \right\|^2$$

$$\left\| (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \right\|^2 \geq \left\| (\widetilde{T} - \widetilde{z}\widetilde{I})^*\widetilde{x} \right\|$$

$$i.e. \left\| (\widetilde{T} - \widetilde{z}\widetilde{I})^*\widetilde{x} \right\| \leq \left\| (\widetilde{T} - \widetilde{z}\widetilde{I})\widetilde{x} \right\|$$

Hence $\widetilde{T} - \widetilde{z}\widetilde{I}$ is FSHN-operator.

Theorem 3.7

Let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$ be a FSHN-operator on a FSH-space \widetilde{H} . Then $\widetilde{T} \widetilde{x} = \widetilde{z} \widetilde{x} \Rightarrow \widetilde{T} * \widetilde{x} = \overline{\widetilde{z}} \widetilde{x}$

Proof

Let \widetilde{x} be an eigen vector of \widetilde{T} corresponding to the eigen value \widetilde{z} . i.e \widetilde{T} $\widetilde{x} = \widetilde{z}$ \widetilde{x} .

Now,
$$\langle \widetilde{T} \quad \widetilde{x} , \widetilde{T} \quad \widetilde{x} \rangle = \langle \widetilde{T} \quad \widetilde{T} \quad \widetilde{x} \quad , \widetilde{x} \rangle$$

$$\geq \langle \widetilde{T} \quad \widetilde{T} \quad \widetilde{x} \quad , \widetilde{x} \rangle [\text{ Since } \widetilde{T} \quad \text{is FSHN -operator}]$$

$$\langle \widetilde{T} \quad \widetilde{x} , \widetilde{T} \quad \widetilde{x} \rangle \geq \langle \widetilde{T} \quad \widetilde{x} \quad , \widetilde{T} \quad \widetilde{x} \rangle$$
(3.1)

Since by the Theorem (3.6), $\widetilde{T} = -\widetilde{z}\widetilde{I}$ is a FHN-operator, therefore for each $\widetilde{x} \in \widetilde{H}$, equation (3.1) becomes,

$$\langle (\widetilde{T} - \widetilde{z} \, \widetilde{I}) \widetilde{x}, (\widetilde{T} - \widetilde{z} \, \widetilde{I}) \widetilde{x} \rangle \geq \langle (\widetilde{T} - \widetilde{z} \, \widetilde{I})^* \widetilde{x}, (\widetilde{T} - \widetilde{z} \, \widetilde{I})^* \widetilde{x} \rangle$$

Since $\widetilde{T} \widetilde{x} = \widetilde{z} \widetilde{x}$, we have

$$\widetilde{T} \, \widetilde{x} = \widetilde{z} \, \widetilde{x}$$

$$\Rightarrow \widetilde{T} \, \widetilde{x} - \widetilde{z} \, \widetilde{I} \, \widetilde{x} = 0$$

$$\Rightarrow (\widetilde{T} - \widetilde{z} \, \widetilde{I}) \widetilde{x} = 0$$

$$\begin{split} &\Rightarrow \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right) = 0 (3.2) \\ &\text{Then,} \langle \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)\widetilde{x} , \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)\widetilde{x} \rangle = 0 \quad \forall \, \widetilde{x} \in \widetilde{H}, \\ &\Rightarrow \langle \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)^*\widetilde{x} , \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)^*\widetilde{x} \rangle = 0 \quad \forall \, \widetilde{x} \in \widetilde{H}, \\ &\Rightarrow \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)^*\widetilde{x} = 0, \text{Then for each } \widetilde{x} \in \widetilde{H}, \\ &\Rightarrow \left(\widetilde{T} - \widetilde{z}\,\widetilde{I}\right)^*\widetilde{x} = 0 \\ &\Rightarrow \left(\widetilde{T}^* - \overline{\widetilde{z}}\,\widetilde{I}\right) \quad \widetilde{x} = 0 \\ &\Rightarrow \widetilde{T}^*\widetilde{x} - \overline{\widetilde{z}}\,\widetilde{I}\,\widetilde{x} = 0 \\ &\Rightarrow \widetilde{T}^*\widetilde{x} = \overline{\widetilde{z}}\,\widetilde{x} \\ \widetilde{x} \text{ is an eigen vector of } \widetilde{T}^* \text{ corresponding to eigen value } \overline{\widetilde{z}} \end{split}$$

Theorem 3.8

Let $\widetilde{T} \in \widetilde{B}(\widetilde{H})$ be a FSHN-operator with $\widetilde{T} \widetilde{x}_1 = \widetilde{z}_1 \widetilde{x}_1$, $\widetilde{T} \widetilde{x}_2 = \widetilde{z}_2 \widetilde{x}_2$ and $\widetilde{z}_1 \neq \widetilde{z}_2$. Then $(\widetilde{x}_1, \widetilde{x}_2) = 0$.

Proof

Since
$$\widetilde{T} \in \widetilde{B}\left(\widetilde{H}\right)$$
 is a FSHN-operator with $\widetilde{T} \, \widetilde{x}_1 = \widetilde{z}_1 \widetilde{x}_1$, $\widetilde{T} \, \widetilde{x}_2 = \widetilde{z}_2 \widetilde{x}_2$ and $\widetilde{z}_1 \neq \widetilde{z}_2$, then by Theorem (3.7), $\widetilde{T}^* \, \widetilde{x}_1 = \overline{\widetilde{z}}_1 \widetilde{x}_1$, $\widetilde{T}^* \, \widetilde{x}_2 = \overline{\widetilde{z}}_2 \widetilde{x}_2$. Consider $\widetilde{z}_1 \langle \widetilde{x}_1, \widetilde{x}_2 \rangle$, then $\widetilde{z}_1 \langle \widetilde{x}_1, \widetilde{x}_2 \rangle = \langle \widetilde{z}_1 \widetilde{x}_1, \widetilde{x}_2 \rangle = \langle \widetilde{T} \, \widetilde{x}_1, \widetilde{x}_2 \rangle = \langle \widetilde{T} \, \widetilde{x}_1, \widetilde{x}_2 \rangle = \langle \widetilde{x}_1, \widetilde{T}^* \, \widetilde{x}_2 \rangle$





Brindha and Maheswari

```
\begin{split} &=\langle \widetilde{x}_1,\overline{\widetilde{z}}_2\widetilde{x}_2\rangle \\ &=\langle \widetilde{z}_2\widetilde{x}_1,\widetilde{x}_2\rangle \\ &=\widetilde{z}_2\langle \widetilde{x}_1,\widetilde{x}_2\rangle \\ &\text{Hence, if } \widetilde{z}_1\neq \widetilde{z}_2 \text{, then } \langle \widetilde{x}_1,\widetilde{x}_2\rangle = 0. \text{ i.e. } \widetilde{x}_1\perp \widetilde{x}_2 \end{split}
```

CONCLUSION

From this paper, the concept of Fuzzy Soft Hyponormal operators (FSHN-operators) in Fuzzy Soft Hilbert spaces (FSH-spaces) has been constructed from family of operators. Some elementary properties of these operators are discussed. Moreover, some relations between FSHN-operators and Fuzzy Soft Normal operators (FSN-operators) in FSH-spaces are obtained from Fuzzy Soft Self-Adjoint (FSSA), Fuzzy Soft Unitary (FSU), invertible and unitary equivalent.

REFERENCES

- 1. N. Faried, M.S.S. Ali and H.H. Sakr, Fuzzy Soft Inner Product Spaces, Appl. Math. Inf. Sci., 2020, 14 (4). 709-720
- 2. D. Molodtsov, Soft Set Theory-First Results, Comput. Math. Appl, 1999, 37, 19-31
- T.J. Neog, D.K. Sut and G.C. Hazarika, Fuzzy Soft Topological Spaces, Int. J. Latest Trend Math., 2012,2 (1), 54-67
- 4. T. Beaula and M.M. Priyanga, A New Notion for Fuzzy Soft Normed Linear Space, ,*Int. J. Fuzzy Math. Arch*, 2015,9 (1), 81-90
- 5. T. Beaula and C. Gunaseeli, On Fuzzy Soft Metric Spaces, Malaya J. Mat., 2014, 2 (3)}, 197-202.
- 6. N. Faried, M.S.S. Ali and H.H. Sakr, Fuzzy Soft Hilbert Spaces, J. Math. Comp. Sci., 2021, 22, 142-157
- 7. N. Faried. M.S.S. Ali and H.H. Sakr, On Fuzzy Soft Linear Operators in Fuzzy Soft Hilbert Spaces, *Abst. Appl. Anal.*,2020, Article ID 5804957, 13
- 8. N. Faried, M.S.S. Ali and H.H. Sakr., Fuzzy Soft Hermitian Operators, Adv. Math. Sci. J., 2020,9 (1).73-82
- 9. N. Faried, M. Ali and H. Sakr., On FS Normal Operators, Math. Sci. Lett., 2021, 10(2), 41-46
- 10. N. Faried, M. Ali and H. Sakr, A Note on FS Isometry Operators, Math. Sci. Lett, 2021, 10(1), 1-3
- 11. PK Maji, R Biswas, A R Roy, Fuzzy Soft Set, J. Fuzzy Math, 2001, 9 (3), 677-692
- 12. N. Faried, M. Ali and H. Sakr, A Theoretical Approach on Unitary Operators on Fuzzy Soft settings, *Math. Sci. Lett.*, 2022, 11(1), 45-49
- 13. S. Dawood, A. Q. Jabur, On Fuzzy Soft Normal Operators, J. of Physics: Conference Series., 2021.
- 14. L.A. Zadeh (1965), Fuzzy sets, Information and Control, 8, 338-353.
- 15. L.A. Zadeh, Fuzzy Sets and Fuzzy Systems, Fox, J. (ed.) System theory, Polytechnic Press, Brooklyn, New York, 1965, 29-37.
- 16. PK Maji, R Biswas, A R Roy, Soft Set Theory, An International J. of Comp. & Math.with Appl.,2003,45, 555-562.





International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Blockchain **Structural Dynamics: Thorough Exploration** Α **Architectural** Configurations, Design Methodologies, Consensus Protocols, and Forking

Maitri Hingu^{1*} and Kamlendu Pandey²

Assistant Professor in Department of ICT, Computer Science and I.T. Veer Narmad South Gujarat University, Surat, Gujarat, India.

²Professor in Department of ICT, Computer Science and I.T., Veer Narmad South Gujarat University, Surat, Gujarat, India.

Received: 21 Jun 2024 Accepted: 08 Aug 2024 Revised: 03 Jul 2024

*Address for Correspondence Maitri Hingu

Assistant Professor in Department of ICT,

Computer Science and I.T. Veer Narmad South Gujarat University,

Surat, Gujarat, India.

Email: maitrikhingu@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The blocks in a blockchain are linked together in a systematic chain, and they record user transactions and other data. Blockchain's main purpose is to facilitate decentralised, peer-to-peer transactions. This innovation was developed at first to facilitate Bitcoin, the world-famous cryptocurrency. With this technology, people on a network may conduct transactions with one another in a way that is both private and visible, thanks to the use of cryptography. It's a game-changing innovation that's gaining traction thanks to digital currencies like Bitcoin (BTC) and Ether (ETH). The transaction is recorded on an immutable distributed ledger and trust is established amid previously unknown peers. The centralization of any organization's financial records makes them vulnerable to hacking and manipulation for the sake of wealth and influence. The decentralized structure of blockchain ensures that all transaction records are immune to tampering and fraud. Blockchain has great potential for improving the online transaction system, but it also has a number of security flaws. With any luck, this paper's overview of blockchain methodology, its applications, and security can help enlighten blockchain devotees and academics.

Keywords: Blockchain, Web3.0, Genesis Block, Distributed ledger (DLT), Bitcoin (BTC), Ether (ETH), Peer - to - Peer Network, Mining, Immutability, Smart Contract, Consensus, Transaction, Transaction Pool (Mem Pool), Wallet, Trust less, Public Blockchain, Private Blockchain, Consortium Blockchain, Hash Function, Hashrate, Forks, DApps, Double Spending, PoW (Proof of Work), PoS (Proof of Stack), PBFT (Practical Byzantine Fault Tolerance), Gas Points, Nonce





Maitri Hinguand Kamlendu Pandey

INTRODUCTION

Without the availability of information, the business world cannot function. The sooner it is received, the sooner it will be accurate, and the sooner it will be of higher quality. Because it delivers data that is immediate, shareable, and entirely transparent, blockchain is a useful instrument for giving information. This data is recorded on an immutable ledger, and the only users who are able to read it are those who have been granted permission to do so by the administrators of the network. Because all of the participants have the same understanding of the truth, you are able to observe all of the facts of a transaction from the very beginning to the very conclusion and everything in between. Not only does this provide you with favourable perceptions, but it also provides you with more opportunities and efficiency.

Benefits

- Users are rewarded for their mining efforts so that the network is secure.
- The incentive payments received by miners give them a disposable income that can be utilized for anything they see fit.
- People are also more likely to put money into cryptocurrencies, which contributes to their widespread adoption.

Drawbacks

- Payouts are not uniform and change from one project to the next.
- The number of awards every block and when those incentives are distributed varies between projects.
- Higher expenditures are inevitable because specialized hardware (CPU, GPU, ASIC) is needed for mining.
- The high cost of electricity used in mining typically results in a loss for miners rather than a profit.

BLOCKCHAIN TECHNOLOGY

One of the first blocks on a blockchain is called the genesis block, and it serves as the basis from which all subsequent blocks are constructed. In most cases, it is created or hardcoded into the source code of the blockchain. It is distinguished by its unique qualities as the first block, as it does not have a predecessor. A timestamp, a nonce, and a special message could be comprised of the data contained within. Because it marks the beginning of the blockchain's existence, the genesis block is significant from a symbolic standpoint. Additionally, the qualities of the genesis block establish the tone for the entire chain, influencing aspects such as immutability, security, and cultural significance. The genesis block of Bitcoin, which was mined by Satoshi Nakamoto in 2009, is a remarkable example. It contains a transaction on coinbase that refers to a headline from The Times newspaper. (Please have glance at figure 1 & 2 for better understanding.) Due to the fact that it is decentralized and cannot be altered, blockchain technology makes the process of recording business transactions and monitoring corporate assets much simpler. In addition to tangible assets (such a house, a car, cash, or land), there are also intangible assets (including intellectual property, patents, copyrights, and branding) that exist. Goodwill is an example of an intangible asset. It is possible to document and trade virtually anything on a blockchain network, which will reduce the amount of ambiguity and save money for people who are currently participating.

Terminology in Blockchain

Begin to think of a blockchain as a computer - all you have to do now is adapt the terminology and comprehend that there are thousands of computers running across the world.

Peer-to-Peer Network

Often abbreviated to "P2P," a peer-to-peer network (P2N) is a decentralized network communications paradigm in which a set of devices (nodes) store and distribute files collectively, with each node acting as an independent "peer."





Maitri Hingu and Kamlendu Pandey

Nodes

The blockchain is not a central server but rather a distributed network of computers around the world that work together to verify transactions and store data. Nodes and validators are the individual computers that are rewarded for their participation in the network with tokens that are unique to the network.

Identity

An individual's private key is used to identify them throughout the blockchain. Due to the fact that this pertains to the vast majority of transactions, it is of the utmost importance to be aware that the person sending it is given permission to do so. For the purpose of ensuring that this conclusion occurs, elliptic cryptography is being utilised. One of the components of the key pair that is specific to each user is a private key, while the other component is a public key. Each and every transaction is digitally signed using the user's private key, which is something that the user and only the user can access using their private key. After the signature has been created, anyone can verify this signature by simply glancing at the user's public key; they do not need to be aware of the user's private key in order to do so.

State

Data are known as states. The blockchain functions as a data storage system, similar to how a computer saves documents like Word, Excel, and PowerPoint. The total amount of information stored in a blockchain is referred to as the blockchain's "state." Each node in the network has access to this information since it serves as a standard layer of state. That's one key way in which a blockchain stands apart from the web: Everyone has access to the same global state, much like you have access to all the files on your computer.

Fungible Token

Tokens in a fungible cryptocurrency are digital coins or tokens that share all the characteristics of traditional fungible assets. This means that these crypto tokens must be fungible, divisible, and have a fixed market price. Tokens that can be easily split into smaller parts and exchanged between users shouldn't be hard to value or use in a cryptocurrency trading environment. Fungible coins can be bought and sold at any exchange or on any coin price aggregator website. Example: Bitcoin, Ethereum, and Dogecoin.

Non-Fungible Token

The information contained in an NFT is irreversible and indivisible. As a result, many individuals compare NFTs to intellectual property (IP) rights. In contrast to fungible assets, NFTs are always created on a smart contract blockchain, such as Ethereum. While fungible crypto can be built on top of an existing blockchain, many fungible tokens and currencies are blockchain-native. Example: Litecoin.

Smart contracts

Computer Programs are known as smart contracts in blockchain. The data included on a blockchain can be altered in the same ways that files on your computer can be opened, modified, and deleted. This is accomplished mostly through the use of several programs on your own computer, such as a text editor or an image processing program. In the blockchain, the name most frequently given to computer programs is "smart contracts." There are blockchains that can work without smart contracts, however blockchains that have the functionality of smart contracts can typically accommodate considerably more complex use cases.

Wallets

Wallets are sometimes referred to as accessibility points and identity managers. Transactions are sent through a wallet, which is a programme that maintains a user's private key and can function as an access point to the blockchain. This allows transactions to be submitted directly from the wallet. The vast majority of user transactions are sent through the usage of a wallet. Transactions can be sent through the usage of wallets. The user does not have to physically insert and manage the private key while using a wallet, which is one of the benefits of utilising such a





Maitri Hinguand Kamlendu Pandey

device. Wallets are becoming increasingly advantageous. Wallets are available in a broad variety of formats, including software, hardware, and even paper-based variants. Other types include electronic wallets.

Transactions

User actions are known as transactions. It is necessary to broadcast a transaction to the network in order to put into action a feature of a piece of software (also known as a method of a smart contract). To the same extent that apps running on the internet are susceptible to spamming, so are blockchain networks. The majority of the time, this takes the form of an attacker flooding the network with an infinite number of transaction requests, so exceeding its capacity. In most cases, a transaction cost, also known as Gas, is attached to each and every transaction. This is done in an effort to prevent the occurrence of the scenario described above. This is a protection measure to prevent spamming of the network. Blockchain is a distributed immutable database that operates in a peer-to-peer connected network. Because of its immutability, it is trustworthy among the nodes inside the network [1], [2]. Using cryptographic proof, blockchain technology has the potential to give trustworthiness [1], [2]. Directly sending and receiving money or any other digital item is possible between the sender and the receiver, without the requirement for a third party to be involved [1], [2]. Users can be protected from the attack of double spending, whether they do so consciously or unknowingly, thanks to blockchain technology. All transactions are stored in chronological order, as stated in references [1], [2]. They proposed a peer-to-peer network that would make use of proof-of-work in order to record a public history of transactions in [1], [2]. Due to the fact that it would be computationally impractical for an adversary to alter this history, it would be impossible for them to do so if honest nodes held the majority of the CPU power [1], [2]. There is a problem with the fact that the receiver is unable to verify that one of the owners had not engaged in double spending [1], [2]. It is necessary for us to have a means by which the receiver can be told that the previous owners did not sign any transactions that took place in the past. Since the oldest transaction is the one that matters, we do not care about any subsequent attempts to double-spend because the earliest transaction is the one that matters. Awareness of all of the transactions that have taken place is the only way to confirm that there was no transaction. This is the only method that may be used. In order to accomplish this without the assistance of a reliable third party, the transactions need to be made known to the general public. Furthermore, we seek a system that enables participants to achieve a consensus on a single history of the order in which they were received at the same time. At the time of each transaction, the payee is obliged to give evidence that the majority of nodes concurred that the transaction was the first received. This proof must be presented. In [1]-[3], they have steps to run the network are as follows:

- Step 1: New transactions are broadcast to all nodes
- Step 2: Each node collects new transactions into a block
- Step 3: Each node works on finding a difficult proof-of-work for its block
- Step 4: When a node finds a proof-of-work, it broadcasts the block to all nodes
- Step 5: Nodes accept the block only if all transactions in it are valid and not already spent
- **Step 6:** Nodes express their acceptance of the block by working on creating the next block in the chain, using the hash of the accepted block as the previous hash (Refer figure 3 for pictorial demonstration of above steps.) When a new block is introduced to the chain, the consensus protocol has the responsibility of ensuring that all of the nodes in the Blockchain agree with the same version of the events. Consensus, collaboration, cooperation, equal rights for all nodes, and mandated involvement from all nodes are all aims of the Blockchain consensus protocol. Additionally, the protocol requires that all nodes involve themselves. Because of this, the objective of a consensus algorithm is to arrive at a solution that is advantageous to all of the participants in the network.

PoW (Proof of Work)

Proof-of-work consensus is utilized by the majority of cryptocurrencies. Algorithms are responsible for validating transactions and building blocks on the blockchain. The Proof of Work (PoW) idea established by Cynthia Dwork and Moni Naor in 1993 was utilized in the Bitcoin paper written by Satoshi Nakamoto in 2008. It is a solution that is difficult to locate yet simple to verify. Bitcoin, Ethereum, and Litecoin all use Proof-of-Work. How it works?

• The new block is validated and posted to the blockchain.





Maitri Hingu and Kamlendu Pandey

- The longest block chain will receive the block.
- Miners solve a tough mathematical challenge to add the block to the network, ergo Proof-of-Work.
- Time complicates the mathematical difficulty.

PoS (Proof of Stake)

PoW alternative that is used the most frequently. Consensus on Ethereum is now based on PoS. Validators invest part of their coins in this consensus process rather than purchasing expensive technology in order to find a solution to a difficult problem. Following that, each and every validator will validate the blocks. In order to determine whether or not a block can be added to the chain, validators will gamble on it. The amount of compensation that validators receive is proportional to the amount of money they wager on the Blockchain's actual blocks. It is determined by the validator's economic stake in the network which validator will be chosen to generate a new block. Incentives for validators to come to an agreement are provided by PoS.

PBFT (Practical Byzantine Fault Tolerance)

A consensus technique known as Practical Byzantine Fault Tolerance was initially presented by Barbara Liskov and Miquel Castro in the latter part of the 1990s. The PBFT algorithm was designed to handle asynchronous systems, which have no maximum limit on response time. It reduces the amount of overhead. The existing Byzantine Fault Tolerance methods were improved in a number of ways as a result of this. In the event that certain nodes in a distributed network fail to respond or respond with erroneous information, Byzantine Fault Tolerance (BFT) makes it possible for the network to establish a consensus. In order to reduce the influence of defective nodes and prevent system breakdowns, BFT processes make use of communal decision making, which includes both right and faulty nodes. The BFT was inspired by the Byzantine Generals' Problem. A list of blocks, which can be thought of as a public ledger, is where all of the transactions that have been committed are stored [15]. Blockchain technology makes use of consensus methods in order to maintain data consistency over a distributed network [15] with great success. A public blockchain is characterized by the fact that records are maintained on a large number of participants, making it extremely difficult to manipulate transactions on such a blockchain [15]. A comprehensive analysis is conducted in this paper [16] to assess the effectiveness of the consensus algorithms utilized by the Ethereum and Hyperledger Fabric private blockchain platforms. The technology that is fundamental to the cryptocurrency system that bitcoin represents is referred to as blockchain technology [16]. In this paper, the performance of consensus algorithms in private blockchains is analyzed, with a particular focus on the consensus layer [16].

The Proof-of-Work (PoW) protocol of Ethereum is routinely outperformed by the Proof-of-Facility (PBFT) protocol of Hyperledger Fabric in terms of latency and throughput of transactions. There are a number of significant supporting technologies, including peer-to-peer networks, asymmetric encryption, distributed data storage, consensus methods, and smart contracts [16]. First and foremost, we must resolve the various security concerns that have been raised by the widespread adoption of blockchain networks, particularly private blockchain networks, which are frequently adopted by businesses and other large organizations [17]. Blockchain is a distributed ledger that runs on a decentralized, peer-to-peer network. Despite a set of people having control over the hybrid blockchain, all transactions remain encrypted [17]. Any attempt to modify a data block will result in a new hash value being generated [17]. In blockchain technology, hashing a block consumes significant processing time [17]. An efficient and low-cost blockchain network would be the result of efforts to design a better consensus algorithm [17]. Hyperledger Fabric, Quorum, Ethereum, MultiChain, and R3 Corda were the five primary systems mentioned in order to compile and highlight the distinctions between them in one study [18].ed by distributed architectures that divide jobs or workloads among peers without the use of a reliable third party. The miners are in charge of determining if the sender and receiver successfully delivered the message [8]. They utilize a static game model to show how secure their incentive mechanism is. They suggest a safe method of validating transactions that is used by Bitcoin's miners. The security of their incentive system is ensured by a pricing method that is suggested [8]. There is a consistent belief across nodes that the chain with the longest length is the correct one, and they will continue to work towards extending it [1]-[3]. In the event that two nodes concurrently broadcast distinct versions of the subsequent block, it is





Maitri Hingu and Kamlendu Pandey

possible for certain nodes to receive either one or the other version first [1]-[3]. In such a scenario, they focus their efforts on the first branch that they have been given, while preserving the other branch in the event that it becomes longer [1]-[3]. When the next proof-of-work is discovered, the tie will be broken, and one of the branches will become longer. The nodes that were working on the other branch will then move to the longer branch [1]-[3]. Nodes have the ability to quit and rejoin the network at their own discretion, and they are able to accept the proof-of-work chain as evidence of what occurred while they were absent [1], [2]. By utilising their central processing unit (CPU) power, they cast their vote, indicating their approval of legitimate blocks by trying to extend them, while simultaneously rejecting invalid blocks [1], [2]. Using a peer-to-peer distributed timestamp server, they suggest a solution to the double-spending problem in [1], [2]. This system is intended to generate computational verification of the chronological sequence of transactions. The implementation of the proof-of-work is carried out by increasing a nonce within the block until a value is discovered that provides the block's hash with the necessary zero bits [1], [2]. A decentralized ledger or data structure is referred to as blockchain. Each block is built on top of the one before it, and the latter's nonce and signature are used as a key to enter the block. Miners can easily estimate a random string or nonce in order to tamper with a block in a Public Blockchain just by knowing the signature [4]. It is difficult to tamper with the details of the transactions or events once they have been fed into the Blockchain [4]. Bitcoin was conceived as a way to create a safe currency with no centralized authority. The financial technology (FinTech) industry regards bitcoin blockchain technologies, also known as distributed-ledger technology, as having a lot of potential (DLT) [5].

Advances in blockchain protocol development are bringing us closer to realizing goals that only a few years ago appeared impossible. The miner must solve this puzzle in order to construct a block, which consumes both operational and capital resources [5]. Due to the difficulty of setting the parameter, Network coded-distributed storage is not trivially applicable to blockchain [6]. To solve the bloating issue, they propose network coded-based distributed storage as the storage structure for blockchain. Because the parameter is challenging to set, a trivial application of NC-DS to blockchain is challenging [6]. One can explore previous blockchain transactions and observe all transactions connected to a specific public key once it has been paired with a person's identification [7]. They suggest a pricing strategy for the secure Bitcoin system to protect against selfish user behavior and prevent collusion assaults, as well as a secure validation method carried out by the miners in the Bitcoin system rather than a reliable third party [8]. Peer-to-peer (P2P) applications are characterized, Through access to any node in the dispersed network, users can confirm and trace the previous records [9]. A similar blockchain-based approach was put forth to securely disseminate sensitive data in a decentralized fashion [9]. Both the business world and the academic community have given cryptocurrency a lot of attention [9]. As long as the proportion of flawed nodes in the Unique Node List is less than 20%, the ledger will continue to be accurate [9].

There are many different industries that are beginning to adopt blockchain technology or are considering implementing it in order to facilitate their operations. The goal of these industries is to get a competitive edge by streamlining procedures, boosting security and data sharing, increasing productivity, and cutting costs [10]. By establishing new advanced features for the business and industrial world, blockchain technology enables new possibilities [10]. It serves as the primary facilitator of the Internet of Transactions, which is essential for the functionality of a wide variety of industrial applications. Specific open protocols and standards that have been developed by individuals are incorporated into Hyperledger [10]. The applications that are emerging include those in the fields of finance, energy, logistics, healthcare, and manufacturing [10]. Among the major enablers for the utilization of blockchain technology in these applications, the rise of digital identities, distributed security, smart contracts, and micro-metering are among the most important drivers [10]. A machine learning method is provided by them for the purpose of identifying intelligent Ponzi activities [11]. It has been suggested that blockchain technology is a disruptive technology that will bring about changes in a variety of different industries [11]. In order to develop an efficient model for detecting smart Ponzi schemes without the need for source code, it is necessary for them to have a sufficient number of smart contracts that contain labels and features that are effective and can be obtained without the need for source code [11]. Using the etherscan.io platform, they gathered all of the open source code smart contracts that were created prior to September 7, 2017, and then personally examined them to determine whether or not they were Ponzi scheme contracts [11]. The goal of this work was to detect sophisticated Ponzi





Maitri Hinguand Kamlendu Pandey

schemes utilizing bytecodes, utilizing machine learning and data mining approaches [11]. Upon careful observation, it is important to note that the properties of the code are extracted without the source code [11]. The proposed model can be applied to any contract at the moment of its inception [11]. Concerning the trust crisis conundrum, dealing with reliable third parties can be expensive or involve security hazards [12]. They offer a taxonomy on the subject of blockchain smart contract security verification [12]. Programming correctness and formal verification are two subcategories of the correctness verification component [12]. They go over how to create trustworthy smart contracts from the perspectives of formal verification and programming correctness [12]. Future study will focus on developing programming standards for smart contracts, creating a set of development procedures for smart contracts, and raising programmers' security awareness [12]. Through a comparative survey study, the blockchain's potential uses, advantages, and drawbacks are discussed [13]. Blockchain, in contrast to conventional systems, enables direct peer-to-peer transfers of digital assets [13]. Blockchain can be used to promote secure and privacymaintained consumption monitoring and energy trade in smart grids with bidirectional communication flow [13]. A scalability issue with Bitcoin can be attributed to the limited size and frequency of the blocks as well as the volume of transactions the network can handle [13]. Takeaways and Reflections This section has covered some of the more fundamental problems that may arise when working with blockchain technology, such as the ambiguous terminology that is still used by some regulatory agencies [14]. Based on the findings of numerous investigations, this article analyses the prevalent security assaults on blockchain technologies and their vulnerabilities [14]. The liveness attack, which lengthens the time it takes for a transaction to be confirmed, the double-spending attack, which creates duplicate funds, and the private Key security assault are all dealt with [14].

CURRENT RESEARCH IN BLOCKCHAIN

Blockchain Consensus Algorithms

In essence, Blockchain is a distributed ledger that is immutable, private, secure, and transparent. It also functions without the need for a central authority to oversee its operations. Despite the fact that there is no centralized authority that verifies the authenticity of the transactions that take place on the Blockchain, each and every one of them is accepted at face value. It is possible to accomplish this thanks to the consensus process, which is a key component of any blockchain. In a Blockchain network, it is necessary for all of the nodes to reach a consensus over the current state of the distributed ledger. This process is referred to as a consensus algorithm. Consensus methods are required in order to ensure that the Blockchain network operates in a dependable manner and to facilitate the establishment of trust between peers who were not previously acquainted with one another in a distributed computing environment. In terms of consensus procedures or fundamental money, each framework is a little bit unique [18]. Using data collected from published experiments, they evaluated the structures according to a number of parameters [18]. Latest versions of these platforms will be implemented, and they will be compared experimentally using various benchmarking tools. Major private blockchain frameworks and their features are outlined [18]. They acknowledge the following restrictions but nonetheless find our comparative analysis to be realistic [18]. Without completing a thorough study, the offered analysis can be utilized to select 2 frameworks for testing in their respective settings/environments [18]. They did a series of experiments to back up their theoretical model and found that it accurately predicted transaction latencies on average [19]. In this research, they provide a theoretical analysis approach for investigating Fabric's blockchain system's latency performance. They ran a battery of studies to back up the accuracy of these theoretical models [19]. The outcomes demonstrated the model's ability to accurately forecast the typical delay of a transaction. As a new technology, blockchain has been heralded for its potential to boost numerous industries' credibility, trustworthiness, and safety [19]. The research team led by Thakkar et al. (2018) tested Fabric V1.0 extensively to determine its performance [19]. Theoretical modelling determined the typical delay in reaching a PBFT consensus and identified some possible bottlenecks in performance [19].

Blockchain Security

A comprehensive risk management solution for blockchain networks, blockchain security comprises assurance services, cybersecurity guidelines, and best - practice to reduce the likelihood of fraud and cyberattacks. Due to their foundation in consensus, cryptography, and decentralization, the data structures proposed by blockchain technology





Maitri Hinguand Kamlendu Pandey

inherently possess high levels of security. Information is nearly impossible to alter as it is added to the network because of the interconnectivity of its constituent blocks. Each transaction in a block is also verified and accepted by a consensus mechanism (approved users), ensuring that they are all legitimate and accurate. There is no single point of failure and no way for an individual to alter past transactions.

Private Blockchains

In order to participate in a private blockchain network, users must first be invited to join. Either the network's central administrator or initiator, or a predetermined set of rules, is responsible for validating users. Private blockchains are often implemented as permissioned networks in businesses. Permissioned networks restrict both the number of users and the types of transactions each user is allowed to make. Either an invitation or permission is required for members to join. A "Proof-of- Authority" (PoA) consensus mechanism is commonplace in private blockchains, which are often used in internal, business-secure contexts to handle activities like access, authentication, and record keeping. In most cases, the details of a transaction will remain confidential.

Public Blockchains

Blockchains that are accessible to the public, promotes openness and trustworthiness. Since the software is open-source and freely accessible to the public, anyone can take part in "decentralized" transaction consensus and validate network transactions (e.g., Bitcoin and Ethereum). Public blockchain networks are distinguished by its core feature, decentralization, achieved through crypto-economics, which is designed to guarantee collaboration across the distributed network. As a result, there is no single point of failure in the network's infrastructure or the underlying software in a public blockchain. The degree to which a blockchain is decentralized is contingent on factors such as the rules by which it is governed, the security of its cryptographic "private keys," and the incentives it offers its participants. Think about "data mining," wherein users earn cryptocurrency by verifying transactions. This incentive encourages others to join the network and help verify transactions. Who writes the code, who may participate in the consensus mechanism, and who has access to the community governance activities that keep the network running are all aspects of governance that need to be considered. Proof-of-Work (PoW) and Proof-of-Stake (PoS) are the two most used consensus mechanisms for public blockchains (PoS). There is a major distinction between public and private blockchains in terms of who can join and validate transactions.

Consortium Blockchains

In any case, there's a third possibility worth considering: consortium blockchains. Consortium blockchains are blockchain networks in which all of the nodes have been vetted and approved by a central authority. This "semi-permissioned" method permits some degree of control over a dispersed or partially decentralized network. Coincidentally, consortium blockchains allow for the privacy of transaction data.

The consortium blockchain can use Proof-of-Work (PoW), Proof-of-Authority (PoA), or Proof-of-Stake to obtain consensus (PoS). And there are more options, such as delegated proof-of-stake. Consortium blockchains work best in environments with a high degree of trust, such as in financial transactions, supply chain management, or the Internet of Things (IoT). Blockchain systems are extremely good at avoiding objective information fraud, such as loan application fraud, because the fake information is based on facts which will not match with existing data on blockchain [20].

Blockchain Rewards and Earnings

Miners receive block rewards for transaction approval. Mining a block yields it. Cryptocurrencies mine new currency and verify transactions.

Block rewards have two parts;

Subsidy: It's the main reward. New coins created.





Maitri Hinguand Kamlendu Pandey

Fees

Block transactions also pay fees. Users are rewarded for solving challenging mathematical problems. Bitcoin users receive bitcoins upon transaction verification. After four years or 210000 blocks, the award is halved. To boost bitcoin value and demand. Rewards vary. Because miners' profit from mining, the system is popular.

How rewards are calculated?

Let's pretend a customer has to make a purchase. For this particular deal, a "block" is generated. Every user receives the block at the same time. The customers guarantee the authenticity of the deal. If a deal goes through, the users who had a hand in making it will receive rewards. Incentives can be distributed more fairly with the use of protocols. After authentication is accomplished, the database is updated and the deal is finalized. It's important to remember that the award is not constant because it varies depending on the project. The block reward is based on a number of variables, including the overall supply of cryptocurrency, the average production time of crypto assets, and transaction fees.

Blockchain Forking

One way to "fork" a blockchain is to create a copy of the code and then modify it in order to create a new piece of software or product through the process of "forking." Creating a "fork" of an open-source project is a common and extensively observed practice that has gained widespread popularity. Open-source, distributed ledger technology is the foundation upon which cryptocurrencies such as Bitcoin and Ethereum are constructed. This means that everyone has the ability to make modifications to the protocol. Due to the fact that they are open-source projects controlled by the community, they are dependent on users to enhance the dependability and safety of the software. One additional advantage of open source software is that it can be forked in order to enhance the user interface. This feature makes the software more interesting and appealing to a larger audience. People are able to see, modify, or otherwise alter the code in an open source environment without the fear of punishment from the original creator. This is because the code is freely available to everyone. Generally speaking, there are two types of forks: those that occur in the codebase and those that occur in the live blockchain. Live Blockchain Forks are further subdivided into Accidental Forks and Intentional Forks, and then Intentional Forks are further subdivided into Soft Forks and Hard Forks.

Codebase Fork

This entire code of the present blockchain is replicated and adjusted in order to reduce the amount of time required to build blocks. Additionally, some significant modifications were made, and a faster software was developed in comparison to the existing blockchain. This software was then launched as a new whole program that was labelled against you. In this way, a new blockchain is created when the ledger is empty. By forking the code of Bitcoin and making some minor tweaks, a significant number of the ALT COINS that are currently on the blockchain were produced.

Live Blockchain Fork

It is important to note that the term "Live Blockchain Fork" does not relate to a particular kind of fork; rather, it describes the occurrence of a split in a blockchain network that is currently functioning and actively processing transactions. In the context of a live blockchain fork, the term "live" refers to the fact that the fork is occurring in real time and has an impact on the blockchain's capability to continue functioning normally. When a live blockchain fork takes place, it indicates that there is a split in the blockchain, either temporary or permanent, and that two or more copies of the chain are being actively maintained and updated.

Accidental Fork/Temporary Fork

Forks that arise accidentally as a result of network delay or synchronization issues leading to momentary divergences are referred to as forks. It was neither intended or begun by the developers, hence it was unintentional. Resolves itself when the network converges on a single chain, making it both temporary and permanent. popular in Proof-of-Work: This type of consensus technique is also more popular in proof-of-work systems.





Maitri Hingu and Kamlendu Pandey

Intentional Fork

Intentional or planned forks are planned and executed with a specific purpose in mind. They are begun on purpose by developers or members of the community with the objective of implementing upgrades, improvements, or changes to the protocol. Regarding the impending fork, developers and the community typically communicate with one another a significant amount of time in advance. In order to prevent contentious situations, it is often necessary to have widespread consensus. So intentional fork has two types:

Soft Fork

To provide a definition, a soft fork is an upgrade to the blockchain protocol that is compatible with previous versions. It makes the restrictions more stringent, rendering blocks that were previously valid invalid. Even though they have not updated, nodes that have not upgraded can still interact with upgraded nodes without causing the network to completely split apart. It enables upgraded nodes to coexist alongside non-upgraded nodes inside the same network. Soft forks are reversible, meaning that they can be undone if the network itself decides to go back to the previous regulations. The term "consensus rule changes" refers to modifications that are more stringent than the regulations that are now in place.

Hard Fork

According to the definition, a hard fork is a permanent divergence in the blockchain that results in two distinct chains that are incompatible with one another. It requires making modifications to the protocol that are not compatible with previous versions of the protocol. This means that nodes who do not upgrade will regard the new blocks to be invalid. Hard forks are irreversible, meaning that once they occur, the split is irreversible. Additionally, hard forks frequently bring about the introduction of new features, rules, or upgrades. A large number of parties must reach a consensus in order for the implementation to be successful.

Why we need blockchain forking?

In the process of developing software, forking is an extremely important component that serves a variety of important functions. It makes it possible for developers to work together independently on features or bug fixes without having an effect on the primary codebase, which helps to cultivate an environment that is both flexible and parallel in nature. When it comes to open source contributions, forking is especially useful because it enables developers to create their own versions, test modifications, and submit pull requests for evaluation and inclusion in the original project. Forking also allows for experimentation, which means that it provides an area where modifications can be tested before they are merged into the parent repository. When it comes to maintaining personalized versions of projects that are adapted to their particular requirements, developers also make use of forks. The idea is frequently utilized for the purpose of providing new features and performing bug fixes, both of which contribute to the overall development of a project when implemented. A transparent history of changes and individual contributions is provided via forks, which contribute to successful version control. In addition to this, they make decentralized development easier to do, which enables many teams or developers to separately work on various areas of a project. Additionally, forking serves as a risk mitigation approach, acting as a safety net in the event that disagreements arise or the project is potentially abandoned. In [21], examines the state-of-the-art in blockchain technology and introduces decentralized applications. The only way for current blockchain systems to upgrade is through a hard fork because of the P2P consensus model [21]. Recent efforts have been made to enhance the functionality of existing blockchains with the potential for anonymity [21]. A blockchain is a continuously expanding chain of blocks, each of which contains a cryptographic hash of the one before it, a time stamp, and the data it delivered [21]. The blockchain infrastructure must be able to accommodate millions of daily active users in order to support contemporary web-based systems like social networks, massively multiplayer online games, and online retail malls [21]. Response times from each network node affect a DApp's sequential performance [21].





Maitri Hingu and Kamlendu Pandey

DISCUSSION

The complex structural features of blockchain technology highlight its significance as a revolutionary invention in the digital era. The decentralized and cryptographic underpinning of blockchain architecture provides a strong platform for secure and transparent transactions. When analyzing the basic elements of blockchain, such as blocks and chains, we observe how data integrity and immutability are preserved through cryptographic hashes, effectively preventing tampering without being detected. Consensus mechanisms, a crucial element of blockchain structure, have seen substantial development since the creation of Bitcoin's Proof of Work (PoW). Although Proof of Work (PoW) has proven the practicality of decentralized consensus, it frequently faces criticism because to its substantial energy consumption. The adoption of Proof of Stake (PoS) and other consensus algorithms, such as Delegated Proof of Stake (DPoS), Practical Byzantine Fault Tolerance (PBFT), and emerging innovations like Proof of Authority (PoA), demonstrates the continuous endeavors to enhance the efficiency, scalability, and security of blockchain networks. Every consensus process possesses distinct advantages and drawbacks, which have an impact on the structure and capabilities of different blockchain systems. The issue of scalability continues to be a significant obstacle for blockchain technology. As blockchain networks expand, there is a greater need for computational capacity and storage, which can result in possible obstacles. Layer 1 techniques, like as sharding, seek to partition the network into smaller, more controllable segments, thus enhancing transaction throughput. Layer 2 technologies, including as state channels and sidechains, provide alternative methods to improve scalability by handling transactions outside of the main blockchain while still ensuring security and trust. These technological improvements are essential for empowering blockchain to effectively manage the requirements of extensive applications and universal acceptance. The security of blockchain technology has both positive and negative aspects. On one side, the cryptographic concepts that form the foundation of blockchain technology offer strong safeguards against unwanted alterations. However, the decentralized structure of blockchain allows for potential exploitation of vulnerabilities in smart contracts or consensus processes if they are not properly handled. The continuous advancement of formal verification approaches and new cryptographic techniques seeks to enhance the security of blockchain systems, guaranteeing their ability to endure intricate attacks.

CONCLUSION

The purpose of this survey paper was to investigate the various aspects of blockchain technology, including its history, its current state, and the possible developments that could occur in the future. The adaptability and transformative potential of this decentralized technology has been brought to light through the exhaustive investigation of a variety of blockchain platforms, consensus processes, and applications in the real world. Through the course of this research, a thorough analysis of the existing literature concerning the numerous applications of blockchain technology was carried out. Even though it has only been ten years since the blockchain technology was first developed, businesses are constantly looking for methods to adopt it in order to better their operations. Because of the increasing amount of digital information that we encounter in our everyday lives, there is a growing demand for blockchain technology that is capable of securing, accessing, transparently recording, and accurately recording data. Blockchain engineers and specialists continue to be in short supply on the job market, despite the fact that the technology is making significant progress. There will be a return on investment in the Blockchain technology in the future. The time has come to familiarize oneself with the technology behind blockchain-based transactions. The usage of blockchain technology will become more widespread in the years to come, and the advantages that results from its implementation will encourage businesses and organizations from all over the world to make investments in the technology. Despite the fact that blockchain technology is still in its infancy, it is expected to encounter widespread adoption from a variety of industries all over the world in the not too distant future. As a result of our investigation, it is clear that blockchain technology has moved beyond its initial link with cryptocurrencies and has expanded into other fields, including supply chain management, healthcare, banking, and other areas. The literature that was reviewed highlights the robustness, transparency, and security improvements that blockchain technology delivers to





Maitri Hinguand Kamlendu Pandey

a variety of different businesses. In addition, the difficulties and constraints that were discovered in this survey shed light on crucial areas that require further investigation and invention in the months to come. There are still important obstacles that the blockchain community needs to work together to overcome in order to realize the full potential of this ground-breaking technology. These obstacles include scalability, interoperability, and regulatory frameworks. As we make our way through the ever-changing world of blockchain technology, it is becoming increasingly apparent that collaboration between researchers, developers, policymakers, and industry stakeholders is very necessary. The only way we will be able to overcome the obstacles, improve the solutions that are already in place, and pioneer new applications that will lead to the development of decentralized systems in the future is through collaborative efforts. In conclusion, this study is an invaluable resource for academics, industry professionals, and enthusiasts who are interested in gaining a comprehensive grasp of the blockchain ecosystem. Allow this poll to serve as a compass for us as we move on with the next phase of blockchain innovation. It will inspire further exploration and advancements that will definitely reimagine the ways in which we communicate with one another, do business, and trust one another in the digital world. The voyage of blockchain is not even close to being finished, and as we consider the thoughts that have been offered in this article, we expect that this revolutionary technology will have a future that is both exciting and transformative.

REFERENCES

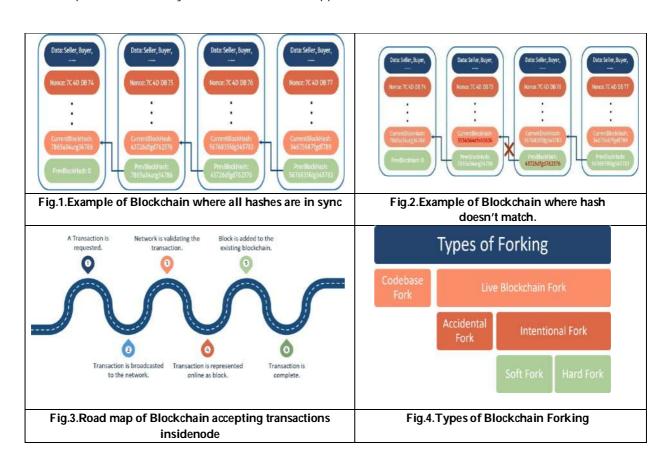
- 1. S. Nakamoto, "Bitcoin: A peer-to-peer electronic cash system," 2008. [Online]. Available: https://bitcoin.org/en/bitcoin-paper
- 2. S. Nakamoto, "Bitcoin: A peer-to-peer electronic cash system," Cryptography Mailing list at https://metzdowd.com, 03 2009.
- 3. B. MarinkoviC´, P. Glavan, Z. OgnjanoviC´, and T. Studer, "A temporal epistemic logic with a non-rigid set of agents for analyzing the blockchain protocol," Journal of Logic and Computation, vol. 29, no. 5, pp. 803–830, 04 2019. [Online]. Available: https://doi.org/10.1093/logcom/exz007
- 4. R. Chatterjee and R. Chatterjee, "An overview of the emerging technology: Blockchain," in 2017 3rd International Conference on Computational Intelligence and Networks (CINE). IEEE, 2017, pp. 126–127.
- 5. Eyal, "Blockchain technology: Transforming libertarian cryptocurrency dreams to finance and banking realities," Computer, vol. 50, no. 9, pp. 38–49, 2017.
- 6. M. Dai, S. Zhang, H. Wang, and S. Jin, "A low storage room requirement framework for distributed ledger in blockchain," IEEE access, vol. 6, pp. 22 970–22 975, 2018.
- 7. D. He, K.-K. R. Choo, N. Kumar, and A. Castiglione, "leee access special section editorial: Research challenges and opportunities in security and privacy of blockchain technologies," IEEE Access, vol. 6, pp. 72 033–72 036, 2018.
- 8. Y. He, H. Li, X. Cheng, Y. Liu, C. Yang, and L. Sun, "A blockchain based truthful incentive mechanism for distributed p2p applications," IEEE access, vol. 6, pp. 27 324–27 335, 2018.
- 9. Z. Zheng, S. Xie, H.-N. Dai, X. Chen, and H. Wang, "Blockchain challenges and opportunities: A survey," International journal of web and grid services, vol. 14, no. 4, pp. 352–375, 2018.
- 10. J. Al-Jaroodi and N. Mohamed, "Blockchain in industries: A survey," IEEE Access, vol. 7, pp. 36 500–36 515, 2019.
- 11. W. Chen, Z. Zheng, E. C.-H. Ngai, P. Zheng, and Y. Zhou, "Exploiting blockchain data to detect smart ponzi schemes on ethereum," IEEE Access, vol. 7, pp. 37 575–37 586, 2019.
- 12. J. Liu and Z. Liu, "A survey on security verification of blockchain smart contracts," IEEE Access, vol. 7, pp. 77 894–77 904, 2019.
- 13. A. Monrat, O. Schele'n, and K. Andersson, "A survey of blockchain from the perspectives of applications, challenges, and opportunities," IEEE Access, vol. 7, pp. 117 134–117 151, 2019.
- 14. S. Singh, A. S. Hosen, and B. Yoon, "Blockchain security attacks, challenges, and solutions for the future distributed iot network," IEEE Access, vol. 9, pp. 13 938–13 959, 2021.





Maitri Hinguand Kamlendu Pandey

- 15. Z. Zheng, S. Xie, H. Dai, X. Chen, and H. Wang, "An overview of blockchain technology: Architecture, consensus, and future trends," in 2017 IEEE international congress on big data (BigData congress). IEEE, 2017, pp. 557–564.
- 16. Y. Hao, Y. Li, X. Dong, L. Fang, and P. Chen, "Performance analysis of consensus algorithm in private blockchain," in 2018 IEEE Intelligent Vehicles Symposium (IV). IEEE, 2018, pp. 280–285.
- 17. T. H. Pranto, A. A. Noman, M. Rahaman, A. B. Haque, A. N. Islam, and R. M. Rahman, "A blockchain, smart contract and data mining based approach toward the betterment of e-commerce," Cybernetics and Systems, vol. 53, no. 5, pp. 443–467, 2022.
- 18. J. Polge, J. Robert, and Y. Le Traon, "Permissioned blockchain frameworks in the industry: A comparison," lct Express, vol. 7, no. 2, pp. 229–233, 2021.
- 19. X. Xu, G. Sun, L. Luo, H. Cao, H. Yu, and A. V. Vasilakos, "Latency performance modeling and analysis for hyperledger fabric blockchain network," Information Processing & Management, vol. 58, no. 1, p. 102436, 2021.
- 20. Y. Cai and D. Zhu, "Fraud detections for online businesses: a perspective from blockchain technology," Financial Innovation, vol. 2, pp. 1–10, 2016.
- 21. W. Cai, Z. Wang, J. B. Ernst, Z. Hong, C. Feng, and V. C. Leung, "Decentralized applications: The blockchain-empowered software system," IEEE access, vol. 6, pp. 53 019–53 033, 2018.







RESEARCH ARTICLE

Growth, Thermal, Optical, Photoluminescence and cv Studies of Thiourea - Doped Vanadyl Sulphate (TVS) Single Crystal

S.Amirsha Evangelin Kezia¹, N.Balasundari^{2*}, A. Arulgnanam³ and K.Parvathi⁴

¹Research Scholar, (Register No: 21111272132008), Department of Physics and Research Centre, St. John's College, Palayamkottai, (Affiliated to Manonmaniam Sundaranar University, Tirunelveli) Tamil Nadu, India.

²Assistant Professor, Department of Physics, Sri K.G.S. Arts College, Srivaikuntam, Thoothukudi District, (Affiliated to Manonmaniam Sundaranar University, Tirunelveli) Tamil Nadu, India.

³Associate Professor, Department of Physics, St. John's College, Palayamkottai, (Affiliated to Manonmaniam Sundaranar University, Tirunelveli) Tamil Nadu, India.

⁴Research scholar, Department of Physics and Research centre, Sarah Tucker College, (Affiliated to Manonmaniam Sundaranar University, Tirunelveli) Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

N.Balasundari

Assistant Professor, Department of Physics, Sri K.G.S. Arts College, Srivaikuntam, Thoothukudi District, (Affiliated to Manonmaniam Sundaranar University, Tirunelveli) Tamil Nadu, India.

E.Mail: emkrishanthvel@gmail.com.

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Thiourea-doped vanadyl sulphate (TVS) crystals were grown by the solution growth method. Transparent light blue crystal of TVS was harvested in the period of 20 days. Thermal stability and the melting point of TVS crystal have been studied by Thermogravimetric analysis (TGA) and differential thermal analysis (DTA) techniques. TVS crystal's optical properties and optical constants such as band gap (E_9), refractive index (n), reflectance (R), absorption coefficient (α), extinction coefficient (K) were determined by UV-VIS-NIR spectrum. Photoluminescence spectroscopy investigates the luminescence nature of the TVS crystal. The electrochemical behavior of the TVS crystal was investigated by cyclic voltammetry.

Keywords: Crystal growth; Doping; NLO; TG/DTA; spectroscopy; PL; CV





Amirsha Evangelin Kezia et al.,

INTRODUCTION

Vanadyl sulphate is an inorganic compound which has the chemical formula of VOSO₄ (H₂O)_x where $0 \le x \le 6$. Vanadium can be found in several forms, such as vanadate and vanadyl sulphate. The most common source of vanadyl sulphate is found in nutritional supplements. Vanadium is a metallic element that is used because of its interesting physical, chemical and biological properties [1]. By Peder Kierkegaard et al., the crystal structure of pure vanadyl sulphate (VOSO4) was dissolved. He discovered that it is a part of the orthorhombic structure [2]. The synthesis and characterisation of VOSO4.6H2O and other Vanadium(II) Compounds were also reported by Albert Cotton et al.[3]. Vanadyl sulphate hexahydrate (VOSO4.6H2O) was generated by Michel Tachez et al. from an agueous solution at 0 °C, and they investigated the material below 13 °C. They observed that the VOSO4.6H2O crystallized in a triclinic form[4]. Vanadium(IV) was obtained by Krasil'nikov et al. using VOSO4·3H2O as a source and aqueous ammonia as a precipitant for the VO(OH)2 production[5]. Lee M. Daniels et.al reported the preparation and characterization of anhydrous vanadium (II) compounds by reaction of aqueous solutions of [V(H20)6]SO4 with neutral amines[6]. The crystal growth and characterization of potassium zinc- vanadyl sulphate mixed crystal have also been reported by VijilaManonmoni et.al[7]. In our earlier study, we have reported the analysis of structure, UV Transmittance of its aqueous solution, FTIR and Microhardness of thiourea -vanadylsulphate (TVS) single crystals[8]. In this work, we have reporting the optical constants of UV Transmittance of single crystal, thermal, photoluminescence and electrochemical behaviour of TVS single crystals.

SYNTHESIS AND CRYSTAL GROWTH

Thiourea doped vanadyl sulphate single crystals have been synthesized by taking Analytical reagent grades (AR) of Thiourea and VanadylSulphate. The homogeneous saturated solution was prepared at room temperature using a magnetic stirrer. A saturated solution was filtered to remove the impurities. Then the filtered solution was allowed to slow evaporation solution growth technique. Within 20 days good quality and a light blue optically transparent of Thiourea dopedvanadyl sulphate single crystal were harvested. The photograph of grown crystals is shown in figure 1

RESULTS AND DISCUSSION

Study of Thermal behaviour of TVS crystal

Using a NETZSCH STA 2500 thermal analyzer in a nitrogen atmosphere, the thermogravimetric and differential thermal studies of TVS crystal were performed. The sample was heated at a rate of 30 K/min between 30 and 500 °C.The TGA spectrum (Figure 2) shows that there is no weight loss up to 123.83 °C, confirming that the molecule in question does not include any water or crystallization. After that, weight drops in three stages, from 123.83 °C to 356.8 °C. Due to glass transition, only 10% of the mass is lost in the first stage between 123.83 °C and 181.33 °C. The DTA curve shows two abrupt endothermic peaks at 181 °C and 202 °C, respectively, which correlate to the rapid mass loss of 69.6% between 181.33 °C and 223.83 °C. These peaks are due to and melting and decomposing respectively. This indicates that the crystal has developed with a good degree of crystallinity. The temperature range in which the gradual weight loss takes place is 223.83 °C to 356.8 °C. Because of the compound's impurities and the release of volatile compounds like sulphur,the DTA curve in this phase exhibits a slight endothermic at 267 °C [9 - 11]. After this peak, there is a broad endothermic peak at 436 °C correponding to this peak there is no weight loss occur in TGA. It has been shown that the state of total weight loss corresponds to 99.7% of the growing crystal. The compound is seen to be stable up to 123.83 °C and to melt at 181 °C based on the DTA/TGA trace.

Determination of kinetics and thermodynamics parameters

According to the reaction theory, kinetic equation for decomposition of solid matter is usually written as [12]

$$\frac{dx}{dt} = k(1-x) \tag{1}$$





Amirsha Evangelin Kezia et al.,

Where, $x = \frac{m_0 - m_T}{m_0 - m_f}$

 m_T is the mass of sample at any temperature T and m_0 and m_f are the masses at initial and final temperatures respectively [13]. Eq. (1) was integrated to give:

$$ln(1-x) = -kt$$
(2)

The straight line that each phase's data followed on graphs created with equation (2) indicated that transformations were first-order reactions. Each line's slope revealed the rate constant (k) for that phase (Figures 3-5) and equation (3) was used to calculate the half-life time (t1/2).

Half life Time
$$t_{1/2} = \frac{0.693}{k}$$
s . (3

The activation energy and thermodynamic parameters were then determined using the Coats-Redfern method [14,15].

$$\ln[-\ln(1-x)] = \ln\frac{ART^2}{\beta E_a} - \frac{E_a}{RT}$$
(4)

Where A is frequency factor, β is heating rate (20°C/min), R is general gas constant(8.3143Jmol⁻¹K⁻¹), E_a is activation energy and T is temperature (K). Plotting graphs betweenln[ln(1-x)] vs 1000/T for each phase (Figures 6-8) gave the values of activation energy. Thermodynamic parameters are evaluated by the followingbasic thermodynamic equations[16].

Activation energy = slope X 8.3143(Jmol⁻¹)

The standard entropy of activation, ΔS , is calculated from the following relation

$$\Delta S = R X \ln \frac{Ah}{KT} \text{Jmol}^{-1} K^{-1}$$
 (5)

Where, k is the Boltzmann constant (1.38 x10 $^{-23}$ J/K) h is the Plank's constant (6. 63 X $^{-23}$ J/K) and T the temperature and A the frequency factor.

The standard enthalpy of activation,

$$\Delta H = E_a - 2RT \text{Jmol}^{-1} \tag{6}$$

The standard Gibbs energy of activation,

$$\Delta G = \Delta H + T \Delta S \text{Jmol}^{-1} \tag{7}$$

The calculated values of rate constant k, Activation energy E_a and the thermodymanic parameters are tabulated in table 1. The higher value of activation energy for the grown crystal indicates more stable nature and all phases are non-spontaneousendothermic reactions.

UV-VIS UV TRANSMITTANCE STUDY OF TVS SINGLE CRYSTAL

The UV–Visible spectral analysis gives useful information about electronic transitions of the compound and is assisted to understand the electronic structure and optical band gap of the crystal [18]. For laser frequency conversion applications, the transmission range, absorbance band, and transparency cut-off are crucial optical parameters[17,18]. Using a Shimadzu UV-2450 spectrophotometer, the linear optical property of the TVS single crystal was investigated in the 200–1100 nm wavelength range. The obtained UV-Vis NIR transmittance spectrum is shown in Figure 9.

It is evident from the spectrum that, the formed crystal exhibits strong optical transmission over the whole visible and near-infrared range. At a certain critical wavelength, the transmittance intensity totally vanishes. It was discovered that the material's cut off wavelength, or critical point, was 393 nm. The electrons in the σ and π orbitals must be promoted from the ground state to the excited state via absorption, which requires a significant amount of energy. We refer to this procedure as a charge transfer excitation. Absorption bands resulting from $\pi \to \pi^*$ and $n \to \pi^*$ transitions involving π -orbitals and lone pairs (n = non-bonding) in particular are significant. Chromophores are defined as molecules that may display the electronic transitions mentioned above[19]. The presence of chromophores like vo² anion may be the cause of the violet crystal's appearance.

DETERMINATION OF OPTICAL BANDGAP AND OPTICAL CONSTANTS Optical band gap energy

Optical properties are very essential to determine a material's suitability for use in the fabrication of optoelectronics. The photon energy ($h\nu$) determines the optical absorption coefficient (α), which is useful in determining the type of





Amirsha Evangelin Kezia et al.,

electron transition and band structure [20]. The optical absorption coefficient (α) was calculated from the measured transmittance (T) using the relation.

$$\alpha = \frac{2.303 \times \log{(\frac{1}{T})}}{d} m^{-1}$$
 (8)

Where T is the transmittance and t is the thickness of the crystal. According to Tauc's relation, the value of α was used to determine the optical band gap [21].

$$(\alpha h v) = A(h v - E_g)^m \tag{9}$$

where α is the absorption coefficient, h ν is photon energy, E_g is the optical band gap energy, A is a constant and m is the optical transition number. When electromagnetic radiation enters materials, it is absorbed at a specific wavelength when its energy is equivalent to the materials' optical band gap energy. The electrons undergo valence band to conduction band transitions. There are two types of electron transitions between the valence and conduction bands: direct and indirect, with both having a prohibited transition. For the direct allowed transition, indirect allowed transition, direct forbidden transition, and indirect forbidden transition, respectively, the transition number (m) is 1/2, 2, 3/2, and 3. The type of optical transition of the TVS crystal is determined by the value of m, which needs to be determined in this instance. Takinglogarithm on both sides and differentiating equation (10) with respect tohowe get the following form [22-24]

$$\ln(\alpha h v) = \ln(A) + m \ln(h v - E_g)
\frac{d(\ln(\alpha h v))}{d(h v)} = \frac{m}{h v - E_g}$$
(10)

A graph depicted in Figure 10 that is plotted between $(\ln(\alpha\hbar\nu))/\hbar\nu$ and $\hbar\nu$ can be used to compute the value of E_g. The information regarding single and multiple stage optical transitions is provided by the discontinuity in the line. These transitions are specified for a given value of m, which corresponds to a specific maximum energy value at which a given transition may have occurred [25,26]. In the current instance, the optical transition consists of a single stage that discontinuously occurs at a certain maximum energy value of the knee point (E_g = 3.13eV). Plotting the graphbetween $\ln(\alpha\hbar\nu)$ and $\ln(\hbar\nu$ -E_g), the value of m is obtained. The value ofm was found to be $0.54\approx0.5\approx1/2$ by extrapolating linear fit asshown in Figure 11. This shows and confirms that the optical transition TVS crystal is allowed for direct band gap nature. The Tauc's plotrelation has been rearranged as given below for condition of directallowed transition:

$$(\alpha h v) = A(h v - E_g)^{1/2} \tag{11}$$

The bandgap (Eg) of the grown crystal was estimated by plotting $(\alpha hv)^2$ versus hv as shown in Figure 12. The value of the bandgap of grown crystal was found to be 3.04eV.

According to Plank's equation, the optical band gap energy of TVS crystal was calculated theoretically as follows:

$$E_g = \frac{1240}{\lambda} eV \tag{12}$$

where λ is the lower cut-off wavelength (393 nm). The band gap of the grown TVS crystal is found to be 3.16 eV, which is in good agreement with the value obtained from Figure 10 and Figure 11.

Determination of optical constants

Extinction coefficient is the measure of how strongly a chemical species or substance absorbs light at particular wavelength. It depends upon the structure and chemical composition of a substance or material [27-31]. By using absorption coefficient value the Extinction coefficient can be determined by the formula

$$k = \frac{\alpha\lambda}{4\pi} \tag{13}$$

where k is Extinction Coefficient, α is absorption coefficient, λ is wavelength. The variation of extinction coefficient (K) with wavelength is shown in Figure 13.

Optical Refractive Index and Reflectance

Refractive index (n) and Reflectance (R) are determined by using formulas

$$n = \frac{1}{T_s} + \sqrt{\frac{1}{T_s - 1}} \tag{14}$$





Amirsha Evangelin Kezia et al.,

$$R = \frac{(n-1)^2}{(n+1)^2} \tag{15}$$

The wavelength versus reflectance is shown in Figure 14. Figure 15 represents the variation of the refractive index as a function of photon energy. The linear refractive index (n) of the grown crystal was found to be 0.7 at the energy gap $E_g = 3.13$.

PHOTOLUMINESCENCE SPECTROSCOPY

A useful technique for obtaining reasonably direct information about the molecular-level physical properties of materials, such as band gap states and shallow and deep level defects, is photoluminescence (PL) spectroscopy [32–34]. The photoluminescence (PL) spectrum of TVS recorded using a Cary Eclipse spectrophotometer in the range between 250-500 nm with excitation wavelength of 270 nm is 4.59 eV at room temperature is shown in Figure 16. The highest emission peak from the spectrum was observed to be at 428 nm is 2.90 eV are due to violet emission and no other visible emission was observed. The relationship between energy (in eV) and wavelength (in nm) given by the equation,

$$E_g = \frac{hc}{\lambda} \tag{16}$$

Where E_9 is the energy in units of eV, or electron volts, λ is the wavelength measured in nanometers (nm), and c is the velocity of light, which has units of ms⁻¹. The sharp single peak shows the good quality of TVS crystal.

CYCLIC VOLTAMMETRY

The cyclic voltammetry is used to investigate the electrochemical behavior of a system by methodically examining the current-voltage data of a specific electrochemical cell. The CV investigation was carried out using a linear scan rate that ranges from 0.01 V s⁻¹ to 0.2 V s⁻¹ within a voltage window of -1.5 to 2.0 V. A graph between current and potential is known as cyclic voltammogram (Figure 17) depicts the CV curves measured at different scan rates. When a slow scan rate (0.01 V s⁻¹) is applied to the device, a rapid increase in the current density versus potential gives an oval-shaped curve. Consequently, the slow scan rates cause the current to flow through the device in a resistive manner. As the operating time is gradually maximized and also scan rate is increased, more rectangular shaped curves can be obtained from the CV scans [35 -38].

The specific capacitance obtained from CV measurement was calculated according to this Equation

$$C_{specific} = \frac{A}{\Delta V \times s \times m} \tag{17}$$

where ΔV is the potential range (V), A is the area of integration, s is the scan rate (V s⁻¹), m (g) is the mass of the sample. Table.2 shows the specific capacitance of the grown crystal. The specific capacitance of the TVS crystal has reduced from 0.8219 Fg⁻¹ to 0.0263 Fg⁻¹ with an increase in scan rate of 0.01 V s⁻¹to 0.2 V s⁻¹. This is because, the ions are more accessed to the active materials through pores at a lower scan rate. The results indicate that the grown crystal achieve a higher specific capacitance of 0.8219 Fg⁻¹ at 10 mV/s.

CONCLUSIONS

Single crystal of thiourea-doped vanadyl sulphate was successfully grown by slow evaporation solution growth method at room temperature. The crystals were found to be transparent and a light blue colour. The thermal stability of thiourea-doped vanadyl sulphate crystal was estimated using TGDTA Analysis. the TVS crystal is thermally stable up to 123.83°C. From the DTA curve, reveals that, the sample melts at 202°C and the decomposition states at this temperature. Hence, the crystal has a good degree of crystallinity and is also thermally stable for device application. The rate constant and the half lifetime of reaction were calculated from the TGA data. From the TGA curve, kinetic parameters were determined using basic thermodynamic equations and the values obtained for each phase proved that the first and second phases are non-spontaneous endothermic reactions and the third phase transition is a spontaneous exothermic reactions. UV–Vis NIR spectrum shows that the crystal becomes transparent in the entire Vis-IR region and the cut-off wavelength was found to be 393 nm. The grown crystal has good optical transparency and hence it is suitable for NLO applications upto 393 nm. The optical band gap was calculated using Tauc's plot relation and it is found to be 304eV. TVS crystal has lower cut-off wavelength is found to be 393 nm and a calculated





Amirsha Evangelin Kezia et al.,

Energy band gap of 3.16eV was determined in UV-vis studies which suggested the material is suitable for laser frequency doubling and related optoelectronics applications. The photoluminescence spectrum shows the violet emission for the TVS crystal. According to the cyclic voltammetry investigation, the TVS crystal exhibits a higher specific capacitance of $0.8219 \, \text{Fg-1}$ at $10 \, \text{mV/s}$.

ACKNOWLEDGEMENTS

The authors are very thankful to Gandhigram Rural Institute, Alagappa University and St. Joseph's College, Tiruchirappalli, India for extending the instrumentation facilities.

REFERENCES

- 1. K. Juliet Sheela, and S. Nandhini, J Mater Sci: Materials in Electronics", Vol. 32, 2021, 16916-16926.
- 2. Peder Kierkegaard and John M. Longo, Acta chemical Scandinavica 19 (1965) 1906-1914.
- 3. F. Albert Cotton, Larry R. Falvello, Rosa Llusar, Eduardo Libby, Carlos A. Murillo,bandWilliSchwotzer, Inorg. Chem. 1986, 25, 3423-3428 3423.
- 4. Michel Tachez, Francois Theobald and G. Troutllot, J. Appl. Cryst. (1976). 9, 246.
- 5. V.N. Krasil'nikov, I.V. Baklanova, O.I. Gyrdasova, E.V. Shalaeva, V.P. Zhukov, A. Yu Chufarov, A.P. Tyutyunnik, J.Ceramint. 2023.01.186.
- 6. Lee M. Daniels, Carlos A. Murillo, Kattia G. Rodriguez, J.InorganicaChimicaActa 229 (1995) 27-32
- 7. J. VijilaManonmoni , G. Ramasamy ,S. P.Meenakshisundaram , M. Amutha, S. C. Mojumdar, J Therm Anal Calorim.
- 8. S.Amirsha EvangelinKezia, N.Balasundari, N.Vijayakumar, A.Arulgnanam, Int.J. Mechanical Engineering, Vol. 7 No. 5 May, 2022.
- 9. J. Ramajothi, S. Dhanushkodi, and K. Nagarajan, J. Cryst. Res. Technol., vol. 39, pp. 414-420, 2004.
- 10. G. Madhurambal, M. Mariappan, and S. C. Mojumdar, J. Therm. Anal. Calorim., vol. 100, pp. 763-768, 2010.
- 11. R.RedrothuHanumantha and S.Kalainathan, J.SpectroChimicaActa Part A, vol. 97, pp. 456-463, 2012.
- 12. Ramukutty, E. Ramachandran, J.C.P.T. 4 (2014) 71.
- 13. M.B.A.Y. Gharayebi, M.S. Salit, M.Z. Hussein, S. Ebrahimiasl, A. Dehzangi Int. J. Mol. Sci. 13, 4860–4872 (2012).
- 14. S. Perveen, M. A. Farrukh, J. Mater. Sci. Mater. Electron. 28 (2017) 108066-10818.
- 15. Muhammad AkhyarFarrukh, KomalMehmood Butt, Kok-Keong Chong, Wei Sea Chang, J. Saudi Chemical Society, 2018.
- 16. K D Parikh, D J Dave, B B Parekh, M J Joshi, J. Bulletin Of Materials Science, April 2007.
- 17. P. Vivekand P. Murugakoothan J., Appl. Phys. 115 (2014) 1139-1146.
- 18. S. AnieRoshanJosephyCyriac, M.A. Ittyachem ,J.Materials Letters 49 (2001) 299-302.
- 19. P. Karuppasamya, MuthuSenthilPandiana, P. Ramasamya, Sunil Vermab, J. Optical materials 79, 2018.03.041
- 20.] N. Tigau, V. Ciupina, G. Prodan, G.I. Rusu, C. Gheorghies, E. Vasile, J. Optoelect. Adv. Mater 6 (2004) 211–217.
- 21. J. Tauc, R. Grigorovici, A. Vancu, J. Phys. Status Solidi. B 15 (1996) 627–637.
- 22. M. Elahi, D. Souri, Indian J. Pure Appl. Phys. 44 (2006) 468–472.
- 23. N. Chopra, A. Mansingh, G.K. Chadha, J. Non-Cryst. Solids 126 (1990) 194–201.
- 24. R.K. Gupta, M. Cavas, F. Yakuphanoqlu, Spectrochim. Acta Mol. Biomol. Spectrosc 95 (2012) 107–113.
- 25. Dev, S. Chakrabarti, S. Kar, S. Chaudhuri, J. Nanopart. Res. 7 (2005) 195–201.
- 26. S. Banerjee, A. Kumar, Nucl. Instr. Meth. Phys. Res. B 269 (2011) 2798–2806.
- 27. T. C. Sabari Girisun and S. Dhanuskodi*, J. Cryst. Res. Technol. 44, No. 12, 1297 1302 (2009).
- 28. E. Vinoth, S. Vetrivel, S. Gopinath & J. Suresh, J. Taibah University for science, 2019, vol. 13, NO. 1, 979–992
- 29. M. Udaybhaskar, S. Senthilkumar And G. Shankar , Advances and Applications in Mathematical Sciences, Volume 21, Issue 6, April 2022, Pages 3503-3514.
- 30. SiddiqueAneesa-Fatema, Y. B. Rasal, R. N. Shaikh, M. D. Shirsat, S. S. Hussaini& R. B. Kulkarni, Ferroelectrics, 573:1, 52-62, DOI: 10.1080/00150193.2021.1890463.





Amirsha Evangelin Kezia et al.,

- 31. Yogesh B Rasala , M D Shirsatb& S SHussainia, Indian Journal of Pure & Applied Physics, Vol. 56, July 2018, pp. 522-528.
- 32. D. K. Sawant and D. S. Bhavsar, J. Archives of Physics Research, 2012, 3 (1):29-35.
- 33. MohdShkir, V Ganesh, S AlFaify, I S Yahia, and MohdAnis, Chinese Physics B, Vol. 27, No. 5, (2018) 054216.
- 34. M. DivyaBharathi , G. Ahila , J. Mohana , R. Bhuvaneswari , G. Anbalagan, IOP Conf. Series: Materials Science and Engineering, 360 (2018) 012057.
- 35. AfsanehSafavi,RahelehAhmadi, FarzanehAghakhaniMahyari, Maryam Tohidi, "Electrocatalytic oxidation of thiourea on graphenenanosheets–Ag nanoparticles hybrid ionic liquid electrode", Sensors and Actuators B: Chemical, 2014.
- 36. DavoodNematollahi , and Mohammad Rafiee, "Catalytic Oxidation of Thiourea at Alumina Modified Pt Electrode", Sensors 2003, 3, 534-543.
- 37. Li, T, Mallows, J, Adams, K, Nichol, GS, Thijssen, JHJ & Robertson, N "Thiourea Bismuth Iodide: Crystal structure, Characterization and High Performance as an Electrode Material for Supercapacitors", Batteries &Supercaps, 2019, https://doi.org/10.1002/batt.201900005.
- 38. H.Gómez, H. Lizama*, C. Suárez, A. Valenzuela, "Effect Of Thiourea Concentration On The Electrochemical Behavior Of Gold And Copper Electrodes In Presence And Absence Of Cu(Ii) Ions", J. Chil. Chem. Soc., 54, (2009).

Table 1. Kinetic and Thermodynamic Parameters of Each Phase During Thermo gravimetric Analysis of Tvs Crystal

Phase	Tem (K)	Rate constant k	$t_{\frac{1}{2}}$ (secs)	<i>E_a</i> (Jmol ⁻¹) X 10 ³	ΔS (Jmol ⁻¹ K ⁻¹)	ΔH (Jmol ⁻¹) X 10 ³	ΔG (Jmol⁻¹) (×10⁴)
1	454.33	0.01579	2633.31	120.143	50.89	112.752	13.58
2	496.83	0.416231	99.8964	117.377	50.56	109.295	13.44
3	629.333	0.307	135.43	22.605	-182.34	12.366	-10.23

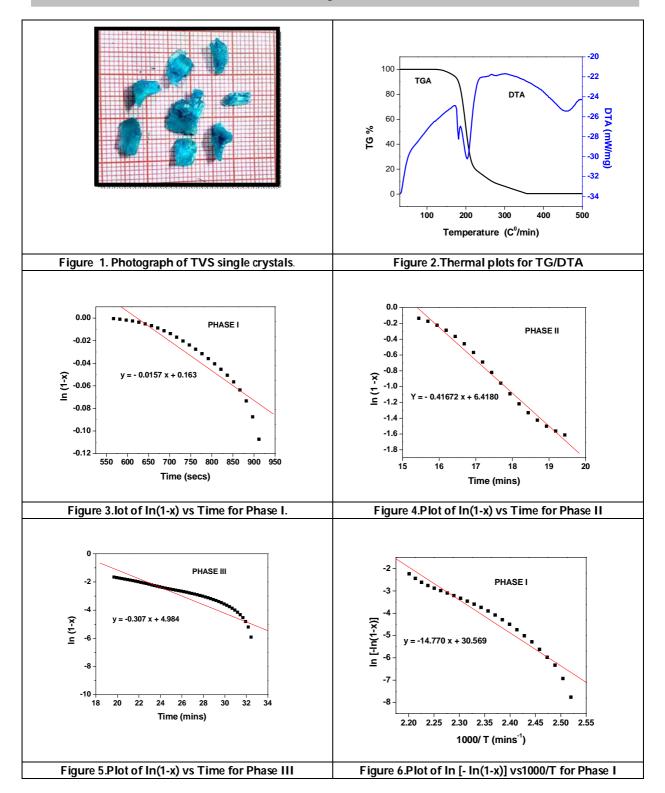
Table.2: Specific Capacitance Measured From Cv Under Different Scan Rate

Scan rate (V s-1)	specific capacitance (F g-1)
0.01	0.821917808
0.02	0.46582795
0.03	0.369989723
0.04	0.283174185
0.05	0.235139573
0.06	0.205801861
0.08	0.167103539
0.1	0.056164384
0.2	0.026257815





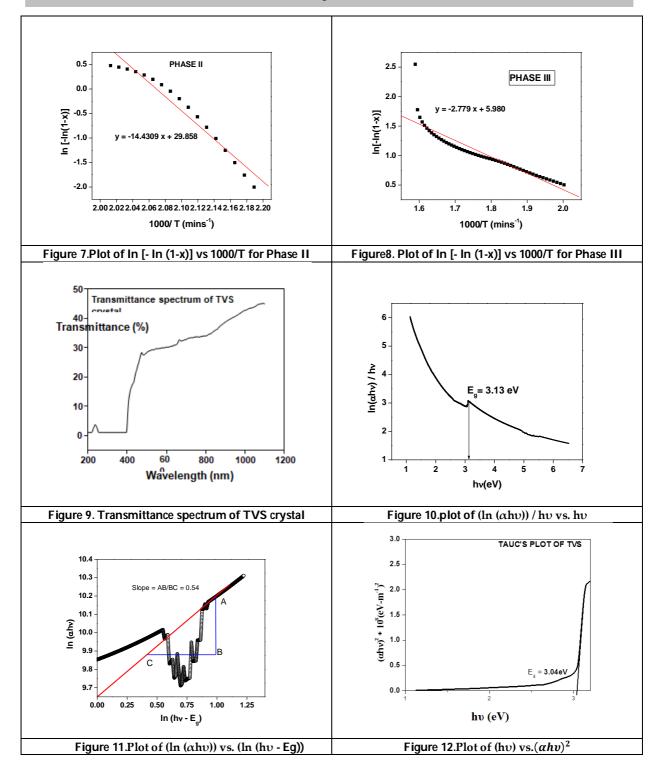
Amirsha Evangelin Kezia et al.,







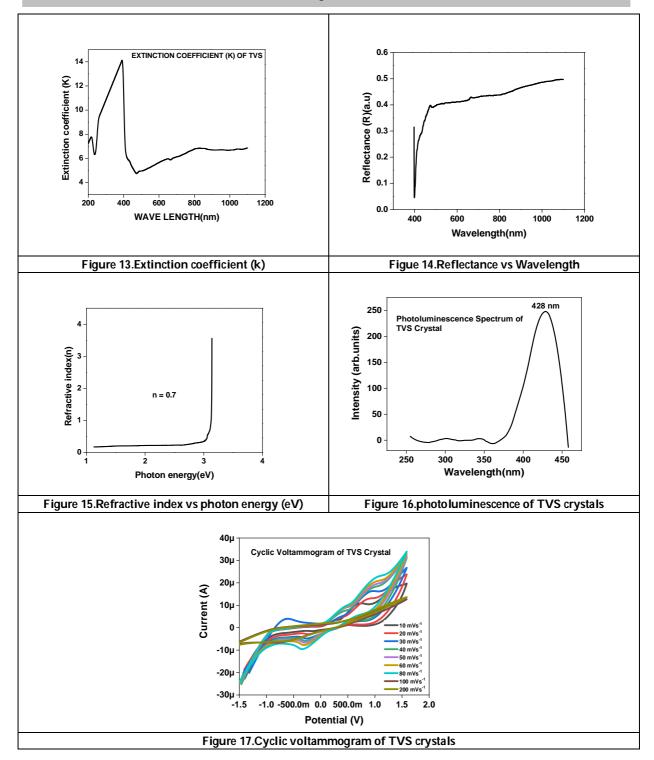
Amirsha Evangelin Kezia et al.,







Amirsha Evangelin Kezia et al.,







International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

A Study on Knowledge, Awareness, and Practices of Menstrual Hygiene among the Adolescent Girls of Kamrup (Rural) District, Assam

Ibasiewdor Mawlein^{1*} and Nekita Sharma²

¹Assistant Professor, Department of Operation Theatre Technology, School of Health Science, The Assam Kaziranga University, Assam, India.

²Assistant Professor, Department of Emergency Medical Technology, School of Health Science and Technology, Medhavi Skills University, East Sikkim, Sikkim, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 20 Sep 2024

*Address for Correspondence Ibasiewdor Mawlein

Assistant Professor, Department of Operation Theatre Technology,

School of Health Science,

The Assam Kaziranga University,

Assam, India.

E.Mail: ibasiewdormawlein@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Menstruation is a natural physiological process for females of reproductive age, and it is necessary to understand hygienic practices and risks associated with menstruation. Data collected from adolescent girls (above 12 years of age) in a peri-urban girls' high school were selected through random sampling using a self-administered questionnaire. In this study, despite having 100% literacy, only 45.58 % of the adolescent girls had prior knowledge of menstruation before menarche. Knowledge about the cause of menstruation among adolescent girls is not satisfactory, as 34.8% of them did not know about the cause of menstruation and 63.7% of them believed that it was a natural process. In addition, 50.2% of them did not know about the cause of menstruation. 93.4% of the adolescent girls were ignorant about the source of menstrual bleeding and only 1.8% of the adolescent girls knew that the bleeding comes from the uterus. On a positive note, 79.5% of the adolescent girls preferred using sanitary pads, 16.2% used both sanitary pads and cloth, while 4.18% preferred using cloth. It is also observed age p≤(0.004) and class of adolescent girls p≤(0.010) are significantly associated with the types of adsorbents used. Yet, prior knowledge of menstruation p≤(0.0590) is not significantly associated with the types of adsorbents used. It is encouraging that 92.3% of participants preferred cleaning the reused clothes with soap and water, and 97.36% of the girls practiced drying the washed clothes in sunlight. Among the respondents who used sanitary napkins,73.48% changed it 2-3 times per day whereas, 19.06% changed it 4-5 times per day, and 3.72% changed it 1 time per day. Prior knowledge of menstruation $p \le (0.001)$, age $p \le (0.007)$, Educational Status of the adolescent mother $p \le (0.057)$, and agents used to clean genitalia $p \le (0.051)$ is significantly associated with satisfactory cleaning of external genitalia. 95.34% of Adolescent girls were restricted from





Ibasiewdor Mawlein and Nekita Sharma

being involved in religious practices. The study concludes that though the adolescent girls are 100% literate their lack of knowledge regarding menstruation and the hygiene practices exhibited among them were not satisfactory. Hence, there is a need to educate girls as well as mothers on menstruation and create awareness of menstrual health and hygiene.

Keywords: Adolescent girls, Genitalia, Menstrual health, Hygiene, Sanitary pad.

INTRODUCTION

Menstruation is a common and healthy phenomenon for women who are of reproductive age [1,2]. The transitional stage between puberty and adulthood is known as adolescence. Menarche is a significant event in the lives of teenage females that starts between the ages of 10 and 16 and it is one of the indicators of puberty [3]. A key variable influencing how an adolescent develops reproductively is having a regular menstrual cycle [4]. However, due to a lack of sufficient information, majority of teenage girls approach the puberty stage unprepared [5]. Due to social taboos surrounding the topic of "menses," most women find it uncomfortable to talk about it, and teenage girls may not have access to sufficient information [6,7]. Women's hygiene habits during their periods are very important since they affect their health by making them more susceptible to reproductive tract infections (RTI). It is evident how menstrual hygiene habits, socioeconomic position, and RTI correlate. Millions of women today suffer from RTI and its after-effects, and the infection frequently spreads to the mother's unborn child5. Having access to the information, resources, and cultural settings needed to handle menstruation hygienically and dignifiedly is a top priority for women and girls [8]. Even the limited information they do acquire is frequently biased and surrounded by misconceptions, and it comes primarily from peers, family members, and religious institutions [9.] In addition, girls have frequently reported feeling scared, perplexed, and ashamed when going through their menstrual cycle due to unpleasant smells, leaks, clothing stains, and losing sanitary products during class [10]. Additionally, this may negatively affect their ability to focus, participate in class, and have confidence in their academic abilities [11]. Depending upon one's level of understanding and awareness, menstruation is associated with several myths and customs that can occasionally hurt one's health5. For instance, in underdeveloped nations such as India and Ethiopia, menstruation is frequently seen as a sign of disease, a lifelong process, the outcome of a curse, or a punishment from God [9, 12-15]. Teenage girls believe that menstruation is something humiliating and should be kept private as a result, academic achievement, attendance at school, and social interactions are all further impacted by these circumstances [16]. Considering the foregoing, this study was conducted in the peri-urban girl's high school of the Kamrup (Rural) district of Assam to evaluate the knowledge and practices of teenage girls regarding menstrual hygiene, learn about the disorders they experience during their periods, and identify the factors linked to the current menstrual hygiene practices [17].

MATERIALS AND METHODS

Sampling and data collection

A Survey was conducted among 215 adolescent high school girls, classes 7-10 (above 12 years of age) in a peri-urban area selected through simple random sampling. Data was collected using a self-administered questionnaire. Factors for data analysis include awareness about menstruation prior to menarche, menstrual hygiene practices, type of absorbent used, and restrictions imposed during menstruation.

Ethical clearance

Appropriate ethical clearance was obtained for this work from the Institutional Human Ethical Committee (AdtU/Ethics/student-lett/2021/025; dated 29/05/2021) and inform consent from participants





Ibasiewdor Mawlein and Nekita Sharma

Statistical analysis

Data expressed in percentages "%" and "n" indicates the number of counts. Statistical analysis was done using SPSS for Windows and the association was determined using a chi-square test and P value <0.05 was considered significant for all tests.

RESULT AND DISCUSSION

Socio-demographic profile of the respondents

In the present study, most of the adolescent girls taking part fall under the age group of 13-15 years at 84%, followed by <=12 with 10.23% and girls aged between 16 -18 with 6.04%. Data summarized in **Table 1** indicates a significant association (P=0.004) between the type of adsorbent used with age. Adolescent girls aged between 13-15 years showed 66.97% of the girls used sanitary pads and 2.79 % used cloth, and 14.14 % used both sanitary pads and cloth. The educational status of the adolescent girls also has a significant association ((P=0.010) with the type of absorbent used. Our data showed that 11.68 % of the girls were in class 7th, 25.23% in 8th, 39.7% in 9th, and 23.36% in 10th standard. These adolescent girls primarily used sanitary pads to maintain menstrual hygiene. Adolescent girls belonging to class 7, 8, 9, and 10 standards showed 10.69%, 18.60%, 34.41%, and 20.93% used sanitary pads (**Table: 1**). It is also observed age p≤(0.004) and class of adolescent girls p≤(0.010) are significantly associated with the types of adsorbents used (**Table: 2**). This data indicates that education plays an important role in attaining awareness about menstrual hygiene among the population.

Knowledge of adolescent girls about menarche and their perception about menstruation

Data summarized in Table 1 showed that 90% of the adolescent girls aged between 10-13 years had their menarche, followed by 14-15 with 9%, and girls aged between 16-17 years with 1%. A similar study in West Bengal showed the age of menstruating girls ranged from 14-17 years and the majority being between 14-15 years of age[5]. It is also important to note that only 45.58 % of the adolescent girls had prior knowledge of menstruation (Table: 1). before menarche. Yet, prior knowledge of menstruation is not significantly associated with the types of adsorbents used p≤(0.0590) (Table: 2). It is necessary that every girl be made aware of menstruation before menarche. Knowledge about the cause of menstruation among adolescent girls was not satisfactory, 34.8% did not know about the cause of menstruation. Whereas 63.7% believed it was a natural process, 0.93% accepted it as a curse of God and 0.4% had no response (Table: 1). A similar study conducted in West Bengal among school girls, 86.25% of respondents believed it was a physiological process5. Prior information on menstruation can help create awareness of menstrual hygiene. However, our study indicates that 50.2 % of them obtained information on menstruation from other sources, followed by mothers (46.04%), friends (1.86%), and sisters (1.8%). Despite being 100% literate, 93.4% of the adolescent girls were ignorant about the source of menstrual bleeding. 4.1% thought that it came from the vagina, 0.46% from the urethra, and only 1.8% of the adolescent girl knew that the bleeding was from the uterus. This concludes that spreading awareness of menstrual health and hygiene is needed among school-going girls. It was disheartening that the literacy level of the mothers was >90% and majority of the girls were unaware of the source of their menstrual bleeding, which might be a result of lack of awareness and impulsively adhering to traditions. On a positive note, 80% of the adolescent girls preferred using sanitary pads, 16.2% used both pads and cloth, and 4.18% preferred using cloth. In addition, 70.27% of the adolescent girls preferred re-using the cloth (Table 1).

Practice of menstrual hygiene among the adolescent girls

Women's hygiene practices throughout their menstrual cycles are of the utmost importance since they impact their health by making them more susceptible to infections[8]. Data summarized in **Table 3** shows that among the adolescent girls who used cloth, 92.3 % of them preferred to clean the reused clothes with soap and water, while 7.69% of them washed the clothes with water only. 97.36% of the girls practiced drying the washed clothes in sunlight, and 2.63% m dried the clothes in the bathroom or beneath other clothes for fear of being seen by others. A similar study also revealed that 60% of adolescent girls preferred reusing clothes. Among them, 96.30% cleaned the reused clothes with soap and water, and 77.78%[17].





Ibasiewdor Mawlein and Nekita Sharma

Adolescent girls adopted various methods to dispose of sanitary pads, 50. 01% preferred throwing it in with routine waste, 36.27% used to burn it while 13.72% preferred other methods. Similarly, of girls who used cloth but did not reuse it, 55.88% chose to dispose of the clothes by throwing them with routine waste, 29.41% burned it, while 14.7% preferred other methods such as not throwing or hiding it. In a similar study in Jorhat, Assam, 81.53% of girls using sanitary pads and 82.22% using clothes dispose of them by throwing them in with routine waste 19 (Table 3). Among the respondents who used sanitary napkins, the majority (73.48%) changed it 2-3 times per day. Whereas 19.06% changed 4-5 times per day, 3.72% changed it 1 time per day and 3.72% of the girls had no response. Cleaning is an important part of maintaining the hygiene of the external genitalia. The frequency of cleaning was found to be satisfactory (\geq 2 times/day) in 95.34% of the adolescent girls, and 2.79% was unsatisfactory i.e., less than 2 times per day. It is important to note that 61.86% of the girls used soap and water to clean the external genitalia while 33.02% used only water, 4.18% used water and antiseptic for cleaning and 0.93% showed no response (**Table 3**). Prior knowledge of menstruation p \leq (0.001), age p \leq (0.007), is significantly associated with satisfactory cleaning of external genitalia (**Table 4**). It must be noted, educational Status of the adolescent mother p \leq (0.057), and agents used to clean genitalia p \leq (0.051) is not significantly associated with satisfactory cleaning of external genitalia.

Practice or any form of restrictions during menstrual period

Adolescent girls did practice some form of restriction, the most common prohibition was being involved in religious practices 95.34%. In addition, 45.11% of the girls were not allowed to attend marriages, and 57.67% were restricted from doing their regular household work. Touching sour food was restricted for 66.04 % and, 57.20% were not allowed to enter the kitchen or cook food. 46.51 % had separate beds, and 27.90 % were not allowed outside the house. 39.53% of the girls were prohibited from playing either inside or outside the house and, 22.79% did not go to school during menstruation (**Fig. 1**). Similarly, 97.27% of adolescent girls practiced a similar form of restriction not attending religious ceremonies and marriage functions, not doing household work, not touching sour food, not entering the kitchen and most importantly they were not allowed to go to school during those days[17]. All those actions could relate to their beliefs and misinterpretation of the menstrual cycle.

CONCLUSION

Menstruation indicates healthy reproductive health. Knowledge, awareness, and practice of menstrual hygiene is a concern among school-going adolescent girls. According to our survey, the girls were unaware of menarche or the source of bleeding. Menstruation health issues, unsafe practices, traditional assumptions, and restrictions were commonly observed. Knowledge and awareness are the two key factors influencing menstruation habits and hygiene. Hence, our results highlight the necessity of continuous awareness of menstrual hygiene to eliminate outdated traditional beliefs and misconceptions. There is a need to focus on guiding how to use, access, and dispose of sanitary pads responsibly and encourage safe and improved menstrual hygiene.

Conflict of Interest

The authors declare no conflict of interest in this reported communication.

Acknowledgments

We especially thank The Assam Kaziranga University and Medhavi Skills University for providing the research infrastructure and support

REFERENCES

- Matsumoto S. Statistical studies on menstruation; a criticism on the definition of normal menstruation. Gunma J Med Sci. 1962;11(4):294–318.
- 2. MacGregor E, Chia H, Vohrah R, Wilkinson M. Migraine and menstruation: a pilot study. Cephalalgia. 1990;10(6):305–10.





Ibasiewdor Mawlein and Nekita Sharma

- 3. Diaz A, Laufer MR, Breech LL American Academy of Pediatrics Committee on Adolescence, American College of Obstetricians and Gynecologists Committee on Adolescent Health Care. Menstruation in girls and adolescents: Using the menstrual cycle as a vital sign. *Pediatrics*. 2006;118:2245–50
- 4. Ade A, Patil R. Menstrual hygiene and practices of rural adolescent girls of Raichur. Int J Biol Med Res. 2013:4:3014-7.
- 5. Dasgupta A, Sarkar M. Menstrual hygiene: how hygienic is the adolescent girl? Indian J Community Med. 2008;33(2):77-80
- 6. Lee S. Health and sickness: the meaning of menstruation and premenstrual syndrome in women's lives. Sex Roles. 2002;46(1):25–35.
- 7. Wall LL, Belay S, Bayray A, Salih S, Gabrehiwot M. A community-based study of menstrual beliefs in Tigray, Ethiopia. Int J Gynecol Obstet. 2016;135(3):310–3.
- 8. Thakre SB, Reddy M, Rathi N, Pathak K, Ughade S. Menstrual hygiene: Practice among adolescent school girls of Saoner, Nagpur District. J Clin Diagn Res. 2011;5(5):1027-33.
- 9. Sommer M, Ackatia-Armah N, Connolly S, Smiles D. A comparison of the menstruation and education experiences of girls in Tanzania, Ghana, Cambodia and Ethiopia. Compare. 2015;45(4):589–609
- 10. Fakhri M, Hamzehgardeshi Z, Golchin NAH, Komili A. Promoting menstrual health among Persian adolescent girls from low socioeconomic backgrounds: a quasi-experimental study. BMC Public Health. 2012;12(1):193.
- 11. Poureslami M, Osati-Ashtiani F. Assessing knowledge, attitudes, and behavior of adolescent girls in suburban districts of Tehran about dysmenorrhea and menstrual hygiene. J Int Women's Stud. 2002;3(2):51–61.
- 12. Shanbhag D, Shilpa R, D'Souza N, Josephine P, Singh J, Goud B. Perceptions regarding menstruation and practices during menstrual cycles among high school going adolescent girls in resource limited settings around Bangalore city, Karnataka, India. Int J Collaborative Res Intern Med Public Health. 2012;4(7):1353.
- 13. Adinma ED, Adinma J. Perceptions and practices on menstruation amongst Nigerian secondary school girls. Afr J Reprod Health. 2008;12(1):74–83
- 14. Bhatt R, Bhatt M. Perceptions of Indian women regarding menstruation. Int J Gynecol Obstet. 2005;88(2):164–7.
- 15. Kumar A, Srivastava K. Cultural and social practices regarding menstruation among adolescent girls. Soc Work Public Health. 2011;26(6):594–604
- 16. Omu FE, Al-Marzouk R, Delles H, Oranye NO, Omu AE. Premenstrual dysphoric disorder: prevalence and effects on nursing students' academic performance and clinical training in Kuwait. J Clin Nurs. 2011; 20(19–20):2915–23
- 17. Das, N., & Tasa, A. S. (2019). Menstrual hygiene: knowledge and practices during menstruation among adolescent girls in urban slums of Jorhat district, Assam, India. International Journal Of Community Medicine And Public Health, 6(7), 3068–3075.

Table: 1 Knowledge of respondents on the cause of menstruation

Age at menarche of adolescent girls (in years)					
10-13 90%					
14-15	9%				
16-17	1%				
Knowledge of menstruation befor	e menarche				
Yes 45.58%					
No 54.41%					
Knowledge of respondents on the cause	of menstruation				
Curse of God	0.93%				
Do not know 34.8%					
Natural cause	63.7%				
No response 0.4%					
Information on menstruat	ion				
Friends	1.86%				





Ibasiewdor Mawlein and Nekita Sharma

Mother	46.04%				
Sister	1.8%				
None	50.2%				
Organs from which the menstrual k	lood comes				
Do not know	93.4%				
Urethra	0.46%				
Uterus	1.8%				
Vagina	4.1%				
What do you use during menstrual period?					
Sanitary pad	80%				
Cloth, Sanitary pad	16.2%				
Cloth	3.73%				
Reuse					
Yes	70.27%				
No	29.72%				

Data expressed in percentage "%"

Table: 2 Factor associated with type of absorbent used

		with type of ab						
		Sanitary pad	Cloth	Cloth and Sanitary pad				
Variables used	%	n=172	n=8	n= 35	P- value			
		(80%)	(3.73%)	(16.2%)				
	Age in years							
<=12	6%	10 (4.65%)	2 (0.93%)	9 (4.18%)				
13 -15	84%	144 (66.97%)	6 (2.79%)	31 (14.14%)	0.004*			
16-18	10%	13 (6.04%)	0	0	0.004			
	E	ducational Stat	us of the ado	lescent girl				
literate	100%	172 (80%)	8 (3.72%)	35 (16.27%)				
	Edu	ucational Status	of the adole	scent mother				
Illiterate	5.58%	12 (5.58%)	0	0	0.125			
literate	94.41%	150 (69.76%)	13 (6.46%)	40 (18.60%)	0.123			
		Class of	adolescent gi	irls				
7 th	11.68%	23 (10.69%)	2 (0.93%)	0				
8 th	25.70%	40 (18.60%)	1 (0.46%)	14 (6.51%)				
9 th	39.71%	74 (34.41%)	3 (1.39%)	8 (3.72%)	0.010*			
10 th	23.36%	45 (20.93%)	2 (0.93%)	3 (1.39%)				
	Prior knowledge of menstruation							
Present	76.74%	128 (59.53%)	5 (2.32%)	32 (14.88%)	0.0590*			
Absent	23.25%	44 (20.46%)	3 (1.39%)	3 (1.39%)	0.0370			

Data expressed in percentage "%" and "n" indicates the number of counts. Statistically significant was denoted as "*" and P- value fixed at 0.05

Table: 3 Practice of menstrual hygiene among theadolescent girls

Table of Table of Mellon and Hygier	is airierig air			
Methods to clean the reused cloth				
With soap and water	92.3%			
With water	7.69%			





Ibasiewdor Mawlein and Nekita Sharma

Drying of the used cloth					
In sunlight	97.36%				
Not in sunlight	2.63%				
Method of disposal of cloth					
Burn it	29.41%				
Throw it in with routine waste	55.88%				
Others	14.7%				
Method of disposal of sanitary p	ad				
Burn it	36.27%				
Throw it in with routine waste	50.01%				
Others	13.72%				
Frequency of change of sanitary pads					
1 day	3.72%				
2 -3 times day	73.48%				
4 -5 times day	19.06%				
No response	3.72%				
Cleaning of the external genitalia					
Satisfactory (more than 2 times/day)	94.41%				
Unsatisfactory (less than 2 times/day)	5.58%				
Agents used to clean genitalia					
Only water	33.95%				
Soap and water	61.86%				
Water and antiseptic	4.18%				

Data expressed in percentage "%"

Table: 4 Factor associated with cleaning of external genitalia

	Cleaning of external genitalia							
		Satisfactory	Unsatisfactory					
Variables used	%	n= 203	n= 12	P- value				
variables useu	70	(94.41%)	(5.58%)	r- value				
	Age in years							
<=12	6%	15 (6.97%)	4 (1.86%)					
13 -15	84%	175 (81.39%)	8 (3.72%)	0.007*				
16-18	10%	13 (6.04%)	0	0.007				
Educat	Educational Status of the adolescent mother							
literate	94.41%	194 (90.23%)	8 (3.72%)	0.057*				
Illiterate	5.58%	11 (5.11%)	2 (0.93%)	0.037				
	Agents us	ed to clean gen	italia					
Only water	33.95%	71 (33.02%)	2 (0.93%)					
Soap and water	61.86%	128 (59.53%)	5 (2.32%)					
Water and antiseptic	4.18%	7 (3.25%)	2(0.93%)	0.051*				
Prior knowledge of menstruation								
Present	76.74%	162 (75.34%)	3 (1.39%)	0.001*				





Ibasiewdor Mawlein and Nekita Sharma

Absent	23.25%	44 (20.46%)	6 (2.79%)	

Data expressed in percentage "%" and "n" indicates the number of counts. Statistically significant was denoted as "*" and P- value fixed at ≤ 0.05

Types of Restrictions	%
Not allowed to attend religious	95.34
practises	
Not allowed to attend marriages	45.11
Not allowed to do household work,	57.67
Prohibited to touch sour food,	66.04
Not allowed to cook food or enter the	57.20
kitchen	
Using separate bed	46.51
Not allowed to go out of the house	27. 9 0
Not allowed to play	39.53
Not allowed to go to school	22.79

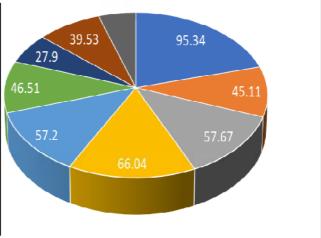


Fig: 1 Practice or any form of restrictions during menstrual period





RESEARCH ARTICLE

Targeting Inflammation with Siddha Medicine: Docking Analysis of Sagadevi Nei against IL-6 and TNF- α

Abarna B1* and Manjari V2

¹PG Scholar, Department of Nanju Maruthuvam, National Institute of Siddha, Chennai- 47 Tamil Nadu, India.

²Associate Professor, Department of Nanju Maruthuvam, National Institute of Siddha, Chennai- 47 Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Abarna B

PG Scholar, Department of Nanju Maruthuvam, National Institute of Siddha, Chennai- 47 Tamil Nadu, India.

Email: abu.abarna96@gmail.com

This is an Open Access Journal / article distributed under the terms of the **Creative Commons Attribution License** (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Managing inflammation with considerable efficacy is a significant challenge due to the complex interplay of various factors. Proinflammatory cytokines play a critical role in the development of inflammation and neuropathic pain, which can lead to chronic inflammatory conditions and the progression of autoimmune diseases. During inflammation, cytokines are produced by adipocytes, and there is also a release of reactive oxygen species (ROS), further exacerbating the condition. *Sagadevi Nei*, a Siddha polyherbal formulation, has previously been investigated for its in-vitro nephroprotective activity. This study aims to assess the anti-inflammatory potential of *Sagadevi Nei* by focusing on its interaction with interleukin-6 (IL-6) and tumor necrosis factor-alpha (TNF- α) using molecular docking techniques. By retrieving relevant molecules from existing literature, researchers conducted a study to evaluate the binding efficacy and interactions of the phytochemical constituents of *Sagadevi Nei* with IL-6 and TNF- α . The findings revealed that the phytochemicals in *Sagadevi Nei* exhibit significant binding affinity and effective interactions with these proinflammatory cytokines. This suggests that *Sagadevi Nei* could potentially modulate inflammatory responses by targeting IL-6 and TNF- α , thereby offering a promising therapeutic approach for managing inflammation and related chronic conditions. The molecular docking study underscores the potential of this traditional formulation in contributing to anti-inflammatory therapy.

Keywords: Anti-inflammatory activity, IL6, Molecular Docking, Sagadevi Nei, TNF Alpha.





Abarna and Manjari

INTRODUCTION

The therapeutic properties and applications of herbs are widely known. In an environment where modern man has confronted the side effects and complexities of chemical drug usage, a return to nature and the use of natural and plant-based drugs occurs.[1] In an era dominated by technologies and hectic living, reconnecting with nature and embracing its therapeutic power has never been more important.[2] A traditional remedy that has been employed for several centuries to treat urinary tract conditions is Sagadevi nei.[3] This effective preparation was used in our research. Sagadevi Nei is scientifically proven to have anti-microbial activity.[4] As a natural biological reaction to chemical irritation, microbial pathogen infection, and tissue damage, inflammation occurs. The immune systems, both innate and adaptive, are involved in this biological process [5]. To eradicate foreign pathogens, Treat infection, and heal injured tissues, inflammation is triggered at a damaged site by immune cells migrating from blood vessels and releasing mediators. This is followed by the recruitment of inflammatory cells and the release of reactive oxygen species (ROS), reactive nitrogen species (RNS), and proinflammatory cytokines. Therefore, the primary purpose of inflammation is to strengthen the host defenses [6]. Normal inflammation often subsides quickly and on its own, but prolonged inflammation and abnormal resolution can lead to many chronic illnesses. Lately, molecular docking has become an essential part of in-silico drug development [7]. A common application of molecular docking is the study of protein and peptide interactions and binding affinities for biological activity [8]. This technique involves foreseeing the way a tiny molecule and a protein would interact at the atomic level. Siddha polyherbal formulation Sagadevi Nei consists of 11 ingredients that are proven scientifically to have anti-inflammatory, diuretic, antioxidant, and nephroprotective activity [9,10]. A total of 11 chemical constituents were derived from the 11 ingredients of Sagadevi Nei through a literature review and assessed for docking with Interleukin 6 (1N26) and TNF Alpha(2AZ5). [11-21]

MATERIALS AND METHODS

The Protein Data Bank (PDB) provided the 3D/ 2D structures of the target proteins 1N26 and 2AZ5 (IL6 and TNF Alpha), as depicted in Figure 1. The necessary lacking hydrogen atoms were added to the proteins during a cleanup procedure. The Autodock tool was utilized to assess the different lead molecule orientations of the target proteins. An examination of an interaction study was used to determine the optimal docking position. [22, 23]

METHODOLOGY

In order to assess phytocomponents against the target protein, docking calculations were performed. Important hydrogen atoms, Kollman unified atom type charges, and solvation parameters were added using AutoDock tools [24]. Using the Autogrid tool, affinity (grid) maps were created with ×× Å grid point dimensions and 0.375 Å spacing [24]. Distance-dependent dielectric functions and AutoDock parameter settings were used to calculate the van der Waals and electrostatic terms. The Lamarckian genetic algorithm (LGA) in conjunction with the Solis & Wets local search technique was used to carry out docking simulations [25]. The ligand molecules were initially assigned random orientations, locations, and torsions, with full freedom of motion for all rotatable torsions during docking. Every docking experiment had two runs, with each run ending when a maximum of 250,000 energy was used. The search procedure included a translational step of 0.2 Å, quaternion and torsion steps set at 5, and a population size of 150 [26, 27].

RESULTS AND DISCUSSION

In all, eleven major bioactive substances were isolated from the Sagadevi Nei as shown in Table 1 and Fig. 2. These compounds include β -sitosterol, glycyrrhizin, rutin, β -caryophyllene, piperine, gallic acid, picein, thymol, santalic acid, vetivenene, and linoleic acid, with molecular weights of 414.7, 822.9, 610.5, 204.35, 285.34, 170.12, 298.289,





Abarna and Manjari

150.22, 234.33, 202.33, and 280.452 g/mol, respectively. The hydrogen bond donors for β-sitosterol, glycyrrhizin, rutin, gallic acid, picein, thymol, santalic acid, and linoleic acid are 1, 8, 10, 4, 4, 1, 1, and 1, respectively. The hydrogen bond acceptors for β-sitosterol, glycyrrhizin, rutin, piperine, gallic acid, picein, thymol, santalic acid, and linoleic acid are 1, 16, 16, 3, 5, 7, 1, 2, and 2, respectively. According to the data, the binding energies of the phytochemicals glycyrrhizin, rutin, β-caryophyllene, gallic acid, picein, thymol, santalic acid, vetivenene, linoleic acid, piperine, and β-sitosterol with 1N26 (IL-6) are -9.23, -11.97, -5.73, -5.87, -6.95, -5.44, -6.24, -6.93, -5.74, -7.27, and -7.83, respectively. These interactions occur with 3, 2, 1, 1, 5, 4, 3, 4, 3, 6, and 5 amino acid residues, respectively. The core active amino acid residues His70, Asp71, Ser72, Val91, Pro117, Ser119, Thr120, Pro121, Ser122, Thr124, and Thr125 of 1N26 (Interleukin 6)have at least one and up to five interactions. Notably, Pro121 interacts with a maximum of eight phytochemicals, as detailed in Tables 2 and 3.The obtained bioactive components glycyrrhizin, rutin, β- caryophyllene, gallic acid, picein, thymol, santalic acid, vetivenene, linoleic acid, piperine, and ß-sitosterol with 2AZ5 (TNF Alpha) have binding energy -10.08, -11.52, -5.11, -4.47, -5.93, -3.76, -5.09, -5.67, -4.53, -6.02, -6.93 respectively and interactions of 3, 3, 3, 3, 3, 4, 4, 2, 3, 3 respectively. Also, 2AZ5 has interactions ranging from 2 to 5 with the active amino acids Leu57, Tyr59, Tyr119, Gly121, and Tyr151. The amino acids 59, 119, and 151 bind with a maximum of 10 phytochemical constituents (Table 4 and 5). The binding energies of the derived phytochemical constituents against IL6 (1N26) range from -11.97 kcal/mol to -5.44 kcal/mol, while against TNF-Alpha (2AZ5), they range from -11.52 to -3.76 kcal/mol (Table 2 and Table 4). Rutin exhibits the highest binding energy, -11.97 kcal/mol for IL6 and -11.52 kcal/mol for TNF-Alpha. Rutin has a log P value of -2.02, indicating its hydrophilic nature, which supports rapid dissolution and permeability, making it effective in detoxification [28]. Regarding interactions, the phytochemical components show 2-4 interactions with TNF-Alpha, with santalic acid and vetivenene having the most interactions. For IL6, the interactions range from 1 to 6, with piperine showing the highest number of interactions. These results indicate that the phytochemical constituents have strong binding energies and significant interactions with both targets, highlighting their potential anti-inflammatory properties. Therefore, Sagadevi Nei may be effectively used to treat inflammatory diseases.

CONCLUSION

The results indicates that the bio-active compounds Picein, Thymol, Santalic acid, Vetivenene, Linoleic acid, Piperine, B-caryophyllene, Glycyrrhizin, and B-sitosterol demonstrate significant binding affinity to the target proteins 1N26 and 2AZ5. These substances have interactions with the active amino acids at the areas of action of the proteins, indicating that *Sagadevi Nei* may have potential anti-inflammatory benefits.

REFERENCES

- 1. Manouchehri A, Abbaszadeh S, Ahmadi M, Nejad FK, Bahmani M, Dastyar N. Polycystic ovaries, and herbal remedies: A systematic review. JBRA Assist Reprod. 2023 Mar 30;27(1):85-91. doi: 10.5935/1518-0557.20220024. PMID: 35916457; PMCID: PMC10065776.
- **2.** Jamal A. Embracing nature's therapeutic potential: Herbal medicine. International Journal of Multidisciplinary Sciences and Arts. 2023 Aug 5;2(1):117-26.
- 3. Mudhaliyar KNK: Siddha Maruthuvam (Podhu), Department of Indian Medicine and Homoeopathy, Chennai, Tamil Nadu, 2004, page no. -
- 4. S Merish & Thomas M Walter*, Antimicrobial activity and Escherichia coli biofilm destruction potency of Siddha formulation Sagadevi nei, Indian Journal of Traditional Knowledge Vol 18(3), July 2019, pp 536-540.
- 5. Chen L, Deng H, Cui H, Fang J, Zuo Z, Deng J, Li Y, Wang X, Zhao L. Inflammatory responses, and inflammation-associated diseases in organs. Oncotarget. 2017 Dec 14;9(6):7204-7218. doi: 10.18632/oncotarget.23208. PMID: 29467962; PMCID: PMC5805548.





Abarna and Manjari

- **6.** Mittal M, Siddiqui MR, Tran K, Reddy SP, Malik AB. Reactive oxygen species in inflammation and tissue injury. Antioxid Redox Signal. 2014 Mar 1;20(7):1126-67. doi: 10.1089/ars.2012.5149. Epub 2013 Oct 22. PMID: 23991888; PMCID: PMC3929010.
- 7. Abraham Vidal-Limon, José E. Aguilar-Toalá, and Andrea M. Liceaga, Integration of Molecular Docking Analysis and Molecular Dynamics Simulations for Studying Food Proteins and Bioactive Peptides, *Journal of Agricultural and Food Chemistry* **2022** *70* (4), 934-943, DOI: 10.1021/acs.jafc.1c06110
- 8. Stanzione F, Giangreco I, Cole JC. Use of molecular docking computational tools in drug discovery. Progress in Medicinal Chemistry. 2021 Jan 1; 60:273-343.
- 9. B. Abarna, V. Manjari, R. Madhavan, A Review of polyherbal formulation Sagadevi Nei (*Vernonia cinerea* ghee) in the management of Chronic Kidney Disorders, International Journal of Green and Herbal Chemistry, 2023 Dec 10, https://doi.org/10.24214/IJGHC/HC/13/1/00114.
- 10. B. Abarna, M. Sathyarathish, V. Manjari, K. Kalaivanan, Attenuation of Nephrotoxicity Induced with Cisplatin in HEK 293 Cell Lines by *Sagadevi Nei*, International Journal of Pharmaceutical Sciences, 2024.
- 11. Md. Ahsanul Haque, Md. Musfizur Hassan, Atanu Das, Bilkis Begum, Md. Yousuf Ali, Helal Morshed. Phytochemical investigation of *Vernonia cinerea* (Family: Asteraceae). Journal of Applied pharmaceutical sciences.2012;2(6):79.
- 12. Pastorino G, Cornara L, Soares S, Rodrigues F, Oliveira MBPP. Liquorice (Glycyrrhiza glabra): A phytochemical and pharmacological review. Phytother Res. 2018;32(12):2323-2339
- 13. Vandana Aneja. Plant Review. Phyto-pharmacology of Hemidesmus indicus. Pharmacognosy Reviews Vol 2, Issue 3, 2008
- 14. Batiha, G. E., Alkazmi, L. M., Wasef, L. G., Beshbishy, A. M., Nadwa, E. H., & Rashwan, E. K. (2020). *Syzygium aromaticum* L. (Myrtaceae): Traditional Uses, Bioactive Chemical Constituents, Pharmacological and Toxicological Activities. Biomolecules, 10(2), 202. https://doi.org/10.3390/biom10020202
- 15. Anshuly Tiwari. Piperine: A comprehensive review of methods of isolation, purification, and biological properties. Medicine in Drug Discovery .2020;7: 100027
- 16. Thongdonphum B, Vanichkul K, Bunchaleamchai A, Powthong P. In Vitro Antimicrobial Activity of Nymphaea pubescens (Pink Water Lily) Leaf Extracts. Plants. 2023; 12(20):3588. https://doi.org/10.3390/plants12203588
- 17. Kant, K., Walia, M., Agnihotri, V. K., Pathania, V., & Singh, B. (2013). Evaluation of Antioxidant Activity of *Picrorhiza kurroa* (Leaves) Extracts. Indian journal of pharmaceutical sciences, 75(3), 324–329. https://doi.org/10.4103/0250-474X.117438
- 18. Arumugam G, Swamy MK, Sinniah UR. *Plectranthus amboinicus* (Lour.) Spreng: Botanical, Phytochemical, Pharmacological and Nutritional Significance. Molecules. 2016;21(4):369
- 19. Gautam P. Vadnere. Phytochemical Investigation And In Vitro Antimicrobial Screening Of Santalum Album Seeds Extracts. International Journal of Pharmacy and Pharmaceutical Sciences, vol. 9, no. 10, Nov. 2017, pp. 117-24,
- 20. David A, Wang F, Sun X, Li H, Lin J, Li P, Deng G. Chemical Composition, Antioxidant, and Antimicrobial Activities of Vetiveria zizanioides (L.) Nash Essential Oil Extracted by Carbon Dioxide Expanded Ethanol. Molecules. 2019;24(10):1897
- 21. Carolina pena-serna. Chemical, physicochemical, microbiological, and sensory characterization of cow and buffalo ghee. Food Sci. Technol, Campinas, 40(2): 444-450.2020
- 22. Bikadi, Z., Hazai, E. Application of the PM6 semi-empirical method to modeling proteins enhances docking accuracy of AutoDock. J. Cheminf. 1, 15 (2009)
- 23. T. A. Halgren. *Merck molecular force field. I. Basis, form, scope, parametrization, and performance of MMFF94.* Journal of Computational Chemistry 17 (5-6), 490-519 (1998)
- 24. G. M. Morris, D. S. Goodsell, et al. *Automated docking using a Lamarckian genetic algorithm and an empirical binding free energy function*. Journal of Computational Chemistry 19 (14), 1639-1662(1998)
- 25. F. J. Solis and R. J. B. Wets. *Minimization by Random Search Techniques. Mathematical. Operations Research 6*, 1981:19-30.





Abarna and Manjari

- 26. Sivaraman Dhanasekaran, Pradeep Pushparaj Selvadoss, Solomon Sundar Manoharan. Anti-Fungal Potential of Structurally Diverse FDA-Approved Therapeutics Targeting Secreted Aspartyl Proteinase (SAP) of *Candida albicans*: an *In Silico* Drug Repurposing Approach. Applied Biochemistry and Biotechnology. 195(3):1983-1998. doi: 10.1007/s12010-022-04207-w.
- 27. Sivaraman Dhanasekaran, Pradeep Pushparaj Selvadoss, Solomon Sundar Manoharan, Srikanth Jeyabalan, Devi Rajeswari Vijayarangan. Revealing Anti-Fungal Potential of Plant-Derived Bioactive Therapeutics in Targeting Secreted Aspartyl Proteinase (SAP) of Candida albicans: A Molecular Dynamics Approach. Journal of Biomolecular Structure and Dynamics 2023. 6,1-15. https://doi.org/10.1080/07391102.2023.2196703.
- 28. Climent E, Benaiges D, Pedro-Botet J. Hydrophilic or Lipophilic Statins? Front Cardiovasc Med. 2021 May 20;8:687585. doi:10.3389/fcvm.2021.687585. PMID: 34095267; PMCID: PMC8172607.

Table – 1: List of Phytochemical Constituents and Ligand Properties of the Compounds Selected for Docking Analysis

Herbs	Compound	Molar weight g/mol	Molecular Formula	H Bond Donor	H Bond Acceptor	Rotatable bonds	References
Veronica cinerea	ß-sitosterol	414.7	C29H50O	1	1	6	7
Glycyrrhiza glabra	Glycyrrhizin	822.9	<u>C42H62O16</u>	8	16	7	8
Hemidesmus indicus	Rutin	610.5	<u>C27H30O16</u>	10	16	6	9
Syzygium aromaticum	β- caryophyllene	204.35	<u>C15H24</u>	0	0	0	10
Piper longum	Piperine	285.34	<u>C17H19NO3</u>	0	3	3	11
Nymphea pubescens	Gallic acid	170.12	C7H6O5	4	5	1	12
Picrorhiza kurroa	Picein	298.289	C14H18O7	4	7	4	13
Plectranthus vettiveroides	Thymol	150.221	C10H14O	1	1	1	14
Santalum album	Santalic acid	234.33	<u>C15H22O2</u>	1	2	4	15
Vetiveria Zizanioides	Vetivenene	202.33	C ₁₅ H ₂₄	0	0	0	16
Ghee	Linoleic acid	280.452	C18H32O2	1	2	14	17

Table – 2: Summary of the molecular docking studies of compounds against IL6 (Interleukin 6) (1N26)

Compounds	Est. Free Energy of	Est. Inhibition	Electrostatic	Total Intermolec.	Interact. Surface
	Binding	Constant, Ki	Energy	Energy	Surrace
	-7.83 kcal/mol	1.83 uM	-0.05	-9.07 kcal/mol	829.128
ß-sitosterol	-7.03 KCal/IIIOI	1.03 aivi	kcal/mol	-7.07 KCai/IIIOI	027.120
Glycyrrhizin	-9.23 kcal/mol	172.00 nM	-0.30	-8.96 kcal/mol	973.537
	-9.23 KCa1/11101	172.00 11101	kcal/mol	-0.90 KCal/11101	913.331
Rutin	11 07 k as l/max.	1 / 0 - 1 /	-0.04	/ 74 kaal/maal	077 101
	-11.97 kcal/mol	1.68 nM	kcal/mol	-6.74 kcal/mol	877.191
β-	F 72 kaal/mal	42 02 uN4	-0.42	F 72 kaal/mal	F10 404
caryophyllene	-5.73 kcal/mol	63.03 uM	kcal/mol	-5.73 kcal/mol	510.406
Piperine	7.07 kaal/mal	4.69 uM	-0.18	0.00 kaal/mal	424 702
	-7.27 kcal/mol	4.09 UIVI	kcal/mol	-8.08 kcal/mol	636.702





Abarna and Manjari

Gallic acid	-5.87 kcal/mol	49.45 uM	-0.41 kcal/mol	-5.40 kcal/mol	395.151
Picein	-6.95 kcal/mol	8.10 uM	-0.09 kcal/mol	-6.73 kcal/mol	542.617
Thymol	-5.44 kcal/mol	103.64 uM	-0.06 kcal/mol	-6.22 kcal/mol	444.491
Santalic acid	-6.24 kcal/mol	26.69 uM	-0.38 kcal/mol	-7.29 kcal/mol	543.049
Vetivenene	-6.93 kcal/mol	8.29 uM	-0.00 kcal/mol	-7.23 kcal/mol	513.961
Linoleic acid	-5.74 kcal/mol	61.89 uM	-0.83 kcal/mol	-9.34 kcal/mol	790.45

Table – 3: Interaction of prime phytochemical constituent's amino acid residues (His70, Asp71, Ser72, Val91, Pro117, Ser119, Thr120, Pro121, Ser122, Thr124, Thr125) with IL6 (Interleukin 6)

Compounds	Interaction	Amino acid Residues									
ß-sitosterol	5	46 PRO	69 LEU	70 HIS	<mark>72</mark> SER	90 LEU	93 VAL	119 SER	122 SER	123 LEU	124 THR
Glycyrrhizin	3	46 PRO	69 LEU	<mark>72</mark> SER	90 LEU	120 THR	122 SER	123 LEU	150 GLN		
Rutin	2	46 PRO	69 LEU	<mark>72</mark> SER	93 VAL	96 GLU	122 SER	123 LEU			
β-caryophyllene	1	121 PRO	123 LEU	126 LYS	148 TYR	155 PHE					
Piperine	6	69 LEU	93 VAL	95 PRO	96 GLU	115 TRP	117 PRO	119 SER	120 THR	121 PRO	122 SER
Gallic acid	1	121 PRO	126 LYS	147 GLN	148 TYR	155 PHE					
Picein	5	69 LEU	93 VAL	95 PRO	96 GLU	115 TRP	117 PRO	119 SER	120 THR	121 PRO	125 THR
Thymol	4	93 VAL	95 PRO	96 GLU	115 TRP	117 PRO	119 SER	121 PRO	125 THR	175 VAL	
Santalic acids	3	93 VAL	96 GLU	118 ARG	119 SER	121 PRO	125 THR				
Vetivenene	4	69 LEU	93 VAL	95 PRO	96 GLU	117 PRO	119 SER	121 PRO	125 THR		
Linoleic acid	3	69 LEU	93 VAL	120 THR	121 PRO	122 SER	123 LEU	126 LYS	148 TYR	155 PHE	

Table - 4 Summary of the molecular docking studies of compounds against TNF-alpha (2AZ5)

Compounds	Est. Free Energy of Binding	Est. Inhibition Constant, Ki	Electrostatic Energy	Total Intermolec. Energy	Interact. Surface
ß-sitosterol	-6.93 kcal/mol	8.32 uM	-0.01 kcal/mol	-8.80 kcal/mol	662.244





Abarna and Manjari

Glycyrrhizin	-10.08 kcal/mol	40.66 nM	-1.16 kcal/mol	-9.80 kcal/mol	800.96
Rutin	-11.52 kcal/mol	3.59 nM	-0.02 kcal/mol	-5.31 kcal/mol	580.046
β- caryophyllene	-5.11 kcal/mol	178.61 uM	-0.11 kcal/mol	-5.11 kcal/mol	395.96
Piperine	-6.02 kcal/mol	38.95 uM	-0.02 kcal/mol	-5.98 kcal/mol	458.503
Gallic acid	-4.47 kcal/mol	526.71 uM	-0.27 kcal/mol	-4.01 kcal/mol	334.523
Picein	-5.93 kcal/mol	44.73 uM	-0.07 kcal/mol	-5.93 kcal/mol	441.552
Thymol	-3.76 kcal/mol	1.76 mM	-0.06 kcal/mol	-4.38 kcal/mol	356.499
Santalic acid	-5.09 kcal/mol	185.18 uM	-0.10 kcal/mol	-5.82 kcal/mol	435.319
Vetivenene	-5.67 kcal/mol	69.85 uM	-0.24 kcal/mol	-5.97 kcal/mol	426.435
Linoleic acid	-4.53 kcal/mol	476.15 uM	-0.91 kcal/mol	-8.09 kcal/mol	

Table - 5: Interaction of prime phytochemical constituents' amino acid residues (Leu57, Tyr59, Tyr119, Gly121,

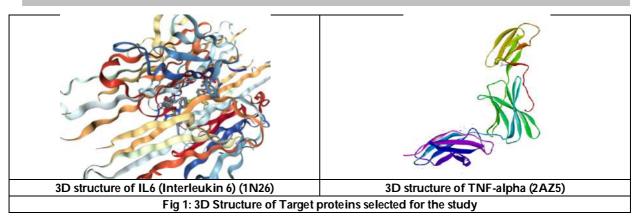
and Tyr151) TNF-alpha (2AZ5)

Compounds	Interaction	Amino acid Residues							
ß-sitosterol	3	<mark>59</mark>	94	<mark>119</mark>	120	<mark>151</mark>	155		
13-5110516101		<mark>TYR</mark>	LEU	<mark>TYR</mark>	LEU	<mark>TYR</mark>	ILE		
Charrenizin	3	<mark>57</mark>	<mark>59</mark>	61	96	98	118	<mark>119</mark>	120
Glycyrrhizin	ა	<mark>LEU</mark>	<mark>TYR</mark>	GLN	ALA	LYS	ILE	TYR	LEU
Rutin	3	<mark>59</mark>	<mark>119</mark>	<mark>151</mark>					
Rullii	ა	<mark>TYR</mark>	<mark>TYR</mark>	<mark>TYR</mark>					
0 caryonhyllona	3	<mark>59</mark>	61	<mark>119</mark>	<mark>151</mark>				
β-caryophyllene	3	TYR	GLN	<mark>TYR</mark>	TYR				
Diporino	2	<mark>57</mark>	<mark>59</mark>	<mark>151</mark>	155				
Piperine	3	<mark>LEU</mark>	<mark>TYR</mark>	<mark>TYR</mark>	ILE				
Callia asid	3	<mark>59</mark>	61	<mark>119</mark>	<mark>151</mark>				
Gallic acid		TYR	GLN	<mark>TYR</mark>	TYR				
Dissis	3	<mark>59</mark>	61	119	<mark>151</mark>				
Picein	3	TYR	GLN	TYR	TYR				
Thurson	3	<mark>59</mark>	61	119	<mark>151</mark>				
Thymol	3	TYR	GLN	<mark>TYR</mark>	TYR				
Caratalla asista	4	<mark>57</mark>	<mark>59</mark>	61	<mark>119</mark>	<mark>151</mark>			
Santalic acids	4	LEU	TYR	GLN	TYR	TYR			
\	4	<mark>57</mark>	<mark>59</mark>	<mark>119</mark>	<mark>151</mark>				
Vetivenene		LEU	TYR	TYR	TYR				
I implete and I	2	61	96	118	<mark>119</mark>	<mark>151</mark>			
Linoleic acid	2	GLN	ALA	ILE	TYR	TYR			





Abarna and Manjari



LIGAND **2D & 3D STRUCTURE LIGAND 2D & 3D STRUCTURE B-sitosterol** Gallic acid Ligand in 2D Glycyrrhizin **Picein** Rutin Thymol Ligand in 3D Santalic βcaryophyllene acids **Piperine** Vetivenene





Abarna and Manjari Linoleic acid Fig – 2: 2D and 3D Structure of Ligands Selected for the study





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Non-Steroidal Anti-Inflammatory Drug-Induced Peritonitis Secondary to Hollow Viscous Perforation - A Case Report

Shilia Jacob Kurian¹, P.B. Thrishuli² and Ann Vazhayil Kuruvilla^{3*}

1Student, Department of Pharmacy Practice, JSS College of Pharmacy, JSS Academy of Higher Education and Research, Mysuru, Karnataka, India.

²Associate professor, Department of Surgery, JSS Medical College, JSS Academy of Higher Education and Research Mysuru, Karnataka, India.

3Ph.D Scholar, Department of Pharmacy Practice, JSS College of Pharmacy, JSS Academy of Higher Education and Research, Mysuru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Ann Vazhayil Kuruvilla

Ph.D Scholar, Department of Pharmacy Practice,

JSS College of Pharmacy,

JSS Academy of Higher Education and Research,

Mysuru, Karnataka, India.

Email: annkuruvilla91@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

A 32-year-old male patient came to a tertiary care hospital with complaints of pain in the abdomen for three days which was sudden in onset, continuous in nature, stabbing type with a past medication history of consumption of tablet diclofenac 50milligram + paracetamol 325milligram thrice daily for 10 days. During the abdominal examination on admission, it was observed to be distended and diffused tenderness was present. Ultrasonography of the abdomen showed hollow viscous perforation. On exploratory laparotomy, a 0.5 x 0.1-centimeter perforation was seen in the duodenum, which was repaired using an omental patch. The final diagnosis on discharge was made as peritonitis secondary to duodenal perforation.

Keywords: NSAID, Peritonitis, hollow viscous perforation, duodenal perforation, diclofenac

INTRODUCTION

Globally, Nonsteroidal anti-inflammatory drugs (NSAID) are the most widely consumed class of analgesics(Singh G and Triadafilopoulos G., 1999). NSAIDs are known for their anti-inflammatory, antipyretic, and analgesic properties, hence they are commonly used in primary healthcare(Al-Shidhani A, Al-Rawahi N et al., 2015). Despite their effectiveness in pain relief, their use has been increasingly associated with gastroduodenal ulcers and other





Shilia Jacob Kurian et al.,

complications that may be attributable to the inhibition of prostaglandin synthesis (Cryer B and Feldman M.,1992;Gabriel SE and Jaakkimainen L,1991). Gastrointestinal (GI) complications caused by NSAIDs are well known in general; Predominantly upper GI complications are better documented compared to lower GI(Sostres C and Gargallo CJ, Lanas A.,2013). Hence, the same causing peritonitis secondary to hollow viscous perforation has not been well-documented (Singh G and Rosen Ramey D,1997). Hence, we report a case of a fixed drug combination of diclofenac and paracetamol-induced peritonitis secondary to hollow viscous perforation.

CASE

A 32-year-old male patient came to the emergency department with complaints of pain in the abdomen for three days which was sudden in onset, continuous in nature, stabbing type, and non-radiating. The patient was a smoker for seven years and an occasional alcoholic. He was a construction worker by profession and had given a history of trauma in the left forearm 24 days back, for which symptomatic management was provided at a government hospital and was started on tab. diclofenac 50 mg + paracetamol 325 mg thrice daily for 10 days. The patient did not give a history of consumption of any other medication and had no other comorbid conditions. During the abdominal examination on admission, it was observed to be distended, rigidity was more over the upper abdomen and diffused tenderness was present. Ultrasonography of the abdomen showed hollow viscous perforation. All the laboratory parameters were normal, except for the elevated Lipase levels (115U/L). Based on the above findings and patient's medical history, the provisional diagnosis was made as peritonitis secondary to hollow viscous perforation. The patient underwent an exploratory laparotomy under general anaesthesia with Graham's omentoplasty on an emergency basis. Thick plaques were present on the serosal surface of the duodenum and pyloric parts. Multiple collections of pus were present at the right and left iliac fossa (800cc). A perforation measuring 0.5 x 0.1 cm was observed in the anterior wall of the first segment of the duodenum. This perforation was fixed by using an omental patch.Multiple peritoneal washes were administered and bilateral drains were inserted. Postoperatively, the output was monitored and he was managed with the following medications which were administered as injections: Cefoperazone + Sulbactam 1.5gm, Metronidazole 500 mg, Paracetamol 1gm, and Pantoprazole 40mg for 7 days. The postoperative period was uneventful. The final diagnosis on discharge was made as peritonitis secondary to duodenal perforation. On discharge, the patient's vitals were stable. The sutures were intact, the wound was healthy and the patient was well tolerated orally. Antibiotics and analgesics were stopped and capsule Tramadol 50mg was started on an SOS basis.

DISCUSSION

This case describes a probable association between peritonitis secondary to duodenal hollow viscous perforation and diclofenac ingestion when consumed for a period of 10 days. NSAIDs are known to reduce inflammation associated with musculoskeletal conditions, hence frequently administered for low back pain, osteoarthritis, musculoskeletal injury, high-grade fever etc (Al-Shidhani A, Al-Rawahi Net al.,2015). They possess numerous medical benefits, and concurrently well-documented gastrointestinal adverse effects are available (from minor dyspepsia to major ulcers) (Al-Shidhani A, Al-Rawahi Net al.,2015; Gor AP and Saksena M.,2011; Chandwani HSet al.,2009). A similar probable association of peritonitis with diclofenac was reported in 2009, in which a female patient developed perforated peritonitis after nine days of treatment with 50mg, twice daily of diclofenac sodium (Chandwani HSet al., 2009). Ulcers usually manifest as necrotic or apoptotic injury to enterocytes, which can affect the deeper layers of the mucosa, leading to the loss of villi and the presence of an acute inflammatory cell infiltration. Enteropathy is the collective name for adverse drug reactions that occur in the duodenum, jejunum, and ileum (Boelsterli UAet al., 2012) Gastrointestinal disorders linked to NSAID use; range from dyspeptic symptoms, ulcerations, perforations, and in some cases hospitalization or death(Russell RI,2001;Forshaw MJet al.,2001). Multiple studies have shown that around two-thirds of patients, regardless of whether they used nonsteroidal anti-inflammatory drugs (NSAIDs) for a longterm period of more than three months or a short-term period of less than oneweek, experienced drug-induced lesions in their small intestine (Boelsterli UAet al., 2012). NSAIDs interfere with prostaglandin synthesis by inhibiting the cyclo-oxygenase pathway (Russell RI,2001), impair mucosal defence as the drug penetrates the protective mucous





Shilia Jacob Kurian et al.,

layers, and damages the epithelial lining cells. This further damage injures the cells, capillaries, and venules. Hence, the gastric secretions further contribute to pH-dependent local damaging effects(Ivey KJ,1988) The aetiologies of peritonitis are infectious pathogens through perforated bowel, or irritating chemical substances, namely gastric acid from a perforated ulcer (Thirumalagiri VR.2017). In the case described here, the patient was on multiple doses of diclofenac sodium orally for two weeks. The subsequent patient history, presenting signs and symptoms, and diagnostic test findings were unable to identify any etiology for duodenal perforations other than NSAID-induced enteropathy. Therefore, it was diagnosed as a case of Peritonitis secondary to diclofenac-induced multiple duodenal perforations. Multiple studies have reported NSAID-induced small bowel ulcers and erosions, mucosal haemorrhage, and erythema which were confirmed by endoscopic studies and colonoscopy, further confirming autopsy data. Studies also reported that 40% of rheumatic patients on NSAIDs experience these complications (Chandwani HSet al.,2009;Forshaw MJet al.,2001;Lanas Aet al.,1992;Kurahara Ket al.,2001;Graham DYet al.,2005)Among these NSAIDs, diclofenac is considered as an agent with comparatively less GI side effects. Studies state that 20% of patients on diclofenac for long-term management experience adverse events, of which 2% discontinued, primarily due to GI distress (Gor AP and Saksena M., 2011; Van Walsem A. et al., 2015) However, the occurrence of peritonitis secondary to hollow viscous perforation due to short-term consumption of NSAIDs is relatively rare and in this case, the patient had confirmed use for a duration of merely ten days. Aside from age, gender, prior ulcer history, smoking, alcohol intake, concurrent drug usage, and the presence of Helicobacter pylori infection have been identified as factors that can significantly contribute to gastrointestinal damage (Chandwani HSet al.,2009). In this case, the damage may have been further accelerated by the patient's gender and social history like smoking and alcoholism. Appropriately counselling patients to take the medication after meals and simultaneously administering mucosa-protective drugs such as PPIs, H2 receptor antagonists and synthetic prostaglandin (eg: misoprostol) can attain prevention of unnecessary GI events due to NSAIDs Further co-administration with drugs that can increase the risk of GI irritation such as anticoagulants and oral corticosteroids should be avoided. Moreover, NSAIDs should be avoided or administered at lower doses in patients with a previous history of GI ulcers secondary to NSAID use (Chandwani HSet al., 2009; Laine L., 2001). The Naranjo Scale was employed to evaluate the causality of the adverse drug reaction (ADR) (Naranjo CAet al.,1981). The assessment revealed the ADR to be 'probably' associated with diclofenac.

CONCLUSION

Over time, the use of NSAIDs has grown and is still growing. These could adversely affect any part of the gastrointestinal tract causing multiple ileal erosions and perforation, and hence NSAIDs should be used with caution.

REFERENCES

- Singh G, Triadafilopoulos G. Epidemiology of NSAID induced gastrointestinal complications. J Rheumatol 1999;26:Suppl 56:18-24
- 2. Al-Shidhani A, Al-Rawahi N, Al-Rawahi A. Non-steroidal anti-inflammatory drugs (NSAIDs) use in primary health care centers in A'Seeb, Muscat: a clinical audit. Oman Medical Journal. 2015 Sep;30(5):366.
- 3. Cryer B, Feldman M. Effects of nonsteroidal anti-inflammatory drugs on endogenous gastrointestinal prostaglandins and therapeutic strategies for prevention and treatment of nonsteroidal, anti-inflammatory druginduced damage. Arch Intern Med 1992;152:1145–1155.4
- 4. Gabriel SE, Jaakkimainen L, Bombardier C. Risk for serious gastrointestinal complications related to use of nonsteroidal anti-inflammatory drugs: a meta-analysis. Ann Intern Med.1991;115:787-796
- 5. Sostres C, Gargallo CJ, Lanas A. Nonsteroidal anti-inflammatory drugs and upper and lower gastrointestinal mucosal damage. Arthritis research & therapy. 2013 Jul;15:1-8.
- 6. Singh G, Rosen Ramey D: NSAID induced gastrointestinal complications: the ARAMIS perspective 1997. Arthritis, Rheumatism, and Aging Medical Information System. *J Rheumatol Supplement* 1998, 51:8–16.





Shilia Jacob Kurian et al.,

- 7. Gor AP, Saksena M. Adverse drug reactions of nonsteroidal antiinflammatory drugs in orthopedic patients. Journal of Pharmacology and Pharmacotherapeutics. 2011 Mar;2(1):26-9.
- 8. Chandwani HS, Kanodra NM, Thapar VB, Gogtay NJ. Perforative Peritonitis Probably Caused by Short-Term Use of Diclofenac Sodium. Journal of Association of Physicians of India. 2009;57(JUN).
- 9. Boelsterli UA, Redinbo MR, Saitta KS. Multiple NSAID-induced hits injure the small intestine: underlying mechanisms and novel strategies. toxicological sciences. 2012 Oct 22;131(2):654-67.
- 10. Russell RI: Non-steroidal anti-inflammatory drugs and gastrointestinal damage problems and solutions. Postgrad Med J 2001;77:82–88
- 11. Forshaw MJ, Zayyan K, Power DM. NSAID-induced small bowel perforation. ANZ Journal of Surgery. 2001 Apr 1;71(4):255-6.
- 12. Ivey KJ. Mechanisms of nonsteroidal anti-inflammatory drug-induced gastric damage: actions of therapeutic agents. Am J Med 1988;84:Suppl 2A:41-48
- 13. Thirumalagiri VR. Acute peritonitis secondary to hollow viscous perforation: a clinical study. International Surgery Journal. 2017 Jun 22;4(7):2262-9.
- 14. Lanas A, Sekar MC, Hirschowitz BI. Objective evidence of aspirin use in both ulcer and nonulcer upper and lower gastrointestinal bleeding. Gastroenterology. 1992 Sep 1;103(3):862-9.
- 15. Kurahara K, Matsumoto T, Iida M, Honda K, Yao T, Fujishima M. Clinical and endoscopic features of nonsteroidal anti-inflammatory drug-induced colonic ulcerations. The American journal of gastroenterology. 2001 Feb 1;96(2):473-80.
- 16. Graham DY, Opekun AR, Willingham FF, Qureshi WA. Visible small-intestinal mucosal injury in chronic NSAID users. Clinical Gastroenterology and Hepatology. 2005 Jan 1;3(1):55-9.
- 17. Van Walsem A, Pandhi S, Nixon RM, Guyot P, Karabis A, Moore RA. Relative benefit-risk comparing diclofenac to other traditional non-steroidal anti-inflammatory drugs and cyclooxygenase-2 inhibitors in patients with osteoarthritis or rheumatoid arthritis: a network meta-analysis. Arthritis research & therapy. 2015 Dec;17:1-8.
- 18. Laine L. Approaches to nonsteroidal anti-inflammatory drug use in the high-risk patient. Gastroenterology. 2001 Feb 1;120(3):594-606.
- 19. Naranjo CA, Busto U, Sellers EM, et al. A method for estimating the probability of adverse drug reactions. Clin Pharmacol Ther 1981:30:239-45





International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Measurement of Skull Size by using Computed Tomography for the **Development of Bone Conduction Headset**

Mohit Saini¹, Viswanath Pratap Singh^{2*}, Pushpendra Kumar Rajput², Shiv Kailash Yadav², Ankit Kumar², Shmriti², Akanksha Singh² and Pankaj Kishor Mishra³

¹M.Sc Scholar, Department of Paramedical Sciences, Subharti Medical College, Swami Vivekanand Subharti University, Meerut, Uttar Pradesh, India.

²Assistant Professor, Department of Paramedical Sciences, Subharti Medical College, Swami Vivekanand Subharti University, Meerut, Uttar Pradesh, India.

³Professor, Department of Paramedical Sciences, Subharti Medical College, Swami Vivekanand Subharti University, Meerut, Uttar Pradesh, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Viswanath Pratap Singh

Assistant Professor, Department of Paramedical Sciences, Subharti Medical College, Swami Vivekanand Subharti University,

Meerut, Uttar Pradesh, India.

Email: viswanathps1993@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Bone conduction headsets have made tremendous technological advances, providing greater hearing experience by delivering sound via the skull bones directly into the inner ear of deaf patients and those who are having hearing loss issues. Optimal comfort and effectiveness in these devices are dependent on exact measurements of individual skull sizes. This paper investigates the history of skull size assessment methodologies, with an emphasis on the transformational impact of computed tomography (CT) in improving the design and personalization of bone conduction headsets. We illustrate the limits of older approaches while emphasizing the critical contributions of CT imaging. The paper explores CT concepts and uses in anatomical imaging, stressing its superior ability to provide precise and comprehensive skull measurements. The CT scanner measures the different parameters including length, width, circumferences and even temporal bone thickness. This will help the people suffering from hearing loss and help them to revive their hearing capability by development for better fitted BCIs with correct measurement via CT scan machine.

Keywords: Bone conduction headsets, Hearing loss, computed tomography, temporal bone thickness.





Mohit Saini et al.,

INTRODUCTION

A Computed Tomography Scan, often known as a CT scan, is a medical imaging method that employs X-rays to generate detailed image of the internal structures within the body, such as bones, muscles, blood arteries, and organs. CT scans are also reasonably pleasant and speedy. Godfrey Hounsfield, a British engineer, created the first CT scanner in 1971. In 1979, Hounsfield received the Nobel Prize in Physiology or Medicine for his work on CT scanning (Mohd. Waheed EI Anwar et al.,2017). A Bone Conduction Implant (BCI) is a surgically implanted device that aids hearing loss by bypassing the outer and middle ear and stimulating the inner ear directly. People with conductive hearing loss, mixed hearing loss, and single-sided deafness are treated using BCI(Cheol Hyo Ku et al., 2019). it functions by transforming sound waves into vibrations that are communicated to the inner ear via the skull. These vibrations are subsequently sent to the brain, where they are processed as sound. Hearing Loss is the inability to hear sound in one or both ears. People with hearing loss account for 5.3% of the global population. Hearing loss is classified based on ear anatomy, type of hearing loss, illness severity, and audiogram configuration(Aaron R. Baker et al., 2016). When the hearing loss has been properly defined, the appropriate medical intervention can be allocated.

Classification of Hearing Loss

Based on cause

- 1. Conductive hearing loss: It is caused by an issue in the outer or middle ear that inhibits sound waves from reaching the inner ear. Earwax accumulation, infection, fluid in the middle ear, or a ruptured eardrum can all cause this sort of hearing loss.
- 2. Sensorineural hearing loss: This condition is caused by injury to the inner ear or the cochlea. This form of hearing loss is typically irreversible and cannot be treated with surgery or medicines.
- 3. Mixed hearing loss: It is the mix of conductive and sensorineural hearing
- 4. Based on severity:
- 5. Mild hearing loss: The least severe kind of hearing loss is mild hearing loss. In loud surroundings, people with this condition may have difficulties hearing subtle noises or conversations.
- 6. Moderate hearing loss: The person may have difficulties hearing quiet and medium noises. He/she may also struggle to interpret speech in loud situations.
- 7. Severe hearing loss: The person may struggle to hear medium and loud noises. He/she may also struggle to understand speech if they do not utilize hearing aids or other assistive technologies.
- 8. Profound hearing loss: The person might hear very little or nothing at all. Lip reading or sign language may be used to communicate. The design of the head set body controls the amount of contact and pressure between the head and the device, which is critical for sound transmission efficiency. As a result, bone conduction hearing aids should be designed to fit the head size of a certain demographic. Computed tomography imaging allows for the assessment of any skull bone structure and shape that cannot be measured physically (Cheol Hyo Ku et al., 2019). In this work, we assessed the head dimensions using several parameters.

Historical Perspectives

Bone conduction technology was initially developed in the early 1800s by the renowned scientist Giovanni Batista Morgagni, who noticed vibrations passing through the skull. But it wasn't until the 20th century and the invention of bone conduction hearing aids. And these findings translated into real-world uses. In order to help transmit sound, early devices were crude and frequently resembled headbands or clamps that pushed on the skull. Even with the advancements, it was still difficult to determine skull size accurately. Conventional techniques, which relied on calipers and uniform templates, could only approximate cranial measurements in a broad way. This restriction limited the general acceptance of early bone conduction devices in addition to impeding their effectiveness. With the introduction of computed tomography (CT) in the 1970s, the historical trajectory of skull size assessment underwent a sea change(Dong Su Jang et al., 2020). This was a revolutionary time since CT scans made it possible for researchers and developers to recreate skulls in three dimensions, going beyond simple measurements to explore the detailed features of individual skulls. The capacity to accurately measure and examine cranial features opened the door to





Mohit Saini et al.,

customized designs that addressed the natural differences in the morphology of the skull between people(**Aaron R. Baker et al., 2016**). The historical progression from simple bone conduction aids to the complex headsets of today is closely associated with the incorporation of CT-based estimations of skull size. The combination of computed tomography and bone conduction technologies marks a significant turning point in this historical narrative.

Computed tomography for skull size measurement

The development of computed tomography (CT) has completely changed the field of medical imaging by providing detailed and accurate anatomical evaluations.

- 1. **Principles of Computed Tomography** Based on the ideas of X-ray imaging, computed tomography is unique in that it can provide cross-sectional image of the body. Through the rotation of an X-ray source around the subject and the use of detectors to monitor the radiation that is delivered, CT scans provide comprehensive, three-dimensional images of interior structures.
- 2. **Benefits Compared to Conventional Approaches** The depth three-dimensional morphology of the skull is frequently difficult to capture using conventional methods, which results in inaccurate measurements. On the other hand, a CT scan offers a detailed image of the interior and exterior characteristics of the skull, making it possible to measure the volume, breadth, and length of the structure precisely. This precision is crucial for the unique design factors that are vital for the development of bone conduction headsets.

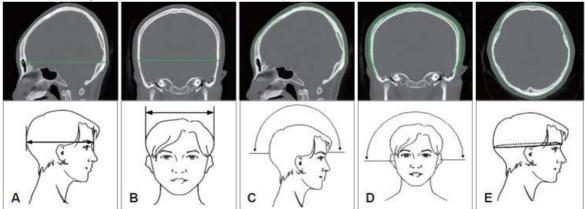


Figure 1: head length (A), head width (B), sagittal arc (C), bitragion arc (D), head circumference (E)

- **3. Customized Imaging procedures**by customizing CT scans to target certain regions of interest, researchers may ensure precise data that are pertinent to the construction of bone conduction headsets. Furthermore, improvements in imaging methods, including multi-detector CT and high-resolution CT, enhance the capacity to catch minute features and provide a more complex image of cranial morphology.
- **4. Accuracy in Customized Headset Design** The combination of CT scan and skull size estimation is consistent with the trend toward customized audio technologies. Individual differences in the structure of the skull can have a big impact on how comfortable and effective bone conduction headsets are. With the degree of accuracy made possible by CT, designers can now take these variances into consideration and create headsets that flawlessly fit each user's individual skull shape, including case studies and instances where CT-derived measurements of skull size have significantly advanced the state-of-the-art in customized audio technology.

Applications in Bone Conduction Headset Development

Computed tomography (CT) is becoming a vital tool for measuring skull size, and its uses go well beyond simple medical diagnoses. When it comes to improving the overall user experience, maximizing comfort, and fine-tuning design parameters, CT-derived data is essential to the development of bone conduction headsets.

1. **Customization accuracy** CT scans offer a thorough picture of a person's skull morphology. The precise length, breadth, and volume measurements allow for the production of headset components that are tailored to precisely suit each user's unique skull characteristics(**Hyun-Ja Lee et al., 2008**).





Mohit Saini et al.,

- 2. Customized Transducer Positioning In bone conduction headsets, the positioning of transducers has a significant impact on the effectiveness of sound transmission. Designers can see the interior features of the skull via CT scans, which helps them determine the best places to put transducers depending on each person's anatomy(Panagiotis A Dimitriadis et al., 2017). With this focused approach, acoustic leakage is reduced, sound quality is improved, and an immersive audio experience is enhanced.
- 3. **Evaluation of Temporal Bone Characteristics** Bone conduction technology performance is highly influenced by the morphology of the temporal bone. A thorough analysis of the temporal bone structure is made possible by CT imaging, which makes it easier to spot differences that can affect headset design**(Mark C Flynn et al., 2012)**. This information enables designers to modify their strategy, taking individual variances into consideration and maximizing the headset's compatibility with a variety of temporal bone properties.
- 4. **Effect on Headset Comfort** Beyond the technical elements, bone conduction headset ergonomic design is influenced by CT-derived skull size measurements. Designers can address pressure spots and create headbands or support structures that decrease pain during continuous usage by taking into account each person's unique skull characteristics(**Gaeun Kim et al., 2017**).
- 5. **Case Research and Practical Uses** Several case studies and practical applications demonstrate the effectiveness of CT-derived skull size measurements in bone conduction headset creation. By analyzing these cases, we may get insight into how CT technology has helped solve design problems, enhance user experience, and advance audio technology. The aforementioned applications highlight the revolutionary influence of CT in molding the forthcoming of customized and superior audio solutions.

Comparison differences between Conventional methods and CT scan for measurements

- 1. **Precision and Accuracy** traditional methods of measuring skull size, such as calipers and tape measures, may lack the precision required for intricate anatomical details, leading to potential inaccuracies. Whereas, CT provides high-resolution, three-dimensional images, offering unparalleled precision in skull size measurements (**Xu Tian et al., 2020**). The detailed cross-sectional images enable precise assessments of bone structures, minimizing measurement errors.
- 2. **Time Efficiency** Traditional measurements can be time-consuming, especially when attempting to capture intricate details manually. Whereas, CT scans provide rapid acquisition of detailed images, significantly reducing the time required for skull size assessment. This efficiency is crucial for timely and effective development of personalized bone conduction devices.
- 3. **Personalization Potential** Conventional methods may offer limited options for personalization, relying on basic external measurements. Whereas, CT facilitates highly personalized design by capturing detailed anatomical features, enabling the development of bone conduction devices that precisely conform to the individual's skull structure.

Challenges and Future Directions

Although the use of computed tomography (CT) has unquestionably transformed the determination of skull size for the creation of bone conduction headsets, there are still difficulties in integrating this technology. It is critical to address these issues as we go through the current environment and set the path for future developments that will strengthen the relationship between audio technology and CT imaging.

- 1. **Ethical Concerns** Patient permission, privacy, and data security are among the ethical issues raised by the collection and use of CT data for the creation of customized audio devices. It is crucial to strike a balance between innovation and morality, which calls for precise rules and strong safeguards to protect user privacy and data integrity **(M E Zernotti et al., 2019).**
- 2. **Radiation Exposure Concerns** Although at very low doses, CT imaging exposes patients to ionizing radiation. Although radiation doses have decreased due to advances in CT technology, there are still worries about repetitive exposure, particularly in applications that need frequent measurements or longitudinal research.
- 3. **Handling Variability in Soft Tissues** Computed tomography (CT) is a valuable tool for identifying bone structures, but it can have difficulties when it comes to precisely defining soft tissues. The accuracy of skull size measurements can be affected by variations in soft tissue properties, particularly in areas that are crucial for the





Mohit Saini et al.,

positioning of headsets(Farid Alzhrani,2019). Suggestions for future work include investigating supplementary imaging modalities or image processing approaches to improve measurement accuracy and soft tissue visibility.

- 4. Integration with Artificial Intelligence (AI) The use of AI in the development of bone conduction headsets will be crucial for the future of skull size measuring. Large-scale CT dataset analysis may be streamlined by AI algorithms, which can also automate measurements and spot subtle patterns that would be missed by human inspection. Research and development procedures might be sped up by this integration, which would also improve the accuracy of measurement results.
- 5. **Multidisciplinary Collaboration** Teams comprising radiologists, audiologists, engineers, and ethicists must work together successfully to integrate CT imaging into the creation of bone conduction headsets. It is recommended that future initiatives prioritize multidisciplinary techniques, communication, and information exchange in order to handle difficulties from several angles and improve the overall caliber of research findings(**Timo Gerdes et al., 2016**).

CONCLUSION

The combination of computed tomography (CT) imaging and skull size assessment in the field of bone conduction headset development is a testament to the revolutionary potential of technology in defining the future of individualized audio experiences. The impact of CT becomes clear as we follow its historical development from crude bone conduction aids to customized, high-tech headsets. By providing a three-dimensional window into the intricacies of each individual's cranial anatomy, the accuracy provided by CT in the assessment of skull size has advanced the field beyond the limitations of conventional approaches. To guarantee the appropriate and fair integration of CT technology, obstacles like radiation exposure concerns, ethical issues, and the requirement for standardization must be overcome. Prospective paths for the future include integrating artificial intelligence, collaborating across disciplines, and going beyond skull size to examine wider cranial traits. The discipline has the potential to improve not just how we estimate skull size but also how we understand and work with the complex subtleties of human anatomy in the years to come. The combination of CT and audio technologies redefines the user experience for bone conduction headsets by providing previously unattainable levels of personalization, comfort, and audio fidelity. As we go into the future, the trip from the foundations of history to the vanguard of innovation is a monument to the perseverance of scientific research and the limitless capacity of technology to influence our perceptions of and interactions with the auditory world.

REFERENCES

- 1. El Anwar, M. W., Ali, A. H., Elnashar, I., Elfiki, I. M., & Ahmed, A. F. (2017). Normal Nasopharyngeal Measurement by Computed Tomography in Adult. Journal of Craniofacial Surgery, Web of Science, DOI: 10.1097/SCS.000000000003764
- 2. Mi, W., Zhang, C., Wang, H., Cao, J., Yang, T., & Yang, L. (2015). Measurement and Analysis of the Tracheobronchial Tree in Chinese Population Using Computed Tomography. Plos One, Web of Science, DOI: 10.1371/journal.pone.0123177
- 3. Abd Elrahim, E. (2020). Computed Tomography Evaluation of Renal Artery Morphometry in Adults. Saudi Medical Journal, Web of Science, DOI: 10.15537/Smj.2020.1.24795
- 4. Ku, C. H., Kim, S. W., Paik, S. W., Yang, H. J., Lee, J. H., & Seo, Y. J. (2019). Measurement of Skull Size on Computed Tomography Images for Developing a Bone Conduction Headset Suitable for the Korean Standard Head Size. Journal Audiology and Otology, Web of Science, DOI: 10.7874/Jao.2019.00290
- 5. Jang, D. S., Shin, D. H., Han, W., Kong, T. H., & Seo, Y. J. (2020). Baha Attract Implantation Using a Small Incision: Initial Report of Surgical Technique and Surveillance. Clinical and Experimental Otorhinolaryngology, Web of Science, DOI: 10.21053/ceo.2019.00381





Mohit Saini et al.,

- 6. Baker, A. R., Fanelli, D. G., Kanekar, S., & Isildak, H. (2016). A Retrospective Review of Temporal Bone Imaging with Respect to Bone-Anchored Hearing Aid Placement. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001235
- 7. Kong, T. H., Kwak, C., Han, W., & Seo, Y. J. (2019). Evaluation of wireless Bluetooth devices to improve recognition of speech and sentences when using a mobile phone in bone conduction device recipients. European Archives of Oto-Rhino Laryngology, Web of Science, DOI: 10.1007/s00405-019-05516-3
- 8. Wolfe, J., Duke, M. M., Schafer, E., Cire, G., Menapace, C., & O'Neill, L. (2016). Evaluation of a wireless audio streaming accessory to improve mobile telephone performance of cochlear implant users. International Journal of Audiology, Web of Science, DOI: 10.3109/14992027.2015.1095359
- 9. Lee, H. J., & Park, S. J. (2008). Comparison of Korean and Japanese head and face anthropometric characteristics. Bio One, Web of Science, DOI: 10.3378/1534-6617-80.3.313
- Dimitriadis, P. A., Hind, D., Wright, K., Proctor, V., Greenwood, L., Carrick, S., & Ray, J. (2017). Single-center Experience of Over a Hundred Implantations of a Transcutaneous Bone Conduction Device. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001529
- 11. Ball, R., Shu, C., Xi, P., Rioux, M., Luximon, Y., &Molenbroek, J. (2010). A comparison between Chinese and Caucasian head shapes. Elsevier, Web of Science, DOI: 10.1016/j.apergo.2010.02.002
- 12. Kim, G., Ju, H. M., Lee, S. H., Kim, H. S., Kwon, J. A., & Seo, Y. J. (2017). Efficacy of Bone-Anchored Hearing Aids in Single-Sided Deafness: A Systematic Review. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001359
- Tian, X., Gao, Z. Q., Zhang, Z. H., Chen, Y., Zhao, Y., & Feng, G. D. (2020). Validation and Precision of Mixed Reality Technology in Baha Attract Implant Surgery. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000002749
- 14. Richards, J. P., Symms, J. T., Beasley, K., & Coffman, H. M. S. (2020). Bone conduction implants. Current opinion in otolaryngology & head and neck surgery, Web of Science, DOI: 10.1097/MOO.00000000000000053
- 15. Flynn, M. C., & Hillbratt, M. (2012). Improving the Accuracy of Baha Fittings through Measures of Direct Bone Conduction. Otology & Neurotology, Web of Science, DOI: 10.3342/ceo.2012.5.S1.S43
- 16. Chen, S. Y., Mancuso, D., & Lalwani, A. K. (2017). Skin Necrosis After Implantation With the BAHA Attract: A Case Report and Review of the Literature. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001327
- 17. Oberlies, N. R., Castaño, J. E., Freiser, M. E., McCoy, J. L., Shaffer, A. D., & Jabbour, N. (2020). Outcomes of BAHA connect vs BAHA attract in pediatric patients. International Journal of Pediatric Otorhinolaryngology, Web of Science, DOI: 10.1016/j.ijporl.2020.110125
- 18. den Besten, C. A., Monksfield, P., Bosman, A., Skarzynski, P. H., Green, K., Runge, C., & Wigren, S. (2018). Audiological and clinical outcomes of a transcutaneous bone conduction hearing implant: Six-month results from a multicentre study. Clinical Otolaryngology, Web of Science, DOI: 10.1111/coa.13248
- Briggs, R., Van Hasselt, A., Luntz, M., Goycoolea, M., Wigren, S., Weber, P., Smeds, H., Flynn, M., & Cowan, R. (2015). Clinical Performance of a New Magnetic Bone Conduction Hearing Implant System: Results from a Prospective, Multicenter, Clinical Investigation. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000000012
- 20. Nevoux, J., Coudert, C., Boulet, M., Czajka, C., Tavernier, L., Daval, M., & Ayache, D. (2018). Transcutaneous Baha Attract system: long-term outcomes of the French multicenter study. Clinical Otolaryngology, Web of Science. DOI: 10.1111/coa.13214
- 21. Zhang, L., Gao, N., Yin, Y., Yang, L., Xie, Y., Chen, Y., Dai, P., & Zhang, T. (2016). Bone conduction hearing in congenital aural atresia. International Archives Of Otorhinolaryngology, Web of Science, DOI: 10.1007/s00405-015-3727-1
- 22. Ngui, L. X., & Tang, I. P. (2018). Bone bridge transcutaneous bone conduction implant in children with congenital aural atresia: surgical and audiological outcomes. The Journal of Laryngology & Otology, Web of Science, DOI: 10.1017/S0022215118001123
- 23. Zernotti, M. E., Chiaraviglio, M. M., Mauricio, S. B., Tabernero, P. A., Zernotti, M., & Di Gregorio, M. F. (2019). Audiological outcomes in patients with congenital aural atresia implanted with transcutaneous active bone





Mohit Saini et al.,

- conduction hearing implant. International Journal of Pediatric Otorhinolaryngology, Web of Science, DOI: 10.1016/j.ijporl.2019.01.016
- 24. Sprinzl, G. M., Toner, J., Koitschev, A., Berger, N., Keintzel, T., Rasse, T., Baumgartner, W. D., Honeder, C., Magele, A., Plontke, S., Götze, G., Schmutzhard, J., Zelger, P., Corkill, S., Lenarz, T., &Salcher, R. (2023). Multicentric study on surgical information and early safety and performance results with the Bone bridge BCI 602: an active transcutaneous bone conduction hearing implant. International Archives Of Otorhinolaryngology, Web of Science, DOI: 10.1007/s00405-022-07792-y
- 25. Cywka, K. B., Skarzynski, P. H., Krol, B., Hatzopoulos, S., & Skarzynski, H. (2022). Evaluation of the Bone bridge BCI 602 active bone conductive implant in adults: efficacy and stability of audiological, surgical, and functional outcomes. European Archives of Oto-Rhino-Laryngology, Web of Science, DOI: 10.1007/s00405-022-07265-2
- 26. Koitschev, A., Neudert, M., & Lenarz, T. (2023). A bone conduction implant using self-drilling screws: Self-drilling screws as a new fixation method of an active transcutaneous bone conduction hearing implant. HNO, Web of Science, DOI: 10.1007/s00106-023-01295-w
- 27. Weiss, R., Leinung, M., Baumann, U., Weißgerber, T., Rader, T., & Stöver, T. (2017). Improvement of speech perception in quiet and in noise without decreasing localization abilities with the bone conduction device Bone bridge. European Archives Of Oto-Rhino-Laryngology, Web of Science, DOI: 10.1007/s00405-016-4434-2
- 28. Alzhrani, F. (2019). Objective and subjective results of the Bonebridge transcutaneous active direct-drive bone conduction hearing implant. Saudi Medical Journal, Web of Science, DOI: 10.15537/smj.2019.8.24383
- 29. Gerdes, T., Salcher, R. B., Schwab, B., Lenarz, T., & Maier, H. (2016). Comparison of Audiological Results Between a Transcutaneous and a Percutaneous Bone Conduction Instrument in Conductive Hearing Loss. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001010
- 30. Salcher, R., Zimmermann, D., Giere, T., Lenarz, T., & Maier, H. (2017). Audiological Results in SSD With an Active Transcutaneous Bone Conduction Implant at a Retrosigmoidal Position. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.000000000001394
- 31. Xi, X., Zhao, A. R., Pang, X. H., Zhang, L. H., Wang, Q., Wang, Y., Su, Y. Y., Yuan, L. D., Zhao, Q., & Shi, Q. (2021). Preliminary audiological evaluation of the SoundBite bone conduction devices in adults with single-sided deafness. Otology & Neurotology, Web of Science, DOI: 10.3760/cma.j.cn115330-20200602-00465
- 32. Luo, Q., Shen, Y., Chen, T., Zheng, Z., Shi, H., Feng, Y., & Chen, Z. (2020). Effects of SoundBite Bone Conduction Hearing Aids on Speech Recognition and Quality of Life in Patients with Single-Sided Deafness. Neural Plasticity, Web of Science, DOI: 10.1155/2020/4106949
- 33. Cantore, I., Cianfrone, F., Tauro, F., Bevilacqua, P., De Carli, P., Bianco, F., Di Carmine, F., & Ruscito, P. (2023). Bone-Anchored Hearing Devices for Single-Sided Deafness: A New Preoperative Evaluation Protocol and Widening of Indications Proposal. Audiology and Neurotology, Web of Science, DOI: 10.1159/000528412
- 34. Ellsperman, S., Zwolan, T. A., &Telian, S. A. (2021). Rehabilitation for unilateral deafness Narrative review comparing a novel bone conduction solution with existing options. American Journal of Otolaryngology, Web of Science, DOI: 10.1016/j.amjoto.2021.103060
- 35. Dorbeau, C., Galvin, J., Fu, Q. J., Legris, E., Marx, M., &Bakhos, D. (2018). Binaural Perception in Single-Sided Deaf Cochlear Implant Users with Unrestricted or Restricted Acoustic Hearing in the Non-Implanted Ear. Audiology and Neurotology, Web of Science, DOI: 10.1159/000490879
- 36. Snik, A., Agterberg, M., & Bosman, A. (2015). How to quantify binaural hearing in patients with unilateral hearing using hearing implants. Audiology and Neurotology, Web of Science, DOI: 10.1159/000380747
- 37. Kitterick, P. T., Smith, S. N., & Lucas, L. (2016). Hearing Instruments for Unilateral Severe-to-Profound Sensorineural Hearing Loss in Adults: A Systematic Review and Meta-Analysis. Ear and Hearing, Web of Science, DOI: 10.1097/AUD.0000000000000313
- 38. Rauch, A. K., Wesarg, T., Aschendorff, A., Speck, I., & Arndt, S. (2022). Long-term data of the new transcutaneous partially implantable bone conduction hearing system Osia. European Archives of Oto-Rhino-Laryngology, Web of Science, DOI: 10.1007/s00405-021-07167-9





Mohit Saini et al.,

- 39. Kim, Y., Choe, G., Oh, H., & Choi, B. Y. (2023). A comparative study of audiological outcomes and compliance between the Osia system and other bone conduction hearing implants. European Archives of Oto-Rhino-Laryngology, Web of Science, DOI: 10.1007/s00405-022-07715-x
- 40. Sprinzl, G. M., & Wolf-Magele, A. (2016). The Bonebridge Bone Conduction Hearing Implant: indication criteria, surgery and a systematic review of the literature. Clinical otolaryngology, Web of Science, DOI: 10.1111/coa.12484
- 41. Sprinzl, G. M., & Bravo Sarasty, A. (2015). Active Bone Conduction Prosthesis: Bonebridge(TM). International Archives of Otorhinolaryngology, Web of Science, DOI: 10.1055/s-0035-1564329
- 42. Di Stadio, A., Dipietro, L., De Lucia, A., Trabalzini, F., Ricci, G., Martines, F., Pastore, V., &della Volpe, A. (2019). E-ABR in Patients with Cochlear Implant: A Comparison between Patients with Malformed Cochlea and Normal Cochlea. The Journal of International Advanced Otology, Web of Science, DOI: 10.5152/iao.2019.6251
- 43. Kim, Y., Han, W., Park, S., You, S., Kwak, C., Seo, Y., & Lee, J. (2020). Better Understanding of Direct Bone-Conduction Measurement: Comparison with Frequency-Specific Bone-Conduction Tones and Brainstem Responses. Journal of Audiology and Otology, Web of Science, DOI: 10.7874/jao.2019.00360
- 44. Vander Werff, K. R., Prieve, B. A., & Georgantas, L. M. (2009). Infant air and bone conduction tone burst auditory brain stem responses for classification of hearing loss and the relationship to behavioral thresholds. Ear and Hearing, Web of Science, DOI: 10.1097/AUD.0b013e31819f3145
- 45. Ishida, I. M., Cuthbert, B. P., &Stapells, D. R. (2011). Multiple auditory steady-state response thresholds to bone conduction stimuli in adults with normal and elevated thresholds. Ear and Hearing, Web of Science, DOI: 10.1097/AUD.0b013e318201c1e5
- 46. D'haenens, W., Dhooge, I., Maes, L., Bockstael, A., Keppler, H., Philips, B., Swinnen, F., & Vinck, B. M. (2009). The clinical value of the multiple-frequency 80-Hz auditory steady-state response in adults with normal hearing and hearing loss. Current Opinion in Otolaryngology & Head and Neck Surgery, Web of Science, DOI: 10.1001/archoto.2009.32
- 47. Hosseinabadi, R., & Jafarzadeh, S. (2014). Auditory steady-state response thresholds in adults with conductive and mild to moderate sensorineural hearing loss. Iranian Red Crescent Medical Journal, Web of Science, DOI: 10.5812/ircmj.18029
- 48. Johnson, T. A., & Brown, C. J. (2005). Threshold prediction using the auditory steady-state response and the tone burst auditory brain stem response: a within-subject comparison. Ear and Hearing, Web of Science, DOI: 10.1097/01.aud.0000188105.75872.a3
- 49. Hougaard, D. D., Boldsen, S. K., Jensen, A. M., Hansen, S., &CayeThomassen, P. (2017). A multicenter study on objective and subjective benefits with a transcutaneous bone-anchored hearing aid device: first Nordic results. European Archives of Oto-Rhino-Laryngology, Web of Science, DOI: 10.1007/s00405-017-4614-8
- 50. Verstraeten, N., Zarowski, A. J., Somers, T., Riff, D., &Offeciers, E. F. (2009). Comparison of the audiologic results obtained with the bone-anchored hearing aid attached to the headband, the testband, and to the "snap" abutment. Otology & Neurotology, Web of Science, DOI: 10.1097/MAO.0b013e31818be97a





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Air Kerma Strength Measurement of Cobalt - 60 Source using Well Type vs Farmer chamber in Saginova High Dose Rate Brachytherapy

Revathy P1* and Shanmukhappa B Kaginelli2

¹Senior RSO, Department of Radiation Oncology, Ramaiah Medical College and Hospitals, Ramaiah University of Applied Sciences, Bangalore, Karnataka, India.

²Associate Professor, Division of Medical Physics, School of Life Sciences, JSS Academy of Higher Education and Research, Mysuru, Karnataka, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence Revathy P

Senior RSO, Department of Radiation Oncology, Ramaiah Medical College and Hospitals, Ramaiah University of Applied Sciences, Bangalore, Karnataka, India.

Email: revamscmedphy@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

The purpose of this study is to measure the air kerma strength (AKS) using well type chamber and Farmer chamber with cylindrical phantom for cobalt-60 source and compare with the manufacturer data and available literature. An applicator placed inside the source holder of the well type chamber and Farmer chamber with cylindrical phantom. The chamber was connected with electrometer. The dwell position was activated at five mm intervals with dwell time 60 seconds in the treatment planning system and the plan was executed in the HDR unit. The maximum current was measured in nanoamphere (nA) at particular dwell position in well type chamber and the readings were tabulated. In Farmer chamber, the electric charge measured at particular maximum current dwell position. The readings were measure in different volts and tabulated. The correction factors were applied to calculate the AKS for both chambers. The percentage deviation between the manufacture and measured data is -1.34% in well type chamber and -2.86 % in Farmer chamber respectively. In worldwide, well type chamber is used for AKS measurement in HDR brachytherapy sources, but the Farmer chamber along with cylindrical phantom is also capable for AKS measurement for HDR brachytherapy sources.

Keywords: Well type chamber, Farmer type chamber, cylindrical phantom, Co-60 source.





Revathy and Shanmukhappa B Kaginelli

INTRODUCTION

The goal of radiotherapy is to maximum dose to the tumor and minimum dose to normal tissues for cancer treatment. Radiotherapy divides into external beam radiotherapy and brachytherapy. In 1898, Madam Marie curie was discovered the radium source and it was the milestone for brachytherapy. Brachytherapy (BT) is using sealed radioactive source placed inside the tumor. Radioactive sources such as Radium-226 (Ra-226), Cesium-137(Cs-137), Iridium-192(Ir-192) and Cobalt-60(Co-60) and Iodine-125 (I-125) are used for BT treatment due to their rapid dose fall off to achieve the goal of radiotherapy. BT is divided into dose rate such as low dose rate (0.4-2 Gy/hr)(LDR), medium dose rate(2-12Gy/hr)(MDR) and high dose rate(greater than 12 Gy/hr)(HDR). Ir-192 source is common source in HDR BT for its specific activity, easy availability. But, it needs to be change three months once because of its lesser half-life (73.8 days). Nowadays, miniaturized Co -60 source availability increases and replace the Ir-192 source for its longer half-life (5.26 years).[1,2] Quality assurance plays a vital role in radiotherapy for patient treatment. The air kerma strength (AKS) measurement is recommended QA for the source strength calibration in BT and the tolerance is within + 3% of manufacturer data. Air kerma strength is defined as the product of the air kerma rate at calibrate distanced, in free space from the source centre along the perpendicular direction and square of the distance.{AKS = Reference air kerma rate X (distance)2 }.[3-6] Goetsch et al. created the standard AKS calibration for Ir -192 HDR brachytherapy sources at the University of Wisconsin Accredited Dosimetry Calibration Laboratory (UWADCL) in 1992. This calibration procedure is known as the seven-distance measurement approach, using a graphite wall Farmer chamber to measure the AKS at seven distances in air. Direct or indirect approaches can also be used to determine the AKS of a high-dose rate (HDR) brachytherapy source.[7] Well-type ionization chambers were used for indirect measurements, while a Farmer chamber and the seven-distance approach can be employed for direct measurements of AKS. The German society of Medical Physics (DGMP)report number 13 recommends the Farmer chamber along with cylindrical phantom(Krieger phantom) for the purpose of AKS measurement in HDR brachytherapy .[8] The aim of this study is to measure the air kerma strength using Farmer chamber with cylindrical phantom and well type chamber for saginova cobalt-60 source HDR brachytherapy and compare with the manufacturer data and available literature.

MATERIALS AND METHODS

The study was conducted in Saginova HDR brachytherapy equipped with cobalt-60 source. The unit has 25 channels to deliver the treatment and the source dimension is 3.5mm (L) X 1 mm (D). The treatment planning system is Sagiplan (Version 2.0.2). The treatment execution in this unit is divided into three parts. They are treatment control panel (TCP), treatment control console (TCC) and treatment delivery unit (TDU).Before AKS measurement, the daily QA was performed by qualified medical physicist.

Well type chamber measurement:

Figure 1 show the experimental set up of well type chamber measurement. Well type chamber (PTW source check, Germany) consists of an aluminum wall ion chamber filled with argon gas under high pressure. The collection potential applied to the chamber was about 300 V. A source holder is used to hold the applicator to reproduce the source geometry in relation to the surrounding chamber walls. The energy dependence of the chamber arises from absorption and scattering of the photons and secondary electrons in the chamber walls and the gas. Besides this intrinsic energy dependence, oblique filtration through the source encapsulation affects the chamber response. An applicator placed inside the source holder of the well type chamber. The chamber was connected with electrometer(PTW, Romeo). The dwell position was activated at five mm intervals with dwell time 60 seconds in the treatment planning system and the plan was executed through the HDR unit. The maximum current was measured in nanoamphere (nA) at particular dwell position (sweet spot) through the electrometer and the readings were tabulated. The maximum current at a particular dwell position was repeated three times and average reading was noted. The air kerma strength was calculated using the below mentioned formula:

 $AKS = MX N_{RAKR} X K T, P$ (Eqn 1)





Revathy and Shanmukhappa B Kaginelli

AKS - Air kerma strength in cGy cm2/hr

M - Average meter reading in nA/min

NRAKR-Calibration factor in Gy.m² h⁻¹A⁻¹(9.669X10⁵) for chamber (Co-60 source)

K T.P- Temperature, Pressure correction factor

 $K_{T,P} = (273.2+T/273.2+T_0) X(P_0/P)$

(Egn 2)

T,P= Room temperature and pressure

 T_0 , P_0 = Reference temperature and pressure (T_0 = 20 degree; P_0 = 1013.2 mbar)

Cylindrical phantom with farmer type chamber:

Figure 2 shows the Farmer chamber with cylindrical phantom experimental set up. A cylindrical phantom is made up of tissue equivalent material along with Farmer chamber is used for measurement. The chemical composition of the phantom is C₅H₆O₂and density is 1.16-1.20 g/cm³ respectively. It has a total diameter of 200mm and height of 120 mm. Four holes were drilled equidistant from each other at 0, 90, 180 and 270 degrees at the periphery of the phantom. These holes have a diameter of 13 mm for holding the ionization chamber. A central hole or channel having a diameter of 4mm was drilled for placing the applicator and acts as the source holder. The distance between the holes from the central channel is 80 mm and is actually a compromise between the high dose gradient close to the source and the signal level fall off with distance. The sensitive volume of the chamber and centre of the active source were maintained at the same level and parallel to each other. An applicator was inserted into the central hole and the Farmer chamber (PTW, farmer type chamber) placed inside the PMMA cylindrical phantom. The chamber was connected with electrometer and selected 400 V to measure the maximum current. The dwell position was programmed at dwell position of five mm and dwell time 60 seconds. The maximum current reading was noted at a particular dwell position. The measurement was changed from current mode to charge mode in the electrometer. The particular maximum current dwell position was activated 60 seconds and executed three times for reproducibility. The charge was measured in nanocoloumb (nC) at particular maximum current dwell position and tabulated at 400 V, 200 V and -400V for the ion recombination correction factor (Kion) and polarity correction factor

Kion = $\{(V2/V1)^2-1\}/\{(V2/V1)^2-(M2/M1)\}$

(eqn 3)

Ks = (M1+M3)/2M3

(eqn 4)

M1= average meter reading at 400 V

M2= average meter reading at 200V

M3=average meter reading at -400V

The below correction factors are taken from DGMP recommendation for AKS calculation (8)

AKS = $(1-ga)^-1 X{(\mu en/p)a/(\mu en/p)w}X Kw X Ka X NwXKzp X Kr X X$

KT,PX Kion X Kpol X M1X 60

(Egn 5)

ga ,gw = Relative bremsttrahlung losses in air or water = 0.1 for Ir 192 radiation

ga =gw= 0.1 % (assumed same value for Co-60 point source)

 $(\mu en/p)a/(\mu en/p)w = 0.9276$

 $\{(\mu en/p)a = Mass energy absorption coefficient in air; (\mu en/p)w = Mass energy absorption coefficient in water\}$

Kw = field perturbation correction of water in plexi class surrounding =1.00

Ka= for correction factor for source quality deviation from Co-60

Nw= calibration factor in water (5.404X10⁷Gy/C)

Kz,p = Geometry factor for cylindrical phantom =1.187

Kr = correction of distance from 8 cm to 1 cm accordance with inverse square law. (Kr= (8/1)² = 64)

K T, P = Temperature pressure correction factor

Kion = Ion recombination correction factor

K pol = polarity correction factor

M1= average meter readings





Revathy and Shanmukhappa B Kaginelli

Statistical analysis

Descriptive statistics was done using Microsoft excel software.

RESULTS

Table 1 shows the well type chamber measurement. The maximum current is at 10th dwell position in the applicator and the maximum current is 19.32 nA. Table 2 shows the Farmer chamber measurement and maximum current is 13.52 nA at first dwell position. Table 3 shows the measurement of charges in nC at 400V, 200V and -400V for ion recombination and saturation correction factors. Table 4 explains the percentage deviation between the manufacturer data and measured data of both chambers. The manufacturer AKS is is20810 cGy.cm²/hr, the measured AKS in well type chamber is 20531 cGy.cm²/hr and Farmer chamber with cylindrical phantom is 20231 cGy.cm²/hr respectively. The percentage deviation between the manufacture and measured is -1.34% in well type chamber and -2.86 % in Farmer chamber respectively.

DISCUSSION

The air kerma strength is one of essential part of the QA in BT. The primary objective of this study was to evaluate the feasibility of use of well type chamber and Farmer chamber for determination of air kerma strength of Co 60 HDR brachytherapy source. Table 5 explains the comparison of different literature of AKS measurement for well type chamber and Farmer chamber with cylindrical phantom using Ir-192 and Co-60 sources. Batlas et al, Patel et al and Bondel et al were measured AKS using both chambers in Ir-192 source. [9-11] Azhari et al was measured AKS using both chambers in Ir-192 and Co-60 sources. [12] Emmanuel et al was measured two different AKS Co-60 sources using both chambers. [13] For Ir-192 source, the percentage deviation was observed the well type chamber (range from -2.04% to 1.1%) compare to Farmer chamber (range from -2.2% to 0.62%) in the literature. Azhari et al have performed AKS measurement for both Ir-192 and Co-60 sources according to three international protocols with different methods. The measurements showed deviations from the manufacturer data about 1.1% for Ir-192 source and 1.2% to -2.2% for Co-60 source in both chambers. Emmanuel et al measured the AKS using PTW well type chamber, HDR 1000 plus chamber and Farmer chamber with cylindrical phantom for two different AKS Co-60 sources. They have showed that the percentage of deviation was -2.8% and -1.06% in PTW well type chamber, -1.97% and 0.65% in HDR1000 plus well type chamber and 1% and 2.4% in Farmer chamber respectively. In our study, we found -1.34% variation in well type chamber and -2.86% variation in farmer type chamber respectively.

Apart from AKS measurement, some of the studies explained about the characteristics and performance of both chambers in Ir-192 and Co-60 sources. Sathyan et al measured the different physical properties of BDS1000 (Rosalina, India) well type chamber and compared with HDR1000 plus (Standard imaging, USA) and BTC/3007 (Capintec USA) well type chambers. He was measured the nominal response of different well type chambers and found the values were 1.636 nA/AKS for BDS1000, 1.972 nA/AKS for HDR 1000 plus and 13.87nA/AKS for BTC/3007 respectively. [14] We observed that 1.930 nA/AKS nominal response in PTW source check well type chamber in our study. Andrassay et al have stated in their study that QA instrumentation used for Ir-192 source is also compatible with Co-60 source. Both chambers can be calibrated for both the sources, with traceability to the appropriate primary standards. [15]Kambauwa JD explained in his study about the well type chamber and Farmer chamber with cylindrical phantom. He concluded in his study, the Farmer chamber with cylindrical phantom can be used for calibration of HDR BT and routine measurements of QA in clinical set up of BT.[16] In our study, the maximum current is measured at different positions in both chambers (well type chamber at 50 mm and farmer type chamber with cylindrical phantom at 5 mm from the tip of the applicator) and observed current values are low in the cylindrical phantom with farmer type chamber compared to well type chamber because of the chamber volume and the position of the applicator. Well type chamber has larger volume (approx. 200 cm3) in 4π geometry compare to farmer type chamber volume (0.6 cm3). Well type chamber is calibrated in air (NRAKR) and Farmer chamber is calibrated in water (ND,W). The uncertainty factors are more in Farmer chamber compare to well type chamber. The strength of





Revathy and Shanmukhappa B Kaginelli

this study is to measure the AKS using both well type chamber and Farmer chamber in Co-60 source of saginova HDR brachytherapy. The limitation of the study is only focus on two methods well type chamber (commonly used in brachytherapy calibration) and Farmer chamber with cylindrical phantom DGMP recommendation); other techniques were not measured because to various challenges. The most widely used dosimeters in HDR brachytherapy calibration are well-type chambers. Hence, most of the physicist preferred well type chamber for calibration of HDR sources. But, the Farmer chamber with cylindrical phantom is also capable to calibrate the HDR sources and found within the tolerance limits from the manufacturer data and compared with available literature.

ACKNOWLEDGEMENT

Nil

REFERENCES

- 1. Khan, F.M. The Physics of Radiation Therapy. 4th Edition, Lippincott Williams & Wilkins, Philadelphia, 2010;431-432.
- 2. Venselaar JLM, Perez-Calatayud J, eds. ´A practical guide to quality control of brachytherapy equipment. ESTRO booklet no. 8. Brussels, Belgium: ESTRO; 2004
- 3. International Atomic Energy Agency (IAEA). Calibration of Photon and Beta Ray Sources Used in Brachytherapy.IAEA TECDOC-1274. Vienna: International Atomic Energy Agency (IAEA); 2002.
- 4. Vandana S, Sharma SD. Long term response stability of a well-type ionization chamber used in calibration of high dose rate brachytherapy sources. Jof Med Phy2010; 35:100-103.
- 5. AAPM Report 21. Specification of Brachytherapy Source Strength. New York: American Association of Physicists in Medicine; 1987.
- 6. Nath R, Anderson LL, Luxton G, Weaver KA, Williamson JF, Meigooni AS. Dosimetry of interstitial brachytherapy sources. Recommendations of the AAPM Radiation Therapy Committee Task Group No. 43. Med Phys 1995;22:209-234
- 7. Goetsch SJ, Attix FH, Pearson DW, Thomadsen BR. Calibration of 1921r high-dose-rate afterloading systems. Med Phys.1991;18suppl 3:462-467. doi: 10.1118/1.596649. PMID: 1870490.
- 8. Praktische Dosimetrie in der HDR-Brachytherapie DGMP-Bericht Nr. 13 1999
- 9. Baltas D, Geramani K, Ioannidis GT, Hierholz K, Rogge B, Kolotas C, et al. Comparison of calibration procedures for 192Ir high-dose-rate brachytherapy sources. Int J RadiatOncolBiol Phys.1999; 43:653–61.
- 10. Patel NP, Majumdar B, Vijiyan V, Hota PK. In-air calibration of an HDR 192Ir brachytherapy source using therapy ion chambers. J Cancer Res Ther. 2005; 1:213–220.
- 11. Bondel S, Ravikumar M, Supe SS, Reddy BR. Calibration of (192)Ir high dose rate brachytherapy source using different calibration procedures. RepPractOncolRadiother. 2013; 23(19 suppl3):151-156. doi: 10.1016/j.rpor.2013.07.014. PMID: 24944818; PMCID: PMC4059024.
- 12. Azhari H A, Hensley F, Schutte W, Zakaria GA.Dosimetric verification of source strength for HDR after loading units with Ir-192 and Co-60 photon sources: Comparison of three different international protocols. J Med Phys.2012; 37 suppl4:183-92.
- 13. Emmanuel O, Bidemi A, Iyobosa U Olayemi G, AdedokunAdedotun G. Comparative Assessment of Radioactive Source Strengths in Two High-Dose-Rate Brachytherapy Units Using Three Sets of Dosimetry Equipment. African J Med Phys.2019; 2suppl 2:33-38.
- 14. Saminathan S, Godson HF, Ponmalar R, Manickam R, Mazarello J. Dosimetric evaluation of newly developed well-type ionization chamber for use in the calibration of brachytherapy sources. J Med Phys. 2016;41suppl 4:234-239. doi: 10.4103/0971-6203.195187.
- 15. Andrassy M, Niatsetsky Y, Perez-Calatayud J. Co-60 versus Ir-192 in HDR brachytherapy- Scientific and technological comparison. Fis Med 2012;13suppl 2:125-30.6.
- 16. Kambauwa J.D. Calibration of high dose rate cobalt-60 source using a farmer type chamber in a locally constructed hollow phantom. http://197.255.68.203/handle/123456789/8886





Revathy and Shanmukhappa B Kaginelli

Table 1: Well Type Chamber Measurement

Dwell Position		Current	t (nanoAr	mphere)
Dwell Position	Distance(mm)	M1	M2	M3
1	5	15.53	15.54	15.55
2	10	16.46	16.47	16.47
3	15	17.24	17.25	17.25
4	20	17.85	17.87	17.86
5	25	18.33	18.35	18.35
6	30	18.70	18.70	18.71
7	35	18.97	18.97	18.98
8	40	19.16	19.17	19.17
9	45	19.27	19.28	19.28
10	50	19.31	19.32	19.32
11	55	19.28	19.28	19.29
12	60	19.17	19.16	19.18

Table 2: Farmer chamber Measurement for Maximum Current

Dwell Position	Distance(mm)	Current (nanoAmphere)			
Dwell Position	Distance (IIIII)	M1	M2	M3	
1	5	13.50	13.52	13.52	
2	10	13.40	13.40	13.41	
3	15	13.20	13.21	13.20	
4	20	12.85	12.84	12.85	
5	25	12.45	12.44	12.46	

Table 3: Charge Collection Measurement of Farmer chamber at Different Volts

Dwell Position Distance(mm) \		Voltage (V)	Charge nanoColoumb)		
Dwell Position	Distance(min)	Voltage (V)	1	2	3
		+400(M1)	0.812	0.811	0.812
1	5	+200(M2)	0.811	0.811	0.811
		-400 (M3)	0.816	0.815	0.816

Table 4: Comparison between both Chambers

	Well type chamber	Farmer type chamber
Volume in cc	200	0.6
Maximum current at dwell position	10	1
Manufacturer Air kerma strength (cGy.cm²/hr)	20810	20810
Measured Air kerma strength (cGy.cm²/hr)	20531	20231
Percentage of deviation	-1.34%	-2.86%

Table 5: Comparison with Literature

Literature	Source	Percentage deviation from manufacturer data		
Literature	Source	Well type chamber	Farmer type chamber with cylindrical phantom	
Baltas et al(9) (1999)	Ir-192	0.11	0.44	
Patel et al(10) (2005)	Ir-192	-2.04	-1.48	
Bondel et al(11)	Ir-192	0.21	0.62	





Revathy and Shanmukhappa B Kaginelli

(2014)			
Azhari et al(12)	Ir-192	1.10	1.1
(2012)	Co-60	1.2	-2.2
Emmanuel et al(13)	Co-60 (i)	-2.80	-1.97
(2019)	Co-60(ii)	-1.06	0.65
Present study (2023)	Co-60	-1.34	-2.86







Figure:2 Farmer chamber with cylindrical phantom experimental setup





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Artificial Intelligence in Smart Agriculture: A Short Review

T. Arun^{1*}, S Kamalesu¹, RSubramanian¹, VS Koushal², G Koadeeswaran², W.Abishek², S.Shriram² and P.Shrikaran²

Assistant Professor, Division of Chemistry, Faculty of Engineering and Technology, SRM Institute of Science and Technology, Tiruchirappalli, Tamil Nadu, India.

²Research Assistant, Division of Chemistry, Faculty of Engineering and Technology, SRM Institute of Science and Technology, Tiruchirappalli, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 12 Sep 2024

*Address for Correspondence

T. Arun

Assistant Professor, Division of Chemistry, Faculty of Engineering and Technology, SRM Institute of Science and Technology, Tiruchirappalli, Tamil Nadu, India.

Email: arunt@srmist.edu.in



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

In this review we explore the potential, for intelligence (AI) to transform agriculture and meet the demands of a growing population. The focus is on three areas; analytics, advancements in agricultural robotics and precision farming practices including crop surveillance. These are identified as areas where All could make a difference. Additionally the assessment highlights the Internet of Things (IoT) as a technology with potential for future applications, in agriculture. In spite of these obstacles, considerable advancements have been made recently in the creation of agricultural robots for diverse purposes. The analysis highlights the potential developments and bright future of AI in agriculture, while acknowledging the challenges of applying research findings to actual farms.

Keywords: Artificial Intelligence (AI), IOT, Precision farming, Smart agriculture, Robotics

INTRODUCTION

The significance of agriculture to the worldwide economy is growing as a result of population growth-driven increases in food consumption. Many sectors are changing as a result of machine learning (AI), one of the technologies that is developing the fastest right now [1]. Aware of its potential, scientists and academics are investigating how artificial intelligence (AI) and the Internet of Things (IoT) may be integrated with "smart farming" to enable farmers [2]. AI in agriculture has the potential to improve crop protection tactics, fertilizer usage, and seed creation, ultimately boosting agricultural profitability and the country's economy [3]. Three general categories can be used to group AI's contributions to agriculture: agricultural robotics, analytics for prediction, precision farming and





Arun et al.,

crop monitoring [4]. One of the biggest issues at the moment is agriculture, which is crucial for any nation. Approximately 820 million people worldwide are estimated to be undernourished [5]. Furthermore, 70% more food needs to be produced because the world's population is predicted to reach 9.1 billion in 2050. In order to prevent around 370 million people from becoming hungry in 2050, further investment in agriculture will be required in addition to the predicted amounts. Furthermore, there is expected to be an increasing disparity between the amount of water that is accessible and the growing demand for water; by 2025, more than three billion people are expected to be under water stress [6].

Precision farming

In the coming years, precision farming is gaining significant traction within the agricultural field. This approach integrates artificial intelligence and data-driven insights to revolutionize traditional methods. By enabling a more targeted approach to resource management, precision farming plays an increasingly crucial role in modern farming, advancing sustainability and delivering numerous efficiency gains [7].

Focusing on benefits for the environment

Minimizing water waste, reducing reliance on chemical fertilizers and pesticides, and promoting soil health. This not only benefits crop yields but also contributes to a more sustainable food system for future generations [8].

Focusing on economic benefits for farmers

➤ leading to increased profitability for farmers. With precise data on crop health and resource needs, farmers can optimize their inputs, minimize waste and maximize their return on investment [9].

Focusing on technological advancements

Advancements in sensor technology, cloud computing, and machine learning continue to evolve. This paves the way for even more sophisticated applications in precision farming, further transforming the agricultural landscape [10].

These ideas enable farmers to tailor their actions, encompassing irrigation, pest management, and fertilization to meet the requirements of their crops. This improvement results in increased productivity, reduced costs, and sustainability, giving farmers the ability to maximize productivity and utilize resources efficiently. Machine learning and data analytics are two main examples of AI technology successfully used in modern farming. Machine learning algorithms process data to find patterns and connections within vast amounts of crop data gathered from satellites and sensors. This data-driven approach equips farmers to take precise actions to understand the specific requirements of their farms. For instance, AI drones equipped with various types of sensors can capture comprehensive pictures of fields, which can then be analyzed to detect pest infestations, nutritional deficits, or disease outbreaks. This advantage allows farmers to apply targeted remedies to affected regions, eliminating the need for pesticides and fertilizers and minimizing damage to the environment. The power of AI also extends to yield estimation. Al algorithms precisely predict crop yield potential by analyzing data collected from various sources such as satellites, photography, and weather forecasts. By utilizing AI technologies, farmers can reduce their financial risks by making informed decisions about pricing, distribution, and storage. While AI in precision agriculture offers numerous advantages, challenges also arise. The initial risk lies in the high cost of AI technology, and issues of data security and connectivity in remote areas pose hurdles for farmers. In conclusion, precision agriculture is a technology that works in conjunction with agricultural practices to produce yields in a sustainable and productive manner for farmers. Al-powered solutions empower data-informed decisions, optimize resource management, boost yields, and diminish environmental impact. Precision agriculture has the potential to revolutionize crop cultivation methods, ensuring yield security for a growing global population.

Crop Monitoring and Management AI methods in crop monitoring

Artificial Intelligences mainly focused on pest control and crop protection, most importantly in weeds and disease management. It depends on the elements such as





Arun et al.,

- Climate conduction
- Nutrition optimization
- Cultural activities and labour optimization
- Enhancing plant physiology and health

Climate conduction

Artificial Intelligences and robots plays a vital role, AI and robots collect advance information's like climate data, helps farmers to take important data about plantations, water usage amount and perfect crop selection. Robots monitor whether conduction, Soil moisture levels and pest outbreaks.

Nutrition optimization

For crops Nutrition is important for both yield, quality and quantityArtificial Intelligences in robotics helps in nutrient management by checking plant nutrient requirements, growth pattern, Soil composition. Artificial Intelligences in robotics helps for sustainable agriculture while decreasing environmental problems

Cultural activities and labour optimization

It is essential for successful crop production. Artificial Intelligences in robotics can reduce labor intensive efforts and maintains resources allocation. Time consuming activities like harvesting, purningand sorting can be easily done with great accuracy and efficiency.

Enhancing plant physiology and health

Artificial Intelligences can analyse large datasets like growth pattern, disease symptoms for early detection and intervention. Weeds can be identified and targeted using Artificial Intelligences sensors easily and then the appropriate herbicide can be applied in the areas. Artificial Intelligences in agriculture improves the quality of life for farmers and make agriculture more profitable profession [11].

Predictive Analytics

Understanding Yield Mapping in Precision Agriculture

This document provides a comprehensive overview of yield mapping, a critical component of precision agriculture. It delves into the process of creating yield maps, the types of yield maps, the basic components of a yield mapping system, and the importance of these maps in modern farming practices. The insights presented are particularly relevant in the context of climate change and the need for sustainable agriculture [12].

Introduction to Yield Mapping

Yield mapping involves the collection of geo referenced or GPS data of crop yield and characteristics such as moisture content during harvest. This process utilizes a variety of sensors and has been a common practice in agriculture since the last decade of the 20th century. Yield mapping is essential for understanding spatial data in precision agriculture and making informed decisions regarding site-specific farming.

The Benefits of Variable Rate Application in Precision Agriculture

Variable rate application (VRA) is a key component of precision agriculture. By applying inputs such as fertilizer or pesticides at variable rates based on site-specific data, farmers can optimize resource allocation and minimize waste. This card explores the advantages of VRA, including improved crop yield, cost savings, and environmental sustainability.

Historical Background

The concept of yield mapping was first introduced in the research paper 'A yield map primer' by Blackmore in 1998. This paper provided practical guidelines for yield mapping and was presented in Japan. Subsequent research, such as 'Yield mapping; errors and algorithms' by Blackmore and Marshall in 1996, addressed early challenges by identifying six main errors in yield data collection





Arun et al.,

Types of Yield Maps

Inference Maps

• These maps combine yield estimates with existing map delineations without changing the base map, such as associating yield goals with a soil map

Prediction Maps

- Prediction maps use models to predict yield components based on spatial data like soil and weather properties **Interpolation Maps**
- Interpolation techniques are applied to estimate yield values between measured data points at specific site locations [13]

Basic Components of Yield Mapping System The Role of Drones in Precision Agriculture

Unmanned aerial vehicles (UAVs), or drones, are becoming an increasingly popular tool in precision agriculture. By capturing high-resolution images and data, drones can provide farmers with valuable insights into crop health and growth patterns. This card explores the benefits and challenges of using drones in agriculture and highlights some of the latest research in the field. Al applications in irrigation and water management Al technologies optimize water usage by monitoring soil moisture levels, weather forecasts, and real-time crop water requirements. Moreover, Al facilitates the scheduling of irrigation activities to minimize water wastage and maintain optimal crop health. Smart Irrigation Al-driven irrigation systems ensure precise water delivery, avoiding over-watering and under watering. Weather Monitoring Al monitors weather changes to adjust irrigation schedules and mitigate potential risks to crop [14].

Agriculture Robotics

Farming is quickly becoming an appealing high tech sector that is attracting new professionals, companies and investors. The technology is developing, not simply enhancing manufacturing capability but likewise robotics and automation. At the core of this development lies the need for increasingly greater production yields. The UN anticipates the world population will grow from 7.3 billion todays, to 9.7 billion in 2050. This population will require a lot more food, placing extreme stress on farmers to meet this demand. Agricultural robotics are raising production yields for farmers in a number of ways, with creative and innovative applications ranging from drones to autonomous tractors and robotic arms.

- Harvesting and picking
- Weed control
- Spraying
- packing

As artificial intelligence and robotics continue to advance, we can expect even more sophisticated applications to emerge. Imagine robots that can not only harvest crops but also adapt to changing weather conditions or identify and eliminate pests with pinpoint accuracy. The future of agriculture promises to be a fascinating blend of human expertise and technological innovation.

CONCLUSION

This review provides an overview of how AI technology's utilized in agriculture. While in some industries most of the work is carried out by intelligence thereby reducing the workload, for professionals and increasing productivity the scenario is quite different in agriculture. Here farmers are responsible for a majority of the work with AI playing a role. Tractors were first invented in 1892 representing cutting edge technology at that time. Initially many farmers couldn't afford tractors. Today they are commonly used. Unlike operating a tractor which requires driving skills utilizing AI integrated machinery demands education. In India, where the literacy rate among farmers stands at 59.56% educating farmers on using AI technology is crucial, for streamlining their work processes and making them more profitable.





Arun et al.,

ACKNOWLEDGMENTS

The authors extend their gratitude to the Director, Dean, and Head of the Division of Chemistry at SRM Institute of Science and Technology, Tiruchirappalli, for providing essential research facilities crucial for the successful completion of this work.

REFERENCES

- 1. Navarro, E. Costa, N., and Pereira, A. (2020). A systematic review of IoT solutions for smart farming. *Sensors*, 20, 4231
- 2. Rambod, A. Nastaran R, Balasundram, K S, Shahbazi, A B., and Abdul-Hamid, H. (2023). Application of digital technologies for ensuring agricultural productivity. *Heliyon*, 9, e22601.
- 3. Subhrajit M.Anamika Y. Florence A P.KshetrimayumM D., and Shravan Kumar S M. (2024). Adaption of smart applications in agriculture to enhance production. *Smart Agricultural Technology*, 7,100431.
- 4. Tanha, T. Dhara, S. Nivedita, P. Hiteshri, Y., and Manan, S. (2020). Implementation of artificial intelligence in agriculture for optimization of irrigation and application of pesticides and herbicides. *Artificial Intelligence in Agriculture*, 4, 58-73.
- 5. Muhammad, A. Mohammad, A. Zubair, S.Ali, M.,and El-Hadi MA. (2019). Internet-of-Things (IoT) based Smart Agriculture: Towards Making the Fields Talk. *IEEE Access*.
- 6. Masud, K. Sami, E. (2024). Energy-agriculture nexus: Exploring the future of artificial intelligence applications. Energy Nexus, 13, 100263
- 7. Shamia, D. Suganyadevi, S. Satheeswaran, V. Balasamy, K. (2023) Digital twins in precision agriculture monitoring using artificial intelligence, *Digital Twin for Smart Manufacturing*,243-265.
- 8. Frank, B. (2021). Artificial intelligence-enabled environmental sustainability of products: Marketing benefits and their variation by consumer, location, and product types, *Journal of Cleaner Production*, 285, 125242.
- 9. Robert, S. Mark, H. Chris, D. (2022). Managing the risks of artificial intelligence in agriculture, *NJAS: Impact in Agricultural and Life Sciences*.172-196
- 10. Yogesh, K.D. Laurie, H. Elvira, I. (2021) Artificial Intelligence (AI): Multidisciplinary perspectives on emerging challenges, opportunities, and agenda for research, practice and policy, *International Journal of Information Management*, 57, 101994
- 11. Dinesh, S. Priya, P. Manan, S, (2022). Artificial Intelligence in Crop Monitoring, Agricultural Biotechnology, 1st Edition,11.
- 12. Kiran, M. Manoj Kumar, T K. (2020). Predictive analytics in Agriculture: Forecasting prices of Arecanuts in Kerala, Procedia Computer Science, 171, 699-708.
- Victor, B.Sri Charan, K. Yiannis, A. (2019). Development and evaluation of a low-cost and smart technology for precision weed management utilizing artificial intelligence, Computers and Electronics in Agriculture, 157, 339-350.
- 14. UM Rao Mogili, B B V L Deepak, (2018). Review on Application of Drone Systems in Precision Agriculture, Procedia Computer Science, 133, 502-509.
- 15. Stavros G. Vougioukas, (2018) Agricultural Robotics, annual review, 2, 365-392.

Table 1: Basic Components of Yield Mapping System

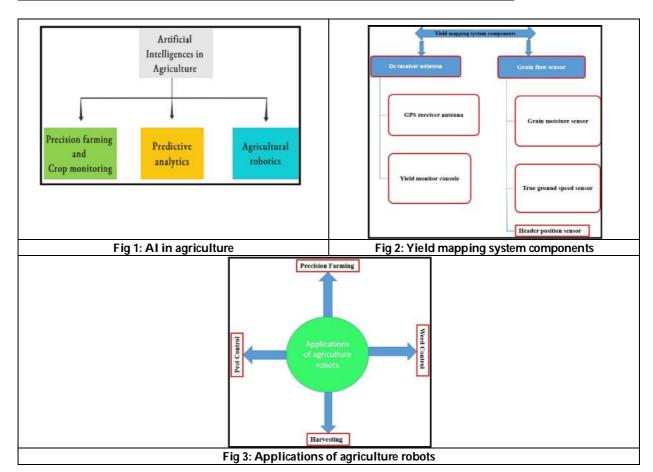
rubic ii busic components or ricia map	ping cystem
Grain flow sensor	Determines the volume of harvested grain
Grain moisture sensor	Compensates of variability in grain moisture
Clean grain elevator speed sensor	Improves accuracy of grain flow measurement
GPS antenna	Receives satellite signals
Yield monitor display with GPS receiver	Geo- References and Records data
Header position sensor	Distinguishes measurements logged during turns





Arun et al.,

Travelling speed sensor Measures combine travel during logging intervals







International Bimonthly (Print) – Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Formulation Development Purpose and of Dual Bioadhesive Effervescent Tablet Dosage form for Vulvovaginal Candidiasis and Intestinal Helminth Infections using Garlic as an Active Moiety

Kiran Bhausaheb Erande^{1*} and N. R. Kayande²

Research Scholar, Department of Pharmacy, SunRise University, Alwar, Rajasthan. India. ²Associate Professor, Department of Pharmacy, SunRise University, Alwar, Rajasthan. India.

Received: 17 May 2024 Revised: 10 Jul 2024 Accepted: 17 Aug 2024

*Address for Correspondence Kiran Bhausaheb Erande

Research Scholar, Department of Pharmacy, SunRise University, Alwar, Rajasthan. India. Email: kberande76@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

About 30 to 50 percent of women will at some point in their lives experience vulvovaginal candidiasis, or VVC. Moreover, on the other side, STH (Soil-transmitted helminths) infections pose a serious hazard to public health and are among the most prevalent infections in the globe. According to estimates from the World Health Organization (WHO), there were 1.5 billion STH infections worldwide in 2020, with over 267 million preschoolers and over 568 million school-age children residing in regions where these parasites are spread. Soiltransmitted helminths are transmitted to humans by contaminated soil. An effervescent bio-adhesive tablet dosage form was developed with an objective that vaginal discharge should not drain the dosage form out. Dosage form should remain adhered to mucosal linings after both vaginal application and oral consumption for helminth infections. Antifungal Susceptibility testingwas performed using prepared tablet dosage form against Candida albicans (MTCC 277) as prominent species involved in fungal infections of vagina. Whereas anthelmintic activity was performed using Pherentima posthuma (Indian Earthworms) due to their anatomical and physiological resemblances with intestinal roundworm parasite of human beings. Tablet dosage form designed passed post compression parameters. The same tablet dosage form tested against Candida albicans and Pherentima posthuma (Indian Earthworms) was found to be effective and equivalent to marketed standard dosage forms for vaginal fungal infections and Intestinal helminth infections. Dual purpose applicability of dosage form could be cost-effective approach and could be the reasonable approach to proceed for product scale-up for multiple ailments.

Keywords: Garlic, Bio-Adhesive, Candida albicans, Pherentima posthuma, vaginal fungal infections, Intestinal helminth infections.





Kiran Bhausaheb Erande and Kayande

INTRODUCTION

Garlic has a substantial nutritional value and remarkable medicinal potential. It is discovered that garlic, which is used as a flavoring and spice, has basic essential elements. There are plenty of minerals, water, vitamins, protein, fat, and carbohydrates. Due to its strong therapeutic potential, garlic is used to treat a wide range of human ailments. Anti-inflammatory, rheumatological, ulcer-inhibiting, anticholinergic, analgesic, antimicrobial, antistress, antidiabetes, anticancer, liver protection, anthelmintics, antioxidants, antifungal, and wound-healing properties are just a few of the effects that have been reported. It also helps with asthma, arthritis, chronic fever, tuberculosis, runny nose, malaria, leprosy, skin discoloration, and itching, indigestion, colic, enlarged spleen, haemorrhoids, fistula, urinary tract disease, diabetes, kidney stones, anaemia, jaundice, epilepsy, cataract, and night blindness, among other conditions [1]. The Sanskrit language ancient texts on Ayurveda indicate that garlic has been used in India for over three millennia. "Garlic" is referred to in both manuscripts as Lasuna and by its synonym, Rasona. Similar applications have been observed not only in India as a whole but also in adjacent countries such as Nepal, Myanmar, Lao, Pakistan, Bangladesh, Bhutan, and Sri Lanka (South-East Asian region). Consequently, garlic has been used throughout India since ancient times [2].

Vulvovaginal candidiasis (VVC) affects between 30 and 50 percent of women at some point in their lives. A burning or itchy feeling (27%) and dysuria (33%) are common symptoms. *Candidates albicans, glabrata,* or *krusei* are the most common causes of VVC. [3]. Due to limited penetration into the biofilm matrix, resistance development, and the ineffectiveness of current antifungals in modifying virulence, *Candida albicans* mediated vulvovaginal candidiasis (VVC) poses a substantial problem in clinical settings. The dysbiosis of the normal vaginal microflora, the activation of central metabolic pathways, morphogenesis, hyphal extension, adhesion, invasion, and biofilm formation, which result in chronic infection and recurrence, are all caused by a variety of predisposition factors, which are molecular drivers [4].

In endemic places, infections with soil-transmitted helminths (STHs) pose a significant public health risk. Monitoring the disease's epidemiology is necessary for effective control. [5]. Due to the lack of clarity surrounding host-parasite interactions, helminthic diseases represent the main issue. The gastro-intestinal tract (GIT) helminth infection grows noticeably more resistant to the anthelmintic medications now on the market. A fresh interest in the natural therapy of common plant therapies and the significance of innate products in drug creation has emerged in the last few decades. This can be explained by the enormous need for new molecular models, which could result in the development of innovative medications that could validate routine therapeutic uses [6]. The World Health Organization reports that 35% diseases are because of roundworm, which is a typical parasitic worm. More than 1.5 billion individuals or 24% of the total population are tainted with soil-transmitted helminth contaminations around the world. [7]. The three primary species that infect humans are the whipworm (*Trichuris trichiura*), the roundworm (*Ascaris lumbricoides*), and the hookworms (*Necator americanus and Ancylostoma duodenale*). Due of their similar medical needs and responses to medications, these STH species are typically treated as a group.[8]The adult Indian earthworm *Pheretimaposthuma* was used for the testing because of its physiological and anatomical similarities to human intestinal roundworm parasites. A good model for anthelmintic medication screening is the *PheretimaPosthuma* worm, which is widely available [9].

In response to contemporary research demands, a project was conceived to develop a tablet dosage form tailored for women suffering from vulvovaginal candidiasis and patients afflicted with helminth infections. This initiative stems from the pressing need for effective and accessible treatment options for these prevalent conditions. Vulvovaginal candidiasis, caused by Candida species, presents a significant health burden among women worldwide, necessitating reliable therapeutic interventions to alleviate symptoms and prevent recurrence. Similarly, helminth infections, encompassing a range of parasitic worms, pose substantial health risks, particularly in regions with inadequate sanitation and hygiene practices. By targeting these two distinct yet clinically significant conditions, the project aims to address unmet medical needs and improve healthcare outcomes for affected populations. The development of a





Kiran Bhausaheb Erande and Kayande

tablet dosage form offers several advantages, including convenience, ease of administration, and potentially enhanced patient compliance. Moreover, the formulation can be optimized to ensure stability, efficacy, and safety, meeting stringent regulatory standards for pharmaceutical products. Through interdisciplinary collaboration and rigorous scientific investigation, this project endeavors to advance the field of therapeutics, ultimately benefiting individuals grappling with vulvovaginal candidiasis and helminth infections.

MATERIALS AND METHODS

Preparation of garlic powder sample

Garlic powder was prepared in-house by microwave dryingprocess as per reported literature. [10]. All the reagents and chemicals used were of analytical grade and were procured from S.D. Fine-Chem. Ltd., Mumbai, India. All other chemicals, and excipients used in the study were of standard grade.

Procurement of species for antifungal and anthelmintic study

Candida albicans (MTCC 277) was sourced from the Microbial Type Culture Collection in Chandigarh, ensuring the authenticity and standardized quality of the strain. Concurrently, *PheretimaPosthuma* Earthworms were obtained from the local compost-making center in Nashik, selected for their relevance in helminth infection studies. To preserve the viability and integrity of these organisms, they were carefully maintained under optimal temperature conditions conducive to their growth and survival. This meticulous approach to procurement and maintenance ensures the reliability and consistency of the experimental materials, laying a robust foundation for subsequent research endeavors.

Development of Tablet Dosage form

Formulation of Vaginal Mucoadhesive Effervescent Tablet

As the drug was proved to be effective against *Candida albicans*. The formulation was designed for the candidal vulvovaginities. Hence by considering the site of application that is vagina the tablet must reside on vaginal mucosa and hence the same formula was continued by addition of Hydroxy propyl methy cellulose (HPMC K4M) in 3 different concentrations that is 5%, 10%, 15%. These 3 batches were evaluated and suitable batch was selected. Composition mentioned in Table-1. Method adopted for Tablet preparation was Direct Compression method. All ingredients including drug were weighed and passed through sieve no. 80 individually and then mixed. This powder mixture was compressed directly using Rimekminipress, model RSB-4,m/s (Karnavathi Engineering, Ahmadabad). [11]. Mucadhesisve effervescent tablet was evaluated for Hardness(gm/cm2), Effervescent time(min.), Disintegration time,(min.) Friability%, Mucoadhesion Strength Gm force (Table-4)

Mucoadhesion Test

Egg membrane was soaked in a SVF (Simulated Vaginal Fluid) over night. Mucoadhesion Test Apparatus was developed in lab using two pan analytical balance with slight modification. Two vials were used in which SVF was placed and covered with egg membrane soaked in SVF. one vial was fixed at bottom and another was tied in a vertical position in downward direction towards fixed vial and the apparatus was calibrated by keeping equivalent amount (32 gram) on opposite side of the balance. After calibrating, tablet was placed in between 2 vials and pressed by applying little force on it and then time required for Detachment of upper vial from Tablet was recorded. Same procedure was repeated for 3 tablets.[11,12]. Composition of simulated vaginal fluid used for mucoadhesion study is given in Table.2. Results are shown in Table 4

Antifungal Susceptibility testing of Mucoadhesive Effervescent Tablet [14]. Preparation of Sample for antifungal study

A stock solution of 30 mg/ml was prepared. Each tablet contains 400 mg of the drug





Kiran Bhausaheb Erande and Kayande

That is 822.4 mg 1	Tablet	contains	400 mg of the drug
Hence x mg	contains	300mg of	f the drug
$x = \frac{300 * 822.4}{400}$ =616.8 mg			

Tablet was crushed and the quantity of 616.8 mg was taken and dissolved in 5ml of DMF. To this test tube 5ml of Sabourd Dextrose Broth was added. The study was performed in triplicate determination was performed after 72 hours

Fungal culture and Preparation of Inoculum

Fungal culture used was candida albicans (MTCC 227)

Inoculum1*10⁵CFU/mI was standardized for the study. 0.9 mI of Inoculum was added to each test tube of the above set.

Growth Control

- 1) For positive growth control, 0.9 ml of final inoculums was added to 0.1 ml of broth.
- 2) For negative growth control, 1 ml of broth was taken in a tube.

Test tubes were incubated at 35±0.5 °C. and observed at 72 h. The results are given in figure-1

In vitro Anthelmintic activity of Mucoadhesive Effervescent Tablet by Dissolution Methodology

Mucoadhesive effervescent tablet was evaluated for *in-vitro* anthelmintic activity by usingpettri plate assay method as well asthe assay method using dissolution Apparatus. Considering our previous research work context where the methodology was screened and validated for the selection of bio-relevant media simulating in-vivo human gastrointestinal tract conditions. From the tested medias Saline solution (conventional method), Fed-State Simulated Gastric Fluid (Fe-SSGF) Early, Fasted-StateSimulated Intestinal Fluid Updated version (FaSSIF-V2) were selected as an appropriate media for maintenance of worms. So in the present study Fa-SSIF-V2 was selected as media for Anthelmintic study (Table-3)[15]. Pheretimaposthumaworms (Adult Indian Earthworms) being easily available and due to their anatomical and physiological resemblance with the intestinal round worm parasites of human beings are used as a suitable model for anthelmintic screening of garlic powder and garlic formulations[16]. Dosage forms preparedwere found to be equally effective at garlic concentration of 80 mg/ml compared to albendazole anthelmintic standard used. Results given in (Table-5, Table-6 and Figure-3).

Stability study

The one-month stability protocol, outlined in reference [17], was meticulously devised to evaluate the tablets' stability over time. Stored under ambient conditions with temperatures hovering around 30°C and relative humidity levels fluctuating between 30% and 55%, the tablets underwent rigorous scrutiny. Sampling intervals were strategically set at days 7, 14, 21, and 28 to capture potential changes in the tablets' properties. Beyond the anthelmintic assay conducted using a dissolution apparatus, a multifaceted approach was adopted. This encompassed assessments of physical attributes, weight variation, and chemical stability. By integrating diverse analyses, including dissolution behavior and overall physical integrity, the protocol endeavors to provide a comprehensive evaluation of the tablets' performance and quality throughout the stipulated one-month duration. The results are given in figure 4





Kiran Bhausaheb Erande and Kayande

RESULT AND DISCUSSION

Formulation of Vaginal Mucoadhesive Effervescent Tablet

The evaluation of a mucoadhesive effervescent tablet revealed an outstanding mucoadhesion strength of 155 grams. This robust adhesion capability positions the developed dosage form as highly promising for applications in vaginal bioadhesive drug delivery systems. Such strong mucoadhesion is pivotal for ensuring sustained and localized drug delivery to the vaginal mucosa, which can significantly enhance therapeutic efficacy while minimizing systemic side effects. This characteristic makes the tablet particularly well-suited for delivering drugs intended for vaginal administration, such as antimicrobial agents, hormones, or contraceptives. The remarkable mucoadhesion strength of 155 grams underscores the potential of this dosage form to adhere firmly to the vaginal mucosa, allowing for prolonged contact and controlled release of the active pharmaceutical ingredient. This, in turn, could improve patient compliance and therapeutic outcomes, offering a more effective and convenient alternative to conventional dosage forms. Results given in table-4 [18].

Antifungal Susceptibility testing of Bioadhesive Effervescent Tablet

In a rigorous antifungal susceptibility test targeting *Candida albicans* species, we undertook a triplicate determination of the antifungal study using tablets. Throughout the experiment, meticulous control measures were maintained, including positive and negative controls, to ensure the reliability and accuracy of the results. Excitingly, the outcome of the study revealed a compelling finding: all three tubes containing the sample solution exhibited no growth even after continuous observation for up to 72 hours. This remarkable result suggests a potent antifungal activity associated with the tablets under investigation. The absence of fungal growth in the presence of the sample solution underscores the effectiveness of the tablets in inhibiting the growth and proliferation of Candida albicans. Such robust antifungal properties hold significant promise for combating fungal infections, particularly those caused by *Candida species*, which can be challenging to treat due to emerging resistance to conventional antifungal agents as shown in Figure-1.

In vitro Anthelmintic activity of Mucoadhesive Effervescent Tablet by Dissolution Methodology

In the Petri plate method, the average death time reported for 800 mg Garlic tablets was 110 minutes on average in comparison to the average death time of 96 minutes for Albendazole standard tablets 400 mg dose. It was found that the garlic tablet designed had significant comparable results as compared to the albendazole standard tablet dosage form. (Table-5, Table-6 and Figure-3) Interestingly, despite the discrepancy in dosage amounts, the Garlic tablets showcased a significant and comparable efficacy to the Albendazole standard tablets. This finding not only underscores the potential of Garlic as a viable alternative in combating certain microbial threats but also highlights the importance of exploring natural remedies in pharmaceutical research.

Stability Study Evaluation of Garlic Effervescent tablet in terms of Anthelmintic assay.

The stability studies conducted at ambient conditions, maintaining a temperature of around 30°C and relative humidity between 30-50%, yielded promising results over the one-month duration. The tablets were evaluation at intervals of 7, 14, 21 and 28 days. Death times ranging from 100 to 103 minutes indicated the tablets' stability, suggesting consistent therapeutic efficacy against worms. Comparative analysis with established anthelmintic medications like albendazole underscored the effectiveness of the developed tablets. Additionally, the significant performance of garlic tablets in the comparison highlights the potential of herbal remedies as valuable adjunct therapies in anthelmintic treatments. These findings collectively emphasize the durability, efficacy, and potential versatility of the developed formulation for combating parasitic infections. Results are depicted in figure 4.

CONCLUSION

The development of an effervescent bio-adhesive tablet dosage form marks a significant advancement in the treatment of vulvovaginal candidiasis (VVC) and soil-transmitted helminth (STH) infections. With the aim of





Kiran Bhausaheb Erande and Kayande

ensuring effective treatment while overcoming challenges associated with conventional formulations, this innovative dosage form was meticulously designed and rigorously tested. Antifungal susceptibility testing against *Candida albicans*, the predominant species responsible for vaginal fungal infections, demonstrated the efficacy of the tablet dosage form. Through thorough evaluation, it was established that the tablet remained adhered to mucosal linings even after vaginal application, ensuring sustained delivery of antifungal agents to the site of infection. This not only enhances treatment efficacy but also reduces the likelihood of dosage form drainage, thus optimizing therapeutic outcomes. Moreover, the tablet dosage form exhibited remarkable anthelmintic activity against pheretimaposthuma, an earthworm species commonly employed in research due to its physiological resemblance to intestinal roundworm parasites in humans. This dual-purpose functionality of the dosage form presents a novel approach to addressing multiple ailments, offering a cost-effective solution that streamlines treatment regimens and enhances patient compliance.

The successful completion of post-compression parameter testing further validates the quality and consistency of the tablet formulation. Importantly, comparative analysis against standard marketed dosage forms for both vaginal fungal infections and intestinal helminth infections reaffirms the equivalency and efficacy of the developed formulation. In conclusion, the dual-purpose applicability of the effervescent bio-adhesive tablet dosage form represents a promising advancement in pharmaceutical innovation. Not only does it offer a comprehensive solution for the management of VVC and STH infections, but it also presents a viable pathway for product scale-up and commercialization. By addressing the unmet needs of diverse patient populations, this innovative formulation has the potential to significantly impact public health on a global scale. Further research and development efforts are warranted to explore additional applications and optimize the clinical utility of this versatile dosage form.

ACKNOWLEDGEMENT

The authors are thankful to all the helping hands during the project.

ETHICAL APPROVAL

Not applicable

CONFLICT OF INTEREST

The authors declare that there are no conflicts of interest

REFERENCES

- 1. Tesfaye A. Revealing the Therapeutic Uses of Garlic (*Allium sativum*) and Its Potential for Drug Discovery. Cheng JT, editor. The Scientific World Journal. 2021 Dec 30;2021:1–7.
- 2. Joshi VK, Joshi A. Garlic in Traditional Indian Medicine (Ayurveda) for Health and Healing [Internet]. www.intechopen.com. IntechOpen; 2021 [cited 2023 Mar 12]. Available from: https://www.intechopen.com/chapters/76996
- 3. Jacob L, John M, Kalder M, Kostev K. Prevalence of vulvovaginal candidiasis in gynecological practices in Germany: A retrospective study of 954,186 patients. Current Medical Mycology [Internet]. 2018 Jul 29;4(1). Available from: https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6101156/
- 4. David H, AdlinePrincy Solomon. Molecular association of Candida albicans and vulvovaginal candidiasis: focusing on a solution. Frontiers in Cellular and Infection Microbiology. 2023 Oct 13;13.
- 5. Jean Ronald Edoa, BayodéRoméoAdégbitè, YaboJosianeHonkpéhèdji, Jeannot Fréjus Zinsou, Stravensky TérenceBoussougou-Sambe, Tamirat GebruWoldearegai, et al. Epidemiology of soil-transmitted helminth infections and the differential effect of treatment on the distribution of helminth species in rural areas of Gabon. Tropical Medicine and Health. 2024 Jan 2;52(1).
- 6. Rajesh Pandiyan, Samiappan SC, Abimanyu Sugumaran, Sivakumar S. Stomach-affecting intestinal parasites as a precursor model of *Pheretimaposthuma* treated with anthelmintic drug from *Dodonaeaviscosa*Linn.. Green





Kiran Bhausaheb Erande and Kayande

processing and synthesis. 2022 Jan 1;11(1):492–502.

- 7. Ishnava KB, Konar PS. In vitro anthelmintic activity and phytochemical characterization of Corallocarpusepigaeus (Rottler) Hook. f. tuber from ethyl acetate extracts. Bulletin of the National Research Centre. 2020 Mar 4;44(1).
- 8. World Health Organization: WHO. Soil-transmitted helminth infections [Internet]. Who.int. World Health Organization: WHO; 2023. Available from: https://www.who.int/news-room/fact-sheets/detail/soil-transmitted-helminth-infections
- 9. Ghosh A, Das S, Dey M. Determination of anthelmintic activity of the leaf and bark extract of TamarindusIndicalinn. Indian Journal of Pharmaceutical Sciences. 2011;73(1):104.
- 10. Erande KB, Bhambar RS. Garlic powder preparation methodology to improve allicincontent. International Journal of Pharmaceutical Sciences and Research [Internet]. 2019 Oct 1;10(10):4717–23. Available from: https://ijpsr.com/bft-article/garlic-powder-preparation-methodology-to-improve-allicin-content/
- 11. Koirala S, Nepal P, Ghimire G, Basnet R, Rawat I, Dahal A, et al. Formulation and evaluation of mucoadhesive buccal tablets of aceclofenac. Heliyon. 2021 Mar;7(3):e06439.
- 12. Li KL, Castillo AL. Formulation and evaluation of a mucoadhesive buccal tablet of mefenamic acid. Brazilian Journal of Pharmaceutical Sciences. 2020;56.
- 13. Marques M, Löbenberg R, Almukainzi M. Simulated Biological Fluids with Possible Application in Dissolution Testing. Semantic Scholar [Internet]. 2011; Available from: https://www.semanticscholar.org/paper/Simulated-Biological-Fluids-with-Possible-in-Marques-L%C3%B6benberg/708d220338f10bd94378ed5839c2b8aaf32e074a
- 14. Sobin FV, Pulina NA, Novikova VV. Study of Antifungal Activity of Experimental Soft Dosage Form Based on the Hydrazone Derivative of Getarylamide 4-phenyl-2-hydroxy-4-oxo-2-butenoic acid. Razrabotkairegistraciâlekarstvennyhsredstv. 2022 Dec 26;11(4):43–7.
- 15. Erande K, Bhambar R. Erande&RajendraBhambar. Int Res J Pharm [Internet]. 2019 May 17;2019(7):10. Available from: https://www.irjponline.com/admin/php/uploads/3395_pdf.pdf
- 16. Vadakkan K, Cheruvathur MK, Chulliparambil AS, Francis F, Abimannue AP. Proteolytic enzyme arbitrated antagonization of helminthiasis by Cinnamomumcappara leaf extract in Pheretimaposthuma. Clinical Phytoscience. 2021 Feb 18;7(1).
- 17. Ma AP, Robertson SG, Glass BD. Telmisartan Tablets Repackaged into Dose Administration Aids: Physicochemical Stability under Tropical Conditions. Pharmaceutics. 2022 Aug 11;14(8):1667.
- 18. Ministry India, Indian Pharmacopoeia Commission. Indian pharmacopoeia, 2007. Ghaziabad: Indian Pharmacopoeia Commission

Table 1. Composition of Trial batches for Mucoadhesive Effervescent tablet

Components	F1	F2	F3 (mg)
Drug (Garlic Powder)	400	400	400
Talc	10	10	10
Magnesium Stearate	10	10	10
Cross povidone	35	35	35
Citric Acid	120	120	120
Sodium Bicarbonate	131	131	131
HPMC K4 M	35	70	116.4

Table. 2 Composition of Simulated Vaginal Fluid [13].

Composition	Quantity Gram/lit
NaCl	3.51
KOH	1.40
Calcium hydroxide	0.222
Bovine Serum Albumin	0.018





Kiran Bhausaheb Erande and Kayande

Lactic Acid	2ml
Acetic Acid	1ml
Glycerol	0.16
Urea	0.40
Glucose	5.00
Distilled Water	q.s

Table-3 Composition of the medium to simulate the Fasted- State Upper Small Intestine: Fasted-State Simulated Intestinal Fluid, Updated Version (FaSSIF-V2)

Composition	mM
Sodium taurocholate	3
Lecithin	0.2
Maleic acid	19.12
Sodium hydroxide	34.8
Sodium chloride	68.62
Properties	
рН	6.5
Osmolality (mOsm/kg)	180 ± 10
Buffer Capacity (mmol/L/pH)	10
pH Osmolality (mOsm/kg)	180 ± 10

Table- 4 Evaluation of Mucoadhesive Effervescent tablet

Evaluation Parameters							
Hardness	Hardness Effervescent time Disintegration time Friability Mucoadhesion Streng						
(gm/cm²)	(min.)	(min.)	%	Gm force			
4.5±0.058	21.47±0.4	22± 1.52	0.91±0.0057	155±1.73			

Table.5 In vitro anthelmintic assay of Garlic Effervescent tablet

		Т	Average death Time(min)						
	For Set I		For Set II		For Set III				
I.T	0	Mean	0	Mean	0	Mean	100.33±1.52		
D.T	104	102	100	100	98	99			
D.T	100		100		100				

Table-6 Results of Anthelmintic Assay of Albendazole tablet

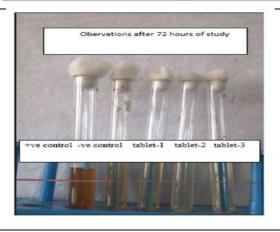
_	, ,								
			Т	Average death Time (Min)					
		For Set I		For Set II		For Set III		Average death Time(Min)	
	T.T	0	Mean	0	Mean	0	Mean	96±3.32	
	D.T	90	92.5	98	97	100	99		
	D.T-	95		96		98			





International Bimonthly (Print) – Open Access ISSN: 0976 - 0997 Vol.15 / Issue 86 / Oct / 2024

Kiran Bhausaheb Erande and Kayande



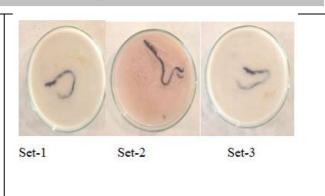


Figure. 1 Antifungal activity of garlic tablet at 72 hours of Observation

Figure 2. Anthelmintic Assay Standard Albendazole Tablets 400 mg

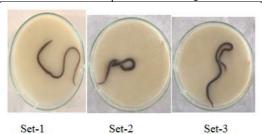
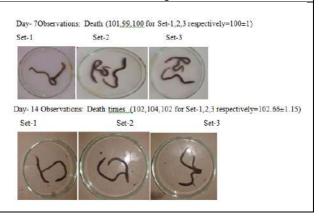


Figure 3. Anthelmintic assay Effervescent Garlic Tablet 800 mg





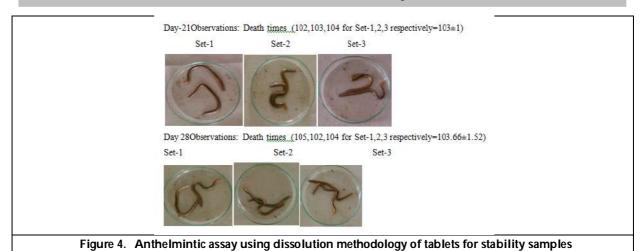








Kiran Bhausaheb Erande and Kayande







International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Characterization of Q-Pythagorean Fuzzy Ideals in Γ-Semirings

T.Anitha1 and Y.Lavanya2*

¹Assistant Professor, Department of Mathematics, Annamamai University, Annamalai University, Annamalai Nagar, Tamil Nadu, India.

²Research Scholar, Department of Mathematics, Annamamai University, Annamalai Unversity, Annamalai Nagar, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

Y.Lavanya

Research Scholar, Department of Mathematics,

Annamalai Unversity,

Annamalai Nagar,

Tamil Nadu, India.

Email:

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This paper deals with the Q-Pythagorean fuzzy sub semiring, ideals and bi-ideals in Γ-semirings. Moreover we study the properties of Q-Pythagorean fuzzy ideals in Γ -semiring.

Keywords: Γ-semiring, Q-Pythagorean fuzzy set, Q-Pythagorean fuzzy ideal, Q-Pythagorean fuzzy biideal.

INTRODUCTION

Zadeh's[15] seminal paper introduced the concept of fuzzy sets and their operations, sparking significant interest among mathematicians across various fields. Since then, Zadeh and other scholars have further developed fuzzy set theory, garnering considerable attention from researchers. Pythagorean fuzzy sets[13][14] characterized by the condition that the sum of the squares of membership and non-membership degrees is less than or equal to one, have been extensively investigated. Numerous authors have explored the algebraic properties of Pythagorean fuzzy ideals. This paper is structured into five sections. The initial two sections provide an introduction and lay down the preliminary concepts. The third section delves into the exploration of Q-Pythagorean fuzzy ideals within Γ -semirings. Following that, the fourth section examines the properties associated with Q-pythagorean fuzzy ideals. Lastly, the paper concludes by investigating Q-Pythagorean fuzzy bi-ideals in Γ -semirings.

PRELIMINARIES

In this section we present the basic concepts related to this paper.





Anitha and Lavanya

Definition 2.1 [3] If (S, +) and (Γ, +) be two commutative semigroups then S is called a Γ-semiring if there exists a mapping $S \times \Gamma \times S$ denoted by $\alpha \gamma \beta$ for all $\alpha, \beta \in S$ and $\gamma \in \Gamma$ satisfying the following properties,

```
\begin{split} &\alpha\gamma(\beta+\nu)=\alpha\gamma\beta+\alpha\gamma\nu,\\ &(\beta+\nu)\gamma\alpha=\beta\gamma\alpha+\nu\gamma\alpha,\\ &\alpha(\gamma+\gamma_1)\nu=\alpha\gamma\nu+\alpha\gamma_1\nu,\\ &\alpha\gamma(\beta\gamma_1\nu)=(\alpha\gamma\beta)\gamma_1\nu\\ &\text{for all }\alpha,\beta,\nu\in S\text{ and }\gamma,\gamma_1\in\Gamma. \end{split}
```

Definition 2.2 [3] Define addition in the following way $A, B \in S, \gamma \in \Gamma$, let $A\gamma B$ denote the ideal generated by $\{\alpha\gamma\beta/\alpha, \beta \in S\}$. Then S is a Γ -semiring.

Definition 2.3 [3] A Γ -semiring S is said to be commutative if $\alpha \gamma \beta = \beta \gamma \alpha$, for all $\alpha, \beta \in S$ and $\gamma \in \Gamma$.

Definition 2.4 [3] A Γ -semiring S is said to have a zero element if $0\beta\alpha=0=\alpha\beta0$ and $\alpha+0=\alpha=0+\alpha$,for all $\alpha\in S$ and $\gamma\in\Gamma$.

Definition 2.5 [3] S is said to have a identity element if there exists $\gamma \in \Gamma$ such that $1\gamma\alpha = \alpha = \alpha\gamma 1$ for all $\alpha \in S$.

Definition 2.6 [3] S is said to have a strong identity element if for all $\alpha \in S$, $1\gamma\alpha = \alpha = \alpha\gamma 1$ for all $\gamma \in \Gamma$.

Definition 2.7 [3] A non empty subset R of a Γ -semiring S is said to be a sub Γ -semiring of S if (R, +) is a sub-semigroup of (S, +) and $\alpha\gamma\beta \in S$ for all $\alpha,\beta \in S$ and $\gamma \in \Gamma$.

Definition 2.8 [3] A non empty subset R of a Γ -semiring S is called an ideal if $\alpha, \beta \in R$ implies $\alpha + \beta \in R$ and $\alpha \in R, \alpha \in S$ and $\gamma \in \Gamma$ implies $\alpha \gamma \alpha \in R$ and $\alpha \alpha \gamma \in R$.

Definition 2.9 [13] Let X be a non empty set. A Pythagorean Fuzzy Set $\mathfrak A$ in X is given by $\mathfrak A=\left\{\alpha,\mathfrak A_\chi(\alpha),\mathfrak A_\chi(\alpha)/\alpha\in X\right\}$ where $\mathfrak A_\chi:X\to[0,1]$ and $\mathfrak A_\chi:X\to[0,1]$ represent the degree of membership and degree of non membership of $\mathfrak A$ respectively. Also, $\mathfrak A_\chi$ and $\mathfrak A_\chi$ satisfies the condition $(\mathfrak A_\chi)^2+(\mathfrak A_\chi)^2\leq 1$ for all $\alpha\in X$.

Definition 2.10 [5] Let U be an universal set and Q be a nonempty set. A Q-Pythagorean fuzzy set is an object having the following form, $A: U \times Q \leftarrow [0,1]$

 $A = \{(\alpha,q), (A_x(\alpha,q),A_y(\alpha,q))/\alpha \in U, q \in Q\}$ where $A_x(\alpha,q)$ and $A_y(\alpha,q)$ degree of membership and degree of non membership. Also A_x and A_y satisfies the condition $0 \le (A_x)^2 + (A_y)^2 \le 1$ for all $\alpha \in X$

Definition 2.11 [5] Let A and B be two Q-Pythagorean fuzzy set of S. Then the union of A and B is a Q-Pythagorean fuzzy set defined by

```
(A_x \cup B_x)(u,q) = max\{A_x(u,q), B_x(u,q)\}\

(A_y \cup B_y)(u,q) = min\{A_x(u,q), B_x(u,q)\}\

for all u \in S and q \in Q.
```

Definition 2.12 [5] Let A and B be two Q-Pythagorean fuzzy set of S. Then the intersection of A and B is a Q-Pythagorean fuzzy set defined by

```
 \begin{split} &(A_x \cap B_x)(u,q) = min\{A_x(u,q),B_x(u,q)\} \\ &(A_y \cap B_y)(u,q) = max\{A_x(u,q),B_x(u,q)\} \\ &\text{for all } u \in S \text{ and } q \in Q. \end{split}
```





Anitha and Lavanya

Q-Pythagorean fuzzy ideal in Γ-semirings

In this section, we present the notion of Q-Pythagorean fuzzy ideals within γ -semirings, along with exploring some intriguing properties associated with them.

Definition 3.1 A *Q-Pythagorean fuzzy set A in S is called a Q-Pythagorean fuzzy subsemiring in S if the following conditions are hold for all* $\alpha, \beta \in S, \gamma \in \Gamma$

- 1. $A_{x}(\alpha + \beta, q) \ge A_{x}(\alpha, q) \wedge A_{x}(\beta, q)$ $A_{y}(\alpha + \beta, q) \le A_{y}(\alpha, q) \vee A_{y}(\beta, q)$
- 2. $A_{x}(\alpha \gamma \beta, q) \ge A_{x}(\alpha, q) \wedge A_{x}(\beta, q)$ $A_{y}(\alpha \gamma \beta, q) \le A_{y}(\alpha, q) \vee A_{y}(\beta, q)$
- **Definition 3.2** A Q-Pythagorean fuzzy set A in S is called a Q-Pythagorean fuzzy left ideal in S if the following conditions are hold for all $\alpha, \beta \in S, \gamma \in \Gamma$.
- 1. $A_{x}(\alpha + \beta, q) \ge A_{x}(\alpha, q) \wedge A_{x}(\beta, q)$
- $A_{y}(\alpha + \beta, q) \leq A_{y}(\alpha, q) \vee A_{y}(\beta, q)$
- 2. $A_x(r\gamma\alpha, q) \ge A_x(\alpha, q)$ $A_y(r\gamma\alpha, q) \le A_y(q)$

Definition 3.3 A *Q-Pythagorean fuzzy* set *A* in *S* is called a *Q-Pythagorean fuzzy* right ideal in *S* if the following conditions are hold for all $\alpha, \beta \in S, \gamma \in \Gamma$.

- 1. $A_x(\alpha + \beta, q) \ge A_x(\alpha, q) \wedge A_x(\beta, q)$
 - $A_{y}(\alpha + \beta, q) \leq A_{y}(\alpha, q) \vee A_{y}(\beta, q)$
- 2. $A_x(\alpha \gamma r, q) \ge A_x(\alpha, q)$
 - $A_{\nu}(\alpha \gamma r, q) \leq A_{\nu}(\alpha, q)$

Definition 3.4 A *Q-Pythagorean fuzzy* set *A* in *S* is called a *Pythagorean anti fuzzy* ideal in *S* if it is both *Q-pythagorean fuzzy* left ideal and pythagorean anti fuzzy right ideal of *S*.

Example 3.5 Let $S = \{0,1,2,3\}$ be a Γ -semiring with the following multiplication table.

Example 6.6 Let b = {6,1,2,5} be a 1 seriming with the following manapheation table.									
+	0	1	2	3					
	0	1	2	3					
	1	1	2	3					
	2	2	2	3					
	3	3	3	2					

•	0	1	2	3
	0	0	0	0
	0	1	1	1
	0	1	1	1
	0	1	1	1

Let A be a Q-Pythagorean fuzzy set of S defined by, $A_x(i,q) = \{0.5,0.5,0.3,0.2\}$, $A_y(i,q) = \{0.6,0.7,0.9,0.9\}$. Then A is a Q-Pythagorean fuzzy ideal of S.

Properties of Q-Pythagorean fuzzy ideals in Γ -semiring

In this section we characterize Q-Pythagorean fuzzy ideals in Γ -semiring

Lemma 4.1 If A ia a Q-Pythagorean fuzzy set in S satisfies $A_x(0) \ge A_x(\alpha)$ and $A_y(0) \le A_y(\alpha)$.





Anitha and Lavanya

Lemma 4.2 Every Q-Pythagorean fuzzy ideal in S is an Q-Pythgaorean fuzzy subsemiring of S.

Theorem 4.3 Let A and B are Q-Pythagorean fuzzy subsemiring of S then $A \cap B$ is also Q-Pythagorean fuzzy subsemiring of S.

Proof. Since A and B are Q-Pythagorean fuzzy subsemiring of S.

Then for all $\alpha, \beta \in S, \gamma \in \Gamma$.

$$(A_{x} \cap B_{x})(\alpha + \beta, q) = (A_{x} \wedge B_{x})(\alpha + \beta, q)$$

$$= A_{x}(\alpha + \beta, q) \wedge B_{x}(\alpha + \beta, q)$$

$$\geq (A_{x}(\alpha, q) \wedge A_{x}(\beta, q)) \wedge (B_{x}(\alpha, q) \wedge B_{x}(\beta, q))$$

$$\geq (A_{x}(\alpha, q) \wedge B_{x}(\alpha, q)) \wedge (A_{x}(\beta, q) \wedge B_{x}(\beta, q))$$

$$= (A_{x} \wedge B_{x})(\alpha, q) \wedge (A_{x} \wedge B_{x})(\beta, q)$$

$$= (A_{x} \cap B_{x})(\alpha, q) \wedge (A_{x} \cap B_{x})(\beta, q)$$

$$(A_{y} \cap B_{y})(\alpha + \beta, q) = (A_{y} \cap B_{y})(\alpha + \beta, q)$$

$$= A_{y}(\alpha + \beta, q) \wedge B_{y}(\alpha + \beta, q)$$

$$\leq (A_{y}(\alpha, q) \vee A_{y}(\beta, q)) \wedge (B_{y}(\alpha, q) \vee B_{y}(\beta, q))$$

$$\leq (A_{y}(\alpha, q) \wedge B_{y}(\alpha, q)) \wedge (A_{y}(\beta, q) \wedge B_{y}(\beta, q))$$

$$= (A_{y} \wedge B_{y})(\alpha, q) \vee (A_{y} \wedge B_{y})(\beta, q)$$
and
$$(A_{x} \cap B_{x})(\alpha \gamma \beta, q) = (A_{x} \wedge B_{x})(\alpha \gamma \beta, q)$$

$$= A_{x}(\alpha \gamma \beta, q) \wedge B_{x}(\alpha \gamma \beta, q)$$

$$\geq A_{x}(\beta, q) \wedge B_{x}(\beta, q)$$

$$= (A_{x} \wedge B_{x})(\beta, q)$$

 $= A_{x}(\alpha \gamma \beta, q) \wedge B_{x}(\alpha \gamma \beta, q)$ $\geq A_{x}(\alpha, q) \wedge B_{x}(\alpha, q)$ $= (A_{x} \wedge B_{x})(\alpha, q)$

Moreover

$$(A_{y} \cap B_{y})(\alpha \gamma \beta, q) = (A_{y} \vee B_{y})(\alpha \gamma \beta, q)$$

$$= A_{y}(\alpha \gamma \beta, q) \vee B_{y}(\alpha \gamma \beta, q)$$

$$\leq A_{y}(\beta, q) \vee B_{y}(\beta, q)$$

$$= (A_{y} \vee B_{y})(\beta, q)$$

Also $(A_x \cap B_x)(\alpha \gamma \beta, q) = (A_x \wedge B_x)(\alpha \gamma \beta, q)$

and

$$(A_y \cap B_y)(\alpha \gamma \beta, q) = (A_y \vee B_y)(\alpha \gamma \beta, q)$$

$$= A_y(\alpha \gamma \beta, q) \vee B_y(\alpha \gamma \beta, q)$$

$$\leq A_y(\beta, q) \vee B_y(\alpha, q)$$

$$= (A_y \vee B_y)(\alpha, q)$$

Hence $A \cap B$ is a Q-Pythogorean fuzzy subsemiring of S.

Theorem 4.4 Let A and B are Q-Pythagorean fuzzy ideal of S then $A \cap B$ is also Q-Pythagorean fuzzy ideal of S.

Proof. Since A and B are Q-Pythagorean fuzzy ideal of S.

Then for all
$$\alpha, \beta \in S, \gamma \in \Gamma$$
.
 $(A_x \cap B_x)(\alpha + \beta, q) = (A_x \wedge B_x)(\alpha + \beta, q)$
 $= A_x(\alpha + \beta, q) \wedge B_x(\alpha + \beta, q)$
 $\geq (A_x(\alpha, q) \wedge A_x(\beta, q)) \wedge (B_x(\alpha, q) \wedge B_x(\beta, q))$





Anitha and Lavanya

$$\geq (A_x(\alpha,q) \land B_x(\alpha,q)) \land (A_x(\beta,q) \land B_x(\beta,q)) \\ = (A_x \land B_x)(\alpha,q) \land (A_x \land B_x)(\beta,q) \\ = (A_x \cap B_x)(\alpha,q) \land (A_x \cap B_x)(\beta,q) \\ = (A_y \cap B_y)(\alpha + \beta,q) = (A_y \cap B_y)(\alpha + \beta,q) \\ = A_y(\alpha + \beta,q) \land B_y(\alpha + \beta,q) \\ \leq (A_y(\alpha,q) \lor A_y(\beta,q)) \land (B_y(\alpha,q) \lor B_y(\beta,q)) \\ \leq (A_y(\alpha,q) \lor B_y(\alpha,q)) \land (A_y(\beta,q) \lor B_y(\beta,q)) \\ = (A_y \lor B_y)(\alpha,q) \land (A_y \lor B_y)(\beta,q) \\ = (A_y \cap B_y)(\alpha,q) \land (A_y \cap B_y)(\beta,q) \\ \text{and} \\ (A_x \cap B_x)(\alpha\gamma\gamma,q) = (A_x \land B_x)(\alpha\gamma\gamma,q) \\ = A_x(\alpha\gamma\gamma,q) \land B_x(\alpha\gamma\gamma,q) \\ \geq A_x(\alpha,q) \land B_x(\alpha,q) \\ = (A_x \cap B_x)(\alpha,q) \\ (A_y \cap B_y)(\alpha\gamma\gamma,q) = (A_y \cap B_y)(\alpha\gamma\gamma,q) \\ = A_y(\alpha\gamma\gamma,q) \lor B_y(\alpha\gamma\gamma,q) \\ \leq A_y(\alpha,q) \lor B_y(\alpha,q) \\ = (A_y \cap B_y)(\alpha,q) \\ = A_x(\gamma\gamma\alpha,q) \land B_x(\gamma\gamma\alpha,q) \\ = A_x(\gamma\gamma\alpha,q) \land B_x(\gamma\gamma\alpha,q) \\ \leq A_y(\alpha,q) \land B_x(\alpha,q) \\ = (A_y \cap B_y)(\alpha,q) \\ (A_y \cap B_y)(\gamma\gamma\alpha,q) = (A_y \cap B_y)(\gamma\gamma\alpha,q) \\ = A_y(\gamma\gamma\alpha,q) \lor B_y(\gamma\gamma\alpha,q) \\ \leq A_y(\alpha,q) \lor B_y(\alpha,q) \\ = (A_y \cap B_y)(\alpha,q) \\ \text{Hence } A \cap B \text{ is a } Q\text{-Pythogorean fuzzy ideal of } S.$$

Theorem 4.5 Let G and H be two Γ -semirings and π be a homomorphism of G onto H. If A is a Q-Pythgorean fuzzy ideal of H, then $\pi^{-1}(A)$ is a Q-Pythgorean fuzzy ideal of G.

Proof. Since G and H be two Γ semirings and π be a homomorphism of G onto H. If A is a Q-Pythgorean fuzzy ideal of H.

Then $\pi^{-1}(A_x(\alpha,q))=A_x(\pi(\alpha,q))$ and $\pi^{-1}(A_y(\alpha,q))=A_y(\pi(\alpha,q))$ for all $\alpha\in G$. Let $\alpha,\beta\in G$, $\gamma\in\Gamma$. Then

```
\pi^{-1}(A_{x})(\alpha + \beta, q) = A_{x}(\pi(\alpha + \beta, q))
= A_{x}(\pi(\alpha, q) + \pi(\beta, q))
\geq A_{x}(\pi(\alpha, q)) \wedge A_{x}(\pi(\beta, q))
= \pi^{-1}(A_{x})(\alpha, q) \wedge \pi^{-1}(A_{x})(\beta, q)
\pi^{-1}(A_{y})(\alpha + \beta, q) = A_{y}(\pi(\alpha + \beta, q))
= A_{y}(\pi(\alpha, q) + \pi(\beta, q))
\leq A_{y}(\pi(\alpha, q)) \vee A_{y}(\pi(\beta, q))
= \pi^{-1}(A_{y})(\alpha, q) \vee \pi^{-1}(A_{y})(\beta, q)
```

Next we have to prove for Q-pythagorean fuzzy left ideal

```
\pi^{-1}(A_{x})(r\gamma\alpha,q) = A_{x}(\pi(r\gamma\alpha,q))
= A_{x}(\pi(r,q)\gamma\pi(\alpha,q))
\geq A_{x}(\pi(\alpha,q))
= \pi^{-1}(A_{x})(\alpha,q)
\pi^{-1}(A_{y})(r\gamma\alpha,q) = A_{y}(\pi(r\gamma\alpha,q))
= A_{y}(\pi(r,q)\gamma\pi(\alpha,q))
```





Anitha and Lavanya

$$\leq A_{y}(\pi(\alpha,q))$$

= $\pi^{-1}(A_{y})(\alpha,q)$

Finally we have to prove for Q-pythagorean fuzzy right ideal

$$\pi^{-1}(A_x)(\alpha \gamma r, q) = A_x(\pi(\alpha \gamma r, q))$$

$$= A_x(\pi(\alpha, q)\gamma \pi(r, q))$$

$$\leq A_x(\pi(\alpha, q))$$

$$= \pi^{-1}(A_x)(\alpha, q)$$

$$\pi^{-1}(A_y)(\alpha \gamma r, q) = A_y(\pi(\alpha \gamma r, q))$$

$$= A_y(\pi(\alpha, q)\gamma \pi(r, q))$$

$$\leq A_y(\pi(\alpha, q))$$

$$= \pi^{-1}(A_y)(\alpha, q)$$
Hence Proved.

Theorem 4.6 If A and B are any two Q-Pythagorean fuzzy ideal of Γ -semirings S_1 and S_2 respectively then $A \times B$ is a Q-Pythagorean fuzzy ideal of $S_1 \times S_2$.

Proof. Since A and B be any two Q-Pythagorean fuzzy ideal of Γ -semirings S_1 and S_2 respectively.

Let $p_1, p_2 \in S_1$ and $k_1, k_2 \in S_2$.

Then consider (p_1, k_1) , $(p_2, k_2) \in S_1 \times S_2$, $\gamma \in \Gamma$

$$(A_{x} \times B_{x})((p_{1},k_{1}) + (p_{2},k_{2}),q) = (A_{x} \times B_{x})((p_{1} + p_{2},k_{1} + k_{2}),q)$$

$$\geq \min\{A_{x}(p_{1} + p_{2},q),B_{x}(k_{1} + k_{2},q)\}$$

$$\geq \min\{A_{x}(p_{1},q) \wedge A_{x}(p_{2},q),B_{x}(k_{1},q) \wedge B_{x}(k_{2},q)\}$$

$$\geq \min\{A_{x}(p_{1},q) \wedge B_{x}(k_{1},q),A_{x}(p_{2},q) \wedge B_{x}(k_{2},q)\}$$

$$= \min\{(A_{x} \times B_{x})((p_{1},k_{1}),q),(A_{x} \times B_{x})((p_{2},k_{2}),q)\}$$
And
$$(A_{y} \times B_{y})((p_{1},k_{1}) + (p_{2},k_{2}),q) = (A_{y} \times B_{y})((p_{1} + p_{2},k_{1} + k_{2}),q)$$

$$\leq \max\{A_{y}(p_{1} + p_{2},q),B_{y}(k_{1} + k_{2},q)\}$$

$$\leq \max\{A_{y}(p_{1},q) \wedge A_{y}(p_{2},q),B_{y}(k_{1},q) \wedge B_{y}(k_{2},q)\}$$

$$\leq \max\{A_{x}(p_{1},q) \wedge B_{y}(k_{1},q),A_{y}(p_{2},q) \wedge B_{y}(k_{2},q)\}$$

$$= \max\{(A_{y} \times B_{y})((p_{1},k_{1}),q),(A_{y} \times B_{y})((p_{2},k_{2}),q)\}$$
Finally
$$(A_{x} \times B_{x})((p_{1},k_{1})\gamma(p_{2},k_{2}),q) = (A_{x} \times B_{x})((p_{1}\gamma p_{2},k_{1}\gamma k_{2}),q)$$

$$\geq \min\{A_{x}(p_{1}\gamma p_{2},q),B_{x}(k_{1}\gamma k_{2},q)\}$$

$$\geq \min\{A_{x}(p_{2},q),B_{x}(k_{2},q)\}$$

$$= (A_{x} \times B_{x})((p_{2},k_{2}),q)$$
and
$$(A_{y} \times B_{y})((p_{1},k_{1})\gamma(p_{2},k_{2}),q) = (A_{y} \times B_{y})((p_{1}\gamma p_{2},k_{1}\gamma k_{2}),q)$$

$$\leq \max\{A_{y}(p_{1}\gamma p_{2},q),B_{y}(k_{1}\gamma k_{2},q)\}$$

Hence $A \times B$ is a Q-Pythagorean fuzzy ideal.

$Q ext{-}Pythagorean\ fuzzy\ bi-ideals\ in\ Γ-semirings$

In this section we discuss some interesting properties of \mathbf{Q} -Pythagorean fuzzy bi-ideals in $\mathbf{\Gamma}$ -semirings.





Anitha and Lavanya

Definition 5.1 A Q-Pythagorean fuzzy ideal A in S is called a Q-Pythagorean fuzzy bi-ideal in S if the following conditions are hold for all $\alpha, \beta \in S, \gamma \in \Gamma$.

```
(i) A_x(\alpha \gamma_1 \eta \gamma_2 \beta, q) \ge A_x(\alpha, q) \land A_x(\beta, q)

(ii) A_y(\alpha \gamma_1 \eta \gamma_2 \beta, q) \le A_y(\alpha, q) \lor A_x(\beta, q)
```

Theorem 5.2 Let A be a Q-Pythagorean fuzzy ideal in S. If S is an intra regular then $A(\alpha,q) = A(\alpha\gamma\alpha,q)$ for all $\alpha \in S, \gamma \in \Gamma$

Proof. Let α be any element of S. Then since S is a intra-regular, there exist $x, y \in S$ and $\gamma_1, \gamma_2, \gamma_3 \in \Gamma$ such that $\alpha = x\gamma_1\alpha\gamma_2\alpha\gamma_3y$. Hence A is a Q-Pythagorean fuzzy ideal.

```
\begin{array}{l} A_x(\alpha,q) = A_x(x\gamma_1\alpha\gamma_2\alpha\gamma_3y,q) \\ \geq A_x(x\gamma_1\alpha\gamma_2\alpha,q) \\ \geq A_x(\alpha\gamma_2\alpha,q) \\ \geq A_x(\alpha,q) \\ \text{and} \\ \\ A_y(\alpha,q) = A_y(x\gamma_1\alpha\gamma_2\alpha\gamma_3y,q) \\ \leq A_y(x\gamma_1\alpha\gamma_2\alpha,q) \\ \leq A_y(\alpha\gamma_2\alpha,q) \\ \leq A_y(\alpha,q) \\ \text{Hence the theorem.} \end{array}
```

Theorem 5.3 Let A be a Q-Pythagorean fuzzy ideal in S. If S is an intra regular then $A(\alpha\gamma\beta,q)=A(\beta\gamma\alpha,q)$ for all $\alpha,\beta\in S,\gamma\in \Gamma$

```
Proof. Let \alpha, \beta be any element of S. Then by theorem 5.2 we have, A_x(\alpha\gamma\beta,q) = A_x(\alpha\gamma\beta\gamma\alpha\gamma\beta,q) \\ \geq A_x(\alpha\gamma(\beta\gamma\alpha)\gamma\beta,q) \\ \geq A_x(\beta\gamma\alpha,q) \\ \geq A_x(\beta\gamma\alpha,q) \\ \geq A_x(\beta\gamma\alpha\gamma\beta\gamma\alpha,q) \\ \geq A_x(\beta\gamma(\alpha\gamma\beta)\gamma\alpha,q) \\ \geq A_x(\alpha\gamma\beta,q) \\ \text{and} \\ A_y(\alpha\gamma\beta,q) = A_y(\alpha\gamma\beta\gamma\alpha\gamma\beta,q) \\ \leq A_y(\alpha\gamma(\beta\gamma\alpha)\gamma\beta,q) \\ \leq A_y(\beta\gamma\alpha\gamma\beta\gamma\alpha,q) \\ \leq A_y(\beta\gamma\alpha\gamma\beta\gamma\alpha,q) \\ \leq A_y(\beta\gamma\alpha\gamma\beta\gamma\alpha,q)
```

 $\leq A_{y}(\beta \gamma (\alpha \gamma \beta) \gamma \alpha, q)$ $\leq A_{y}(\alpha \gamma \beta, q)$

Hence the theorem.

Theorem 5.4 Let A be a Q-Pythagorean fuzzy bi-ideal of S if and only if the fuzzy set A_x and $\overline{A_y}$ are fuzzy bi-ideals of S

Proof. Let A be a Q-Pythagaorean fuzzy bi-ideal in S. Then A_x is a Q-fuzzy bi-ideal of S. Let $\alpha, r, \beta \in S$, $\gamma_1, \gamma_2 \in \Gamma$. Then

```
\overline{A_{y}}(\alpha \gamma_{1} \beta, q) = 1 - A_{y}(\alpha \gamma_{1} \beta, q) 

\geq 1 - \max\{A_{y}(\alpha, q), A_{y}(\beta, q)\} 

= \min\{1 - A_{y}(\alpha, q), 1 - A_{y}(\beta, q)\} 

= \min\{\overline{A_{y}}(\alpha, q), \overline{A_{y}}(\beta, q)\} \text{ and }
```





Anitha and Lavanya

```
\overline{A_{y}}(\alpha \gamma_{1} r \gamma_{2} \beta, q) = 1 - A_{y}(\alpha \gamma_{1} r \gamma_{2} \beta, q) 

\geq 1 - \max\{A_{y}(\alpha, q), A_{y}(\beta, q)\} 

= \min\{1 - A_{y}(\alpha, q), 1 - A_{y}(\beta, q)\} 

= \min\{\overline{A_{y}}(\alpha, q), \overline{A_{y}}(\beta, q)\}
```

Hence $\overline{A_y}$ is a Q-Pythagorean fuzzy bi-ideal of S. Conversely, suppose that A_x and $\overline{A_y}$ are Q-Pythagorean fuzzy bi-ideal of S, Let $\alpha, r, \beta \in S$, $\gamma_1, \gamma_2 \in \Gamma$.

Then

and

$$1 - A_{x}(\alpha \gamma_{1} \beta, q) = \overline{A_{x}}(\alpha \gamma_{1} \beta, q)$$

$$\geq \min\{\overline{A_{x}}(\alpha, q), \overline{A_{x}}(\beta, q)\}$$

$$= \min\{1 - A_{x}(\alpha, q), 1 - A_{x}(\beta, q)\}$$

$$= \max\{A_{x}(\alpha, q), A_{x}(\beta, q)\}$$

$$1 - A_{x}(\alpha \gamma_{1} r \gamma_{2} \beta, q) = \overline{A_{x}}(\alpha \gamma_{1} r \gamma_{2} \beta, q)$$

$$\geq \min\{\overline{A_{x}}(\alpha, q), \overline{A_{x}}(\beta, q)\}$$

 $= 1 - \max\{A_x(\alpha, q), A_x(\beta, q)\}$ = $\max\{A_x(\alpha, q), A_x(\beta, q)\}$

Hence the theorem

Theorem 5.5 Let G and H be two Γ -semirings and π be a homomorphism of G onto H. If A is a Q-Pythgorean fuzzy bi-ideal of H, then $\pi^{-1}(A)$ is a Q-Pythagorean fuzzy bi-ideal of G.

Proof. Since G and H be two Γ semirings and π be a homomorphism of G onto H. If A is a Q-Pythagorean fuzzy ideal of H.

Then

```
\begin{array}{l} \pi^{-1}(A_x(\alpha,q)) = A_x(\pi(\alpha,q)) \text{ and } \\ \pi^{-1}(A_y(\alpha,q)) = A_y(\pi(\alpha,q)) \text{ for all } \alpha \in G \\ \text{Let } \alpha,\beta \in G. \text{ The } \\ \pi^{-1}(A_x)(\alpha\gamma_1\eta\gamma_2\beta,q) = A_x(\pi(\alpha\gamma_1\eta\gamma_2\beta),q) \\ \geq A_x(\pi(\alpha)\gamma_1\eta\gamma_2\pi(\beta),q) \\ \geq A_x(\pi(\alpha,q)) \wedge A_x(\pi(\beta,q)) \\ = \pi^{-1}(A_x(\alpha,q)) \wedge \pi^{-1}(A_x(\beta,q)) \\ \pi^{-1}(A_y)(\alpha\gamma_1\eta\gamma_2\beta,q) = A_y(\pi(\alpha\gamma_1\eta\gamma_2\beta),q) \\ \leq A_y(\pi(\alpha)\gamma_1\eta\gamma_2\pi(\beta),q) \\ \leq A_y(\pi(\alpha,q)) \vee A_y(\pi(\beta,q)) \\ = \pi^{-1}(A_y(\alpha,q)) \vee \pi^{-1}(A_y(\beta,q)) \\ \text{Hence Proved.} \end{array}
```

CONCLUSION

This paper applies the concept of Q-Pythagorean fuzzy sets to Γ -semirings. We present the algebraic structures of Q-Pythagorean fuzzy sets within Γ -semirings. Additionally, we aspire to extend this framework to various other algebraic structures and explore its applications in real-life scenarios in future research endeavors.





Anitha and Lavanya

REFERENCES

- 1. J.Ahsan, K.Saifullah and M.F. Khan, "Fuzzy Semirings", Fuzzy Sets and Systems, 302-309,1993.
- 2. K.T.Atanassov, "Intuitionistic fuzzy sets", Fuzzy Sets and Systems, 20, 87†"91986
- 3. Y. Bhargavi and T. Eswarlal , "Fuzzy Γ-semirings", International Journal of Pure andApplied Mathematics, 98, 339-349, 2015.
- 4. T.K.Dutta, S.K.Sardar and S. Goswami, "Operations on fuzzy ideals of Γ semirings, Proceedings of National seminar on Algebra", Analysis and Discrete Mathematics arXiv:1101.4791v1 [math.GM], 2011.
- 5. V.Chinnadurai and A.Arulselvam, "Q-Pythagorean fuzzy soft expert set and its application in multi-criteria decision makingprocess", Journal of Physics,1-11.
- 6. H. Garg, "A novel accuracy function under interval-valued Pythagorean fuzzy environment for solving multicriteria decision making problem", J. Intell. Fuzzy Syst. 31(1), 529-540, 2016.
- 7. H. Garg, "A new generalized Pythagorean fuzzy information aggregation using Einstein operations and its application to decision making", Int. J. Intell. Syst. 31(9), 886-920 .2016.
- 8. N.Nobusawa, "On a generalization of the ring theory", Osaka J. math., 1,81-89,1964,
- 9. M.M.K.Rao, "Γ-semirings-I", South East Asian Bull. of Math. 19, 49-54,1995.
- 10. A. Rosenfeld, "Fuzzy Groups", J. Math. Analysis Applications 35, 512-519,1971
- 11. M.K.Sen, and N.K.Saha, "On Γ semigroup", Bull. Calcutta Math, 180-186,1986.
- 12. Sharma, Tilak Raj and Kumar Rajesh, "Intuitionistic fuzzy ideals of Γsemirings", communicated to Springer proceedings, 2023.
- 13. R R Yager, "Pythagorean fuzzy subsets", in: Proceedings of Joint IFSA World Congress and NAFIPS Annual Meeting, Edmonton. Canada, 57-61,2013.
- 14. R.R. Yager, "Pythagorean membership grades in multicriteria decision making". IEEE Trans. Fuzzy Syst. 22(4), 958-965.2014.
- 15. L. A Zadeh, "Fuzzy sets", Inform and control, 8,338-353,1965.





International Bimonthly (Print) - Open Access Vol.15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

RESEARCH ARTICLE

Braces to Heartburn: Does Prolonged Orthodontic Treatment Cause Gastritis - A Cross - Sectional Study

Lakshmi Ravi¹, Evan. A.Clement^{2*}, Mathu Sree H³. Aparna⁴, Sushmita R.M⁴ and Sreelekshmi⁴

¹Head of Department, Department of Orthodontics, Asan Dental College, Chengalpattu, Tamil Nadu, India.

²Reader, Departmentof Orthodontics, Asan Dental College, Chengalpattu, Tamil Nadu, India.

³CRBT, Departmentof Orthodontics, Asan Dental College, Chengalpattu, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Aug 2024

*Address for Correspondence

Lakshmi Ravi

Head of Department, Department of Orthodontics,

Asan Dental College,

Chengalpattu, Tamil Nadu, India.

E.Mail: evan.a.7337@gmail.com



This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

This survey aims to assess the relationship between Orthodontic treatment and Gastritis. One hundred patients undergoing orthodontic treatment for more than 3 months in the age group 18 to 30 years were sent a questionnaire to completethe survey. There is a positive connection between orthodontic treatment and gastritis. Previous studies suggest the impact of orthodontic treatment on weight loss, food habits, negative self-image, and anxiety. Poor eating habits, prolonged intentional skipping of meals, anxiety about physical appearance, and consumption of NSAIDs are contributing factors to Gastritis during orthodontic treatment. Going through orthodontic treatment does not necessarily cause gastritis, however prolonged orthodontic treatment increases the chances of getting gastritis and can worsen existing gastritis.

Keywords: This research received no specific grant from any public, commercial, or non-profit funding agency.

INTRODUCTION

Orthodontic treatment aims to provide a healthy bite - improving the appearance and alignment of crooked, protruding, or crowded teeth, thereby enhancing masticatory efficiency, and esthetics contributing to the overall confidence of an individual and their psychological well-being [1]. Fixed orthodontic Appliance (FA) produces a



⁴Tutor- Asan Memorial Dental college, Chengalpattu, Tamil Nadu, India.



Lakshmi Ravi et al.,

variety of tooth movements e.g. Bodily movement, rotation, uprighting, torquing, and intrusion; and are more efficient in treatment as compared to removable appliances. They allow multiple tooth movements and the establishment of normal incisor relationship with both crown and root movement is possible[2] [3]. Since FA is highly advantageous, certain limitations like difficulty in the maintenance of oral hygiene and frequent breakage are ignored. FA is not aesthetically pleasing. Though orthodontic treatment aims for better esthetics in the end, during the course of treatment psychosocial issues of the patients are overlooked[4]. It is established by various researchers that FA treatment causes weight loss[5]. This is due to the prolonged intentional skipping of meals and lack of nutrients in preferred soft food advised due to decreased masticatory efficiency [6]. Weight loss, however, is a characteristic feature of gastritis. Gastritis is the inflammation of the gastric lining which may be due to various factors like stress, genetic conditions, old age, bacterial infection, autoimmune diseases, regular/unsupervised use of NSAIDs, smoking, lifestyle choices, irregular food intake, alcoholism[7] [8]. Several etiological factors of gastritis share a common ground with the habits of patients undergoing orthodontic treatment with fixed appliances[5]. Improper food habits, prolonged intentional skipping of meals [9], regular/ unsupervised use of NSAIDs [10], poor oral hygiene [11], disturbed sleep, and psychosocial stress and anxiety [12] form a bridge between orthodontic treatment and gastritis. This study aims to determine if Prolonged orthodontic treatment precipitates gastritis.

METHODOLOGY

STUDY DESIGN- This was a cross-sectional questionnaire-based study to determine if prolonged orthodontic treatment might precipitate gastritis.

QUESTIONNAIRE- The structured, self-administered questionnaire was partly adapted from comparable studies previously conducted on difficulties of patients undergoing orthodontic treatment and the symptoms of gastritis which were standardized. The questionnaire was circulated in an electronic medium (Google Forms). The responses were collected in April 2023. The questionnaire had 15 questions in total consisting of 3 sections;

- i) Demographic details (name, age, sex, duration of orthodontic treatment)
- ii) Difficulties and habits of patients undergoing orthodontic treatment (5 questions) regarding frequency of food intake, NSAID consumption, sleep disturbance, and social anxiety.
- iii) Symptoms of gastritis (6 questions) regarding weight loss, heartburn, bloating, response to spicy food and antacids, and the consultation of a physician relating to gastric issues after 6 months of orthodontic treatment.

PARTICIPANTS- The questionnaire was circulated among 100 orthodontic patients in Asan Memorial Dental College and Hospital, Chengalpattu.

Inclusion criteria

- i) Patients aged between 18 years to 30 years
- ii) Orthodontic treatment duration more than 3 months

Exclusion criteria

- i) Patients aged less than 18 years of age
- ii) Orthodontic treatment duration less than 3 months

STATISTICAL ANALYSES

Chi-square tests were used to analyze the relationship between the duration of orthodontic treatment, intentional skipping of meals, unsupervised administration of NSAIDs, psychosocial stress and anxiety, burning sensation in the stomach, weight loss, bloating, and the consultation of a physician for gastric issues after orthodontic treatment. Two-tailed analyses were conducted, and P values less than 0.05 were considered significant. The data were statistically analyzed using SPSS v. 24.0 (IBM, Armonk, NY, USA).

NULL HYPOTHESIS – Orthodontic treatment and the occurrence of gastritis are independent of each other. **ALTERNATIVE HYPOTHESIS** – Orthodontic treatment leads to gastritis/ worsens existing gastritis severity.





Lakshmi Ravi et al.,

HOW LONG HAS IT BEEN SINCE THE COMMENCEMENT OF ORTHODONTIC TREATMENT * DO YOU HAVE A BURNING FEELING IN YOUR STOMACH BETWEEN MEALS AND AT NIGHT?

The cross-tabulation and chi-square tests were conducted to investigate the relationship between the duration since the commencement of orthodontic treatment and the occurrence of a burning feeling in the stomach between meals and at night. The observed counts depict the distribution of responses across different time intervals, while the expected counts are based on the assumption of independence. The chi-square tests reveal a statistically significant association between the duration of orthodontic treatment and the experience of a burning feeling in the stomach (Pearson Chi-Square = 18.415, p = 0.031). The linear-by-linear association test suggests a potential linear trend in the relationship, indicating that as the duration of orthodontic treatment increases, the likelihood of experiencing a burning feeling in the stomach also increases. Symmetric measures (Phi and Cramer's V) show a moderate association.

Correlations

The correlation matrix reveals the relationships between various factors related to orthodontic treatment and gastric issues. Here's a brief analysis of the correlations:

Duration of Orthodontic Treatment and Meal Skipping Habits:

Pearson Correlation: 0.232*

Significance: p = 0.020 There is a weak positive correlation (significant at the 0.05 level) between the duration of orthodontic treatment and the tendency to skip meals due to fear of breaking brackets or intentionally avoiding favorite foods.

Meal Skipping Habits and Consulting a Doctor for Gastric Issues:

Pearson Correlation: 0.478** Significance: p < 0.001

A moderate positive correlation (highly significant at the 0.01 level) exists between the tendency to skip meals and the likelihood of consulting a doctor for gastric issues after orthodontic treatment.

Burning Feeling in Stomach and Other Variables:

Duration of Orthodontic Treatment: 0.294**

Meal Skipping Habits: 0.568** Bloating/Flatulence: 0.420** Medication for Pain Relief: 0.395**

Consulting a Doctor for Gastric Issues: 0.401**

All correlations are highly significant at the 0.01 level.

There are strong positive correlations between the burning feeling in the stomach and various factors, including duration of orthodontic treatment, meal-skipping habits, bloating/flatulence, medication for pain relief, and consulting a doctor for gastric issues.

Bloating/Flatulence and Medication for Pain Relief:

Pearson Correlation: 0.395**

Significance: p < 0.001

There is a moderate positive correlation (highly significant at the 0.01 level) between experiencing bloating/flatulence and taking medication for pain relief after orthodontic visits.

Medication for Pain Relief and Consulting a Doctor for Gastric Issues:

Pearson Correlation: 0.377**

Significance: p < 0.001

A Strong positive correlation (highly significant at the 0.01 level) exists between taking medication for pain relief and consulting a doctor for gastric issues after orthodontic treatment.





Lakshmi Ravi et al.,

In summary, the correlations suggest several significant associations. Notably, the duration of orthodontic treatment is weakly correlated with meal-skipping habits, while meal-skipping habits strongly correlate with consulting a doctor for gastric issues. The burning feeling in the stomach is strongly correlated with multiple variables, indicating its potential role in gastrointestinal concerns during orthodontic treatment. Additionally, taking medication for pain relief is moderately correlated with both bloating/flatulence and consulting a doctor for gastric issues.

REGRESSION

Dependent Variable: HAVE YOU CONSULTED A DOCTOR FOR GASTRIC ISSUES AFTER ORTHODONTIC TREATMENT RECENTLY? The regression model aims to predict the likelihood of individuals consulting a doctor for gastric issues after orthodontic treatment. The model's overall fit is summarized as follows:

R Square (Coefficient of Determination): The R Square value of 0.224 indicates that approximately 22.4% of the variance in the dependent variable (consulting a doctor for gastric issues) is explained by the independent variables included in the model.

Dependent Variable: HAVE YOU CONSULTED A DOCTOR FOR GASTRIC ISSUES AFTER ORTHODONTIC TREATMENT RECENTLY?

The analysis of variance (ANOVA) table for the regression model indicates a statistically significant relationship between the predictors and the likelihood of individuals consulting a doctor for gastric issues after recent orthodontic treatment. Regression Sum of Squares (SSR): The variation in the dependent variable (consulting a doctor for gastric issues) explained by the predictors is 4.314. The F-statistic (5.433) tests the overall significance of the regression model. The p-value associated with the F-statistic is highly significant (p = 0.000), indicating that at least one predictor in the model has a significant effect on the dependent variable. b. Predictors: (Constant), DO YOU FEEL BLOATING OF YOUR STOMACH/EXPERIENCE FLATULENCE?

Dependent Variable: HAVE YOU CONSULTED A DOCTOR FOR GASTRIC ISSUES AFTER ORTHODONTIC TREATMENT RECENTLY? The coefficients of the regression model provide insight into the individual contribution of each predictor to the likelihood of individual consulting a doctor for gastric issues after recent orthodontic treatment. Individuals taking medication for pain relief after orthodontic visit have a positive and significant impact on the likelihood of consulting a doctor for gastric issues (Beta = 0.231, p = 0.028). In summary, the medication for pain relief after orthodontic visits appears to be the most influential factor in predicting the likelihood of consulting a doctor for gastric issues.

RESULTS

Association between Orthodontic Treatment Duration and Gastric Issues

The chi-square tests indicate a statistically significant association between the duration of orthodontic treatment and the occurrence of a burning feeling in the stomach between meals and at night (p = 0.031). There seems to be a potential linear trend, suggesting that as the duration of orthodontic treatment increases, the likelihood of experiencing a burning feeling in the stomach also increases.

Correlations between Orthodontic Treatment and Gastric Issues

The correlation matrix reveals several significant associations.

- There is a weak positive correlation between the duration of orthodontic treatment and the tendency to skip meals (p = 0.020).
- A moderate positive correlation exists between meal-skipping habits and consulting a doctor for gastric issues (p < 0.001).
- The burning feeling in the stomach is strongly correlated with the duration of orthodontic treatment, meal-skipping habits, bloating/flatulence, medication for pain relief, and consulting a doctor for gastric issues (all p < 0.001).





Lakshmi Ravi et al.,

• Taking medication for pain relief is moderately correlated with both bloating/flatulence and consulting a doctor for gastric issues (both p < 0.001).

Regression Analysis

The regression model, aimed at predicting the likelihood of individuals consulting a doctor for gastric issues after orthodontic treatment, has an R Square of 0.224. This indicates that approximately 22.4% of the variance in consulting a doctor for gastric issues is explained by the included predictors. The ANOVA table shows a highly significant relationship between the predictors and the likelihood of consulting a doctor for gastric issues after prolonged orthodontic treatment (p = 0.000). The most influential factor in predicting the likelihood of consulting a doctor for gastric issues is taking medication for pain relief after orthodontic visits (Beta = 0.231, p = 0.028).

DISCUSSION

According to Gnanasamandham et. AI, Placement of separators, placement of initial arch wires, adjustments, and activation of orthodontic appliances can cause discomfort and pain for 2-3 days, which decreases by the fifth or sixth day. This pain affects patients' eating pattern. Patients may avoid hard foods and restrict food intake because of the conditioned and nociceptive reflexes elicited by arch-wire activation[5] [17]. According to Ju-Yeon Lee et. Al's case studies, the patients began to display pathological eating pattern and the avoidance of food to reduce the pain associated with braces, but they did not express weight and shape concerns. Although most psychiatric disorders are often intentionally hidden in adolescence, their cases exhibited relatively healthy eating patterns and the maintenance of weight gain until the initiation of orthodontic therapy. However, as orthodontic treatment progressed, patients adopt pathological eating patterns that continued even after their oral pain had reduced[4] [17]. In accordance with our survey 73% of patients had agreed to intentionally restricting meals and avoid the consumption of their favorite food due to pain arising from orthodontic visits and the habits continue even after the pain has subsided, just to avoid the breaking of brackets.

Various researchers like Ajwa et. Al [13], Shalchi et. Al [14], Soni et. Al [15] and Sandeep et. Al [16] have concluded that orthodontic treatment leads to significant weight loss. This is further substantiated by our study, where 65% of patients agree that they have undergone weight loss after orthodontic treatment. According to our study, 47% patients admit to Unsupervised consumption of NSAIDs and it is the most influential factor in consulting a physician for gastric issues (p = 0.02). This is supported by previous articles of Roda et. AI [10] and Shalchi et. AI [14]. Makrygiannakis et, Al's Evidence also suggests the negative impact of chronic usage of NSAIDs on the efficacy of Orthodontic treatment and the increase in treatment duration [18]. Hence, it may lead to a vicious cycle where patients regularly consume NSAIDs due to pain caused by orthodontic treatment, and thereby the efficacy is reduced, leading to the prolonged duration of Orthodontic treatment. Ultimately inducing gastric issues in long-term Orthodontic patients. Orthodontic treatment aims to improve confidence and promote the self-esteem of patients, especially in adolescents. However, during the course of treatment, the appearance of patients is overlooked, and the self-esteem level is particularly low and patients are conscious about their smile, and refrain from displaying their teeth in public. This includes Smiling, laughing, and even eating in public places thereby affecting the quality of life in orthodontic patients [5]. According to our study, 60% of respondents agree about feeling anxious and selfconscious about smiling or eating in public. It is established that Chronic stress and anxiety lead to gastritis [19] [20]. According to our study, the correlation of various factors like intentional skipping of meals due to pain caused by orthodontic treatment and fear of braking brackets, unsupervised consumption of NSAIDs for pain management, psychosocial stress, and anxiety ultimately precipitate gastritis on long-standing orthodontic treatment; increasing the likelihood of consulting a physician for gastric issues by 22.4% after orthodontic treatment.





Lakshmi Ravi et al.,

CONCLUSION

In conclusion, our study underscores a significant association between prolonged orthodontic treatment and the onset of gastric issues. The interplay of poor dietary habits, intentional meal skipping, anxiety, and use of NSAID during orthodontic care emerges as key contributors to gastritis. While orthodontic treatment itself may not be a direct cause, prolonged durations heighten the risk and exacerbate existing conditions.

Addressing these factors is paramount for comprehensive patient care. A holistic approach, incorporating nutritional guidance, psychological support, and vigilant monitoring of medication usage, can mitigate the risk of gastritis during orthodontic treatment. This study prompts a reevaluation of treatment protocols and highlights the need for patient education programs to foster well-being beyond the structural aspects of orthodontic care.

LIMITATIONS AND FUTURE DIRECTIONS

- The sample is limited to a specific age group and a single dental institution, affecting generalizability.
- Future research could explore additional factors contributing to gastritis during orthodontic treatment and consider longitudinal studies for a more comprehensive understanding.
- Clinical interventions and patient education programs may be developed to address the identified risk factors and promote holistic well-being during orthodontic treatment.

REFERENCES

- Atanasova S, Kovacevska I, Nashkova S, TonevaStojmenova V, Zlatanovska K, Longurova N. Side effects of orthodontic treatment. Knowledge, International Journal Scientific Papers [Internet]. 2018 May 24 [cited 2023 Sep 20]; Available from: https://eprints.ugd.edu.mk/20610/
- 2. Papageorgiou SN, Koletsi D, Iliadi A, Peltomaki T, Eliades T. Treatment outcome with orthodontic aligners and fixed appliances: a systematic review with meta-analyses. European Journal of Orthodontics. 2020 Jun 23;42(3):331–43.
- 3. Ke Y, Zhu Y, Zhu M. A comparison of treatment effectiveness between clear aligner and fixed appliance therapies. BMC Oral Health. 2019 Jan 23;19:24.
- 4. Lee JY, Kim SW, Kim JM, Shin IS, Yoon JS. Two Cases of Eating Disorders in Adolescents with Dental Braces Fitted Prior to the Onset of Anorexia Nervosa. Psychiatry Investig. 2015 Jul;12(3):411–4.
- 5. Gnanasambandam V, Gnaneswar SM. Effects of orthodontic treatment on body mass index, food habits and self-esteem of patients: A prospective single-arm cohort study. J TaibahUniv Med Sci. 2022 Oct;17(5):818–25.
- 6. Chauncey HH, Muench ME, Kapur KK, Wayler AH. The effect of the loss of teeth on diet and nutrition. Int Dent J. 1984 Jun;34(2):98–104.
- 7. Azer SA, Awosika AO, Akhondi H. Gastritis. In: StatPearls [Internet]. Treasure Island (FL): StatPearls Publishing; 2023 [cited 2023 Sep 15]. Available from: http://www.ncbi.nlm.nih.gov/books/NBK544250/
- 8. NIH News in Health [Internet]. 2017 [cited 2023 Sep 20]. Gut Feelings About Gastritis. Available from: https://newsinhealth.nih.gov/2012/11/gut-feelings-about-gastritis
- 9. Lim SL, Canavarro C, Zaw MH, Zhu F, Loke WC, Chan YH, et al. Irregular Meal Timing Is Associated with Helicobacter pylori Infection and Gastritis. ISRN Nutr. 2012 Dec 30;2013:714970.
- 10. Roda RP, Bagán JV, Soriano YJ, Romero LG. Use of nonsteroidalanti-inflammatory drugs in dental practice. A review. Med Oral Patol Oral Cir Bucal.
- 11. Byun SH, Min C, Hong SJ, Choi HG, Koh DH. Analysis of the Relation between Periodontitis and Chronic Gastritis/Peptic Ulcer: A Cross-Sectional Study Using KoGES HEXA Data. Int J Environ Res Public Health. 2020 Jun;17(12):4387.
- 12. Tennant C, Goulston K, Langeluddecke P. Psychological correlates of gastric and duodenal ulcer disease. Psychol Med. 1986 May;16(2):365–71.





Lakshmi Ravi et al.,

- 13. Ajwa N, Makhdoum L, Alkhateeb H, Alsaadoun A, Alqutub S, Alkhumayes H. The Impact of Orthodontic Appliance on Body Weight Changes, Dietary Habits, and Self-Perceived Discomfort in Early Stages of Orthodontic Treatment. Global Journal of Health Science. 2018 Aug 1;10(9):p11.
- 14. Shalchi M, Mahdavi Roshan M, Imani MM, Aghajani R, Khabbaz S, ShafieiHaghshenas E. Evaluation of Pain, Dietary Intake, Body Mass Index, and Periodontal Status in Patients Undergoing Fixed Orthodontic Treatment With Bite Raiser. Cureus [Internet]. 2022 Dec 21 [cited 2024 Jan 25]; Available from: https://www.cureus.com/articles/127788-evaluation-of-pain-dietary-intake-body-mass-index-and-periodontal-status-in-patients-undergoing-fixed-orthodontic-treatment-with-bite-raiser
- 15. Soni DVK, Sharma L, Student P. Comparative evaluation of changes observed in the weights of patients undergoing fixed orthodontic treatment in Jaipur Population. In 2013 [cited 2024 Jan 25]. Available from: https://www.semanticscholar.org/paper/Comparative-evaluation-of-changes-observed-in-the-Soni-Sharma/6a37d48bd473300e7cfa1c8e186de32a9473770d
- 16. Sandeep Ks, Singaraju G, Reddy Vk, Mandava P, Bhavikati V, Reddy R. Evaluation of body weight, body mass index, and body fat percentage changes in early stages of fixed orthodontic therapy. J Int Soc Prevent Communit Dent. 2016;6(4):349.
- 17. Abed Al Jawad F, Cunningham SJ, Croft N, Johal A. A qualitative study of the early effects of fixed orthodontic treatment on dietary intake and behaviour in adolescent patients. The European Journal of Orthodontics. 2012 Aug 1:34(4):432–6.
- 18. Makrygiannakis MA, Kaklamanos EG, Athanasiou AE. Does long-term use of pain relievers have an impact on the rate of orthodontic tooth movement? A systematic review of animal studies. Eur J Orthod. 2019 Sep 21;41(5):468–77.
- 19. Tennant C, Goulston K, Langeluddecke P. Psychological correlates of gastric and duodenal ulcer disease. Psychol Med. 1986 May;16(2):365–71.
- 20. Megha R, Farooq U, Lopez PP. Stress-Induced Gastritis. In: StatPearls [Internet]. Treasure Island (FL): StatPearls Publishing; 2023 [cited 2023 Sep 15]. Available from: http://www.ncbi.nlm.nih.gov/books/NBK499926/

Table:1

	DO YOU HAVE A BURNING FEELING IN YOUR STOMACH BETWEEN MEALS AND AT NIGHT?						
			No	Sometimes	Yes	More frequently	
	< 6	Count	13	5	2	1	21
	months	Expected Count	9	5	5.7	1.3	21
	6 – 12 months	Count	21	13	8	4	46
HOW LONG HAS IT BEEN		Expected Count	19.8	11	12.4	2.8	46
SINCE COMMENCEMENT OF ORTHODONTIC TREATMENT	12 – 18	Count	4	3	5	0	12
ORTHODONTIC TREATIVIENT	months	Expected Count	5.2	2.9	3.2	0.7	12
	18->24	Count	5	3	12	1	21
	months	Expected Count	9	5	5.7	1.3	21
Total		Count	43	24	27	6	100
		Expected Count	43	24	27	6	100





Lakshmi Ravi et al.,

Chi-Square Tests							
	Value	df	Asymptotic Significance (2-sided)				
Pearson Chi-Square	18.415ª	9	0.031				
Likelihood Ratio	18.511	9	0.03				
Linear-by-Linear Association	8.547	1	0.003				
N of Valid Cases	100						

Symmetric Measures

		Value	Approximate Significance
Nove in all by Manaire I	Phi	0.429	0.031
Nominal by Nominal			
	Cramer's V	0.248	0.031
N of Valid Cases		100	

Table 2: Correlations

		HOW LONG HAS IT BEEN SINCE THE COMMENCE MENT OF ORTHODON TIC TREATMENT ?	DO YOU TEND TO SKIP MEALS DUE TO FEAR OF BREAKING BRACKETS / INTENTION ALLY AVOID YOUR FAVORITE FOOD?	DO YOU HAVE A BURNI NG FEELIN G IN YOUR STOM ACH BETWE EN MEALS AND AT NIGHT ?	DO YOU FEEL BLOATIN G IN YOUR STOMAC H/ EXPERIEN CE FLATULE NCE?	ARE YOU TAKING ANY MEDICATI ON TO RELIEVE PAIN AFTER ORTHODO NTIC VISIT?	HAVE YOU CONSULTE D A DOCTOR FOR GASTRIC ISSUES AFTER ORTHODO NTIC TREATME NT RECENTLY ?
HOW LONG HAS IT BEEN SINCE	Pearson Correla tion	1	.232*	.294**	0.166	.215 [*]	0.142
COMMENCE	Sig. (2-		0.02	0.003	0.1	0.032	0.158





Lakshmi Ravi et al.,

			<u> </u>			i	
MENTOF	tailed)						
ORTHODON TIC TREATMENT	N	100	100	100	100	100	100
DO YOU TEND TO SKIP MEALS	Pearson Correla tion	.232 ⁻	1	.478**	.261**	.250*	.269**
DUE TO FEAR OF	Sig. (2- tailed)	0.02		0	0.009	0.012	0.007
BREAKING BRACKETS / INTENTION ALLY AVOID YOUR FAVORITE FOOD?	N	100	100	100	100	100	100
DO YOU HAVE A BURNING	Pearson Correla tion	.294**	.478**	1	.568**	.420**	.401**
FEELING IN YOUR	Sig. (2- tailed)	0.003	0		0	0	0
STOMACH BETWEEN MEALS AND AT NIGHT?	N	100	100	100	100	100	100
DO YOU FEEL BLOATING	Pearson Correla tion	0.166	.261**	.568**	1	.395**	.325**
IN YOUR STOMACH/	Sig. (2- tailed)	0.1	0.009	0		0	0.001
EXPERIENCE FLATULENC E?	N	100	100	100	100	100	100
ARE YOU TAKING ANY	Pearson Correla tion	.215 [*]	.250*	.420**	.395**	1	.377**
MEDICATIO N TO	Sig. (2- tailed)	0.032	0.012	0	0		0
RELIEVE PAIN AFTER ORTHODON TIC VISIT?	N	100	100	100	100	100	100
HAVE YOU CONSULTED A DOCTOR	Pearson Correla tion	0.142	.269**	.401**	.325**	.377**	1
FOR GASTRIC	Sig. (2- tailed)	0.158	0.007	0	0.001	0	
ISSUES AFTER	N	100	100	100	100	100	100





Lakshmi Ravi et al.,

ORTHODON				
TIC				
TREATMENT				
RECENTLY?				

^{*.} Correlation is significant at the 0.05 level (2-tailed). **. Correlation is significant at the 0.01 level (2-tailed).

Table :3 Model Summary^b

Model	D	R	Adjusted R	Std. Error of the Estimate
IVIOGEI	IX	Square Square		Std. Littor of the Estimate
1	.473a	.224	.183	.3985

Table 4: ANOVA^a

Model		Sum of Squares	df	Mean Square	F	Sig.
	Regression	4.314	5	.863	5.433	.000b
1	Residual	14.926	94	.159		
	Total	19.240	99			

Table 5: Coefficients^a

Model		Unstandardized Coefficients		Standardized Coefficients	t	Sig.	Collinea Statisti	-
		В	Std. Error	Beta			Tolerance	VIF
	(Constant)	.677	.146		4.650	.000		
1	ARE YOU TAKING ANY MEDICATION	•						
	TO RELIEVE PAIN AFTER	.130	.058	.231	2.238	.028	.776	1.289
	ORTHODONTIC VISIT?							





International Bimonthly (Print) - Open Access Vol. 15 / Issue 86 / Oct / 2024 ISSN: 0976 - 0997

REVIEW ARTICLE

Phosphate Solubilising Bacteria; A Promising Approach from Mangrove Forests - A Review

V. Shanmugaraju¹, S. Alice Keerthana^{2*} and P. Arun³

Principal, Vivekanandha Arts and Science College for Women, Sankari, Salem, Tamil Nadu, India.

²Research Scholar, Department of Biotechnology, Dr.N.G.P Arts and Science College, (Affiliated to Bharathiar University), Coimbatore, Tamil Nadu, India.

3Professor, Department of Biotechnology, Dr. N.G.P Arts and Science College, (Affiliated to Bharathian University), Coimbatore, Tamil Nadu, India.

Received: 21 Jun 2024 Revised: 03 Jul 2024 Accepted: 13 Sep 2024

*Address for Correspondence

S. Alice Keerthana

Research Scholar, Department of Biotechnology, Dr.N.G.P Arts and Science College,

(Affiliated to Bharathiar University),

Coimbatore, Tamil Nadu, India.

Email: alicekeerthanaphd@gmail.com

This is an Open Access Journal / article distributed under the terms of the Creative Commons Attribution License (CC BY-NC-ND 3.0) which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. All rights reserved.

ABSTRACT

Mangrove forests are one of the unique areas on earth that might be a source for many different species. Mangrove trees stabilise the coast by preventing erosion brought on by storm waves, surges, tides and currents. The size of mangroves ranges from little bushes to large trees. The mangrove ecosystem's apex in terms of microbial richness is still unexplored. The investigation of the microbiological biodiversity in the mangrove ecosystem is one of the most difficult areas of biodiversity research. Plants need phosphate next to nitrogen for their growth and development. Chemical phosphate fertilizers were utilized because plants cannot convert atmospheric phosphorus into phosphate, which has an impact on the fertility of the soil. Phosphate-solubilising Bacteria (PSB) can convert phosphorous into a bio-available form through mineralization and solubilization mechanisms. In order to maintain agricultural expansion and cater the growing human population in a healthy environment, complementary and ingenious alternatives to the current dominance of chemical uses must be developed. The review concentrates on the utilizing PSB as an alternative to commercial phosphatic fertilizers in order to overcome this problem.

Keywords: Phosphate Solubilising Bacteria (PSB), Bioinoclum, Plant growth promotion, Phosphate, Mangrove soils, Bacillus sp., Xanthobacter sp., Vibrio sp., Biofertilizer.





Shanmugaraju et al.,

INTRODUCTION

The mangrove ecosystem is one of the world's most productive coastal ecosystems that are found in tropical and subtropical regions.[1]Because of their high production, quick turnover rates, and constant exchange between terrestrial and marine ecosystems, mangrove trees play a crucial role in the biogeochemical cycling of phosphorus and other nutrients. Phosphorus is a critical and major ingredient in transient zones, such as coastal and estuaries habitats, and it is thought to control the marine productivity during the geological time periods. [2] In the tropics and subtropics of the planet, mangrove forests are the only ones that may be found where land and water meet. Mangroves, which are trees or shrubs, grow most effectively when low wave energy and shelter encourage the sedimentation of tiny particles, which in turn allow these woody plants to produce roots and expand. In comparison to other tropical forests, mangrove forests often include fewer species and have a simpler structural design. Additionally, ferns and scrubby undergrowth are typically absent from them.[3] Mangroves play a critical role in stabilising and protecting the shore from currents, tsunamis and hurricanes in addition to providing a number of ecological services like nesting habitats for migrating birds, and renewable energy sources and serving as a nursery ground for several commercially significant shellfish and shrimp. [4]A varied microbial population that inhabits mangrove ecosystems simultaneously transforms nutrients from decomposing mangrove foliage into sources of phosphorus, nitrogen and other nutrients that can be utilised by plants. In exchange, the bacteria feed on the exudates from the plant. The mangrove ecosystem has certain physical and chemical components that control the quantity and activity of bacteria in the mangrove habitat. Mangrove forests are thriving ecosystems in India that are responsive to climatic changes.[5]

Mangrove Forests

Mangroves have always trapped the heed of scientists and it still confounds the research community to expand the area of research. Mangroves are a diverse group of plants substantially shrubs,palmstrees, and grounds ferns which have acclimatized to the extreme saline conditions between the tides.[6]The specialty of Mangroves is they get adapted to the harsh environment and has the ability to tolerate stress where the other plant species struggle a lot to survive.[7]Although the species diversity of mangrove is inadequate when compared to the terrestrial ecosystems, it has various adaptation abilities to survive and revamp the harsh environment such as high salinity, muddy substrate and strong wind that makes this ecosystem so scathing and crucial for conservation. The largest mangrove cover is located in Asia that has extended over 6.8 million ha and represents about 34-42 % of the world's total area [8] Mangroves are playing a critical role in rendering a large number of environmental benefits, such as buffering the coastlines against cyclones, tsunamis, storms [3]and carbon storage.[9]Kathiresan *et al.*, stated that the Mangroves have a number of essential characteristics that increase their resistance to disturbances caused by anthropogenic and industrial activities as well as natural calamities like climate change and tsunamis. These qualities include the following:

- 1. A significant source of nutrients and a big reservoir for the biochemical processes.
- 2. Fast ingestion of nutrients that is already available while utilizing biotic turnover.
- 3. Effective biotic controls (for instance, high water and nutrient use efficiency)
- 4. Simple tree architecture enables quick rebuilding and rehabilitation.
- 5. Redundancy of important species, which may result in the rehabilitation and recovery of the forest's structural and functional elements.[5]

Mangrove forests in India

India is one among the richest countries for mangrove biodiversity in the world, and holds the third position after Indonesia and Australia. [10]India has a long heritage of mangrove forest management. The first mangrove in the world to be put under scientific management is the Sundarbans mangrove, located in the Bay of Bengal (partly in India and partly in Bangladesh).[11]India covers over \sim 4921 sq. km, (Table:1) of the mangrove forests, inhabiting only 3.2% of global mangrove forest. Sundarbans is the largest mangrove cover, occupying 43% and Gujarat has the second largest mangrove cover with 23% of total mangroves in India.[12]





Shanmugaraju et al.,

Microbial Diversity of Mangrove forests

Mangrove habitats' microbial community makeup provides light on their ecological function and special biotechnological potential in the fields of agriculture, industry, medicine, and pharmaceuticals. [14]Fungi cannot solubilize phosphorus as effectively as bacteria can [15]While phosphorus-solubilizing bacteria (PSB) make up 1 to 50% of the total soil microbial population, phosphorus-solubilizing fungi (PSF) only have a 0.1 to 0.5% solubilization capability.[16] The method of analysis utilised when evaluating microbial populations in environmental sample are frequently of its concern. The development of representative colonies from materials served as the foundation for the earliest discoveries of microbial communities. This strategy is still useful for gathering isolates that are easily appropriate for screening for the synthesis of enzymes and other biotechnological uses.[17]

Bacteria

The Sundarbans mangrove ecosystem's phosphorous cycle was discovered to be significantly influenced by soil phosphatase activity and phosphate-solubilizing bacteria. As moved further into the Sundarbans forest ecosystem's deep forest, the amount of soil Phosphatase activity decreased with increase in depth from the dense forest. While soil temperature and pH were found to have a considerable impact on soil phosphatase activity, soil salinity had very little of an impact. This made sure that the phosphate mineralization-related microorganisms in the Sundarbans forest ecosystem are more resilient to salinity variation than to variations in pH and temperature. This ecosystem became a unique source for novel bio and chemo diversity as a result of how the bacterial population developed to create different combinations of enzymes and small chemicals in response to those environmental conditions.[18]

Nitrogen Fixing Bacteria

Zuberer, D *et al.*, stated that the nitrogen fixation is the process by which some bacteria and cyanobacteria transform nitrogen from its gaseous form (N₂) into the mixed forms, such as ammonia or organic nitrogen. Diazotrophs are symbiotic and free-living microorganisms that fix N₂ into proteins.Both terrestrial and marine habitats are capable of supporting the colonisation of nitrogen-fixing bacteria. Insufficient energy sources are expected to constitute a restriction for N₂ fixation in mangrove sediments.[19]Holguin, G *et al.*, explained that, numerous mangrove species have nitrogen-fixing bacteria from the genera *Azotobacter, Klebsiella, Azospirillum, Rhizobium,* and *Clostridium* were isolated from their sediments, rhizospheres, and root surfaces. *V. Aestuarianus, Listonellaanguillarum, Vibrio campbelli*, and *Phyllobacterium* sp. were among the strains of diazotrophic bacteria that were recovered from the mangrove rhizosphere in Mexico.[20] The diversity of bacterial strains in the Sundarbans silt was discovered by phylogenetic analysis of 16S rRNA gene sequences and were found to be at least eight different bacterial phyla notably *Proteobacteria, Planctomycetes, Flexibacteria , Actinobacteria, Gemmatimonadetes, Acidobacteria, Chloroflexi,* and *Firmicutes* were the major divisions of the discovered bacterial phyla.[21] In Pichavaram's mangrove habitats, N₂ fixing Azotobacter, which can be employed as bio fertilizers were widespread.[22]

Phosphate solubilising bacteria

Mangrove plants benefit greatly from phosphate-solubilizing bacteria because they can serve as sources of soluble phosphorus from the soil. Phosphate can be solubilized by certain bacteria that have high phosphatase activity (Table: 2). Nine phosphate-solubilizing bacterial strains, including *Bacillus amyloliquefaciens,E. Asburiae,Enterobacter aerogenes, Bacillus atrophaeus,Xanthobacter agilis, Paenibacillus macerans,Vibrio proteolyticus, E. Taylorae and Kluyvera cryocrescens* were recovered from the roots of black mangrove (*Aviciena germinant*) roots. White mangrove (*Languncularia racemosa*) roots were used to isolate three more strains: *B. Licheniformis,Chryseomonas luteola,* and *Pseudomonas stutzeri.* This is the only account of the presence of bacteria from the genera *Xanthobacter, Kluyvera, and Chryseomonas* in the roots of mangroves and their ability to dissolve phosphate. [23]

Sulfate reducing Bacteria

The aerobic respiration plays a pivotal role in the organic matter degradation in its anaerobic zone, whereas in the anaerobic layer, the sulphate reduction plays an important role in decomposition.[30]Nearly all of the CO2 emissions from the sediment are attributed to sulphate reduction. Around 53% of the total organic matter could be degraded by sulfate-reducing bacteria found in temperate coastal marine sediment of shallow brackish water in Denmark.[31]





Shanmugaraju et al.,

Desulfovibrio salexigens, Desulfovibrio desulfuricansaestuarii, Desulfovibrio desulfuricans, Desulfosarcina variabilis, Desulfovibriosapovorans, Desulfococcus multivorans and Desulfotomaculum orientis are the species of sulfate-reducing bacteria found in the mangroves of Goa. These strains are nutrient-flexible and can metabolise a variety of simple substances, such as lactate, acetate, propionate, butyrate, and benzoate. These microorganisms may be able to effectively compete for nutrients in the mangrove environment because they may utilise a variety of diverse substrates.[32]Iron and phosphorus availability in mangrove sediments may be influenced by the activity of bacteria that helps in reduction of Sulphate. [33]

Fungi

"Manglicolous fungus" are a collection of fungi that habitat in mangrove forests, or mangals. [34] These organisms can produce all the enzymes necessary for lignin, cellulose, and other plant materials' break down which makes them extremely essential for the nutrient cycling in these ecosystems. [35] From 29 mangrove habitats around the world, Hyde K Det al., [36] listed 120 specieswhich included 2 Basidiomycetes sp., 87 Ascomycetes sp., and 31 Deuteromycetes sp.among them. Over a hundred different fungal species have been found in mangrove habitats, The decomposing debris of Rhizophora of South India's Pichavaram contained about 48 different kinds of fungi.[37]

Actinomycetes

Actinomycetes are major producers of antibiotics, anticancer drugs, enzymes, enzyme inhibitors, and immune modifiers, all of which are used extensively in the pharmaceutical industry, forestry sectors and agricultural. They also play a significant part in the natural ecological system.[38] The mangrove ecosystem is a valuable source for isolating actinomycetes that has the capability to produce antibiotics. Beta-unsaturated gama-lactone, an antibiotic chemical from *Streptomyces grisebruneus*, was isolated and discovered. It exhibited broad anti-microbial action.[39]

Plant and Phosphate

A crucial nutrient for plant growth and crop productivity is phosphorus. Although both organic and inorganic forms of it are plentiful in soils, their availability is limited since they primarily exist in insoluble forms. [40]Phosphate solubilizing microorganisms (PSMs) provide a biological method for making insoluble phosphate to its soluble form so that plants can utilize it.[41]Microorganisms that solubilize phosphate can enhance the growth and productivity of a wide range of crops. PSM inoculation is a promising method for increasing the global food supply without affecting the environment. [16]A crucial nutrient for plant growth and crop productivity is phosphorus. Although both organic and inorganic forms of it are plentiful in soils, their availability is limited since they primarily exist in insoluble forms.[40] Contradictory to the case with nitrogen, there is no significant atmospheric source of a critical growth-limiting nutrient Phosphate (P) that can be rendered biologically available for plants. [42]The characteristics of phosphorus nutrition include crop maturity and production, flower and seed formation, crop quality improvement, root development, stalk and stem strength, resistance to plant diseases and N-fixation in legumes. Over the past decades, although the microbial inoculants have been used to increase soil fertility over the past century, P solubilisation research has been reported on much less frequently than nitrogen fixation.[43] Phosphorus-deficient plants develop slowly and frequently have an unusually dark green colour. Anthocyanin pigments can occur when sugars build up, giving a reddish-purple hue. On low phosphorus areas, this can sometimes be seen in the early spring. Typically, only extremely low phosphorus soils exhibit these symptoms. It should be emphasised that crops may respond well to phosphorus fertiliser without displaying the typical shortages. [44]

PSM's mode of promoting plant growth

Fungi cannot solubilize phosphorus (P) as effectively as bacteria can. [45] The phosphorus-solubilizing fungi (PSF) only have a 0.1 to 0.5% solubilization capability whereas the phosphorus-solubilizing a bacterium (PSB) makes up 1 to 50% of the total soil microbial population. [46] Ectorhizospheric strains of *Pseudomonas* and *Bacilli*, as well as endosymbiotic rhizobia, have been identified in soil bacterial communities as efficient solubilizers of phosphate. [47] Physical-chemical (sorption-desorption) and biological (Immobilization- mineralization) processes define the dynamics of P in the soil. The highly reactive Al³⁺ and Fe³⁺ in acidic soils and Ca²⁺ in calcareous or normal soils, a significant quantity of P provided as fertilizer enters the immobile pools through the precipitation reaction [48,49]





Shanmugaraju et al.,

Phosphate Solubilising Microorganism (PSM) demonstrated the ability to increase the production of deteriorated, ineffective, and barely productive agricultural soils.[48] The main method that PSMs promote the plant growth is by increasing the efficiency with which they take up P that result in their conversion of insoluble form of P into its accessible form (orthophosphate) that plants can use for their metabolism. The solubilization of earlier applied and fixed phosphates in soil is known to be enhanced by PSM inoculation in soil or by coating the seeds for improving crop yield (Table: 3). [50] Additionally, it has been claimed that PSM aid in the absorption of phosphorus from a larger area by expanding the root system's network. High crop yields have been recorded as a result of PSB inoculation, including *Rhizobium, Flavobacterium, Erwinia, Achromobacter, Agrobacterium, Pseudomonas, Micrococcus*, and *Bacillus*. [51] PSMs stimulate the growth of plants by producing phytohormones like auxins, gibberellins, cytokinins and polyamides. [52] PSMs produce antibiotics, hydrogen cyanate (HCN), and antifungal metabolites that help to shield plants from phytopathogens. [53]

Future Trends in the Ecology of Mangrove's Microbial Community

In order to meet the world's demand, the production of chemical phosphatic fertilizers is a very energy-intensive process that annually costs US \$ 4 billion. It has been proposed that the piled phosphates in agricultural soils are sufficient to support the highest crop yields globally for around 100 years. The organisms with the ability to phosphate-solubilize can also transform the insoluble phosphatic compounds in the soil into its soluble forms so that the crops can use them. [58] Research on the function and organization of microbial communities is still in its infancy at the moment. Although there is a lot of information currently available, closing the research gaps will be a difficult task. These sediments are quite complex, and interactions amongst the organisms are very likely. There is still a lack of knowledge of the majority of the microbial groups involved in each stage of the nutrient cycles, as well as how these communities react to local and global environmental changes. A thorough analysis of populations present in various mangroves across the globe is necessary to determine which species or groups of microorganisms are cosmopolitan and which are endemic to a particular region, as well as to evaluate their biotechnological potential. This is one of the most fundamental and first steps in revealing the mangrove's microbial network. [17] It is crucial that scientists and researchers continue to learn about P-solubilizing microbes and translate their understanding into bio-based inoculants that farmers could easily access. Farmers also need to be made aware of the importance of using bio-inoculants as a chance of enabling the sustainable agriculture.

Conflict of Interest

Conflict of Interest declared none.

Abbreviations

- **PSM** Phosphate Solubilising Microorganism
- PSB Phosphate Solubilising Bacteria
- PSF Phosphate Solubilising Fungi
- P Phosphate

REFERENCES

- 1. Singh G, Ramanathan AL, Prasad MBK (2005) Nutrient cycling in mangrove ecosystem: a brief overview. Int J Ecol Environ Sci 30:231–244.
- 2. Ruttenberg KC (2004) The global phosphorus cycle. In: Schlesinger WH (ed) Treatise on geochemistry (Volume 8) biogeochemistry. Elsevier Pergamon, Amsterdam, pp 585–643
- 3. Alongi, D. M. (2002). Present state and future of the world's mangrove forests. *Environmental conservation*, *29*(3), 331-349.
- 4. Alongi, D. M. (2008). Mangrove forests: resilience, protection from tsunamis, and responses to global climate change. *Estuarine, coastal and shelf science,* 76(1), 1-13.





Shanmuqaraju et al.,

- 5. Kathiresan, K. (2000). A review of studies on Pichavaram mangrove, southeast India. *Hydrobiologia*, 430(1), 185-205
- 6. Duke, N. C., Lo, E., & Sun, M. (2002). Global distribution and genetic discontinuities of mangroves–emerging patterns in the evolution of Rhizophora. *Trees.* 16(2), 65-79.
- 7. Kathiresan, K. (2018). Mangrove forests of India. Current science, 976-981.
- 8. Giri, C., Ochieng, E., Tieszen, L. L., Zhu, Z., Singh, A., Loveland, T., ... & Duke, N. (2011). Status and distribution of mangrove forests of the world using earth observation satellite data. *Global Ecology and Biogeography*, 20(1), 154-159.
- 9. Blasco, F., Aizpuru, M., & Gers, C. J. W. E. (2001). Depletion of the mangroves of Continental Asia. *Wetlands Ecology and Management*, *9*(3), 255-266.
- 10. Ragavan, P., Saxena, A., Jayaraj, R. S. C., Mohan, P. M., Ravichandran, K., Saravanan, S., & Vijayaraghavan, A. (2016). A review of the mangrove floristics of India. *Taiwania*, *61*(3).
- 11. Chaudhuri, A. B., & Choudhury, A. (1994). *Mangroves of the Sundarbans. Volume 1: India.* International Union for Conservation of Nature and Natural Resources (IUCN).
- 12. SFR Mangroves Cover: India State of Forest Report, Forest Survey of India, Dehradun. 2015, pp. 63–67.
- 13. Singh, A. K., Ansari, A., Kumar, D., & Sarkar, U. K. (2012). Status, biodiversity and distribution of mangroves in India: an overview. *Uttar Pradhesh Sate Biodiversity Board. Marine Biodiversity: One Ocean, Many Worlds of Life*, 59-67.
- 14. Lageiro, M. M., Moura, M. J., Reis, A., & Costa-Ferreira, M. J. (2007). Microbial proteases application in leather industry. *Journal of Biotechnology*, *2*(131), S239-S240.
- 15. Alam, S., Khalil, S., Ayub, N., & Rashid, M. (2002). In vitro solubilization of inorganic phosphate by phosphate solubilizing microorganisms (PSM) from maize rhizosphere. *Int J Agric Biol*, 4(4), 454-458.
- Chen, Y. P., Rekha, P. D., Arun, A. B., Shen, F. T., Lai, W. A., & Young, C. C. (2006). Phosphate solubilizing bacteria from subtropical soil and their tricalcium phosphate solubilizing abilities. *Applied soil ecology*, 34(1), 33-41
- 17. Taketani, R. G., Dias, A. C. F., &Andreote, F. D. (2010). Microbial diversity from mangroves sediments: insights from culture independent approaches. *Mangroves ecology biology and taxon-omy. Hauppauge, NY: Nova Science Publishers Inc.*1242-1254.
- 18. Das, S., Jana, T. K., & De, T. K. (2014). Vertical profile of phosphatase activity in the Sundarban mangrove forest, North East Coast of Bay of Bengal, India. *Geomicrobiology Journal*, *31*(8), 716-725.
- 19. Zuberer, D., & Silver, W. (1978). Biological dinitrogen fixation (acetylene reduction) associated with Florida mangroves. *Applied and environmental Microbiology*, *35*(3), 567-575.
- 20. Holguin, G., Guzman, M. A., & Bashan, Y. (1992). Two new nitrogen-fixing bacteria from the rhizosphere of mangrove trees: Their isolation, identification and in vitro interaction with rhizosphere Staphylococcus sp. *FEMS Microbiology Letters*, 101(3), 207-216.
- 21. Chakraborty, A., Bera, A., Mukherjee, A., Basak, P., Khan, I., Mondal, A., ... & Bhattacharyya, M. (2015). Changing bacterial profile of Sundarbans, the world heritage mangrove: impact of anthropogenic interventions. *World Journal of Microbiology and Biotechnology*, *31*(4), 593-610.
- 22. Ravikumar, S. (1995). *Nitrogen fixing azotobacters from the mangrove habitat and their utility as biofertilizers* (Doctoral dissertation, Ph. D. Thesis, Annamalai University, Parangipettai, India).120.
- 23. Sundararaj, V., Dhevendran, K., Chandramohan, D., & Krishnamurthy, K. (1974). Bacteria and primary production. *Indian J Mar Sci*, *3*, 139-141.
- 24. Behera, B. C., Yadav, H., Singh, S. K., Mishra, R. R., Sethi, B. K., Dutta, S. K., &Thatoi, H. N. (2017). Phosphate solubilization and acid phosphatase activity of Serratia sp. isolated from mangrove soil of Mahanadi river delta, Odisha, India. *Journal of Genetic Engineering and Biotechnology*, *15*(1), 169-178.
- 25. Widawati, S. (2011). Diversity and phosphate solubilization by bacteria isolated from Laki Island coastal ecosystem. *Biodiversitas Journal of Biological Diversity*, 12(1).
- 26. Annizah, I. N., Alawiyah, D. D., Susetyo, R. D., Surtiningsih, T., &Nurhariyati, T. (2021, May). Phosphate solubilizing bacteria isolated from Tuban mangrove soil, Indonesia. In *IOP Conference Series: Earth and Environmental Science* IOP Publishing (Vol. 762, No. 1, p. 012007).





Shanmugaraju et al.,

- 27. Chen, Y. P., Rekha, P. D., Arun, A. B., Shen, F. T., Lai, W. A., & Young, C. C. (2006). Phosphate solubilizing bacteria from subtropical soil and their tricalcium phosphate solubilizing abilities. *Applied soil ecology*, *34*(1), 33-41
- 28. Vazquez, P., Holguin, G., Puente, M. E., Lopez-Cortes, A., & Bashan, Y. (2000). Phosphate-solubilizing microorganisms associated with the rhizosphere of mangroves in a semiarid coastal lagoon. *Biology and Fertility of Soils*, 30(5), 460-468.
- 29. Abhijith, R., Vennila, A., & Purushothaman, C. S. (2017). Occurrence of Phosphate-Solubilizing Bacteria in Rhizospheric and Pneumatophoric Sediment of Avicennia marina. *International Journal of Fisheries and Aquatic Studies*, *5*(4), 284-288.
- 30. Nedwell, D. B., Blackburn, T. H., & Wiebe, W. J. (1994). Dynamic nature of the turnover of organic carbon, nitrogen and sulphur in the sediments of a Jamaican mangrove forest. *Marine Ecology Progress Series*, 223-231.
- 31. Kristensen, E., Holmer, M., &Bussarawit, N. (1991). Benthic metabolism and sulfate reduction in a southeast Asian mangrove swamp. *Marine Ecology Progress Series*, 93-103.
- 32. Bharathi, P. A. L., Oak, S., & Chandramohan, D. (1991). Sulfate-reducing bacteria [rom mangrove swamps II: Their ecology and physiology. Oceanologica Acta, 14(2), 163-171.
- 33. Sherman, R. E., Fahey, T. J., & Howarth, R. W. (1998). Soil-plant interactions in a neotropical mangrove forest: iron, phosphorus and sulfur dynamics. *Oecologia*, *115*(4), 553-563.
- 34. Kohlmeyer, J., Bebout, B., &Vlkmann-Kohlmeyer, B. (1995). Decomposition of mangrove wood by marine fungi and teredinids in Belize. *Marine Ecology*, *16*(1), 27-39.
- 35. Bremer G B, Lower marine fungi (Labyrinthulomycetes) and thedecay of mangrove leaflitter. Hydrobiol., 295 (1995) 89-95.
- 36. Hyde, K. D. (1990). A comparison of the intertidal mycota of five mangrove tree species. *Asian Mar. Biol.*, 7, 93-
- 37. Ravikumar, D. R., & Vittal, B. P. R. (1996). Fungal diversity on decomposing biomass of mangrove plant Rhizophora in Pichavaram estuary, east coast of India.142 144.
- 38. Williams, S. T., Goodfellow, M., Alderson, G., Wellington, E. M. H., Sneath, P. H. A., & Sackin, M. J. (1983). Numerical classification of Streptomyces and related genera. *Microbiology*, *129*(6), 1743-1813.
- 39. Balagurunathan, R. (1992). Antagonistic Actinomycetes from Indian shallow sea sediments with reference to unsaturated lactone type of antibiotic from Streptomyces griseobrunneus (Doctoral dissertation, Ph. D. thesis, Annamalai University, India, 1992, (33-82).
- 40. Sharma, S. B., Sayyed, R. Z., Trivedi, M. H., & Gobi, T. A. (2013). Phosphate solubilizing microbes: sustainable approach for managing phosphorus deficiency in agricultural soils. *SpringerPlus*, *2*(1), 1-14.
- 41. Buddhi, C. W., & Min-Ho, Y. (2012). Prospectus of phosphate solubilizing microorganisms and phosphorus availability in agricultural soils: A review. *African Journal of Microbiology Research*, 6(37), 6600-6605.
- 42. Alori, E. T., Glick, B. R., & Babalola, O. O. (2017). Microbial phosphorus solubilization and its potential for use in sustainable agriculture. *Frontiers in microbiology*, *8*, 971.
- 43. Ezawa, T., Smith, S. E., & Smith, F. A. (2002). P metabolism and transport in AM fungi. *Plant and Soil*, 244(1), 221-230.
- 44. Khan, M. S., Zaidi, A., & Wani, P. A. (2009). Role of phosphate solubilizing microorganisms in sustainable agriculture-a review. *Sustainable agriculture*, 551-570.
- 45. Alam, S., Khalil, S., Ayub, N., & Rashid, M. (2002). In vitro solubilization of inorganic phosphate by phosphate solubilizing microorganisms (PSM) from maize rhizosphere. *Int J Agric Biol*, 4(4), 454-458.
- 46. Chen, Y. P., Rekha, P. D., Arun, A. B., Shen, F. T., Lai, W. A., & Young, C. C. (2006). Phosphate solubilizing bacteria from subtropical soil and their tricalcium phosphate solubilizing abilities. *Applied soil ecology*, *34*(1), 33-41.
- 47. Igual, J. M., Valverde Portal, Á., Cervantes, E., & Velázquez Pérez, E. (2001). Phosphate-solubilizing bacteria as inoculants for agriculture: use of updated molecular techniques in their study.
- 48. Gyaneshwar, P., Naresh Kumar, G., Parekh, L. J., & Poole, P. S. (2002). Role of soil microorganisms in improving P nutrition of plants. *Plant and soil*, *245*(1), 83-93.
- 49. Hao, X., Cho, C. M., Racz, G. J., & Chang, C. (2002). Chemical retardation of phosphate diffusion in an acid soil





Shanmugaraju et al.,

- as affected by liming. Nutrient Cycling in Agroecosystems, 64(3), 213-224.
- 50. Vijaya, J. J., Jayaprakash, N., Kombaiah, K., Kaviyarasu, K., Kennedy, L. J., Ramalingam, R. J., ... &Maaza, M. (2017). Bioreduction potentials of dried root of Zingiber officinale for a simple green synthesis of silver nanoparticles: antibacterial studies. *Journal of Photochemistry and Photobiology B: Biology*, 177, 62-68.
- 51. Rodríguez, H., & Fraga, R. (1999). Phosphate solubilizing bacteria and their role in plant growth promotion. *Biotechnology advances*, *17*(4-5), 319-339.
- 52. Yousefi, A. A., Khavazi, K., Moezi, A. A., Rejali, F., & Nadian, H. A. (2011). Phosphate solubilizing bacteria and arbuscular mycorrhizal fungi impacts on inorganic phosphorus fractions and wheat growth. *World Appl Sci J.* 15(9), 1310-1318.
- 53. Kalayu, G. (2019). Phosphate solubilizing microorganisms: promising approach as biofertilizers. *International Journal of Agronomy*, *2019*(1), 4917256.
- 54. Islam, M. T., Deora, A., Hashidoko, Y., Rahman, A., Ito, T., &Tahara, S. (2007). Isolation and identification of potential phosphate solubilizing bacteria from the rhizoplane of Oryza sativa L. cv. BR29 of Bangladesh. *Zeitschrift für Naturforschung C*, 62(1-2), 103-110.
- 55. Singh, S., & Kapoor, K. K. (1999). Inoculation with phosphate-solubilizing microorganisms and a vesicular-arbuscular mycorrhizal fungus improves dry matter yield and nutrient uptake by wheat grown in a sandy soil. *Biology and fertility of soils, 28*(2), 139-144.
- 56. Dey, R. K. K. P., Pal, K. K., Bhatt, D. M., & Chauhan, S. M. (2004). Growth promotion and yield enhancement of peanut (Arachis hypogaea L.) by application of plant growth-promoting rhizobacteria. *Microbiological research*, 159(4), 371-394.
- 57. Peix, A., Rivas-Boyero, A. A., Mateos, P. F., Rodriguez-Barrueco, C., Martinez-Molina, E., & Velazquez, E. (2001). Growth promotion of chickpea and barley by a phosphate solubilizing strain of Mesorhizobiummediterraneum under growth chamber conditions. *Soil Biology and Biochemistry*, *33*(1), 103-110.
- 58. Khan, M. S., Zaidi, A., & Wani, P. A. (2009). Role of phosphate solubilizing microorganisms in sustainable agriculture-a review. *Sustainable agriculture*, 551-570.

Table 1: Different types of Mangrove forest distributed along the Indian Coast and their area[13]

S. No	State/ University	Very Dense Mangrove (Km²)	Moderately Dense Mangrove (Km²)	Open Mangrove (Km²)	Total (Km²)
1	Gujarat	0	182	876	1058
2	Maharashtra	0	69	117	186
3	Andaman and Nicobar Islands	283	261	73	617
4	Daman and Diu	0	0.12	1.44	1.56
5	West Bengal	1038	881	236	2155
6	Puducherry	0	3	1	1
7	Karnataka	0	69	117	186
8	Andhra Pradesh	0	126	226	352
9	Orissa	82	97	43	222
10	Kerala	0	3	3	6
11	Goa	0	20	2	22
12	Tamil Nadu	0	16	23	39
	Total	1403	1658.12	1601.44	4662.56

Table: 2 Representing the Sampling places and the microbial isolates

S.No	Country/ Place	Organism Identified	Reference
1	Odicco India	Corretia on	Behera, B. C
1.	Odissa, India	<i>Serratia</i> sp.	et al., (2017)





Shanmugaraju et al.,

			[24]
2.	Laki Island coastal	Serratia marcescens and Pseudomonas	Widawati, S.
	ecosystem	fluorescens	(2011) [25]
3.	Indonesia	Klebsiella variicola	Annizah, I. N <i>et al.</i> , (2021) [26]
4.	Sub- Tropical Soil	Bacillus megaterium, PhyllobacteriumDelftia sp.Arthrobacter ureafaciens, Serratia marcescens Gordonia sp. Chryseobacterium sp. Arthrobacter sp.	Chen, Y. P et al., (2006) [27]
5.	rhizosphere of mangroves	V. proteolyticus, X. agilis	Vazquez, P et al., (2000)
6.	Rhizospheric and Pneumatophoric Sediment of Mangroves	B. atrophaeus, B. amyloliquefaciens, Vibrio proteolyticus, Paenibacillusmacerans, and Xanthobacter agilis	Abhijith, R et al., (2017)

Table: 3 Host plants and the type of PSM used in Solubilization

Phosphate Solubilizing Microorganisms (PSM's)	Host Plant	Reference
Azotobacter chroococcumand Azotobacter sp	Wheat	M. Tofazzal Islam <i>et al.</i> , 2007 ^[54] and H. Rodriguez and R. Fraga 1999 ^[51]
Azospirillum sp.	Sorghum,Maize, and wheat	R. Fraga and H. Rodriguez 1999 ^[51]
Bacillus sp.	Potato, wheat,Peanut and sorghum	H. Rodriguez and R. Fraga 1999 ^[51]
Cladosporium herbarum Bacillus megaterium and Bacillus circulans	Wheat	S. Singh and K. K. Kapoor 1999 ^[55] andM. Tofazzal Islam <i>et al.</i> , 2007 ^[54]
Pseudomonas fluorescent, Pseudomonas chlororaphis,Pseudomonas putida,Pseudomonas fluorescensand Pseudomonas putida	Peanut Soybean Canola, lettuce tomato, Potato, rice,radishes, sugar beet, tomato, apple, citrus, beans, wheat and ornamental plants	M. Tofazzal Islam <i>et al.</i> , 2007 ^[54] , Dey, R <i>et</i> <i>al.</i> ,2004 ^[56] ,H. Rodriguez and R. Fraga 1999 ^[51]
Mesorhizobiummediterraneum	Barley and chickpea	A. Peix et al.,2001[57]

